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## Thermal Expansion in Layered $\text{Na}_x\text{MO}_2$

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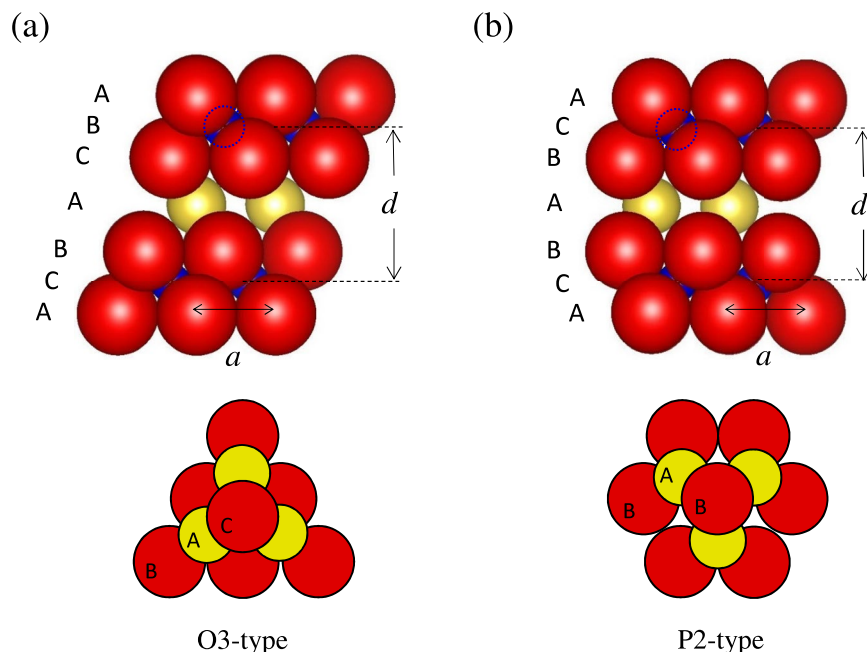
**Layered oxide  $\text{Na}_x\text{MO}_2$  (M: transition metal) is a promising cathode material for sodium-ion secondary battery. Crystal structure of O3- and P2-type  $\text{Na}_x\text{MO}_2$  with various M against temperature ( $T$ ) was systematically investigated by synchrotron x-ray diffraction mainly focusing on the  $T$ -dependences of  $a$ - and  $c$ -axis lattice constants ( $a$  and  $c$ ) and  $z$  coordinate ( $z$ ) of oxygen. Using a hard-sphere model with minimum Madelung energy, we confirmed that  $c/a$  and  $z$  values in O3-type  $\text{Na}_x\text{MO}_2$  were reproduced. We further evaluated the thermal expansion coefficients ( $\alpha_a$  and  $\alpha_c$ ) along  $a$ - and  $c$ -axis at 300 K. The anisotropy of the thermal expansion was quantitatively reproduced without adjustable parameters for O3-type  $\text{Na}_x\text{MO}_2$ . Deviations of  $z$  from the model for P2-type  $\text{Na}_x\text{MO}_2$  are ascribed to Na vacancies characteristic to the structure.**

Sodium-ion-secondary battery (SIB) stores electrochemical energy through  $\text{Na}^+$  intercalation/deintercalation process. Due to large Clark number ( $=2.63$ ) of Na compared with that ( $=0.006$ ) of Li, SIBs can be a promising next-generation battery for storage of natural energy at a power plant and for a large-scale device such as electrical vehicle. Layered oxide  $\text{Na}_x\text{MO}_2$  (M: transition metal) is a typical cathode material for SIBs<sup>1–3</sup>. Crystal structure of this material is categorized into two typical structures: O3 and P2 types<sup>4</sup>. Figure 1 shows schematic structures of (a) O3-type and (b) P2-type  $\text{NaMO}_2$ . Red, yellow, and blue spheres represent O, Na, and M, respectively. M is surrounded by six oxygens, and a  $\text{MO}_6$  octahedron is formed. The edge-sharing  $\text{MO}_6$  octahedra form a  $\text{MO}_2$  layer. Both O3- and P2-type  $\text{NaMO}_2$  exhibit alternately stacked  $\text{MO}_2$  layers and Na sheets. The sodium sheet, upper and lower oxygen sheets stack as BAC resulting in the octahedral Na site. In the P2-type  $\text{NaMO}_2$ , the sodium and oxygen sheets stack as BAB resulting in the prismatic Na site. O3-type  $\text{NaMO}_2$  (M = Ti, Cr, Mn, Co, Ni) and P2-type  $\text{Na}_x\text{MO}_2$  (M = Mn and Co) were found to exhibit  $\text{Na}^+$  intercalation/deintercalation in early 1980s<sup>5–9</sup>. Concerning the discovery of hard carbon ( $\geq 200$  mAh/g) as anode material of SIB<sup>10</sup>, electrochemical properties of  $\text{Na}_x\text{MO}_2$  are extensively reported<sup>11–20</sup>. Very recently, substitution effects on the battery properties in O3-type structure<sup>21–27</sup> and P2-type structure<sup>28–45</sup> were extensively studied to reduce expensive element and improve the cyclability and capacity.

Not only electrochemical properties but also superconductivity<sup>46</sup>, crystal structure<sup>47–57</sup>, magnetism<sup>58–61</sup>, thermoelectric effect<sup>62,63</sup>, and first-principle calculation<sup>64–67</sup> of the end family are also studied. Fujita *et al.* found that  $\text{Na}_x\text{CoO}_{2-\delta}$  single crystal shows a large dimensionless figure-of-merit of  $ZT = 1$  at 800 K<sup>63</sup>, which has motivated practical use for waste heat recovery at high temperatures ( $T$ ). An isostructural O3-type  $\text{LiMO}_2$  is widely used as a cathode material in lithium-ion-secondary battery (LIB)<sup>68,69</sup>. This family is also studied as a thermoelectric material<sup>70</sup> and a cathode material of solid oxide fuel cell (SOFC)<sup>71</sup>. In particular, Lan and Tao found that  $\text{Li}_x\text{Al}_{0.5}\text{Co}_{0.5}\text{O}_2$  shows good proton conductivity of  $0.1 \text{ Scm}^{-1}$  at 773 K<sup>71</sup>, which is the highest among those of known polycrystalline proton-conducting materials. During operation of energy devices such as LIB(SIB), thermoelectric device, and SOFC, these materials are exposed to a variation and/or a gradient of temperature. A mismatch in thermal expansion coefficients in between the components can result in high stresses around the interface leading to deterioration of the device. Thus, evaluation and systematical comprehension of thermal expansion behaviors in this class of materials are important.

