

**An index formula  
for  
the relative Hodge-Kodaira  
theory**

**Kazuaki Taira**

# The Purpose of Talk

The purpose of this talk is to prove an index formula for the **relative de Rham cohomology groups** and is to give an interpretation of the index formula in terms of harmonic integrals. In deriving our index formula, the theory of **polyharmonic forms** satisfying an **interior boundary condition** plays a fundamental role.

## Bird's-Eye View

<b>Algebraic Topology</b>	<b>Differential Geometry</b>	<b>Partial Differential Equations</b>
<b>Simplicial Complex</b>	<b>Compact Manifold</b>	<b>Laplace-Beltrami Operator</b>
<b>Simplex</b>	<b>Differential Form</b>	<b>Current (distribution-valued differential form)</b>
<b>Simplicial Cohomology Group</b>	<b>de Rham Cohomology</b>	<b>Hodge-Kodaira Decomposition</b>
<b>Euler-Poincare Characteristic</b>	<b>Euler-Poincare Characteristic</b>	<b>Analytical Index</b>

# **William Valance Douglas Hodge**

**William Valance Douglas Hodge (1903-1975)**  
**British mathematician**

# Georges de Rham

**Georges de Rham (1903-1990)**

**Swiss mathematician**

# **Kunihiko Kodaira**

**Kunihiko Kodaira (1915-1997)**

**Japanese mathematician**

## Relative de Rham complex

- $X$  compact manifold without boundary of dimension  $n$
- $Y$  compact submanifold of  $X$  of dimension  $m$
- $\iota: Y \rightarrow X$  (natural inclusion map)

## Interior Boundary Value Problem

$$\left\{ \begin{array}{l} (I + \Delta)(d + \delta)\alpha = S \otimes \delta_Y \quad \text{on } X \\ i^* \alpha = 0 \quad \text{on } Y \end{array} \right.$$



# Operators

- $d$  exterior derivative
- $\delta$  codifferential
- $\Delta = d\delta + \delta d$  Laplace - Beltrami operator
- $\delta_Y$  Dirac delta function supported on  $Y$
- $\iota^*$  Pull - back of the natural inclusion  $\iota$

# Concrete Examples

## Example 1

$$\dim X = 4, \quad \dim Y = 2$$

$$D = \begin{pmatrix} d + \delta & -(I + \Delta)^{-1} (\bullet \otimes \delta_Y) \\ \iota^* & 0 \end{pmatrix}$$

## Example 1

$$\text{ind } D = \chi(X) - \chi(Y)$$

$$= \frac{1}{32\pi^2} \int_X \left( \kappa^2 - 4 \|\text{Ric}\|^2 + \|R\|^2 \right) \mu_X - \frac{1}{2\pi} \int_Y K \mu_Y$$

## Curvatures

- $R = R_{ikj\ell}$  **Riemannian curvature tensor**
- $\text{Ric} = R_{ikjk}$  **Ricci curvature**
- $\kappa = R_{ijij}$  **Scalar curvature**
- $K$  **Gaussian curvature**

## Example 2

$$\dim X = 6, \quad \dim Y = 4$$

$$D = \begin{pmatrix} d + \delta & -(I + \Delta)^{-1} (\bullet \otimes \delta_Y) \\ \iota^* & 0 \end{pmatrix}$$

## Example 2

$$\text{ind } D = \chi(X) - \chi(Y)$$

$$= \frac{1}{384\pi^3} \int_X (\kappa^3 - 12\kappa \|\text{Ric}\|^2 + 3\kappa \|R\|^2 + 16R_j^i R_k^j R_i^k$$

$$+ 24R^{ij} R^{kl} R_{ikjl} - 24R^{ij} R_{iabc} R_j^{abc} - 8R^{ijkl} R_{ik}^{rs} R_{jslr} + 2R^{ijkl} R_{ijrs} R_{kl}^{rs}) \mu_X$$

$$- \frac{1}{32\pi^2} \int_Y (\kappa_Y^2 - 4\|\text{Ric}_Y\|^2 + \|R_Y\|^2) \mu_Y$$

## References

- **S.- S. Chern:**

**A simple intrinsic proof of the Gauss-Bonnet formula for closed Riemannian manifolds,  
Ann. of Math. (2) 45, 747-752 (1944)**



# Main Result

**Our result may be stated as follows:**

**Brownian motion describes the topology of a compact Riemannian manifold through its Euler-Poincare characteristic.**

**The de Rham Complex**  
**for**  
**Manifolds without Boundary**

## References

**John Roe:** Elliptic operators, topology and asymptotic methods, 2<sup>nd</sup> edition, Pitman Research Notes in Mathematics Series, No. 395, Longman, Harlow, 1998.

**H.B. Lawson, Jr. and M.L. Michelsohn:** Spin geometry, second printing, Princeton Mathematical Series, No. 38, Princeton University Press, Princeton, New Jersey, 1994.

# **Part I**

# **Hodge-Kodaira Theorem**

## de Rham complex (1)

- $M$  :  $n$ -dimensional, Riemannian manifold **without** boundary
- $\Omega^k(M)$  (differential forms of degree  $k$ )
- $\Omega^\bullet(M) = \bigoplus_{k=0}^n \Omega^k(M)$
- $d$  exterior derivative
- $\delta$  codifferential

## de Rham complex (2)

$$\Omega^{k-1}(M) \xrightarrow{d^{k-1}} \Omega^k(M) \xrightarrow{d^k} \Omega^{k+1}(M)$$

$$d^k d^{k-1} = 0$$

## de Rham complex (3)

- $Z^k(M) = \{\alpha \in \Omega^k(M) : d\alpha = 0\} = \text{Ker } d^k$  (closed forms)
- $B^k(M) = \{d\beta \in \Omega^k(M) : \beta \in \Omega^{k-1}(M)\} = \text{Im } d^{k-1}$  (exact forms)
- $H^k(M) = \text{Ker } d^k / \text{Im } d^{k-1} = Z^k(M) / B^k(M)$  (de Rham cohomology group)

# de Rham Theorem

- $H^k(M; \mathbf{R})$

(simplicial cohomology group)

- $b_k(M) = \dim H^k(M; \mathbf{R})$

(Betti number)

- $H^k(M) = Z^k(M) / B^k(M) \cong H^k(M; \mathbf{R})$

(de Rham's theorem)

- $\chi(M) = \sum_{k=0}^n (-1)^k \dim H^k(M; \mathbf{R}) = \sum_{k=0}^n (-1)^k \dim H^k(M)$

