

**Measurements of directed, elliptic, and triangular flow in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV**

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Measurements of anisotropic flow Fourier coefficients ( $v_n$ ) for inclusive charged particles and identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  produced at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV are presented. The data were collected in 2012 by the PHENIX experiment at the Relativistic Heavy-Ion Collider (RHIC). The particle azimuthal distributions with respect to different-order symmetry planes  $\Psi_n$ , for  $n = 1, 2$ , and 3 are studied as a function of transverse momentum  $p_T$  over a broad range of collision centralities. Mass ordering, as expected from hydrodynamic flow, is observed for all three harmonics. The charged-particle results are compared with hydrodynamical and transport model calculations. We also compare these Cu + Au results with those in Cu + Cu and Au + Au collisions at the same  $\sqrt{s_{NN}}$  and find that the  $v_2$  and  $v_3$ , as a function of transverse momentum, follow a common scaling with  $1/(\varepsilon_n N_{\text{part}}^{1/3})$ .

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## I. INTRODUCTION

Measurements of azimuthal anisotropies of particle emission in relativistic heavy-ion collisions have proven to be an essential tool in probing the properties of the quark gluon plasma (QGP) produced in such collisions. These anisotropies can be quantified [1] by the coefficients  $v_n$  in the Fourier expansion of the particle distributions with respect to symmetry planes of the same-order  $\Psi_n$  that are determined on an event-by-event basis:  $dN/d\phi \propto 1 + \sum_{n=1} 2v_n \cos[n(\phi - \Psi_n)]$ , where  $n$  is the order of the harmonic,  $\phi$  is the azimuthal angle of particles of a given type, and  $\Psi_n$  is the azimuthal angle of the  $n$ th-order symmetry plane. Measurements of the second harmonic, which indicates the strength of the “elliptic flow,” led to the conclusion that the QGP produced at RHIC behaves as a nearly inviscid fluid [2–6]. In the last decade, significant effort, both experimentally and theoretically, has gone towards quantifying the specific viscosity  $\eta/s$  (shear viscosity over entropy density) of the produced QGP, as well as its temperature dependence.

Elliptic flow is thought to arise from the initial spatial anisotropy in the nuclear overlap zone, which has a lenticular shape in off-center nucleus-nucleus ( $A + A$ ) collisions. This spatial anisotropy is then converted to a momentum-space anisotropy through the pressure gradients in the expanding fluid. Measurements of  $v_2$  have been performed in symmetric  $A + A$  collision for a variety of collision energies and particle species as a function of transverse momentum, rapidity, and system size [7–15]. Various scaling properties have been explored with the goal of understanding the onset of QGP formation with center-of-mass energy and how its properties may vary. The elliptic flow scaled by the corresponding initial spatial eccentricity ( $\varepsilon_2$ ) was found to follow a universal trend

when plotted against the produced particle density in the transverse plane [8,9,15] over a broad range of center-of-mass energies. In a more recent study [12], PHENIX showed that the transverse particle density is proportional to the third root of the number of participant nucleons  $N_{\text{part}}^{1/3}$  and that scaling with  $(\varepsilon_2 N_{\text{part}}^{1/3})$  removes the remaining system-size dependencies at various center-of-mass energies.

The first-order Fourier coefficient  $v_1$ , which is a measure of the strength of the “directed flow,” has also been studied in symmetric  $A + A$  collisions over a broad range of energies [7,8,16–18]. Most studies focus on measurements of  $p_T$ -integrated values of  $v_1$  as a function of rapidity or pseudorapidity, and the slope of  $dv_1/dy$  at midrapidity, which may yield information on the location of a first-order phase transition in the phase diagram of nuclear matter [19]. In symmetric  $A + A$  collisions, if the nuclei are considered to be smooth spheres,  $v_1$  is an odd function with respect to (pseudo)rapidity and vanishes at midrapidity, which is consistent with the  $p_T$ -integrated measurements.

Indeed, when the nuclei are taken as smooth spheres, all odd harmonics should vanish at midrapidity. However, event-by-event fluctuations in the initial geometry can lead to nonzero odd harmonics at midrapidity [20]. Sizable values for these harmonics have been measured at both RHIC ( $v_3$ ) [21–23] and the Large Hadron Collider ( $v_3$  and  $v_5$ ) [24–26]. Evidence for a small rapidity-even component of  $v_1$  at midrapidity has also been observed [18]. The combined experimental information from odd and even flow harmonics provides much more stringent constraints on the theoretical models [27–32] and the extracted QGP properties than measurements of elliptic flow alone.

Despite the wealth of experimental data and theoretical studies, uncertainties in the energy density deposition in the initial state of the heavy-ion collisions remain a limiting factor in deducing the specific viscosity of the QGP. Asymmetric collision systems, such as Cu + Au, provide opportunities

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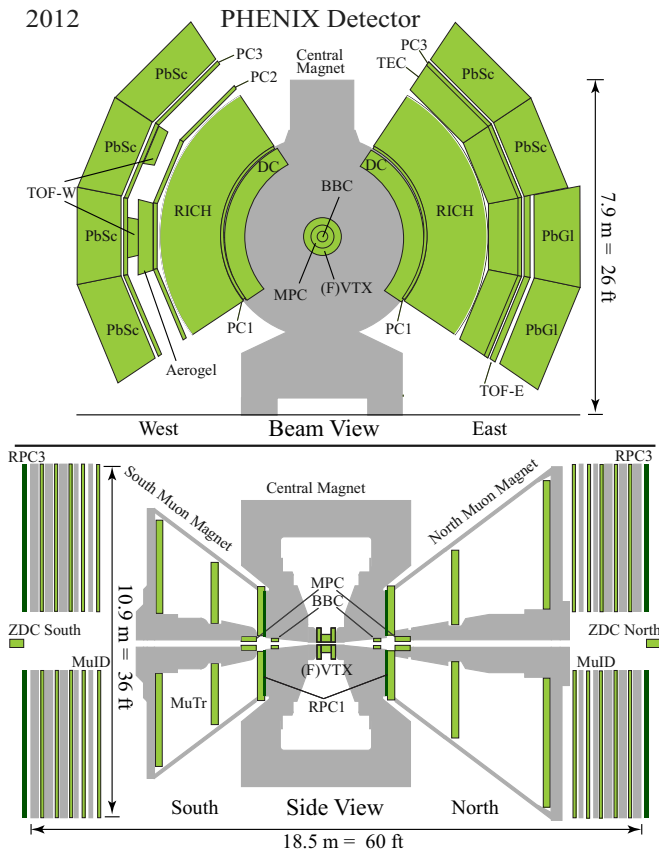


FIG. 1. The PHENIX detector configuration for RHIC Run-12 data-taking period

to study the effect of the initial geometry on the collective flow, particularly because odd harmonics may be enhanced at midrapidity beyond the fluctuation effects.

In this paper, we present measurements of  $v_1$ ,  $v_2$ , and  $v_3$  of charged particles and identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  produced at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV. In Sec. II we present the experimental details of the measurements, and the sources of systematic uncertainties. The results of the measurements are presented in Sec. III. In Sec. III C we compare the flow results obtained in different collision systems and explore their scaling behavior,

and in Sec. III D we present comparisons with theoretical calculations. Section IV summarizes our findings.

## II. EXPERIMENTAL DETAILS

The PHENIX experiment is designed for the study of nuclear matter in extreme conditions by using a wide variety of experimental observables. The detector, optimized for the high-multiplicity environment of ultrarelativistic heavy-ion collisions, comprises two central-arm spectrometers (east and west), two muon spectrometers (at forward and backward rapidity), and a set of detectors used to determine the global properties of the collisions. Figure 1 shows a schematic diagram of the PHENIX detector for the data recorded in 2012. The upper drawing shows a beam-axis view of the two central spectrometer arms, covering the pseudorapidity region  $|\eta| < 0.35$ . The lower drawing shows a side view of the two forward-rapidity muon arms (north and south) and the global detectors. A detailed description of the complete set of detectors is given in Ref. [33].

The analysis presented here employs the global detectors, drift chamber (DC), three layers of multiwire proportional chambers (PC1, PC2, and PC3), the time-of-flight detectors (TOFE, TOFW), and the electromagnetic calorimeter (EM-Cal). The global system includes the beam-beam counters (BBCs), zero-degree calorimeters (ZDCs) and the shower maximum detectors (SMDs). Below, we give a brief description of each of these detector subsystems and their role in the present analysis.

### A. Global detectors

The BBCs are located at  $\pm 144$  cm from the nominal interaction point along the beam line, cover  $2\pi$  in azimuth, and span the pseudorapidity range  $3.0 < |\eta| < 3.9$ . Each BBC comprises 64 Čerenkov telescopes, arranged radially around the beam line. The BBCs provide the main interaction trigger for the experiment and are also used in the determination of the collision vertex position along the beam axis ( $z$  vertex) with  $\sigma_z = 0.6$  cm resolution and the centrality of the collisions. The event centrality class in Cu + Au collisions is determined as a percentile of the total charge measured in the BBC from both sides. The BBCs also provide the

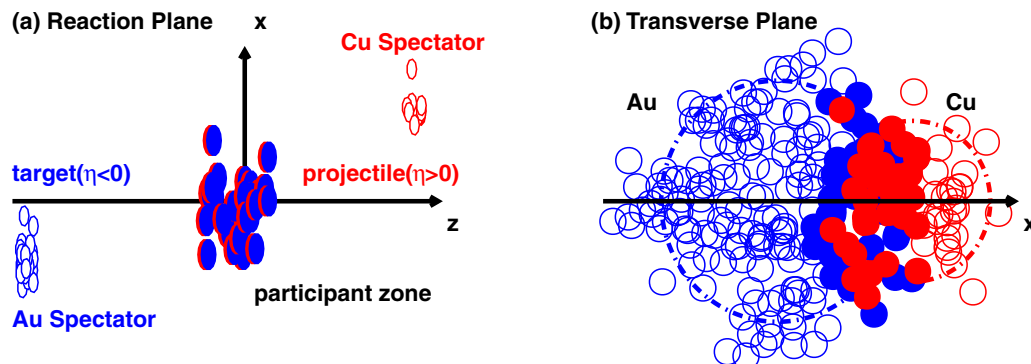


FIG. 2. Sketch of a noncentral heavy-ion collision. See text for description of the figure.

