

## 4. General Discussion

### 4.1 Performance of the eddy correlation measurement system

Micrometeorological techniques provide a direct way to estimate of the heat, water and CO<sub>2</sub> exchanges between ecosystems and the atmosphere. They are in situ, have relatively large sampling area compared to the enclosures, and have little modification to the measured surfaces (Baldocchi, 1994a, b, 1997; Baldocchi and Meyer, 1988a, b; 1998). Of the micrometeorological techniques, the EC technique is most attractive since it does not strictly rely on the assumption, e.g. energy balance, similarity, and atmospheric stability functions although it requires an open, uniform and level surface, i.e. sufficient fetch as other micrometeorological techniques such as the profile method (Baldocchi et al., 1988, 1996, 2000; Dabberdt et al., 1993). When used to measure the fluxes of mass and energy over a canopy, the EC technique, like other micrometeorological techniques, requires that the canopy surface should be horizontally flat, homogeneous and extensive (i.e. sufficient scalar-sampling fetch) without obvious existence of advection for the scalars under investigation (e.g. Baldocchi et al., 1988; Lafleur et al., 1997; Schotanus et al., 1983; Schuepp et al., 1990). The instruments should be able to simultaneously capture the rapid fluctuations of vertical wind velocity, CO<sub>2</sub> and H<sub>2</sub>O densities near the canopy surface at an adequate frequency (e.g., Dabberdt et al., 1993; Jarvis, 1995a; Leuning and King, 1992), and be adequately arranged in the field (e.g. Dabberdt et al., 1993; Lee et al., 1994; Lee and Black, 1994; Moore, 1986; Suyker and Verma, 1993). Therefore, it is necessary to evaluate the reliability of the EC measurement system. One method is to check the closure of the surface energy budget components, i.e. examine whether or not the sum of the latent heat and sensible heat fluxes equals the available energy (Baldocchi and Harley, 1995).

In the present study, we use the energy balance closure check to assess the performance of our EC measurement system; we also make the comparison between the EC-measured  $LE$  and the lysimeter-measured  $LE$ . Ideally,  $Q_n$  should be balance by the sum of the eddy fluxes of  $H$  and  $LE$ . Examination of the closure of the surface energy budget components indicates that the sum of sensible heat and latent heat flux densities measured with the EC technique matched the available energy flux density satisfactorily over most of the measurement period (Fig. 13). The slope of the relationship between  $Q_n$  and its partitioned components ( $H + LE$ ) was 1.01 on the hourly averaged basis and 1.04 on the daily averaged basis. This favorable closure of the canopy surface energy budget demonstrates that measurement accuracies associated with our EC measurement system were quite satisfactory and this system was reliable in estimating eddy fluxes. A comparison between the hourly averaged latent heat flux density estimated by the EC technique and those measured directly by the lysimeter indicates they were fairly consistent (Figs. 15 to 16).

However, imbalance of the energy budget was found during the senescence period of grasses. Hourly energy budget closure was only about 0.42 for this period. This point is also indicated in the daily energy budget closure check (Fig. 14). At least part of this energy imbalance may be attributable to differences in sampling areas for the eddy flux and available energy measurements (Hollinger et al., 1994; Kelliher et al., 1997; Valentini et al., 1995). Lack of closure may also arise from other factors, for example, inaccuracy and poor array of instruments (Lee and Black, 1994), and atmospheric stability (Blanken et al., 1997). Partly cloudy conditions resulted in 15% underestimation in  $H + LE$  for 5 consecutive days during the closed canopy period and 27% overestimation of  $H + LE$  for 8 intermittent days during the flowering period.

Theoretically, an adequate fetch should be guaranteed in use of the EC technique (Horst, 1999; Laubach et al., 1994; Stannards, 1997). McMillen (1988) argues that larger uniform fetches appear to be necessary over smooth surfaces and/or in stable conditions than over rough surfaces (e.g. forests) and/or under unstable conditions. However,

Savage et al. (1996) drew a contrary conclusion that the fetch requirement for micrometeorological measurements above a forest canopy is more stringent than that for a grassland canopy. Heilman et al. (1989) even suggested that the Bowen ratio method can be used successfully even at fetch-to-height ratios as low as 20:1. Does fetch affect the closure of the energy balance in the present study? How far is far enough for a fetch in the present study? To answer those questions, we plotted the ratio of  $(H + LE)/(R_n - G)$  against the fetch-to-height ratio (Fig. 28a). Most points during the measurement period except for the senescent period were concentrated within 20% of unity (acceptable closure). Closure increased with increase of the fetch-to-height ratio. Most scatter in Fig. 28a was from the measurements during the senescent period. Phenological heterogeneity in this period, which could be caused by both the patch-like aging of C3/C4 grasses and the abrupt change in height of individual species due to flowering growth of *Solidago altissima* (C3) and *Miscanthus sinensis* (C4), made EC sensors sample different sources from those sampled by radiation sensors. This heterogeneity may also give rise to changes in canopy surface albedo (Fig. 6b). Since DOY 291, air temperatures were often less than the biotic frost temperature ( $<5$  °C), which further enhanced the heterogeneity. In other words, the canopy surface became much rougher both in phenology and in physiognomy during the senescent period. Furthermore, northeast wind direction dominated the later part of the senescent period, which may also contribute to an incomplete closure of the energy balance (Fig. 28b). Thus, poor fetch may affect markedly the closure of energy balance. We strongly recommend a fetch-to-height ratio exceeding at least 50:1 for the present study of grassland eddy fluxes.

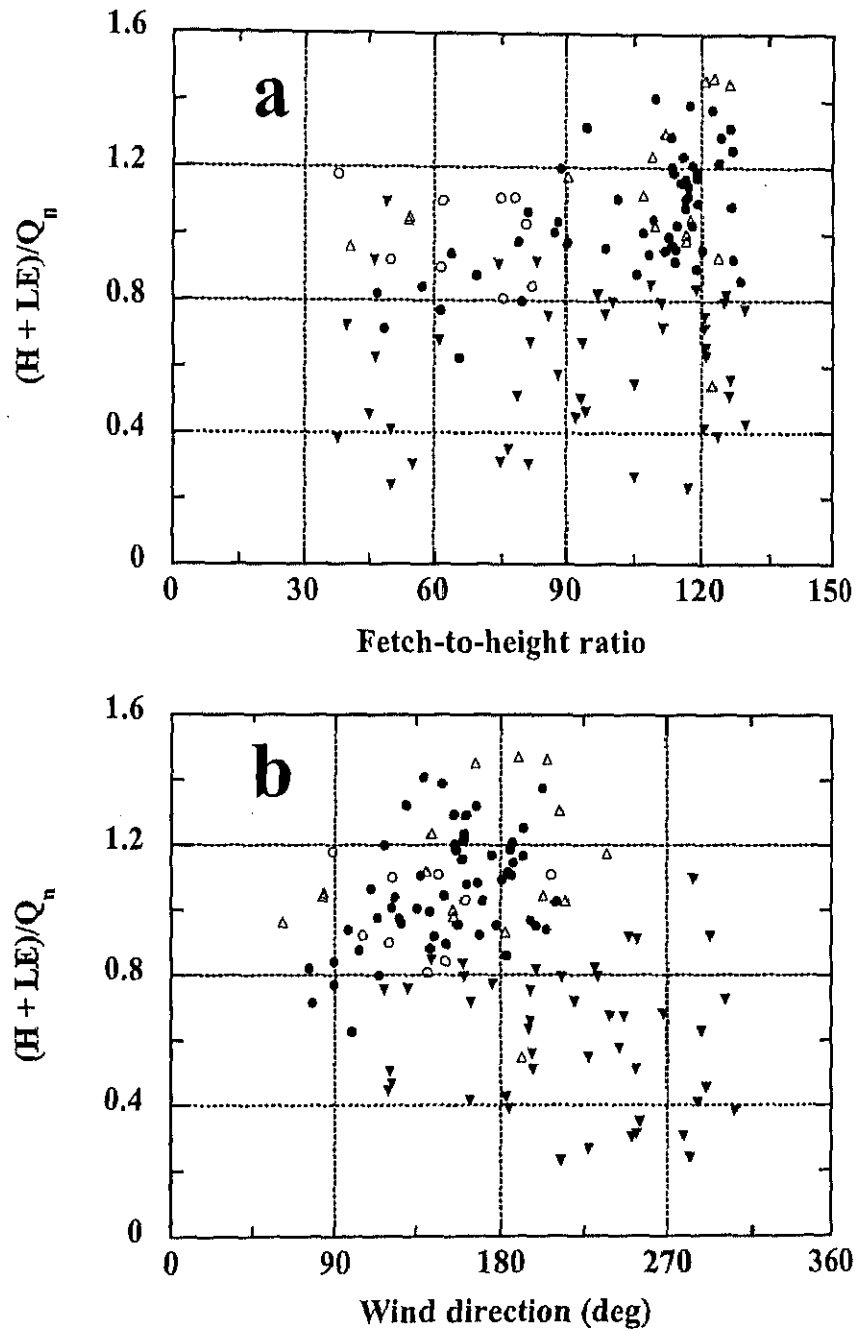


Figure 28. a: Relationship between energy balance closure and fetch-to-height ratio. b: Relationship between energy balance closure and wind direction. The data were grouped according to the growth stages of grasses: the period prior to closed canopy (○), the closed canopy period after the *Baiu* rainy season and before flowering (●), the flowering period (△), and the senescence period (▼).

## 4.2. Determinants for the available energy-partitioning pattern

It is commonly thought that the partitioning pattern of the available energy is principally governed by such environmental factors as solar radiation, soil and air temperatures, wind regime, soil water availability, ecophysiological features of plants, and canopy architecture (LAI, species composition, phenology, etc.) (e.g. Baldocchi, 1994a, Baldocchi et al., 1997a, Kelliher et al., 1993). During the measurement period, volumetric soil water content was generally larger than 0.35, indicating that the ERC grassland was not water restricted and that soil moisture was a main determinant but not a limiting factor for the partitioning of the available energy. Diurnal and seasonal variations in  $LE$  were strongly coupled with diurnal and seasonal variations in  $Q_n$ , suggesting that  $Q_n$  is one major determinant for dynamics of  $LE$  (Figs. 17 to 21 and Table 2). For most of the measurement period, more than 70% of  $LE$  can be explained by variations in  $Q_n$  (linear regression:  $LE = 0.60Q_n + 20.27$ ,  $n = 2418$  h,  $r^2 = 0.79$ ). A linear relationship was also established between  $LE$  and VPD ( $LE = 134.8VPD$ ,  $n = 2418$  h,  $r^2 = 0.66$ ), which could be explained by the fact that  $LE$  and VPD behaved in a parallel fashion in daily variations. Linear relationships between  $LE$  and wind speed,  $u$  and air temperature,  $T_a$  at a height of 1.6 m were not clear in the present study ( $r^2 = 0.30$  for  $u$  and  $r^2 = 0.20$  for  $T_a$ ) for the same period. The influence of air temperature on the partitioning of the available energy was generally reflected in variations in VPD. Generally,  $LE$  increases with increasing VPD and  $T_a$  when soil moisture is nonlimiting (Jarvis and McNaughton, 1986). This pattern is modulated by conductances (Jarvis and McNaughton, 1986).

McNaughton and Jarvis (1983) and Jarvis and McNaughton (1986) introduce the so-called omega factor ( $\Omega$ ) to evaluate coupling of the canopy surface to outer environmental conditions, or the capacity of a canopy to exchange heat and mass with

the air including pattern of partitioning of the available energy. A value of  $\Omega$  close to 1 implies that the canopy decouples from the atmosphere and  $LE$  is controlled by  $Q_n$  while a value of  $\Omega$  close to 0 indicates that the degree of coupling between the canopy and the atmosphere is fairly strong and  $LE$  is regulated by the stomata (McNaughton and Jarvis, 1983; Jarvis and McNaughton, 1986). Figs. 29 and 30 presents daily courses of  $\Omega$ , Bowen ratio ( $\beta$ ), dryness index ( $DI$ ), and Priestley-Taylor coefficient ( $\alpha$ , P-T parameter) and several meteorological variables for the selected days from DOY 151 to 159 during the rapid growth period and for the selected clear days from DOY 204 to 216 during the closed canopy period. Similar data are available for the other growing periods but are not presented here graphically.

Values of  $\Omega$  peaked (0.95) in the mid-morning hours, decreased from morning through afternoon, and reached the daytime minimal value of about 0.10 in late afternoon. This is associated with the daytime trends in  $g_a$  and  $g_c$  (Fig. 32). Midday (09:00 to 14:00 JST) mean values of  $\Omega$  were *ca.* 0.77 ( $\pm$  0.09 SD). According to McNaughton and Jarvis (1983) and Jarvis and McNaughton (1986), higher values of  $\Omega$  in the morning imply that the canopy was decoupled from the atmosphere, and that latent heat flux (evapotranspiration) was controlled by the available energy. Lower values of  $\Omega$  in the afternoon demonstrate that the canopy was coupled to the atmosphere and that evapotranspiration from the canopy surface is regulated primarily by VPD and canopy surface conductance except for the available energy. In other words, the “imposed” evapotranspiration contributed relatively more to water loss from the canopy surface than the equilibrium evapotranspiration in the afternoon as compared to the morning. Similar patterns of  $\Omega$  factor are reported in Steduto and Hsiao (1998a) for maize, and in Köstner et al. (1992) for a red beach forest.

Transition from decoupling in the morning hours to coupling in the afternoon hours is explainable by both the relative increase in the atmospheric evaporative demand (VPD) and the sensible heat advection in the afternoon. As we stated before, soil moisture is ample for the ERC grassland during the measurement period. As a result of

full absorption of water from the soil the previous night, water in grasses was sufficiently plentiful in the morning to meet the atmospheric evaporative demand. Omega factor analysis, however, indicates there was actually a slight water stress in the afternoon. Therefore, we could reasonably suspect that amount of water abstracted from the soil by roots of grasses and/or hysteresis in water take-up in the afternoon were responsible for the deepening of apparent water stress due to much consumption of water by grasses. This pattern is modulated by variations in the canopy surface conductance because higher  $\Omega$  values were generally linked with higher canopy surface conductance values (data not presented here), i.e. higher canopy surface conductance in the morning was favorable to decoupling. Obviously, the sensible heat advection (SHA) intensified (Figs. 29a and 30a) this water restriction scenario by adding energy to evaporating water, because SHA was commonly associated with both comparatively higher temperatures (or VPD) and stronger winds (Figs. 29b and 30b) (Rosenberg et al., 1983). SHA may be one of the major determinants for decrease of  $\Omega$  in the late afternoon. As argued in Steduto and Hsiao (1998a) and Kelliher et al. (1993), higher winds (stronger turbulent mixing) and corresponding larger aerodynamic conductance, and relatively lower canopy surface conductance in the afternoon enhance coupling. Higher aerodynamic conductance may lead to a higher  $\Omega$  value (Verma et al., 1986).

Midday (09:00 to 14:00 JST) mean  $\Omega$  values were generally larger than 0.6 throughout the growing season (Table 5), implying that the ERC grassland was decoupled from the atmosphere and the canopy-atmosphere water exchange was mainly dependent on the available energy in the growing season. This result is comparable to the values (about 0.7 to 0.8) reported by McNaughton and Jarvis (1983) and Jarvis and McNaughton (1986) for grasslands and crops. With progression of the growing season,  $\Omega$  tended to decrease, indicating the grassland canopy tended to couple with the atmosphere and  $ET$  was much more regulated by the canopy surface conductance. Midday mean  $\Omega$  values diminished to about 0.7 during the flowering period and the

senescent period. This may be very possibly due to patch-like heterogeneity caused by abrupt growth for flowering of several species that made the canopy surface aerodynamically rougher. This point could also be explained in terms of the drop of canopy surface conductance in the later growing seasons as suggested by Steduto and Hsiao (1998a). Valentini et al. (1995) reported a similar seasonal pattern of  $\Omega$  factor for a serpentine grassland in which values of  $\Omega$  vary from 0.8 (poor couple) at an early growth stage to 0.1 (perfect couple) at a later growth stage.

The Priestley-Taylor coefficient ( $\alpha$ ) was calculated as the ratio of the residual of energy balance ( $LE = R_n - G - H$ ) to the equilibrium evapotranspiration. As shown in Figs. 29a and 30a, values of  $\alpha$  were constant in daytime except for mid-morning and late afternoon hours. Higher values of  $\alpha$  in the mid-morning may be due to evaporation of dew while those in the late afternoon may be caused by the sensible heat advection that increased evapotranspiration from the canopy surface (Monteith, 1995). Mean  $\alpha$  values were larger than unity throughout daytime, indicating that the ERC grassland was not water limited and the canopy-atmosphere water exchange was controlled by environmental factors other than soil moisture. Midday  $\alpha$  values at different growing periods are presented in Table 5.