(Euler - Poincare characteristic)



# Hodge and Kodaira Theorem

- $\Delta = (d + \delta)^2 = d\delta + \delta d$

(Laplace - Beltrami operator)

- $H^k(M) = \text{Ker}^k \Delta = \{ \alpha \in \Omega^k(M) : \Delta \alpha = 0 \} = \text{Ker}^k d \cap \text{Ker}^k \delta$

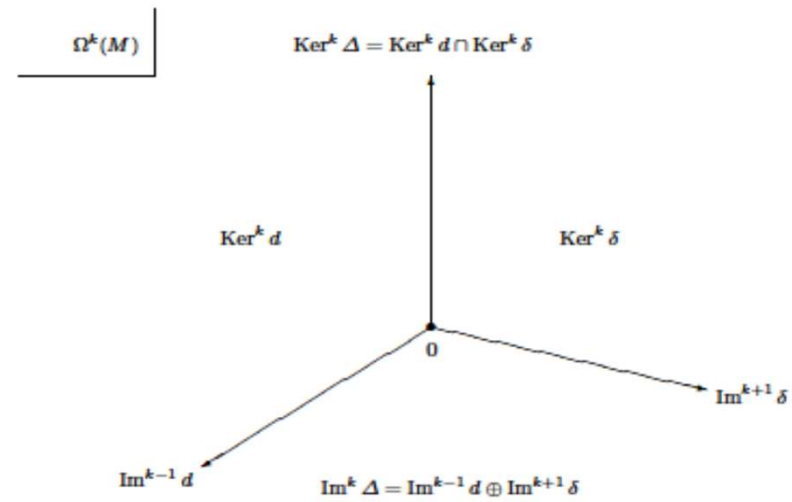
(harmonic forms)

- $H^k(M) \cong H^k(M)$  (Hodge - Kodaira theorem)

- $\chi(M) = \sum_{k=0}^n (-1)^k \dim H^k(M) = \sum_{k=0}^n (-1)^k \dim H^k(M)$

(Euler - Poincare characteristic)

# Hodge and Kodaira decomposition



# **Clifford Algebra and Dirac Operators**

# Clifford Algebra

•  $M$  :

$n$ -dimensional, Riemannian manifold **without** boundary

•  $V = T_m^*(M)$  : real inner product space

$\langle e^1, e^2, \dots, e^n \rangle$  orthonormal basis of  $V$

•  $V^* = T_m(M)$  :

$\langle e_1, e_2, \dots, e_n \rangle$  orthonormal (dual) basis of  $V^*$

# Clifford Bundle (1)

- $Cl(V) = \Lambda \cdot T_m^*(M) :$

**Bundle of Clifford Algebras**

- $S = Cl(M) = \Lambda \cdot T^*(M) = \bigcup_{m \in M} \Lambda \cdot T_m^*(M) :$

**Clifford Bundle**

# Euler Grading Operator

- $\varepsilon = (-1)^q$  on  $\Lambda^q T^*(M)$  :

**Euler grading operator**

$$\varepsilon^2 = 1 \quad \text{on } \Lambda^q T^*(M)$$

- $Cl_+(M) = (1 + \varepsilon) \Lambda^* T^*(M) = \Lambda^{\text{even}} T^*(M)$
- $Cl_-(M) = (1 - \varepsilon) \Lambda^* T^*(M) = \Lambda^{\text{odd}} T^*(M)$

$\Rightarrow$

$$Cl(M) = Cl_+(M) \oplus Cl_-(M)$$

## Clifford Bundle (2)

$$\varepsilon = (-1)^q \quad \text{on } \Lambda^q T^*(M)$$

$\Rightarrow$

- $S_+ = Cl_+(M) = \Lambda^{\text{even}} T^*(M) :$

**+1 eigenspace of  $\varepsilon$**

- $S_- = Cl_-(M) = \Lambda^{\text{odd}} T^*(M) :$

**-1 eigenspace of  $\varepsilon$**

# Sections of Clifford Bundle

- $C^\infty(S) = C^\infty(\Lambda^\bullet T^*(M))$  **differential forms**
- $C^\infty(S_+) = C^\infty(\Lambda^{\text{even}} T^*(M))$  **differential forms of even degree**
- $C^\infty(S_-) = C^\infty(\Lambda^{\text{odd}} T^*(M))$  **differential forms of odd degree**

$\Rightarrow$

$$C^\infty(S) = C^\infty(S_+) \oplus C^\infty(S_-)$$



# Dirac Operators (1)

- $\nabla : C^\infty(\Lambda^\bullet T^*(M)) \rightarrow T^*(M) \otimes C^\infty(\Lambda^\bullet T^*(M))$ : **Levi-Civita connection**
- $c : C^\infty(T^*(M) \otimes \Lambda^\bullet T^*(M)) \rightarrow C^\infty(\Lambda^\bullet T^*(M))$ :

$$c(e)\omega = e \wedge \omega - i(e)\omega \quad \text{Clifford action}$$

- $D : C^\infty(\Lambda^\bullet T^*(M)) \xrightarrow{\nabla} C^\infty(T^*(M) \otimes \Lambda^\bullet T^*(M)) \xrightarrow{c} C^\infty(\Lambda^\bullet T^*(M))$

$$D = c \circ \nabla \quad \text{Dirac operator}$$

## Exterior Derivative and Codifferential

- $d\omega = \sum_{j=1}^n e^j \wedge \nabla_{e_j} \omega$       **exterior derivative**
- $\delta\omega = -\sum_{j=1}^n \iota(e^j) \nabla_{e_j} \omega$       **codifferential**

## Dirac Operators (2)

$$\bullet D\omega := \sum_{j=1}^n e(e^j) \nabla_{e_j} \omega = \sum_{j=1}^n e^j \wedge \nabla_{e_j} \omega - \sum_{j=1}^n \iota(e^j) \nabla_{e_j} \omega$$

$$= d\omega + \delta\omega \quad \text{Dirac operator}$$

$\Rightarrow$

$$D = d + \delta : C^\infty(\Lambda^\bullet T^*(M)) \rightarrow C^\infty(\Lambda^\bullet T^*(M))$$

## Sections of Clifford Bundle

- $C^\infty(\Lambda^\bullet T^*(M)) = C^\infty(\Lambda^{\text{even}} T^*(M)) \oplus C^\infty(\Lambda^{\text{odd}} T^*(M))$
- $C^\infty(S) = C^\infty(S_+) \oplus C^\infty(S_-)$