In this paper, we report systematic structural analysis of four O3- and five P2-type  $\text{Na}_x\text{MO}_2$  samples against  $T$  ( $300 \text{ K} \leq T \leq 800 \text{ K}$ ) performed by synchrotron x-ray diffraction focusing on thermal expansion. To understand the thermal expansion behavior, we constructed a hard-sphere model with constraint that M, upper and lower oxygens are connected each other. We confirmed that the calculated  $d/a$  [ $d$ : interlayer distance,  $a$ :  $a$ -axis lattice

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**Figure 1.** Schematic figure of (a) O3- and (b) P2- $\text{NaMO}_2$  structure. Red, yellow, and blue spheres represent O, Na, and M (transition metal element), respectively. M is sandwiched by upper and lower oxygen layers. In the P2-type structure, only the Na1 site [the atomic coordinates of Na1 are  $(\frac{1}{3}, \frac{2}{3}, \frac{3}{4})$ ] is shown. The bottom panels show top views of O-Na-O stackings in the O3- and P2-type structures, respectively. The BAC stacking in O3 forms  $\text{NaO}_6$  octahedron, and the BAB stacking in P2 forms  $\text{NaO}_6$  triangular prism.

constant] and  $z$  well reproduced the experimental values for O3-type  $\text{Na}_x\text{MO}_2$ . By introducing  $T$ -linear expansion of the hard sphere, the anisotropy of the thermal expansion was quantitatively reproduced without adjustable parameter for O3-type  $\text{Na}_x\text{MO}_2$ .

## Results

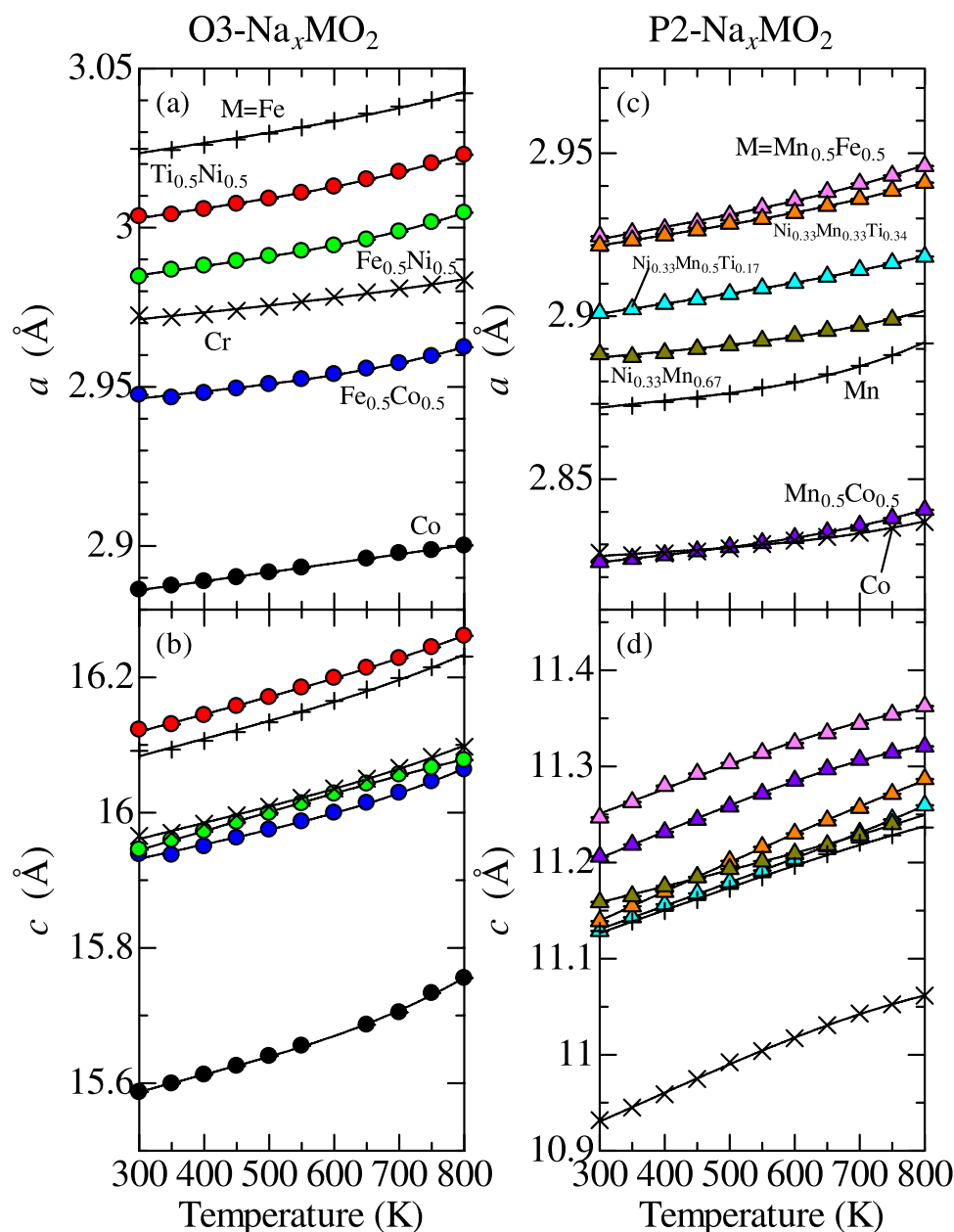
**Temperature dependence of  $a(c)$ -axis lattice constants and  $z$  coordinate of oxygen.** Figure 2(a) and (b) show  $a$ -axis lattice constant ( $a$ ), and (b)  $c$ -axis lattice constant ( $c$ ) of O3-type  $\text{Na}_{0.99}\text{CrO}_2$ ,  $\text{Na}_{0.99}\text{FeO}_2$ ,  $\text{Na}_{1.00}\text{CoO}_2$ ,  $\text{Na}_{0.98}\text{Fe}_{0.5}\text{Co}_{0.5}\text{O}_2$ ,  $\text{Na}_{0.99}\text{Fe}_{0.5}\text{Ni}_{0.5}\text{O}_2$ , and  $\text{Na}_{0.94}\text{Ti}_{0.5}\text{Ni}_{0.5}\text{O}_2$  against  $T$ . With  $T$ ,  $a$  and  $c$  monotonically increase. Raw x-ray diffraction data and results of Rietveld refinements at 300 K are shown in Figs S1–S3. The solid line represents a least-square fitting with use of a degree 3 polynomial function. By using  $\alpha_{a(c)} = \frac{d \ln a(c)}{dT}$ , a linear thermal expansion coefficient along  $a$ - and  $c$ -axis was evaluated. Figure 2(c) and (d) show  $a$  and  $c$  of P2-type  $\text{Na}_{0.52}\text{MnO}_2$ ,  $\text{Na}_{0.59}\text{CoO}_2$ ,  $\text{Na}_{0.50}\text{Mn}_{0.5}\text{Co}_{0.5}\text{O}_2$ ,  $\text{Na}_{0.70}\text{Ni}_{0.33}\text{Mn}_{0.67}\text{O}_2$ ,  $\text{Na}_{0.69}\text{Ni}_{0.33}\text{Mn}_{0.5}\text{Ti}_{0.17}\text{O}_2$ ,  $\text{Na}_{0.70}\text{Ni}_{0.33}\text{Mn}_{0.33}\text{Ti}_{0.34}\text{O}_2$ , and  $\text{Na}_{0.48}\text{Mn}_{0.5}\text{Fe}_{0.5}\text{O}_2$ . The P2-type compounds also show monotonical  $T$ -dependences of  $a$  and  $c$ . In Table 1, the values of  $a$ ,  $c$  at 300 K,  $\alpha_a$ , and  $\alpha_c$  of O3- and P2-type  $\text{Na}_x\text{MO}_2$  at 300 K were listed.

