

## CONTINUITY OF INTERPOLATIONS

By

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**Abstract.** An interpolation function for a set of finite input-output data is a function which fits the data. Let us say that a topological space  $X$  has a continuous interpolation if interpolation functions can be selected continuously, more precisely, if there is a continuous map from a certain subspace of the hyperspace  $F(X \times \mathbf{R})$  of finite subsets of  $X \times \mathbf{R}$  to the Banach space  $C(X)$  of bounded real-valued continuous functions on  $X$ . The concept of weakly continuous interpolation is also introduced. The real line has a continuous interpolation. Every metrizable space has a weakly continuous interpolation. On the other hand,  $\omega_1$  and  $\beta\omega$  do not have weakly continuous interpolations.

### 1. Introduction

All topological spaces considered here are Tychonoff. Basic terminology is found in [2], [4]. The space of real numbers is denoted by  $\mathbf{R}$ . Let  $X$  be a topological space. The space  $C(X)$  is the Banach space of all bounded real-valued continuous functions, with the sup norm:  $\|f\|_\infty = \sup\{|f(x)| : x \in X\}$  for  $f \in C(X)$ . The space  $F(X \times \mathbf{R})$  is the hyperspace consisting of all finite subsets of the product space  $X \times \mathbf{R}$ , with the Vietoris topology [5]. Hence basic neighborhoods of  $\{(x_1, r_1), (x_2, r_2), \dots, (x_n, r_n)\} \in F(X \times \mathbf{R})$  are given by:

$$\langle U_1 \times V_1, U_2 \times V_2, \dots, U_n \times V_n \rangle \\ = \left\{ D \in F(X \times \mathbf{R}) : D \subset \bigcup_{k=1}^n U_k \times V_k, D \cap (U_k \times V_k) \neq \emptyset \ (k = 1, 2, \dots, n) \right\},$$

where  $U_k$  is a neighborhood of  $x_k$  in  $X$  and  $V_k$  is a neighborhood of  $r_k$  in  $\mathbf{R}$  for  $k = 1, 2, \dots, n$ . Let  $S(X)$  be the subspace of  $F(X \times \mathbf{R})$  defined by

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$$S(X) = \{(x_1, r_1), \dots, (x_n, r_n)\} : x_i \neq x_j \text{ for } i \neq j\}.$$

For each  $n = 1, 2, \dots$ , define  $F_n(X \times \mathbf{R})$  and  $S_n(X)$  by:

$$F_n(X \times \mathbf{R}) = \{D \in F(X \times \mathbf{R}) : D \text{ has at most } n \text{ points}\},$$

$$S_n(X) = S(X) \cap F_n(X \times \mathbf{R}).$$

Notice that  $S_{n-1}(X)$  is closed in  $S_n(X)$ .

For a point  $D = \{(x_1, r_1), (x_2, r_2), \dots, (x_n, r_n)\} \in S(X)$ , a function  $f_D$  in  $C(X)$  is called an interpolation function for  $D$  if

$$f_D(x_1) = r_1, f_D(x_2) = r_2, \dots, f_D(x_n) = r_n$$

are satisfied [1]. Suppose that  $X$  is the input space and  $\mathbf{R}$  is the output space of some system. Then the point  $D$  is considered as a set of finite input-output data. The interpolation function  $f_D$  is a function which fits the given data. It is obvious that for every  $D \in S(X)$  there is an interpolation function  $f_D$  for  $D$ , since  $X$  is Tychonoff. Hence we can consider the map  $\Theta : S(X) \rightarrow C(X)$  defined by  $\Theta(D) = f_D$ . Since similar maps under the statistical frameworks are called learning algorithms in learning theory [6], this map  $\Theta$  might be called an interpolation algorithm in a vague sense. Further we are interested in the case when this interpolation algorithm has some kind of continuity or stability. Let us call the map  $\Theta$  to be a continuous interpolation of  $X$  if  $\Theta$  is continuous as a map between the topological spaces  $S(X)$  and  $C(X)$ . In case  $\Theta$  satisfies the weaker condition that the restriction  $\Theta|_{S_n(X) - S_{n-1}(X)}$  is continuous for each  $n = 1, 2, \dots$ , we call  $\Theta$  to be a weakly continuous interpolation. That is, the interpolation  $\Theta$  is weakly continuous if for any  $D = \{(x_1, r_1), \dots, (x_n, r_n)\} \in S(X)$  and any  $\varepsilon > 0$ , there is a neighborhood  $W = \langle U_1 \times V_1, \dots, U_n \times V_n \rangle$  of  $D$  such that  $\|f_{D'} - f_D\|_\infty < \varepsilon$  for any  $D' = \{(x'_1, r'_1), \dots, (x'_n, r'_n)\} \in W \cap S_n(X)$ . Hence this weak continuity can be called a topological stability of interpolation algorithms like the stabilities of learning algorithms [6]. Our purpose of this paper is to discuss whether a given topological space has a (weakly) continuous interpolation or not. The following are obvious, but fundamental in our argument.

**THEOREM 1.** *Every discrete space has a (weakly) continuous interpolation.*

**THEOREM 2.** *If  $X$  has a (weakly) continuous interpolation, then every subspace of  $X$  has a (weakly) continuous interpolation.*

**THEOREM 3.** *Let  $\tau_1$  and  $\tau_2$  be topologies on a set  $X$ . If  $\tau_1$  is weaker than*

$\tau_2$  and  $(X, \tau_1)$  has a (weakly) continuous interpolation, then  $(X, \tau_2)$  has a (weakly) continuous interpolation.

## 2. Metrizable Spaces and Continuous Interpolations

In our framework, the following simple fact is also fundamental.