As illustrated in Figs. 29a and 30a, dryness index ( $DI$ , expressed as  $LE/R_n$ , Smith et al., 1992) was lower in the morning and increased in the afternoon. The reverse pattern was observed for the Bowen ratio ( $\beta$ ).  $\beta$  approached peak values in the mid-morning, decreased first slowly, and then precipitously at around 16:00 JST. Daily values of  $DI$  generally ranged between 0.5 and 1.0; increase in  $DI$  in the afternoon hours means the deepening of water stress or a steeper VPD between the canopy surface and the air. The mid-morning higher values of  $\beta$  are consistent both with lower  $H$  due to low winds and with larger ET as a result of evaporation of dew. Lower values of VPD in the morning hours may also contribute to this pattern (Jarvis et al., 1997). The decline in  $\beta$  throughout the afternoon hours is reasonably attributable to asymmetrical or asynchronous decrease of  $H$  and  $LE$  caused, evidently, by the sensible heat advection



(Figs. 29a and 30a) (Kim and Verma, 1990a). The seasonal pattern of  $\beta$  presented in Table 2 indicates that  $\beta$  was lower after the canopy closed and increased with decrease of evaporative ability of grasses due to senescence. When the canopy entered the senescent period,  $\beta$  was generally greater than unity because a larger part of  $Q_n$  was partitioned into  $H$ .

Cloudiness could modulate the daily course of the available energy-partitioning pattern. The sensible heat advection was not clear on very cloudy days largely because of the lack of apparent water stress on those whole days due to diminishment of evapotranspiration (smaller solar energy gain).

Additionally, canopy architecture, which is indicated by LAI, affects evapotranspiration substantially. Evapotranspiration (ET) generally increases with LAI up to a value of *ca.* 3 when soil moisture is not limited (Rosenberg et al., 1983). For the present study, ET could increase with LAI before the canopy closed. Unfortunately, we could not test this prediction because of instrument malfunction. Since the canopy remained closed until the senescent period, it seemed that ET was not closely coupled to LAI, as shown in Table 1. The reason might be that ET was restricted not by soil moisture but by energy gain, as suggested by Stewart and Verma (1992). It may be also true that ET was controlled by effective LAI (or evaporative ability of grasses) rather than the apparent LAI estimated by the canopy analyzer for the period from the closed canopy through the senescence.

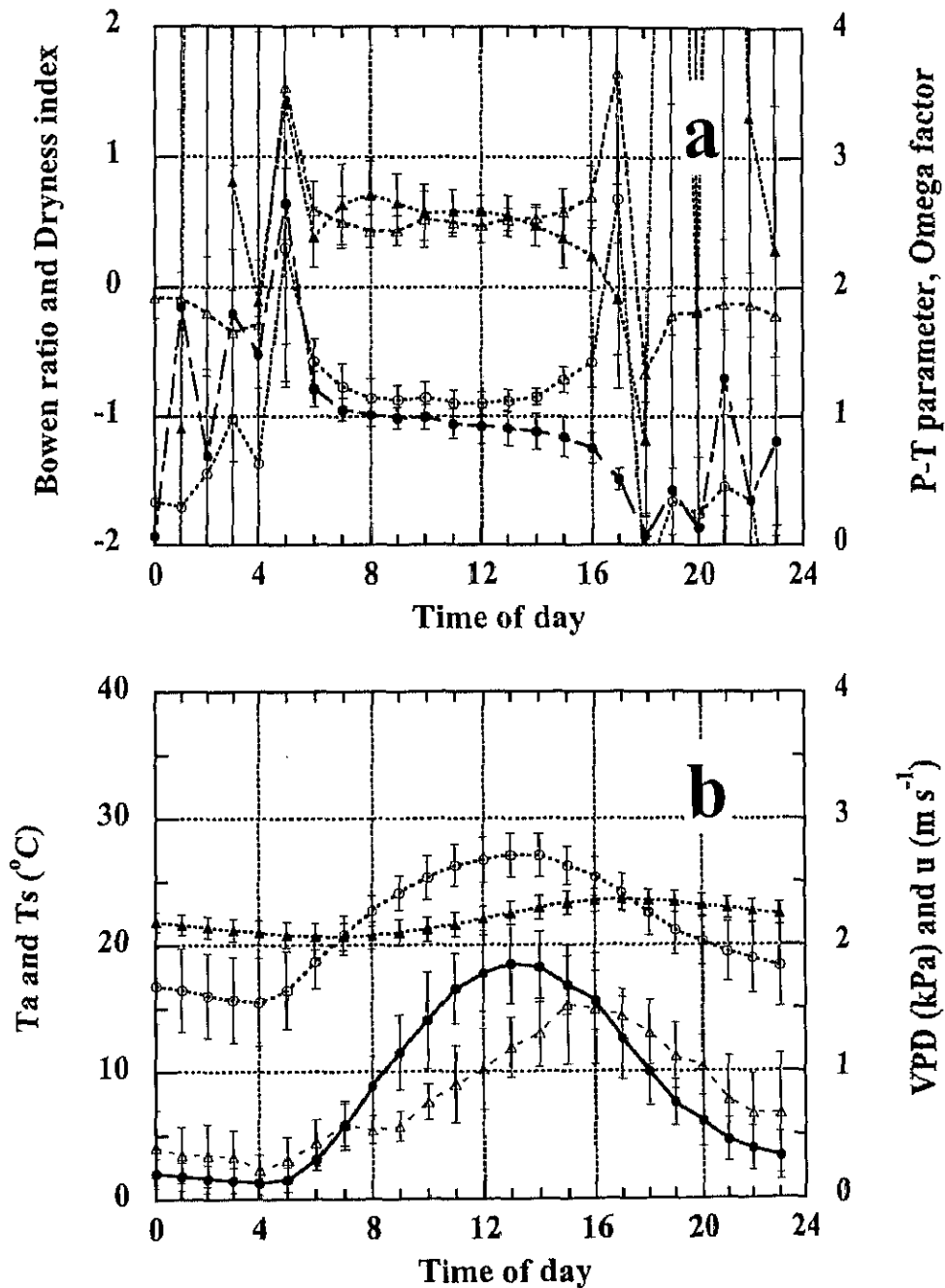


Figure 29. a: Daily variations in omega factor ( $\Omega$ , ●), the Priestley-Taylor coefficient ( $\alpha$ , P-T parameter, ○), the Bowen ratio ( $\beta$ , ▲), and dryness index ( $DI$ , △). b: Daily variations in air temperature at 1.6 m ( $T_a$ , ○), soil temperature at depth of 2 cm ( $T_s$ , ▲), vapor pressure deficit (VPD, ●), and wind speed at 1.6 m ( $u$ , △). Data are hourly averages over the selected days from DOY 151 to 159 during the rapid growth period. Vertical bars in the figure indicate standard deviations. Note that  $\Omega$  and  $\alpha$  were computed from the routine meteorological observations at the MOT.

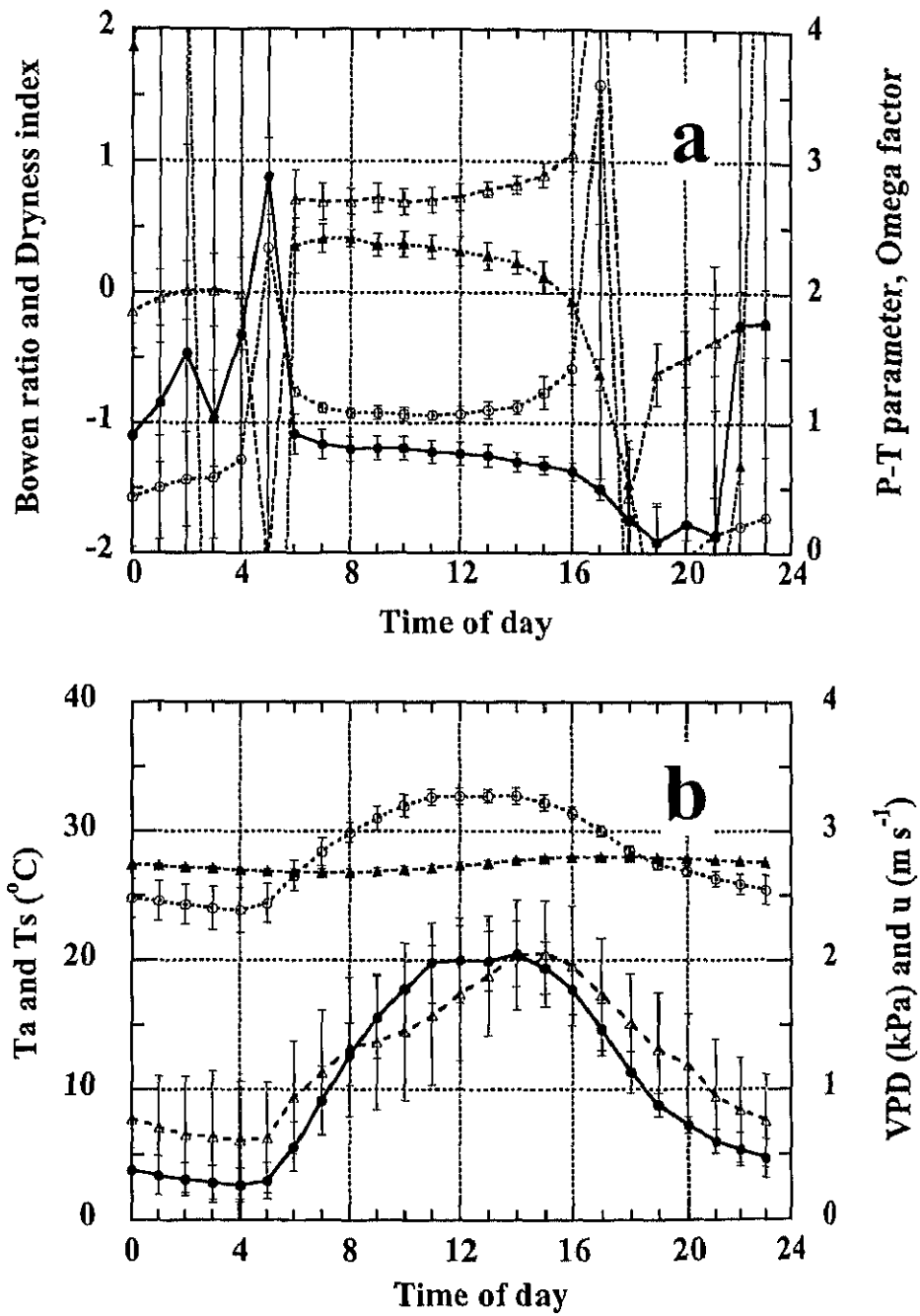


Figure 30. Same as Fig. 29 but for the selected days from DOY 204 to 216 during the closed canopy period.

Table 5 Mean values of leaf area index (LAI), the Bowen ratios ( $\beta$ ), midday (09:00-14:00 JST) mean values of omega factor ( $\Omega$ ) and Priestley-Taylor coefficient ( $\alpha$ ), and midday mean aerodynamic conductances ( $g_a$ ), midday mean canopy surface conductances ( $g_c$ ) in various growth periods of the ERC grassland in 1999. Values in parentheses are standard deviations.

Period	DOY	LAI	$\beta$	$\Omega$	$\alpha$	$g_a$	$g_c$	$g_s$
						$\text{mm s}^{-1}$		
Prior to canopy closure	151-159 (8d)	2.13	0.60	0.91 ( $\pm 0.07$ )	1.10 ( $\pm 0.07$ )	10.5 ( $\pm 2.4$ )	18.8 ( $\pm 9.5$ )	11.2 ( $\pm 5.4$ )
Closed canopy	204-216 (13d)	5.25	0.32	0.77 ( $\pm 0.09$ )	1.08 ( $\pm 0.05$ )	31.9 ( $\pm 9.8$ )	21.9 ( $\pm 8.1$ )	5.3 ( $\pm 2.0$ )
Closed canopy	227-235 (9d)	5.50	0.38	0.84 ( $\pm 0.05$ )	1.13 ( $\pm 0.14$ )	22.5 ( $\pm 7.2$ )	23.3 ( $\pm 6.0$ )	5.3 ( $\pm 1.4$ )
Closed canopy (Cloudy days)	236-240 (5d)	5.50	0.46	0.87 ( $\pm 0.06$ )	1.17 ( $\pm 0.08$ )	18.7 ( $\pm 3.9$ )	30.2 ( $\pm 12.6$ )	6.8 ( $\pm 2.8$ )
Closed canopy	241-255 (15d)	5.50	0.59	0.80 ( $\pm 0.07$ )	1.10 ( $\pm 0.15$ )	21.4 ( $\pm 7.1$ )	19.9 ( $\pm 6.8$ )	4.5 ( $\pm 1.5$ )
Flowering	271-290 (11d)	5.37	1.17	0.70 ( $\pm 0.10$ )	0.97 ( $\pm 0.10$ )	18.8 ( $\pm 7.7$ )	11.9 ( $\pm 3.8$ )	2.7 ( $\pm 0.9$ )
Flowering (Cloudy days)	271-290 (8d)	5.37	0.80	0.88 ( $\pm 0.14$ )	1.35 ( $\pm 0.31$ )	12.8 ( $\pm 6.2$ )	28.9 ( $\pm 25.2$ )	6.7 ( $\pm 5.9$ )
Senescence	291-304 (8d)		1.20	0.68 ( $\pm 0.14$ )	1.03 ( $\pm 0.06$ )	20.1 ( $\pm 15.5$ )	9.6 ( $\pm 2.3$ )	2.4 ( $\pm 0.6$ )
Senescence	310-318 (8d)		1.76	0.67 ( $\pm 0.19$ )	1.01 ( $\pm 0.25$ )	15.3 ( $\pm 5.0$ )		

## 4.3 Determinants for the net canopy CO<sub>2</sub> flux density

### 4.3.1 $F_c$ in relation to ET

Because of their common dependence on incident energy (PPFD or  $Q_n$ ) and their same mechanisms to communicate with the air via stomata, we expected that  $F_c$  and ET be linearly related with a high coefficient of determination (Fig. 31). Diurnal trends in  $F_c$  and ET usually paralleled each other at the ERC grassland. Therefore, the factors determining ET regime would affect  $F_c$  at the same time. Omega factor ( $\Omega$ ) analysis for ET stated above might be extended to the case for  $F_c$ . Like ET,  $F_c$  was determined primarily by the  $Q_n$  throughout the growing season at the ERC grassland because midday  $\Omega$  values were generally greater than 0.7. On a daily basis, since midday  $\Omega$  values were higher than 0.5 in the morning and declined in the afternoon,  $F_c$  was mainly controlled by  $Q_n$  in the morning and was affected by other factors such as VPD and SHA other than  $Q_n$  in the afternoon.

The slope (3.46 mg CO<sub>2</sub> g<sup>-1</sup> H<sub>2</sub>O) of the linear relationship of  $F_c$  and ET can be considered to be a surrogate for the average WUE of the canopy for the entire measurement period. This value is fairly smaller than the midday mean value of WUE at different growth stages, which varied between 12 and 19 mg CO<sub>2</sub> g<sup>-1</sup> H<sub>2</sub>O (Table 4). This can be explained by the fact that WUE was rather small during the afternoon hours due to the combined effect of high VPD and SHA (Fig. 27), which resulted in more water consumption relative to the morning. The linear relationship between  $F_c$  and ET varies dependent on the growth stage. The slope was 4.45 ( $r^2 = 0.83$ ) for the rapid growth period, 3.62 ( $r^2 = 0.73$ ) for the early part of the closed canopy period, 4.40 ( $r^2 = 0.59$ ) for the later part of the closed canopy period, 3.92 ( $r^2 = 0.28$ ) for the flowering period, and 2.61 ( $r^2 = 0.46$ ) for the senescent period, respectively.

