# Differential decay rate of $B \rightarrow \pi l \nu$ semileptonic decay with lattice nonrelativistic QCD

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(Received 2 July 2001; published 7 November 2001)

We present a lattice QCD calculation of  $B \rightarrow \pi l \nu$  semileptonic decay form factors in the small pion recoil momentum region. The calculation is performed on a quenched  $16^3 \times 48$  lattice at  $\beta = 5.9$  with the nonrelativistic QCD action including the full 1/M terms. The form factors  $f_1(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  defined in the heavy quark effective theory for which the heavy quark scaling is manifest are adopted, and we find that the 1/Mcorrection to the scaling is small for the *B* meson. The dependence of the form factors on the light quark mass and on the recoil energy is found to be mild, and we use a global fit of the form factors at various quark masses and recoil energies to obtain model independent results for the physical differential decay rate. We find that the  $B^*$  pole contribution dominates the form factor  $f^+(q^2)$  for small pion recoil energy, and obtain the differential decay rate integrated over the kinematic region  $q^2 > 18 \text{ GeV}^2$  to be  $|V_{ub}|^2 \times (1.18 \pm 0.37 \pm 0.08)$  $\pm 0.31$ ) psec<sup>-1</sup>, where the first error is statistical, the second is that from perturbative calculation, and the third is the systematic error from the finite lattice spacing and the chiral extrapolation. We also discuss the systematic errors in the soft pion limit for  $f^0(q_{max}^2)$  in the present simulation.

DOI: 10.1103/PhysRevD.64.114505

PACS number(s): 12.38.Gc, 12.39.Hg, 13.20.He, 14.40.Nd

# I. INTRODUCTION

The exclusive decay modes  $B^0 \rightarrow \pi^- l^+ \nu_l$  and  $B^0$  $\rightarrow \rho^{-}l^{+}\nu_{l}$  may provide us with the best experimental input to determine the Cabibbo-Kobayashi-Maskawa (CKM) matrix element  $|V_{ub}|$ . At present these decays are measured by CLEO [1,2] with an error of order 20%. A prerequisite for the determination of  $|V_{ub}|$  is an accurate calculation of the form factors involved in these semileptonic decays, but the theoretical prediction of the form factors for the entire kinematical range is still difficult. However, with the advent of the B factories BaBar, BELLE, and CLEO III, we expect that the differential decay rate will be measured precisely as a function of the momentum transfer  $q^2$  in the near future. This means that to determine  $|V_{ub}|$  we do not necessarily need the form factor for the entire kinematic region of  $q^2$ , but calculations in a certain limited range of  $q^2$  will suffice in practice.

Lattice QCD provides a promising framework to compute the form factors without resorting to specific phenomenological models. Exploratory studies have already been made by a few groups [3-5], but more extensive studies are clearly needed to provide realistic predictions. In this work we attempt to compute the form factors and differential decay rates of  $B \rightarrow \pi l \nu$  for the momentum range  $q^2 > 18 \text{ GeV}^2$ , which is set by the condition that the spatial momenta of the initial and final hadrons be much smaller than the lattice cutoff 1/a,  $|\mathbf{k}| \ll 1/a \simeq 2$  GeV/c, to avoid discretization error.

An important point in the calculation of the B meson ma-

adopted in the literature is to calculate the matrix elements with a relativistic action for heavy guarks around the charm quark mass and to extrapolate them to the bottom quark mass. Although this approach seems to work reasonably well in the recent studies of  $B \rightarrow \pi l \nu$  form factors [6,7], the systematic error is magnified in the extrapolation and the heavy quark mass dependence would not be correctly predicted. This problem can be avoided by using a variant of the heavy quark effective theory (HQET) in which the heavy quark is treated nonrelativistically. A natural implementation of the idea of the HQET on the

trix elements is to reduce the systematic error arising from a

heavy quark mass M that is larger than 1/a. One approach

lattice is nonrelativistic QCD (NRQCD) [8], which we employ in this work. With the NRQCD action the heavy quark mass dependence of the form factors can be reliably calculated [9], since the action is written as an expansion in terms of inverse heavy quark mass and higher order terms can optionally be included to achieve the desired accuracy. In the  $B \rightarrow \pi l \nu$  decay near zero recoil of the pion, we find that the heavy quark expansion converges well at the next-to-leading order in 1/M.

An alternative implementation of the HQET is the Fermilab formalism [10], in which results from the conventional relativistic lattice action are reinterpreted in terms of a nonrelativistic effective Hamiltonian. This formalism shares an advantage similar to that of NRQCD, and has recently been applied to a  $B \rightarrow \pi l \nu$  decay calculation [11].

In the application of the HQET to the  $B \rightarrow \pi l \nu$  decay, it is more natural to work with the form factors  $f_1(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  [12], where  $v^{\mu}$  is the heavy quark velocity and  $k_{\pi}^{\mu}$ is the four-momentum of the pion, rather than the conven-

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tional  $f^+(q^2)$  and  $f^0(q^2)$ . This is because the argument  $v \cdot k_{\pi}$ , which is the energy of the pion in the *B* meson rest frame, is well defined in the limit of infinitely heavy quark mass, and the heavy quark scaling, i.e.,  $f_{1,2}(v \cdot k_{\pi}) \rightarrow \text{const}$  as  $M \rightarrow \infty$ , is manifest in the new set of form factors.

We calculate  $f_{1,2}(v \cdot k_{\pi})$  using the NRQCD action on a quenched lattice of size  $16^3 \times 48$  at  $\beta = 5.9$  corresponding to  $1/a \approx 1.6$  GeV. The action we use includes the full terms of order 1/M. The O(a)-improved Wilson fermion action is used for the light quark. We prepare a large statistical sample, accumulating 2150 gauge configurations to reduce statistical noise which becomes large for states with finite momenta. This enables us to obtain good signals for the form factors for a finite spatial momentum of the pion.

This paper is organized as follows. In the next section we briefly review the definition of the HQET motivated form factors  $f_{1,2}(v \cdot k_{\pi})$  of Burdman *et al.* [12] and their relation to the conventional form factors. We summarize the definition of the NRQCD action in Sec. III, and discuss matching of the heavy-light vector current on the lattice with that in the continuum in Sec. IV. We describe our lattice calculation in Sec. V, and the results are presented in Sec. VI. Section VII is given to a comparison with other lattice calculations, and phenomenological implications are discussed in Sec. VIII. Our conclusions are presented in Sec. IX.

#### II. THE HQET FORM FACTORS FOR $B \rightarrow \pi l \nu$

The matrix element  $\langle \pi(k_{\pi}) | \bar{q} \gamma_{\mu} b | B(p_B) \rangle$  for the heavyto-light semileptonic decay  $B \rightarrow \pi l \nu$  is usually parametrized as

$$\langle \pi(k_{\pi}) | \bar{q} \gamma^{\mu} b | B(p_{B}) \rangle = f^{+}(q^{2}) \bigg[ (p_{B} + k_{\pi})^{\mu} - \frac{m_{B}^{2} - m_{\pi}^{2}}{q^{2}} q^{\mu} \bigg]$$
$$+ f^{0}(q^{2}) \frac{m_{B}^{2} - m_{\pi}^{2}}{q^{2}} q^{\mu},$$
(2.1)

with  $p_B$  and  $k_{\pi}$  the momenta of the initial and final pseudoscalar mesons and  $q = p_B - k_{\pi}$ . When the lepton mass is negligible, the momentum transfer  $q^2$  ranges from 0 to  $q_{max}^2 = (m_B - m_{\pi})^2$ . From the kinematics

$$E_{\pi} = v \cdot k_{\pi} = \frac{m_B^2 - m_{\pi}^2 - q^2}{2m_B},$$
 (2.2)

where  $v = p_B/m_B$  is the four-velocity of the initial *B* meson, a low  $q^2$  corresponds to a large recoil momentum of the pion, for which the lattice calculation is not easy. In the other limit  $q^2 \sim q_{max}^2$ , however, the energy of the pion  $E_{\pi}$  in the *B* meson rest frame is minimum, so that the spatial momenta of the initial and final hadrons are small compared to the lattice cutoff, and the lattice calculation will give a reliable answer.

In HQET, it is more natural to use  $v^{\mu}$  and  $k^{\mu}_{\pi}$  as independent four-vectors rather than  $p^{\mu}_{B}$  and  $k^{\mu}_{\pi}$ . Burdman *et al.* [12] defined the form factors  $f_{1}(v \cdot k_{\pi})$  and  $f_{2}(v \cdot k_{\pi})$  by

$$\langle \pi(k_{\pi}) | \bar{q} \gamma^{\mu} b | B(v) \rangle = 2 \left[ f_1(v \cdot k_{\pi}) v^{\mu} + f_2(v \cdot k_{\pi}) \frac{k_{\pi}^{\mu}}{v \cdot k_{\pi}} \right],$$
(2.3)

where the heavy meson field is normalized with the factor  $2v^0$  instead of the usual  $2p_B^0$ , so that  $\sqrt{m_B}|B(v)\rangle = |B(p_B)\rangle$ . The new form factors are functions of  $v \cdot k_{\pi}$  and defined over the range  $[m_{\pi}, (m_B^2 - m_{\pi}^2)/2m_B]$ . As seen from definition (2.3) there is no explicit dependence on the heavy meson mass. Therefore, heavy quark scaling as  $M \rightarrow \infty$  is manifest, namely,  $f_{1,2}(v \cdot k_{\pi})$  become independent of M up to logarithms arising from the renormalization of the heavy-light current. Finite M corrections are given as a power series in 1/M.

The relation between the two definitions of form factors is given by

$$f^{+}(q^{2}) = \sqrt{m_{B}} \left\{ \frac{f_{2}(v \cdot k_{\pi})}{v \cdot k_{\pi}} + \frac{f_{1}(v \cdot k_{\pi})}{m_{B}} \right\},$$
(2.4)  
$$f^{0}(q^{2}) = \frac{2}{\sqrt{m_{B}}} \frac{m_{B}^{2}}{m_{B}^{2} - m_{\pi}^{2}} \left\{ \left[ f_{1}(v \cdot k_{\pi}) + f_{2}(v \cdot k_{\pi}) \right] - \frac{v \cdot k_{\pi}}{m_{B}} \left[ f_{1}(v \cdot k_{\pi}) + \frac{m_{\pi}^{2}}{(v \cdot k_{\pi})^{2}} f_{2}(v \cdot k_{\pi}) \right] \right\}.$$
(2.5)

This indicates that  $f^+(q^2)$  and  $f^0(q^2)$  scale in the heavy quark limit as

$$f^+(q^2) \sim \sqrt{m_B},\tag{2.6}$$

$$f^0(q^2) \sim \frac{1}{\sqrt{m_B}},$$
 (2.7)

if  $v \cdot k_{\pi}$  is kept fixed.

In the soft pion limit  $k_{\pi} \rightarrow 0$  and  $m_{\pi} \rightarrow 0$ , we obtain simpler relations:

$$f^+(q^2) \simeq \sqrt{m_B} \frac{f_2(v \cdot k_\pi)}{v \cdot k_\pi}, \qquad (2.8)$$

$$f^{0}(q^{2}) \simeq \frac{2}{\sqrt{m_{B}}} [f_{1}(v \cdot k_{\pi}) + f_{2}(v \cdot k_{\pi})], \qquad (2.9)$$

from Eqs. (2.4) and (2.5). The soft pion theorem implies that the scalar form factor  $f^0(q^2)$  and the *B* meson leptonic decay constant  $f_B$  are related as  $f^0(q_{max}^2) = f_B/f_{\pi}$ , which means

$$f_1(0) + f_2(0) = \frac{f_B \sqrt{m_B}}{2f_{\pi}}.$$
 (2.10)

The vector form factor  $f^+(q^2)$  may be evaluated using the heavy meson chiral Lagrangian approach (for a review, see Ref. [13], for instance), in which the  $B^*$  pole contributes through a  $B^*B\pi$  coupling. It was shown by Burdman *et al.* that the following relation holds through  $O(1/m_B)$  [12]:

$$\lim_{v \cdot k_{\pi} \to 0} f_2(v \cdot k_{\pi}) = g \frac{f_{B^*} \sqrt{m_{B^*}}}{2f_{\pi}} \frac{v \cdot k_{\pi}}{v \cdot k_{\pi} + \Delta_B}, \quad (2.11)$$

where the vector meson decay constant  $f_{B^*}$  is defined by  $\langle 0|V^{\mu}|B^*(p)\rangle = if_{B^*}m_{B^*}\epsilon^{\mu}(p)$ , and g denotes the  $B^*B\pi$  coupling. The  $B^*$  propagator gives a factor  $1/(v \cdot k_{\pi} + \Delta_B)$ , in which  $\Delta_B = m_{B^*} - m_B$ . Since the hyperfine splitting  $\Delta_B \approx 46$  MeV is much smaller than the "pion" mass, we consider in the lattice simulation that Eq. (2.11) depends little on  $v \cdot k_{\pi}$ . This behavior of  $f_2$  is actually found in our simulation. Equation (2.11) leads to the well-known vector meson dominance form for the form factor  $f^+(q^2)$ 

$$\lim_{q^2 \to m_B^2} f^+(q^2) = \frac{f_{B^*}}{f_{\pi}} \frac{g}{1 - q^2/m_{B^*}^2},$$
 (2.12)

which is also reproduced in our calculation.