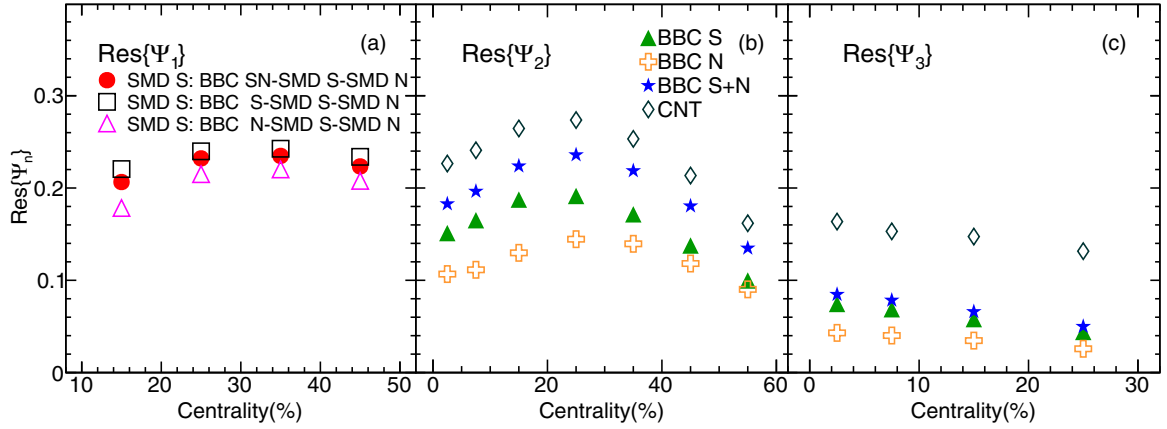


FIG. 3. (a) Event-plane resolution as a function of centrality for the SMDS detectors. (b), (c) Second- and third-order event-plane resolution. The BBC event-plane resolution is obtained from two subevents and BBCS, BBCN, CNT from three subevents as a function of centrality.

start time for the time-of-flight measurement with a timing resolution around  $\sigma_t = 40$  ps in central Cu + Au collisions [33].

The ZDCs [34] are hadronic calorimeters located forward and backward of the PHENIX detector, along the beam line. Each ZDC is subdivided into three identical modules of two interaction lengths. They cover a pseudorapidity range of  $|\eta| > 6.5$  and measure the energy of spectator neutrons with an energy resolution of  $\sigma(E)/E = 85\%/\sqrt{E} + 9.1\%$ . The SMDs [34] are scintillator strip hodoscopes located between the first and second ZDC modules, a location corresponding approximately to the maximum of the hadronic shower. The horizontal coordinate is sampled by seven scintillator strips of 15 mm width, while the vertical coordinate is sampled by eight strips of 20 mm width. The active area of each SMD is 105 mm  $\times$  110 mm (horizontal  $\times$  vertical dimension). Scintillation light is delivered to a multichannel Hamamatsu PMT R5900-M16 by wavelength-shifting fibers [34]. A typical position resolution for SMD is  $\sim 0.1$ – $0.3$  cm.

## B. Tracking and particle identification detectors

The charged-particle momentum is reconstructed by using the tracking system. This system comprises the DC, located outside an axially symmetric magnetic field at a radial distance between 2.0 and 2.4 m, followed by PC1-3. The pattern recognition in the DC is based on a combinatorial Hough transform [35] in the track bend plane. A track model based on a field-integral look-up table determines the charged-particle momentum, the path length to the time-of-flight detector, and a projection of the track to the outer detectors.

The tracks are matched to hits registered in the PC3 and the EMCal, thus reducing the contribution of tracks originating from decays and  $\gamma$  conversions.

The primary particle identification detectors used in this analysis are the time-of-flight detectors. The different detectors in the east and west arms use different technologies (scintillators and MRPCs, respectively) and have different time resolutions [36,37]. The total timing resolutions (including the start time measurement from the BBC) are 130 ps and 95 ps for east and west, respectively. Pion, kaon, and (anti)proton tracks are

TABLE I. Number of participants and the participant eccentricity ( $\varepsilon_2, \varepsilon_3$ ) from Monte Carlo Glauber calculations for Au + Au, Cu + Cu, and Cu + Au collisions at 200 GeV

Centrality bin	Au + Au 200 GeV			Cu + Cu 200 GeV		Cu + Au 200 GeV		
	$N_{\text{part}}$	$\varepsilon_2$	$\varepsilon_3$	$N_{\text{part}}$	$\varepsilon_2$	$N_{\text{part}}$	$\varepsilon_2$	$\varepsilon_3$
0%–10%	325.2 $\pm 3.3$	0.103 $\pm 0.003$	0.087 $\pm 0.002$	98.2 $\pm 2.4$	0.163 $\pm 0.003$	177.2 $\pm 5.2$	0.138 $\pm 0.011$	0.130 $\pm 0.004$
10%–20%	234.6 $\pm 4.7$	0.200 $\pm 0.005$	0.122 $\pm 0.004$	73.6 $\pm 2.5$	0.241 $\pm 0.007$	132.4 $\pm 3.7$	0.204 $\pm 0.008$	0.161 $\pm 0.005$
20%–30%	166.6 $\pm 5.4$	0.284 $\pm 0.006$	0.156 $\pm 0.005$	53.0 $\pm 1.9$	0.317 $\pm 0.006$	95.1 $\pm 3.2$	0.280 $\pm 0.008$	0.208 $\pm 0.007$
30%–40%	114.2 $\pm 4.4$	0.356 $\pm 0.006$	0.198 $\pm 0.008$	37.3 $\pm 1.6$	0.401 $\pm 0.008$	65.7 $\pm 3.4$	0.357 $\pm 0.010$	0.266 $\pm 0.010$
40%–50%	74.4 $\pm 3.8$	0.422 $\pm 0.006$	0.253 $\pm 0.011$	25.4 $\pm 1.3$	0.484 $\pm 0.008$	43.3 $\pm 3.0$	0.436 $\pm 0.013$	0.332 $\pm 0.013$
50%–60%	45.5 $\pm 3.3$	0.491 $\pm 0.005$	0.325 $\pm 0.018$	16.7 $\pm 0.9$	0.579 $\pm 0.008$	26.8 $\pm 2.6$	0.523 $\pm 0.019$	0.412 $\pm 0.019$



identified with over 97% purity for  $p_T < 2$  GeV/ $c$  [36,38] in both systems. For  $p_T$  between 2 to 3 GeV/ $c$ , the purity of pions and protons is about 95% and that of kaons is around 90%.

### C. Anisotropic flow measurement technique

The present measurements use the event-plane method [39] to quantify the azimuthal anisotropies of the particles produced in Cu + Au collisions. The  $v_1$ ,  $v_2$ , and  $v_3$  Fourier coefficients are determined as a function of centrality and  $p_T$  for inclusive charged particles and identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  (with charge signs combined).

In the event-plane method, a measured event-plane direction  $\Psi_n^{\text{obs}}$  is determined for every event and for each order  $n$ . The harmonic coefficients  $v_n\{\Psi_n\} = \langle \cos n(\phi - \Psi_n^{\text{obs}}) \rangle / \text{Res}\{\Psi_n\}$  are then measured with respect to the event plane for each harmonic, where  $\phi$  is the azimuthal angle of the hadron and  $\text{Res}\{\Psi_n\}$  is the event-plane resolution.

The collision geometry of a Cu + Au collision is shown in Fig. 2(a) projected onto the reaction plane, and in Fig. 2(b) projected onto the plane perpendicular to the beam axis. Figure 2(a) shows direction of the projectile (Cu) and target (Au) spectators, which are bent away from the participant zone. There is an alternative picture, in which the spectators are attracted towards the center of the system, as discussed in Ref. [40]. In this paper, we assume the former picture for the determination of the direction of the event-plane angle from the spectators.

As shown in Fig. 2(a), the Cu spectators fly along the the positive-rapidity direction (north) and the Au spectators go towards the negative-rapidity direction (south). The central position of the Au spectators is measured by the south SMD (SMDS) to determine the spectator plane  $\Psi_1^{\text{SMDS}}$ . The  $v_1$  of charged and identified hadrons is measured with respect to  $\Psi_1^{\text{SMDS}}$ , as indicated in Eq. (1). Measurement with respect to the spectator plane is preferred over the first-order event-plane determined by the distribution of the produced particles, because the distribution of the spectators is less distorted by momentum-conservation effects:

$$v_1 = -\frac{\langle \cos(\phi_{\text{track}} - \Psi_1^{\text{SMDS}}) \rangle}{\text{Res}(\Psi_1^{\text{SMDS}})} \quad (1)$$

$$\text{Res}(\Psi_1^{\text{SMDS}}) = \langle \cos(\Psi_1^{\text{SMDS}} - \Psi_1) \rangle$$

$$= \sqrt{\frac{\langle \cos(\Psi_1^{\text{SMDS}} - \Psi_1^{\text{SMDN}}) \rangle \langle \cos(\Psi_1^{\text{SMDS}} - \Psi_1^{\text{BBCSN}}) \rangle}{\langle \cos(\Psi_1^{\text{SMDN}} - \Psi_1^{\text{BBCSN}}) \rangle}}. \quad (2)$$

TABLE II. Systematic uncertainties in the  $v_1$  measurements.

$v_1$	Uncertainty sources	10%–20%	40%–50%	Type
$v_1$	Event plane	20%	12%	C
	Background (absolute value)	$5 \times 10^{-4}$	$5 \times 10^{-4}$	A
	Acceptance (absolute value)	$3 \times 10^{-3}$	$2 \times 10^{-3}$	C

TABLE III. Systematic uncertainties given in percent on the  $v_2$  and  $v_3$  measurements.