**THEOREM 4.** *The real line  $\mathbf{R}$  has a continuous interpolation.*

**PROOF.** Let

$$D = \{(x_1, r_1), (x_2, r_2), \dots, (x_n, r_n)\}$$

be an arbitrary point in  $S(\mathbf{R})$ . We can assume that

$$x_1 < x_2 < \dots < x_n.$$

Let us consider the function  $f_D \in C(\mathbf{R})$  defined by

$$f_D(x) = \begin{cases} r_1 & \text{for } x \leq x_1 \\ r_{i-1} + (x - x_{i-1}) \frac{r_i - r_{i-1}}{x_i - x_{i-1}} & \text{for } x_{i-1} < x \leq x_i, i = 2, \dots, n \\ r_n & \text{for } x_n < x. \end{cases}$$

Obviously  $f_D$  is an interpolation function for  $D$ . It must be checked that the map  $\Theta : S(X) \rightarrow C(X)$  defined by  $\Theta(D) = f_D$  is continuous.

For  $D = \{(x_1, r_1), \dots, (x_n, r_n)\} \in S(\mathbf{R})$ , let

$$m = \min\{|x_1 - x_2|, \dots, |x_{n-1} - x_n|\}, \quad M = \max\{|r_1|, \dots, |r_n|\}.$$

In case  $n = 1$ , let  $m$  be an arbitrary positive number. For any  $\varepsilon$  such that  $0 < \varepsilon < 1$  let  $\delta = \frac{1}{2} \min\left\{\frac{m}{3}, \frac{m\varepsilon}{18(M+1)}\right\}$ . Now, consider the following neighborhood of  $D$ :

$$W = \langle U_\delta(x_1) \times V_{\varepsilon/3}(r_1), \dots, U_\delta(x_n) \times V_{\varepsilon/3}(r_n) \rangle,$$

where  $U_\delta(x_i)$  is the  $\delta$ -neighborhood of  $x_i$  and  $V_{\varepsilon/3}(r_i)$  is the  $\varepsilon/3$ -neighborhood of  $r_i$  for  $i = 1, \dots, n$ . We will show that  $\|f_D - f_{D'}\|_\infty < \varepsilon$  for any  $D' \in W$ . Let  $D' = \{(x'_1, r'_1), \dots, (x'_m, r'_m)\}$ , where  $x'_1 < \dots < x'_m$  is satisfied. Then there is the increasing map  $\sigma : \{1, \dots, m\} \rightarrow \{1, \dots, n\}$  which satisfies  $(x'_j, r'_j) \in U_\delta(x_{\sigma(j)}) \times V_{\varepsilon/3}(r_{\sigma(j)})$  for any  $j = 1, \dots, m$ . Since it suffices to show that  $|f_D(x) - f_{D'}(x)| < \varepsilon$  for any  $x \in \mathbf{R}$ , let  $x$  be an arbitrary point in  $\mathbf{R}$ . (1) First, assume that  $x \leq x_1 - \delta$ . Then  $f_D(x) = r_1$ . Further it must be satisfied that  $x < x'_1$ , and hence  $f_{D'}(x) = r'_1$ . Since  $|r_1 - r'_1| < \varepsilon/3$ , it is obvious that  $|f_D(x) - f_{D'}(x)| < \varepsilon/3$ . In the case that  $x \geq x_n + \delta$ , similar argument above implies that  $|f_D(x) - f_{D'}(x)| < \varepsilon/3$ . (2) Next,

we consider the case when there is some  $i$  such that  $|x - x_i| < \delta$ . Notice that for each  $k = 2, \dots, n$  the absolute value of the slope  $\frac{r_k - r_{k-1}}{x_k - x_{k-1}}$  of the line connecting  $(x_{k-1}, r_{k-1})$  and  $(x_k, r_k)$  is less than  $\frac{2(M+1)}{m}$ . Therefore if  $x_{k-1} \leq y \leq z \leq x_k$  and  $|y - z| < \delta$  are satisfied, then we obtain that  $|f_D(y) - f_D(z)| < \frac{m\epsilon}{18(M+1)} \frac{2(M+1)}{m} = \epsilon/9$ . Hence in the present case  $|f_D(x) - r_i| < \epsilon/9$  is satisfied. On the other hand, there is some  $j$  such that  $x'_j \leq x \leq x'_{j+1}$ . If  $\sigma(j) = \sigma(j+1) = i$ , then  $|r'_j - r_i|, |r'_{j+1} - r_i| < \epsilon/3$ . Since  $r_i - \epsilon/3 < \min\{r'_j, r'_{j+1}\} \leq f_{D'}(x) \leq \max\{r'_j, r'_{j+1}\} < r_i + \epsilon/3$ , the inequality  $|f_{D'}(x) - r_i| < \epsilon/3$  is also satisfied. Hence  $|f_D(x) - f_{D'}(x)| < 2\epsilon/3$ . If  $\sigma(j) = i$  and  $\sigma(j+1) = i+1$ , then  $|x'_j - x'_{j+1}| \geq m - 2\delta \geq 2m/3$ . Hence the absolute value of the slope of the line connecting  $(x'_j, r'_j)$  and  $(x'_{j+1}, r'_{j+1})$  is less than  $\frac{3(M+1)}{m}$ . It follows that  $|f_{D'}(x) - r'_j| \leq \epsilon/6$ . This implies that  $|f_D(x) - f_{D'}(x)| \leq |f_D(x) - r_i| + |r_i - r'_j| + |r'_j - f_{D'}(x)| < \epsilon/9 + \epsilon/3 + \epsilon/6 < \epsilon$ . Similarly, if  $\sigma(j) = i-1$  and  $\sigma(j+1) = i$ , it is proved that  $|f_D(x) - f_{D'}(x)| < \epsilon$ . (3) Finally, assume that  $x_i + \delta \leq x \leq x_{i+1} - \delta$  for some  $i = 1, \dots, n-1$ . The number  $k = \max \sigma^{-1}(i)$  is settled and it must be satisfied that  $\sigma(k+1) = i+1$ . Since  $x'_k < x_i + \delta$  and  $x_{i+1} - \delta < x'_{k+1}$ , it is satisfied that  $x'_k < x < x'_{k+1}$ . Let  $x_i^r = \max\{x_i, x'_k\}$ ,  $x_{i+1}^l = \min\{x_{i+1}, x'_{k+1}\}$ . Since  $|x_i^r - x_i|, |x_{i+1}^l - x_{i+1}| < \delta$ , it follows that  $|f_D(x_i^r) - f_{D'}(x_i^r)|, |f_D(x_{i+1}^l) - f_{D'}(x_{i+1}^l)| < \epsilon$  by using the result of the case (2). Since  $f_D, f_{D'}$  are linear on the interval  $x_i^r \leq x \leq x_{i+1}^l$ , it is obvious that  $|f_D(x) - f_{D'}(x)| < \epsilon$  for any  $x$  such that  $x_i + \delta \leq x \leq x_{i+1} - \delta$ .