### **III. LATTICE NRQCD**

We use the NRQCD formalism defined on the lattice [8] to treat the heavy *b* quark without large discretization errors increasing as a power of *aM*. NRQCD is designed to approximate nonrelativistic motion of heavy quarks inside hadrons, and is expressed as a systematic expansion in some small parameter depending on the hadron considered. For a heavy-light meson system such as the *B* meson, the expansion parameter is given by  $\Lambda_{QCD}/M$ , with  $\Lambda_{QCD}$  the typical momentum scale of QCD ~ 300–500 MeV. At the next-to-leading order in  $\Lambda_{QCD}/M$ , the Lagrangian in the continuum Euclidean space-time is written as

$$\mathcal{L}_{NRQCD}^{cont} = Q^{\dagger} \left[ D_0 + \frac{D^2}{2M} + g \frac{\boldsymbol{\sigma} \cdot \boldsymbol{B}}{2M} \right] Q \qquad (3.1)$$

for a heavy quark field Q represented by a two-component nonrelativistic spinor. The derivatives  $D_0$  and D are temporal and spatial covariant derivatives, respectively. The leading order term  $D_0$  represents a heavy quark as a static color source. The leading correction of order  $\Lambda_{OCD}/M$  comes from  $D^2/2M$ , which gives the nonrelativistic kinetic term of the heavy quark. Another contribution of order  $\Lambda_{OCD}/M$  is the spin-(chromo)magnetic interaction  $\boldsymbol{\sigma} \cdot \boldsymbol{B}/2M$ , where **B** denotes the chromomagnetic field strength. In the usual HQET approach, only the leading terms are present in the effective Lagrangian and corrections of order  $\Lambda_{OCD}/M$  are incorporated when one evaluates a matrix element  $\langle \mathcal{O} \rangle$  of operator  $\mathcal{O}$  by including terms such as some  $\langle TO[d^4x Q^{\dagger}(D^2/2M)Q \rangle$ . In contrast, in the NRQCD approach we include the correction terms in the Lagrangian (3.1) and evaluate the matrix elements with the heavy quark propagator including the effect of order  $\Lambda_{OCD}/M$ .

An important limitation of the NRQCD Lagrangian (3.1) is that the heavy quark expansion is made in the rest frame of a heavy quark. Since the expansion parameter is p/M, where p is a typical spatial momentum of the heavy quark, the Lagrangian is valid only in the region where the heavy quark does not have momentum greater than  $O(\Lambda_{OCD})$ . Therefore,

in a study of the heavy-to-light decay, the momentum of the initial B meson must be small enough. Although it is possible to construct the action expanded around a finite heavy quark velocity, the heavy quark velocity is renormalized by a radiative correction since the lattice violates Lorentz symmetry [14,15], which gives rise to an additional important systematic correction. We therefore do not use this strategy and consider the discretization of the Lagrangian (3.1).

The lattice NRQCD action we use in this work is

$$S_{NRQCD} = \sum_{x,y} \mathcal{Q}^{\dagger}(x) [\delta_{x,y} - K_{\mathcal{Q}}(x,y)] \mathcal{Q}(y)$$
  
+ 
$$\sum_{x,y} \chi^{\dagger}(x) [\delta_{x,y} - K_{\chi}(x,y)] \chi(y). \quad (3.2)$$

In addition to the nonrelativistic heavy quark field Q, we write the term for the antiparticle field  $\chi$  for completeness. The kernels to describe the time evolution of the heavy quark are given by

$$K_{Q}(x,y) = \left[ \left( 1 - \frac{aH_{0}}{2n} \right)^{n} \left( 1 - \frac{a\,\delta H}{2} \right) \delta_{4}^{(-)} U_{4}^{\dagger} \left( 1 - \frac{a\,\delta H}{2} \right) \right] \\ \times \left( 1 - \frac{aH_{0}}{2n} \right)^{n} (x,y),$$
(3.3)

$$K_{\chi}(x,y) = \left[ \left( 1 - \frac{aH_0}{2n} \right)^n \left( 1 - \frac{a\,\delta H}{2} \right) \delta_4^{(+)} U_4 \left( 1 - \frac{a\,\delta H}{2} \right) \\ \times \left( 1 - \frac{aH_0}{2n} \right)^n \right] (x,y), \tag{3.4}$$

where *n* denotes a stabilization parameter introduced in order to remove the instability arising from unphysical momentum modes in the evolution equation [8]. The operator  $\delta_4^{(\pm)}$  is defined as  $\delta_4^{(\pm)}(x,y) \equiv \delta_{x_4 \pm 1, y_4} \delta_{x,y}$ , and  $H_0$  and  $\delta H$  are lattice Hamiltonians defined by

$$H_0 \equiv -\frac{\mathbf{\Delta}^{(2)}}{2aM_0},\tag{3.5}$$

$$\delta H \equiv -c_B \frac{g}{2aM_0} \boldsymbol{\sigma} \cdot \boldsymbol{B}, \qquad (3.6)$$

where  $\mathbf{\Delta}^{(2)} \equiv \sum_{i=1}^{3} \Delta_{i}^{(2)}$  is a Laplacian defined on the lattice through  $\Delta_{i}^{(2)}$ , the second symmetric covariant differentiation operator in the spatial direction *i*. In Eq. (3.6) the chromomagnetic field **B** is the usual clover-leaf type lattice field strength [8]. In these definitions, the lattice operators  $\Delta_{i}^{(2)}$ and **B** are dimensionless, i.e., appropriate powers of *a* are understood. The space-time indices *x* and *y* are implicit in these expressions. The bare heavy quark mass  $M_0$  is distinguished from the renormalized one *M*.

The lattice action (3.2) describes continuum NRQCD (3.1) in the limit of vanishing lattice spacing *a* at the tree level. In the presence of radiative correction, however, power divergence of the form  $\alpha_s^n/(aM_0)^m$  with positive integers

n,m can appear. This is due to the fact that NRQCD is not renormalizable, and the action should be considered as an effective theory valid for small  $1/(aM_0)$ . This means that the parameters in the lattice action (3.2) should be tuned to reproduce the same low energy amplitude as the continuum QCD up to some higher order corrections. One may use perturbation theory to achieve this tuning. For example, a oneloop calculation of the energy shift and mass renormalization was carried out for lattice NRQCD by Davies and Thacker [16] and by Morningstar [17] some time ago, and then by ourselves [18–20] for the above particular form of the NRQCD action.<sup>1</sup> To improve the perturbative expansion we utilize the tadpole improvement procedure where all the gauge links in the action (3.2) are divided by its mean field value  $u_0$  determined from the plaquette expectation value as  $u_0 \equiv (\langle \text{Tr}U_P \rangle / 3)^{1/4}$ . This tadpole improvement will give rise to  $O(g^2)$  counterterms in the Feynman rules. The one-loop tuning of the coupling constant  $c_B$  in front of the spin-(chromo)magnetic interaction term (3.6) has not yet been performed. We therefore use the tree level value  $c_B = 1$  after making the tadpole improvement.

The relativistic four-component Dirac spinor field h is related to the two-component nonrelativistic field Q and  $\chi$  appearing in the NRQCD action (3.2) via the Foldy-Wouthuysen-Tani (FWT) transformation

$$h = \left(1 - \frac{\boldsymbol{\gamma} \cdot \boldsymbol{\nabla}}{2aM_0}\right) \begin{pmatrix} \boldsymbol{Q} \\ \boldsymbol{\chi}^{\dagger} \end{pmatrix}, \qquad (3.7)$$

where  $\nabla$  is a symmetric covariant differentiation operator in a spatial direction.

#### IV. MATCHING OF THE HEAVY-LIGHT CURRENT

Since we use the lattice NRQCD action of the previous section, the continuum heavy-light vector current  $\bar{q} \gamma_{\mu} b$  in Eq. (2.1) must be written in terms of the corresponding operator constructed with the lattice NRQCD heavy quark field h. This matching of the continuum and lattice operators has been calculated using the one-loop perturbation theory by Morningstar and Shigemitsu [21,22]. In this section we summarize their results and specify our notations.

In the one-loop matching of the continuum operator to the lattice operators, we have to consider dimension-4 operators in addition to the leading dimension-3 operator  $\bar{q} \gamma_{\mu} h$ , in order to remove the error of order  $\alpha_s \Lambda_{QCD}/M$  and  $\alpha_s a \Lambda_{QCD}$ . The former is the radiative correction to the FWT transformation (3.7) and the latter appears in the O(a) improvement of the lattice discretized operator. Thus the following operators are involved in the calculation:

$$V_4^{(0)} = \overline{q} \,\gamma_4 h, \tag{4.1}$$

TABLE I. Renormalization constants for  $V_4$ .

aM <sub>0</sub>	п	$ ho_{V_4}^{(0)}$	$ ho_{V_4}^{(1)}$	$ ho_{V_4}^{(2)}$
10.0	2	-0.562	-0.572	-0.421
5.0	2	-0.554	-0.571	0.205
3.0	2	-0.540	-0.582	0.446
2.1	3	-0.529	-0.604	0.559
1.3	3	-0.509	-0.629	0.657

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$$V_4^{(1)} = -\frac{1}{2aM_0} \bar{q} \,\gamma_4 \,\boldsymbol{\gamma} \cdot \boldsymbol{\nabla} h, \qquad (4.2)$$

$$V_4^{(2)} = -\frac{1}{2aM_0} \bar{q} \, \gamma \hat{\nabla} \cdot h, \qquad (4.3)$$

$$V_k^{(0)} = \overline{q} \, \gamma_k h, \tag{4.4}$$

$$V_k^{(1)} = -\frac{1}{2aM_0} \bar{q} \, \gamma_k \, \boldsymbol{\gamma} \cdot \boldsymbol{\nabla} h, \qquad (4.5)$$

$$V_k^{(2)} = -\frac{1}{2aM_0} \bar{q} \, \boldsymbol{\gamma} \cdot \boldsymbol{\nabla}, \, \gamma_4 \gamma_k h, \qquad (4.6)$$

$$V_k^{(3)} = -\frac{1}{2aM_0}\bar{q}\,\gamma_4\nabla_k h,\tag{4.7}$$

$$V_k^{(4)} = \frac{1}{2aM_0} \bar{q} \bar{\nabla}_k \gamma_4 h. \tag{4.8}$$

The heavy quark field *h* is obtained from the two-component field *Q* through the FWT transformation (3.7).<sup>2</sup> For the light quark *q* we employ the O(a)-improved Wilson fermion [23].

The one-loop matching is given by

$$V_{4}^{cont} = \left(1 + \alpha_{s} \left[\frac{1}{\pi} \ln(aM_{0}) + \rho_{V_{4}}^{(0)}\right]\right) V_{4}^{(0)} + \alpha_{s} \rho_{V_{4}}^{(1)} V_{4}^{(1)} + \alpha_{s} \rho_{V_{4}}^{(2)} V_{4}^{(2)}, \qquad (4.9)$$

$$V_{k}^{cont} = \left(1 + \alpha_{s} \left[\frac{1}{\pi} \ln(aM_{0}) + \rho_{V_{k}}^{(0)}\right]\right) V_{k}^{(0)} + \alpha_{s} \rho_{V_{k}}^{(1)} V_{k}^{(1)} + \alpha_{s} \left[\frac{4}{\pi} \ln(aM_{0}) + \rho_{V_{k}}^{(2)}\right] V_{k}^{(2)} + \alpha_{s} \rho_{V_{k}}^{(3)} V_{k}^{(3)} + \alpha_{s} \left[-\frac{4}{3\pi} \ln(aM_{0}) + \rho_{V_{k}}^{(4)}\right] V_{k}^{(4)}, \qquad (4.10)$$

and the numerical coefficients  $\rho_{V_4}^{(i)}$  and  $\rho_{V_4}^{(k)}$  are summarized in Tables I and II for several values of  $aM_0$ .

<sup>&</sup>lt;sup>1</sup>We note that the evolution kernels (3.3) and (3.4) are slightly different from the definition used, for example, in [17], where the  $(1 - aH_0/2n)^n$  terms appear inside the  $(1 - a \, \delta H/2)$  terms.

<sup>&</sup>lt;sup>2</sup>In the definition used in [22] the heavy quark field before the FWT transformation  $(Q \ 0)^T$  appears in the definition of operators. Matching coefficients for  $V_4^{(1)}$  and  $V_k^{(1)}$  must be converted when we use the above definition.