$v_n$ ( $n = 2, 3$ )	Uncertainty sources	0%–10%	20%–30%	Type
$v_2$	Event plane	3%	4%	B
	Background	2%	2%	A
	Acceptance	2%	3%	C
$v_3$	Event plane	3%	7%	B
	Background	2%	2%	A
	Acceptance	8%	10%	C

There is a negative sign in Eq. (1) to keep the convention in which the direction of projectile (Cu) spectators is positive. In Eq. (2) the resolution of  $\Psi_1^{\text{SMDS}}$  is calculated in 10% centrality intervals with the three-subevent method [39,41] by combining the other Cu spectator plane from the north SMD (SMDN) and the first-order participant event-plane measured by the combined south and north BBCs (BBCSN). However, this method for determining the resolution assumes a nonfluctuating nuclear-matter distribution. Event-by-event fluctuations in the initial energy density of the collision will cause the  $v_1$  signal to be different with respect to  $\Psi_1^{\text{SMDS}}$  and  $\Psi_1^{\text{SMDN}}$  due to the rapidity-symmetric component in the direct flow [18]. To cover this uncertainty, the resolution of  $\Psi_1^{\text{SMDS}}$  is also calculated by using the participant plane from either BBCS or BBCN and the differences are assigned as a systematic uncertainty.

The second-order ( $\Psi_2$ ) and third-order ( $\Psi_3$ ) event planes are measured by the combination of BBCS and BBCN. To determine the second- and third-order event-plane resolution from the BBC, we first measure the second- and third-order event planes with the BBCS (Au-going side), BBCN (Cu-going side) and central arm tracks (CNTs). The central-arm tracks are restricted to low  $p_T$  ( $0.2 < p_T < 2.0$  GeV/ $c$ ) to minimize the contribution from jet fragments. The second- and third-order event-plane resolution of BBCS, BBCN, and CNT are calculated by using three subevent methods with a combination of BBCS-BBCN-CNT. Then the second- and third-order event-plane resolutions of the BBC (including both BBCS and BBCN) are calculated with two subevent methods with a combination of BBC-CNT.

The event-plane resolutions for different subsystems are shown in Fig. 3 as a function of centrality. Figure 3(a) shows the resolution of the first-order event plane as measured by the SMDS using three different methods. The first method uses a three-subevent combination SMDS-BBCSN-SMDN, shown with circles, the second method shown with open squares uses a three-subevent combination SMDS-BBCS-SMDN, and the

TABLE IV. Systematic uncertainties in the measured  $v_1$  for identified particles.

Species	$p_T \leq 2$ GeV/ $c$	$p_T \geq 2$ GeV/ $c$	Type
Pion (absolute value)	$1 \times 10^{-3}$	$2 \times 10^{-3}$	A
Kaon (absolute value)	$1 \times 10^{-3}$	$3 \times 10^{-3}$	A
Proton (absolute value)	$1 \times 10^{-3}$	$3 \times 10^{-3}$	A

TABLE V. Systematic uncertainties in percent on the measured  $v_2$  and  $v_3$  for identified particles.

Species	$p_T \leq 2 \text{ GeV}/c$	$p_T \geq 2 \text{ GeV}/c$	Type
Pion	3%	5%	A
Kaon	3%	10%	A
Proton	3%	5%	A

third method shown with open triangles uses the combination SMDS-BBCN-SMDN.

The resolution of the second- and third-order event planes for BBC, BBCS, BBCN, and CNT are shown in Figs. 3(a) and 3(c), respectively.

#### D. Number of participants and eccentricity

A Monte Carlo Glauber simulation was used to estimate the average number of participating nucleons  $N_{\text{part}}$  and the eccentricity,

$$\varepsilon_n = \frac{\sqrt{\langle r^2 \cos(n\phi) \rangle^2 + \langle r^2 \sin(n\phi) \rangle^2}}{\langle r^2 \rangle}. \quad (3)$$

This simulation employed a Glauber model with a Woods–Saxon density profile and includes modeling of the BBC response [11,42]. The eccentricity defined in Eq. (3) is also known as the participant eccentricity  $\varepsilon_{\text{part}}$  and includes the effect of fluctuations from the initial participant geometry. Table I summarizes  $N_{\text{part}}$  and  $\varepsilon_n$ .

#### E. Data set

The measurements presented here use data from Cu + Au collisions at  $\sqrt{s_{NN}} = 200 \text{ GeV}$  collected by the PHENIX experiment at RHIC in 2012. Minimum-bias events triggered by the BBC recorded within  $\pm 30 \text{ cm}$  from the nominal interaction point along the  $z$  axis were used. The events were examined to ensure that stable performance is seen in the detectors used in the analysis; namely, DC, PC3, TOF, BBC, and SMD. A total of  $3.6 \times 10^9$  events were analyzed.

#### F. Systematic uncertainties

Tables II–V summarize the systematic uncertainties for the measurements of  $v_1$ ,  $v_2$ , and  $v_3$  for inclusive and identified charged hadrons, which are categorized by the types:

- (A) point-to-point uncertainties uncorrelated between  $p_T$  bins;
- (B)  $p_T$  correlated, all points move in a correlated manner, but not by the same factor;
- (C) an overall normalization error in which all points move by the same multiplicative factor independent of  $p_T$ .

Contributions to the uncertainties are from the following sources:

- (1) event-plane resolution correction,
- (2) event plane as measured using different detectors,
- (3)  $v_n$  from background tracks,
- (4) acceptance dependencies,
- (5) PID purity.

The uncertainties from measurements of the event planes using different detectors are found to depend only weakly on  $p_T$ . For the measurement of  $v_1$ , the uncertainties are obtained by comparing  $v_1$  as measured with SMDS with alternately BBCN or BBCS used for resolution. For  $v_2$  and  $v_3$ , the uncertainties are obtained by comparing  $v_2$  and  $v_3$  as measured by the BBCN and BBCS. For the  $v_1$  measurement, for the 10%–20% centrality class we find a 20% systematic uncertainty independent of  $p_T$ . For the 40%–50% centrality class, we find a 12% systematic uncertainty. For  $v_2$ , the systematic uncertainty is less than 3% for the 0%–10% centrality range and increases to 4% for the centrality range 50%–60%. For  $v_3$ , a 3% systematic uncertainty is found for 0%–10% centrality, increasing to 7% for the 20%–30% centrality range.

Background tracks that are not removed by the tracking selections as described in Sec. II may influence the measured  $v_n$ . They can arise from particle decays,  $\gamma$  conversions, or false track reconstruction. We estimate the tracking background contribution by varying the width of the track-matching window in PC3 and comparing the results with and without the EMCal matching cut. We find that the absolute uncertainty for  $v_1$  is less than  $5 \times 10^{-4}$ . For  $v_2$  and  $v_3$ , the change is less than 2%.

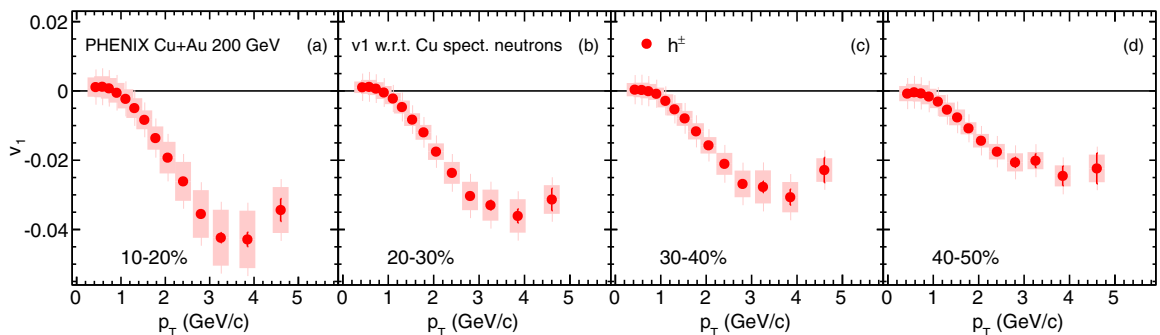


FIG. 4.  $v_1(p_T)$  for charged hadrons measured with respect to the Cu spectator neutrons at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200 \text{ GeV}$ . Error bars show the statistical uncertainties, and shaded boxes indicate the systematic uncertainties.

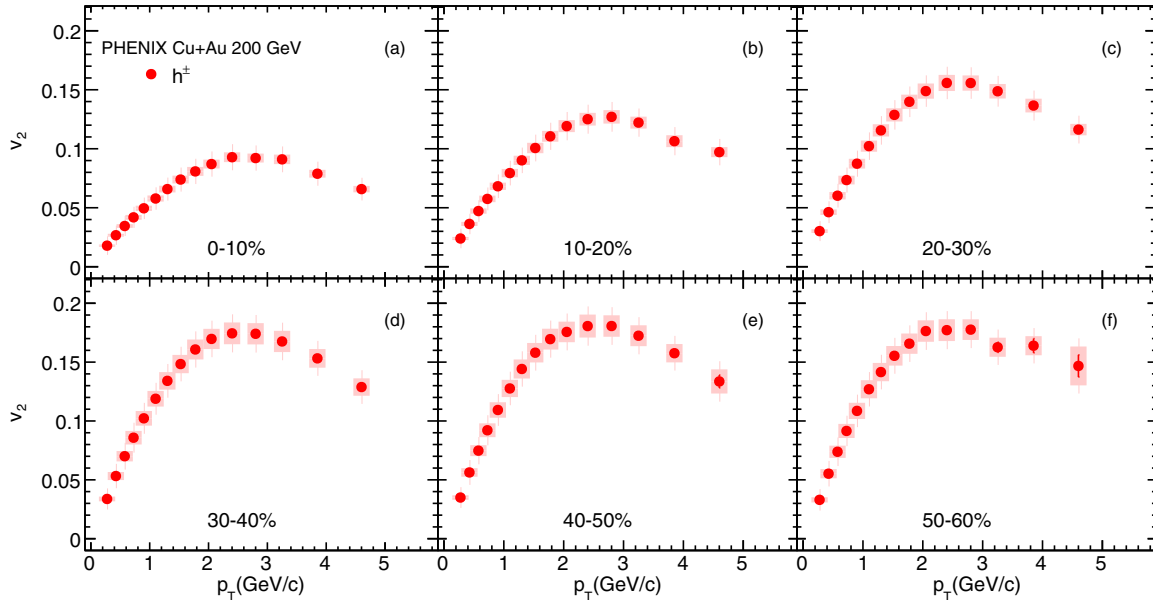


FIG. 5.  $v_2(p_T)$  for charged hadrons measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV. Uncertainties are as in Fig. 4.