**COROLLARY 1.** *The Sorgenfrey line and the Michael line have continuous interpolations.*

It seems difficult to extend the result of Theorem 4 to higher dimensional Euclidean spaces  $\mathbf{R}^n$ . However, we can show that  $\mathbf{R}^n$  has a weakly continuous interpolation. More generally the following is obtained.

**THEOREM 5.** *Every metrizable space has a weakly continuous interpolation.*

**PROOF.** Let  $(X, d)$  be a metric space. For any  $D = \{(x_1, r_1), \dots, (x_n, r_n)\} \in S(X)$ , let

$$M = \max\{|r_1|, \dots, |r_n|\}, \quad m = \min\{d(x_i, x_j) : i \neq j\}.$$

Then the function  $f_D \in C(X)$  is defined by

$$f_D(x) = \begin{cases} 0 & \text{if } d(x, x_i) \geq m/4 \text{ for each } i = 1, \dots, n \\ r_i - \frac{4r_i}{m}d(x, x_i) & \text{if } d(x, x_i) < m/4 \text{ for some } i = 1, \dots, n. \end{cases}$$

In case  $D = \{(x_1, r_1)\} \in S_1(X)$ , let  $m = \infty$  and hence  $f_D(x) = r_1$  for each  $x \in X$ . It is obvious that  $f_D$  is an interpolation function for  $D$ . We will show that the map  $\Theta : S(X) \rightarrow C(X)$  defined by  $\Theta(D) = f_D$  is weakly continuous. Since the continuity of  $\Theta|_{S_1(X)}$  is obvious, we can assume that  $n > 1$ . For the above  $D$  and an arbitrary  $(1 >)\varepsilon > 0$ , let  $\delta > 0$  be a real number such that

$$\delta < \min \left\{ \frac{m}{8}, \frac{m\varepsilon}{32(M+1)} \right\}.$$

Since the absolute value  $\left| \frac{4r_i}{m} \right|$  of the coefficient of  $d(x, x_i)$  used in the definition of  $f_D$  is less than  $\frac{4(M+1)}{m}$ , the inequality  $\frac{4(M+1)}{m} 2\delta < \varepsilon/4$  implies the following.

Claim. If  $x, y \in X$  satisfy  $d(x, y) < 2\delta$ , then  $|f_D(x) - f_D(y)| < \varepsilon/4$ .

It suffices to show that  $\|f_{D'} - f_D\|_\infty < \varepsilon$  for  $D' = \{(x'_1, r'_1), \dots, (x'_n, r'_n)\} \in S_n(X)$  which satisfies

$$d(x'_i, x_i) < \delta, \quad |r'_i - r_i| < \varepsilon/4 \quad \text{for } i = 1, \dots, n.$$

For this  $D'$ , the numbers  $M' = \max\{|r'_1|, \dots, |r'_n|\}$ ,  $m' = \min\{d(x'_i, x'_j) : i \neq j\}$  are also defined. The inequalities  $M' < M + 1$ ,  $m - 2\delta < m' < m + 2\delta$  are obvious. Let  $x$  be an arbitrary point in  $X$ . Assume that  $d(x, x_i) \geq m/4$  for each  $i$ , then  $f_D(x) = 0$ . On the other hand, for this point  $x$  it is satisfied that  $f_{D'}(x) = 0$  or  $0 < |f_{D'}(x)| \leq \left| r'_i - \frac{4r'_i}{m'} d(x, x'_i) \right|$  for some  $i$ . Even in the latter case, since  $\frac{m'}{4} > d(x, x'_i) \geq d(x, x_i) - d(x'_i, x_i) > \frac{m'}{4} - \frac{3}{2}\delta$  and hence  $|f_{D'}(x)| \leq \left| r'_i - \frac{4r'_i}{m'} \left( \frac{m'}{4} - \frac{3}{2}\delta \right) \right| \leq \left| \frac{6r'_i}{m'} \delta \right| < \frac{6(M+1)}{m-2\delta} \delta < \varepsilon/4$ , it follows that  $|f_D(x) - f_{D'}(x)| < \varepsilon/4$ . Next, assume that  $d(x, x_i) < m/4$  for some  $i$ . If  $|r_i| \leq \varepsilon/4$ , then  $|f_D(x)| \leq \varepsilon/4$ . Further the inequality  $|r'_i| \leq \varepsilon/2$  is satisfied. Then  $|f_{D'}(x)| \leq \varepsilon/2$ , and hence  $|f_D(x) - f_{D'}(x)| \leq 3\varepsilon/4$ . The remaining is the case  $|r_i| > \varepsilon/4$ . Let