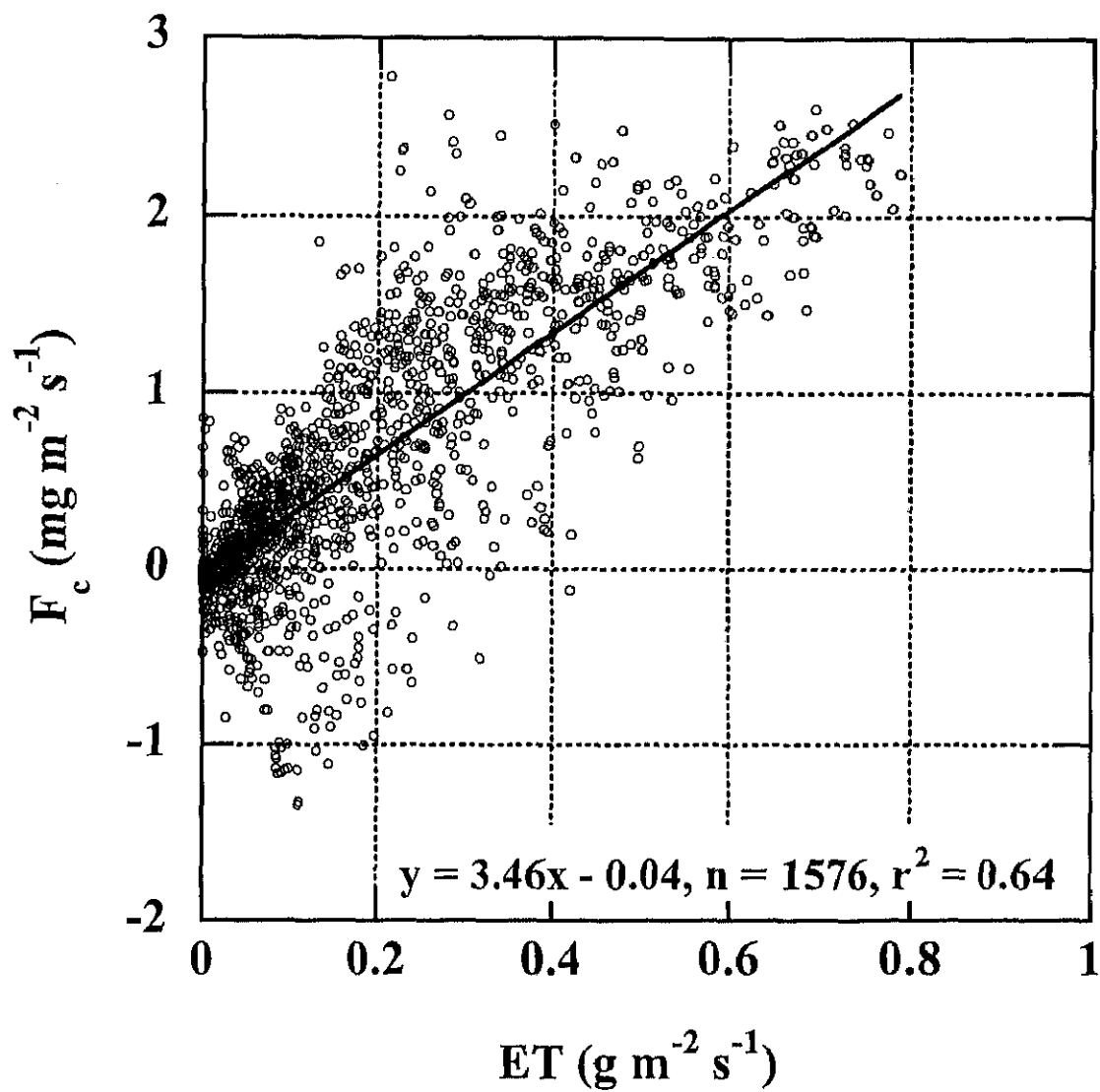


Figure 31. The relationship between net canopy  $\text{CO}_2$  flux ( $F_c$ ) and canopy evapotranspiration (ET) in daytime.  $F_c$  and ET were measured by the EC technique. The regression line is:  $F_c$  ( $\text{mg m}^{-2} \text{s}^{-1}$ ) =  $3.46 \text{ ET}$  ( $\text{g m}^{-2} \text{s}^{-1}$ ) -  $0.04$ ,  $n = 1576$ ,  $r^2 = 0.64$ .

### 4.3.2 Dependence of $F_c$ on canopy surface conductance

Canopy surface conductance ( $g_c$ ) is one of the major factors determining the partitioning of available energy over the canopy as well as the net canopy  $\text{CO}_2$  flux density,  $F_c$  (Jarvis and McNaughton, 1986; Monteith, 1995). Roles played by  $g_c$  in the partitioning of available energy were discussed through its coupling with omega factor (a higher value of omega factor is often associated with a higher conductance) in section 4.2. Here we present its effect on  $F_c$ .

Clear diurnal trends in canopy surface conductance,  $g_c$  and stomatal conductance,  $g_s$  (when  $Q_n > 0$ , the same hereafter) were observed (Fig. 32). The  $g_c$  and  $g_s$  generally approached their peak values in the mid-morning (07:00 to 10:00, JST) and declined with time throughout the remainder of the daytime. Higher values of  $g_c$  and  $g_s$  in the midmorning may be due to optimal water status within plant bodies as a result of sufficient absorption of water from the soil during the previous night. Lower values of  $g_c$  and  $g_s$  (partial stomatal closure) in the late afternoon may be attributable to higher atmospheric evaporative demand that exceeds water supply from the soil (occurrence of water stress) (McNaughton and Jarvis, 1983). Sensible heat advection (SHA) may stimulate stomatal closure (rapid decline in  $g_c$  and  $g_s$ ) through exasperating water stress due to its enhancement of evapotranspiration. SHA may also be responsible for inability of  $g_c$  recovery in the late afternoon. In addition, lower canopy surface conductance may be also related to lower solar radiation in the late afternoon (Kim et al., 1989). The diurnal patterns of  $g_c$  and  $g_s$  reported here are consistent with the observations of Grace et al. (1995b) for an Amazonia tropical forest, Miranda et al. (1997) for a cerrado savanna, and Steduto and Hsiao (1998a) for two maize canopies.

Diurnal patterns of  $g_c$  and  $g_s$  were independent of that of aerodynamic conductance,  $g_a$ , suggesting that they were controlled by different mechanisms in that  $g_c$  and  $g_s$  were mainly controlled by VPD while  $g_a$  was closely coupled with wind and aerodynamic

roughness of the canopy.  $g_a$  ranged from 10 to 40  $\text{mm s}^{-1}$  in daytime and generally peaked in the afternoon since both wind speed and VPD were higher then.  $g_a$  was generally lower (less than 10  $\text{mm s}^{-1}$ ) at night due to lower wind speeds and commonly stable conditions (Fig. 32).  $g_a$  was usually higher than  $g_c$ , especially in the afternoon (Fig. 32). Aerodynamic conductances for the ERC grassland were similar to those for agricultural crops and grasslands (20 to 50  $\text{mm s}^{-1}$ ) reported by Jarvis (1981).

The similar diurnal patterns for  $g_c$ ,  $g_s$  and  $g_a$  maintained throughout the growing season. However, they were often disrupted by cloudiness. Canopy conductance was generally higher on cloudy days than on clear days (Table 5). This may be primarily in response to decreasing VPD on cloudy days. Midday means of  $g_c$  and  $g_s$  decreased as the growing season progressed (Table 5). Seasonally,  $g_c$  varied from 9.6  $\text{mm s}^{-1}$  in the senescent period to 23.3  $\text{mm s}^{-1}$  in the early closed canopy period on clear days while  $g_s$  varied from 2.4  $\text{mm s}^{-1}$  in the senescent period to 11.2  $\text{mm s}^{-1}$  in the rapid growing period. We expected  $g_c$  in the late growing season to be governed by the photosynthetic capacity. Our results for  $g_c$  and  $g_s$  are comparable to the maximal canopy surface conductance ( $17.0 \pm 4.7 \text{ mm s}^{-1}$ ) and maximal stomatal conductance ( $8.0 \pm 4.0 \text{ mm s}^{-1}$ ) for temperate grassland, but smaller than the maximal canopy surface conductance ( $32.5 \pm 10.9 \text{ mm s}^{-1}$ ) and maximal stomatal conductance ( $11.0 \text{ mm s}^{-1}$ ) for crops (Kelliher et al., 1995). A range of 10 to 25  $\text{mm s}^{-1}$  of  $g_c$  was reported for a tallgrass prairie (FIFE) with maximal LAI = 3.2 (Kim and Verma, 1990a; Stewart and Verma, 1992).

Canopy surface conductance is sensitive to incident photosynthetic photon flux density (PPFD) and vapor pressure deficit (VPD) (Jarvis and McNaughton, 1986; Jones, 1992). Irrespective of the growth stage of the canopy, the responses of  $g_c$  to PPFD at various VPDs seemed to be described by an exponential relationship (Fig. 33) because the ERC grassland was not water-stressed throughout the growth season. Magnitude of  $g_c$  increase with increasing PPFD was dependent on VPD. Under lower VPD, the magnitude was higher (Fig. 33). The scatter in  $g_c$  vs. PPFD data was significant under lower PPFD and VPD, which may be associated with in situ microenvironmental



conditions (e.g. growth status of the grasses and water stress).

As stated above, both Bowen ratio and omega factor values declined in the late afternoon, which was consistent with trends of  $g_c$  and  $g_s$  but inverse to those for  $g_a$ ; therefore, eddy fluxes (latent heat and CO<sub>2</sub> flux densities) were very reasonably determined by  $g_c$  or  $g_s$  rather than  $g_a$ .  $F_c$  tended to increase with increase of  $g_c$ , but the magnitude of increase depended on air temperature regime (Fig. 34). As air temperature exceeded 30 °C,  $F_c$  was very sensitive to  $g_c$ .  $F_c$  increased as  $g_c$  increased to a critical value of *ca.* 25 mm s<sup>-1</sup> and it decreased as  $g_c$  exceeded that value. Responses of  $F_c$  to  $g_c$  also depended on VPD regime (Fig. 35). Increasing VPD seemed to enhance  $F_c$  although  $g_c$  was somewhat reduced. Effect of partial stomatal closure on  $F_c$  only occurred at relatively higher VPD values ( $\geq 2$  kPa). The ERC grassland was dominated by C4 grasses and experienced no water stress, so that higher  $g_c$  accompanied by higher air temperature and corresponding higher VPD may enhance atmospheric CO<sub>2</sub> fixation by the canopy.

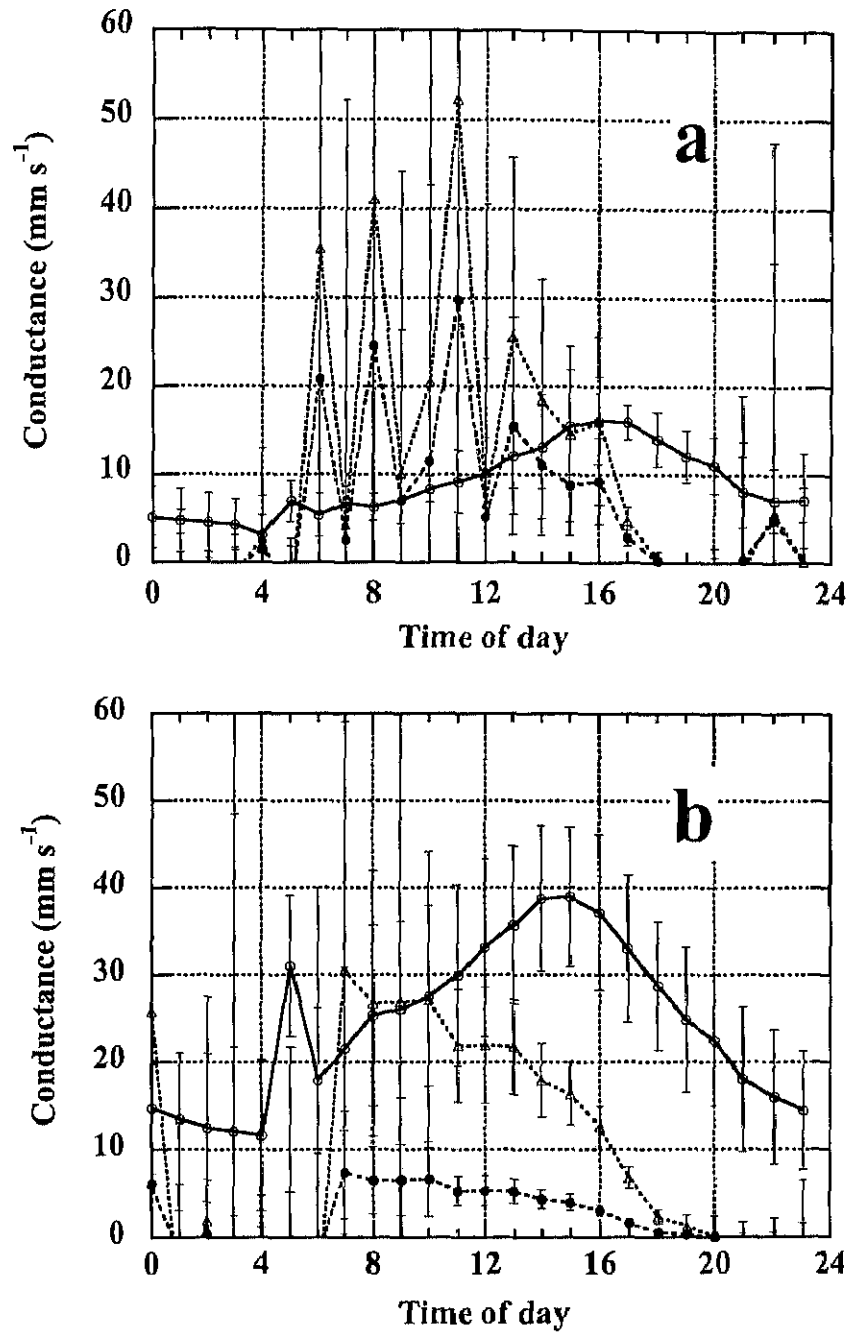


Figure 32. Diurnal trends in aerodynamic conductance ( $\circ$ ), canopy surface conductance ( $\triangle$ ) and stomatal conductance ( $\bullet$ ) for selected clear days. a: the period prior to canopy closure (DOY 151 to 159, 9 days, LAI = 2.13). b: the closed canopy period (DOY 204 to 216, 13 days, LAI = 5.25).

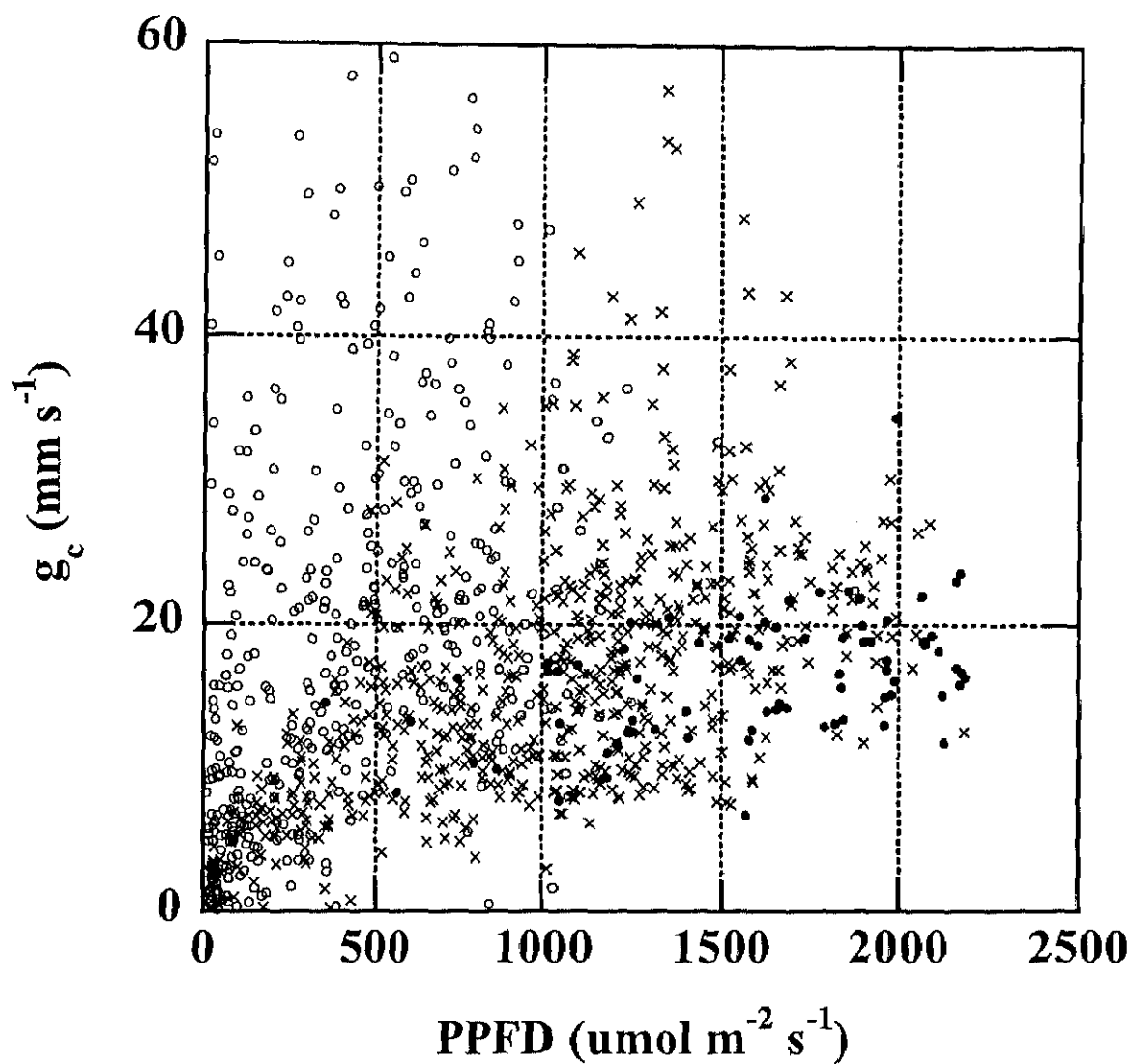


Figure 33. The relationship between canopy surface conductance ( $g_c$ ) and photosynthetic photon flux density (PPFD) with respect to vapor pressure deficit (VPD). Lines of best fit are  $g_c = 3.05\text{PPFD}^{0.31}$ ,  $n = 844$ ,  $r^2 = 0.19$  for  $VPD < 1 \text{ kPa}$  ( $\circ$ ),  $g_c = 0.50\text{PPFD}^{0.51}$ ,  $n = 648$ ,  $r^2 = 0.32$  for  $1 \leq VPD < 2 \text{ kPa}$  ( $\times$ ), and  $g_c = 1.02\text{PPFD}^{0.38}$ ,  $n = 84$ ,  $r^2 = 0.18$  for  $VPD \geq 2 \text{ kPa}$  ( $\bullet$ ).