Systematic uncertainties of acceptance were evaluated by using different subsets of the detector such as DC and TOF in the east and west arms. Differences in  $v_n$  measured by using different arms may be caused by different detector alignment and performance. Maximum differences of order 3% and 10% were found for  $v_2$  and  $v_3$ , respectively. These uncertainties have centrality dependence and minimal  $p_T$  dependence. For  $v_1$ , a maximum absolute uncertainty of  $3 \times 10^{-3}$  is found. These uncertainties are detailed further in Tables II and III.

An additional systematic uncertainty in  $v_n$  resulting from hadron misidentification is based on the PID purity estimates from the TOF detectors as discussed in Sec. II. Pion, kaon, and proton species purity is greater than 90% and the differences between their corresponding  $v_n$  is less than a factor of two. For  $v_2$  and  $v_3$ , an additional uncertainty of 3% (type A) attributable to contamination from other species is found for particles with  $p_T < 2$  GeV/c, 5% for higher- $p_T$  pions and protons, and 10% for higher- $p_T$  kaons. In the measurements of  $v_1$ , a common absolute uncertainty of  $1 \times 10^{-3}$  is found for the three particle species for  $p_T < 2$  GeV/c, and at higher  $p_T$  the uncertainties are  $2 \times 10^{-3}$  for pions and  $3 \times 10^{-3}$  for kaons and protons,

respectively. The uncertainties due to particle identification are to be added in quadrature to the values listed in Tables II and III.

### III. RESULTS AND DISCUSSION

#### A. Harmonic-flow results from Cu + Au collisions

Figures 4–6 show the  $v_1$ ,  $v_2$ , and  $v_3$  results for charge-combined hadrons measured as a function of  $p_T$  in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV. Different centrality intervals are studied. The filled circles show the  $v_n(p_T)$  data, and the systematic uncertainties are shown with the shaded boxes.

The  $v_1(p_T)$  measurements shown in Fig. 4 are performed with respect to the event plane determined by spectator neutrons from the Au nucleus. To align with previous conventions, we flip the sign so that it is effectively with respect to the spectator neutrons from the Cu nucleus, as noted in Sec. IIC. In all centrality intervals, high- $p_T$  particles at midrapidity move in the direction opposite of the Cu nucleus spectator neutrons, as indicated by the negative  $v_1$  values. Low- $p_T$  particles might then be expected to move in the opposite direction by conservation of momentum, and there is a hint of

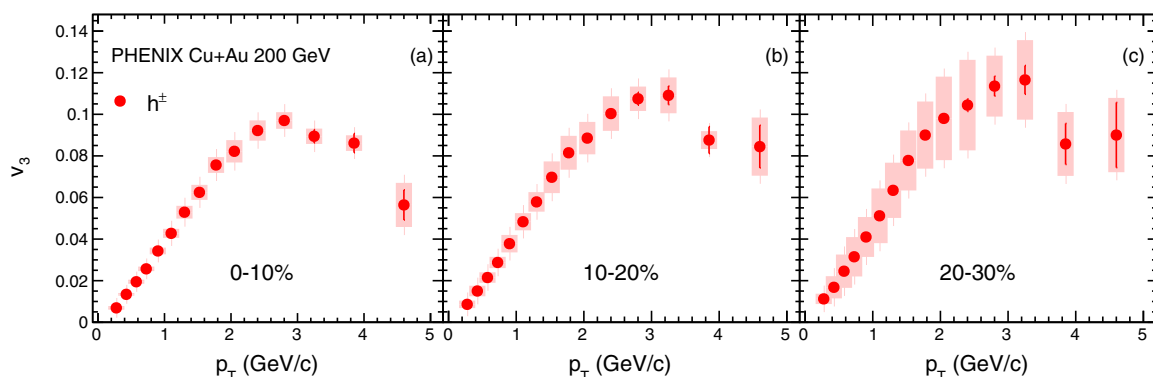


FIG. 6.  $v_3(p_T)$  for charged hadrons measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV. Uncertainties are as in Fig. 4.



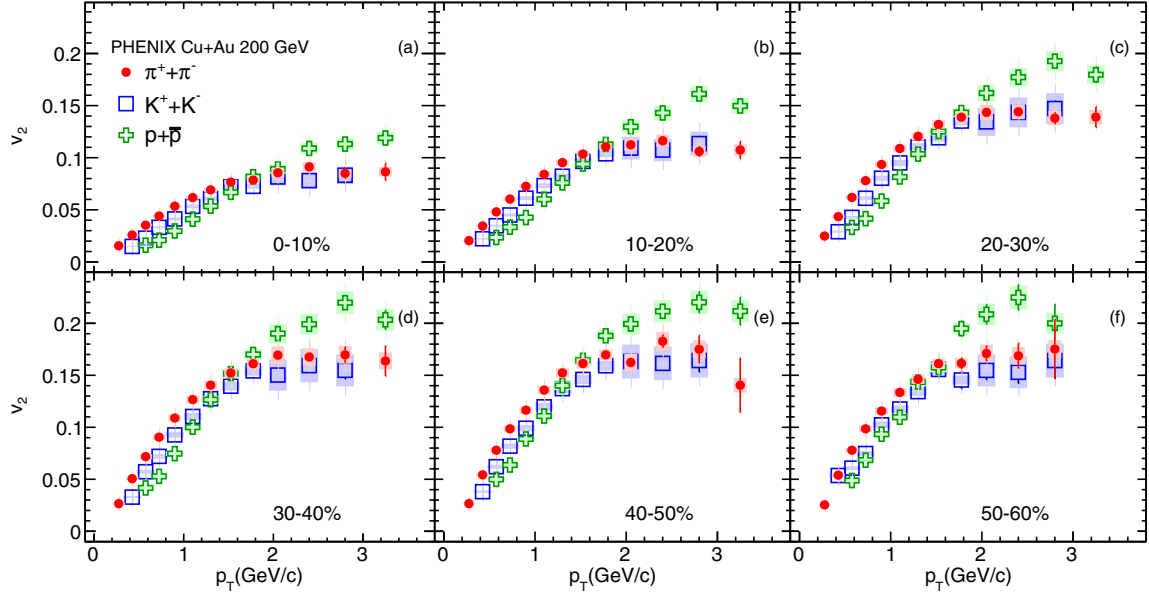


FIG. 7. The second-order Fourier coefficients  $v_2(p_T)$  for charge-combined identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV for the centrality classes marked in each panel. The symbols represent the measured  $v_2(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties from PID. The full systematic uncertainties, that are mostly common to all particle species, are shown in Table III.

this effect though not beyond current systematic uncertainties. The  $v_1$  component is consistent with zero for  $p_T < 1$  GeV/c and its absolute value increases at higher  $p_T$ . The maximum of the absolute value decreases from central to peripheral collisions. This is contrary to the centrality dependence of  $v_2$  where the values increase from the most-central 0%–10% collisions, up to the 30%–40% centrality class. This trend in  $v_2$  is expected from the initial geometry, because the ellipticity of the participant zone  $\varepsilon_2$  (see Table I) increases in the peripheral collisions. The  $v_2(p_T)$  values in the 30%–40%, 40%–50%, and 50%–60% Cu + Au centrality classes, shown in Fig. 5 are consistent with each other, showing very little, if any, centrality dependence. The  $v_2$  and  $v_3$  values are positive, as previously observed in symmetric-collision systems. For all three harmonics, the magnitude of the signal increases with  $p_T$  up to about  $p_T = 3$  GeV/c, and then tends to decrease. This may indicate a change in the dominant production mechanism, e.g., an increasing contribution from jet fragments, or it may be due to the fact that higher- $p_T$  particles escape the fireball with fewer interactions.

The  $v_3$  component (Fig. 6) has weak centrality dependence; a behavior which is similar in symmetric  $A + A$  collisions [21,22], where the triangular flow at midrapidity is completely driven by the event-by-event fluctuations of the interaction zone. These fluctuations are also present in the asymmetric Cu + Au collisions and are expected to play a similar role. In Sec. III C we compare the flow results obtained in different collisions systems and explore their scaling behavior.

### B. Identified particle flow results

Figures 7 and 8 show the particle-species dependence of  $v_2$  and  $v_3$  in Cu + Au collisions. Results are presented for charge-

combined  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$ . The measured  $v_n(p_T)$  values are shown with points, and the shaded boxes represent the species-dependent type-A systematic uncertainties. The type-B and -C systematic uncertainties shown in Table III are largely common for all particle species. For the odd harmonics, to improve the statistical significance of the results the measurements for identified particles are performed in a single centrality interval; namely, 0%–30% for  $v_3(p_T)$  and 10%–50% for  $v_1(p_T)$ .

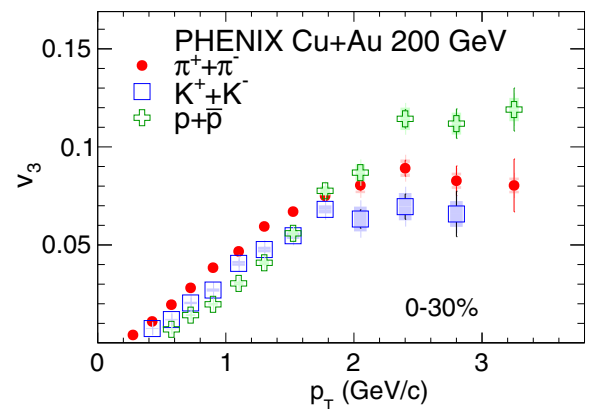


FIG. 8. The third-order Fourier coefficients  $v_3(p_T)$  for charge-combined identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV for 0%–30% centrality. The symbols represent the measured  $v_3(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties from PID. The full systematic uncertainties, which are mostly common to all particle species, are shown in Table III.