$$a = r_i - \frac{4r_i}{m} d(x, x_i), \quad b = r_i - \frac{4r_i}{m} d(x, x'_i),$$

$$c = r_i - \frac{4r_i}{m - 2\delta} d(x, x'_i), \quad c' = r_i - \frac{4r_i}{m + 2\delta} d(x, x'_i),$$

$$d_1 = r_i - \varepsilon/4 - \frac{4(r_i + \varepsilon/4)}{m - 2\delta} d(x, x'_i), \quad d'_1 = r_i + \varepsilon/4 - \frac{4(r_i - \varepsilon/4)}{m + 2\delta} d(x, x'_i),$$

$$d_2 = r_i - \varepsilon/4 - \frac{4(r_i + \varepsilon/4)}{m + 2\delta} d(x, x'_i), \quad d'_2 = r_i + \varepsilon/4 - \frac{4(r_i - \varepsilon/4)}{m - 2\delta} d(x, x'_i).$$

Since  $f_D(x) = a$  and either  $d_1 < f_{D'}(x) < d'_1$  or  $d_2 < f_{D'}(x) < d'_2$  are satisfied according to  $r_i > \varepsilon/4$  or  $r_i < -\varepsilon/4$ , if it is proved that  $|a - d'_1|, |a - d_1|, |a - d_2|, |a - d'_2| < \varepsilon$  then we have  $|f_D(x) - f_{D'}(x)| < \varepsilon$ .

- (1)  $|a - b| < \varepsilon/8$ : In fact,  $|a - b| = \left| \frac{4r_i}{m} (d(x, x_i) - d(x, x'_i)) \right| \leq \frac{4(M+1)}{m} \delta < \varepsilon/8$ .
- (2)  $|b - c| < \varepsilon/8$ : This follows from  $|b - c| = \left| r_i |d(x, x'_i)| \left| \frac{4}{m} - \frac{4}{m-2\delta} \right| \right| = |r_i| d(x, x'_i) \left| \frac{8\delta}{m(m-2\delta)} \right| < (M+1) \frac{3m}{8} \frac{8}{m-m/4} \frac{m\varepsilon}{32(M+1)} = \varepsilon/8$ .
- (3)  $|b - c'| < \varepsilon/8$ :  $|b - c'| = \left| r_i |d(x, x'_i)| \left| \frac{4}{m} - \frac{4}{m+2\delta} \right| \right| = |r_i| d(x, x'_i) \frac{8\delta}{m(m+2\delta)} < (M+1) \frac{3m}{8} \frac{8}{m+m/4} \frac{m\varepsilon}{32(M+1)} < \varepsilon/8$ .
- (4)  $|c - d_1| < \frac{3}{4}\varepsilon$ :  $|c - d_1| = \left| \varepsilon/4 + \frac{\varepsilon}{m-2\delta} d(x, x'_i) \right| \leq \varepsilon/4 + \left| \frac{\varepsilon}{m-2\delta} \right| d(x, x'_i) < \varepsilon/4 + \frac{4\varepsilon}{3m} \frac{3m}{8} = \varepsilon/4 + \varepsilon/2 = 3\varepsilon/4$ .
- (5)  $|c' - d'_1| < \frac{3}{4}\varepsilon$ :  $|c' - d'_1| = \left| -\varepsilon/4 - \frac{\varepsilon}{m+2\delta} d(x, x'_i) \right| \leq \varepsilon/4 + \frac{\varepsilon}{m+2\delta} d(x, x'_i) < \varepsilon/4 + \frac{4\varepsilon}{5m} \frac{3m}{8} < 3\varepsilon/4$ .
- (6)  $|c' - d_2| = |c - d_1| < 3\varepsilon/4$ .
- (7)  $|c - d'_2| = |c' - d'_1| < 3\varepsilon/4$ .
- Hence  $|a - d'_1|, |a - d_1|, |a - d_2|, |a - d'_2| < \varepsilon/8 + \varepsilon/8 + 3\varepsilon/4 = \varepsilon$ .

**COROLLARY 2.** *Let  $X$  be a space whose topology is stronger than a metrizable topology. Then  $X$  has a weakly continuous interpolation.*

### 3. Spaces without Continuous Interpolations

As we see in this section, it is delicate whether a given space has a (weakly) continuous interpolation or not.

**THEOREM 6.** *The ordered space  $\omega_1$  of the first uncountable ordinal does not have a weakly continuous interpolation.*

