

1 Measuring sustainability for rural settlement development:
2 Environmental balance assessment based on the ecological footprint

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7
8 Abstract

9 Rural areas support urban areas by preserving natural environments such as forests and agricultural food product.
10 However, because of urbanization after the high-growth period, the ecological balance has deteriorated because of land
11 development, abandonment of cultivation, automobile dependency, etc. This study assessed an improved method to
12 calculate the Ecological Footprint (EF) value, which assigns a rating for environmental balance in rural areas. Residents'
13 consumption is calculated based on the environment load (cultivation, CO₂ emission, etc.) that residents generate during
14 daily life activities. A case study of Tsukuba city in Ibaraki prefecture reveals a wide distribution of traditional
15 settlements for which this system can determine the environmental balance. Those analyses show that: 1) environmental
16 productivity and consumption capacity differed greatly according to the advancement of urban development; 2) only a
17 few settlements, located in forested areas, are ecologically balanced.

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19
20 Introduction

21
22 At the Rio Summit held in 1992, many countries discussed issues related to the environment. In 2012, the
23 United Nations Conference on Sustainable Development (Rio+20) was also held in Rio as a 20-year follow-
24 up to the 1992 Rio Summit. An astonishing finding was that, 20 years after the Rio Earth summit, the
25 environment of the planet has become worse, not better, according to a report from WWF (LPR, 2008),
26 meaning that the balance of the environment has been disrupted.

27
28 However, residents in old Japan predominantly based their life and production operations in settlements:
29 basic units of certain residents formed of communities constituting society. Residents subsist through
30 mutual cooperation in their settlements or with surrounding settlements (NRPB, 2009). Before
31 modernization, residents had a recycling life with a low impact on the environment in those settlements and
32 possessed more natural resources than we do. Also, the settlements absorb wastes from the surrounding
33 urban areas and provide resources to them with the forests, rivers, soils and various species. However, along
34 with urbanization during and after the high-growth period, environmental balance has collapsed in those
35 settlements. Although many green and environmental resources are apparent in the rural settlements,
36 environmental problems are increasing because of land development, abandonment of cultivation,

37 automobile dependence, and other factors. In order to retrieve the balance, it is important for not only the
38 government or corporation, but also the general residents to change their daily lifestyle to benefit the
39 environment. As a first step to actually perform the changing, understanding the actual conditions in a
40 simple way is imminent. Therefore, it is necessary to measure that how much environmental consumption
41 can be accepted, and how much environmental consumption is actually required in each settlement. In other
42 words, a simple tool for evaluating the balance in the immediately residents' consumption and
43 environmental capacity is needed.

44

45 In addition, attempts to calculate the environmental balance at a macro scale, such as that between nations
46 or metropolises, have been document in the later reviews. There is no problem to take balance in such
47 macro scale area. On the other hand, the residents' consumption and associated environmental load differed
48 in settlements or neighborhoods, which is the micro scale, a scope that directly related to the residents' life
49 and reflect the differences in consuming patterns. In this paper, settlement is used as the local scale.

50

51 As a tool to assess the balance of environment, the ecological footprint indicator (EF indicator) quantifies
52 environmental impact of human activities. The EF indicator was developed by Wackernagel and Rees in
53 the early 1990s. It has been applied since by many researchers. As explained by its creators, the "ecological
54 footprint analysis is an accounting tool that enables us to estimate the resource consumption and waste
55 assimilation requirements of a defined human population or economy in terms of a corresponding
56 productive land area" (Wackernagel and Rees, 1996). As a measure of carrying capacity, the EF indicator
57 provides an unambiguous standard for quantifying sustainability: sustainable communities are those for
58 which the area of land consumed in the production of resources and assimilation of wastes is less than or
59 equal to the total available land area.

60

61 This study assessed an improved method to calculate the EF value, which assigns a rating for environmental
62 balance in settlement in rural areas. Residents' consumption is calculated based on the environmental load
63 (cultivation, CO₂ emissions, etc.) that residents generate during daily life activities. Simultaneously this
64 study defines a bio-capacity (BC value) that quantifies the environmental consumption capacity of cropland,
65 forestland, and pastureland. The level of environmental balance is reveal by combining the two values.

66

67 Moreover, it is considered that more commonly new settlements nearby the urban areas are unsustainable,
68 and the policies towards urban areas are more valid. However, this assessment tool does not deny the
69 application in other areas, where the environmental balance is considered to be extremely biased value, too
70 high EF value in the built-up area and too high BC value in the mountains area. Although the policies
71 towards urban areas preserving green field and avoiding soil consumption, such as urban regeneration and
72 use of brownfields, these measure changes insignificant in EF and BC value. In order to facilitate

73 comparison and understanding the environmental balance, this paper specifically examined the settlements
74 located between the built-up areas and the mountain areas where the balance changes sensitively by counter-
75 measures. As an effort toward establishing a sustainable society, the first and realistic measure is to set
76 settlements located in rural areas, not urban area, as a target to improve environmental balance.

79 Previous study

81 The EF indicator measures the space needed to provide the resources and absorb the waste that comes with
82 our model of life for one year (Wackernagel and Rees, 1996). Calculation of the ecological footprint can
83 be adapted to a given population—a household, a district, a city, a region or humanity as a whole—the area
84 of biologically productive land and sea necessary to produce the renewable resources this population
85 consumes and assimilate the waste it generates, using prevailing technology. In other words, EF indicates
86 the extent to which human economies stay within the regenerative capacity of the biosphere (Wackernagel
87 et al, 2006). Since the EF indicator was introduced, many researchers have improved the method (Aronsson
88 and Lofgren, 2010). In a macro scale, the EF value and BC value have been calculated for 150 countries at
89 a national level by the WWF (LPR, 2008) for each given year, and for cities all over the world at regional
90 level (BFF, 2004; Regional Progress, 2014). The EF indicator has been used as a proactive approach in
91 England, such as environmental evaluation of project and tourism (Barrett et al, 2002; Collins et al, 2007).
92 In Japan, the EF value has been calculated (Fukuda et al, 2001; Wada, 1995) and the Ministry of the
93 Environment provides the EF indicator as an assessment of progress into the Basic Environmental Plan
94 (EBP, 2006). Governments also introduced the indicator in the Regional Environmental Plan (NOEBP,
95 2008). In addition, as a part with the highest percentage of EF value, the EF of vehicle travel was estimated
96 for future years (Chi and Stone, 2005).

98 Recently, several studies have specifically addressed issues of disproportionate share of resources and the
99 relation between economy and resource consumption through international trade (Duro and Figueras, 2013).
100 Furthermore, studies have shown how large of an EF a given country exerts inside the borders of its trading
101 partners (Kissinger and Gottlieb, 2010). Studies have used the ecological footprint to analyze the relation
102 between the urban model (size of settlement, density, etc.) and ecological footprint (Moos et al, 2006). The
103 EF applications described in reports of the literature are growing in number and diversity, such as
104 explorations and the determinants of the ecological footprint of commuting municipal variation by
105 comparing urban form and transportation, or environmental load reduction by technological innovation and
106 lifestyle improvement (Muniz et al, 2013; Brown et al, 2008). For land-use policies, land-use zoning with
107 the guidance of EF and other ecological evaluations have been applied to form and optimize the proper land
108 use and ordered development pattern (Yong et al, 2010). Moreover, a mechanism for securing financial

109 resources based on an interregional cap and trade system, and a concept of environmental balanced area to
110 devote environment management of local government using EF has been developed (Ujihara and Taniguchi,
111 2010; Chen et al, 2013).