# Graded Dirac Operators

$$\bullet D_+ : C^\infty(S_+) \xrightarrow{D} C^\infty(S_-)$$

$$\bullet D_- : C^\infty(S_-) \xrightarrow{D} C^\infty(S_+)$$

$\Rightarrow$

$$\bullet D_+ = (d + \delta)_+ : C^\infty(\Lambda^{\text{even}} T^*(M)) \rightarrow C^\infty(\Lambda^{\text{odd}} T^*(M))$$

$$\bullet D_- = (d + \delta)_- : C^\infty(\Lambda^{\text{odd}} T^*(M)) \rightarrow C^\infty(\Lambda^{\text{even}} T^*(M))$$

**(Euler characteristic operators)**

## The fundamental property of Dirac operator

$$\begin{aligned} D^2 &= \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} \\ &= \begin{pmatrix} D_- D_+ & 0 \\ 0 & D_+ D_- \end{pmatrix} = \begin{pmatrix} \Delta & 0 \\ 0 & \Delta \end{pmatrix} \end{aligned}$$

## Index of a Graded Dirac Operator (1)

$$\text{ind } D := \dim \text{Ker } D_+ - \dim \text{Ker } D_-$$

$$D = \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} : \begin{array}{ccc} C^\infty(S_+) & & C^\infty(S_+) \\ \oplus & \rightarrow & \oplus \\ C^\infty(S_-) & & C^\infty(S_-) \end{array}$$

## Index of a Graded Dirac Operator (2)

$\text{Ker } D_+ \cong \bigoplus H^{2k}(M)$  (harmonic forms of even degree)

$\text{Ker } D_- \cong \bigoplus H^{2k+1}(M)$  (harmonic forms of odd degree)



# Index formula for the Euler characteristic operator

## (Hodge-Kodaira theorem)

$$D_+ = (d + \delta)_+ : C^\infty(\Lambda^{\text{even}} T^*(M)) \rightarrow C^\infty(\Lambda^{\text{odd}} T^*(M))$$

$$D_- = (d + \delta)_- : C^\infty(\Lambda^{\text{odd}} T^*(M)) \rightarrow C^\infty(\Lambda^{\text{even}} T^*(M))$$

$$\text{ind } D = \dim \text{Ker}(d + \delta)_+ - \dim \text{Ker}(d + \delta)_-$$

$$= \sum_{j=0}^n (-1)^j \dim H^j(M)$$

$$= \sum_{j=0}^n (-1)^j \dim H^j(M; \mathbf{R})$$

$$= \chi(M)$$

## **Part II**

# **Hirzebruch Signature Theorem**

# Clifford Algebra

- $M$  :  $\dim M = 4k$   
 $4k$ -dimensional, Riemannian manifold without boundary
- $V = T_m^*(M)$  :  
 $\langle e^1, e^2, \dots, e^n \rangle$  orthonormal basis
- $V^* = T_m(M)$  :  
 $\langle e_1, e_2, \dots, e_n \rangle$  orthonormal (dual) basis

# Clifford Bundle (1)

- $Cl(V) = \Lambda \bullet T_m^*(M) :$

**Bundle of Clifford Algebras**

- $S = Cl(M) = \Lambda \bullet T^*(M) = \bigcup_{m \in M} \Lambda \bullet T_m^*(M) :$

**Clifford Bundle**

# Grading Operator (1)

- $\tau = (-1)^{k+q(q-1)/2} *$  on  $\Lambda^q T^*(M)$  :

**Grading operator**

$\Rightarrow$

$$\tau^2 = 1 \quad \text{on } \Lambda^q T^*(M)$$

$$\tau = * \quad \text{on } \Lambda^{2k} T^*(M) \quad (\text{Hodge star operator})$$

## Grading Operator (2)

- $\tau = (-1)^{k+q(q-1)/2} *$  on  $\Lambda^q T^*(M)$

### **Grading operator**

- $C\ell_+(M) = (1 + \tau) \Lambda^\bullet T^*(M)$

- $C\ell_-(M) = (1 - \tau) \Lambda^\bullet T^*(M)$

$\Rightarrow$

$$C\ell(M) = C\ell_+(M) \oplus C\ell_-(M)$$

## Clifford Bundle (2)

- $\tau = (-1)^{k+q(q-1)/2} *$  on  $\Lambda^q T^*(M)$
- $Cl_+(M) = (1 + \tau) \Lambda^\bullet T^*(M)$  :  
**+1 eigenspace of  $\tau$**
- $Cl_-(M) = (1 - \tau) \Lambda^\bullet T^*(M)$  :  
**-1 eigenspace of  $\tau$**

# Sections of Clifford Bundle

- $C^\infty(S) = C^\infty(\Lambda^\bullet T^*(M))$
- $C^\infty(S_+) = C^\infty((1 + \tau)\Lambda^\bullet T^*(M))$
- $C^\infty(S_-) = C^\infty((1 - \tau)\Lambda^\bullet T^*(M))$

$\Rightarrow$

$$C^\infty(S) = C^\infty(S_+) \oplus C^\infty(S_-)$$



# Dirac Operators (1)

- $\nabla : C^\infty(\Lambda^*T^*(M)) \rightarrow T^*(M) \otimes C^\infty(\Lambda^*T^*(M))$ : **Levi - Civita connection**
- $c : C^\infty(T^*(M) \otimes \Lambda^*T^*(M)) \rightarrow C^\infty(\Lambda^*T^*(M))$ :  $c(e)\omega = e \wedge \omega - \iota(e)\omega$

## **Clifford action**

- $D : C^\infty(\Lambda^*T^*(M)) \xrightarrow{\nabla} C^\infty(T^*(M) \otimes \Lambda^*T^*(M)) \xrightarrow{c} C^\infty(\Lambda^*T^*(M))$ :  $D = c \circ \nabla$

## **Dirac operator**

## Exterior Derivative and Codifferential

- $d\omega = \sum_{j=1}^n e^j \wedge \nabla_{e_j} \omega$       **exterior derivative**
- $\delta\omega = -\sum_{j=1}^n \iota(e^j) \nabla_{e_j} \omega$       **codifferential**

## Dirac Operators (2)

$$\begin{aligned} \bullet D\omega &:= \sum_{j=1}^n e(e^j) \nabla_{e_j} \omega = \sum_{j=1}^n e^j \wedge \nabla_{e_j} \omega - \sum_{j=1}^n \iota(e^j) \nabla_{e_j} \omega \\ &= d\omega + \delta\omega \end{aligned}$$