**PROOF.** Assume that there is a weakly continuous interpolation  $\Theta : S(\omega_1) \rightarrow C(\omega_1)$ . Let  $\alpha_0 = 0$  and  $W_0$  be the set of all limit ordinals in  $\omega_1$ . For each  $\lambda \in W_0$ , let  $D_\lambda^0 = \{(\alpha_0, 0), (\lambda, 1)\} \in S_2(\omega_1)$ . Then the function  $f_\lambda^0 = \Theta(D_\lambda^0)$  is obtained. Since this function is continuous at  $\lambda$  and  $f_\lambda^0(\lambda) = 1$ , there exists  $\mu_\lambda^0 < \lambda$  such that  $|f_\lambda^0(x) - 1| < 1/4$  for any  $x$  which satisfies  $\mu_\lambda^0 < x \leq \lambda$ . Using the pressing down lemma [4] for the function  $\lambda \mapsto \mu_\lambda^0$ , there exist an ordinal  $\alpha_1$  and a stationary subset  $W_1$  of  $W_0$  such that  $\mu_\lambda^0 = \alpha_1$  for any  $\lambda \in W_1$ . Repeat the similar procedures. Then we obtain a sequence

$$\alpha_0 < \alpha_1 < \dots$$

of points in  $\omega_1$  and a sequence

$$W_0 \supset W_1 \supset \dots$$

of stationary sets in  $\omega_1$  such that for any  $i = 1, 2, \dots$  and any  $\lambda \in W_i$ , the function  $f_\lambda^{i-1} = \Theta(\{(\alpha_{i-1}, 0), (\lambda, 1)\})$  satisfies

$$|f_\lambda^{i-1}(x) - 1| < 1/4 \quad (*)$$

for any  $x$  such that  $\alpha_i < x \leq \lambda$ . Now, let  $\tilde{\alpha} = \lim_{n \rightarrow \infty} \alpha_n$ . We can take another sequence of ordinals  $(\tilde{\alpha} <) \beta_0 < \beta_1 < \dots$  such that  $\beta_i \in \mathcal{W}_i$  for each  $i$ . Let  $\tilde{\beta} = \lim_{n \rightarrow \infty} \beta_n$  in  $\omega_1$ . Then for  $\tilde{D} = \{(\tilde{\alpha}, 0), (\tilde{\beta}, 1)\} \in \mathcal{S}_2(\omega_1)$  there is the corresponding function  $f_{\tilde{D}} = \Theta(\tilde{D})$ . Since  $\Theta|_{\mathcal{S}_2(\omega_1) - \mathcal{S}_1(\omega_1)}$  is continuous at  $\tilde{D}$ , there are neighborhoods  $U_{\tilde{\alpha}}$  of  $\tilde{\alpha}$  and  $V_{\tilde{\beta}}$  of  $\tilde{\beta}$  which satisfy the following: For any  $\alpha \in U_{\tilde{\alpha}}$  and  $\beta \in V_{\tilde{\beta}}$ , the function  $f_{\alpha\beta} = \Theta(\{(\alpha, 0), (\beta, 1)\})$  satisfies  $\|f_{\alpha\beta} - f_{\tilde{D}}\|_\infty < 1/4$  and hence  $|f_{\alpha\beta}(\tilde{\alpha})| < 1/4$  and  $|f_{\alpha\beta}(\tilde{\beta}) - 1| < 1/4$ . Since  $\alpha_n \in U_{\tilde{\alpha}}$  and  $\beta_n \in V_{\tilde{\beta}}$  for sufficiently large  $n$ , it follows that  $|f_{\alpha_n \beta_n}(\tilde{\alpha})| < 1/4$  for sufficiently large  $n$ . But this is a contradiction, since the above condition (\*) implies that  $|f_{\alpha_n \beta_n}(\tilde{\alpha}) - 1| < 1/4$ .

**COROLLARY 3.** *Every topological space containing  $\omega_1$  does not have a weakly continuous interpolation.*

The space  $\omega_1$  is first-countable and countably compact. On the other hand, every countably compact space which has a weakly continuous interpolation must be nearly first-countable in the following sense.

**THEOREM 7.** *Let  $X$  be a countably compact space which has a weakly continuous interpolation. Then the tightness  $\tau(X)$  of  $X$  is countable.*

**PROOF.** Assume that  $\tau(X) > \omega$  and that  $X$  has a weakly continuous interpolation  $\Theta : D \mapsto f_D$ . Then there are a subset  $A$  of  $X$  and a point  $p \in cl_X A$  such that  $p \notin cl_X B$  for any countable subset  $B$  of  $A$ . We can assume further that  $cl_X B \subset A$  for any countable subset  $B$  of  $A$ .

Let  $x_0$  be an arbitrary point in  $A$  and let  $D_0 = \{(x_0, 1), (p, 0)\} \in \mathcal{S}_2(X)$ . Then there is a point  $x_1 \in f_{D_0}^{-1}(0) \cap A$ , since  $X$  is countably compact and has the property further assumed above. Next, let  $D_1 = \{(x_1, 1), (p, 0)\}$ . Then there is a point  $x_2 \in f_{D_0}^{-1}(0) \cap f_{D_1}^{-1}(0) \cap A$ . Continuing this procedure, we obtain a sequence  $\{x_i : i \in \omega\}$  of points in  $A$  such that for any  $n \in \omega$

$$x_n \notin x_{n+1} \in f_{D_0}^{-1}(0) \cap \dots \cap f_{D_n}^{-1}(0),$$

where  $D_i = \{(x_i, 1), (p, 0)\}$  for each  $i \in \omega$ . Since  $X$  is countably compact, there is an accumulation point  $x_\infty$  of  $\{x_i : i \in \omega\}$ . The procedure of constructing  $\{x_i : i \in \omega\}$  implies that  $x_\infty \neq p$  and  $x_\infty \in \bigcap \{f_{D_i}^{-1}(0) : i \in \omega\}$ . Consider the point  $D_\infty = \{(x_\infty, 1), (p, 0)\} \in \mathcal{S}_2(X)$ . Then there exists a neighborhood  $W = \langle U_\infty \times V_1, U_p \times V_0 \rangle$  of  $D_\infty$  such that  $\|f_{D'} - f_{D_\infty}\|_\infty < 1/2$  and hence especially  $|f_{D'}(x_\infty) - 1| < 1/2$  for any  $D' \in W \cap (\mathcal{S}_2(X) - \mathcal{S}_1(X))$ . But this is a contra-

diction, since there exists  $n$  such that  $D_n \in W \cap (S_2(X) - S_1(X))$ . In fact, for this  $D_n$  it must be satisfied that  $f_{D_n}(x_\infty) = 0$ .