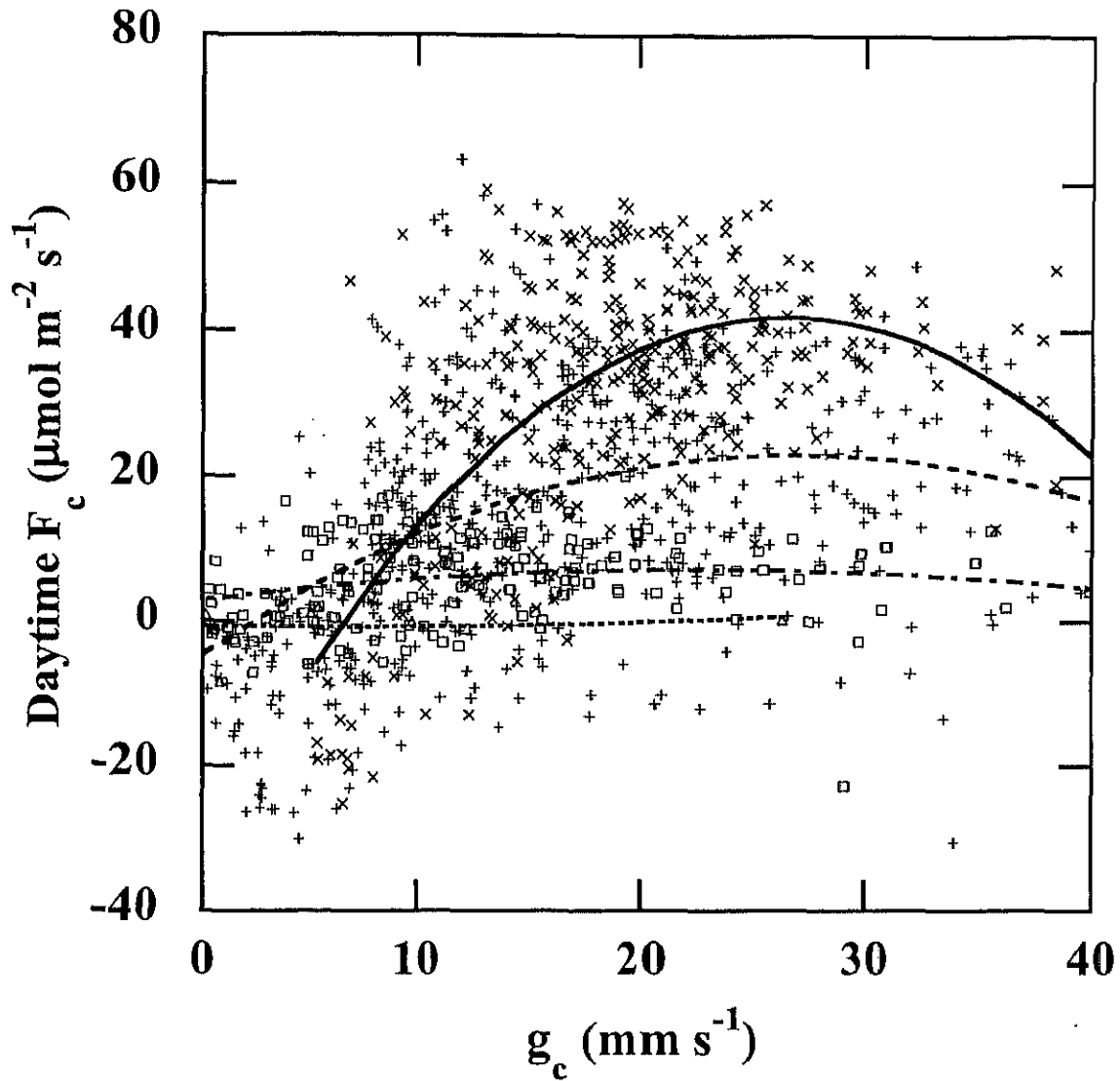


Figure 34. The relationship between the canopy CO<sub>2</sub> flux ( $F_c$ ) and the canopy surface conductance ( $g_c$ ) at various air temperature ranges ( $T_a$ ) at 1.6 m. Lines of best fit are  $F_c = -0.66 - 0.051 g_c + 0.0039 g_c^2$ ,  $n = 44$ ,  $r^2 = 0.01$  for  $T_a < 10^\circ\text{C}$  ( $\Delta$ );  $F_c = 2.85 + 0.377g_c - 0.0083g_c^2$ ,  $n = 391$ ,  $r^2 = 0.15$  for  $10 \leq T_a < 20^\circ\text{C}$  ( $\square$ );  $F_c = -4.68 + 2.049g_c + 0.0379g_c^2$ ,  $n = 819$ ,  $r^2 = 0.28$  for  $20 \leq T_a < 30^\circ\text{C}$  (+); and  $F_c = -32.35 + 5.594g_c - 0.1054g_c^2$ ,  $n = 322$ ,  $r^2 = 0.40$  for  $T_a \geq 30^\circ\text{C}$  ( $\times$ ); respectively.

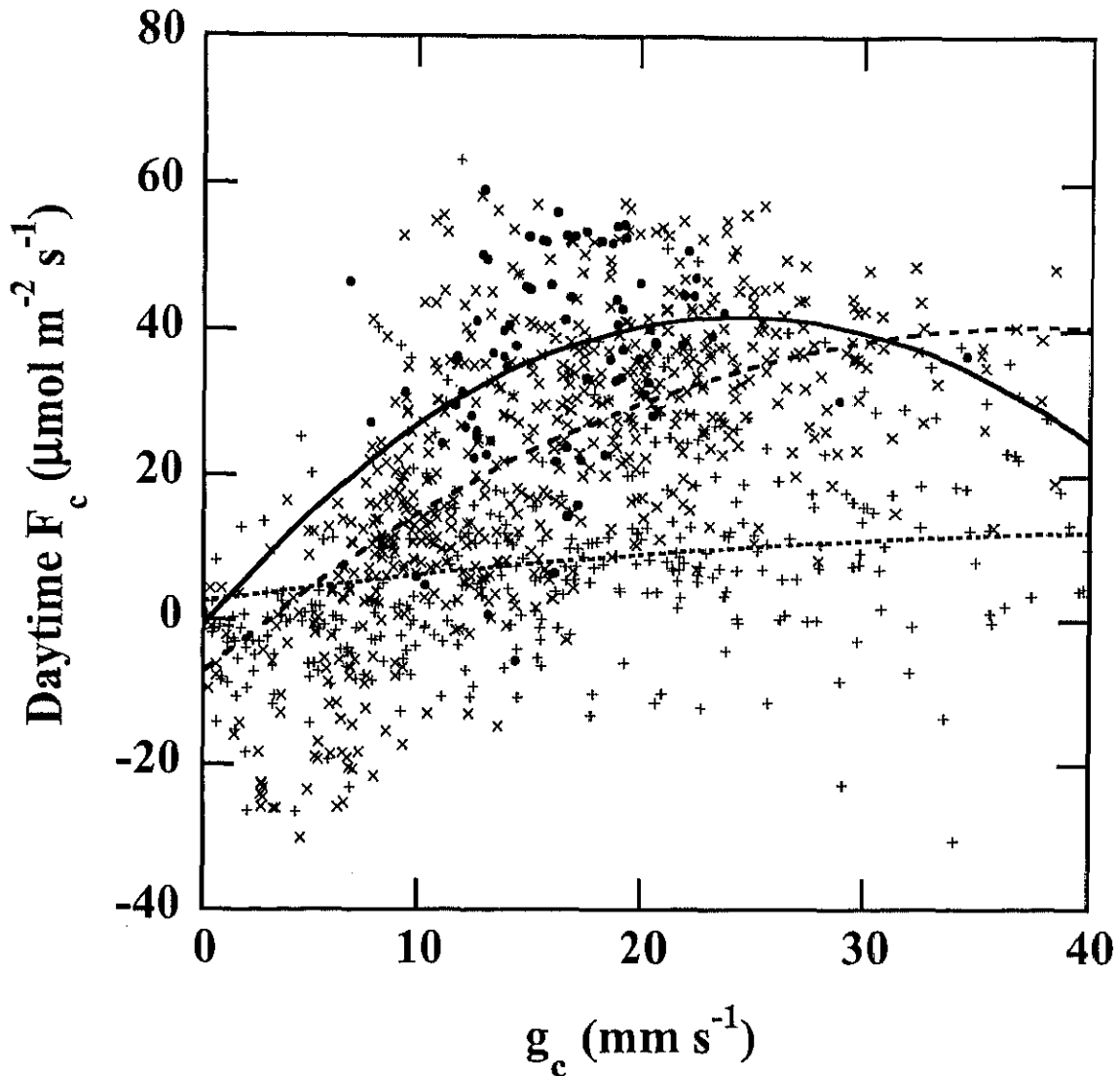


Figure 35. The relationship between the canopy CO<sub>2</sub> flux ( $F_c$ ) and the canopy surface conductance ( $g_c$ ) at various vapor pressure deficit ranges (VPD) at 1.6 m. Lines of best fit are  $F_c = 2.57 + 0.410g_c - 0.0036g_c^2$ ,  $n = 844$ ,  $r^2 = 0.16$  for VPD < 1 kPa (+);  $F_c = -7.17 + 2.520g_c - 0.0332g_c^2$ ,  $n = 648$ ,  $r^2 = 0.45$  for  $1 \leq \text{VPD} < 2$  kPa (x); and  $F_c = -0.67 + 3.485g_c - 0.0713g_c^2$ ,  $n = 84$ ,  $r^2 = 0.12$  for VPD  $\geq 2$  kPa (●).

### 4.3.3 Responses of $F_c$ to PPF

Photosynthetic photon flux density (PPFD) was the most important determinant for daytime net canopy CO<sub>2</sub> flux density (Ruimy et al., 1995). Fig. 36 plots the hourly averaged net canopy CO<sub>2</sub> flux density against incident PPF in daytime (PPFD > 0  $\mu$  mol m<sup>-2</sup> s<sup>-1</sup>) for the whole measurement period. Scatter in the  $F_c$ -light response curve for the entire growing period may be explained by in situ variations in the canopy structure (LAI and age) and microenvironmental variables ( $T_a$  and VPD) when the measurements were made (Figs. 37 to 39). The responses of  $F_c$  to incident PPF were fitted with a rectangular hyperbolic model (Thornley, 1976; Pattey et al., 1991)

$$F_c(\text{PPFD}) = \alpha \text{PPFD} / (1 + \text{PPFD}[\alpha / (F_{c2000} + R_d) - 1/2000]) - R_d \quad (23)$$

where  $\alpha$  is the initial slope of the fitted  $F_c$ -PPFD curve, usually referred to as the apparent light-use efficiency (Jones, 1992);  $F_{c2000}$  is the CO<sub>2</sub> flux density at PPF = 2000  $\mu$  mol m<sup>-2</sup> s<sup>-1</sup>, a surrogate for the maximal net canopy CO<sub>2</sub> flux density; and  $R_d$  is the hypothetical mean dark respiration at PPF = 0.  $F_c$  increased hyperbolically with PPF. The coefficients of determination for this rectangular hyperbolic relationship are large implying that  $F_c$  over the ERC grassland is driven principally by PPF (Table 4). The hyperbolic relationship between  $F_c$  and PPF maintained throughout the growing season.

Because no water stress occurred at the ERC grassland,  $F_c$  did not display a tendency to saturate up to PPF levels of 2000  $\mu$  mol m<sup>-2</sup> s<sup>-1</sup>, indicating that relatively higher levels of PPF were required for light saturation in this well-watered grassland. In addition, with increasing solar radiation and wind speed, more PPF will go inside the closed canopy where leaves are generally not light-saturated. This may also contribute to light-unsaturation for the grassland. The peak values of daytime  $F_c$  at

PPFD =  $2000 \mu \text{ mol m}^{-2} \text{ s}^{-1}$  appeared at the early closed canopy stage; thereafter  $F_c$  decreased as the grasses aged. The hypothetical mean dark respiration at PPFD = 0 ( $R_d$ ) at the different growth stages was similar in magnitude to the median  $F_c$  values obtained at night with the EC technique (Table 4).

The initial slope was relatively constant (more than  $0.05 \mu \text{ mol CO}_2 \mu \text{ mol}^{-1} \text{ PPFD}$ ) prior to the senescent period and dropped to less than  $0.02 \mu \text{ mol CO}_2 \mu \text{ mol}^{-1} \text{ PPFD}$  in the senescent period. The initial slope, the ratio of estimated mean dark respiration to daytime  $F_c$  at PPFD =  $2000 \mu \text{ mol m}^{-2} \text{ s}^{-1}$ , and the canopy light compensation point varied depending on the growth stages and generally increased with increasing air temperature and VPD (Table 6). This point confirms that the canopy gains carbon from the atmosphere under higher  $T_a$  and VPD regime at the expense of a relatively large respiratory loss. This is because higher VPD values generally render partial or complete closure of stomata leading to a decrease of  $\text{CO}_2$  assimilation whereas higher temperatures may be more favorable to respiration (Kim and Verma, 1990b). Actually, effects from both VPD and temperature could not be readily separated owing to their close coupling. Note that the initial slope ( $\alpha$ ) values estimated from the hyperbolic model were larger than observed for other types of canopy (e.g. Baldocchi, 1994b; Friberg et al., 1997; Hollinger et al., 1994, 1998; Ruimy et al., 1995). This difference may be caused by the curvilinear nature of the  $F_c$ -PPFD response curve (Baldocchi et al., 1987) because linear regression analysis of the  $F_c$ -PPFD curves under lower PPFD regime gives very different results for  $\alpha$  than did the rectangular hyperbolic model (Table 8); the linear fits were similar to reported values in the literature.

Estimated mean respiration rate ( $R_d = -6.78 \mu \text{ mol m}^{-2} \text{ s}^{-1}$ , Table 6) from the  $F_c$ -PPFD curve when PPFD = 0 for the entire measurement period is compatible to those measured during the nighttime suggesting ( $R_N = -5.90 \mu \text{ mol m}^{-2} \text{ s}^{-1}$  when  $T_a = 20^\circ \text{C}$ , Table 7) that we can use daytime  $F_c$  to get a reasonable estimates of the nighttime  $F_c$  (Ruimy et al., 1995).

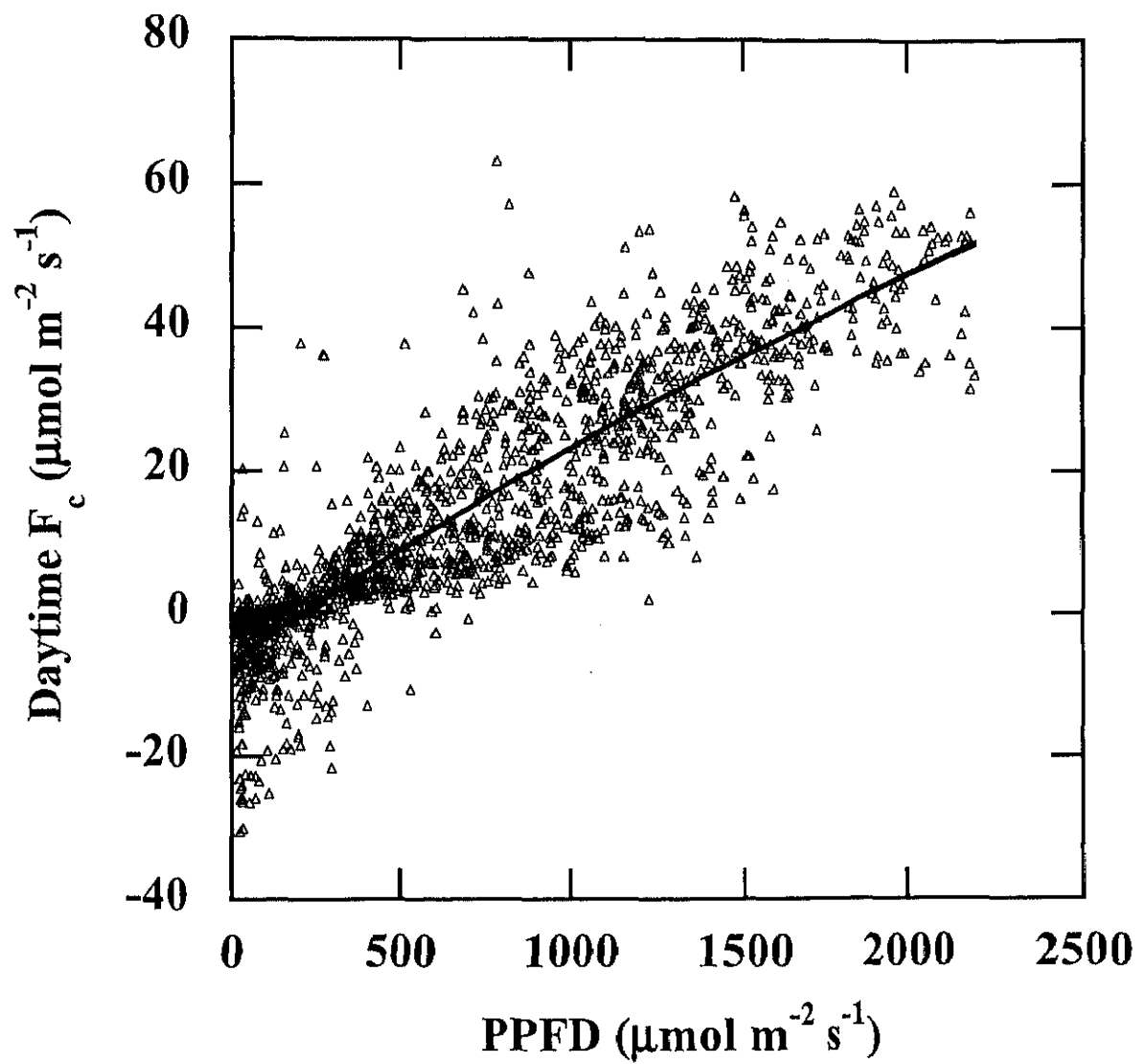


Figure 36. Relationships between net canopy CO<sub>2</sub> flux ( $F_c$ ) and photosynthetic photon flux density (PPFD) for the entire measurement period. The data were fitted to a hyperbolic model and the best-fit model parameters are given in Table 6.