Figure 3(a) shows  $z$  coordinate of oxygen for O3- and P2-type structure ( $z_{\text{O3}}$  and  $z_{\text{P2}}$ ) against  $a$  at 300 and 700 K. Blue and red circles represent  $z_{\text{O3}}$  at 300 and 700 K, respectively. Light green and pink triangles represent  $z_{\text{P2}}$  at 300 and 700 K, respectively. The values were almost independent of  $T$ . Figure 3(b) shows a ratio of interlayer distance ( $d$ ) to  $a$  against  $a$  at 300 K, where  $d$  is  $c/3$  for O3-type and  $c/2$  for P2-type structure. Light blue and purple circles represent  $d/a$  of O3-type compounds at 300 and 700 K, respectively. Green and yellow triangles represent  $d/a$  of P2-type compounds at 300 and 700 K, respectively.  $d/a$  slightly decreases with an increase in  $a$ .

**Thermal expansion coefficients.** Figure 4 shows (a)  $\alpha_a$ , (b)  $\alpha_c$ , and (c)  $\alpha_c/\alpha_a$  against  $a$ .  $\alpha_a$  and  $\alpha_c$  of O3-type  $\text{Na}_{1.00}\text{CoO}_2$  were  $0.98 \times 10^{-5} \text{ K}^{-1}$  and  $1.71 \times 10^{-5} \text{ K}^{-1}$ , respectively. These values are comparable to those of inorganic compounds;  $\alpha_a = 1.44 \times 10^{-5} \text{ K}^{-1}$  for  $\text{LiMn}_2\text{O}_4$ <sup>72</sup>, and  $\alpha_a(\alpha_c) = 0.85(2.5) \times 10^{-5} \text{ K}^{-1}$  for layered  $\text{BaFe}_{1.84}\text{Co}_{0.16}\text{As}_2$ <sup>73</sup>.  $\alpha_a(\alpha_c)$  in Fig. 4 is rather scattered against  $a$  around the averaged value of  $0.92(1.96) \times 10^{-5} \text{ K}^{-1}$ . The ratio  $\alpha_c/\alpha_a$  is also scattered around the averaged value ( $=2.30$ ). However, the data point for P2- $\text{Na}_{0.59}\text{CoO}_2$  are seriously deviated from the average value. This is probably due to the Na ordering<sup>67</sup>.

## Discussion

**A hard-sphere model with minimum Madelung energy.** We have constructed a hard-sphere structural model for O3- and P2-type  $\text{NaMO}_2$  to reproduce the experimental results ( $d/a$ ,  $z$ ,  $\alpha_c/\alpha_a$ ). Firstly, imagine a sheet consists of hard spheres that were arrayed on triangular lattice, and then the sheet is alternately stacked as shown in Fig. 1. In the model, hard spheres of Na, M, and O were assumed to have Shannon's ionic radius<sup>74</sup>;  $R_{\text{Na}} = 1.02 \text{ \AA}$ ,  $R_{\text{O}} = 1.40 \text{ \AA}$ , and  $R_{\text{M}}$  is a variable parameter that takes  $0.58\text{--}0.7 \text{ \AA}$ , respectively. Since the ionic radius of the hard sphere is different from one another, the structure can not be the hexagonal close-packed structure, and several



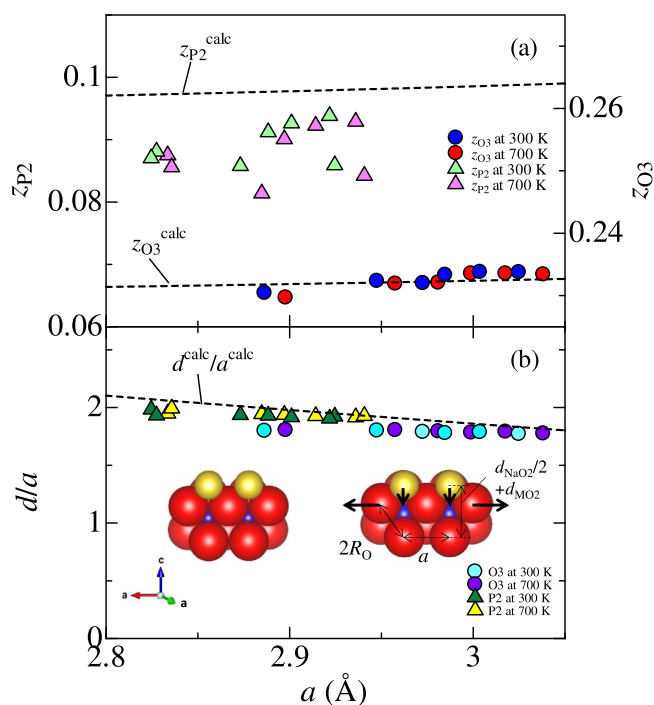
**Figure 2.** Temperature ( $T$ ) dependence of (a)  $a$ -axis, (b)  $c$ -axis lattice constants ( $a$  and  $c$ ) of O3-type  $\text{Na}_x\text{MO}_2$ .  $T$  dependence of (c)  $a$ , and (d)  $c$  of P2-type  $\text{Na}_x\text{MO}_2$ . The solid line represents a least-square fitting with use of a degree 3 polynomial function for  $a$  and  $c$ .  $a$  and  $c$  values of O3- $\text{Na}_{0.99}\text{CrO}_2$ , O3- $\text{Na}_{0.99}\text{FeO}_2$ , P2- $\text{Na}_{0.52}\text{MnO}_2$ , and P2- $\text{Na}_{0.59}\text{CoO}_2$  were referred from our previous reports<sup>56,57</sup>.