112

113 There is also study from the perspective of residents' life in a microscale. The influences of type and
114 location of settlements have been addressed (Poom et al, 2014), but the method cannot be applied to other
115 regions because the target is 16 or 17 year-old high school students by means of a questionnaire.

116

117 However, EF has also received a considerable amount of criticism concerning the assumptions underlying
118 the methodology (McManus and Haughton, 2006; Bergh and Grazi, 2010). The ongoing debate has helped
119 to improve the methodology continuously (Kitzes et al, 2009) while retaining its strengths to detect net
120 effects due to the aggregate approach. Also, this method provides an easy understandable tool for resident
121 by combining the environmental load of different types of final consumption into a single-value. Moreover,
122 by improving the calculation, the EF can more accurately represent the resident's consumption, which is
123 considered to depend on the settlement type and location (Brown et al, 2009).

124

125 Compared to the previous studies, the present study accomplishes the following.

126 1) This study addresses that the environmental load and capacity are differed by the type and location of
127 settlements, and proposes a convenient method for residents to assess the environmental balance in a
128 microscale for the local in which they live. This method can be used as residents' self-evaluation, and
129 assist communication in regional and environmental planning.

130 2) This paper presents a readily useful method to grasp the environmental balance by comprehending the
131 EF indicator and bio-capacity (BC), which generalizes the total capacity for resource consumption and
132 absorbing the waste. Environmental policy effects of both environmental load and consumption
133 capacity can be shown by this method.

134 3) Moreover, the settlement is the optimum approach to examine the method for estimating the
135 environmental balance on a micro-scale. The character of the EF and BC indicator can be clearer in
136 the settlements, where the balance changes sensitively. While there is too high EF value in the built-
137 up area and too high BC value in the mountains area.

138 4) Calculation of EF is based on Statistics Bureau data and other official data, which possesses high
139 reliability and applicability to other areas. In addition, detailed data at a microscale are useful for
140 detailed discussion of the EF and BC values.

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142

143

144 Case study

145

146 This study uses a case study approach in Tsukuba city. Tsukuba city, with a population of 0.2 million, is
147 located in Ibaraki Prefecture, Japan. Although urbanization has increased rapidly in Tsukuba, there are
148 numerous traditional settlements existing in the suburb area. Figure 1 presents the target region location.
149 Figure 2 shows the positional relationship of settlements and six areas and Tsukuba science city area in
150 Tsukuba city. The six areas are used to be six cities, and administrative merged into Tsukuba city from
151 1995. Tsukuba Science City area is a planned area developed in the 1960s, shows a different character with
152 the others.

153

154 Figure 3 shows the detail land use, which are drawn up from the 100 meters mesh data from the Digital
155 National Land Information (MDN, 2009). Figure 3-(a) shows that the forestland and cropland are mostly
156 located in the north part. Grazing land is not shown in this figure because there is few grazing land to be
157 counted in the 100 meters mesh map. On the other hands, figure 3-(b) shows that the urban area's facilities
158 and buildings near and in Tsukuba Science City area have been developed sequentially from the 1960s
159 through development of Tsukuba Science City. Tsukuba Science City area has been almost rebuilt in to
160 commercial facilities or research institutes and few natural lands remained. Figure 3-(c) presents the river
161 and lake land, and other land use. Moreover, in order to get an image of these areas, figure 4-(a) and 4-(b)
162 show two photo of Tsukuba Science City area, while figure 4-(c) shows a photo of Yatabe area, and 4-(d)
163 show an airborne photo of Tsukuba area.

164

165 The object of this study is settlements in Tsukuba city, including both the traditional settlements and indirect
166 impact of urban development received in a region. The settlement is where residents predominantly based
167 their life and practiced agriculture and forestry from the olden times. It is different from the city in a more
168 limited sense, especially where is built-up and developed. In this study, the settlement is where geographic
169 name and residents existed from 1925 (the most old map in the 'Change of Tsukuba from Maps'). This
170 study documented all 271 settlements in Tsukuba city and confirmed the territories of each settlement using
171 'Change of Tsukuba from Maps' (JMS, 1996), and adopts the oaza (settlement section) as the territory
172 because it corresponds to land use data from Urban Planning Baseline Survey. In figure 2, Oazas with
173 settlement are presented by gray, and those with no settlement are shown in grid line.

174

175 Calculation of the EF value

176

177 Many related researches have specifically addressed issues of international trade, the consumption of a
178 whole nation, or specific products (Duro, 2013; Kissinger, 2010; Muniz, 2013). Those are all important for
179 raising better understanding of the load on the environment. However, this study majorly emphasized the
180 consumption of the daily life from the residents, because the scale is local. The residents' consumption is

181 calculated using this tool based on the environmental load generated during the daily life activities of
 182 residents. Other activities, such as industry, business, service, and travel are not included. Even the BC is
 183 greater than the EF value in a settlement. It does not mean that the entire environmental load was absorbed
 184 inside the area. Although the EF value is less counted in this study, it shows the unbalance in each
 185 settlements and provide a self-assessment for the residents.

186

187 This study quantifies environmental loads existing inside the settlements by calculating the EF value. The
 188 EF value components were referred from the Ujihara–Taniguchi Model (EF-Calc) (Ujihara et al, 2010),
 189 and comprises the following components linked to land-use planning. These components were referred
 190 from the compound EF methodology developed by Wackernagel and Rees (Wackernagel and Rees, 1996).
 191 However the features of the settlements cannot be clarified by the former methods, so an improve
 192 calculation is proposed for application on a settlement scale. The improved calculation is shown in
 193 parameters (2)-(11).

194

- 195 (1) Cropland footprint: cropland necessary to grow crops for food and feed
- 196 (2) Grazing land footprint: grazing land necessary to graze animals for meat and milk
- 197 (3) Forestland footprint: forestland necessary to obtain materials for use in paper production
- 198 (4) Built-up land footprint: built-up land necessary to conduct urban activities
- 199 (5) Energy footprint: forestland needed to absorb CO₂ from fossil fuels for household and private transport
 200 use

201 The *EF* value is calculated as shown below.

202

$$203 \quad EF^k = \sum EF_i^k \quad (1)$$

204 That equation uses the following variables and notation.

205 *EF^k*: EF value of settlement *k* (ha)

206 *EF_i^k*: EF value of component *i* in settlement *k* (ha)

207 *k*: settlement

208 *i*: component

209

210 First, for components (1)–(3), age-based population in each settlement (JNPC, 2010) was used to calculate
 211 cropland, grazing and forestland for paper with the aid of the UT model. The consumption of each crop in
 212 cropland footprint was using the average value of the prefecture, while those in grazing land footprint was
 213 using average value of Japan. The calculation formulas are defined as shown below.

214 (1) and (2): Cropland and grazing land footprint

$$215 \quad F_j^k = \sum_{n=1}^{10} p_n^k \times f_{nj} \quad (2)$$

216

217
$$EF_{fg}^k = \sum_{j=1}^{10} \frac{F_j^k}{\alpha_j} \quad (3)$$

218 F_j^k : consumption of crop j in settlement k (t)

219 p_n^k : population of age bracket in settlement k (person)

220 f_{nj} : consumption of crop j in age bracket n (t /person)

221 α_j : land productivity of crop j (t /ha)

222

223 (3) Forestland footprint

224

225
$$EF_p^k = \frac{p_n^k}{p} \times r \times \sum_{m=1}^3 \frac{w_m}{\beta_m} \quad (4)$$

226

227 w_m : wood pulp and chip demanded for import m (m^3)

228 β_m : growing stock amount of forest of each destination for import m (m^3 /ha)

229 p : population in Japan (person)

230 r : rate of household consumption (%)

231

232 Then, improved parameters of components (4)–(5) are used. Component (4) stands for infrastructure for
 233 housing, transportation, and industrial land. This study calculates built-up land in each settlement using
 234 land-use database from Urban Planning Baseline Survey 2010 of Tsukuba (BUPS, 2010). This space of all
 235 built-up land use types, including residential land, commercial land, industrial land, common land, railway
 236 land roads, etc., is documented. The urban green zone lands are included in the built-up land, and considered
 237 as plentiful carbon sinks. However, the BC value changes less whether count the urban green zone lands or
 238 not.