TABLE II. Renormalization constants for  $V_k$ .

aM <sub>0</sub>	п	$ ho_{V_k}^{(0)}$	$ ho_{V_k}^{(1)}$	$ ho_{V_k}^{(2)}$	$ ho_{V_k}^{(3)}$	$ ho_{V_k}^{(4)}$
10.0	2	-1.250	0.366	13.705	0.983	1.047
5.0	2	-1.087	0.232	4.678	0.881	0.977
3.0	2	-0.915	0.091	1.605	0.774	0.893
2.1	3	-0.772	-0.049	0.594	0.690	0.812
1.3	3	-0.546	-0.235	0.188	0.587	0.668

As we mentioned earlier, the NRQCD action employed in this work is slightly different from that of Morningstar and Shigemitsu [22]. We have therefore independently calculated the wave function renormalization and the vertex correction for the temporal component  $V_4$ , and found that the difference of the finite constants  $\rho$ 's between the two actions is small, e.g.,  $\sim 4-9$  % for the vertex correction. Therefore, for the spatial vector current, for which the one-loop calculation with our action is missing, we adopt the coefficients of [22] assuming that the error is negligible. In Table I the results of our calculation for  $\rho_{V_4}^{(i)}$  are listed, while the results for  $\rho_{V_k}^{(i)}$  in [22] are interpolated in  $aM_0$  and given in Table II for our parameter values.

#### **V. LATTICE CALCULATION**

#### A. Lattice setup

Our quenched lattice calculation is carried out on a  $16^3 \times 48$  lattice at  $\beta = 5.9$  with the standard plaquette action for gluons. The inverse lattice spacing 1/a determined from the string tension is  $a^{-1} = 1.64$  GeV. The scaling violation has been found to be small for our choice of the heavy and light quark actions over  $1/a \approx 1-2.5$  GeV in the heavy-light decay constant [19].

The parameters we choose for the heavy and light quarks are a subset of those simulated in [19]. We take four values of the bare mass  $aM_0$ , 1.3, 2.1, 3.0, and 5.0, for the heavy quark, over a range of the physical heavy quark mass between 2 and 8 GeV. The stabilization parameter *n* is set to 3 (for  $aM_0$ =1.3 and 2.1) or 2 (for  $aM_0$ =3.0 and 5.0) so as to satisfy the stability condition  $n > 3/(aM_0)$ . We use the O(a)-improved Wilson action for the light quark with the clover coefficient  $c_{sw}$ =1.580, which is evaluated at one loop with the tadpole improvement. Four values 0.136 30, 0.137 11, 0.137 69, and 0.138 16 are chosen for the hopping parameters in our simulation, where the critical value  $\kappa_c$  is 0.139 01.

We accumulate 2150 quenched configurations to reduce the statistical error for matrix elements with finite spatial momenta. Each configuration is separated by 1000 pseudoheat-bath sweeps after 10 000 sweeps for thermalization and fixed to the Coulomb gauge. As we will see, even with this large number of statistics, signals for the heaviest heavy quark or lightest light quark are not clean enough to extract the ground state.

TABLE III. Smearing parameters for the heavy-light meson.

aM <sub>0</sub>	$a_h$	$b_h$
10.0	0.16	1.50
5.0	0.28	1.12
3.0	0.29	1.07
2.1	0.30	1.06
1.3	0.31	1.06

#### **B.** Correlators

The form factors are extracted from measurements of three-point correlators

$$C^{\pi^{S}V_{\mu}^{(i)}B^{S}}(t_{\pi},t,t_{B};\boldsymbol{q},\boldsymbol{p}_{B}) = \sum_{\boldsymbol{x},\boldsymbol{y}} e^{-i\boldsymbol{q}\cdot\boldsymbol{x}}e^{i\boldsymbol{p}_{B}\cdot\boldsymbol{y}} \langle \mathcal{O}_{\pi}^{S}(t_{\pi},\boldsymbol{\theta}) \\ \times V_{\mu}^{(i)}(t,\boldsymbol{x})\mathcal{O}_{B}^{S\dagger}(t_{B},\boldsymbol{y}) \rangle \quad (5.1)$$

of the vector currents (4.1)–(4.8) with the initial *B* meson and daughter pion interpolating fields  $\mathcal{O}_B^S$  and  $\mathcal{O}_{\pi}^S$ , respectively. The interpolating fields are defined by

$$\mathcal{O}_{\pi}^{L}(t,\boldsymbol{x}) = \bar{q}(t,\boldsymbol{x})\,\gamma_{5}q(t,\boldsymbol{x}),\tag{5.2}$$

$$\mathcal{D}_{\pi}^{S}(t,\mathbf{x}) = \left[\sum_{\mathbf{r}} \phi_{l}(|\mathbf{r}|)\overline{q}(t,\mathbf{x}+\mathbf{r})\right] \\ \times \gamma_{5} \left[\sum_{\mathbf{r}'} \phi_{l}(|\mathbf{r}'|)q(t,\mathbf{x}+\mathbf{r}')\right], \quad (5.3)$$

$$\mathcal{O}_B^L(t, \boldsymbol{x}) = \bar{q}(t, \boldsymbol{x}) \, \gamma_5 h(t, \boldsymbol{x}), \qquad (5.4)$$

$$\mathcal{O}_{B}^{S}(t,\boldsymbol{x}) = \bar{q}(t,\boldsymbol{x}) \gamma_{5} \left[ \sum_{\boldsymbol{r}} \phi_{h}(|\boldsymbol{r}|) h(t,\boldsymbol{x}+\boldsymbol{r}) \right], \quad (5.5)$$

where the operators with superscript *L* represent a local field, while the smeared operators defined on the Coulomb gauge fixed configurations are labeled with *S*. The smearing functions  $\phi_l(r)$  and  $\phi_h(r)$  are parametrized by  $\phi_l(r)$  $= \exp(-a_l r^{b_l})$  and  $\phi_h(r) = \exp(-a_h r^{b_h})$ , with the parameters  $a_{l,h}$  and  $b_{l,h}$  determined from a measurement of light-light and heavy-light meson wave functions [19]. The wave function of the light-light meson  $\phi_l(r)$  is almost independent of the light quark mass, and we use  $(a_l, b_l) = (0.27, 1.13)$ . The wave function describing the spread of heavy-light meson  $\phi_h(r)$ , on the other hand, depends significantly on the heavy quark mass, i.e.,  $b_h$  becomes larger as the heavy quark mass increases. The numerical values of  $(a_h, b_h)$  are given in Table III.

The  $\pi$  meson interpolating field  $\mathcal{O}_{\pi}^{S}$  is fixed at the time slice t=24. The light quark propagators are solved for a smeared source at  $t_{\pi}$ , and the source method is used at the time slice  $t_{B}=0$  to obtain the heavy propagator with momentum insertion  $p_{B}$ . The heavy-light current with momentum insertion -q is then constructed at t, which is in a region  $t_{B} < t < t_{\pi}$ , with the daughter light antiquark propagating back from  $t_{\pi}$  and a heavy quark evolving from  $t_{B}$ . With this

TABLE IV. List of momenta for which the three-point correlator is measured. Three-momentum is given in units of  $2\pi/La$ . The label "id." will be used in the tables of numerical results.

id.	$p_B$	$k_{\pi}$	q
p000.q000	(0,0,0)	(0,0,0)	(0,0,0)
p100.q100	(1,0,0)	(0,0,0)	(1,0,0)
p100.q000	(1,0,0)	(1,0,0)	(0,0,0)
p100.q110	(1,0,0)	(0, -1, 0)	(1,1,0)
p000.q100	(0,0,0)	(-1,0,0)	(1,0,0)
p100.q200	(1,0,0)	(-1,0,0)	(2,0,0)

combination of momenta, the initial *B* meson has momentum  $p_B$  and the final pion travels with momentum  $k_{\pi}=p_B-q$ , since the fixed source at  $t_B$  emits a heavy-light meson with any momentum. The momentum combinations measured in our simulation are summarized in Table IV. Since the statistical noise grows exponentially as  $\exp\{[E(p^2)-E(0)]t\}$  for the finite momentum state with energy  $E(p^2)$ , the spatial momentum one can measure with a reasonable signal is rather limited. In fact, even in our high statistics data, the maximum momentum we could take is (1,0,0) in units of  $2\pi/La$  as we shall discuss in the following sections.

The three-point function (5.1) is dominated by the ground state contribution for large enough separation of operators  $t_B \ll t \ll t_{\pi}$ :

$$C^{\pi^{S}V_{\mu}^{(i)}B^{S}}(t_{\pi},t,t_{B};\boldsymbol{q},\boldsymbol{p}_{B}) \rightarrow \frac{Z_{\pi}^{S}(\boldsymbol{k}_{\pi})}{2E_{\pi}(\boldsymbol{k}_{\pi})} \frac{Z_{B}^{S}(\boldsymbol{p}_{B})}{2E_{B}(\boldsymbol{p}_{B})} \times \langle \pi(\boldsymbol{k}_{\pi})|V_{\mu}^{(i)}|B(\boldsymbol{p}_{B})\rangle \times \exp[-E_{\pi}(\boldsymbol{k}_{\pi})(t-t_{\pi}) - E_{\text{bind}}(\boldsymbol{p}_{B})(t_{B}-t)]. \quad (5.6)$$

The overlap amplitudes  $Z_{\pi}^{S}(\boldsymbol{k}_{\pi})$  and  $Z_{B}^{S}(\boldsymbol{p}_{B})$  of the interpolating operators with the corresponding ground state are evaluated from the two-point correlators defined by

$$C^{\pi^{S}\pi^{S}}(t_{\pi},t;\boldsymbol{k}_{\pi}) = \sum_{\boldsymbol{x}} e^{i\boldsymbol{k}_{\pi}\cdot\boldsymbol{x}} \langle \mathcal{O}_{\pi}^{S}(t_{\pi},\boldsymbol{\theta})\mathcal{O}_{\pi}^{S^{\dagger}}(t,\boldsymbol{x}) \rangle$$
$$\rightarrow \frac{Z_{\pi}^{S}(\boldsymbol{k}_{\pi})^{2}}{2E_{\pi}(\boldsymbol{k}_{\pi})} e^{-E_{\pi}(\boldsymbol{k}_{\pi})(t_{\pi}-t)}, \qquad (5.7)$$

$$C^{\pi^{S}\pi^{L}}(t_{\pi},t;\boldsymbol{k}_{\pi}) = \sum_{\boldsymbol{x}} e^{i\boldsymbol{k}_{\pi}\cdot\boldsymbol{x}} \langle \mathcal{O}_{\pi}^{S}(t_{\pi},\boldsymbol{\theta})\mathcal{O}_{\pi}^{L^{\dagger}}(t,\boldsymbol{x}) \rangle$$
$$\rightarrow \frac{Z_{\pi}^{S}(\boldsymbol{k}_{\pi})Z_{\pi}^{L}(\boldsymbol{k}_{\pi})}{2E_{\pi}(\boldsymbol{k}_{\pi})} e^{-E_{\pi}(\boldsymbol{k}_{\pi})(t_{\pi}-t)}, \quad (5.8)$$

$$C^{B^{S}B^{S}}(t,t_{B};\boldsymbol{p}_{B}) = \sum_{\boldsymbol{x}} e^{-i\boldsymbol{p}_{B}\cdot\boldsymbol{x}} \langle \mathcal{O}_{B}^{S}(t,\boldsymbol{x})\mathcal{O}_{B}^{S^{\dagger}}(t_{B},\boldsymbol{\theta}) \rangle$$
$$\rightarrow \frac{Z_{B}^{S}(\boldsymbol{p}_{B})^{2}}{2E_{B}(\boldsymbol{p}_{B})} e^{-E_{\text{bind}}(\boldsymbol{p}_{B})(t-t_{B})}, \qquad (5.9)$$

$$C^{B^{L}B^{S}}(t,t_{B};\boldsymbol{p}_{B}) = \sum_{\boldsymbol{x}} e^{-i\boldsymbol{p}_{B}\cdot\boldsymbol{x}} \langle \mathcal{O}_{B}^{L}(t,\boldsymbol{x})\mathcal{O}_{B}^{S^{\dagger}}(t_{B},\boldsymbol{\theta}) \rangle$$
$$\rightarrow \frac{Z_{B}^{S}(\boldsymbol{p}_{B})Z_{B}^{L}(\boldsymbol{p}_{B})}{2E_{B}(\boldsymbol{p}_{B})} e^{-E_{\text{bind}}(\boldsymbol{p}_{B})(t-t_{B})}.$$
(5.10)

The ground state energy of the heavy-light meson  $E_{\text{bind}}(\boldsymbol{p}_B)$  represents a "binding energy," as the bare heavy quark mass is subtracted in the NRQCD formalism. In the state normalization in Eq. (5.6) and in Eqs. (5.9),(5.10), on the other hand, the heavy-light meson energy  $E_B(\boldsymbol{p}_B)$  including the bare heavy quark mass enters in the denominator.