structures with different  $a$  are possible for the unique value of  $R_M$ . Here, we adopted a constraint that M, upper, and lower oxygens coronated each other, because the constraint minimizes the Madelung energy against  $a$  (vide infra). For a calculation of thermal expansion coefficient, the hard sphere is assumed to expand in proportion to the temperature difference ( $\Delta T$ ).  $\Delta T$  dependence of  $R_{\text{Na}}$  is expressed as  $R_{\text{Na}}(\Delta T) = R_{\text{Na}} + Am_{\text{Na}}^{-1}R_{\text{Na}}\Delta T$ , where  $m_{\text{Na}} (=22.99)$  is atomic weight of Na atom. Similarly,  $\Delta T$  dependences of  $R_{\text{O}}$  and  $R_M$  are expressed as  $R_{\text{O}}(\Delta T) = R_{\text{O}} + Am_{\text{O}}^{-1}R_{\text{O}}\Delta T$ , and  $R_M(\Delta T) = R_M + Am_M^{-1}R_M\Delta T$ , where  $m_{\text{O}} (=16.00)$  and  $m_M (=55.85)$  (We used 55.85 of the atomic weight of Fe as  $m_M$  although M is not only Fe but also mixture of Ti, Mn, Fe, and Co. When we used 47.88 of the atomic weight of Ti as  $m_M$ , the calculated  $\alpha_c/\alpha_a$  worse reproduces the experiments.) are atomic weights of O and M, respectively.

Now, let us derive the expression ( $a^{\text{calc}}$ ) of  $a$ -axis lattice constant as a function of  $\Delta T$ . Note that the in-plane nearest-neighbor oxygen distance is  $a$  for both the P2- and O3-structure. Considering the above-mentioned constraint,  $a^{\text{calc}}(\Delta T)$  for both O3- and P2-structures is expressed as

Compound	<i>a</i> (Å)	<i>c</i> (Å)	<i>z</i>	$\alpha_a$ ( $10^{-5} \text{ K}^{-1}$ )	$\alpha_c$ ( $10^{-5} \text{ K}^{-1}$ )	$\alpha_c/\alpha_a$
<sup>56</sup> O3-Na <sub>0.99</sub> CrO <sub>2</sub>	<sup>†</sup> 2.97247(3)	<sup>†</sup> 15.96540(18)	0.23213(13)	<sup>*</sup> 0.73	<sup>*</sup> 1.52	<sup>*</sup> 2.07
<sup>56</sup> O3-Na <sub>0.99</sub> FeO <sub>2</sub>	<sup>†</sup> 3.02477(2)	<sup>†</sup> 16.09135(10)	0.23389(12)	<sup>*</sup> 1.07	<sup>*</sup> 1.63	<sup>*</sup> 1.52
O3-Na <sub>1.00</sub> CoO <sub>2</sub>	2.88627(2)	15.58680(12)	0.23046(9)	0.98	1.71	1.74
O3-Na <sub>0.98</sub> Fe <sub>0.5</sub> Co <sub>0.5</sub> O <sub>2</sub>	2.94748(3)	15.93844(23)	0.23238(12)	0.79	1.37	1.73
O3-Na <sub>0.99</sub> Fe <sub>0.5</sub> Ni <sub>0.5</sub> O <sub>2</sub>	2.98463(9)	15.94545(63)	0.23334(17)	1.01	1.76	1.74
O3-Na <sub>0.94</sub> Ti <sub>0.5</sub> Ni <sub>0.5</sub> O <sub>2</sub>	3.00358(4)	16.12273(24)	0.23382(13)	1.06	1.61	1.51
<sup>57</sup> P2-Na <sub>0.52</sub> MnO <sub>2</sub>	<sup>†</sup> 2.87311(9)	<sup>†</sup> 11.1287(5)	0.0858(3)	<sup>*</sup> 0.81	<sup>*</sup> 2.11	<sup>*</sup> 2.59
<sup>57</sup> P2-Na <sub>0.59</sub> CoO <sub>2</sub>	<sup>†</sup> 2.82748(4)	<sup>†</sup> 10.9319(2)	0.0881(3)	<sup>*</sup> 0.48	<sup>*</sup> 2.70	<sup>*</sup> 5.61
P2-Na <sub>0.50</sub> Mn <sub>0.5</sub> Co <sub>0.5</sub> O <sub>2</sub>	2.82459(5)	11.20617(33)	0.08704(24)	0.84	2.42	2.87
P2-Na <sub>0.70</sub> Ni <sub>0.33</sub> Mn <sub>0.67</sub> O <sub>2</sub>	2.88835(5)	11.15881(29)	0.09120(28)	0.67	1.51	2.26
P2-Na <sub>0.69</sub> Ni <sub>0.33</sub> Mn <sub>0.5</sub> Ti <sub>0.17</sub> O <sub>2</sub>	2.90101(3)	11.12868(16)	0.09263(17)	1.07	2.20	2.05
P2-Na <sub>0.70</sub> Ni <sub>0.33</sub> Mn <sub>0.33</sub> Ti <sub>0.34</sub> O <sub>2</sub>	2.92173(4)	11.13896(22)	0.09381(21)	1.12	2.72	2.42
P2-Na <sub>0.48</sub> Mn <sub>0.5</sub> Fe <sub>0.5</sub> O <sub>2</sub>	2.92452(8)	11.24690(48)	0.08592(32)	1.30	2.26	1.74