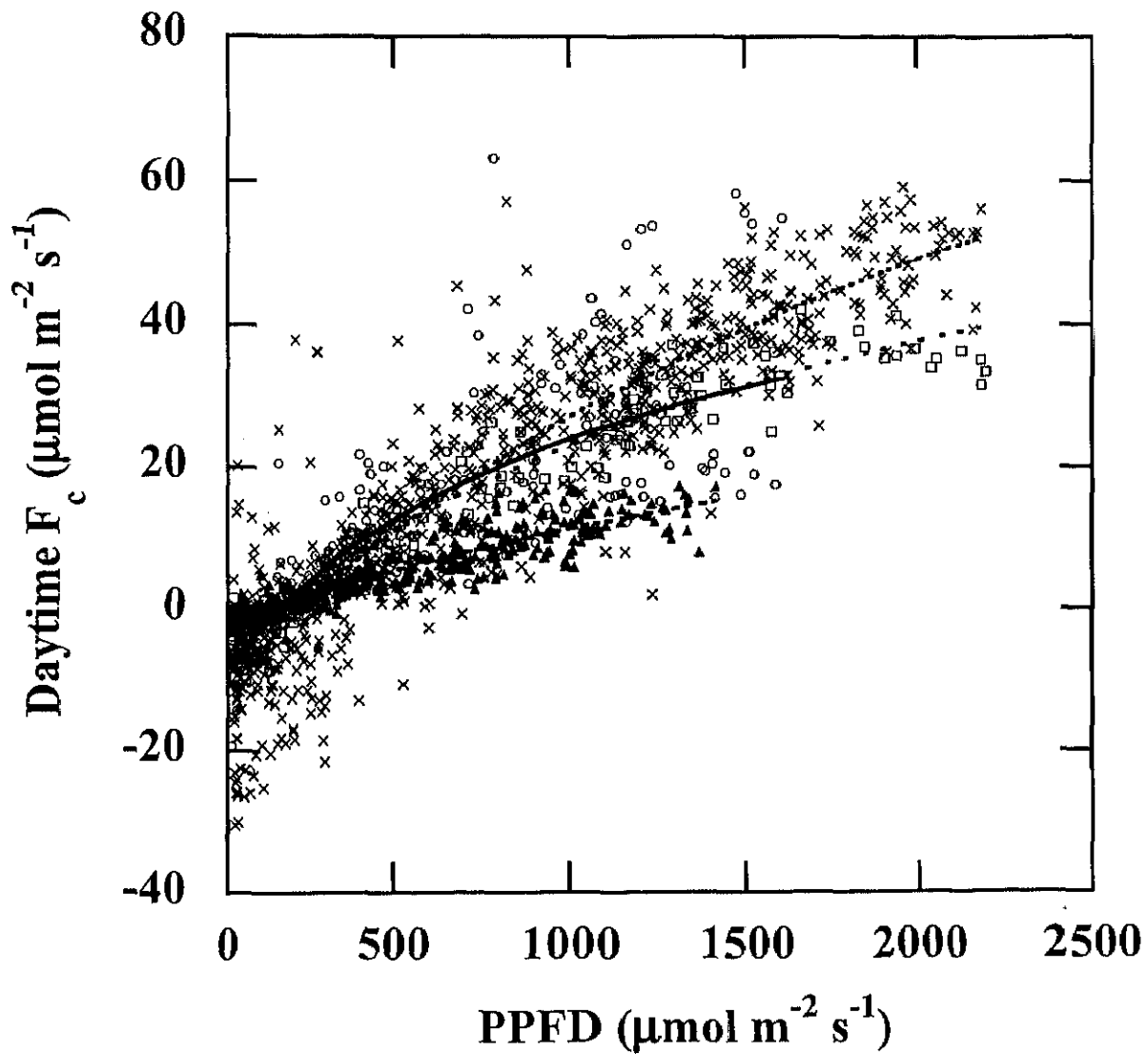


Figure 37. Relationships between net canopy CO<sub>2</sub> flux ( $F_c$ ) and photosynthetic photon flux density (PPFD) for various growth stages of the ERC grassland: The rapid growth period ( $\square$ ), the closed canopy period ( $\times$ ), the flowering period ( $\circ$ ), and the senescent period ( $\blacktriangle$ ). The data were fitted to a hyperbolic model and the best-fit model parameters are given in Table 6.

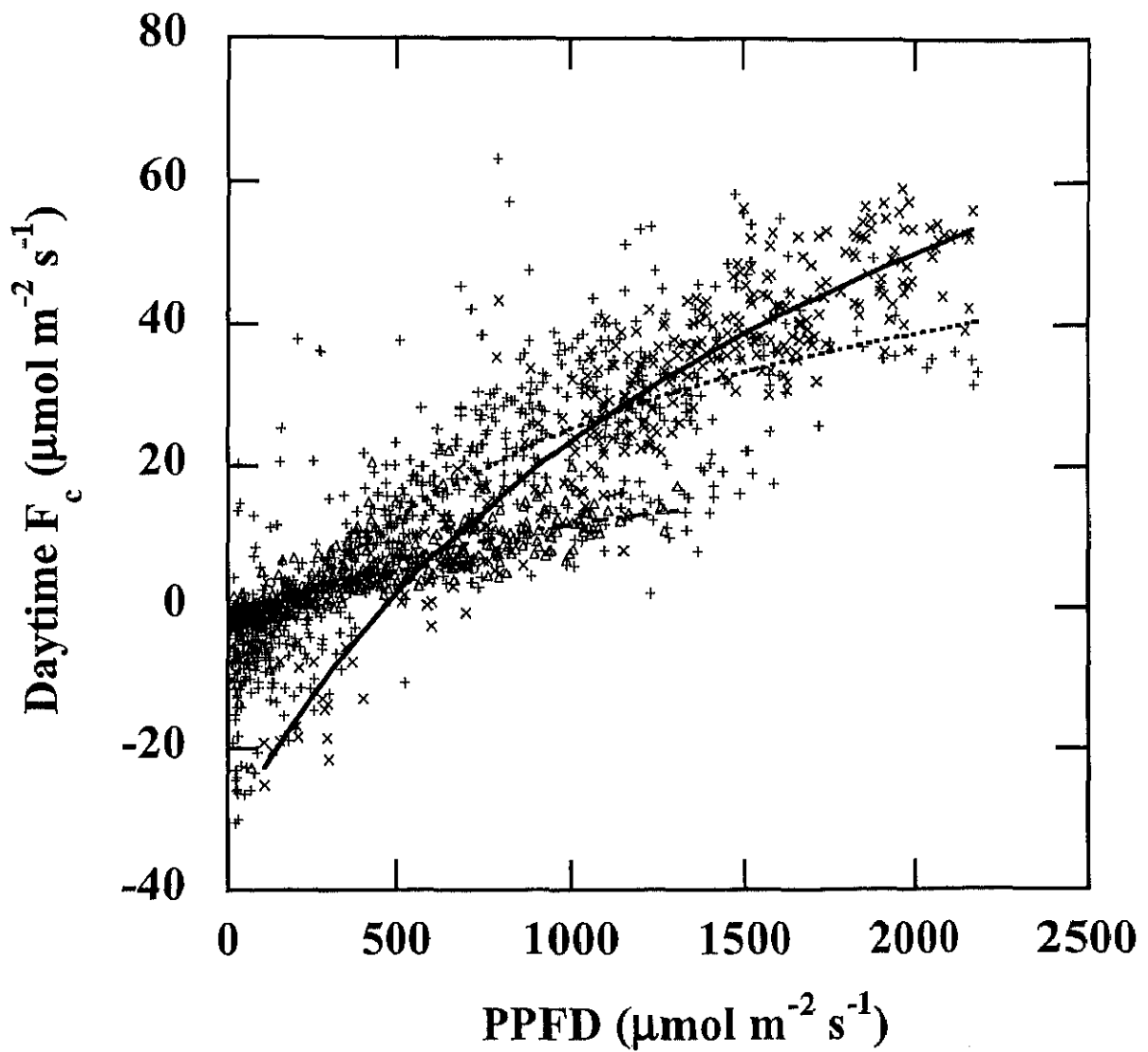


Figure 38. Relationships between net canopy CO<sub>2</sub> flux ( $F_c$ ) and photosynthetic photon flux density (PPFD) under different air temperatures ( $T_a$ ),  $T_a < 10$  °C (○),  $10 \leq T_a < 20$  °C (△),  $20 \leq T_a < 30$  °C (+), and  $T_a \geq 30$  °C (×). The data were fitted to a hyperbolic model and the best-fit model parameters are given in Table 6.

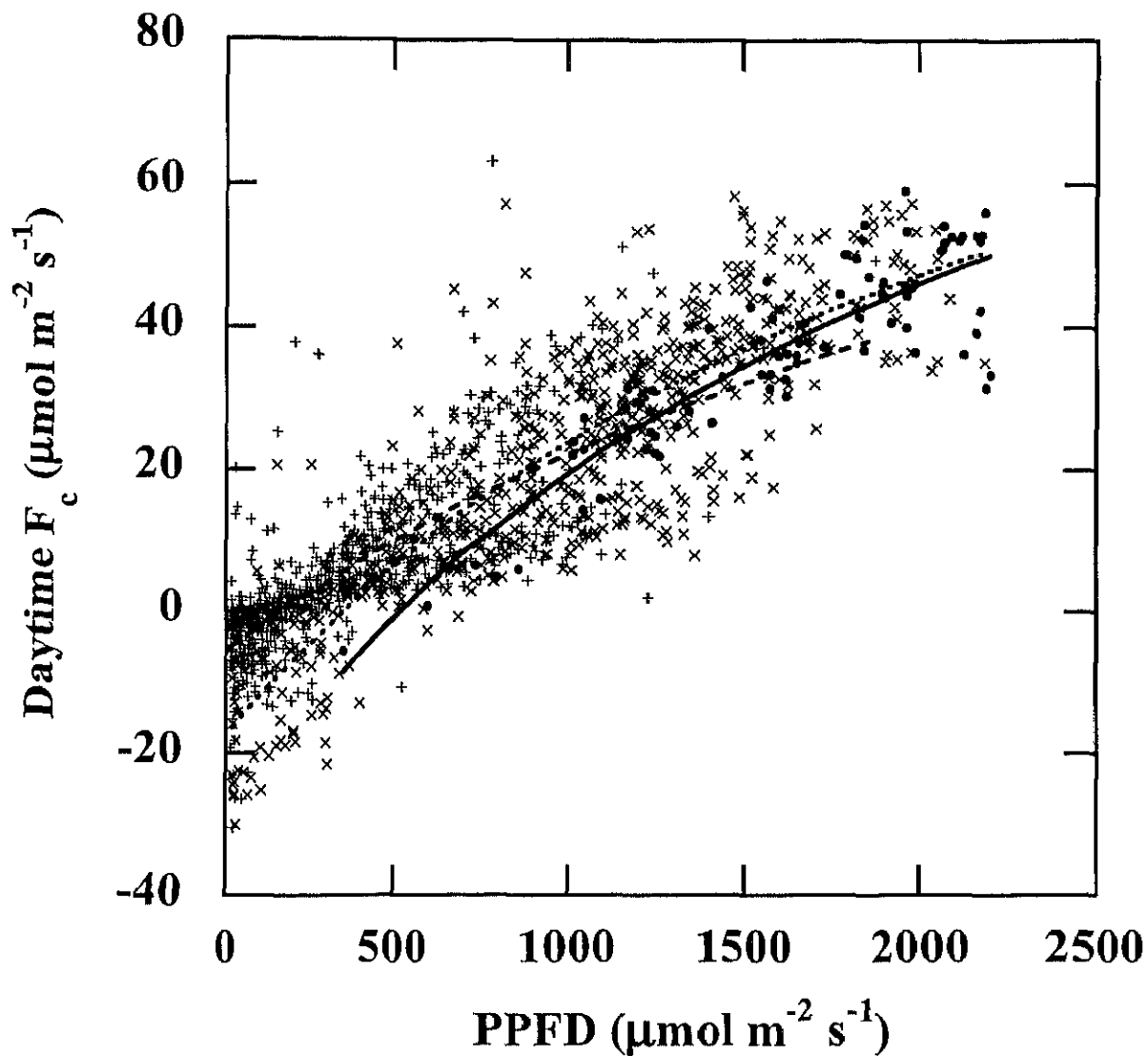


Figure 39. Relationships between net canopy CO<sub>2</sub> flux ( $F_c$ ) and photosynthetic photon flux density (PPFD) under different vapor pressure deficits (VPD), VPD < 1 kPa (+),  $1 \leq$  VPD < 2 kPa (x), and VPD  $\geq$  2 kPa (●). The data were fitted to a hyperbolic model and the best-fit model parameters are given in Table 6.

Table 6 Characteristics of the rectangular hyperbolic responses of hourly net canopy CO<sub>2</sub> flux ( $F_c$ ) to incident hourly photosynthetic photon flux density (PPFD) in the daytime.  $\alpha$ , the initial slope in mol CO<sub>2</sub> mol<sup>-1</sup> photon;  $F_{c2000}$ ,  $F_c$  at PPFD = 2000  $\mu$  mol m<sup>-2</sup> s<sup>-1</sup>;  $R_d$ , the mean dark respiration estimated from the  $F_c$ -PPFD curve;  $\eta$ , the  $R_d$ -to- $F_{c2000}$  ratio;  $n$ , numbers of observations;  $r^2$ , the coefficient of determination; and LCP, the estimated canopy light compensation point. The same data were separately grouped according to the growth stages, air temperature regime ( $T_a$ ) and vapor pressure deficit (VPD).

Treatment	LAI	$\alpha$ mol mol <sup>-1</sup>	$F_{c2000}$ $\mu$ mol m <sup>-2</sup> s <sup>-1</sup>	$R_d$ $\mu$ mol m <sup>-2</sup> s <sup>-1</sup>	$\eta$	$n$	$r^2$	LCP $\mu$ mol m <sup>-2</sup> s <sup>-1</sup>
Rapid growth period	2.13	0.047±0.004	37.72±0.79	-7.48±0.80	0.20	133	0.94	174.1
Closure period	5.50	0.052±0.003	49.13±0.83	-11.15±0.76	0.23	846	0.83	232.5
Flowering period	5.37	0.058±0.013	36.21±2.84	-8.25±1.83	0.23	220	0.62	160.6
Senescence period		0.018±0.002	19.58±1.06	-2.45±0.29	0.13	377	0.83	142.3
Entire growth period	2.1-5.5	0.033±0.002	47.69±0.80	-6.78±0.47	0.14	1576	0.78	210.0
$T_a < 10^\circ\text{C}$	2.1-5.5	0.036±0.022	10.16±4.55	-3.70±1.20	0.36	44	0.54	131.0
$10 \leq T_a < 20^\circ\text{C}$	2.1-5.5	0.033±0.005	16.73±1.35	-4.10±0.57	0.25	391	0.62	143.6
$20 \leq T_a < 30^\circ\text{C}$	2.1-5.5	0.067±0.006	38.83±1.17	-11.13±0.90	0.29	819	0.71	193.1
$T_a \geq 30^\circ\text{C}$	2.1-5.5	0.083±0.009	50.07±0.74	-30.95±2.73	0.62	322	0.87	463.5
VPD < 1 kPa	2.1-5.5	0.035±0.003	40.36±3.10	-5.51±0.54	0.14	844	0.59	164.2
$1 \leq \text{VPD} < 2$ kPa	2.1-5.5	0.057±0.005	47.38±1.12	-17.53±1.49	0.37	648	0.75	348.0
VPD $\geq 2$ kPa	2.1-5.5	0.070±0.027	46.21±0.97	-29.93±11.07	0.65	84	0.81	521.0

#### 4.3.4 Nighttime $F_c$

The canopy emits CO<sub>2</sub> to the atmosphere due to respiration from plants and soil throughout the day. On a daily basis, net carbon losses from the canopy accounted for about 20 to 65 % of net carbon gains from the air during the growing season (Table 4). Therefore, a better understanding of the nighttime  $F_c$  is essential for understanding the canopy-atmosphere carbon exchange (Baldocchi et al., 1997b; Ryan et al., 1997).