In practice, we calculate the ratio  $R^{V_{\mu}^{(l)}}(t, \mathbf{k}_{\pi}, \mathbf{p}_{B})$  of the three-point and the two-point functions,

$$R^{V_{\mu}^{(i)}}(t;\boldsymbol{k}_{\pi},\boldsymbol{p}_{B}) = \frac{C^{\pi^{S}V_{\mu}^{(i)}B^{S}}(t_{\pi},t,t_{B};\boldsymbol{q},\boldsymbol{p}_{B})}{C^{\pi^{S}\pi^{L}}(t_{\pi},t;\boldsymbol{k}_{\pi})C^{B^{L}B^{S}}(t,t_{B};\boldsymbol{p}_{B})}$$
$$\rightarrow \frac{\langle \pi(k_{\pi})|V_{\mu}^{(i)}|B(p_{B})\rangle}{Z_{\pi}^{L}(\boldsymbol{k}_{\pi})Z_{B}^{L}(\boldsymbol{p}_{B})}, \qquad (5.11)$$

which becomes constant in the asymptotic limit. The overlap amplitudes with the smeared interpolating fields  $Z_{\pi}^{S}(\boldsymbol{k}_{\pi})$  and  $Z_{B}^{S}(\boldsymbol{p}_{B})$  cancel between the numerator and the denominator. Typical examples of the ratio  $R^{V_{\mu}^{(i)}}(t;\boldsymbol{k}_{\pi},\boldsymbol{p}_{B})$  are plotted in Fig. 1, in which the data at  $\kappa = 0.13711$  and  $aM_{0} = 3.0$  are shown for five choices of the momentum combination. For all these plots we find a clear plateau in the large *t* region, where the current is closer to the pion interpolating field than to the *B* meson. The fit result is indicated by horizontal lines.

The data become noisier for lighter light quark masses with a fixed heavy quark mass, or for heavier heavy quark masses with a fixed light quark mass. As a result, we are not able to extract signals for our lightest light quark  $\kappa$ = 0.138 16, except for a few cases when the daughter pion does not have finite spatial momentum. We also note that we carried out simulations for one additional heavy quark mass  $aM_0$ =10.0. We found, however, that the signal is intolerably noisy, so that we do not use those data in our analysis.

### C. Matrix elements

In order to obtain the matrix element  $\langle \pi(k_{\pi}) | V_{\mu}^{(i)} | B(p_B) \rangle$ from Eq. (5.11), we have to eliminate  $Z_{\pi}^{L}(k_{\pi}) Z_{B}^{L}(p_B)$  in the denominator. For this purpose we fit the smeared-smeared and smeared-local two-point functions with a single exponential as in Eqs. (5.7) and (5.8) for the extraction of  $Z_{\pi}^{L}(k_{\pi})/\sqrt{E_{\pi}(k_{\pi})}$ , and in Eqs. (5.9) and (5.10) for  $Z_{B}^{L}(p_B)/\sqrt{E_{B}(p_B)}$ . We then obtain the combination

$$\hat{V}_{\mu}^{(i)}(\boldsymbol{k}_{\pi},\boldsymbol{p}_{B}) = \frac{\langle \pi(\boldsymbol{k}_{\pi}) | V_{\mu}^{(i)} | B(\boldsymbol{p}_{B}) \rangle}{\sqrt{E_{\pi}(\boldsymbol{k}_{\pi})E_{B}(\boldsymbol{p}_{B})}}.$$
(5.12)

Numerical results are listed in Tables V–VIII for each light and heavy quark mass. The first column denotes the momentum configuration as shown in Table IV.



FIG. 1. Ratio  $R^{V_{\mu}^{(i)}}(t; \boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}$  for five combinations of  $\boldsymbol{k}_{\pi}$  and  $\boldsymbol{p}_{B}$ ). Filled symbols represent the ratio for  $V_{4}^{(0)}$ , and open symbols are for  $V_{1}^{(0)}$ . Light quark is at  $\kappa$ = 0.137 11, and the heavy quark mass roughly corresponds to the *b* quark mass, i.e.,  $aM_{0}$ = 3.0.

#### VI. RESULTS FOR THE FORM FACTORS

## A. Energy-momentum dispersion relations

In order to extract the form factors from the matrix elements (5.12), we have to determine the meson energy of the initial and final states for given spatial momenta. It may be obtained either by assuming a continuum dispersion relation or by actually measuring the meson energy with the given momenta.

For the pion, which is relativistic, the continuum dispersion relation is written as

$$E_{\pi}(\boldsymbol{k}_{\pi})^2 = M_{\pi}^2 + \boldsymbol{k}_{\pi}^2. \tag{6.1}$$

The measured values of  $[aE_{\pi}(k_{\pi})]^2$  for momenta  $k_{\pi}$ 

=(1,0,0) and (1,1,0), in units of  $2\pi/La$ , are given in Table IX and also plotted in Fig. 2 for each light quark mass we calculated. We find a nice agreement with the expectation (6.1). The relation (6.1) may be modified on the lattice due to lattice artifacts; a possible form is given by replacing  $ak_{\pi}$  with  $\sin(ak_{\pi})$ , which satisfies the periodic boundary condition. The magnitude of such an effect is not significant, though, since the momentum considered is small enough and the difference between  $ak_{\pi}$  and  $\sin(ak_{\pi})$  is less than 3%.

The dispersion relation for the heavy-light meson is well described by the nonrelativistic form

$$E_{\rm bin}(\boldsymbol{p}_B) = E_{\rm bin}(\boldsymbol{\theta}) + \frac{\boldsymbol{p}_B^2}{2M_B},\tag{6.2}$$

TABLE V. Matrix elements  $\hat{V}^{(i)}_{\mu}$  at  $\kappa = 0.13630$ . The first column represents the momentum configuration as defined in Table IV.

id.	$\hat{V}_4^{(0)}$	$\hat{V}_4^{(1)}$	$\hat{V}_{4}^{(2)}$	$\hat{V}_1^{(0)}$	$\hat{V}_1^{(1)}$	$\hat{V}_1^{(2)}$	$\hat{V}_1^{(3)}$	$\hat{V}_{1}^{(4)}$
				$aM_0 = 5.0$				
p000.q000	2.059(44)	0.1874(53)	-0.1874(53)					
p100.q100	2.052(52)	0.2304(72)	-0.1862(60)	0.010(10)	-0.660(17)	0.001(3)	-0.713(18)	-0.053(4)
p100.q000	1.79(17)	-0.023(28)	0.023(28)	0.876(90)	-0.496(53)	0.013(20)	-0.241(26)	0.241(26)
p100.q110	1.645(64)	0.272(15)	0.0602(86)	-0.740(30)	-0.121(26)	0.004(5)	-0.358(20)	-0.266(10)
p000.q100	1.554(56)	0.216(13)	0.0603(97)	-0.751(29)	-0.063(23)	0.011(7)	-0.321(19)	-0.268(11)
p100.q200	1.34(11)	0.487(43)	0.073(20)	-0.697(62)	-0.547(70)	0.042(16)	-0.765(71)	-0.263(25)
				$aM_0 = 3.0$				
p000.q000	2.087(33)	0.217(41)	-0.2173(41)					
p100.q100	2.066(39)	0.2802(60)	-0.2126(47)	0.031(8)	-0.632(12)	0.016(2)	-0.697(13)	-0.081(3)
p100.q000	1.81(16)	0.008(23)	-0.008(23)	0.877(80)	-0.416(44)	0.003(16)	-0.207(22)	0.207(22)
p100.q110	1.621(57)	0.302(13)	0.0426(66)	-0.704(26)	-0.152(22)	0.034(4)	-0.351(17)	-0.274(9)
p000.q100	1.547(50)	0.231(11)	0.0390(73)	-0.710(25)	-0.096(18)	0.0393(53)	-0.325(16)	-0.268(9)
p100.q200	1.343(94)	0.495(34)	0.073(15)	-0.627(46)	-0.544(53)	0.076(13)	-0.762(57)	-0.296(20)
				$aM_0 = 2.1$				
p000.q000	2.108(30)	0.2552(41)	-0.2552(41)					
p100.q100	2.072(35)	0.3354(61)	-0.2469(46)	0.057(7)	-0.606(10)	0.030(2)	-0.680(11)	-0.105(3)
p100.q000	1.85(16)	0.050(22)	-0.050(22)	0.898(73)	-0.347(39)	-0.008(14)	-0.178(19)	0.178(19)
p100.q110	1.607(51)	0.341(12)	0.0172(58)	-0.683(23)	-0.184(18)	0.060(4)	-0.350(15)	-0.278(8)
p000.q100	1.555(47)	0.254(10)	0.0129(65)	-0.686(22)	-0.130(15)	0.064(5)	-0.333(14)	-0.268(8)
p100.q200	1.321(86)	0.504(32)	0.067(15)	-0.556(41)	-0.551(48)	0.117(16)	-0.749(51)	-0.315(19)
				$aM_0 = 1.3$				
p000.q000	2.140(25)	0.3278(40)	-0.3278(40)					
p100.q100	2.066(34)	0.4323(74)	-0.3087(54)	0.103(6)	-0.556(10)	0.057(2)	-0.644(10)	-0.146(3)
p100.q000	1.94(16)	0.136(24)	-0.136(24)	0.943(66)	-0.229(34)	-0.030(12)	-0.130(16)	0.130(16)
p100.q110	1.519(45)	0.399(14)	-0.0227(63)	-0.636(23)	-0.234(16)	0.103(5)	-0.338(13)	-0.269(8)
p000.q100	1.581(45)	0.304(10)	-0.0361(63)	-0.655(19)	-0.189(14)	0.110(5)	-0.348(13)	-0.269(7)
p100.q200	1.278(71)	0.551(30)	0.049(11)	-0.478(31)	-0.564(39)	0.180(14)	-0.733(41)	-0.349(17)

in which the meson mass  $M_B$  appears in the kinetic energy term.<sup>3</sup> In NRQCD, the heavy-light meson mass is written in terms of the bare mass  $aM_0$  and the binding energy  $aE_{bin}(\boldsymbol{\theta})$  as

$$aM_B = Z_m aM_0 - aE_0 + aE_{\rm bin}(0),$$
 (6.3)

where  $aE_0$  is an energy shift and  $Z_m$  is a mass renormalization factor. Both factors are calculated at the one-loop level [16,17,19],

$$aE_0 = \alpha_s A, \tag{6.4}$$

$$Z_m = 1 + \alpha_s B, \tag{6.5}$$

and the numerical coefficients *A* and *B* are given in Table I of [19]. The heavy-light meson mass evaluated with Eq. (6.3) using the *V*-scheme coupling  $\alpha_V(q^*)$  [24] at  $q^*=1/a$  is

listed in Table X, and the binding energy in Table XI. Since the one-loop correction partially cancels between  $Z_m a M_0$ and  $aE_0$ , the uncertainty due to the choice of  $q^*$  is small, i.e., at most 3% for  $aM_0=1.3$  and even smaller for larger  $aM_0$ .

In Fig. 3, a comparison is made of our simulation data with the form of Eq. (6.2) in which the value of  $M_B$  evaluated according to Eq. (6.3) is substituted. We find good agreement except for the data at  $\kappa = 0.13630$ . Even in the worst case, the disagreement does not exceed 1%. Therefore, we employ the dispersion relation (6.2) with the perturbatively estimated meson mass  $aM_B$  in the following analysis of the form factors, rather than using the measured binding energy, which has significant statistical errors and complicates our analysis. The same strategy is taken for the pion energy, namely, we use the relation (6.1) with the measured value for  $aM_{\pi}$ .

#### **B.** Form factor extraction

The continuum matrix element is obtained from  $\hat{V}^{(i)}_{\mu}(\boldsymbol{k}_{\pi},\boldsymbol{p}_{B})$  defined in Eq. (5.12) using the matching formula of the vector current (4.9),(4.10) as

<sup>&</sup>lt;sup>3</sup>Here we use a capital symbol  $M_B$  to represent the generic heavylight meson mass we deal with on the lattice, while keeping  $m_B$  to denote the physical *B* meson mass.