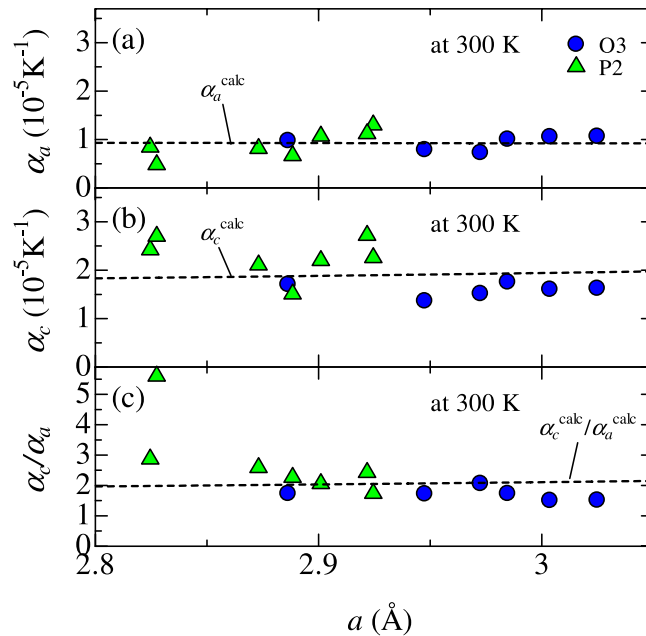
**Table 1.** *a*-axis, *c*-axis lattice constants (*a* and *c*), *z* coordinate of oxygen (*z*) at 300 K, the linear thermal expansion coefficient [ $\alpha_a$  ( $\alpha_c$ )] along *a*(*c*)-axis, and  $\alpha_c/\alpha_a$  of O3- and P2-type Na<sub>x</sub>MO<sub>2</sub> at 300 K. <sup>†</sup>The original data were referred from previous reports<sup>56,57</sup>. <sup>\*</sup> $\alpha_a$  and  $\alpha_c$  were reevaluated in a *T*-range of 300–800 K.



**Figure 3.** (a) *z* coordinate of oxygen for O3- (*z*<sub>O3</sub>) and P2-type (*z*<sub>P2</sub>) structure against *a*-axis lattice constant (*a*). Blue and red circles represent *z*<sub>O3</sub> at 300 and 700 K, respectively. Light green and pink triangles represent *z*<sub>P2</sub> at 300 and 700 K, respectively. The broken lines represent *z* calculated by the hard sphere model with minimum Madelung energy for O3 and P2-type compounds (*z*<sub>O3</sub><sup>calc</sup> and *z*<sub>P2</sub><sup>calc</sup>) against *a*, respectively. (b) The ratio of interlayer distance (*d*) to *a* against *a*. Light blue and purple circles represent *d/a* of O3-type compounds at 300 and 700 K, respectively. Green and yellow triangles represent *d/a* of P2-type compounds at 300 and 700 K, respectively. The broken line represents *d/a* calculated by the hard sphere model with minimum Madelung energy (*d*<sup>calc</sup>/*a*<sup>calc</sup>) against *a*. The inset of Fig. 3(b) shows a schematic view of the local atomic configuration around M.

$$a^{\text{calc}}(\Delta T) = 2\sqrt{[R_O(\Delta T) + R_M(\Delta T)]^2 - R_O(\Delta T)^2}. \quad (1)$$

This equation is easily derived using Pythagorean theorem. As shown in Eq. 1, *a*<sup>calc</sup> strongly depends on *R*<sub>M</sub> value. Due to the finite ionic radius of oxygen (*R*<sub>O</sub> = 1.40 Å), minimum value of *a*<sup>calc</sup> is 2.80 Å. At *a*<sup>calc</sup> = 2.80 Å, *R*<sub>M</sub> is evaluated to be ≈0.5799 Å using Eq. 1. With an increase in *R*<sub>M</sub>, the oxygen triangular lattice expands in order to keep the connection between M, upper and lower oxygens.



**Figure 4.** Linear thermal expansion coefficient (a) along  $a$ -axis ( $\alpha_a$ ), (b) along  $c$ -axis ( $\alpha_c$ ), and (c)  $\alpha_c/\alpha_a$  against  $a$ -axis lattice constant ( $a$ ). The broken lines in Fig. 4(a,b and c) represent  $\alpha_a$ ,  $\alpha_c$ , and  $\alpha_c/\alpha_a$  against  $a$  calculated by the hard-sphere model with minimum Madelung energy ( $\alpha_a^{\text{calc}}$ ,  $\alpha_c^{\text{calc}}$ , and  $\alpha_c^{\text{calc}}/\alpha_a^{\text{calc}}$ ).

Na sheet is sandwiched by the  $\text{MO}_2$  layers as BAC (BAB) in the O3-type (P2-type) structure. We noted that the expression ( $d^{\text{calc}}$ ) of interlayer distance is independent of the stacking manner, and the  $\Delta T$ -dependence of  $d^{\text{calc}}$  for O3- and P2-type structure is expressed as,

$$d^{\text{calc}}(\Delta T) = \sqrt{[2R_{\text{O}}(\Delta T)]^2 - \frac{a^{\text{calc}}(\Delta T)^2}{3}} + 2\sqrt{[R_{\text{O}}(\Delta T) + R_{\text{Na}}(\Delta T)]^2 - \frac{a^{\text{calc}}(\Delta T)^2}{3}}. \quad (2)$$

The first and the second terms correspond to  $d_{\text{MO}_2}^{\text{calc}}$  and  $d_{\text{NaO}_2}^{\text{calc}}$ , where  $d_{\text{MO}_2}^{\text{calc}}$  and  $d_{\text{NaO}_2}^{\text{calc}}$  are the thicknesses of  $\text{MO}_2$  and  $\text{NaO}_2$  layers, respectively. A relationship between  $d^{\text{calc}}$  and the expression of  $c$  ( $c^{\text{calc}}$ ) is expressed as  $3d^{\text{calc}}(\Delta T) = c_{\text{O3}}^{\text{calc}}(\Delta T)$  and  $2d^{\text{calc}}(\Delta T) = c_{\text{P2}}^{\text{calc}}(\Delta T)$  for O3- and P2-type structures. By using Pythagorean theorem, Eq. 2 is easily derived. Expressions of  $z$  ( $z^{\text{calc}}$ ) for O3- and P2-type structures are derived as