$\Rightarrow$

$$D = d + \delta : C^\infty(\Lambda^\bullet T^*(M)) \rightarrow C^\infty(\Lambda^\bullet T^*(M))$$

# Graded Dirac Operators (1)

$$D = d + \delta$$

$$D \tau = -\tau D$$

$\Rightarrow$

$$D_+ : C^\infty(S_+) \xrightarrow{D} C^\infty(S_-)$$

$$D_- : C^\infty(S_-) \xrightarrow{D} C^\infty(S_+)$$

**(signature operator)**

## Index of a Graded Dirac Operator

$$\text{ind } D = \dim \text{Ker } D_+ - \dim \text{Ker } D_-$$

$$D = \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} : \begin{array}{cc} C^\infty(S_+) & C^\infty(S_+) \\ \oplus & \rightarrow \oplus \\ C^\infty(S_-) & C^\infty(S_-) \end{array}$$

## Graded Dirac Operators (2)

$$C\ell(M) = C\ell_+(M) \oplus C\ell_-(M)$$

- $C\ell_+(M) = (1 + \tau)\Lambda \cdot T^*(M)$
- $C\ell_-(M) = (1 - \tau)\Lambda \cdot T^*(M)$

$\Rightarrow$

$$\ker D = \ker D_+ \oplus \ker D_-$$

$$\ker D_{\pm} = (1 \pm \tau)\ker D$$

## Structure of Kernels (1)

- $\ker D = \mathbb{H} = \mathbb{H}^0 \oplus \dots \oplus \mathbb{H}^{4k}$

$\Rightarrow$

$$\tau = (-1)^{k+q(q-1)/2} * : \mathbb{H}^q \rightarrow \mathbb{H}^{4k-q}$$

**isomorphism for  $0 \leq q \leq 2k - 1$**

## Structure of Kernels (2)

$$\bullet H(q) := H^q \oplus H^{4k-q} = H_+(q) \oplus H_-(q)$$

$$H_{\pm}(q) := (1 \pm \tau)H(q)$$

$$(0 \leq q \leq 2k - 1)$$

$$H^{2k} = H_+^{2k} \oplus H_-^{2k} \quad (q = 2k)$$



## Structure of Kernels (3)

$$\begin{aligned} \mathbf{H} &= \mathbf{H}^+ \oplus \mathbf{H}^- \\ &= \left( \mathbf{H}^+(0) \oplus \cdots \oplus \mathbf{H}^+(2k-1) \oplus \boxed{\mathbf{H}_+^{2k}} \right) \\ &\quad \oplus \left( \mathbf{H}^-(0) \oplus \cdots \oplus \mathbf{H}^-(2k-1) \oplus \boxed{\mathbf{H}_-^{2k}} \right) \end{aligned}$$

## Structure of Kernels (4)

- $\ker D_{\pm} = (1 \pm \tau) \ker D = (1 \pm \tau) \mathbb{H}$

$\Rightarrow$

$$\ker D_{+} = (1 + \tau) \mathbb{H} = \mathbb{H}^{+}$$

$$\ker D_{-} = (1 - \tau) \mathbb{H} = \mathbb{H}^{-}$$

## Index formula for the signature operator

### (Hirzebruch signature theorem)

$$\begin{aligned}\operatorname{ind} D &= \dim \operatorname{Ker} D_+ - \dim \operatorname{Ker} D_- \\ &= \dim H_+^{2k} - \dim H_-^{2k} \\ &= \sigma(M^{4k}) \\ &= \int_M L_k(\Omega)_{4k}\end{aligned}$$

## Hirzebruch $L$ -Genus

- $L_1(\Omega)_4 = \frac{1}{3} p_1(\Omega)$

- $L_2(\Omega)_8 = \frac{1}{45} (7 p_2(\Omega) - p_1(\Omega) \wedge p_1(\Omega))$

Here :  $p_i(\Omega)$  i-th **Pontrjagin form**

# **Part III**

## **The de Rham Complex for Manifolds with Boundary**

## References

**Peter B. Gilkey:** Invariance theory, the heat equation, and the Atiyah-Singer index theorem. Second edition. Studies in Advanced Mathematics. CRC Press, Boca Raton, Florida, 1995.

# Clifford Algebra in the Interior

•  $M$  :

$n$ -dimensional, Riemannian manifold **with** boundary  $\partial M$

•  $V = T_m^*(M)$  :

$\langle e^1, e^2, \dots, e^n \rangle$  orthonormal basis of  $V$

•  $V^* = T_m(M)$  :

$\langle e_1, e_2, \dots, e_n \rangle$  orthonormal (dual) basis of  $V^*$

# Clifford Bundle in the Interior

- $Cl(V) = \Lambda^* T_m^*(M) :$

**B u n d l e o f C l i f f o r d A l g e b r a s**

- $S = Cl(M) = \Lambda^* T^*(M) = \bigcup_{m \in M} \Lambda^* T_m^*(M) :$

**C l i f f o r d B u n d l e**



## Grading Operator in the Interior

- $\varepsilon = (-1)^q$  on  $\Lambda^q T^*(M)$  :

**Euler grading operator**

$$\varepsilon^2 = 1 \quad \text{on } \Lambda^q T^*(M)$$

- $C\ell_+(M) = (1 + \varepsilon)\Lambda^\bullet T^*(M) = \Lambda^{\text{even}} T^*(M)$
- $C\ell_-(M) = (1 - \varepsilon)\Lambda^\bullet T^*(M) = \Lambda^{\text{odd}} T^*(M)$

$\Rightarrow$

$$C\ell(M) = C\ell_+(M) \oplus C\ell_-(M)$$

# Clifford Algebra near the Boundary (1)

**Near** the boundary  $\partial M$

- $\langle y^1, \dots, y^{n-1}, a \rangle$  : **local coordinates**
- $\langle y^1, \dots, y^{n-1} \rangle$  **local coordinates for**  $\partial M$
- $M = \{x : a(x) \geq 0\}$
- **The curves**  $\{x(a) = (y_0, a) : a \in [0, \delta)\}$   
**unit speed geodesics perpendicular to**  $\partial M$