Generally, dark respiration of the canopy is dependent on temperatures (Fig. 40) and can be evaluated with the observed nighttime  $F_c$  (Ruimy et al., 1995). We employed an exponential function (Hollinger et al., 1994) to describe the responses of nighttime  $F_c$  to temperatures when wind speed was higher than 0.5 m s<sup>-1</sup>

$$R_N = R_{N0} Q_{10}^{(T - T_0)/10} \quad (24)$$

where  $R_N$  is canopy nighttime respiration (net canopy CO<sub>2</sub> flux density at night);  $R_{N0}$  is the respiration at a reference temperature  $T_0$ ;  $Q_{10}$  is the temperature coefficient, the respiration rate change with a 10 °C increase in temperature. Because of shading by the plants and mulching by the litter, variations in soil temperature ( $T_s$ ) were usually lower than those in air temperature ( $T_a$ ). In this case, dark respiration may be more affected by  $T_a$  than  $T_s$ , at least for plant respiration (Table 7). The  $Q_{10}$  was 4.9 when calculated with  $T_a$ , and 3.9 when calculated using soil temperature (Table 7). The values of  $Q_{10}$  varied depending on the growth stage of the canopy. Under higher VPD and  $T_a$ , generally during the closed canopy period, the canopy dark respiration increased substantially with air temperature with a  $Q_{10}$  value of 7.7. Nighttime  $F_c$  was poorly related to  $T_a$  ( $r^2 = 0.01$ ) during the senescent period presumably because of poor fetch.  $Q_{10}$  for  $T_a$  for the whole measurement period, 4.9, was similar to the  $Q_{10}$  value of 4.6 reported by Valentini et al. (1995) for a serpentine grassland.

A positive linear relationship existed between nighttime net CO<sub>2</sub> flux density and sensible heat flux density (Fig. 41), indicating that atmospheric turbulent events at night may affect nighttime  $F_c$  measurements over the canopy by EC technique (Hollinger et al., 1994; Kelliher et al., 1999; Lee et al., 1997, 1999).

Estimated values of the canopy respiration ( $R_d$ ) from the daytime  $F_c$ -PPFD curves when PPFD = 0 were slightly larger than those ( $R_N$ ) from the nighttime  $F_c$ - $T$  curves when wind speed was higher than 0.5 m s<sup>-1</sup> (Tables 6 and 7). This is due to the fact that the EC technique usually underestimates fluxes in stable conditions (Baldocchi et al., 1997b; Goulden et al., 1996b; Hollinger et al., 1994; Jarvis et al., 1997). Nevertheless, we may use either  $R_d$  or  $R_N$  to estimate soil and plant respiration.

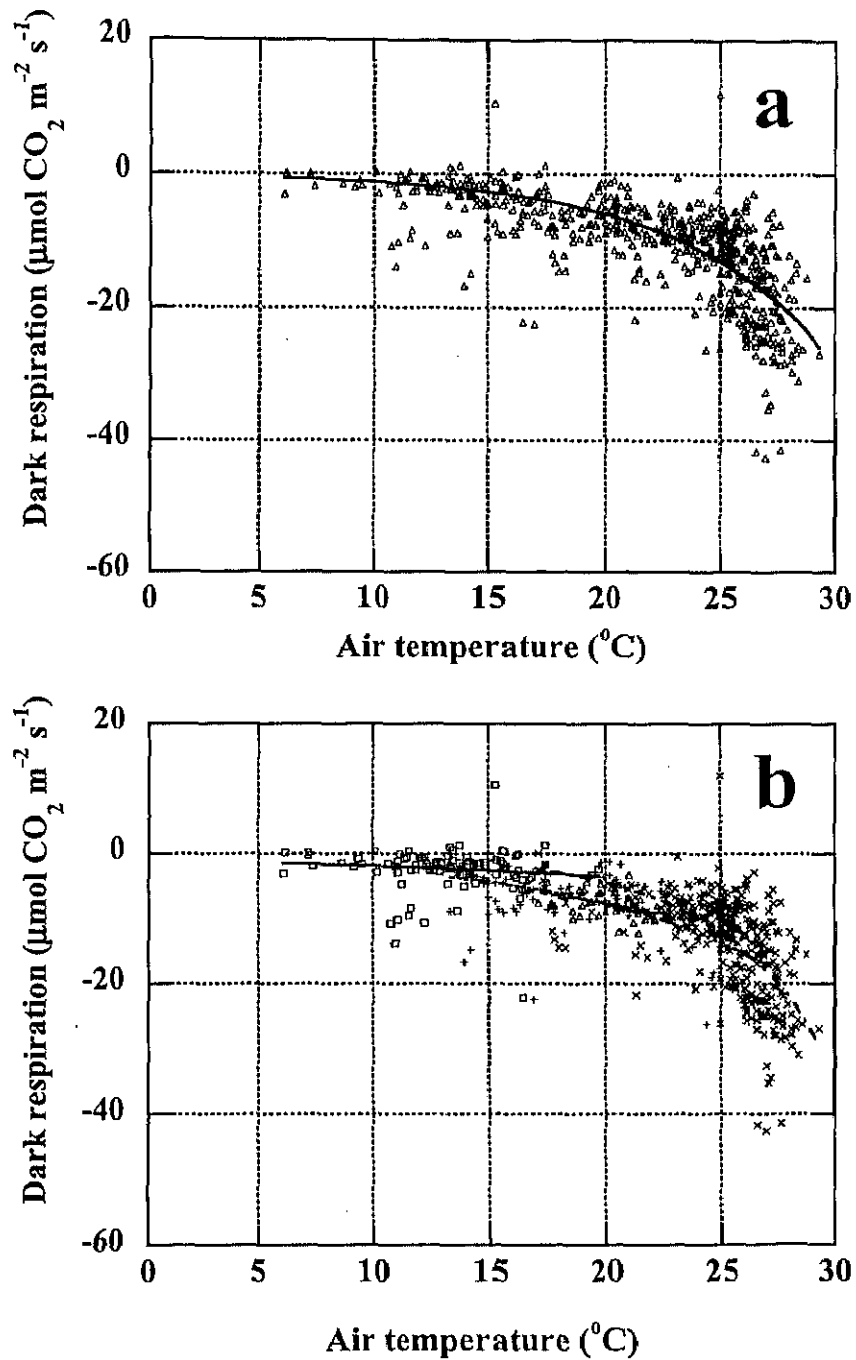


Figure 40. Relationships between nighttime net canopy  $\text{CO}_2$  flux ( $F_c$ ) and air temperature ( $T_a$ ). a: For the entire measurement period. b: For the rapid growth period ( $\triangle$ ), the closed canopy period ( $\times$ ), the flowering period ( $+$ ), and the senescent period ( $\square$ ). The data were fitted to an exponential model and the best-fit model parameters are given in Table 7.

Table 7 Summary of nighttime net canopy CO<sub>2</sub> flux ( $F_c$ ) in response to temperature ( $T$  in °C) in terms of an exponential function when wind speeds were greater than 0.5 m s<sup>-1</sup>.  $Q_{10}$ , the temperature coefficient;  $R_N$  in  $\mu$  mol m<sup>-2</sup> s<sup>-1</sup>, the mean dark respiration estimated from the nighttime  $F_c$ - $T$  curve;  $n$ , numbers of observations;  $r^2$ , the coefficient of determination;  $T_a$ , 1.6-m air temperature; and  $T_s$ , 2-cm soil temperature.

Growth stage	LAI	$Q_{10}$	$R_N(T=10)$	$R_N(T=20)$	$R_N(T=30)$	$n$	$r^2$
Rapid growth period ( $T_a$ )	2.13	2.4 ± 0.5	-3.21 ± 0.71	-7.63 ± 0.26	-18.14 ± 3.56	50	0.27
Closure period ( $T_a$ )	5.50	7.7 ± 1.2	-0.57 ± 0.14	-4.40 ± 0.42	-33.99 ± 2.02	400	0.37
Flowering period ( $T_a$ )	5.37	3.2 ± 0.6	-2.43 ± 0.56	-7.71 ± 0.53	-24.45 ± 4.74	94	0.24
Senescence period ( $T_a$ )		1.9 ± 1.4	-1.89 ± 0.66	-3.52 ± 1.70	-6.56 ± 7.86	99	0.01
Entire growth period ( $T_a$ )	2.1–5.5	4.9 ± 0.4	-1.20 ± 0.16	-5.90 ± 0.31	-28.95 ± 1.18	643	0.52
Entire growth period ( $T_s$ )	2.1–5.5	3.9 ± 0.5	-1.26 ± 0.24	-4.97 ± 0.41	-19.64 ± 0.84	643	0.33



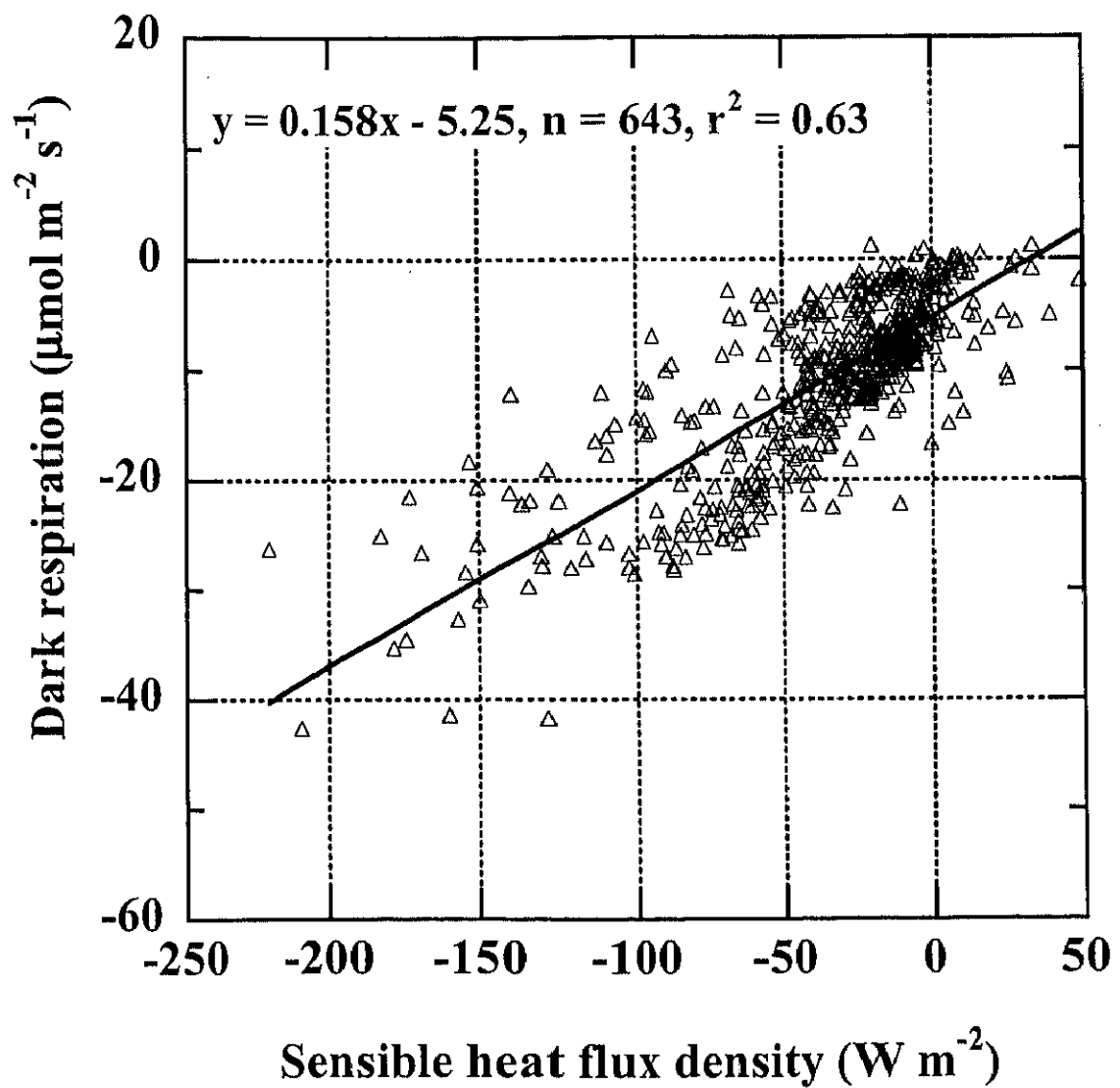


Figure 41. The relationship between nighttime net canopy  $\text{CO}_2$  flux ( $F_c$ ) and the sensible heat flux density ( $H$ ). The best-fit line is  $F_c (\mu \text{mol m}^{-2} \text{s}^{-1}) = 0.158H (\text{W m}^{-2}) - 5.25$ ,  $n = 643$ ,  $r^2 = 0.63$ .

### 4.3.5 Water use efficiency and its affecting factors

Generally, WUE of the canopy is associated with PPFD and VPD under well-watered conditions (Baldocchi et al., 1985; Zur and Jones, 1984). Under lower PPFD regime ( $PPFD < 800 \mu \text{ mol m}^{-2} \text{ s}^{-1}$ ), WUE was relatively independent of PPFD (Fig. 42a). The canopy, however, used water more efficiently under lower PPFD and low VPD. When PPFD was larger than  $1000 \mu \text{ mol m}^{-2} \text{ s}^{-1}$ , WUE tended to decrease with increase of PPFD. This may be caused by higher water losses from the soil and plants due to higher VPD. WUE tended to decrease with increase in VPD. As VPD exceeded 2 kPa, WUE was markedly decreased (Fig. 42b). This may be due to VPD-induced partial stomatal closure, which may lead to a lower  $F_c$  while ET was still relatively high due to a strong dependence of ET on VPD (Jarvis and McNaughton, 1986). Baldocchi (1994b) reported similar linear responses of WUE to VPD for a wet wheat canopy.

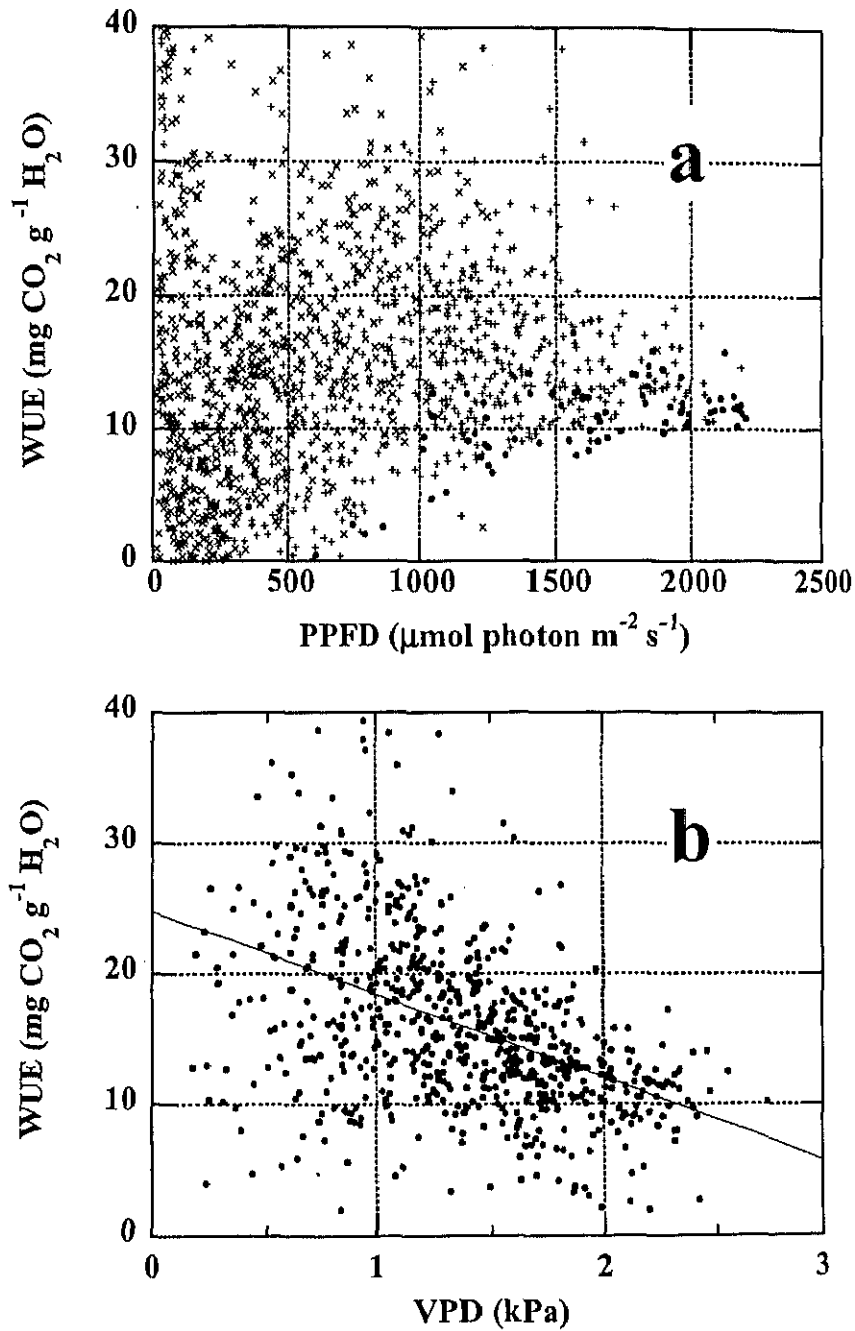


Figure 42. a: The relationship between water use efficiency (WUE) and photosynthetic photon flux density (PPFD). Data are grouped according to vapor pressure deficit (VPD): VPD < 1 kPa (O),  $1 \leq \text{VPD} < 2$  kPa (+), and VPD  $\geq 2$  kPa (●). b: The relationship between WUE and VPD. Only data when solar radiation was larger than  $300 \text{ W m}^{-2}$  are included, and the regression line is  $\text{WUE} = 24.77 - 6.36\text{VPD}$ ,  $n = 774$ ,  $r^2 = 0.22$ .