					•			
id.	$\hat{V}_{4}^{(0)}$	$\hat{V}_{4}^{(1)}$	$\hat{V}_{4}^{(2)}$	$\hat{V}_1^{(0)}$	$\hat{V}_1^{(1)}$	$\hat{V}_{1}^{(2)}$	$\hat{V}_{1}^{(3)}$	$\hat{V}_{1}^{(4)}$
				$aM_0 = 5.0$	)			
p000.q000	2.222(61)	0.2754(88)	-0.2754(88)					
p100.q100	2.211(70)	0.326(11)	-0.2756(99)	-0.005(13)	-0.708(23)	-0.009(4)	-0.772(24)	-0.056(5)
p100.q000	1.76(30)	0.051(40)	-0.051(40)	1.11(15)	-0.523(82)	0.071(28)	-0.224(41)	0.224(41)
p100.q110	1.71(11)	0.338(31)	0.036(15)	-0.843(59)	-0.126(46)	-0.056(10)	-0.408(36)	-0.243(19)
p000.q100	1.50(10)	0.262(26)	0.038(17)	-0.826(56)	-0.037(41)	-0.037(13)	-0.322(34)	-0.249(20)
p100.q200	1.31(19)	0.556(78)	0.074(30)	-0.81(11)	-0.53(12)	-0.008(26)	-0.77(12)	-0.229(40)
				$aM_0 = 3.0$	)			
p000.q000	2.242(48)	0.3050(72)	-0.3050(72)					
p100.q100	2.217(54)	0.375(10)	-0.3003(80)	0.019(11)	-0.679(17)	0.009(3)	-0.755(18)	-0.085(4)
p100.q000	1.81(27)	0.063(31)	-0.063(31)	1.06(12)	-0.408(65)	0.058(19)	-0.175(32)	0.175(32)
p100.q110	1.667(97)	0.362(27)	0.020(12)	-0.789(50)	-0.151(38)	-0.020(7)	-0.390(30)	-0.256(15)
p000.q100	1.485(89)	0.268(21)	0.020(13)	-0.771(47)	-0.076(32)	-0.004(10)	-0.326(29)	-0.247(15)
p100.q200	1.32(15)	0.564(59)	0.062(21)	-0.726(81)	-0.519(87)	0.034(18)	-0.770(93)	-0.285(30)
				$aM_0 = 2.1$				
p000.q000	2.254(42)	0.3434(67)	-0.3434(67)					
p100.q100	2.214(50)	0.431(10)	-0.3345(81)	0.050(10)	-0.650(15)	0.024(2)	-0.737(16)	-0.111(4)
p100.q000	1.85(25)	0.092(30)	-0.092(30)	1.05(11)	-0.330(56)	0.046(16)	-0.141(27)	0.141(27)
p100.q110	1.634(86)	0.399(25)	-0.005(10)	-0.765(43)	-0.183(32)	0.013(6)	-0.382(27)	-0.263(13)
p000.q100	1.495(83)	0.287(20)	-0.003(11)	-0.743(42)	-0.115(28)	0.024(8)	-0.337(26)	-0.247(13)
p100.q200	1.31(12)	0.590(51)	0.055(17)	-0.679(61)	-0.539(71)	0.076(15)	-0.777(78)	-0.314(26)
				$aM_0 = 1.3$	3			
p000.q000	2.276(35)	0.4170(66)	-0.4170(66)					
p100.q100	2.193(43)	0.527(10)	-0.3956(80)	0.1038(80)	-0.595(12)	0.0531(20)	-0.697(13)	-0.1557(37)
p100.q000	1.91(23)	0.146(35)	-0.146(35)	1.02(10)	-0.215(47)	0.019(17)	-0.098(23)	0.098(23)
p100.q110	1.486(72)	0.443(25)	-0.041(11)	-0.701(40)	-0.225(27)	0.0644(74)	-0.352(23)	-0.254(11)
p000.q100	1.529(76)	0.332(19)	-0.049(11)	-0.711(34)	-0.182(23)	0.0754(82)	-0.356(22)	-0.250(12)
p100.q200	1.28(10)	0.620(47)	0.037(14)	-0.575(45)	-0.559(56)	0.149(15)	-0.765(62)	-0.355(23)

TABLE VI. Matrix elements  $\hat{V}_{\mu}^{(i)}$  at  $\kappa = 0.137$  11.

$$\hat{V}_{4}^{cont}(\boldsymbol{k}_{\pi},\boldsymbol{p}_{B}) = \left(1 + \alpha_{s} \left[\frac{1}{\pi} \ln(aM_{0}) + \rho_{V_{4}}^{(0)}\right]\right) \hat{V}_{4}^{(0)}(\boldsymbol{k}_{\pi},\boldsymbol{p}_{B}) + \alpha_{s} \rho_{V_{4}}^{(1)} \hat{V}_{4}^{(1)}(\boldsymbol{k}_{\pi},\boldsymbol{p}_{B}) + \alpha_{s} \rho_{V_{4}}^{(2)} \hat{V}_{4}^{(2)}(\boldsymbol{k}_{\pi},\boldsymbol{p}_{B}),$$
(6.6)

$$aM_0 + o_{i}^{(0)} \bigg] \hat{V}^{(0)}(k_{-i})$$

$$\begin{split} \hat{V}_{k}^{cont}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) = & \left(1 + \alpha_{s} \left[\frac{1}{\pi} \ln(aM_{0}) + \rho_{V_{k}}^{(0)}\right]\right) \hat{V}_{k}^{(0)}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) \\ & + \alpha_{s} \rho_{V_{k}}^{(1)} \hat{V}_{k}^{(1)}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) + \alpha_{s} \left[\frac{4}{\pi} \ln(aM_{0}) \right. \\ & \left. + \rho_{V_{k}}^{(2)}\right] \hat{V}_{k}^{(2)}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) + \alpha_{s} \rho_{V_{k}}^{(3)} \hat{V}_{k}^{(3)}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) \\ & \left. + \alpha_{s} \left[-\frac{4}{3\pi} \ln(aM_{0}) + \rho_{V_{k}}^{(4)}\right] \hat{V}_{k}^{(4)}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}). \end{split}$$

$$(6.7)$$

We use the V-scheme coupling  $\alpha_V(q^*)$  for the coupling constant  $\alpha_s$ . Since the scale  $q^*$  that dominates the lattice oneloop integral is not yet known, we examine the uncertainty in the scale setting by calculating the form factors at  $q^* = 1/a$  and at  $\pi/a$ . We use the difference in the results, which is the two-loop effect of  $O(\alpha_s^2)$ , as an estimate of higher order perturbative errors. The numerical value of the coupling is  $\alpha_V(1/a) = 0.270$  and  $\alpha_V(\pi/a) = 0.164$  at  $\beta = 5.9$  in the quenched approximation.

From the definitions of  $f_1(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  given in Eq. (2.3), we obtain the following formula for the form factors:

$$f_{1}(v \cdot k_{\pi}) + f_{2}(v \cdot k_{\pi}) = \sum_{\mu} v^{\mu} \left[ \sqrt{\frac{E_{\pi}(\boldsymbol{k}_{\pi})E_{B}(\boldsymbol{p}_{B})}{4M_{B}}} \times \hat{V}_{\mu}^{cont}(\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) \right], \quad (6.8)$$

$$f_{2}(v \cdot k_{\pi}) \left[ 1 - \frac{M_{\pi}^{2}}{(v \cdot k_{\pi})^{2}} \right] = \sum_{\mu} \left( v^{\mu} - \frac{k_{\pi}^{\mu}}{(v \cdot k_{\pi})} \right) \\ \times \left[ \sqrt{\frac{E_{\pi}(\boldsymbol{k}_{\pi})E_{B}(\boldsymbol{p}_{B})}{4M_{B}}} \hat{v}_{\mu}^{cont} \right. \\ \left. \times (\boldsymbol{k}_{\pi}, \boldsymbol{p}_{B}) \right], \qquad (6.9)$$

TABLE VII. Matrix elements  $\hat{V}^{(i)}_{\mu}$  at  $\kappa = 0.13769$ .

id.	$\hat{V}_4^{(0)}$	$\hat{V}_{4}^{(1)}$	$\hat{V}_{4}^{(2)}$	$\hat{V}_1^{(0)}$	$\hat{V}_1^{(1)}$	$\hat{V}_{1}^{(2)}$	$\hat{V}_{1}^{(3)}$	$\hat{V}_{1}^{(4)}$
				$aM_0 = 5.0$	)			
p000.q000	2.433(92)	0.382(15)	-0.382(15)	-				
p100.q100	2.42(10)	0.448(21)	-0.385(17)	-0.031(21)	-0.771(34)	-0.020(60)	-0.850(37)	-0.059(8)
p100.q000	1.75(55)	0.118(75)	-0.118(75)	1.47(31)	-0.57(15)	0.170(62)	-0.197(77)	0.197(77)
p100.q110	1.74(19)	0.390(63)	0.019(29)	-0.94(11)	-0.130(85)	-0.120(23)	-0.461(65)	-0.203(35)
p000.q100	1.33(17)	0.292(50)	0.014(32)	-0.85(11)	0.007(75)	-0.081(28)	-0.288(63)	-0.224(36)
p100.q200	1.36(33)	0.70(16)	0.089(53)	-1.06(24)	-0.55(22)	-0.061(53)	-0.83(21)	-0.216(74)
				$aM_0 = 3.0$	)			
p000.q000	2.439(71)	0.411(12)	-0.411(12)					
p100.q100	2.413(78)	0.494(17)	-0.406(13)	-0.001(17)	-0.738(24)	-0.000(4)	-0.830(27)	-0.091(6)
p100.q000	1.76(50)	0.111(60)	-0.111(60)	1.38(25)	-0.40(11)	0.145(45)	-0.127(60)	0.127(60)
p100.q110	1.69(16)	0.407(53)	0.003(22)	-0.864(96)	-0.142(68)	-0.076(16)	-0.430(54)	-0.230(28)
p000.q100	1.31(15)	0.283(42)	0.004(24)	-0.785(91)	-0.043(58)	-0.038(19)	-0.294(53)	-0.218(27)
p100.q200	1.37(26)	0.70(12)	0.057(38)	-0.92(16)	-0.55(15)	-0.006(34)	-0.83(16)	-0.284(56)
				$aM_0=2.1$	l			
p000.q000	2.438(61)	0.449(11)	-0.449(11)					
p100.q100	2.392(72)	0.548(17)	-0.439(13)	0.037(14)	-0.705(22)	0.017(3)	-0.807(24)	-0.119(5)
p100.q000	1.81(46)	0.132(59)	-0.132(59)	1.33(21)	-0.305(97)	0.125(37)	-0.086(51)	0.086(51)
p100.q110	1.63(14)	0.441(49)	-0.023(19)	-0.837(83)	-0.169(57)	-0.036(12)	-0.410(47)	-0.244(24)
p000.q100	1.33(14)	0.299(39)	-0.014(22)	-0.760(79)	-0.088(49)	-0.009(15)	-0.310(47)	-0.217(24)
p100.q200	1.35(22)	0.72(10)	0.047(31)	-0.85(12)	-0.572(12)	0.043(27)	-0.85(13)	-0.315(47)
				$aM_0 = 1.3$	3			
p000.q000	2.445(51)	0.525(11)	-0.525(11)					
p100.q100	2.350(61)	0.643(17)	-0.499(12)	0.102(12)	-0.643(17)	0.050(3)	-0.762(20)	-0.169(5)
p100.q000	1.86(41)	0.163(68)	-0.163(68)	1.21(19)	-0.190(83)	0.088(35)	-0.049(44)	0.049(44)
p100.q110	1.43(11)	0.476(47)	-0.058(21)	-0.751(72)	-0.200(46)	0.024(12)	-0.355(40)	-0.242(20)
p000.q100	1.38(13)	0.341(37)	-0.054(22)	-0.742(63)	-0.160(41)	0.042(14)	-0.337(40)	-0.222(21)
p100.q200	1.35(17)	0.741(86)	0.023(26)	-0.711(87)	-0.610(96)	0.126(25)	-0.85(10)	-0.367(42)

where  $v^{\mu} = (E_B(\mathbf{p}_B), \mathbf{p}_B)/M_B$  and  $k_{\pi}^{\mu} = (E_{\pi}(\mathbf{k}_{\pi}), \mathbf{k}_{\pi})$ . By construction, for the initial *B* meson at rest,  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  is proportional to the temporal component  $\hat{V}_4^{cont}(\mathbf{k}_{\pi}, \mathbf{p}_B)$ , while  $f_2(v \cdot k_{\pi})$  comes from the spatial component  $\hat{V}_k^{cont}(\mathbf{k}_{\pi}, \mathbf{p}_B)$ . Even for a *B* meson with momentum (1,0,0), the velocity is small  $(\mathbf{p}_B/M_B \approx 0.07 - 0.2$  depending on the heavy quark mass), and the major effect is from the temporal or spatial component of  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  or of  $f_2(v \cdot k_{\pi})$ , respectively.

An example of the form factors is plotted in Fig. 4 for  $aM_0=3.0$ , which is close to the *b* quark mass, and  $\kappa = 0.13630$ . The point of smallest  $av \cdot k_{\pi}$  corresponds to the zero recoil configuration, i.e., the initial and final particles are at rest so that  $av \cdot k_{\pi} = aM_{\pi}$ . At that point, only the temporal component  $\hat{V}_4^{cont}(k_{\pi}, p_B)$  can be measured while the spatial component vanishes. The momentum configuration  $p_B=(1,0,0)$  and  $k_{\pi}=(0,0,0)$  gives a very similar  $av \cdot k_{\pi}$ , because of the large heavy quark mass and small spatial velocity. As a result, the data point almost lies on top of that at zero recoil. We are not able to measure  $f_2(v \cdot k_{\pi})$  reliably at this point, since the value of the spatial component  $\hat{V}_1^{cont}(k_{\pi}, p_B)$  is too small. There are four other momentum configurations (see Table IV), for which both  $f_1(v \cdot k_{\pi})$ 

 $+f_2(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  are measured. Among them, two momentum configurations sharing the same  $k_{\pi} = (1,0,0)$  and having different  $p_B$  have almost identical values of  $av \cdot k_{\pi}$ for the same reason as above, and cannot be distinguished from each other in the plot (the middle point of the three filled data points).