## Clifford Algebra near the Boundary (2)

•  $V = T_m^*(M) :$

$\langle e^1 = dy^1, \dots, e^{n-1} = dy^{n-1}, e^n = da \rangle$  **orthonormal basis**

$$T^*(M) \cong T^*(\mathbf{R}) \oplus T^*(\partial M)$$

•  $V^* = T_m(M) :$

$\langle e_1, \dots, e_{n-1}, e_n \rangle$  **orthonormal (dual) basis**

## Clifford Bundle near the Boundary

**Near the boundary  $\partial M$**

- $\Omega(M) \cong \Omega(\partial M) \oplus (da \wedge \Omega(\partial M))$
- $\theta = \theta_t + da \wedge \theta_n$  **decomposition of differential forms**
- $\theta_t, \theta_n \in \Omega(\partial M)$  **tangential differential forms**

## Grading Operator near the Boundary (1)

- $\theta = \theta_t + da \wedge \theta_n \in \Omega(\partial M) \oplus (da \wedge \Omega(\partial M))$

**Grading operator**

$$\alpha(\theta) = \theta_t - da \wedge \theta_n$$

- $\alpha^2 = 1$  on  $\Lambda^q T^*(M)$

## Grading Operator near the Boundary (2)

- $\theta = \theta_t + da \wedge \theta_n \in \Omega(\partial M) \oplus (da \wedge \Omega(\partial M))$

$$\alpha(\theta) = \theta_t - da \wedge \theta_n$$

- $V_+(\alpha) = (1 + \alpha)\Lambda^*T^*(M)$

**+1 eigenspace of  $\alpha$**

- $V_-(\alpha) = (1 - \alpha)\Lambda^*T^*(M)$

**-1 eigenspace of  $\alpha$**

$$\Omega(\partial M) \oplus (da \wedge \Omega(\partial M)) \cong V_+(\alpha) \oplus V_-(\alpha)$$

# Clifford Actions near the Boundary (1)

## Clifford actions

- $c(e^j)\omega = e^j \wedge \omega - \iota(e^j)\omega, \quad 1 \leq j \leq n-1$

$c(e^j)$  preserves the tangential differential forms  $\Omega(\partial M)$

- $\theta = \theta_t + da \wedge \theta_n \in \Omega(\partial M) \oplus (da \wedge \Omega(\partial M))$

$$c(da)(\theta) = da \wedge \theta - \iota(da)\theta$$

$c(da)$  interchanges the factors of

$$\Omega(\partial M) \oplus (da \wedge \Omega(\partial M)) = V_+(\alpha) \oplus V_-(\alpha)$$

## Clifford Actions near the Boundary (2)

- $\theta = \theta_t + da \wedge \theta_n \in V_+(\alpha) \oplus V_-(\alpha)$

$c(da)(\theta) = da \wedge \theta_t - \theta_n$

**(Clifford Action)**

- $c(da) : V_-(\alpha) \rightarrow V_+(\alpha)$

- $c(da) : V_+(\alpha) \rightarrow V_-(\alpha)$



## Relative and Absolute Boundary Conditions (1)

$$\theta = \theta_t + da \wedge \theta_n \in V_+(\alpha) \oplus V_-(\alpha)$$

$\iota : \partial M \rightarrow M$  (natural inclusion map)

- $B_r(\theta) = \frac{1}{2}(1 + \alpha)\theta|_{\partial M} = \theta_t|_{\partial M} = \iota^*(\theta) \in V_+(\alpha)$

**orthogonal projection on  $V_+(\alpha)$**

- $B_a(\theta) = \frac{1}{2}(1 - \alpha)\theta|_{\partial M} = da \wedge (\theta_n|_{\partial M}) \in V_-(\alpha)$

**orthogonal projection on  $V_-(\alpha)$**

## Relative and Absolute Boundary Conditions (2)

$$\theta = \theta_t + da \wedge \theta_n \in V_+(\alpha) \oplus V_-(\alpha)$$

$$\bullet B_r(\theta) = \theta_t|_{\partial M} \in V_+(\alpha)$$

$$\mathbf{Ker} B_r = V_-(\alpha) \cong da \wedge \Omega(\partial M)$$

$$\bullet B_a(\theta) = da \wedge (\theta_n|_{\partial M}) \in V_-(\alpha)$$

$$\mathbf{Ker} B_a = V_+(\alpha) \cong \Omega(\partial M)$$

## Exterior Derivative and Coddifferential near the Boundary (1)

$$\begin{aligned} \bullet \quad d \omega &= \sum_{j=1}^{n-1} e^j \wedge \nabla_{e_j} \omega + da \wedge \nabla_{e_n} \omega \\ &= d' \omega + da \wedge \nabla_{e_n} \omega \\ \bullet \quad \delta \omega &= - \sum_{j=1}^{n-1} \iota(e^j) \nabla_{e_j} \omega - \iota(da) \nabla_{e_n} \omega \\ &= \delta' \omega - \iota(da) \nabla_{e_n} \omega \end{aligned}$$

## Exterior Derivative and Coddifferential near the Boundary (2)

$$\theta = \theta_t + da \wedge \theta_n$$

- $d\theta = d'\theta_t + da \wedge d'\theta_n$

- $\delta\theta = \delta'\theta_t + da \wedge \left( (\delta'\theta_n) \Big|_{\partial M} \right)$

## Boundary Conditions (4)

$$\theta = \theta_t + da \wedge \theta_n \in V_+(\alpha) \oplus V_-(\alpha)$$

$$\bullet \boxed{B_r(d\theta)} = (d' \theta_t)|_{\partial M} = d'(\theta_t|_{\partial M})$$

$$= \boxed{d' B_r(\theta)}$$

$$\bullet \boxed{B_a(\delta\theta)} = da \wedge ((\delta' \theta_n)|_{\partial M})$$

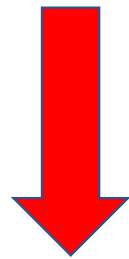
$$= da \wedge (\delta'(\theta_n|_{\partial M}))$$

$$= \boxed{\delta' B_a(\theta)}$$

## de Rham Complex with Boundary Condition (1)

$$\Omega_r(M) = \{ \theta \in \Omega(M) : B_r(\theta) = 0 \}$$

$$B_r(d\theta) = d' B_r(\theta)$$



$$\Omega_r^k(M) \xrightarrow{d^k} \Omega_r^{k+1}(M)$$

## de Rham Complex with Boundary Condition (2)

$$\Omega_r^{k-1}(M) \xrightarrow{d^{k-1}} \Omega_r^k(M) \xrightarrow{d^k} \Omega_r^{k+1}(M)$$

$$d^k d^{k-1} = 0$$

## Exterior Derivative with Boundary Condition

$$(a) D(d_r) = \Omega_r^k(M) = \{ \theta \in \Omega^k(M) : B_r(\theta) = 0 \}$$

$$(b) d_r \theta = d\theta, \quad \forall \theta \in D(d_r)$$

$\Rightarrow$

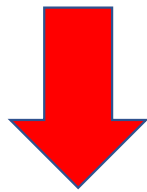
$$d_r^* = \delta$$

$$\delta^* = d_r$$



## Green's Formula

$$(d\theta, \eta) = (\theta, \delta\eta) + \int_{\partial M} [B_r(\theta) \wedge B_r(*\eta)]_{n-1}$$



$$\begin{aligned}d_r^* &= \delta \\ \delta^* &= d_r\end{aligned}$$



## Graded Dirac Operators

- $D_+ = (d_r + \delta)_+ : \Omega_r^{\text{even}}(M) \rightarrow \Omega_r^{\text{odd}}(M)$
- $D_- = (d_r + \delta)_- : \Omega_r^{\text{odd}}(M) \rightarrow \Omega_r^{\text{even}}(M)$