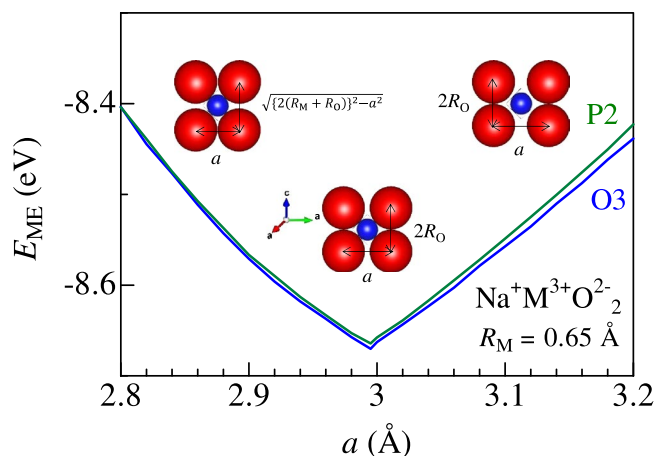
$$z_{\text{O3}}^{\text{calc}}(\Delta T) = \frac{1}{6} + \frac{1}{6d^{\text{calc}}(\Delta T)} \sqrt{[2R_{\text{O}}(\Delta T)]^2 - \frac{a^{\text{calc}}(\Delta T)^2}{3}}, \quad (3)$$

and

$$z_{\text{P2}}^{\text{calc}}(\Delta T) = \frac{1}{4d^{\text{calc}}(\Delta T)} \sqrt{[2R_{\text{O}}(\Delta T)]^2 - \frac{a^{\text{calc}}(\Delta T)^2}{3}}, \quad (4)$$

respectively.

Now, let us consider the stability of the hard-sphere model with the constraint that M, upper and lower oxygens coronated to each other. For this purpose, we calculated the Madelung energy at a specific  $R_{\text{M}}$  ( $=0.65 \text{ \AA}$ ) against  $a$ . We show that this model exhibits minimum Madelung energy ( $E_{\text{ME}}$ ). Figure 5 shows  $E_{\text{ME}}$  of O3- and P2-type  $\text{NaMO}_2$  against  $a$ . Our constraint gives  $a^{\text{calc}} \approx 2.995 \text{ \AA}$  [Eq. 1] at  $R_{\text{M}} = 0.65 \text{ \AA}$ . With the O3 structure, the  $a^{\text{calc}}$  value corresponds to the minimum position of  $E_{\text{ME}}$  ( $-8.67 \text{ eV}$ ). With an increase in  $a$  from  $2.995 \text{ \AA}$ , M becomes isolated from the surrounding oxygens (the right-side inset of Fig. 5), and  $E_{\text{ME}}$  increases (Note that the oxygen positions were controlled by Eqs 3 and 4). With an decrease in  $a$  from  $2.995 \text{ \AA}$ , the upper and lower oxygens are separated (the left-side inset of Fig. 5), and  $E_{\text{ME}}$  increases as well (We used  $d^{\text{calc}} = 2\sqrt{(R_{\text{M}} + R_{\text{O}})^2 - \frac{a^2}{3}} + 2\sqrt{[R_{\text{O}} + R_{\text{Na}}]^2 - \frac{a^2}{3}}$ ,  $z_{\text{O3}}^{\text{calc}} = \frac{1}{6} + \frac{1}{6d^{\text{calc}}} \sqrt{(R_{\text{M}} + R_{\text{O}})^2 - \frac{a^2}{3}}$ , and  $z_{\text{P2}}^{\text{calc}} = \frac{1}{4d^{\text{calc}}} \sqrt{(R_{\text{M}} + R_{\text{O}})^2 - \frac{a^2}{3}}$  for the calculation below  $a = 2.995 \text{ \AA}$ ). Similar results are obtained for the P2 structure. Thus, our model is energetically stable against the variation of  $a$ . Our constraint that M, upper and lower oxygens connected to each other causes the compact layered structure and minimized the long-range Coulomb energy between the layers. We call our model “hard-sphere model with minimum Madelung energy”.



**Figure 5.** Madelung energy ( $E_{ME}$ ) of O3- and P2-type  $\text{NaMO}_2$  against  $a$  for the hard-sphere model.  $R_M$  was fixed at  $0.65 \text{ \AA}$ .

**Companion of the structural parameters and the thermal expansion coefficients with the model.** The broken line in Fig. 3(b) is the calculated  $d/a$  based on the hard-sphere model with minimum Madelung energy (see Eqs 1 and 2).  $d^{\text{calc}}/a^{\text{calc}}$  decreases with an increase in  $a^{\text{calc}}$ , which reproduces experimental results. The decrease in  $d^{\text{calc}}/a^{\text{calc}}$  is schematically depicted in the inset of Fig. 3(b). When O-O distance along in-plane direction elongates due to increase in  $R_M$ , Na atoms relatively sink down along out-of-plane direction. (Decrease in  $d_{\text{NaO}_2}/(2a)$  against  $a$  is displayed in Fig. S5). We further calculated  $z$  and plotted them in Fig. 3(a). In the O3-type compounds,  $z^{\text{calc}}$  well reproduces the experimental data. In the P2-type compounds, however,  $z^{\text{calc}}$  is slightly smaller than  $z_{\text{P2}}^{\text{calc}}$ . We ascribed the smaller  $z_{\text{P2}}$  to the Na vacancies characteristic to the P2 structure. With the vacancies, the nominal valence of M became higher, and hence  $R_M$  becomes smaller. Our model tells us that  $z_{\text{P2}}^{\text{calc}}$  becomes smaller if  $R_M$  becomes smaller.