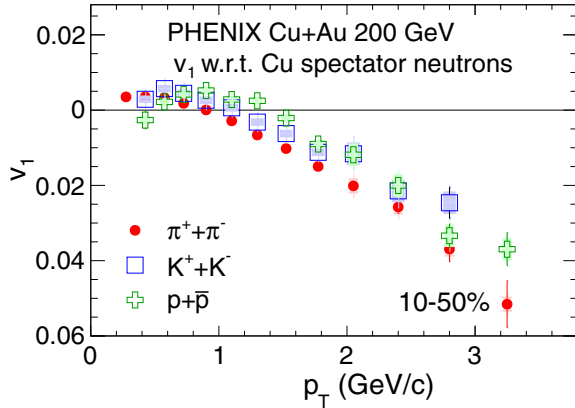


FIG. 9. The first-order Fourier coefficients  $v_1(p_T)$  for charge-combined identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV for 10%–50% centrality. The symbols represent the measured  $v_1(p_T)$  values with respect to the Cu spectator neutrons, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties from PID. The full systematic uncertainties, which are mostly common to all particle species, are shown in Table II.

There are two trends common to both the  $n = 2, 3$  results shown in Figs. 7 and 8: First, in the low- $p_T$  region the anisotropy appears largest for the lightest hadron and smallest for the heaviest hadron. A similar mass ordering is also predicted by hydrodynamics, in which all particles are moving in a common velocity field. Second, for  $p_T \geq 2$  GeV/c this mass dependence is reversed, such that the anisotropy is larger for the baryons than it is for mesons at the same  $p_T$ . These

patterns have been observed previously in  $v_n$  measurements for identified particles in Au + Au collisions at RHIC. The  $v_1(p_T)$  values, presented in Fig. 9, also show mass ordering, although these measurements have larger overall systematic and statistical uncertainties than  $v_2(p_T)$  and  $v_3(p_T)$ . As in the case of  $v_1(p_T)$  for charged particles described in Sec. III (Fig. 4), we note that, although the values of  $v_1(p_T)$  for each species appear to be positive at low  $p_T$ , if the full systematic uncertainty of types B and C is taken into account, a definitive conclusion cannot be drawn about the overall sign of the bulk directed flow. The mass dependence in the collective flow at low  $p_T$  is a generic feature of hydrodynamical models. The dependence on valence quark number in the intermediate- $p_T$  region has been associated with the development of flow in the partonic phase of the fireball evolution and subsequent hadronization by parton coalescence [43].

### C. System-size dependence

It is interesting to compare the charged-hadron  $v_n(p_T)$  results for different collision systems measured in the same experiment at the same center-of-mass energy. PHENIX has previously studied anisotropic flow harmonics in symmetric Au + Au and Cu + Cu collisions at  $\sqrt{s_{NN}} = 200$  GeV [12,22]. By varying the system size and the centrality selection, one can study the effects of the initial geometry on the observed flow coefficients. We first compare the results obtained in different collision systems for the same centrality selections, and then explore possible scaling behavior.

In Fig. 10, the  $v_2(p_T)$  coefficients are compared for six different centrality selections. We observe that, in each centrality class at a given  $p_T$ , the values measured in Cu + Au

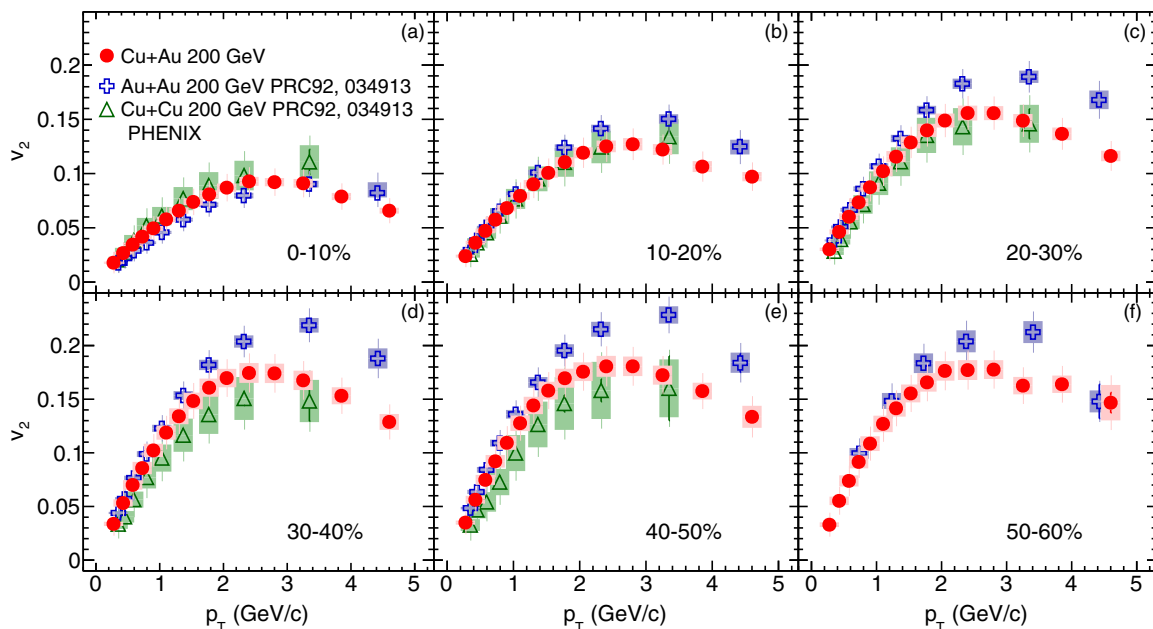


FIG. 10. The second-order Fourier coefficients  $v_2(p_T)$  for charged hadrons measured at midrapidity in Cu + Au, Au + Au [12], and Cu + Cu [12] collisions at  $\sqrt{s_{NN}} = 200$  GeV. In each panel, the  $v_2(p_T)$  coefficients are compared for the same centrality class, as marked in the figure. The symbols represent the measured  $v_2(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties.

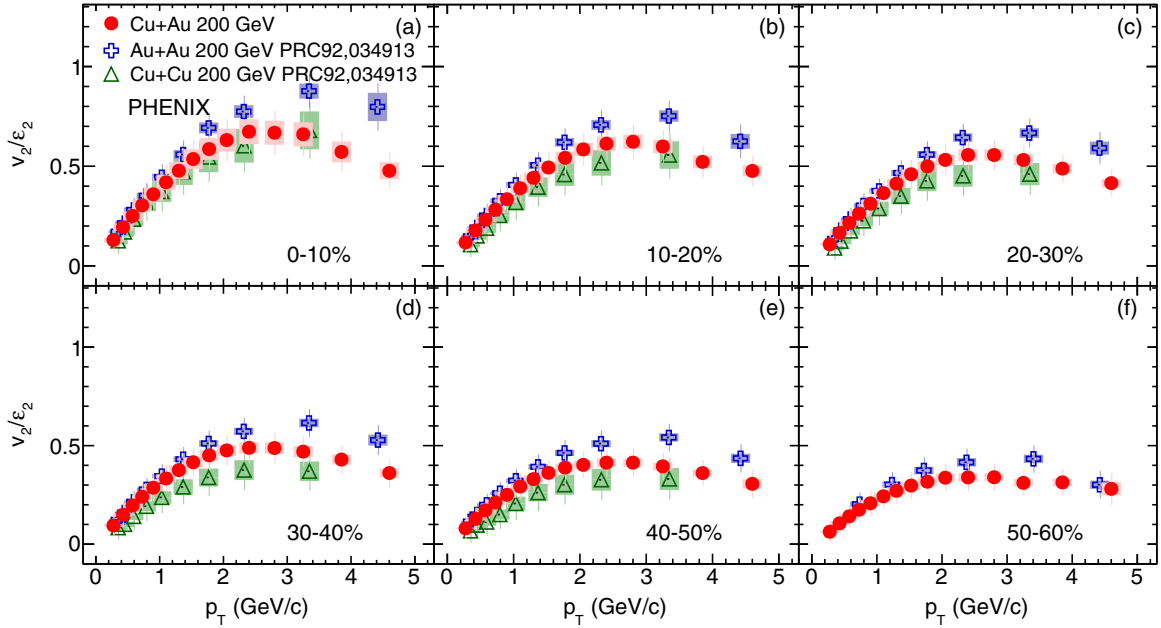


FIG. 11. Scaled second-order Fourier coefficients  $v_2(p_T)/\epsilon_2$  for charged hadrons measured at midrapidity in Cu + Au, Au + Au [12], and Cu + Cu [12] collisions at  $\sqrt{s_{NN}} = 200$  GeV. In each panel, the  $v_2(p_T)$  values measured in the centrality classes marked in the figure are scaled by the average second-order participant eccentricity  $\epsilon_2$  in the initial state of the collisions as determined by a Monte Carlo Glauber calculation described in the text. The symbols represent the scaled  $v_2(p_T)/\epsilon_2$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties.

collisions are always between those measured in Cu + Au and Au + Au collisions. In all centrality classes chosen, the Cu + Cu system has larger elliptic eccentricity than both Cu + Au and Au + Au collisions. However, except in the

most-central 0%–10% collisions, the measured  $v_2(p_T)$  values are not ordered according to the magnitude of  $\epsilon_2$  in the different systems listed in Table I. To further investigate this, in Fig. 11 we scale the  $v_2(p_T)$  values in each collision system with their