239

240 The energy footprint is calculated by estimating the biologically productive area necessary to assimilate
 241 CO₂ produced by human economic activities. It consists mostly of the EF value. To analyze the energy
 242 footprint more accurately, this footprint can be divided into three sectors: consumer residential, consumer
 243 transport, and international transport. The method used to calculate the three sectors improved by the
 244 authors was shown below because the UT model was unable to give additional information to generate the
 245 characters of these respective sectors.

246

247 (4) Built-up land footprint

248

249
$$EF_b^k = \sum_{i=1}^3 b_i^k \quad (5)$$

250 b_i^k : Built-up land use i in settlement k (ha)

251 (5) Energy footprint

252 (5)-1 Consumer residential sector

253

$$254 \quad EF_h^k = \sum_{x=1}^2 \sum_{y=1}^4 \frac{P_x^k \times C_{xy}^k}{\gamma} \quad (6)$$

$$255 \quad C_{xy}^k = \delta^{ci} \times E_{xy}^{ci} \quad (7)$$

256 C_{xy}^k : CO₂ emissions, type y of houses and size x of households in settlement k (ton)

257 P_x^k : population, size x of households (person)

258 γ : Absorption efficiency of CO₂ (t-CO₂/ha)

259 δ^{ci} : CO₂ conversion factor of energy ci (t-CO₂/kWh)/ (t-CO₂/m³) / (t-CO₂/L)

260 E_{xy}^{ci} : consumption of each energy use, type y of houses and size x of households in settlement k

261 (kWh/m³/L)

262 x : 4 sections by national population census (single-person, two-person, three-person, more than four-person)

263 y : 2 sections (detached house, cluster house)

264 ci : 3 sections (electricity, gas, heating oil)

266

267 (5)-2 Consumer transport sector

268

$$269 \quad EF_t^k = \frac{p_n^k}{p} \sum \frac{P_y^k \times C^k \times k_c \times (t/T)}{\gamma} \quad (8)$$

270

271 C^k : automobile fuel consumption in settlement k (CC/person)

272 k_c : conversion factor

273 t : hours of automobile per person per zone (16 zones divided by Tokyo Metropolitan Person Trip Survey)

274 T : hours of automobile per person in Tsukuba

275

276 (5)-3 Cargo transport sector

277

$$278 \quad EF_T^k = \frac{p_n^k}{p} \sum U_{CO_2(k)} \times k_c \times (WS_{Gj}^{Ci} \times LS + WA_{Gj}^{Ci} \times LA) \quad (9)$$

$$279 \quad LS = \sum_1^3 \frac{WS_{Gj}^{Ci}}{\sum_1^3 WS_{Gj}^{Ci}} \times LS^C i \quad (10)$$

$$280 \quad LA = \sum_1^3 \frac{WA_{Gj}^{Ci}}{\sum_1^3 WA_{Gj}^{Ci}} \times LA^C i \quad (11)$$

281 $U_{CO_2(k)}$: CO₂ emissions intensity of transport method k (g-CO₂/ton · km)

282 W : traffic volume (ton)
283 WS_{Gj}^{Ci} : traffic volume of good j by ship from importing country C_i (ton)
284 WA_{Gj}^{Ci} : traffic volume of good j by air from country C_i (ton)
285 LA^C : transport length by air from country C (km), country
286 LS^C : transport length by ship from country C (km)
287 C_i : importing country range by the traffic volume

288

289

290

- 291 1) For the consumer residential sector, population per house and population per family of each settlement
292 were used, based on the database of national population census: sub-region survey (JNPC, 2010).
293 Furthermore, the energy from this sector is calculated using the average energy consumption volume
294 of the eastern Japan area, published in ‘Aim of the Nation Action for the achievement of reduction
295 promise of the Kyoto Protocol’ by the Ministry of Economy, Trade & Industry (METI, 2010).
- 296 2) For the consumer transport sector, land needed for absorbing CO₂ emissions is calculated first based
297 on average gasoline purchases per family per year in the eastern Japan area, as derived from the Family
298 Income and Expenditure Survey from the Ministry of Internal Affairs and Communication (FIES,
299 2010). Then, allocate this land to each settlement based on hours of automobile per zone, which is
300 recorded in the Tokyo Metropolitan Person Trip Survey 2009 (TMPTS, 2009). The 271 settlements
301 are divided into 16 zones in the TMPTS.
- 302 3) For the cargo transport sector, it is using the average value of Japan. Moreover, it only figures land
303 needed for CO₂ emissions from transportation of goods between international trades, which affects
304 this sector much more than internal trade does. CO₂ emissions of this sector are calculated by
305 multiplying CO₂ emissions intensity on traffic volume and transport length. Traffic volume is
306 calculated based on trade statistics (Ministry of Finance) (Trade Statistics, 2010), and transport length
307 is based on airline mileage (AAXSMARINE, 2014) and sea mileage (MC, 2014).

308

309 In analysis using the configuration presented above, the environmental load and environmental balance can
310 be quantified and applied easily to other cities. This method demonstrates most of the data available by
311 local government.

312

313 Calculation of the BC value

314

315 Bio-capacity (BC) refers to the capacity of an area to provide resources and absorb wastes of each
316 component in EF (such as productive land for farmland). Therefore, corresponding to the five components
317 in EF, BC quantified the land for environmental load in a settlement. The formula is defined as presented

318 below.

319 This study calculates the BC value using a land-use database from the Urban Planning Baseline Survey
320 2010 of Tsukuba. This space documented all land use types in settlements, including built-up land use,
321 which stands for land to absorb the built-up land.

322

$$323 \quad BC^k = bc_{fm}^k + bc_f^k + bc_g^k + bc_b^k \quad (12)$$

324 BC^k : bio-capacity in settlement k (ha)

325 bc_{fm}^k : cropland area in settlement k (ha)

326 bc_f^k : forestland area in settlement k (ha)

327 bc_g^k : grazing land area in settlement k (ha)

328 bc_b^k : built-up land area in settlement k (ha)

329

330 How to measure the environmental balance

331

332 For this study, r is defined as the environmental excess ratio based on the EF value associated with
333 residents' consumption. The environmental balance in each area, which signifies how much the
334 environmental load overshoots the consumption capacity, is evaluated using r . A settlement with an r
335 value lower than 1.0 means that this settlement takes the burden of environmental load from the outside.
336 The environmental load excess ratio in settlement “ k ” (r^k) is defined as presented below.

$$337 \quad r^k = \frac{EF^k}{BC^k} \quad (13)$$

338 EF^k : ecological footprint in settlement k (ha)

339 BC^k : bio-capacity in settlement k (ha)

340

341 Results and discussion

342

343 Figures 5–7 respectively portray the environmental load per capita, the consumption capacity per capita,
344 and the environmental load excess ratio. The six areas and Tsukuba Science City area are surrounded by
345 dark bold line, and that with no settlements are shown as a grid line in each figure. Conclusions about the
346 environmental balance of settlements from these figures demonstrate the following.