The broken lines in Fig. 4 represent the calculated  $\alpha_a$ ,  $\alpha_c$ , and  $\alpha_c/\alpha_a$  ( $\alpha_a^{\text{calc}}$ ,  $\alpha_c^{\text{calc}}$ , and  $\alpha_c^{\text{calc}}/\alpha_a^{\text{calc}}$ ), respectively.  $\alpha_a^{\text{calc}}(\alpha_c^{\text{calc}})$  was evaluated by using the equation,  $\frac{d \ln \alpha^{\text{calc}}(\Delta T)}{d \Delta T} \left[ \frac{d \ln c^{\text{calc}}(\Delta T)}{d \Delta T} \right]$ . The only fitting parameter  $A$  ( $=2.56 \times 10^{-4} \text{ K}^{-1}$ ) was chosen to fit the average value of  $\alpha_a$  ( $=0.92 \times 10^{-5} \text{ K}^{-1}$ ). Using the same value of  $A$ ,  $\alpha_c^{\text{calc}}$  was found to reproduce the magnitude of experimental value for the O3 materials. On the other hand, larger  $\alpha_c$  for the P2 materials is possibly due to Na vacancies except for the data point of  $\text{Na}_{0.59}\text{CoO}_2$ . We note that  $\frac{\alpha_c^{\text{calc}}}{\alpha_a^{\text{calc}}}$  is expressed without the adjustable parameter  $A$ ,

$$\left. \frac{\alpha_c^{\text{calc}}}{\alpha_a^{\text{calc}}} \right|_{\Delta T=0} = \frac{\frac{2m_{\text{O}}^{-1}R_{\text{O}}^2}{m_{\text{M}}^{-1}R_{\text{M}}R_{\text{O}} + (m_{\text{O}}^{-1}R_{\text{O}} + m_{\text{M}}^{-1}R_{\text{M}})R_{\text{M}}} - \frac{2}{3}}{4 \sqrt{\left\{ \left( \frac{2R_{\text{O}}}{a_0} \right)^2 - \frac{1}{3} \right\} \left\{ \left( \frac{R_{\text{O}} + R_{\text{Na}}}{a_0} \right)^2 - \frac{1}{3} \right\} + 2 \left\{ \left( \frac{2R_{\text{O}}}{a_0} \right)^2 - \frac{1}{3} \right\}}} + \frac{\frac{(m_{\text{O}}^{-1}R_{\text{O}} + m_{\text{Na}}^{-1}R_{\text{Na}})(R_{\text{O}} + R_{\text{Na}})}{2m_{\text{M}}^{-1}R_{\text{M}}R_{\text{O}} + 2(m_{\text{O}}^{-1}R_{\text{O}} + m_{\text{M}}^{-1}R_{\text{M}})R_{\text{M}}} - \frac{2}{3}}{\sqrt{\left\{ \left( \frac{2R_{\text{O}}}{a_0} \right)^2 - \frac{1}{3} \right\} \left\{ \left( \frac{R_{\text{O}} + R_{\text{Na}}}{a_0} \right)^2 - \frac{1}{3} \right\} + 2 \left\{ \left( \frac{R_{\text{O}} + R_{\text{Na}}}{a_0} \right)^2 - \frac{1}{3} \right\}}}, \quad (5)$$

where  $a_0 = 2\sqrt{2R_{\text{O}}R_{\text{M}} + R_{\text{O}}^2}$ . The hard-sphere model examined in this paper gives intuitive and easy comprehension of the thermal expansion behavior of the layered oxides. The density-functional-theory (DFT) calculation successfully reproduces the linear thermal expansion coefficients of several materials such as  $\text{Al}^{75}$ ,  $\text{S}^{76}$ , 4d transition metals<sup>77,78</sup>,  $\text{Os}^{78}$ ,  $\text{MgO}^{79}$ ,  $\text{CaO}^{79}$ , and  $\text{ZnO}^{80}$ , which is beyond the scope of this paper.

## Conclusion

We systematically determined the temperature dependent lattice constant and  $z$ -coordinates of P2- and O3-type  $\text{NaMO}_2$ . We proposed a simple hard-sphere model with constraint that M, upper and lower oxygens are connected to each others. The model quantitatively reproduced  $a$ ,  $c$ ,  $z$ ,  $\alpha_a$  and  $\alpha_c$  for O3-type  $\text{Na}_x\text{MO}_2$ . On the other hand,  $z$  coordinate of P2-type  $\text{Na}_x\text{MO}_2$  deviates from the hard-sphere model possibly due to Na vacancies. This simple model can be easily applied for the other layered compounds to intuitively understand and design the thermal expansion behaviors.

## Methods

**Sample preparation.** Powders of O3- and P2- $\text{Na}_x\text{MO}_2$  (M: transition metal) were synthesized by using conventional solid state reaction. For O3- $\text{Na}_{1.00}\text{CoO}_2$ ,  $\text{Na}_2\text{O}_2$  and  $\text{Co}_3\text{O}_4$  were mixed under the molar ratio of  $\text{Na}:\text{Co} = 1.1:1$ , and calcined at  $550^\circ\text{C}$  in  $\text{O}_2$  for 16 h. Then, the product was finely ground, and again calcined in the same condition (this process was repeated once again.). For O3- $\text{Na}_{0.98}\text{Fe}_{0.5}\text{Co}_{0.5}\text{O}_2$ ,  $\text{Na}_2\text{CO}_3$ ,  $\text{Fe}_3\text{O}_4$  and  $\text{Co}_3\text{O}_4$  were mixed under the molar ratio of  $\text{Na}:\text{Fe}:\text{Co} = 1.05:0.5:0.5$ , and calcined at  $900^\circ\text{C}$  in air for 15 h. For



O<sub>3</sub>-Na<sub>0.99</sub>Fe<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>, Na<sub>2</sub>O<sub>2</sub>, Fe<sub>2</sub>O<sub>3</sub> and NiO were mixed under the molar ratio of Na:Fe:Ni = 1.2:0.5:0.5, and calcined at 650°C in O<sub>2</sub> for 15 h. Then the product was finely ground and again calcined in the same condition. For O<sub>3</sub>-Na<sub>0.94</sub>Ti<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>, Na<sub>2</sub>CO<sub>3</sub>, TiO<sub>2</sub> and NiO were mixed under the molar ratio of Na:Ti:Ni = 1.05:0.5:0.5, and calcined at 900°C in air for 15 h. Then the product was finely ground and again calcined in the same condition.