## 4.4 Comparisons with leaf level fluxes

Physiological based models generally attempt to scale flux measurements up from individual leaves to canopies, then to regions, or even to the globe. Clearly, a better understanding of the basic features of leaf-scale fluxes is very important in this up-scaling process. Additionally, measurements of leaf-scale fluxes help reveal the features of canopy-scale flux.

Canopy-scale CO<sub>2</sub> flux is closely related with leaf-scale CO<sub>2</sub> flux (photosynthesis) in terms of leaf area index. Flux measurements over the canopy made by the EC technique are compared with those made with LI-6400 porometers on individual leaves of dominant species that composed the canopy (Figs. 43 to 48, Tables 8 and 9). LAI was about 5.54 and SWC was 0.40 when the measurements were made. SWC was about 45%. Net canopy scale CO<sub>2</sub> flux density ( $F_c$ ) is expressed in unit of per ground area while net leaf-scale CO<sub>2</sub> flux density ( $P_n$ ) is in unit of per leaf area.

$P_n$  on individual leaves did not follow PPFD closely as that over the canopy.  $F_c$  was about two to three times  $P_n$  (Fig. 43). When normalized with LAI, however,  $F_c$  was lower in magnitude than that  $P_n$  of individual leaves. This is reasonable because the canopy was composed of leaves of C3 and C4 species with different photosynthetic capacities whereas the measured leaves were very healthy and vigorous in photosynthesis.  $P_n$  of *Solidago altissima* (C3) was about half of  $P_n$  of *Imperata cylindrica* (C4). ET (TR) and  $g_s$  paralleled in daytime trends (Fig. 44).

WUE ( $F_c/ET$ ) of the canopy was more similar in daytime sequence and magnitude to WUE ( $P_n/TR$ ) of *I. cylindrica* than to that of *S. altissima* because the canopy was dominated by C4 species during the measurements (Fig. 45). WUE of the canopy peaked in the mid-morning and diminished throughout the rest of the daytime (Fig. 35). It could not recover in the late afternoon as did on individual leaves presumably due to the occurrence of sensible heat advection that may substantially enhance ET. WUE ( $P_n/TR$ )

of *S. altissima* was significantly lower than that of *I. cylindrica*.

Leaf conductances observed for *S. altissima* were approximately twice as high as those for *I. cylindrica*. Furthermore, the estimated stomatal conductance from the Penman-Monteith model (Table 5) was very similar to that observed on individual leaves of C4 species (Fig. 44) during the C4-dominated canopy closure period (DOY 204 to 255).

Leaf temperatures ( $T_l$ ) were slightly higher than air temperature ( $T_a$ ), and leaf surface vapor pressure deficit ( $VPD_l$ ) were higher than atmospheric VPD (Fig. 46). In comparison with ambient CO<sub>2</sub> concentration at 2 m ( $Ca_{hi}$ ), the CO<sub>2</sub> concentration at 0.25 m ( $Ca_{lo}$ ) was higher throughout nighttime, lower after sunrise because of photosynthesis, and nearly identical in the afternoon due to strong turbulent mixing (Fig. 47). Variations in CO<sub>2</sub> profile were tightly coupled with  $F_c$ . Intercellular CO<sub>2</sub> concentrations ( $C_i$ ) were higher for *S. altissima* than for *I. cylindrica*.

Measurements on individual leaves of dominant species at the study site indicated that *S. altissima* (C3) and *Festuca arundinacea* (C3) light-saturated at up to 1000  $\mu\text{ mol m}^{-2} \text{ s}^{-1}$  levels of PPFD while *I. cylindrical* (C4) and *Miscanthus sinensis* (C4) did not exhibit light saturation even at 1800  $\mu\text{ mol m}^{-2} \text{ s}^{-1}$  of PPFD (Fig. 48). For the canopy, no light-saturation were clearly demonstrated, during the canopy closure period and the flowering period (Fig. 37). This may be because C4 species dominated the canopy when the measurements were performed.

Generally, the initial slope for individual leaves is higher than for the canopy (Baldocchi, 1994b). The hyperbolic modeling results in the present study indicate that the initial slope for the canopy was on the same order of magnitude as those for the individual leaves (Tables 6 and 8). Therefore, the canopy was very efficient in light-use. This point can be also mirrored by relatively higher water use efficiency of the canopy (Table 4). The canopy light compensation point was 4 to 6 times the leaf light compensation point (Tables 6 and 8).

The initial slopes and the hypothetical mean dark respiration estimated from the

linear modeling of the  $F_c/P_n$ -PPFD response relationship under weak PPFD regime was generally smaller than those obtained from the hyperbolic model (Tables 6, 8 and 9). However, the linear modeling results might be more reasonable because they excluded the effect of curvilinear nature of the hyperbola (Baldocchi et al., 1987). Additionally, the initial slope for the canopy was very similar to those for the individual leaves of C4 under weak PPFD regime during the canopy closure period when C4 species dominated (Table 9).

If we assume that a typical value of leaf respiration is  $-1.26 \mu \text{ mol m}^{-2} \text{ s}^{-1}$  (on leaf area) during the canopy closure period (Table 9), and then extend this value to the canopy scale by multiplying LAI of 5.34, we get a value of *ca.*  $6.73 \mu \text{ mol m}^{-2} \text{ s}^{-1}$  (on ground area), which is about 65% of the hypothetical mean dark respiration ( $10.39 \mu \text{ mol m}^{-2} \text{ s}^{-1}$ ) of the canopy. Although this percentage may be overestimated, otherwise, it indicates that the fraction of plant respiration at the ERC grassland is substantial.

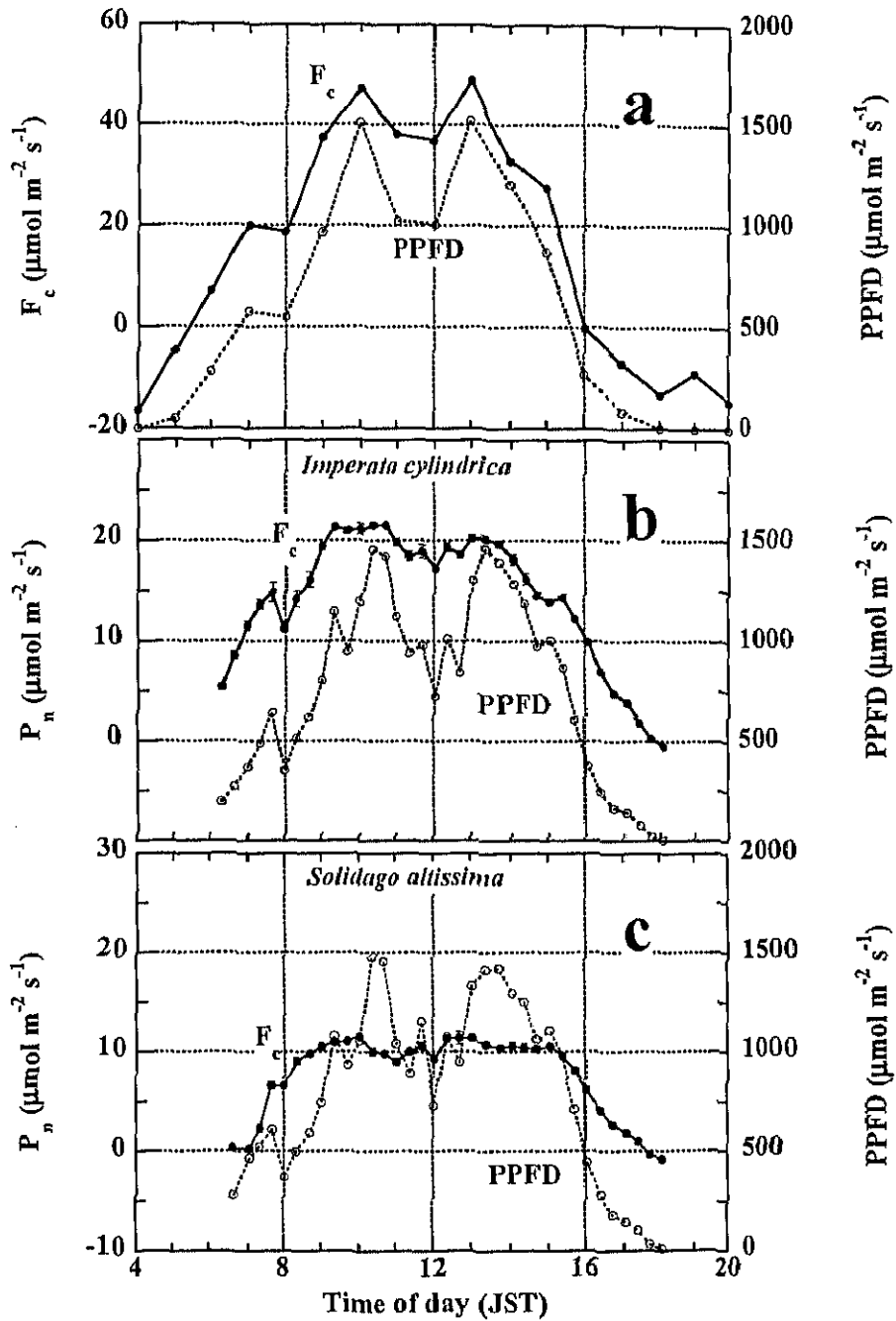


Figure 43. Comparisons of the daytime sequence between the canopy-scale and leaf-level flux measurements on 30 August 1999. a: the canopy; b: *Solidago altissima* (C3); c: *Imperata cylindrica*. The canopy-level  $\text{CO}_2$  flux ( $F_c$ ) was measured by the EC techniques and the data was hourly averaged. The leaf-level  $\text{CO}_2$  fluxes ( $P_n$ ) were measured with LI-6400 porometers, and the data were sampled at 2 min interval and averaged over 20 min. Vertical bars indicate standard errors.

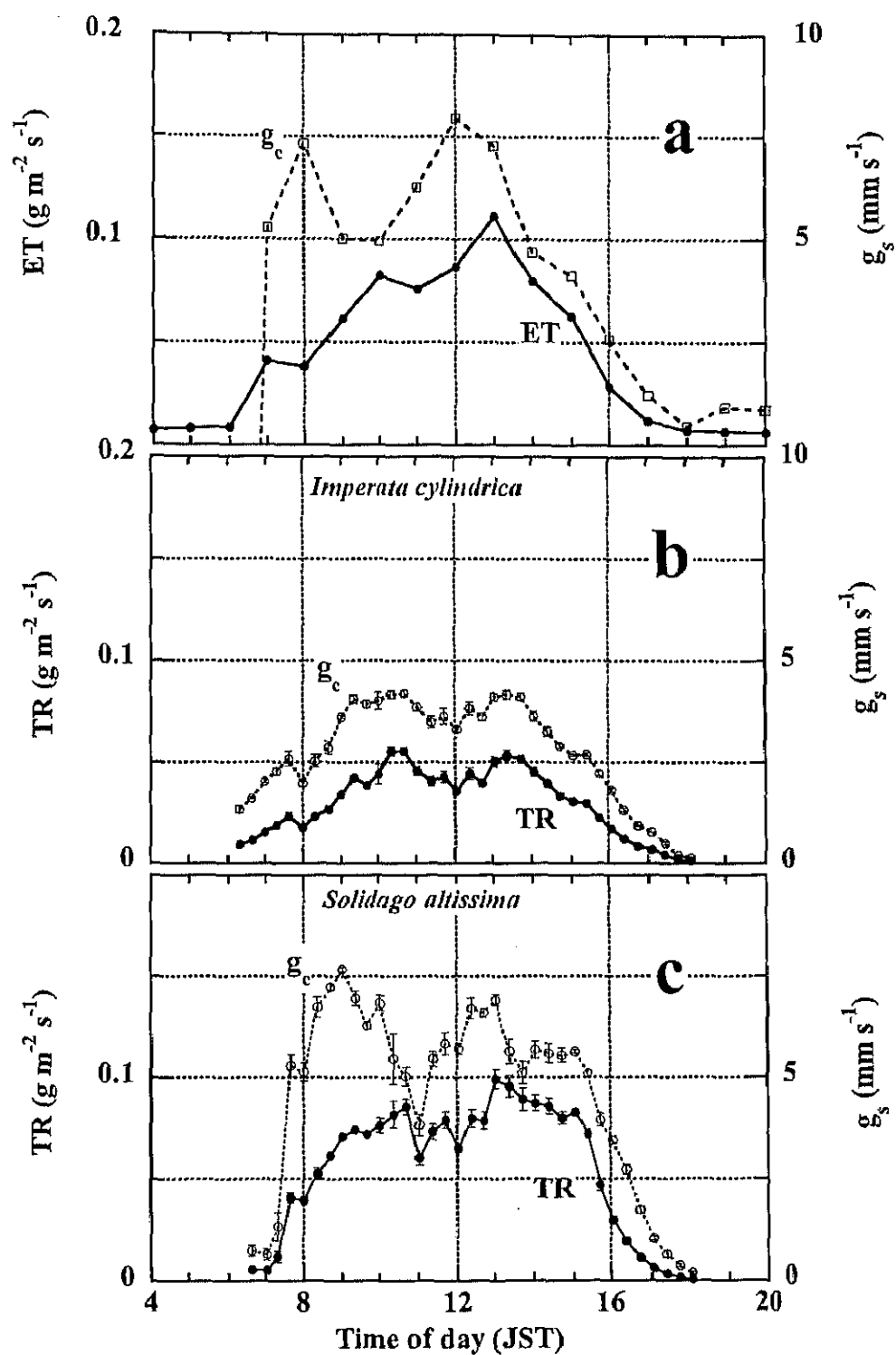


Figure 44. Same as Fig. 43 but for stomatal conductance ( $g_s$ ), evapotranspiration (ET) and transpiration (TR). The stomatal conductance for the canopy was estimated from the Penman-Monteith combination equation.



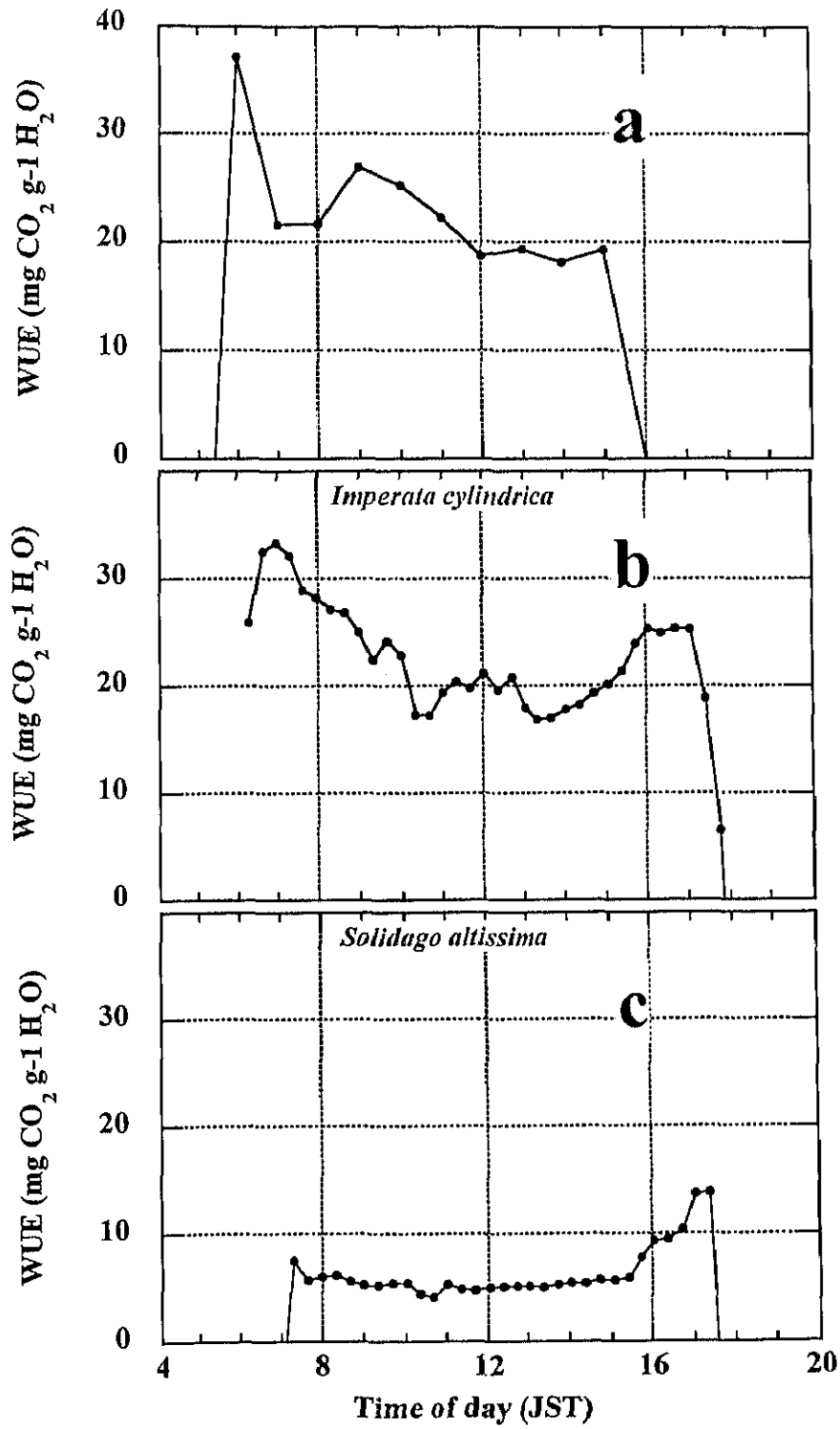


Figure 45. Same as Fig. 43 but for water use efficiency (WUE).