347 1) The detailed environmental load of components in EF value is depicted in Figures 5(a)–5(c). The
348 cropland footprint range from 0.076 to 0.081, and the average value is a little larger than the Japanese
349 average value as there is more young population. Although no profound difference is apparent among
350 the cropland EF of each settlement, settlements in the south show a high value in consumer residential
351 EF because the number of persons per household in the detached houses is lower. The settlements
352 with the lowest footprint in consumer residential are shown in white color, and there are higher elderly

353 ratio and single-person household ratio than others. The consumer transport EF is higher in the
354 settlements located in the north, where the travel distance to the urban area is long with no railways.

355 2) Grazing land footprint, forestland footprint and energy footprint of cargo transport sector are not
356 shown in the figure because these value are calculated in Japanese average. Grazing land footprint is
357 0.011 ha per person, forestland footprint is 0.074 ha per person, and cargo transport is 0.16 ha per
358 person. The energy footprint of cargo transport sector only figures land needed for CO₂ emissions
359 from transportation of goods between international trades. Potential of reducing the EF by promoting
360 local production for local consumption is shown because the value of energy footprint of cargo
361 transport sector is high. Compare to other consumptions, the energy footprint of consumer residential
362 sector accounted for the largest proportion, and the value is almost twice as the transport sector.

363 3) The consumption capacity per person in figure 6 demonstrates how many lands the settlements can
364 provide the cropland for food, the grazing land for livestock, and the forestland for paper and CO₂
365 emission. In whole, the general BC value (environmental capacity) is smaller near the Tsukuba
366 Science city area. However, settlements located in southern areas have extensive lowland forests and
367 agricultural land; settlements located in the north have rich forest resources. Grazing land is
368 recognizable here and there and the area range from 0.00 to 0.5 hectare.

369 4) Compare the cropland and the cropland footprint, it is obviously that three quarter of the settlements
370 balanced in food consumption, and they dispersed around Tsukuba city. The grazing resources are
371 less common in Tsukuba as the agricultural life widespread from the ancient times. The forestland is
372 as a city breathing system to absorb the CO₂ emission from consumer resident and transport, and
373 provide the paper needed. The result from the calculation shown that less than 3% settlements can
374 absorb the emission and have the ability to provide respiration function for other regions. Moreover,
375 there are 55% settlements consumes 10 times as they possessed. In Tsukuba, agricultural demand can
376 be local production for local consumption, while an extreme lack appeared in grazing and forest.

377 5) The level of environmental consumption in the place of origin in the settlements is presented in figure
378 7 as environmental load excess ratio (*r* value). The average *r* value of Tsukuba is 4.29. The average
379 *r* value of Ibaraki prefecture is 2.97 from the former study by our research group (Chen, 2013). There
380 are settlements for which the *r* value was higher than the average of Tsukuba in (4) Sakura area and
381 (5) Yatabe area because these areas are near the Tsukuba Science city area and urban activities are
382 concentrated there. Moreover, settlements exist for which the *r* value is higher than the average of
383 Ibaraki prefecture in (1) Tsukuba area and (6) Kukisaki area. This fact demonstrates that urban
384 functions have been spread to suburban areas by the EF value.

385 6) The environmental excess ratios were less than 1.0 in (1) Tsukuba and (3) Toyosato areas. There are
386 many settlements for which the EF value does not overshoot the BC value, and for which the
387 consumption capacity covers the environmental load. However, by examining the EF per person and
388 the BC per person, it is readily apparent that even if the *r* value is less than 1.0, the environmental load

389 related with residents' daily activities is not always lower than those of people in other areas. These
390 settlements might be environmentally balanced simply because of their smaller populations. Moreover,
391 even if the r value is less than 1.0, it does not mean that EF and BC take the balance actually because
392 only consumptions of residents' daily life are counted in this study.

393 7) Compare to the existed research (Poom et al, 2014), the result is similar as the cropland footprint show
394 little difference in each settlements, and the residence energy footprint in settlements near the urban
395 area is higher than the others. However, the result of energy footprint is different because of different
396 lifestyle in the target regions. Moreover, this study uses official data that possesses high reliability and
397 applicability to other areas, while the existed research (Poom et al, 2014) used data from survey of
398 16–17-year-old high school students.

399

400 Conclusions

401

402 In order to better compare and understand the environmental balance, this paper examined the settlements
403 located between the built-up areas and the mountain areas. This study proposed and assessed an
404 environmental balance assessment tool that estimates the environmental load of residents' daily life from
405 food, clothing, housing, and commuting by combining the respective components of the EF value and BC
406 value. Using a case study approach for examining Tsukuba in Japan, this study estimated the environmental
407 balance of all settlements. It is possible to explain how the concept promotes understanding of ecological
408 productivity and consumption. From the results of the calculation, there is three quarter of the settlements
409 balanced in food consumption, while an extreme lack appeared in grazing land and forest land for absorb
410 CO₂ emission. The energy footprint of consumer residential sector accounted for the largest proportion in
411 EF, and the energy footprint of cargo sector is the second. Heat and electric consumption and CO₂ emission
412 from the import product affect the environmental load of residents' daily life most. Moreover, there are
413 many settlements where the EF value does not overshoot the BC value. However, by examining the EF per
414 person and the BC per person, some of them are being balanced simply because of their smaller populations.
415

416 Further study of precision-improving methods that propose a better examination for locally grown and
417 locally consumed products by detailed compositing among subjects is expected. Moreover, the
418 consumptions coming from outside should be taken into consideration. By application of this tool to studies
419 of individual behaviors that interact and which affect the environment, additional useful suggestions will
420 be provided for the way of life in settlements or for environmental management there.

421

422

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497	Figure captions
498	Fig. 1. Location of Tsukuba
499	Fig. 2. Districts of Tsukuba
500	Fig. 3. Land use of Tsukuba
501	Fig. 4. Photo of Tsukuba
502	Fig. 5. EF per person in settlements
503	Fig. 6. BC per person in settlements
504	Fig. 7. Environmental excess ratio of settlements