For P2-Na<sub>0.50</sub>Mn<sub>0.5</sub>Co<sub>0.5</sub>O<sub>2</sub>, Na<sub>2</sub>CO<sub>3</sub>, MnCO<sub>3</sub> and Co<sub>3</sub>O<sub>4</sub> were mixed under the molar ratio of Na:Mn:Co = 0.7:0.5:0.5, and calcined at 900°C in air for 12 h. Then, the product was finely ground and again calcined in the same condition. For P2-Na<sub>0.70</sub>Ni<sub>0.33</sub>Mn<sub>0.67</sub>O<sub>2</sub>, Na<sub>2</sub>CO<sub>3</sub>, NiO and Mn<sub>2</sub>O<sub>3</sub> were mixed in ethanol under the molar ratio of Na:Ni:Mn = 0.7:0.33:0.67, and calcined at 900°C in air for 24 h. Then, the product was finely ground and again calcined in the same condition. For P2-Na<sub>0.69</sub>Ni<sub>0.33</sub>Mn<sub>0.5</sub>Ti<sub>0.17</sub>O<sub>2</sub>, Na<sub>2</sub>CO<sub>3</sub>, NiO, Mn<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> were mixed under the molar ratio of Na:Ni:Mn:Ti = 0.7:0.33:0.5:0.17, and calcined at 900°C in air for 18 h. For P2-Na<sub>0.70</sub>Ni<sub>0.33</sub>Mn<sub>0.33</sub>Ti<sub>0.34</sub>O<sub>2</sub>, Na<sub>2</sub>CO<sub>3</sub>, NiO, Mn<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> were mixed under the molar ratio of Na:Ni:Mn:Ti = 0.7:0.33:0.33:0.34, and calcined at 900°C in air for 12 h. For P2-Na<sub>0.48</sub>Mn<sub>0.5</sub>Fe<sub>0.5</sub>O<sub>2</sub>, Na<sub>2</sub>O<sub>2</sub>, Mn<sub>2</sub>O<sub>3</sub> and Fe<sub>2</sub>O<sub>3</sub> were mixed under the molar ratio of Na:Mn:Fe = 0.7:0.5:0.5, and calcined at 900°C in air for 12 h. Then, the product was finely ground and again calcined in the same condition. All the samples were taken out from the hot furnace (>200°C), and then immediately transferred into a vacuum desiccator to avoid moisture in air.

**X-ray diffraction.** The synchrotron radiation x-ray diffraction (XRD) patterns were measured at BL02B2 beamline<sup>81</sup> at SPring-8. The capillary was placed on the Debye-Scherrer camera at the beamline. The sample temperature was controlled by blowing a hot N<sub>2</sub> in the temperature range of 300 K ≤ T ≤ 800 K. The XRD patterns were detected with an imaging plate (IP). The exposure time was 5 min. The wavelength of the x-ray was 0.499420 Å for P2-Na<sub>0.48</sub>Mn<sub>0.5</sub>Fe<sub>0.5</sub>O<sub>2</sub> and P2-Na<sub>0.50</sub>Mn<sub>0.5</sub>Co<sub>0.5</sub>O<sub>2</sub>, and 0.499892 Å for O3-Na<sub>0.98</sub>Fe<sub>0.5</sub>Co<sub>0.5</sub>O<sub>2</sub> and Na<sub>0.94</sub>Ti<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>, and 0.499838 Å for the others. The wavelengths are calibrated by the cell parameter of standard CeO<sub>2</sub> powders. Crystal structure was analyzed by RIETAN-FP program<sup>82</sup>. Schematic figure of the crystal structure were drawn by VESTA program<sup>83</sup>. All the reflections can be indexed with the O3-type (*R* $\bar{3}$ *m*) or P2-type (*P*6<sub>3</sub>/*mmc*) structures except for a tiny amount of impurity of O3-type Fe-rich phase for Na<sub>0.99</sub>Fe<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> and NiO for Na<sub>0.94</sub>Ti<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>. All the structural parameters against T (300 K ≤ T ≤ 800 K) were listed in Tables S1–S9. During heating process, any extra impurity peaks were not appeared. We observed no tendency of Na deintercalation due to heating (Fig. S4).

The actual Na concentrations in the compound were determined by the Rietveld refinement based on the synchrotron XRD patterns at 300 K. We note that ref.<sup>45</sup> reported a consistency of Na contents determined by ICP-AES (Inductively Coupled Plasma Atomic Emission Spectroscopy) and Rietveld refinement using synchrotron x-ray diffraction for P2-Na<sub>x</sub>Mn<sub>1/2</sub>Fe<sub>1/2</sub>O<sub>2</sub> phase.

**Madelung energy calculation.** Madelung energy (*E*<sub>ME</sub>) was computed by the MADEL program in the VESTA software using the Fourier method<sup>83</sup>. The site potential  $\phi_i$  is calculated by the formula  $\phi_i = \sum_j \frac{g_j Z_j}{4\pi\epsilon_0 l_{ij}}$ , where *g<sub>j</sub>* is the occupancy of the *j* th ion, *Z<sub>j</sub>* is the valence of the *j* th ion,  $\epsilon_0$  is the vacuum permittivity, and *l<sub>ij</sub>* is the distance between ions *i* and *j*. *E*<sub>ME</sub> is calculated by using the formula  $E_{ME} = \frac{1}{2} \sum_i \phi_i Z_i W_i$ , where *W<sub>i</sub>* is a factor depending on *g<sub>i</sub>* and the number of equivalent atomic positions at the site *i* in the unit cell. For O3-type structure (space group: *R* $\bar{3}$ *m*), we put +1, +3, and −2 charges on 3*a* Na (0,0,0), 3*b* M (0,0, $\frac{1}{2}$ ), and 6*c* O (0,0,*z*) sites in stoichiometric NaMO<sub>2</sub>. In the calculation of the P2-type structure (*P*6<sub>3</sub>/*mmc*), we assume a stoichiometric NaMO<sub>2</sub> with fully occupied 2*d* Na site. We put +*e*, +3*e*, and −2*e* charges on 2*d* Na ( $\frac{1}{3}, \frac{2}{3}, \frac{3}{4}$ ), 2*a* M (0,0,0), and 4*f* O ( $\frac{1}{3}, \frac{2}{3}, z$ ). A radius (*s*) of the hard sphere was set to 0.3 Å, and Fourier coefficients are summed up to 10 Å<sup>−1</sup> in the reciprocal space.

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## Author Contributions

W.K. measured synchrotron XRD patterns, analyzed the XRD data, calculated linear thermal expansion coefficient based on a hard-sphere model, and wrote the manuscript. A.Y. synthesized O3-type layered oxides, and measured synchrotron XRD patterns. T.A., T.S., and D.T. synthesized P2-type layered oxides, and measured synchrotron XRD patterns. Y.M. contributed discussion and critically examined the manuscript.

## Additional Information

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