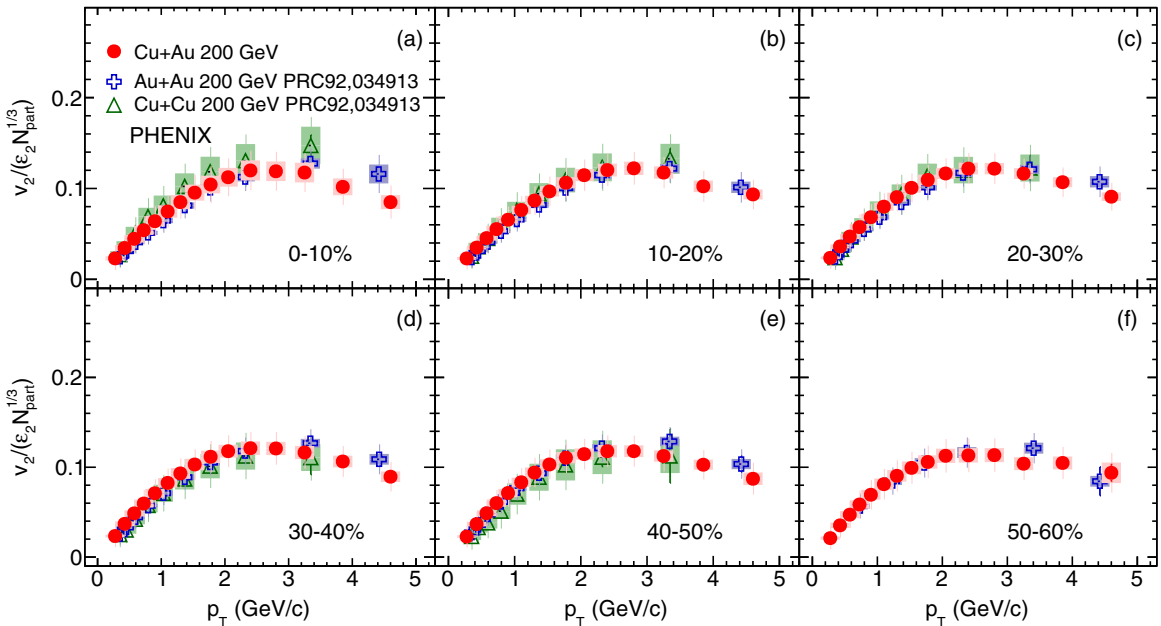


FIG. 12. Scaled second-order Fourier coefficients  $v_2(p_T)/(\epsilon_2 N_{\text{part}}^{1/3})$  for charged hadrons measured at midrapidity in Cu + Au, Au + Au [12], and Cu + Cu [12] collisions at  $\sqrt{s_{NN}} = 200$  GeV. In each panel, the  $v_2(p_T)$  values measured in the centrality classes marked in the figure are scaled by the average second-order participant eccentricity  $\epsilon_2$  in the initial state of the collisions as determined by a Monte Carlo Glauber calculation described in the text, and the corresponding number of nucleon participants  $N_{\text{part}}^{1/3}$ . The symbols represent the scaled  $v_2(p_T)/(\epsilon_2 N_{\text{part}}^{1/3})$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties.

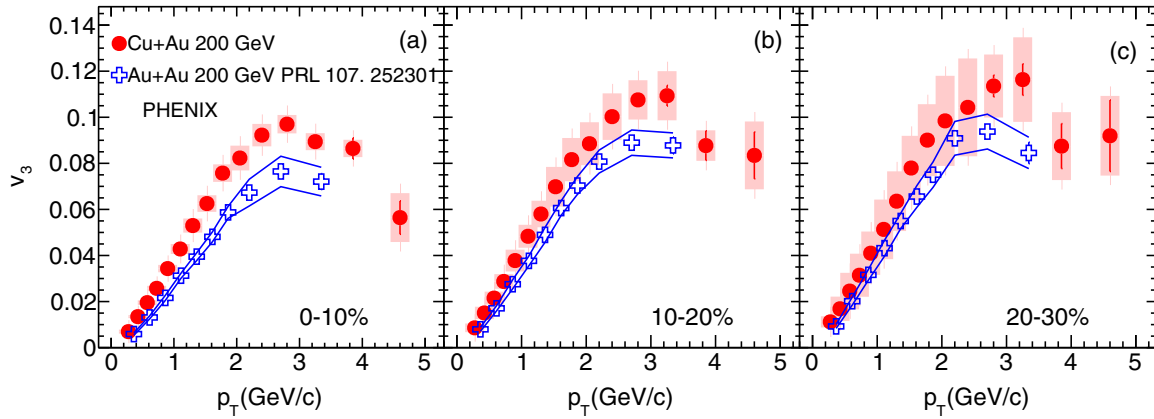


FIG. 13. The third-order Fourier coefficients  $v_3(p_T)$  for charged hadrons measured at midrapidity in Cu + Au and Au + Au [22] collisions at  $\sqrt{s_{NN}} = 200$  GeV. In each panel, the  $v_3(p_T)$  coefficients are compared for the same centrality class, as marked in the figure. The symbols represent the measured  $v_3(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties.

respective participant eccentricity  $\varepsilon_2$ . The resulting  $v_2(p_T)/\varepsilon_2$  are ordered by system size, but this scaling does not lead to a universal behavior.

In Ref. [12], PHENIX compared measurements in Cu + Cu and Au + Au collisions for different center-of-mass energies and centrality selections and found that the  $v_2$  values obey common empirical scaling with  $\varepsilon_2 N_{\text{part}}^{1/3}$ . The motivation for introducing the  $N_{\text{part}}^{1/3}$  factor is that, under the assumption that  $N_{\text{part}}$  is proportional to the volume of the fireball,  $N_{\text{part}}^{1/3}$  is a quantity proportional to a length scale and therefore may account for the system-size dependence of the  $v_2$  values. In Fig. 12 we add to this comparison the results from the asymmetric Cu + Au collisions. This scaling brings the  $v_2(p_T)$  results from the three collisions systems together across all centrality classes in this study.

In Fig. 13 the  $v_3(p_T)$  values are compared in Cu + Au and Au + Au collisions for events of the same centrality.

Unlike in the  $v_2(p_T)$  measurements, here the values of  $v_3(p_T)$  are ordered according to the initial triangularities  $\varepsilon_3$  listed in Table I, with the Cu + Au results being larger than the Au + Au results. In particular, in the most-central 0%–10% collisions  $\varepsilon_3$  in Cu + Au is about 50% larger than in Au + Au collisions, and a similar difference is observed in the  $v_3(p_T)$  values. In Fig. 14 the  $v_3(p_T)$  values are scaled by the initial  $\varepsilon_3$  eccentricity. A good agreement between the different systems is observed at low  $p_T$  ( $\leq 2$  GeV/c), which indicates that the participant eccentricities obtained in the Glauber model provide an adequate description of the fluctuating initial geometry. Additionally, we perform scaling with  $\varepsilon_3 N_{\text{part}}^{1/3}$ , as was done for the  $v_2(p_T)$  measurements. The results of this scaling are shown in Fig. 15. In this case, the measurement in Cu + Au and Au + Au collisions are in better agreement at high  $p_T$ ; however, at low  $p_T$  the  $v_3(p_T)/\varepsilon_3 N_{\text{part}}^{1/3}$  values are systematically higher for the Cu + Au system.

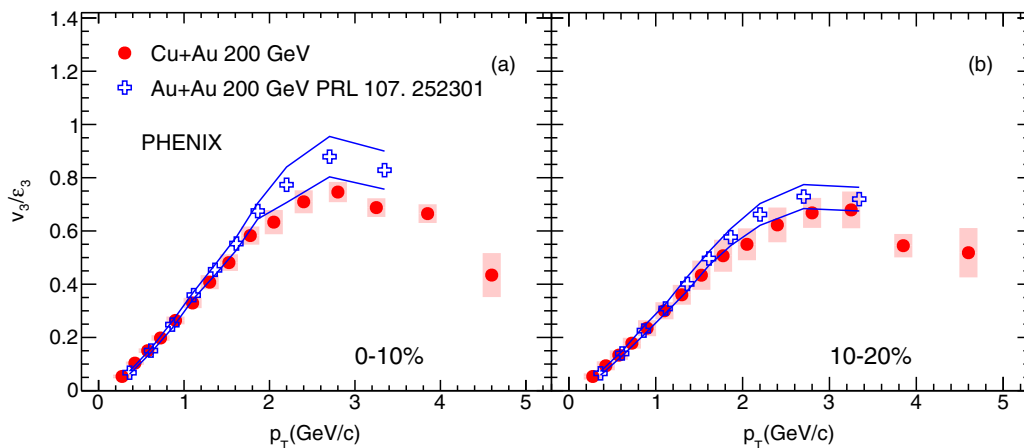


FIG. 14. Scaled third-order Fourier coefficients  $v_3(p_T)/\varepsilon_3$  for charged hadrons measured at midrapidity in Cu + Au and Au + Au [22] collisions at  $\sqrt{s_{NN}} = 200$  GeV. In each panel, the  $v_3(p_T)$  values measured in the centrality classes marked in the figure are scaled by the average third-order participant eccentricity  $\varepsilon_3$  in the initial state of the collisions as determined by a Monte Carlo Glauber calculation described in the text. The symbols represent the scaled  $v_3(p_T)/\varepsilon_3$  values, and the error bars show the statistical uncertainties. The shaded boxes indicate the systematic uncertainties in the Cu + Au measurements, and the lines around the points marked with a cross show the systematic uncertainties in the Au + Au measurements.

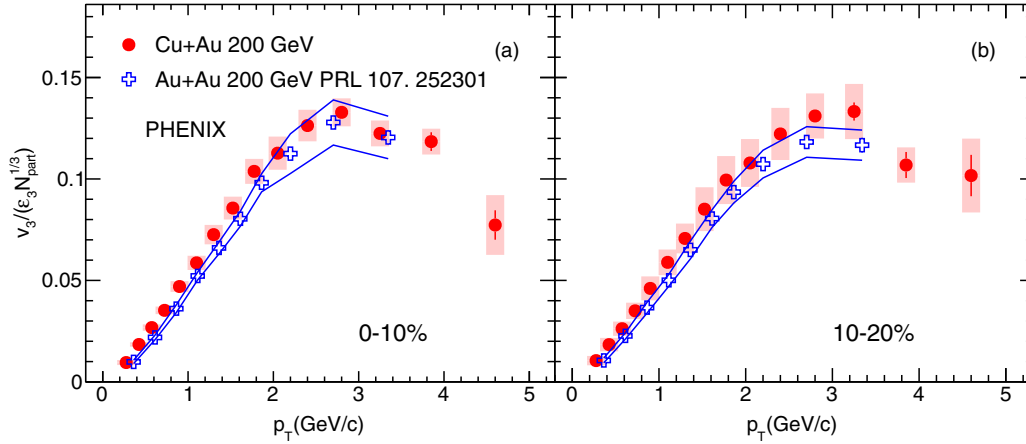


FIG. 15. Scaled third-order Fourier coefficients  $v_3(p_T)/(\epsilon_3 N_{\text{part}}^{1/3})$  for charged hadrons measured at midrapidity in Cu + Au and Au + Au [22] collisions at  $\sqrt{s_{NN}} = 200$  GeV. In each panel, the  $v_3(p_T)$  values measured in the centrality classes marked in the figure are scaled by the average third-order participant eccentricity  $\epsilon_3$  in the initial state of the collisions as determined by a Monte Carlo Glauber calculation described in the text and the corresponding number of nucleon participants  $N_{\text{part}}^{1/3}$ . The symbols represent the scaled  $v_3(p_T)/(\epsilon_3 N_{\text{part}}^{1/3})$  values, and the error bars show the statistical uncertainties. The shaded boxes indicate the systematic uncertainties in the Cu + Au measurements, and the lines around the points marked with a cross show the systematic uncertainties in the Au + Au measurements.