From Fig. 4 we also see that the effect of choosing  $\alpha_V(1/a)$  (circles) or  $\alpha_V(\pi/a)$  (squares) is small; it is smaller than the statistical error except for the zero recoil point where the statistical error is minimum. Therefore in the following analysis we use the data with  $\alpha_V(1/a)$ . In the final results we will include their difference in the systematic error estimation.

TABLE VIII. Matrix element  $\hat{V}_4^{(i)}$  at  $\kappa = 0.13816$  for the zero recoil configuration (p000.q000).

aM <sub>0</sub>	$\hat{V}_4^{(0)}$	$\hat{V}_4^{(1)}$	$\hat{V}_4^{(2)}$
5.0	2.78(15)	0.551(31)	-0.551(31)
3.0	2.76(11)	0.578(23)	-0.578(23)
2.1	2.74(10)	0.615(22)	-0.615(22)
1.3	2.721(85)	0.690(20)	-0.690(20)

TABLE IX. Pion energy  $aE_{\pi}(k_{\pi})$  for spatial momenta (0,0,0), (1,0,0), (1,1,0) in units of  $2\pi/La$ .

		к		
	0.13630	0.13711	0.13769	0.13816
(0,0,0)	0.48816(62)	0.40756(68)	0.34005(78)	0.2723(11)
(1,0,0)	0.6209(28)	0.5578(44)	0.5065(73)	0.459(15)
(1,1,0)	0.7424(43)	0.6967(71)	0.654(15)	0.626(32)

#### C. Heavy quark mass dependence

As we discussed in Sec. II, the heavy quark scaling is manifest for the form factors  $f_1(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$ ; namely,  $f_{1,2}(v \cdot k_{\pi})$  behaves as a constant at the leading order of the 1/M expansion. Here we examine the heavy quark mass dependence of  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  explicitly by comparing the results with different heavy quark masses.

In order to remove the logarithmic dependence on the heavy quark mass that appears from the matching of the vector current between the full QCD and lattice NRQCD (4.9),(4.10), we define the renormalization group invariant form factors  $\Phi_{1+2}(v \cdot k_{\pi})$  and  $\Phi_2(v \cdot k_{\pi})$  as

$$\Phi_{1+2}(v \cdot k_{\pi}) = \left(\frac{\alpha_s(M_B)}{\alpha_s(m_B)}\right)^{2/\beta_0} [f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})],$$
(6.10)

$$\Phi_2(v \cdot k_\pi) = \left(\frac{\alpha_s(M_B)}{\alpha_s(m_B)}\right)^{2/\beta_0} f_2(v \cdot k_\pi), \tag{6.11}$$

where  $\beta_0$  denotes the first coefficient of the QCD beta function. We note that  $M_B$  is the heavy-light meson mass measured on the lattice for a given  $aM_0$ , while  $m_B$  is the physical B meson mass.

Figure 5 shows  $\Phi_{1+2}(v \cdot k_{\pi})$  and  $\Phi_2(v \cdot k_{\pi})$  for several values of  $aM_0$ . We find that the 1/M correction gives only a small effect in the range of the heavy quark mass we explored, which corresponds to 2–8 GeV. In fact, there is no significant shift in the magnitude of the form factors caused by a change of the heavy quark mass. A small effect can be



FIG. 2. Dispersion relation for pion. The lines represent the continuum form (6.1).

TABLE X. Heavy-light meson mass  $aM_B$  evaluated using Eq. (6.3) with  $\alpha_V(1/a)$ .

		к		
$aM_0$	0.13630	0.13711	0.13769	0.13816
5.0	5.5702(21)	5.5476(29)	5.5318(39)	5.5203(58)
3.0	3.6606(15)	3.6376(20)	3.6212(27)	3.6088(40)
2.1	2.7992(12)	2.7758(16)	2.7588(22)	2.7455(32)
1.3	2.0301(10)	2.0058(13)	1.9883(17)	1.9741(25)

seen in the value of  $av \cdot k_{\pi}$  for two momentum configurations for which  $p_B \cdot k_{\pi} \neq 0$ . However, it does not seem to change the global shape of the form factors.

The small 1/M correction we found is of great phenomenological importance, as it justifies the use of heavy quark symmetry to predict the  $B \rightarrow \pi l \nu$  form factors from those of  $D \rightarrow \pi l \nu$  and  $D \rightarrow K l \nu$  [12]. We discuss this method and possible uncertainties in Sec. VIII.

#### D. Light quark mass dependence

In order to obtain the physical form factors we need to extrapolate our result to the physical light (u and d) quark mass. For this purpose we examine the light quark mass dependence of the form factors  $f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$  and  $f_2(v \cdot k_\pi)$  using the data, which cover the range 0.45–0.80 GeV of the pion mass. Unfortunately, the signal is badly contaminated by statistical noise for the lightest data, so that we are not able to extract the form factors except for the zero recoil limit of  $f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$ . For three other  $\kappa$  values, the data are fully available and we mainly use them to see the light quark mass dependence.

Figure 6 shows the measured form factors at four different light quark masses. The heavy quark mass is fixed at  $aM_0 = 2.1$ . Since the minimum value of  $av \cdot k_{\pi}$  is  $aM_{\pi}$ , the range of  $av \cdot k_{\pi}$  where the data are available moves to the left hand side as the light quark mass decreases. On the other hand, a change of the value of the form factors  $f_1(v \cdot k_{\pi})$ 

TABLE XI. Binding energy of the heavy-light meson  $aE_{bin}(k_B)$  for spatial momenta (0,0,0) and (1,0,0) in units of  $2\pi/La$ .

		к		
	0.13630	0.13711	0.13769	0.13816
		$aM_0 = 5.0$		
(0,0,0)	0.5887(21)	0.5661(29)	0.5503(39)	0.5388(58)
(1,0,0)	0.6062(15)	0.5821(22)	0.5668(29)	0.5554(40)
		$aM_0 = 3.0$	1	
(0,0,0)	0.5830(15)	0.5600(20)	0.5436(27)	0.5312(40)
(1,0,0)	0.6071(13)	0.5826(17)	0.5665(23)	0.5546(32)
		$aM_0 = 2.1$		
(0,0,0)	0.5750(12)	0.5515(16)	0.5346(22)	0.5212(32)
(1,0,0)	0.6054(12)	0.5804(15)	0.5638(20)	0.5512(28)
		$aM_0 = 1.3$	1	
(0,0,0)	0.5571(10)	0.5328(13)	0.5152(17)	0.5010(25)
(1,0,0)	0.5984(12)	0.5711(18)	0.5532(24)	0.5387(35)



FIG. 3. Dispersion relation for the heavy-light meson at  $aM_0 = 5.0$ , 3.0, 2.1, and 1.3. The lines represent the nonrelativistic form (6.2) with perturbatively calculated meson mass  $aM_B$ .

 $+f_2(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  is not significant if we compare the data for a given momentum configuration. For instance, the values of  $a^{1/2}[f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})]$  stay almost constant around 0.68 over the range  $v \cdot k_{\pi} = 0.27 - 0.49$ , which corresponds to the lightest and the heaviest data. If we look at the change at fixed  $v \cdot k_{\pi}$ , there is an apparent downward shift of  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$ . This is due to a negative slope in  $av \cdot k_{\pi}$  in the data at fixed light quark mass. On the other hand,



FIG. 4. A typical plot of the form factors  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$ (open symbols) and  $f_2(v \cdot k_{\pi})$  (filled symbols) in the lattice unit. Parameters are  $aM_0=3.0$ ,  $\kappa=0.13630$ , and  $\alpha_V(1/a)$  (circles) or  $\alpha_V(\pi/a)$  (squares) are used for the perturbative matching. The data for  $\alpha_V(\pi/a)$  are slightly shifted in the horizontal direction for clarity.

for  $f_2(v \cdot k_{\pi})$  the light quark mass dependence is less significant, since the data at fixed  $\kappa$  do not seem to have a nonzero slope.

#### E. Global fit

In order to extract the physical form factors, we have to consider the dependence on three parameters, i.e., the inverse



FIG. 5. The renormalization group invariant form factors  $\Phi_{1+2}(v \cdot k_{\pi})$  (open symbols) and  $\Phi_2(v \cdot k_{\pi})$  (filled symbols) for different values of  $aM_0$  with fixed light quark mass  $\kappa = 0.13630$ . Symbols denote the data at  $aM_0 = 5.0$  (circles), 3.0 (squares), 2.1 (diamonds), and 1.3 (triangles). Solid and dashed lines show the fit (6.12),(6.13) for the heaviest  $(aM_0 = 5.0)$  and the lightest  $(aM_0 = 1.3)$  heavy quark masses, respectively.



FIG. 6. Light quark mass dependence of  $f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$ (open symbols) and  $f_2(v \cdot k_\pi)$  (filled symbols) for  $aM_0 = 2.1$ . Symbols denote the data at  $\kappa = 0.13630$  (circles), 0.13711 (squares), 0.13769 (diamonds), and 0.13816 (triangles). The three thin solid lines, from top to bottom, show the fit (6.13) for  $f_2(v \cdot k_\pi)$  with three  $\kappa$  values, from heaviest to lightest. The four thin dashed lines, on the other hand, correspond to the fit (6.12) for the data of  $f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$  for four values of  $\kappa$ . The thick lines represent the limits of physical light quark mass.

heavy meson mass  $1/M_B$ , the light quark mass  $m_q$ , and the energy release  $v \cdot k_{\pi}$ . The heavy quark effective theory together with the chiral perturbation theory suggest that we can expand the form factors in powers of  $1/M_B$  and  $m_q$ . On the other hand, there is no theoretical guide for the functional dependence on  $v \cdot k_{\pi}$ . Therefore, in fitting the data we use a Taylor expansion around an arbitrarily chosen point  $v \cdot k_{\pi}$  $= (v \cdot k_{\pi})_0$ , which in practice we take in the middle of the measured range. Thus we employ the following form to fit the data:

$$a^{1/2}\Phi_{1+2}(v \cdot k_{\pi}) = C_{1+2}^{(000)} + \frac{C_{1+2}^{(100)}}{aM_B} + C_{1+2}^{(010)}am_q + (C_{1+2}^{(001)} + C_{1+2}^{(011)}am_q)[av \cdot k_{\pi} - (av \cdot k_{\pi})_0] + C_{1+2}^{(002)}[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]^2, \quad (6.12)$$

$$a^{1/2}\Phi_{2}(v \cdot k_{\pi}) = C_{2}^{(000)} + \frac{C_{2}^{(100)}}{aM_{B}} + C_{2}^{(010)}am_{q} + C_{2}^{(001)}[av \cdot k_{\pi} - (av \cdot k_{\pi})_{0}], \quad (6.13)$$

where the superscript (ijk) for the coefficient denotes the order of expansion in  $1/aM_B$ ,  $am_q$ , and  $[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]$ , in the given order. The fit results for  $(av \cdot k_{\pi})_0 = 0.5$  are listed in Table XII.

The choice of keeping or dropping a certain term in Eq. (6.12),(6.13) is empirical. Our experience in calculations of the heavy-light decay constant and the *B* parameters suggests that both the  $1/M_B$  and  $am_q$  expansions can be safely truncated at the first order. This is consistent with an argument of naive power counting assuming that the relevant mass scale is around  $\Lambda_{QCD}$ . We find that is indeed the case also for the  $B \rightarrow \pi l \nu$  form factors, as we shall discuss in the following.

In Eqs. (6.12),(6.13) the  $1/M_B$  expansion is truncated at first order, since the  $1/M_B$  correction is not significant as discussed in Sec. VI C, so that there is no sensitivity to higher order corrections. Even the first order coefficients  $C_{1+2}^{(100)}$  and  $C_2^{(100)}$  are consistent with zero within the statistical error. This truncation is also consistent with our NRQCD action, because we do not include corrections of order  $1/M_0^2$  or higher in the action (3.2).

The light quark mass dependence of  $a^{1/2}\Phi_{1+2}(v \cdot k_{\pi})$  is consistent with a linear function if we fix  $av \cdot k_{\pi}$  at  $(av \cdot k_{\pi})_0 = 0.5$ , for instance. Thus we truncate the expansion in  $am_q$  at the first order. We also keep a cross term with the leading  $[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]$  correction, but its coefficient  $C_{1+2}^{(011)}$  is consistent with zero. For  $a^{1/2}\Phi_2(v \cdot k_{\pi})$  the light quark mass dependence is not significant as discussed in Sec. VI D. Although we keep the first order correction to be conservative, its coefficient  $C_2^{(010)}$  is almost consistent with zero.

As for the functional dependence of the form factors on  $av \cdot k_{\pi}$ , we include the  $[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]^2$  term for  $a^{1/2}\Phi_{1+2}(v \cdot k_{\pi})$ , while the second order term is neglected for  $a^{1/2}\Phi_2(v \cdot k_{\pi})$ . The reason is that we find a significant slope in  $a^{1/2}\Phi_{1+2}(v \cdot k_{\pi})$ , so that a higher order term  $[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]^2$  is also included for safety. The other form factor  $a^{1/2}\Phi_2(v \cdot k_{\pi})$  behaves almost like a constant, and it is enough to keep the first order term.