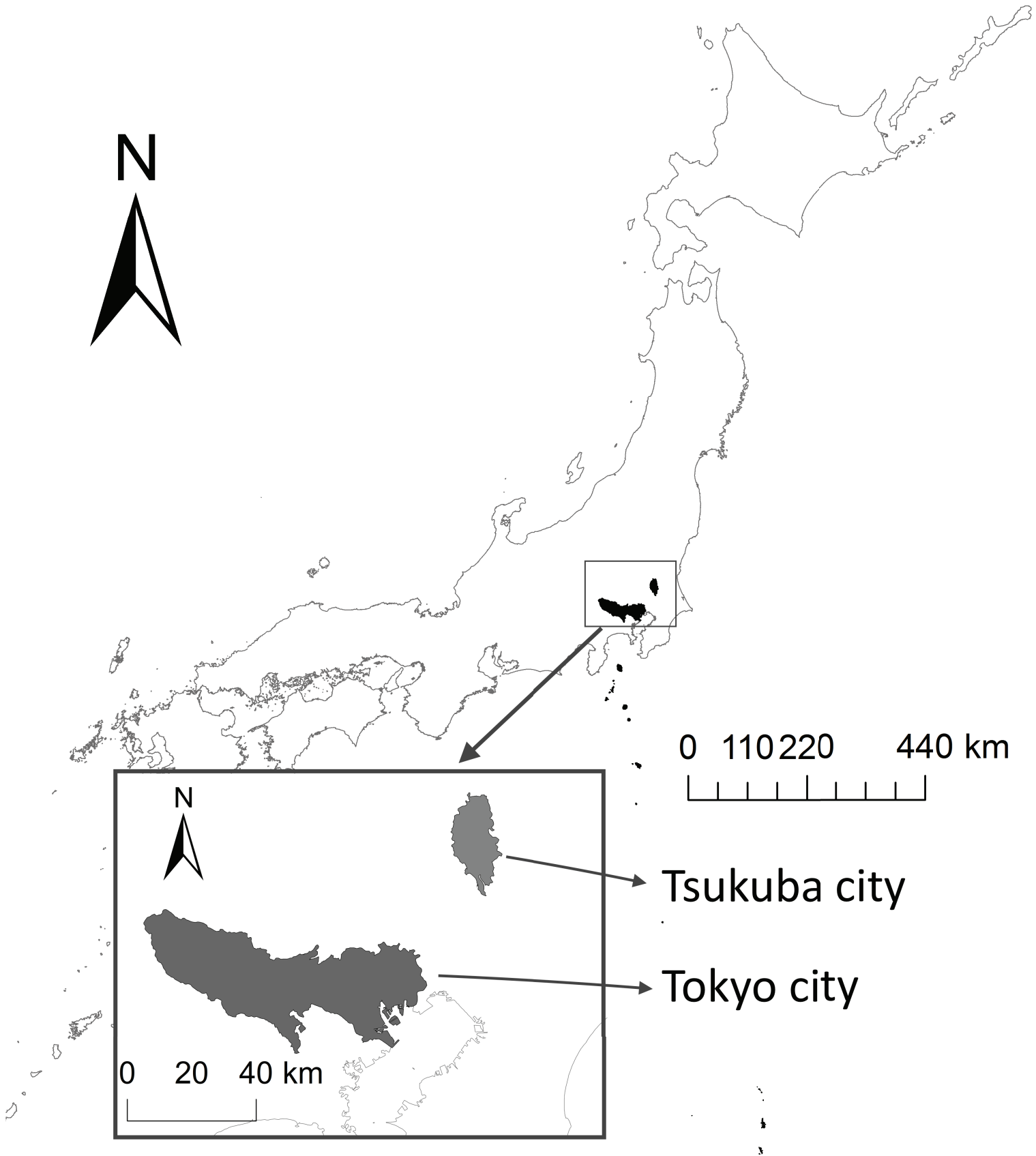


Fig.1

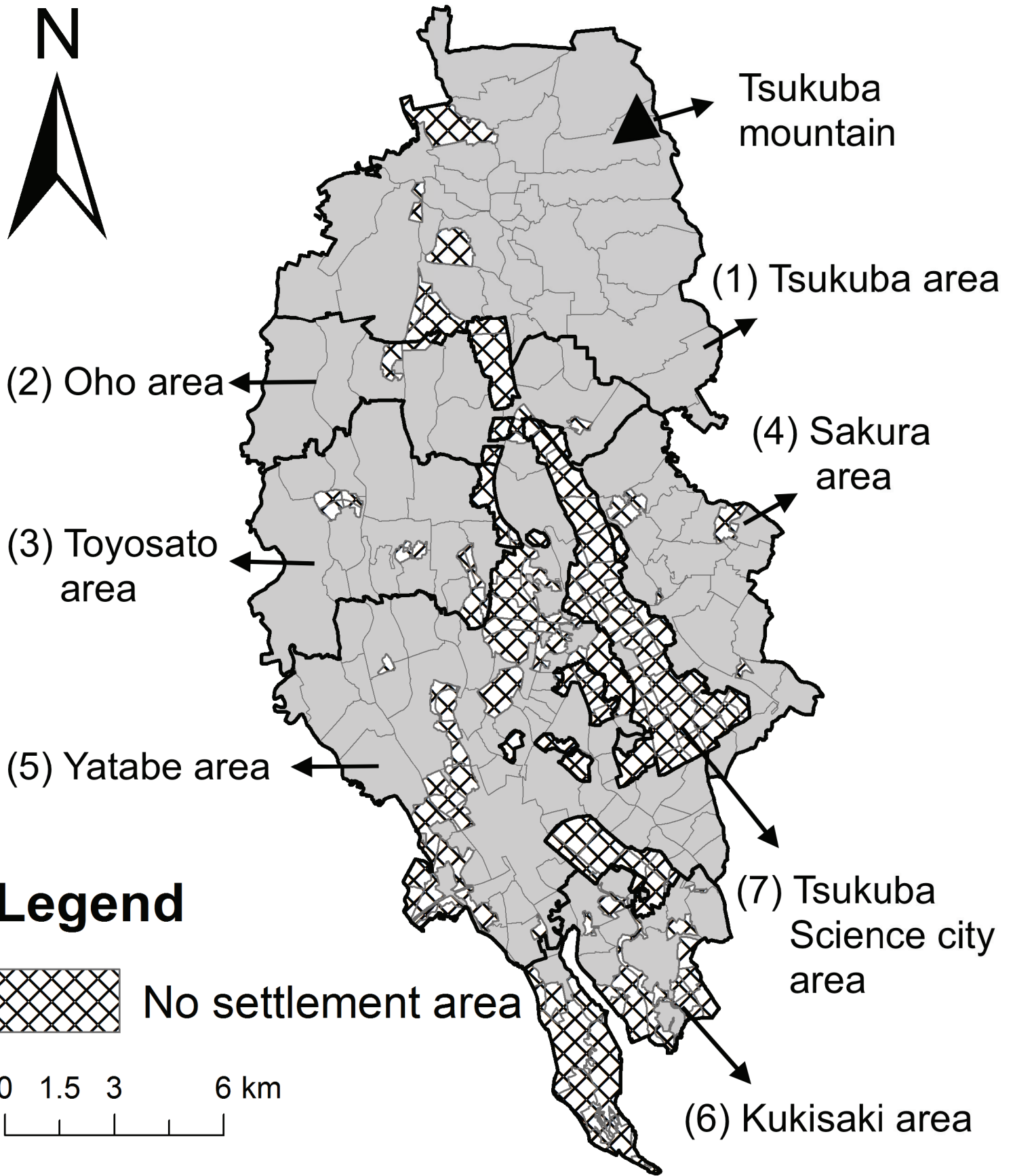
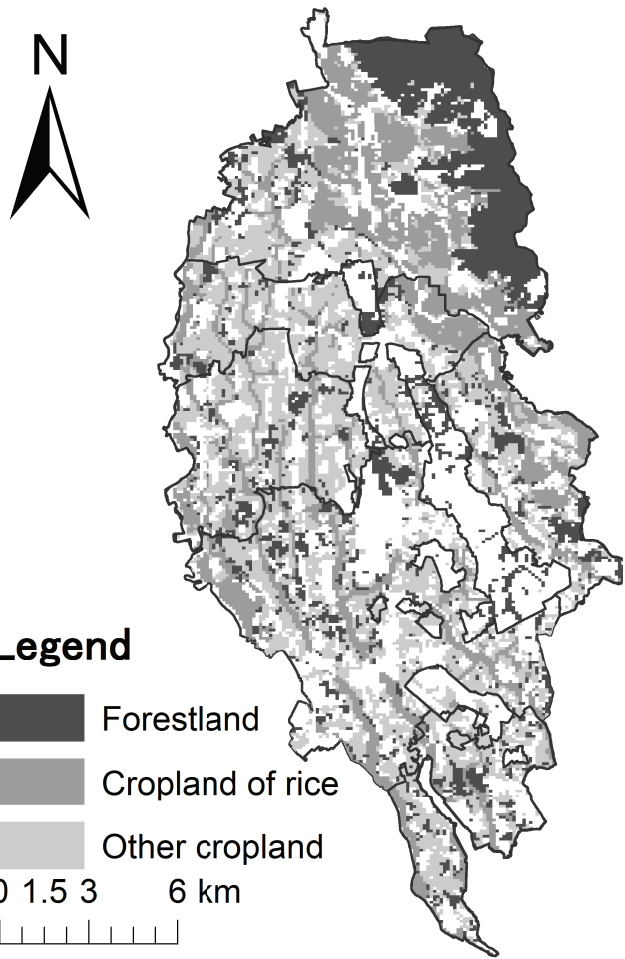
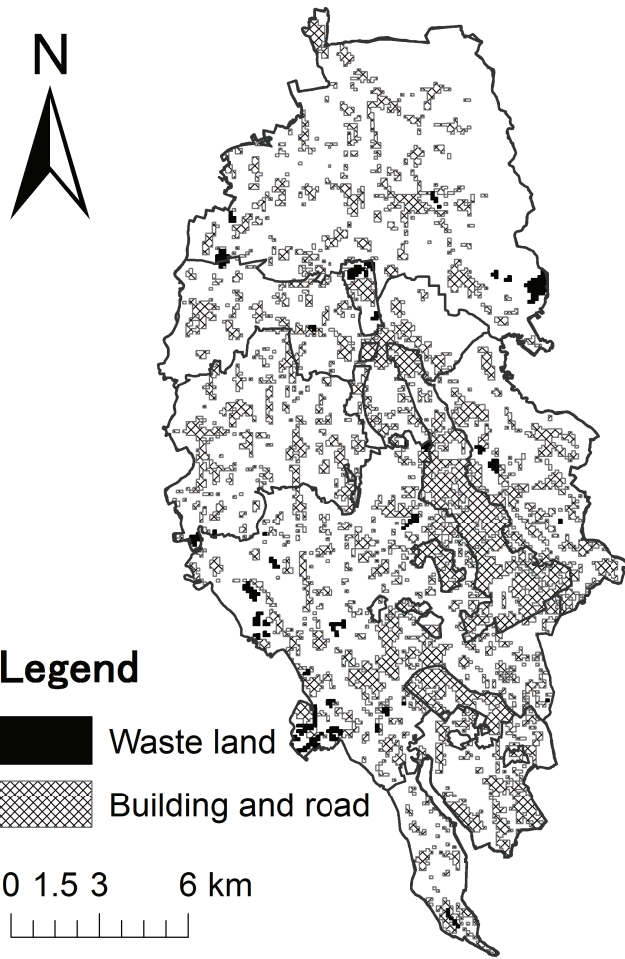