#### D. Theory comparisons

##### 1. Hydrodynamic calculations

Predictions from 3D + 1 viscous hydrodynamic calculations are available [44]. At low  $p_T$  ( $< 1.0$  GeV/ $c$ ), directed flow is predicted to be in the hemisphere of the Cu side, while for high  $p_T$  ( $> 1.5$  GeV/ $c$ ), directed flow is predicted to be in the hemisphere on the Au side. Furthermore, the bulk directed flow component from integration over  $p_T$  is predicted to be in the Cu-nucleus hemisphere. Due to the large systematic uncertainties and small value of  $v_1$  at small  $p_T$ , we cannot reliably determine the sign of the  $v_1$  component at low  $p_T$ , or the sign of the bulk directed flow. At high  $p_T$ , the measurement is in agreement with the directed flow being in the Au hemisphere, under the assumption that the spectator neutrons

are deflected outward from the interaction region and aligned with the impact parameter vector. Reference [44] shows  $v_1$  with respect to the reaction plane (i.e., the impact parameter vector) for 20%–30% central Cu + Au collisions including particles within  $|\eta| < 1.0$ , and thus we cannot compare directly with our narrower rapidity selection. It is notable however, that the hydrodynamic results at  $p_T = 2$  GeV/ $c$  reach  $v_1 \approx 5\%$ , while the experimental data within  $|\eta| < 0.35$  are less than 2%.

The predictions for elliptic and triangular flow as a function of  $p_T$  are compared with the data in Figs. 16 and 17. Calculations with two different values of the specific viscosity  $\eta/s = 0.08$  and  $\eta/s = 0.16$  are shown. Our measurements in the 20%–30% centrality range are consistent with each of these values; for the most-central 0%–5% events, a value of  $\eta/s = 0.08$  is closer to the data.

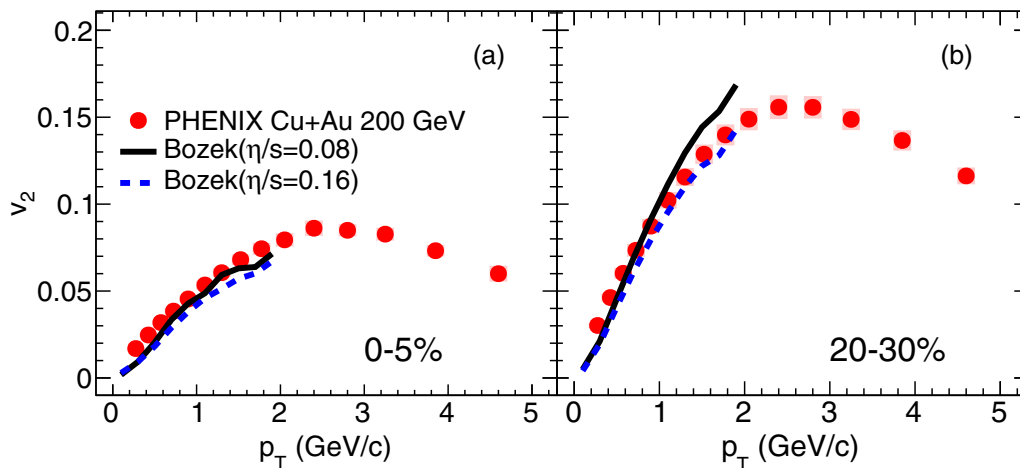


FIG. 16. The second-order Fourier coefficients  $v_2(p_T)$  for charged hadrons measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV in comparison with hydrodynamics calculations for the centrality classes marked in each panel. The symbols represent the measured  $v_2(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties. The theoretical calculations, shown with the solid and dashed lines, are performed with two different values of the specific viscosity  $\eta/s$  marked in the figure.



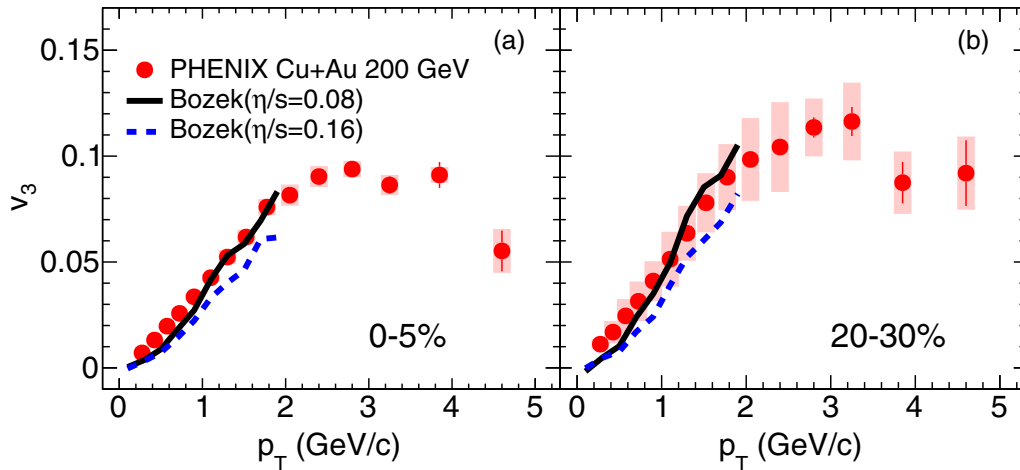


FIG. 17. The third-order Fourier coefficients  $v_3(p_T)$  for charged hadrons measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV in comparison with hydrodynamics calculations for the centrality classes marked in each panel. The symbols represent the measured  $v_3(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties. The theoretical calculations, shown with the solid and dashed lines, are performed with two different values of the specific viscosity  $\eta/s$  marked in the figure.

## 2. A Multiphase Transport Model

The multiphase transport model (AMPT) generator [45,46] has been established as a useful tool in the study of flow observables in heavy-ion collisions [47]. Therefore, it is of interest to compare the measured  $v_1$ ,  $v_2$ , and  $v_3$  as a function of  $p_T$  with the corresponding quantities calculated by using the AMPT model. To this end, we used AMPT v2.21 with string melting turned on to generate approximately two million minimum bias Cu + Au events at  $\sqrt{s_{NN}} = 200$  GeV, setting the partonic cross section alternately to  $\sigma_{\text{part}} = 1.5$  and 3.0 mb. In the default version of the model, initial conditions are

generated by using Monte Carlo Glauber with a *gray-disk* approach to nucleon-nucleon interactions. However, in this study we utilize a modified *black-disk* Glauber model with a fixed nucleon-nucleon inelastic cross section of 42 mb, as used in Ref. [47].

Following the method of Ref. [47], Fourier coefficients  $v_1$ ,  $v_2$ , and  $v_3$  are calculated for unidentified charged hadrons within  $|\eta| < 0.35$ , with respect to the corresponding participant planes  $\Psi_1$ ,  $\Psi_2$ , and  $\Psi_3$ . These plane angles are computed for each event from the initial coordinates of nucleon participants with a Gaussian smearing of width  $\sigma = 0.4$  fm.

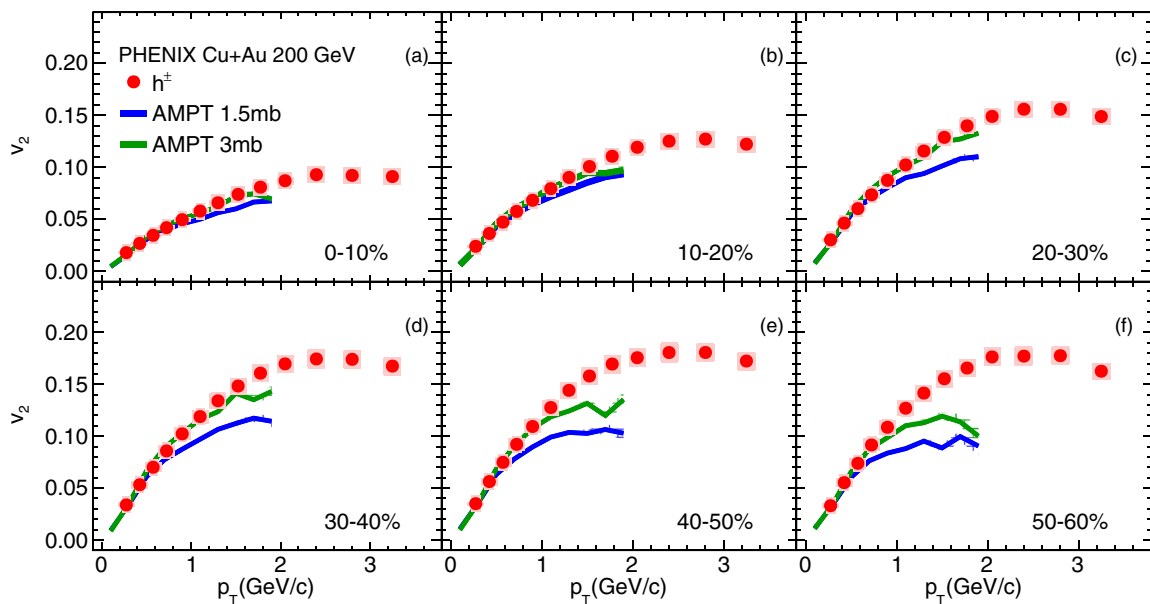


FIG. 18. The second-order Fourier coefficients  $v_2(p_T)$  for charged hadrons measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV in comparison to AMPT model calculation for the centrality classes marked in each panel. The symbols represent the measured  $v_2(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties. For the theoretical calculations, which are shown with lines, only statistical uncertainties are plotted.