While we introduce several terms for which the coefficient is not well determined, i.e., consistent with zero, this does not mean our results for the physical form factors have large uncertainty, as long as we use the results for an interpolation in the relevant parameters. For example, the heavy quark mass we simulate covers the *b* quark mass, so that only an interpolation is required. For the parameter  $[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]$ , we restrict ourselves to considering the region where the data are available. Therefore, we can obtain the

TABLE XII. Global fit parameters in the form (6.12),(6.13). In each column, top and bottom numbers correspond to the results with  $\alpha_V(1/a)$  and  $\alpha_V(\pi/a)$ , respectively.

$C_{1+2}^{(000)}$	$C_{1+2}^{(010)}$	$C_{1+2}^{(100)}$	$C_{1+2}^{(001)}$	$C_{1+2}^{(011)}$	$C_{1+2}^{(002)}$
$0.413(74) \\ 0.392(75) \\ C_2^{(000)}$	$3.79(97) \\ 3.94(99) \\ C_2^{(010)}$	$-0.019(31) \\ 0.070(29) \\ C_2^{(100)}$	-0.53(66) -0.59(66) $C_2^{(001)}$	- 3.3(13.8) - 3.5(13.8)	0.7(2.3) 0.7(2.3)
0.311(47) 0.347(50)	$1.06(1.11) \\ 0.99(1.14)$	0.035(37) - 0.020(37)	-0.06(40) -0.04(40)		

physical form factors in the region 0.67 GeV $\langle v \cdot k_{\pi} \rangle$  $\langle 0.96 \text{ GeV}$  reliably. Outside this region, the fit (6.12),(6.13) appears to introduce a large uncertainty. For the light quark mass, we have to consider an extrapolation to the physical limit of *u* and *d* quarks. This increases our statistical error significantly.

The fit results are shown in Fig. 5 (heavy quark mass dependence) and in Fig. 6 (light quark mass dependence). In Fig. 6 we also plot the limit of physical light quark mass (thick curves), which is obtained by setting  $am_q$  to the physical average up and down quark masses in Eqs. (6.12),(6.13).

The form factors  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  for the physical  $B \rightarrow \pi l \nu$  decay are plotted in Fig. 7. The region where the lattice data are interpolated in  $[av \cdot k_{\pi} - (av \cdot k_{\pi})_0]$  is plotted with symbols. Going outside that region requires an extrapolation, so that the error shown by the dashed curves rapidly grows.

#### F. Soft pion theorem

In the soft pion limit, i.e.,  $m_{\pi}$  and  $k_{\pi} \rightarrow 0$ , the following relation (2.10) holds:

$$f^{0}(q_{max}^{2}) = \frac{2}{\sqrt{M_{B}}} [f_{1}(0) + f_{2}(0)] = \frac{f_{B}}{f_{\pi}}.$$
 (6.14)

It is an important consistency check to see whether one can reproduce this relation in the lattice calculation.

In Fig. 8 we plot the result of the fit (6.12) by an open triangle and compare it with the lattice calculation of  $f_B/f_{\pi}$  (filled triangle) [19]. The data are presented at fixed heavy quark mass  $aM_0=3.0$ . We should note that the soft pion limit in Eq. (6.12) is far from the region where  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  is obtained by interpolation. Therefore, we expect substantial systematic uncertainty in the fit result. In fact, Fig. 7 demonstrates that the extrapolation to  $v \cdot k_{\pi}=0$  is not yet very stable.

The soft pion limit can also be achieved along a fixed momentum configuration; namely, we may extrapolate the data for each light quark mass at zero recoil. In this case, however, the momentum transfer  $v \cdot k_{\pi}$  (= $M_{\pi}$ ) changes during the extrapolation, so that we have to consider a fit with two terms  $am_q$  and  $aM_{\pi}$ .<sup>4</sup> Because of the PCAC (partial conservation of axial-vector current) relation  $M_{\pi}^2 \propto m_q$ , it means a quadratic fit in  $\sqrt{am_q}$ . We plot two extrapolations in Fig. 8: a linear form in  $am_q$  (dashed line) and a quadratic fit in  $\sqrt{am_q}$  (solid curve). Although the effect of the term  $\sqrt{am_q}$ seems very small in the data and is seen only at the lightest quark mass, it raises the soft pion limit for the quadratic fit. The result is consistent with the global fit (6.12). Thus we consider that the disagreement of  $f^0(q_{max}^2)$  with  $f_B/f_{\pi}$ ,



FIG. 7. Form factors  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  (open symbols) and  $f_2(v \cdot k_{\pi})$  (filled symbols) at physical mass parameters. The points with symbols are obtained by interpolation in  $v \cdot k_{\pi}$ , while others involve extrapolations.

which seemed to be a serious problem if we only looked at the naive linear extrapolation with only data at zero recoil, is in fact more of a problem in the subtle chiral extrapolation or in the model uncertainty of momentum extrapolation.

The UKQCD [26,25] and APE [7,27] Collaborations found in their studies with relativistic heavy quark action that the soft pion relation (6.14) is satisfied. It should be noted, however, that their method of chiral extrapolation corresponds to our "global fit" method, and the measured kinematical region is far from the soft pion limit. Therefore the result in the soft pion limit should depend on how the extrapolation is made. They employed a polelike model [28] for their fit function. Thus their results in the soft pion limit contain some uncertainty which is not well controlled, just like ours, although the results in the kinematical region obtained by interpolating the lattice data do not suffer from such uncertainties.

Judging from the size of the uncertainties it is too early to consider the deviation from the soft pion relation as a serious problem. This problem can be studied with much statistically significant data with a larger number of momentum points



FIG. 8. Soft pion limit of  $f_0(q_{max}^2) = (2/\sqrt{m_B})[f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})]$  at  $aM_0 = 3.0$ . The dashed line is a linear fit in  $(am_{\pi})^2$ , while the solid curve includes the term  $(am_{\pi})$ . The result of the fit (6.12) is given by an open triangle, which should be equal to  $f_B/f_{\pi}$  (filled triangle) in the soft pion theorem.

<sup>&</sup>lt;sup>4</sup>As discussed in [25], one should include a term that is linear in  $aM_{\pi}$  when  $v \cdot k_{\pi}$  (or  $q^2$  in the relativistic form) varies during the extrapolation of the form factors. The fit becomes more stable if one first interpolates to a fixed  $v \cdot k_{\pi}$  (or  $q^2$ ), and then extrapolates in  $am_q$ . Our strategy of employing the global fit (6.12),(6.13) is equivalent to this method.

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and light quark masses so that the extrapolation in  $v \cdot k_{\pi}$  toward the soft pion limit becomes more stable, which is still beyond the scope of this paper.

#### G. Pole dominance

In the soft pion limit, the heavy meson effective Lagrangian predicts the  $B^*$  pole dominance (2.11), that is,

$$\lim_{v \cdot k_{\pi} \to 0} f_2(v \cdot k_{\pi}) = g \frac{f_{B^*} \sqrt{m_{B^*}}}{2f_{\pi}} \frac{v \cdot k_{\pi}}{v \cdot k_{\pi} + \Delta_B}.$$
 (6.15)

Since the hyperfine splitting  $\Delta_B \equiv M_{B*} - M_B$  is much smaller than the momentum transfer  $v \cdot k_{\pi}$  we measure, we can approximate its functional form by a constant in our data region. Our data support the constant behavior and give  $g(f_{B*}\sqrt{am_{B*}/2f_{\pi}})=0.35(18)$ , which reduces to g=0.30(16). This agrees with the phenomenological value extracted from  $D^* \rightarrow D\pi$  decay 0.27(6) [29], and also with the recent lattice calculation g=0.42(4)(8) [30], which is obtained for the static heavy quark. The agreement suggests that the 1/M correction is small for the form factors.

#### H. Systematic errors

We now discuss possible sources of systematic errors and their estimates. Since the statistical error, the discretization error of  $O(a^2)$ , the perturbative error of  $O(\alpha_s^2)$ , and the chiral extrapolation error are large, we consider only these dominant sources of errors and neglect other subleading errors such as  $O(\alpha_s^2/(aM))$ ,  $O(\alpha_s^2 a \Lambda_{QCD})$ ,  $O(\alpha_s \Lambda_{QCD}/M)$ , and so on.

The size of the two-loop order correction is known only by explicit computation, which is beyond the scope of this paper. Instead, we estimate the size of the perturbative error of  $O(\alpha_s^2)$  as half of the difference of the values for  $q^* = \pi/a$  and 1/a. The typical sizes are 1.5% for  $f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$  and 3.5% for  $f_2(v \cdot k_\pi)$ . The reason for the error in  $f_2(v \cdot k_\pi)$  being larger is that the one-loop renormalization coefficient for heavy-light vector current is larger for the spatial component than for the temporal one and the matrix element of the spatial component gives larger contributions to  $f_2(v \cdot k_\pi)$  in the small recoil region.

The discretization errors of  $O(a^2 \Lambda_{QCD}^2)$  and of  $O(a^2 k_{\pi}^2)$ are also important. The former error is common to most lattice simulations using O(a)-improved actions, and through an order counting we estimate it to be 3% at  $\beta$ =5.9, assuming that the typical momentum scale  $\Lambda_{QCD}$  is around 300 MeV. The latter is specific to the present work since the error due to nonzero recoil momenta appears only in the study of form factors. As the pion momentum treated in our calculation is at most  $2\pi/L$  (L=16) in lattice units, we estimate this error to be about 16% using order estimation.

The error in the chiral extrapolation is another major source of systematic error. Since we have data at only three  $\kappa$  values except for the zero recoil point, it is not practical to test different functional forms of  $m_q$  for the chiral extrapolation. We instead estimate the corresponding error in the form factors by taking the square of the difference between the



FIG. 9. Same as Fig. 7, but with estimated systematic errors.

result of the chiral limit and that of the lightest  $\kappa$ . This gives 10% for  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  and 1% for  $f_2(v \cdot k_{\pi})$ .

The total error is estimated by adding these errors in quadrature together with the statistical error. In Fig. 9 the form factors  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  are plotted with the estimated systematic uncertainties. Numerical results are listed in Table XIII.

# VII. COMPARISON WITH OTHER CALCULATIONS

# A. $f_1(\boldsymbol{v} \cdot \boldsymbol{k}_{\pi})$ and $f_2(\boldsymbol{v} \cdot \boldsymbol{k}_{\pi})$

El-Khadra *et al.* calculated the form factors at the *b* quark mass using a nonrelativistic interpretation of the relativistic lattice action [11]. A comparison is made with our results for the HQET form factors  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$ at the same  $\beta$  value employed,  $\beta = 5.9$ , in Fig. 10. We find a reasonable agreement for  $f_2(v \cdot k_{\pi})$ , but for  $f_1(v \cdot k_{\pi})$  $+ f_2(v \cdot k_{\pi})$  our data seem substantially lower.

Since both the NRQCD and Fermilab actions are two variants of the nonrelativistic effective action, there should be no fundamental difference in the result. There are, however, two possible reasons for the disagreement. One is the difference in the renormalization factor. The other is the difference in various systematic errors which arise from the choice of parameters such as the lattice size, smearing methods, fitting procedures, and so on.

In order to see the reason for the disagreement, we plot the form factors at a fixed momentum configuration  $ap_B = (0,0,0)$  and  $ak_{\pi} = (1,0,0)$  as a function of the light quark mass in Fig. 11. While we find a good agreement for  $f_2(v \cdot k_{\pi})$ , our result for  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  is significantly lower than the Fermilab data [11]. Furthermore, in the fit of the form (6.12) the chiral limit of our data is lower than the data at finite  $am_q$  as shown in the plot, in contrast to the Fermilab data, for which the chiral limit becomes even higher due to a positive curvature.