**(Euler characteristic operators)**

$$\Delta_r = \delta d_r + d_r \delta$$

## The fundamental property of Dirac Operator

$$\begin{aligned} D^2 &= \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} \\ &= \begin{pmatrix} D_- D_+ & 0 \\ 0 & D_+ D_- \end{pmatrix} = \begin{pmatrix} \Delta_r & 0 \\ 0 & \Delta_r \end{pmatrix} \end{aligned}$$

$$\Delta_r = \delta d_r + d_r \delta$$

## Index of a Graded Dirac Operator

$$\text{ind } D = \dim \text{Ker } D_+ - \dim \text{Ker } D_-$$

$$D = \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} : \begin{array}{ccc} \Omega_r^{\text{even}}(M) & & \Omega_r^{\text{even}}(M) \\ \oplus & \rightarrow & \oplus \\ \Omega_r^{\text{odd}}(M) & & \Omega_r^{\text{odd}}(M) \end{array}$$

## Index formula for the de Rham Complex with Boundary Condition

$$D_+ = (d_r + \delta)_+ : \Omega_r^{\text{even}}(M) \rightarrow \Omega_r^{\text{odd}}(M)$$

$$D_- = (d_r + \delta)_- : \Omega_r^{\text{odd}}(M) \rightarrow \Omega_r^{\text{even}}(M)$$

$\Rightarrow$

$$\text{ind}(d_r + \delta) = \dim \text{Ker}(d_r + \delta)_+ - \dim \text{Ker}(d_r + \delta)_-$$

$$= \sum_{j=0}^m (-1)^j \dim H^j(M, \partial M; \mathbf{R})$$

$$= \chi(M) - \chi(\partial M)$$

## Dual de Rham Complex with Boundary Condition

$$\Omega_a(M) = \{ \theta \in \Omega(M) : B_a(\theta) = 0 \}$$

$$B_a(\delta\theta) = \delta' B_a(\theta)$$

$\Rightarrow$

$$\Omega_a^{k-1}(M) \xleftarrow{\delta^k} \Omega_a^k(M) \xleftarrow{\delta^{k+1}} \Omega_a^{k+1}(M)$$

## Codifferential with Boundary Condition

$$(a) D(\delta_a) = \Omega_a^k(M) = \{\eta \in \Omega^k(M) : B_a(\eta) = 0\}$$

$$(b) \delta_a \eta = \delta \eta, \quad \forall \eta \in D(\delta_a)$$

$\Rightarrow$

$$\delta_a^* = d$$

$$d^* = \delta_a$$



## Boundary Conditions and Star Operators (1)

$$\theta = \theta_t + da \wedge \theta_n \in V_+(\alpha) \oplus V_-(\alpha)$$

- $B_r \left( * \left( da \wedge \theta_n \right) \right) = (-1)^{n-1} *' \left( \theta_n \Big|_{\partial M} \right)$

- $B_a \left( * \theta_t \right) = *' \left( \theta_t \Big|_{\partial M} \right) \wedge da \in V_-(\alpha)$

## Boundary Conditions and Star Operators (2)

$$\theta = \theta_t + da \wedge \theta_n \in V_+(\alpha) \oplus V_-(\alpha)$$

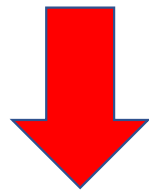
$$B_a \theta = da \wedge (\theta_n|_{\partial M}) = 0$$

$$\Leftrightarrow \theta_n|_{\partial M} = 0$$

$$\Leftrightarrow B_r (*\theta) = (-1)^{n-1} *'(\theta_n|_{\partial M}) = 0$$

## Green's Formula

$$(d\theta, \eta) = (\theta, \delta\eta) + \int_{\partial M} [B_r(\theta) \wedge B_r(*\eta)]_{n-1}$$



$$\begin{aligned} \delta_a^* &= d \\ d^* &= \delta_a \end{aligned}$$

## Graded Dirac Operators

- $D_+ = (d + \delta_a)_+ : \Omega_a^{\text{even}}(M) \rightarrow \Omega_a^{\text{odd}}(M)$

- $D_- = (d + \delta_a)_- : \Omega_a^{\text{odd}}(M) \rightarrow \Omega_a^{\text{even}}(M)$

**(Euler characteristic operators)**

## The fundamental property of Dirac Operator

$$\begin{aligned} D^2 &= \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} \\ &= \begin{pmatrix} D_- D_+ & 0 \\ 0 & D_+ D_- \end{pmatrix} = \begin{pmatrix} \Delta_a & 0 \\ 0 & \Delta_a \end{pmatrix} \end{aligned}$$

$$\Delta_a = \delta_a d + d \delta_a$$

## Index of a Graded Dirac Operator

$$\text{ind } D = \dim \text{Ker } D_+ - \dim \text{Ker } D_-$$

$$D = \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix} : \begin{array}{ccc} \Omega_a^{\text{even}}(M) & & \Omega_a^{\text{even}}(M) \\ \oplus & \rightarrow & \oplus \\ \Omega_a^{\text{odd}}(M) & & \Omega_a^{\text{odd}}(M) \end{array}$$

## Index formula for the Euler characteristic operator

$$D_+ = (d + \delta_a)_+ : \Omega_a^{\text{even}}(M) \rightarrow \Omega_a^{\text{odd}}(M)$$

$$D_- = (d + \delta_a)_- : \Omega_a^{\text{odd}}(M) \rightarrow \Omega_a^{\text{even}}(M)$$