**COROLLARY 4.** *The ordered space  $\omega_1 + 1$  does not have a weakly continuous interpolation.*

For the discrete space  $D(\omega_1)$  of cardinality  $\omega_1$ , let  $D(\omega_1) \cup \{\infty_A\}$  be the one-point compactification of  $D(\omega_1)$ , i.e. the complement of every neighborhood of  $\infty_A$  is a finite subset of  $D(\omega_1)$ . The one-point Lindelöfication  $D(\omega_1) \cup \{\infty_L\}$  of  $D(\omega_1)$  is the space obtained by adding a point  $\infty_L$  with the neighborhood base consisting of co-countable sets.

**THEOREM 8.** *The one-point Lindelöfication  $D(\omega_1) \cup \{\infty_L\}$  has a continuous interpolation.*

**PROOF.** We can assume that the underlying set of  $D(\omega_1) \cup \{\infty_L\}$  is  $\omega_1 + 1$  as  $\infty_L = \omega_1$ . For  $D = \{(\alpha_1, r_1), \dots, (\alpha_n, r_n)\} \in \mathcal{S}(D(\omega_1) \cup \{\infty_L\})$ , where  $\alpha_1 < \dots < \alpha_n$ , let  $f_D \in C(D(\omega_1) \cup \{\infty_L\})$  be the function defined by

$$f_D(\alpha) = \begin{cases} r_1 & \text{for } \alpha \leq \alpha_1 \\ r_i & \text{for } \alpha_{i-1} < \alpha \leq \alpha_i, i = 2, \dots, n-1 \\ r_n & \text{for } \alpha_{n-1} < \alpha. \end{cases}$$

It is easy to see that the map  $\Theta$  defined by  $\Theta(D) = f_D$  is a continuous interpolation of  $D(\omega_1) \cup \{\infty_L\}$ .

**THEOREM 9.** *The one-point compactification  $D(\omega_1) \cup \{\infty_A\}$  does not have a weakly continuous interpolation.*

**PROOF.** The underlying set of the space  $X = D(\omega_1) \cup \{\infty_A\}$  is also the well-ordered set  $\omega_1 + 1$  as above. Assume that  $D(\omega_1) \cup \{\infty_A\}$  has a weakly continuous interpolation  $\Theta : D \mapsto f_D$ . Since any real-valued continuous function on  $D(\omega_1) \cup \{\infty_A\}$  is constant on a co-countable set and  $\Theta$  is continuous on  $S_2(X) - S_1(X)$ , there exists  $\gamma_0 < \omega_1$  such that

$$f_{D_{\alpha\beta}}(\infty_A) = 0$$

for any  $D_{\alpha\beta} = \{(\alpha, 1), (\beta, 0)\}$  such that  $\alpha < \omega$  and  $\beta > \gamma_0$ .

Let  $\beta_0 \in D(\omega_1)$  be a point larger than  $\gamma_0$ . Consider  $D_0 = \{(\beta_0, 0), (\infty_A, 1)\}$  in  $S_2(X)$ . Then  $f_{D_0}(\infty_A) = 1$ . Since the restriction  $\Theta|_{S_2(X) - S_1(X)}$  is continuous, there is a neighborhood  $W$  of  $D_0$  in  $S_2(X)$  such that

$$\|f_{D'} - f_{D_0}\|_\infty < 1/2$$

and hence  $|f_{D'}(\infty_A) - 1| < 1/2$  for any  $D' \in \mathcal{W}$ . Since the complement of any neighborhood of  $\infty_A$  in  $D(\omega_1) \cup \{\infty_A\}$  is finite, there exists  $\alpha_0 < \omega$  such that  $D_{\alpha_0\beta_0} = \{(\beta_0, 0), (\alpha_0, 1)\} \in \mathcal{W}$ . Then  $f_{D_{\alpha_0\beta_0}}(\infty_A) > 1/2$ . However, since  $\alpha_0 < \omega$  and  $\gamma_0 < \beta_0$ , the above condition of  $\gamma_0$  implies that  $f_{D_{\alpha_0\beta_0}}(\infty_A) = 0$ . This is a contradiction.

For a point  $p$  in a space  $X$ ,  $\psi(p, X)$  is the pseudo-character of  $X$  at  $p$ . A similar argument to the proof above show the following.

**THEOREM 10.** *Let  $X$  be a space with a point  $p$  such that  $\psi(p, X) > \omega$ . Let  $X \vee_{p\omega}(\omega + 1)$  be the quotient space of the topological sum  $X \oplus (\omega + 1)$ , obtained by the identification of  $p$  with  $\omega$ . Then  $X \vee_{p\omega}(\omega + 1)$  does not have a weakly continuous interpolation.*