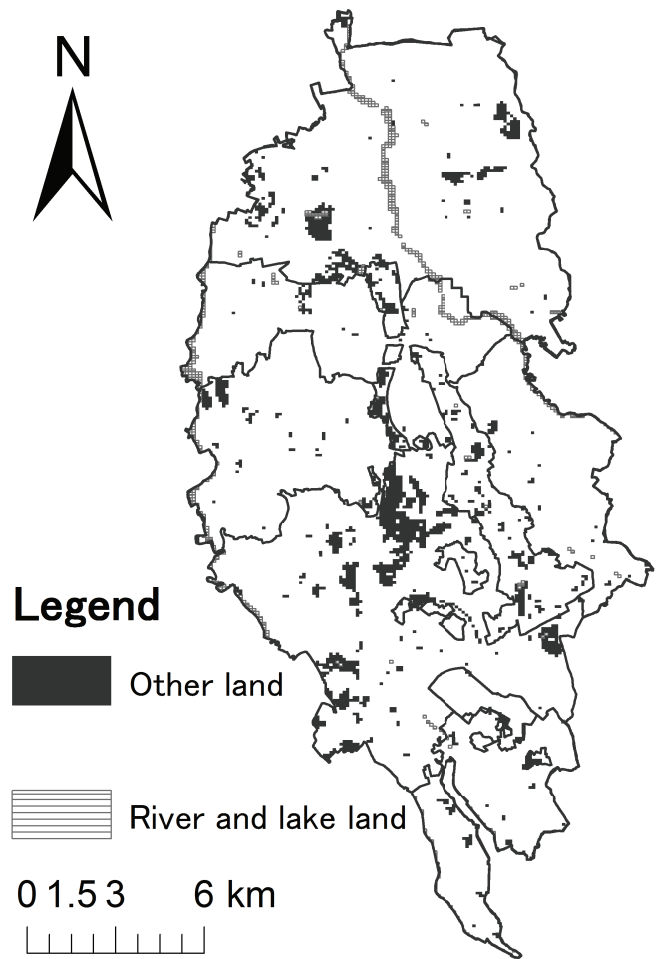
Fig.2



(a) Forest and crop land



(b) Waste and building land



(c) Others



(a) Photo of Tsukuba science city area 1



(b) Photo of Tsukuba science city area 2



(c) Photo of Yatabe area



(d) Photo of Tsukuba area



(a) Photo of Tsukuba science city area 1



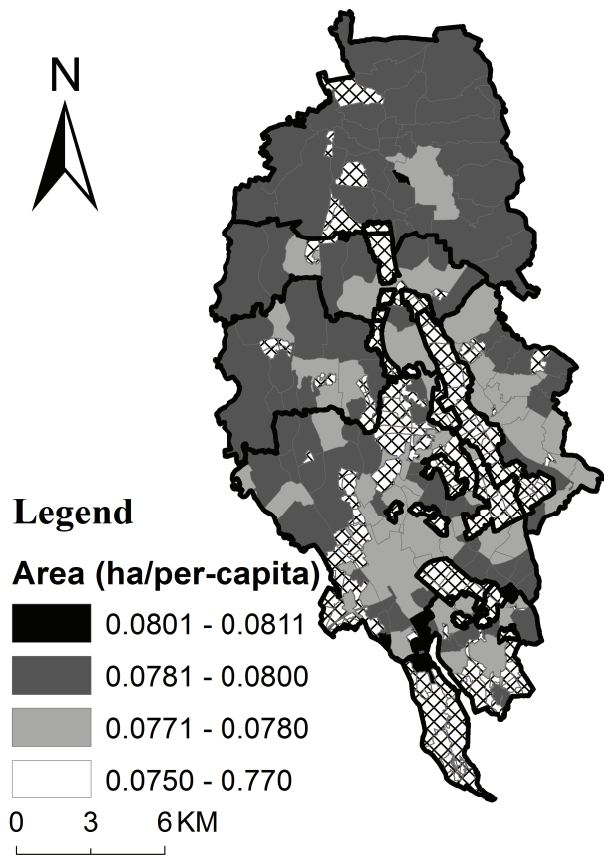
(b) Photo of Tsukuba science city area 2