$\Rightarrow$

$$\text{ind}(d + \delta_a) = \dim \text{Ker}(d + \delta_a)_+ - \dim \text{Ker}(d + \delta_a)_-$$

$$= \sum_{j=0}^m (-1)^j \dim H^j(M; \mathbf{R})$$

$$= \chi(M)$$

## **Part IV**

# **The relative de Rham Complex and Interior boundary value problems**



## Relative de Rham complex (1)

- $X$  compact manifold without boundary of dimension  $n$
- $Y$  compact submanifold of  $X$  of dimension  $m$
- $\iota: Y \rightarrow X$  (natural inclusion map)

## Relative de Rham complex (2)

- $\iota : Y \rightarrow X$  (natural inclusion map)
- $\iota^* : \Omega^k(X) \rightarrow \Omega^k(Y)$  (pull-back of  $\iota$ )
- $\Omega^k(X, Y) = \{ \theta \in \Omega^k(X) : \iota^* \theta = 0 \}$

## Relative de Rham complex (3)

$$\begin{array}{ccccccc} 0 & \rightarrow & \Omega^{k-1}(X, Y) & \rightarrow & \Omega^{k-1}(X) & \rightarrow & \Omega^{k-1}(Y) \rightarrow 0 \\ & & \downarrow d & & \downarrow d & & \downarrow d' \\ 0 & \rightarrow & \Omega^k(X, Y) & \rightarrow & \Omega^k(X) & \rightarrow & \Omega^k(Y) \rightarrow 0 \\ & & \downarrow d & & \downarrow d & & \downarrow d' \\ 0 & \rightarrow & \Omega^{k+1}(X, Y) & \rightarrow & \Omega^{k+1}(X) & \rightarrow & \Omega^{k+1}(Y) \rightarrow 0 \end{array}$$

$$i^* d = d' i^*$$

## Relative de Rham complex (4)

$$\Omega^{k-1}(X, Y) \xrightarrow{d_r^{k-1}} \Omega^k(X, Y) \xrightarrow{d_r^k} \Omega^{k+1}(X, Y)$$

$$d_r^k d_r^{k-1} = 0$$

## Relative de Rham complex (5)

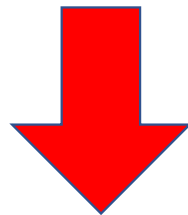
- $\{\alpha \in \Omega^k(X, Y) : d\alpha = 0\} = \text{Ker } d^k$  (**closed forms**)
- $\{d\beta \in \Omega^k(X, Y) : \beta \in \Omega^{k-1}(X, Y)\} = \text{Im } d^{k-1}$  (**exact forms**)
- $H^k(X, Y) = \text{Ker } d^k / \text{Im } d^{k-1}$   
(**de Rham cohomology group of  $X$  relative to  $Y$** )

## Long exact sequence in de Rham cohomology

$$\begin{array}{ccccccc} \rightarrow & H^{k-1}(Y) & \rightarrow & H^k(X, Y) & \rightarrow & H^k(X) & \rightarrow \\ \rightarrow & H^k(Y) & \rightarrow & H^{k+1}(X, Y) & \rightarrow & H^{k+1}(X) & \rightarrow \end{array}$$

## Long exact sequence in simplicial cohomology

$$\begin{array}{ccccccc} \rightarrow & H^{k-1}(Y; \mathbf{R}) & \rightarrow & H^k(X, Y; \mathbf{R}) & \rightarrow & H^k(X; \mathbf{R}) & \rightarrow \\ \rightarrow & H^k(Y; \mathbf{R}) & \rightarrow & H^{k+1}(X, Y; \mathbf{R}) & \rightarrow & H^{k+1}(X; \mathbf{R}) & \rightarrow \end{array}$$



$$H^k(X, Y) \cong H^k(X, Y; \mathbf{R})$$

**Analytic Approach  
to  
Relative de Rham Cohomology Theory**



## Sobolev Spaces

$$W_a(\mathbf{R}^n) = \left\{ u \in \mathcal{S}'(\mathbf{R}^n) : \int_{\mathbf{R}^n} \left(1 + |\xi|^2\right)^a \left|\hat{u}(\xi)\right|^2 d\xi < \infty \right\}$$

$$\|u\|_a = \left( \int_{\mathbf{R}^n} \left(1 + |\xi|^2\right)^a \left|\hat{u}(\xi)\right|^2 d\xi \right)^{1/2}$$

## Sobolev Spaces of Currents

$$W_a^p(X) = \left\{ u \in \mathcal{S}'(X) : \alpha = \sum_{|I|=p} \alpha_I dx^I, \quad \alpha_I \in W_a(\mathbf{R}^n) \right\}$$

$$(\alpha, \beta)_{W_a^p(X)} = \int_X (I + \Delta)^{a/2} \alpha \wedge * \left( (I + \Delta)^{a/2} \beta \right)$$

**\* : Hodge star operator on  $X$**

**$\Delta$  : Laplace - Beltrami operator on  $X$**

**$(I + \Delta)^{a/2}$  : Fractional Power of  $I + \Delta$**

## Operator $D$ of Matrix Form

$$D = \begin{pmatrix} (d + \delta)_e & -(I + \Delta)^{-a} (\bullet \otimes \delta_Y) \\ i^* & 0 \end{pmatrix} : \begin{matrix} W_a^{\text{even}}(X) \\ \oplus \\ W_{-1}^{\text{odd}}(Y) \end{matrix} \rightarrow \begin{matrix} W_a^{\text{odd}}(X) \\ \oplus \\ W_1^{\text{even}}(Y) \end{matrix}$$

$$a = \frac{n - m}{2} = \frac{\text{codim } Y}{2}$$

## Domain of the Operator $D$

$$W_a^{\text{even}}(X) = \bigoplus W_a^{2j}(X)$$

$$W_a^{\text{odd}}(X) = \bigoplus W_a^{2j-1}(X)$$

$$W_1^{\text{even}}(Y) = \bigoplus W_1^{2i}(Y)$$

$$W_{-1}^{\text{odd}}(Y) = \bigoplus W_{-1}^{2i-1}(Y)$$

## Interior Boundary Value Problems

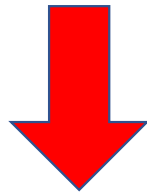
$$\begin{pmatrix} \alpha \\ S \end{pmatrix} \in \text{Ker } D$$

$\Leftrightarrow$

$$\begin{cases} (I + \Delta)^a (d + \delta)\alpha = S \otimes \delta_Y & \text{on } X \\ i^* \alpha = 0 & \text{on } Y \end{cases}$$