We note that the renormalization of the vector current is made using nonperturbative Z factors of heavy-heavy and light-light currents in the Fermilab analysis [11]. A correction is then made perturbatively for the heavy-light current. Since our results are obtained with an entirely perturbative matching, systematic errors may enter differently. The effect of such a "partial" nonperturbative renormalization for the 0.4305

0.4783

0.5262

0.5740

0.6218

0.6697

0.7175

0.7653

0.8132

0.8610

0.9088

0.9567

1.0045

1.0523

1.1002

1.1480

23.34

22.84

22.33

21.83

21.32

20.82

20.31

19.81

19.30

18.80

18.29

17.79

17.28

16.78

16.27

15.77

0.76(18)

0.73(18)

0.69(18)

0.66(18)

0.64(18)

0.61(17)

0.58(17)

0.56(15)

0.54(14)

0.52(13)

0.50(12)

0.48(11)

0.47(13)

0.45(15)

0.44(19)

0.43(24)

0.115(60)

0.131(62)

0.146(64)

0.161(65)

0.174(65)

 $0.187(66)^*$ 

0.199(68)\*

0.210(71)\*

0.221(76)\*

0.231(84)\*

 $0.240(94)^*$ 

 $0.25(11)^*$ 

0.26(13)

0.27(15)

0.27(17)

0.28(20)

statistical and estimated systematic uncertainties. Results with a * symbol in the last column are those obtained by interpolating the lattice data in $v \cdot k_{\pi}$ , while others involve an extrapolation.						
$\overline{v \cdot k_{\pi}}$ (GeV)	$q^2$ (GeV <sup>2</sup> )	$f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$ (GeV <sup>1/2</sup> )	$f_2(v \cdot k_\pi)$ (GeV <sup>1/2</sup> )	$f^{0}(q^{2})$	$f^+(q^2)$	$\frac{1}{ V_{ub} ^2 d\Gamma/dq^2}$ (psec <sup>-1</sup> GeV <sup>-2</sup> )
0.1435	26.37	0.98(23)	0.45(20)	0.84(18)	7.4(3.1)	0.0017(15)
0.1913	25.87	0.95(20)	0.44(19)	0.80(16)	5.5(2.2)	0.021(17)
0.2392	25.36	0.91(18)	0.44(18)	0.76(15)	4.4(1.6)	0.042(31)
0.2870	24.86	0.87(17)	0.44(17)	0.73(14)	3.7(1.2)	0.062(41)
0.3348	24.35	0.83(17)	0.44(15)	0.70(14)	3.18(98)	0.081(50)
0.3827	23.85	0.79(17)	0.44(14)	0.66(14)	2.78(78)	0.099(56)

0.43(13)

0.43(12)

0.43(11)

0.43(10)

0.428(93)

0.426(88)

0.424(85)

0.422(84)

0.421(86)

0.419(90)

0.417(97)

0.42(10)

0.41(11)

0.41(12)

0.41(14)

0.41(15)

0.63(14)

0.61(15)

0.58(15)

0.55(14)

0.53(14)

0.51(14)

0.49(13)

0.47(12)

0.45(11)

0.435(98)

0.421(92)

0.407(92)

0.40(10)

0.38(12)

0.38(15)

0.37(18)

2.46(64)

2.21(53)

2.00(44)

1.82(37)

1.67(31)

1.43(24)

1.33(22)

1.24(21)

1.16(21)

1.09(21)

1.03(22)

0.97(23)

0.92(25)

0.87(27)

0.83(29)

1.54(27)

TABLE XIII. Numerical results for the form factors and for the differential decay rate. Error contains the

NRQCD action is an issue for future investigation.

We should also note that in Fig. 11 the statistical error in our calculation seems much larger than that in the Fermilab data, despite much larger statistics in our calculation. We suspect that the main reason for the large statistical error in our data is a larger temporal extent of our lattice  $N_T = 48$ compared to  $N_T$  = 32 in the Fermilab work. The large temporal size and the large distance between  $t_{\pi}$  and  $t_{B}$  in our simulation renders the extraction of the ground state contribution very convincing as shown in Fig. 1, which seems much better than the equivalent plot in [11], but at the same time the statistical noise grows exponentially as the heavylight meson evolves in the temporal direction [31,32].

# B. $f^+(q^2)$ and $f^0(q^2)$

A comparison of the form factors in the conventional definition  $f^+(q^2)$  and  $f^0(q^2)$  is made in Fig. 12. Results from recent lattice calculations by the APE [7], UKQCD [6], and Fermilab [11] Collaborations are shown in the plot together with our data.

We find that all data are consistent with each other for  $f^+(q^2)$ , while our result is somewhat lower for  $f^0(q^2)$ . Since  $f^0(q^2)$  is proportional to  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  up to a small correction of  $O(v \cdot k_{\pi}/m_B)$ , the disagreement with the Fermilab result is the same one as we discussed in the previous subsection.

The results of other two groups, APE and UKOCD, are lower than the Fermilab result but still higher than ours. We note that in their approach an extrapolation in  $1/M_P$  is necessary to predict the B meson form factor from the simulation results for lighter heavy quarks. Figure 13 shows such an extrapolation. The magnitude of  $\Phi_0 (\equiv [\alpha_s(M_P)/$  $\alpha_s(M_B)$ ]<sup>-2/11</sup> $f^0 \sqrt{M_P}$ ) in their results agrees with ours, but the APE results show a negative slope in contrast to the flat 1/M dependence of our data, leading to an APE value at the



FIG. 10. Form factors  $f_1(v \cdot k_{\pi}) + f_2(v \cdot k_{\pi})$  (open symbols) and  $f_2(v \cdot k_{\pi})$  (filled symbols) at physical mass parameters. Squares represent the results of [11], while our data presented in Fig. 7 are now plotted with gray symbols.



FIG. 11. Form factors  $f_1(v \cdot k_\pi) + f_2(v \cdot k_\pi)$  (open symbols) and  $f_2(v \cdot k_\pi)$  (filled symbols) for a fixed momentum configuration  $a\mathbf{p}_B = (0,0,0)$  and  $a\mathbf{k}_\pi = (1,0,0)$  are plotted as a function of light quark mass  $am_q/u_0$ . Triangles are results of El-Khadra *et al.* [11] for a heavy quark mass close to the *b* quark mass. Our results are shown for  $aM_0 = 3.0$  (circles), 2.1 (squares), and 1.3 (diamonds). Squares and diamonds are shifted in the horizontal direction for clarity. Lines show the global fit (6.12) and (6.13).

physical point considerably higher than ours. In the relativistic approach, the discretization error may be magnified toward heavier quarks, since the discretization error scales as a power of aM. Therefore, the dependence on the heavy quark mass can be badly distorted. Furthermore, the heavy quark expansion becomes questionable for lighter heavy quarks, and extrapolation with a linear or quadratic function in  $1/M_p$  may not be sufficient. Such effects are difficult to incorporate in the extrapolation, and the systematic error can be underestimated.

#### VIII. PHENOMENOLOGICAL IMPLICATIONS

#### A. Differential decay rate

The differential decay rate of the semileptonic  $B^0 \rightarrow \pi^- l^+ \nu_l$  decay is proportional to the form factor  $f^+(q^2)$  squared provided the lepton mass is neglected:

$$\frac{1}{|V_{ub}|^2} \frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |\mathbf{k}_{\pi}|^3 |f^+(q^2)|^2.$$
(8.1)

Therefore, if a reliable calculation of the form factor is available from lattice QCD, the experimental data can be used to extract the CKM element  $|V_{ub}|$ . Our result for the differential decay rate divided by  $|V_{ub}|^2$  is listed in Table XIII and shown in Fig. 14.

The momentum configuration where data are available is limited to the large  $q^2$  region 18 GeV<sup>2</sup>  $< q^2 < 21$  GeV<sup>2</sup>, which corresponds to small recoil momenta. In the region above 21 GeV<sup>2</sup> there is no data point because of the large pion mass in lattice calculations. However, the pole dominance near zero recoil region [Eqs. (2.11) and (2.12)], which is confirmed in part by our lattice calculations, should become an even better approximation in that region. Therefore, the theoretical uncertainty is under control in that large  $q^2$ 



FIG. 12. Comparison of the results for the form factors  $f^+(q^2)$  (filled symbols) and  $f^0(q^2)$  (open symbols). Data are from APE [7] (up triangles), UKQCD [6] (down triangles), and Fermilab [11] (squares). Our results are plotted by circles and error bands are shown by dashed lines.

region. A strategy to determine  $|V_{ub}|$  is then to measure the decay rate in the large  $q^2$  region,  $q^2 > 18 \text{ GeV}^2$ , and to use the lattice result

$$\frac{G_F^2}{24\pi^3} \int_{18 \text{ GeV}^2}^{q_{max}^2} dq^2 |\mathbf{k}_{\pi}|^3 |f^+(q^2)|^2 = 1.18 \pm 0.37 \pm 0.08$$
$$\pm 0.31 \text{ psec}^{-1}.$$
(8.2)

The first error is statistical, the second is perturbative, and the last error is the error from discretization and chiral extrapolation.

#### B. $D \rightarrow \pi l \nu$ and $D \rightarrow K l \nu$

As we found in Sec. VIC, the 1/M correction to the HQET form factors  $f_1(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$  is small. Al-



FIG. 13.  $1/M_P$  dependence of the form factors  $\Phi_+ \equiv [\alpha_s(M_P)/\alpha_s(M_B)]^{-2/11}f^+/\sqrt{M_P}$  (filled symbols) and  $\Phi_0 \equiv [\alpha_s(M_P)/\alpha_s(M_B)]^{-2/11}f^0\sqrt{M_P}$  (open symbols) at a fixed  $v \cdot k_{\pi}$  (=0.845 GeV). Simulation results from the APE Collaboration [7] are shown by diamonds, and their linear and quadratic extrapolation to the *B* meson mass is plotted by down and up triangles, respectively. Our results are given by circles.



FIG. 14. Differential decay rate of the semileptonic  $B \rightarrow \pi l \nu$  decay. The points with symbols are obtained by interpolation in  $v \cdot k_{\pi}$ , while others involve extrapolations.

though our data are available only for large heavy quark mass M>3.2 GeV and the charm quark mass is not covered, the result suggests that the semileptonic decays of *D* mesons,  $D \rightarrow \pi l \nu$  and  $D \rightarrow K l \nu$ , may be used to constrain the form factors, as proposed by Burdman *et al.* [12].

The idea of [12] is to consider the ratio of the differential decay rates of  $B \rightarrow \pi l \nu$  and  $D \rightarrow \pi l \nu$  at a fixed recoil energy  $v \cdot k_{\pi}$ ; then the heavy quark symmetry tells us that the ratio is unity at leading order, and the ratio of CKM elements  $|V_{ub}/V_{cd}|$  may be extracted without model dependence. The method is, however, not very useful unless the size of 1/M (and higher order) corrections is reliably estimated. A lattice calculation can be used to evaluate them, as we attempt in this work.

In the lattice calculation, the bulk of systematic errors, especially uncertainty in the perturbative renormalization, are canceled in the ratio of form factors with different heavy quark mass. This idea was extensively used by the Fermilab group [33,34] in the lattice study of heavy-to-heavy decay, namely,  $B \rightarrow D^{(*)} l \nu$ , in which the heavy quark symmetry predicts a stronger constraint and the form factor is even normalized in the zero recoil limit up to a correction of  $O(1/M^2)$  that can be calculated on the lattice. The Fermilab group also considered the ratio for the heavy-to-light decay [11]. They calculated the form factors at b and c quark masses, and found a small but significant mass dependence in the HQET form factors, which might conflict with our findings. It is therefore important to extend our work toward lighter heavy quarks in order to investigate how the form factors are modified by the 1/M corrections. We also note that for this purpose the nonrelativistic interpretation of the relativistic lattice action [10] employed in [11] is best suited, because lighter heavy quarks can be treated without large systematic errors.

# **IX. CONCLUSIONS**

In this paper, we calculated the form factors and the differential decay rate for  $B \rightarrow \pi l \nu$  on a quenched lattice using the NRQCD action. In the HQET form factors  $f_1(v \cdot k_{\pi})$  and  $f_2(v \cdot k_{\pi})$ , the heavy quark mass dependence appears only in the form of the 1/M expansion. From calculations at several different heavy quark masses we found that the 1/M correction is not significant for these form factors. We found that the  $B^*$  pole contribution dominates  $f^+(q^2)$  for small pion recoil energy. We also showed that the extrapolation to the soft pion limit suffers from large systematic errors, so that the discrepancies between  $f^0(q_{max}^2)$  and  $f_B/f_{\pi}$  in the soft pion relation, as seen in the present simulation, are not a serious problem.

In order to avoid model dependence, we did not assume any particular functional form for the form factors. Instead, we carried out an interpolation in the region where our data are available. Although the accessible  $q^2$  region is rather limited, the prediction from the chiral effective Lagrangian may be used to extend the prediction toward  $q_{max}^2$ , and we obtained a partially integrated differential decay rate in the region 18 GeV $< q^2 < q_{max}^2$ . We obtained  $(G_F^2/24\pi^3)\int_{18 \text{ GeV}^2}^{q_{max}^2} dq^2 |\mathbf{k}_{\pi}|^3 |f^+(q^2)|^2 = 1.18 \pm 0.37 \pm 0.08 \pm 0.31$  psec<sup>-1</sup> where the first error is statistical, the second is the error from the perturbative calculation, and the third is the systematic error from the discretization and chiral extrapolation.

The discretization error of  $O(a^2)$  and the perturbative error are sizable. The first error can be reduced by performing simulations at several different lattice spacings and/or using different lattice actions. The reduction of the second error is more demanding. We need a nonperturbative renormalization to remove it. Another important source of uncertainty, which we did not include, is the quenched approximation, whose effect can be estimated only with simulations including dynamical quarks. We are planning future studies in these directions.

## ACKNOWLEDGMENTS

We thank Andreas Kronfeld for useful discussion and for providing us with numerical data for comparison. This work was supported by the Supercomputer Project No. 66 of the High Energy Accelerator Research Organization (KEK), and also in part by Grants-in-Aid of the Ministry of Education (Nos. 10640246, 11640294, 12014202, 12640253, 12640279, 12740133, 13640260, and 13740169). K.-I.I. and N.Y. are supported by the JSPS.

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