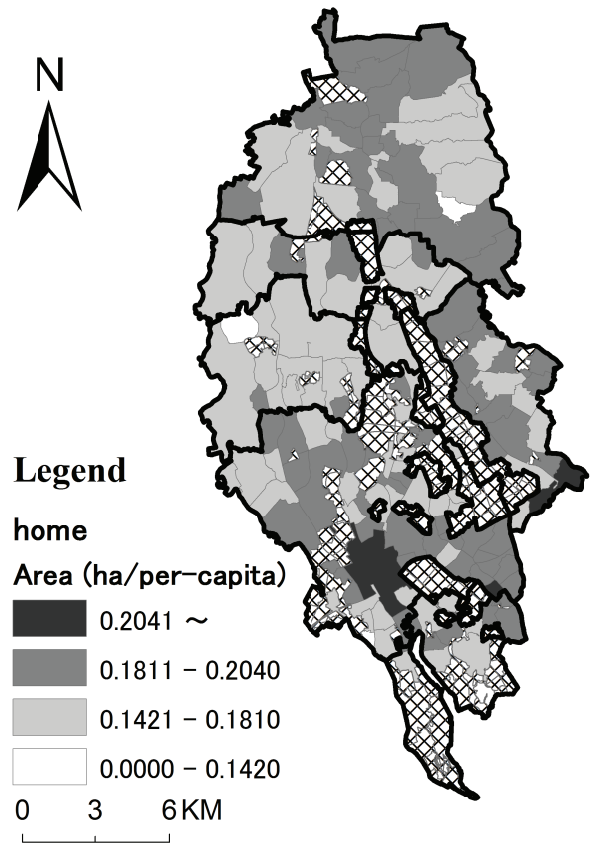
(c) Photo of Yatabe area



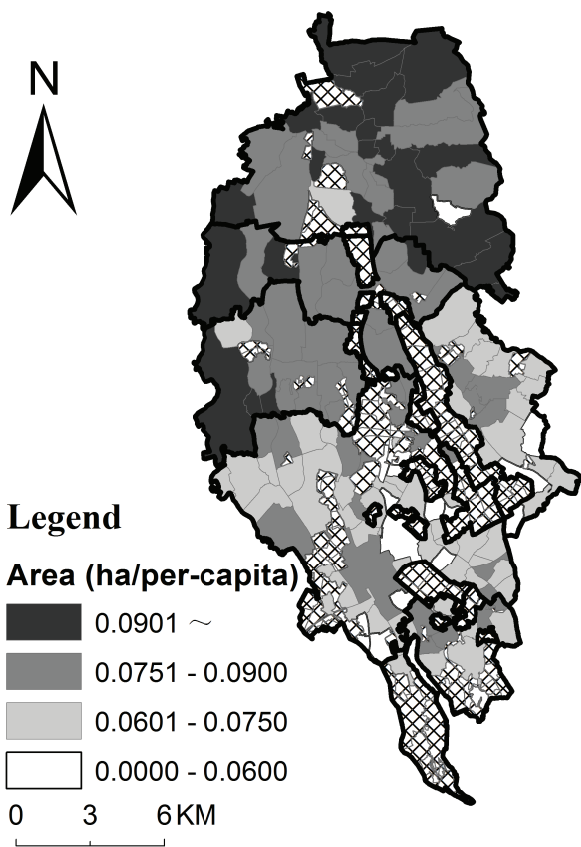
(d) Photo of Tsukuba area



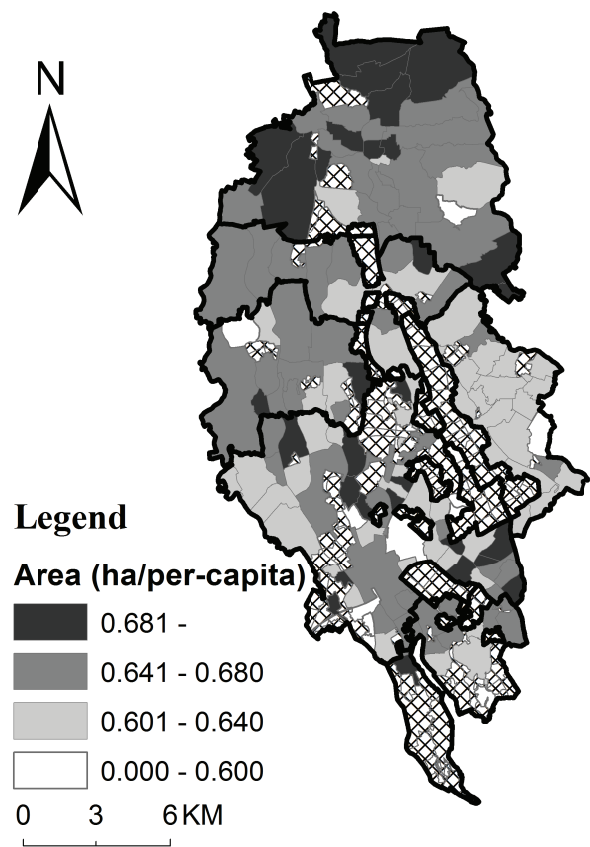
(a) Cropland footprint



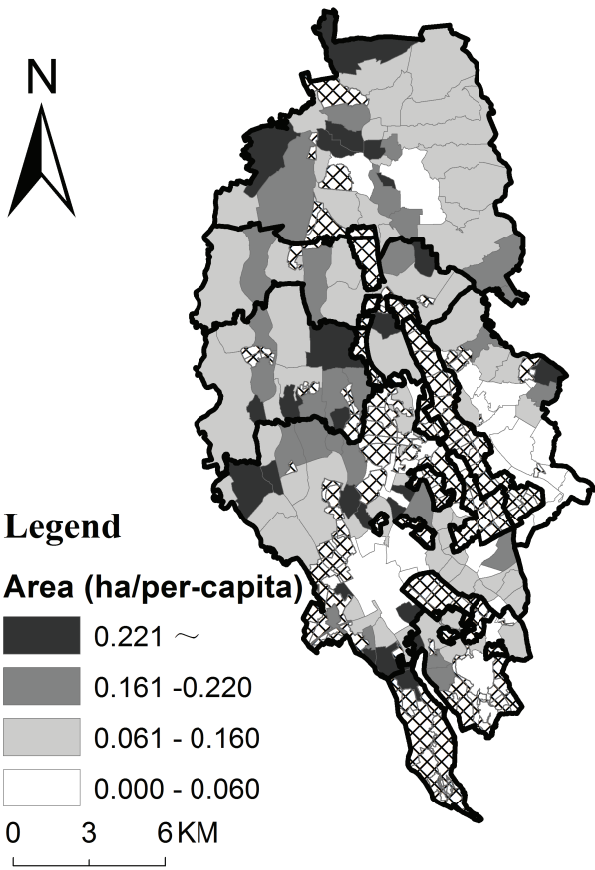
(b) Energy footprint: consumer residential sector



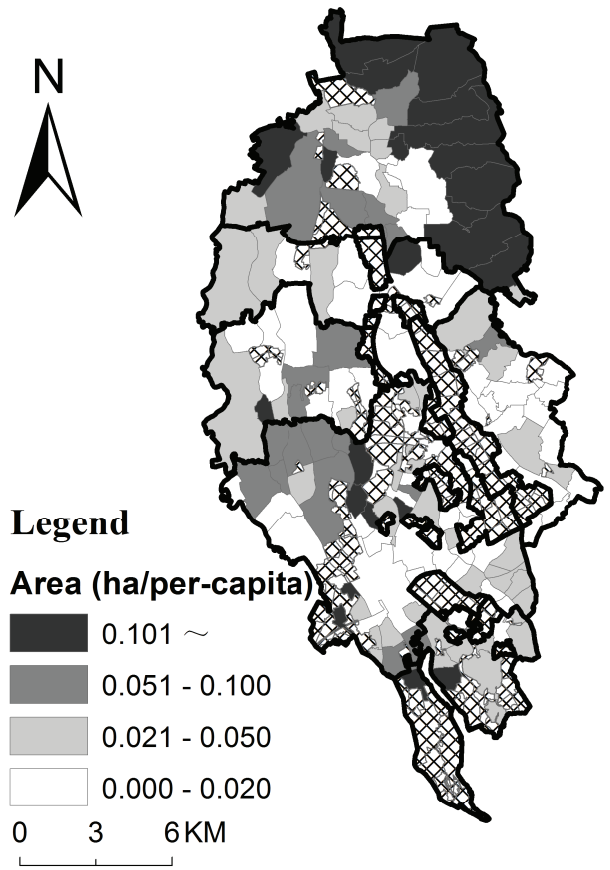
(c) Energy footprint: consumer transport sector