## Long exact sequence in relative de Rham cohomology

$$\begin{array}{ccccccc} \rightarrow & H^{2p-1}(Y) & \rightarrow & \text{Ker}^{2p} D & \rightarrow & H^{2p}(X) & \rightarrow \\ \rightarrow & H^{2p}(Y) & \rightarrow & \text{Ker}^{2p+1} D^* & \rightarrow & H^{2p+1}(X) & \rightarrow \end{array}$$



**Five lemma**

$$\text{Ker}^{2p} D \cong H^{2p}(X, Y)$$

$$\text{Ker}^{2p+1} D^* \cong H^{2p+1}(X, Y)$$

## Index formula for relative de Rham complex

$$\begin{aligned}\operatorname{ind} D &= \dim \operatorname{Ker} D - \dim \operatorname{Ker} D^* \\ &= \sum_{j=0}^m (-1)^j \dim H^j(X, Y; \mathbf{R}) \\ &= \chi(X, Y) = \chi(X) - \chi(Y)\end{aligned}$$

# Concrete Examples



## Example 1 (1)

$$\dim X = 4, \quad \dim Y = 2$$

$$\begin{cases} W_1^{\text{even}}(X) = W_1^0(X) \oplus W_1^2(X) \oplus W_1^4(X) \\ W_1^{\text{odd}}(X) = W_1^1(X) \oplus W_1^3(X) \end{cases}$$

$$\begin{cases} W_1^{\text{even}}(Y) = W_1^0(Y) \oplus W_1^2(Y) \\ W_{-1}^{\text{odd}}(Y) = W_{-1}^1(Y) \end{cases}$$

## Example 1 (2)

$$D = \begin{pmatrix} (d + \delta)_e & -(I + \Delta)^{-1} (\bullet \otimes \delta_Y) \\ \iota^* & 0 \end{pmatrix} : \begin{array}{cc} W_1^{\text{even}}(X) & W_1^{\text{odd}}(X) \\ \oplus & \oplus \\ W_{-1}^{\text{odd}}(Y) & W_1^{\text{even}}(Y) \end{array} \rightarrow \begin{array}{cc} W_1^{\text{odd}}(X) & W_1^{\text{even}}(X) \\ \oplus & \oplus \\ W_{-1}^{\text{even}}(Y) & W_1^{\text{odd}}(Y) \end{array}$$

## Example 1 (3)

$$D \begin{pmatrix} \alpha_0 \\ \alpha_2 \\ \alpha_4 \\ S \end{pmatrix} = \begin{pmatrix} d\alpha_0 + \delta\alpha_2 - (I + \Delta)^{-1} (S \otimes \delta_Y) \\ d\alpha_2 + \delta\alpha_4 \\ i^* \alpha_0 \\ i^* \alpha_2 \end{pmatrix}$$

### Example 1 (4)

$$\text{ind } D = \chi(X) - \chi(Y)$$

$$= \frac{1}{32\pi^2} \int_X \left( \kappa^2 - 4 \|\text{Ric}\|^2 + \|R\|^2 \right) \mu_X - \frac{1}{2\pi} \int_Y K \mu_Y$$

## Curvatures

- $R = R_{ikj\ell}$  **Riemannian curvature tensor**
- $\text{Ric} = R_{ikjk}$  **Ricci curvature**
- $\kappa = R_{ijij}$  **Scalar curvature**
- $K$  **Gaussian curvature**

## Example 2 (1)

$$\dim X = 6, \quad \dim Y = 4$$

$$\begin{cases} W_1^{even}(X) = W_1^0(X) \oplus W_1^2(X) \oplus W_1^4(X) \oplus W_1^6(X) \\ W_1^{odd}(X) = W_1^1(X) \oplus W_1^3(X) \oplus W_1^5(X) \end{cases}$$

$$\begin{cases} W_1^{even}(Y) = W_1^0(Y) \oplus W_1^2(Y) \oplus W_1^4(Y) \\ W_{-1}^{odd}(Y) = W_{-1}^1(Y) \oplus W_{-1}^3(Y) \end{cases}$$

## Example 2 (2)

$$D = \begin{pmatrix} (d + \delta)_e & -(I + \Delta)^{-1} (\bullet \otimes \delta_Y) \\ \iota^* & 0 \end{pmatrix} : \begin{array}{ccc} W_1^{\text{even}}(X) & & W_1^{\text{odd}}(X) \\ \oplus & \rightarrow & \oplus \\ W_{-1}^{\text{odd}}(Y) & & W_1^{\text{even}}(Y) \end{array}$$

## Example 2 (3)

$$\text{ind } D = \chi(X) - \chi(Y)$$

$$= \frac{1}{384\pi^3} \int_X (\kappa^3 - 12\kappa \|\text{Ric}\|^2 + 3\kappa \|R\|^2 + 16R_j^i R_k^j R_i^k$$

$$+ 24R^{ij} R^{kl} R_{ikjl} - 24R^{ij} R_{iabc} R_j^{abc} - 8R^{ijkl} R_{ik}^{rs} R_{jslr} + 2R^{ijkl} R_{ijrs} R_{kl}^{rs}) \mu_X$$

$$- \frac{1}{32\pi^2} \int_Y (\kappa_Y^2 - 4\|\text{Ric}_Y\|^2 + \|R_Y\|^2) \mu_Y$$

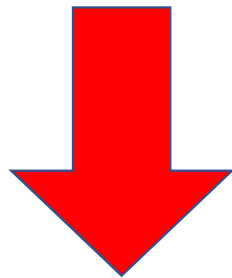


**Index formula**  
**of**  
**Agranovic-Dynin type**

## Vishik Operator $P$

$$P\varphi := \iota^* \left( G(I + \Delta)^{-a} (\varphi \otimes \delta_Y) \right), \quad \varphi \in \Omega^p(Y)$$

$$G := \frac{1}{2\pi i} \int \frac{1}{z} (zI - \Delta)^{-1} dz \quad \text{Green operator}$$



$P \in L_{cl}^{-2}(Y)$  classical, **elliptic** pseudo - differential operator of order  $-2$

## Index formula of Agranovic-Dynin type

$$\begin{aligned} & \text{ind } (d + \delta)_e - \text{ind} \begin{pmatrix} (d + \delta)_e & -(I + \Delta)^{-a} (\bullet \otimes \delta_Y) \\ t^* & 0 \end{pmatrix} \\ & = \text{ind} \left( d' + P\delta'P^{-1} \right)_e \end{aligned}$$

END