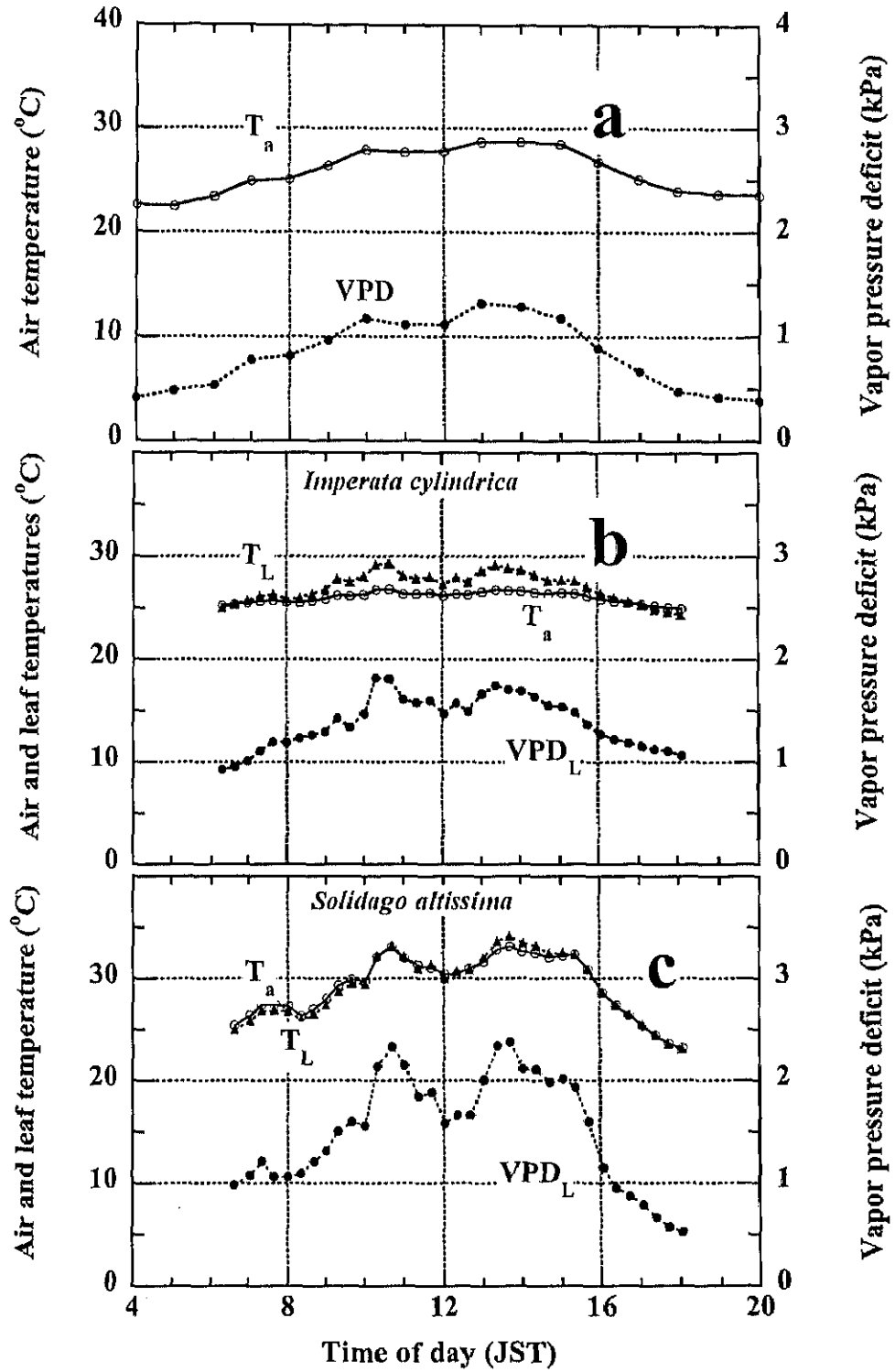


Figure 46. Same as Fig. 43 but for air temperature ( $T_a$ ), leaf temperature ( $T_l$ ), atmospheric vapor pressure deficit (VPD) and leaf-surface vapor pressure deficit ( $VPD_l$ ).

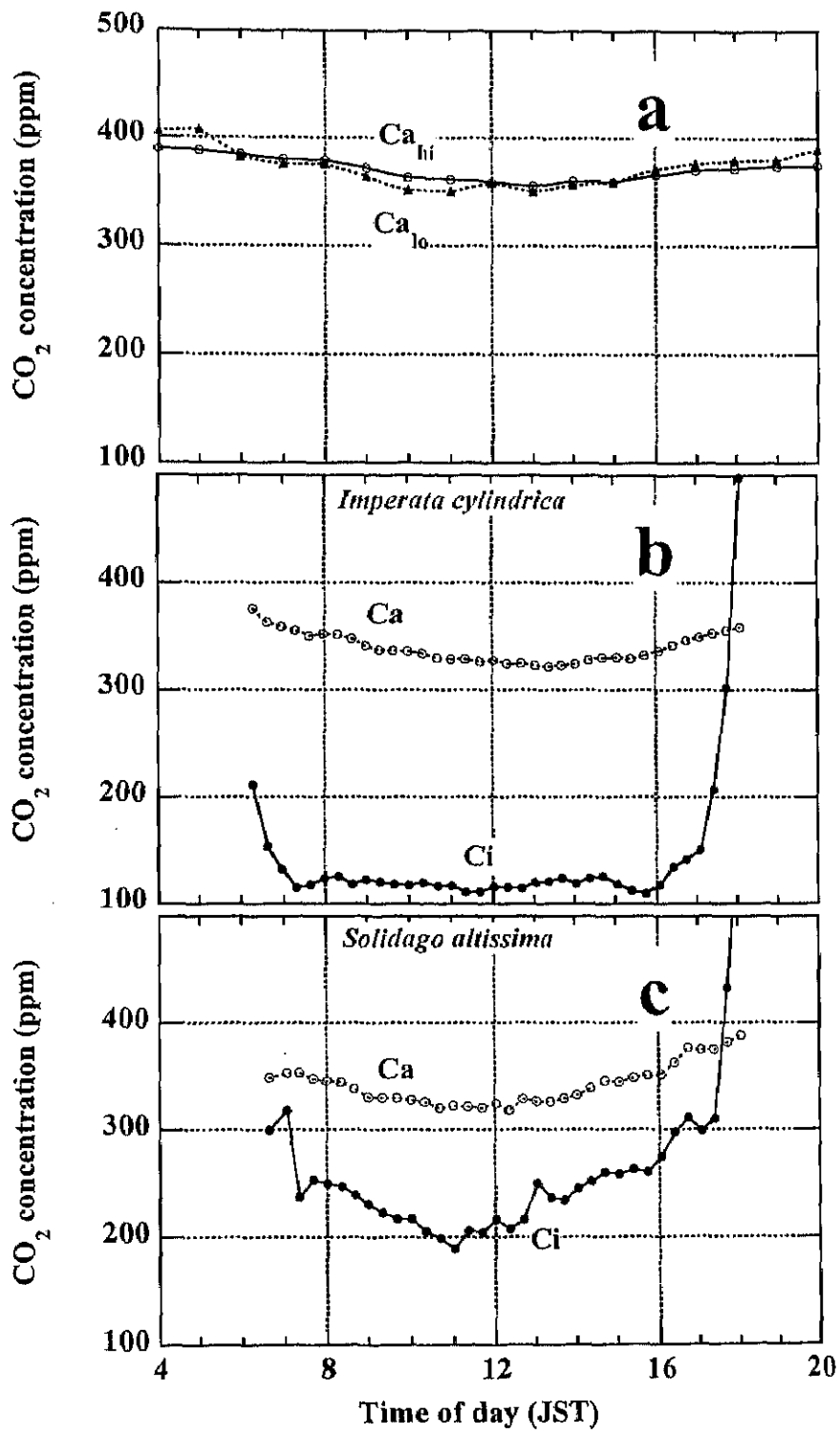


Figure 47. Same as Fig. 43 but for atmospheric CO<sub>2</sub> concentration ( $Ca_{hi}$  at 2 m,  $Ca_{lo}$  at 0.25 m) and intercellular CO<sub>2</sub> concentration ( $Ci$ ).

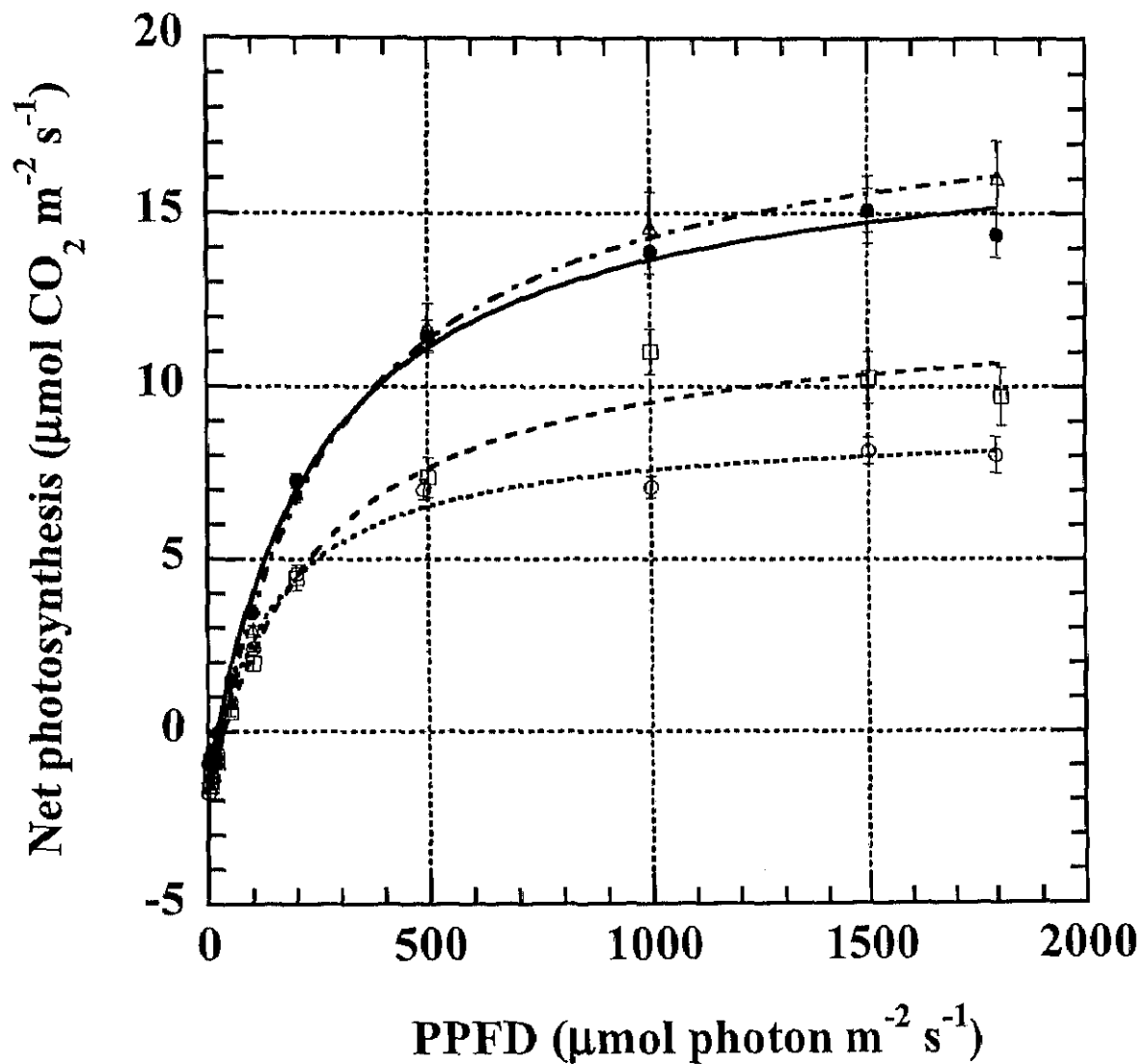


Figure 48. Relationships between net leaf  $\text{CO}_2$  flux density ( $P_n$ ) and incident photosynthetic photon flux density (PPFD). *Solidago altissima* ( $\circ$ ), *Festuca arundinacea* ( $\square$ ), *Imperata cylindrical* ( $\triangle$ ), and *Miscanthus sinensis* ( $\bullet$ ). The data were fitted to a hyperbolic model identical to with that for the canopy and the best-fit model parameters are given in Table 8.

Table 8 Relationship between net leaf CO<sub>2</sub> flux ( $P_n$ ) and incident photosynthetic photon flux density (PPFD) modeled with a rectangular hyperbolic function (as was done for the canopy) for 4 dominant species (*Solidago altissima*, *Festuca arundinacea*, *Imperata cylindrical* and *Miscanthus sinensis*). The model coefficients were  $\alpha_1$ , the initial slope;  $P_{n2000}$ ,  $P_n$  at PPFD = 2000  $\mu\text{ mol m}^{-2}\text{ s}^{-1}$ ;  $R_l$ , the mean dark respiration of leaves estimated from the  $P_n$ -PPFD curve;  $\eta$ ,  $R_l$ -to- $P_{n2000}$  ratio;  $n$ , number of replicate measurements;  $r^2$ , the coefficient of determination; LCP, the estimated leaf light compensation point. The ambient CO<sub>2</sub> concentration ( $C_a$ ), cuvette leaf temperature ( $T_l$ ) and leaf-air vapor pressure deficit (VPD<sub>l</sub>) are also presented. Subscript  $l$  is for leaf.

Species	$\alpha_1$ mol mol <sup>-1</sup>	$P_{n2000}$ $\mu\text{ mol m}^{-2}\text{ s}^{-1}$	$R_l$	$\eta$	$n$	$r^2$	LCP <sub>l</sub> $\mu\text{ mol m}^{-2}\text{ s}^{-1}$	$C_a$ ppm	$T_l$ °C	VPD <sub>l</sub> kPa
<i>S. altissima</i>	0.074 ± 0.008	8.21 ± 0.18	-1.77 ± 0.18	0.22	8	1.00	28.7	369	31.8	1.9
<i>F. arundinacea</i>	0.056 ± 0.011	10.82 ± 0.43	-1.76 ± 0.37	0.16	7	0.99	35.9	355	31.8	3.4
<i>I. cylindrical</i>	0.074 ± 0.006	16.31 ± 0.25	-1.99 ± 0.21	0.12	9	1.00	29.7	357	31.0	2.7
<i>M. sinensis</i>	0.073 ± 0.007	15.36 ± 0.29	-1.26 ± 0.24	0.08	9	1.00	18.5	369	31.1	2.1
Average	0.069 ± 0.008	12.68 ± 0.29	-1.70 ± 0.25	0.16	33	1.00	28.2	363	31.4	2.5

Table 9 The linear model coefficients under low PPFD ( $200 \mu \text{ mol m}^{-2} \text{ s}^{-1}$  for leaves and  $500 \mu \text{ mol m}^{-2} \text{ s}^{-1}$  for the canopy). Meanings of symbols are the same as those in Tables 6 and 8.

Treatment	$\alpha$	$R_d$	$r^2$	LCP
	$\text{mol mol}^{-1}$	$\mu \text{ mol m}^{-2} \text{ s}^{-1}$		$\mu \text{ mol m}^{-2} \text{ s}^{-1}$
Rapid growth period	0.036	-6.54	0.77	182.9
Closure period	0.042	-10.39	0.33	244.6
Flowering period	0.037	-5.59	0.54	150.3
Senescence period	0.015	-2.21	0.56	144.6
Entire growth period	0.033	-6.82	0.35	209.6
$T_a < 10^\circ \text{C}$	0.018	-2.86	0.43	155.2
$10 \leq T_a < 20^\circ \text{C}$	0.021	-2.93	0.51	141.9
$20 \leq T_a < 30^\circ \text{C}$	0.044	-9.67	0.48	222.2
$T_a \geq 30^\circ \text{C}$	0.054	-26.13	0.50	484.2
VPD < 1 kPa	0.032	-5.26	0.41	164.6
$1 \leq \text{VPD} < 2 \text{ kPa}$	0.053	-18.24	0.48	342.4
VPD $\geq 2 \text{ kPa}$	0.028	-8.88	0.79	318.8

Species	$\alpha_1$	$R_1$	$r^2$	LCP <sub>1</sub>
	$\text{mol mol}^{-1}$	$\mu \text{ mol m}^{-2} \text{ s}^{-1}$		$\mu \text{ mol m}^{-2} \text{ s}^{-1}$
<i>S. altissima</i>	0.031	-1.25	0.97	40.3
<i>F. arundinacea</i>	0.030	-1.38	0.98	46.0
<i>I. cylindrica</i>	0.043	-1.56	1.00	36.3
<i>M. sinensis</i>	0.041	-0.86	1.00	21.0
Average	0.036	-1.26		35.9