**PROOF.** In  $X \vee_{p\omega}(\omega + 1)$ , let  $p_\omega$  be the point corresponding to the set  $\{p, \omega\}$  collapsed. Assume that  $X \vee_{p\omega}(\omega + 1)$  has a weakly continuous interpolation  $\Theta : D \mapsto f_D$ . Since any  $G_\delta$ -set of  $X$  containing  $p$  has an infinite number of points, the weak continuity of  $\Theta$  at  $D_{ip_\omega} = \{(i, 1), (p_\omega, 0)\}$  for each  $i \in \omega$  implies that there exists an infinite  $G_\delta$ -set  $B$  of  $X$  containing  $p$  with the following property: If  $x \in B - \{p\}$  and  $i \in \omega$ , then

$$f_{D_{ix}}(p_\omega) = 0$$

where  $D_{ix} = \{(i, 1), (x, 0)\}$ . Let  $q \in B$  be a point which is distinct from  $p$ . Consider the point  $D_{\omega q} = \{(q, 0), (p_\omega, 1)\}$ . Then  $f_{D_{\omega q}}(p_\omega) = 1$ . On the other hand, any neighborhood  $W$  of  $D_{\omega q}$  in  $S_2(X \vee_{p\omega}(\omega + 1)) - S_1(X \vee_{p\omega}(\omega + 1))$  contains  $D_{iq} = \{(i, 1), (q, 0)\}$  for some  $i \in \omega$ . Since  $f_{D_{iq}}(p_\omega) = 0$  for such  $D_{iq}$ , this contradicts the weak continuity of  $\Theta$ .

**COROLLARY 5.** *Let  $X$  be a space such that  $X \times (\omega + 1)$  has a weakly continuous interpolation. Then the pseudo-character  $\psi(X)$  is countable.*

**PROOF.** Suppose that  $\psi(p, X) > \omega$  for a point  $p$  in  $X$ . The space  $X \vee_{p\omega}(\omega + 1)$  having no weakly continuous interpolation is embedded in  $X \times (\omega + 1)$  as  $X \times \{\omega\} \cup \{p\} \times (\omega + 1)$ .

Let  $X$  be the one-point Lindelöfication  $D(\omega_1) \cup \{\infty_L\}$  and  $Y = \omega + 1$ . Then we obtain the following.

THEOREM 11. *There are spaces  $X, Y$  having continuous interpolations such that  $X \times Y$  does not have a weakly continuous interpolation.*

A subset  $\mathcal{F} \subset C(X)$  is called a separating family of  $X$  if for any distinct points  $p, q$  in  $X$  there exists  $f \in \mathcal{F}$  such that  $f(p) \neq f(q)$ .

THEOREM 12. *If an infinite space  $X$  has a weakly continuous interpolation, then the density  $d(X)$  of  $X$  is larger than or equal to the minimum cardinality of separating families of  $X$ .*

PROOF. There is a weakly continuous interpolation  $\Theta : D \mapsto f_D$  of  $X$ . Assume that  $|\mathcal{F}| > d(X)$  for every separating family  $\mathcal{F}$  of  $X$ . Let  $B$  be a dense subset of  $X$  such that  $|B| = d(X)$ . Consider the subfamily

$$S'(X) = \{D \in S(X) : \text{if } (x, r) \in D, \text{ then } x \in B, r \in \mathbf{Q}\},$$

where  $\mathbf{Q}$  is the set of all rational numbers. Let  $\mathcal{F}_B = \{f_D : D \in S'(X)\}$ . Since

$$|\mathcal{F}_B| \leq |S'(X)| = d(X),$$

$\mathcal{F}_B$  is not a separating family of  $X$ . Hence there are distinct points  $p, q$  in  $X$  such that  $f(p) = f(q)$  for any  $f \in \mathcal{F}_B$ . Take  $D_0 = \{(p, 0), (q, 1)\} \in S_2(X)$ . From the weak continuity of  $\Theta$ , it follows that there is a neighborhood  $W$  of  $D_0$  in  $S_2(X) - S_1(X)$  such that  $\Theta(W)$  is included in the  $1/2$ -ball  $B_{1/2}(f_{D_0})$  of  $f_{D_0}$  in  $C(X)$ . Since  $B \times \mathbf{Q}$  is dense in  $X \times \mathbf{R}$ , there is  $D_1 = \{(p', r), (q', s)\} \in W \cap S'(X)$ . For this  $D_1$ ,

$$\|f_{D_1} - f_{D_0}\|_\infty < 1/2$$

must be satisfied. But this is a contradiction, since

$$f_{D_1}(p) = f_{D_1}(q), \quad f_{D_0}(p) = 0, \quad f_{D_0}(q) = 1.$$

COROLLARY 6. *The uncountable product space  $\{0, 1\}^{\omega_1}$  does not have a weakly continuous interpolation. Hence every space containing  $\{0, 1\}^{\omega_1}$  does not have a weakly continuous interpolation.*

Since  $D(\omega_1) \cup \{\infty_A\}$  can be embedded in  $\{0, 1\}^{\omega_1}$ , this corollary is considered also as a corollary of Theorem 9.

COROLLARY 7. *The Stone-Ćech compactification  $\beta\omega$  of the countably infinite discrete space  $\omega$  does not have a continuous interpolation.*

Since the tightness of  $\beta\omega$  is uncountable, this corollary is also a corollary of Theorem 7. There are more examples which show the delicacy of having weakly continuous interpolations. A family  $\mathcal{A}$  of infinite subsets of  $\omega$  is called an almost disjoint family if the intersection of any two distinct element of  $\mathcal{A}$  is finite [3, 4]. A maximal almost disjoint family is an almost disjoint family  $\mathcal{A}$  with no almost disjoint family  $\mathcal{B}$  properly containing  $\mathcal{A}$ . For each almost disjoint family  $\mathcal{A}$  we can define the topological space  $\Psi(\mathcal{A}) = \omega \cup \mathcal{A}$ , with the following topology: The points of  $\omega$  are isolated, while a neighborhood of a point  $A \in \mathcal{A}$  is any set containing  $A$  and all but a finite number of points of  $A(\subset \omega)$  [3].