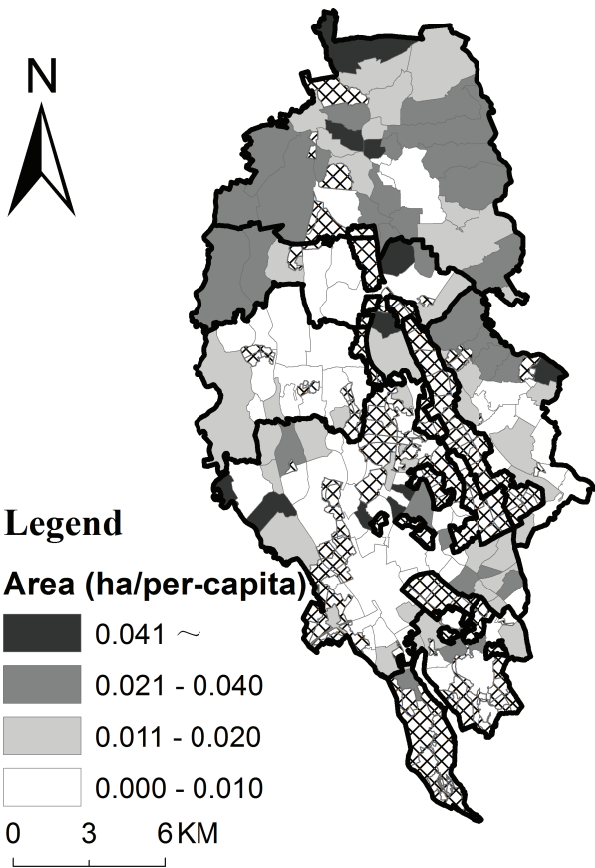
(d) EF (gerenal)



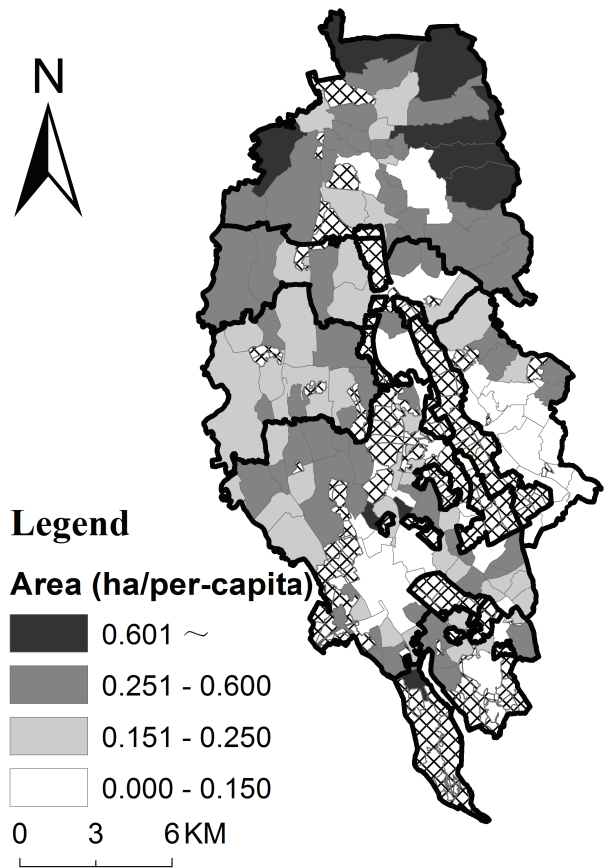
(a) Cropland



(b) Forestland

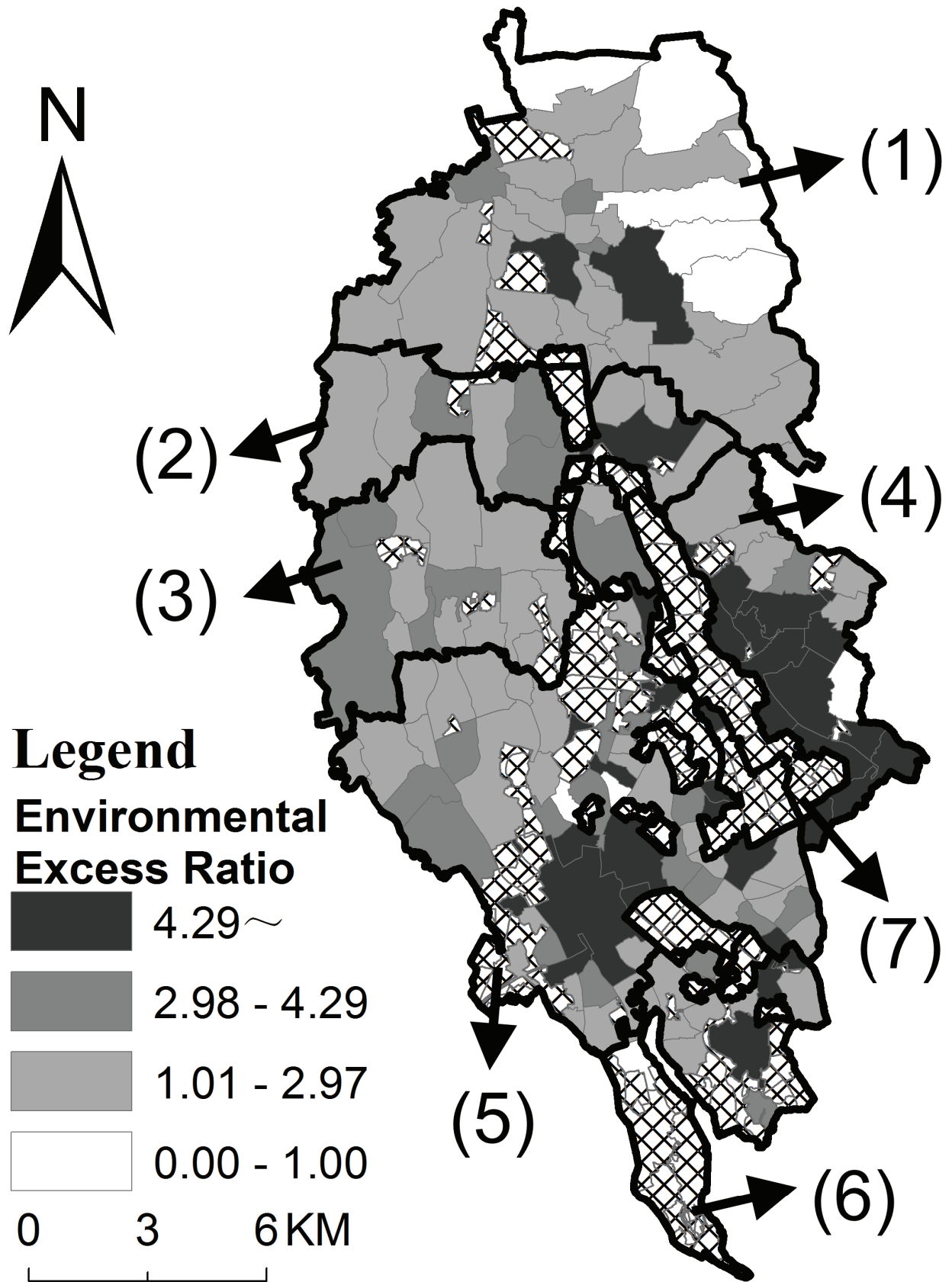


(c) Grazing land



(d) BC (general)

Fig.7



Districts in Tsukuba

- (1) Tsukuba rea; (2) Oho area; (3) Toyosato area
(4) Sakura area; (5) Yatabe area; (6) Kukisaki area;
(7) Tsukuba science city area