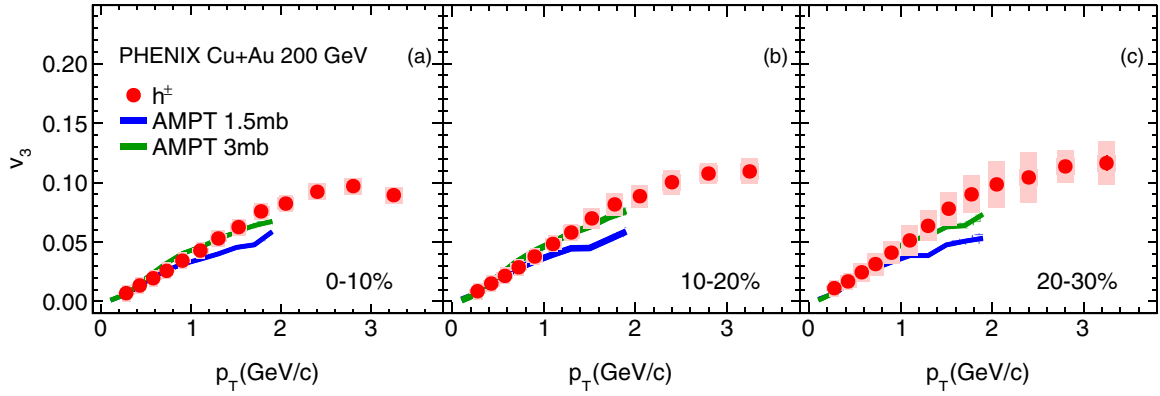


FIG. 19. The third-order Fourier coefficients  $v_3(p_T)$  for charged hadrons measured at midrapidity in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV in comparison to AMPT model calculation for the centrality classes marked in each panel. The symbols represent the measured  $v_3(p_T)$  values, the error bars show the statistical uncertainties, and the shaded boxes indicate the systematic uncertainties. For the theoretical calculations, which are shown with lines, only statistical uncertainties are plotted.

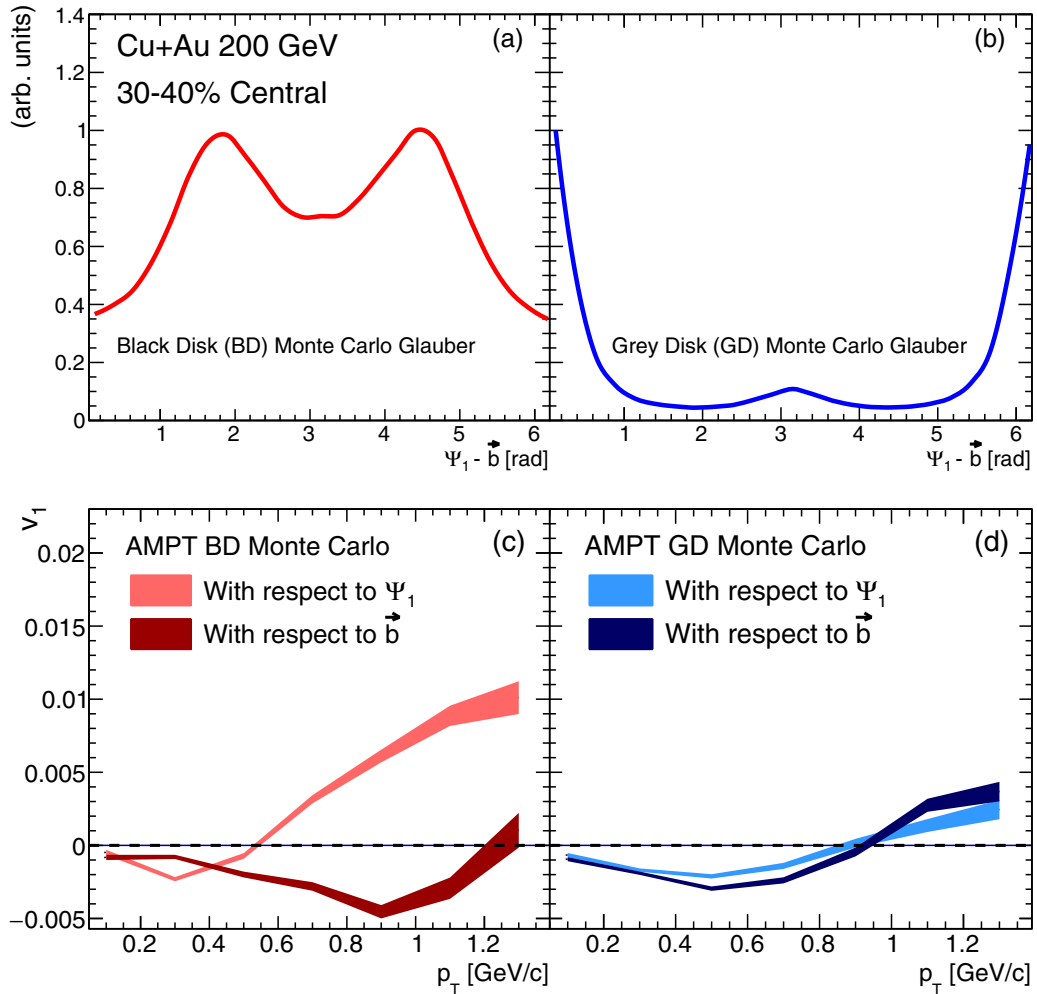


FIG. 20. The top panels show the maximum-normalized distribution of first-order participant plane angle  $\Psi_1$  computed from the initial coordinates of participant nucleons determined with (a) black-disk, and (b) gray-disk Monte Carlo Glauber simulations. The bottom panels show AMPT  $v_1$  computed with respect to the impact parameter  $\vec{b}$ , and  $\Psi_1$  using (c) black-disk and (d) gray-disk Monte Carlo for the initial conditions.

The  $v_2(p_T)$  and  $v_3(p_T)$  results shown in Figs. 18 and 19 are well reproduced by the model for  $p_T < 1$  GeV/ $c$ . The comparison with the data indicates that the 3.0 mb partonic cross section gives a better description of the system dynamics.

However, the calculation of  $v_1$  and its comparison with experimental data is less straightforward. Because the experimentally measured Cu spectator neutron orientation is unknown, we calculate the  $v_1$  values with respect to the impact parameter vector  $\vec{b}$  pointing in the direction of the Cu nucleus as well as with respect to  $\Psi_1$ , the overlap region calculated as previously described. Because the calculation is done in the participant center-of-mass frame, weighting all participants equally yields exactly  $\varepsilon_1 = 0$  and hence no direction for  $\Psi_1$ . There are various suggestions in the literature for weighting with  $r^2$  and  $r^3$  [48,49], and in this study we choose to use  $r^2$ .

In addition, we have considered two different Monte Carlo Glauber initial conditions, one with black-disk (BD) nucleons and one with gray-disk (GD) nucleons, thus varying the diffuseness of the nucleon-nucleon interaction radius. Figure 20 shows results for Cu + Au collisions within the 30%-40% centrality selection on the relative distribution of  $\Psi_1$  to  $\vec{b}$  pointing in the direction of the Cu nucleus. Figure 20(a) is for the BD case and Fig. 20(b) is for the GD case. This small difference in the treatment of initial geometry completely reorients the  $\Psi_1$  vector. The lower panels show the AMPT midrapidity particle  $v_1$  as a function of  $p_T$  relative to  $\Psi_1$  and  $\vec{b}$  in the BD and GD implementations. It is interesting to note that, in the GD case where the two results agree, the prediction is for low- $p_T$  particles moving in the direction of the Au nucleus and the high- $p_T$  particles in the direction of the Cu nucleus (opposite to the previously discussed hydrodynamic prediction).

We note that it is currently unknown whether the spectator neutrons bend toward or away from the interaction overlap region between the nuclei, and whether they are oriented along the impact parameter vector  $\vec{b}$ , along the vector  $\Psi_1$  determined by the initial energy density in the overlap region, or some other vector. In fact, it is conceivable that spectators very close to the overlap region have a different behavior from spectators far away from the overlap. These ambiguities need resolution before a more direct theory to data comparison can be made.

#### IV. SUMMARY

Anisotropic flow coefficients for inclusive charged particles and identified hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , and  $\bar{p}$  produced in Cu + Au collisions at  $\sqrt{s_{NN}} = 200$  GeV have been measured by the PHENIX experiment at RHIC using event-plane techniques. The  $v_1$ ,  $v_2$ , and  $v_3$  measurements were performed at midrapidity as a function of transverse momentum  $p_T$  over a broad range of collision centralities. Mass ordering was observed for low  $p_T$  in the identified particle measurements, as predicted by hydrodynamics.

A system size comparison was performed for the inclusive charged particles using previous PHENIX measurements at  $\sqrt{s_{NN}} = 200$  GeV of  $v_2(p_T)$  in Cu + Cu and Au + Au collisions, and  $v_3(p_T)$  in Au + Au collisions. The elliptic and triangular flow measurements between different systems and centrality selections were found to scale with the product of the

initial participant eccentricity and the third root of the number of nucleon participants  $\varepsilon_n N_{\text{part}}^{1/3}$ . The system size dependence of the  $v_3(p_T)$  values could also be described by participant eccentricity  $\varepsilon_3$  scaling alone.

The inclusive charged-particle measurements were compared with theoretical predictions. In the  $v_1$  measurement, we observed negative values at high  $p_T$ , indicating that hadrons are emitted in the transverse plane preferentially in the hemisphere of the spectators from the Au nucleus, assuming that they moved outward from the interaction region and are aligned with the impact parameter vector. The AMPT transport model calculations were found to be in agreement with the magnitude of the measured  $v_1(p_T)$  signals, but having the opposite sign. At low  $p_T$  ( $< 1$  GeV/ $c$ ), AMPT provides a reasonable description of the triangular flow in all measured centrality classes that cover the 0%-30% range, and the elliptic flow measurements in the 0%-60% range. Event-by-event hydrodynamics calculations with specific viscosity in the range  $\eta/s = 0.08$ -0.16 reproduce the measured  $v_2(p_T)$  and  $v_3(p_T)$  values.

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