**THEOREM 13.** (1) *There exists an almost disjoint family  $\mathcal{A}$  of cardinality  $2^\omega$  such that  $\Psi(\mathcal{A})$  has a weakly continuous interpolation.*

(2) *There exists an almost disjoint family  $\mathcal{M}$  of cardinality  $2^\omega$  such that  $\Psi(\mathcal{M})$  does not have a weakly continuous interpolation.*

**PROOF.** (1) Let us consider the following topology  $\tau$  on the upper half-plane  $\mathbf{R} \times [0, \infty)$ , which is similar to the Niemytzki tangent disc topology: Neighborhoods of all points  $(x, y)$  with  $y \neq 0$  are unchanged from those of the Euclidean topology and taking as a base at each point  $(r, 0)$  the family  $\{(r, 0)\} \cup U_n(r) : n = 1, 2, \dots\}$ , where

$$U_n(r) = \{(x, y) \in \mathbf{R} \times (0, \infty) : |x - r| < y < 1/n\}.$$

Since  $\tau$  is stronger than the Euclidean topology, every subspace of this upper half-plane with the topology  $\tau$  has a weakly continuous interpolation. Let  $\{q_n : n \in \omega\}$  be an enumeration of all rational numbers, and let  $\phi : \omega \times \mathbf{Z} \rightarrow \mathbf{R} \times (0, \infty)$  be the one-to-one map defined by  $\phi(n, m) = (q_n + m/(n + 1), 1/(n + 1))$ , where  $\mathbf{Z}$  is the set of all integers. Then the subspace

$$X = \{\phi(n, m) : (n, m) \in \omega \times \mathbf{Z}\} \cup \mathbf{R} \times \{0\}$$

of  $(\mathbf{R} \times [0, \infty), \tau)$  has a weakly continuous interpolation. Let  $\psi : \omega \rightarrow \omega \times \mathbf{Z}$  be a bijection. For each  $r \in \mathbf{R}$ , let  $A_r = \{n \in \omega : \phi \circ \psi(n) \in U_1(r)\}$ . Then the family  $\mathcal{A} = \{A_r : r \in \mathbf{R}\}$  is an almost disjoint family. It is easy to see that  $\Psi(\mathcal{A})$  is homeomorphic to  $X$ .

(2) It is well known that there exists a maximal almost disjoint family  $\mathcal{M}$  of cardinality  $2^\omega$ . Since the density of  $\Psi(\mathcal{M})$  is countable, it suffices to show that the cardinality of every separating family of  $\Psi(\mathcal{M})$  is greater than  $\omega$ . Assume that there is a countable separating family  $\mathcal{F}$  of  $\Psi(\mathcal{M})$ . Then the product map  $\pi_{\mathcal{F}} : \Psi(\mathcal{M}) \rightarrow \mathbf{R}^{\mathcal{F}}$  defined by  $\pi_{\mathcal{F}}(x) = (f(x))_{f \in \mathcal{F}}$  is one-to-one and continuous.

Since  $\Psi(\mathcal{M})$  is pseudocompact and  $\mathbf{R}^{\mathcal{F}}$  is metrizable, the image  $\pi_{\mathcal{F}}(\Psi(\mathcal{M}))$  of this continuous map must be compact. For any  $x \in \Psi(\mathcal{M})$  and any neighborhood  $U$  of  $x$  in  $\Psi(\mathcal{M})$ , there is a real-valued continuous function  $f_{x,U} : \Psi(\mathcal{M}) \rightarrow [0, 1]$  such that  $f_{x,U}(x) = 0$ ,  $f_{x,U}|_{\Psi(\mathcal{M})-U} = 1$ . Now, consider the family  $\mathcal{F}' = \mathcal{F} \cup \{f_{x,U}\}$  obtained by adding one more function  $f_{x,U}$  to  $\mathcal{F}$ . Then there exists also the map  $\pi_{\mathcal{F}'} : \Psi(\mathcal{M}) \rightarrow \mathbf{R}^{\mathcal{F}'}$  and its compact image  $\pi_{\mathcal{F}'}(\Psi(\mathcal{M}))$ , in which  $\pi_{\mathcal{F}'}(U)$  is a neighborhood of  $\pi_{\mathcal{F}'}(x)$ . Since the natural projection  $P : \mathbf{R}^{\mathcal{F}'} \rightarrow \mathbf{R}^{\mathcal{F}}$  is continuous, the restriction  $P|_{\pi_{\mathcal{F}'}(\Psi(\mathcal{M}))} : \pi_{\mathcal{F}'}(\Psi(\mathcal{M})) \rightarrow \pi_{\mathcal{F}}(\Psi(\mathcal{M}))$  is a one-to-one continuous map between compact spaces and hence a homeomorphism. This means that  $\pi_{\mathcal{F}}(U)$  is a neighborhood of  $\pi_{\mathcal{F}}(x)$  for any  $x \in \Psi(\mathcal{M})$  and any neighborhood  $U$  of  $x$ . It follows that  $\Psi(\mathcal{M})$  is homeomorphic to  $\pi_{\mathcal{F}}(\Psi(\mathcal{M}))$ , but this is a contradiction since  $\Psi(\mathcal{M})$  is neither compact nor metrizable.

The following problems seem to be interesting.

**PROBLEM 1.** *Does every separable metrizable space have a continuous interpolation?*

This is equivalent to the problem: Does the Hilbert cube  $I^{\omega}$  or the countable product  $\mathbf{R}^{\omega}$  have a continuous interpolation?

**PROBLEM 2.** *Does every space contain a dense subspace which has a (weakly) continuous interpolation?*

**ADDENDUM.** The author was recently pointed out by K. Sakai that the answer of Problem 1 is positive.

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