

# Smoking cessation and COPD mortality among Japanese men and women:

## The JACC study

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1 **Objective.** To investigate an effect of smoking cessation on chronic obstructive pulmonary  
2 disease (COPD) mortality in Asians.

3 **Method.** The data was obtained from the Japan Collaborative Cohort Study for Evaluation  
4 of Cancer Risk (JACC Study). A total of 41,465 Japanese men and 52,662 Japanese women  
5 aged 40-79 years who had no history of COPD, asthma, other chronic lung diseases,  
6 cardiovascular disease or cancer were followed between 1988 and 2008.

7 **Results.** During median 18-year of follow-up, there were 285 (251 men and 34 women)  
8 documented deaths from COPD. Multivariable-adjusted hazard ratios with 95% confidence  
9 intervals of COPD death were 4.46 (2.72-7.29) and 9.26 (4.19-20.5), respectively for  
10 current male and female smokers when compared to never smokers. Compared with current  
11 smokers, the multivariable HRs for 5-9 years and 10 years or more smoking cessation prior  
12 to baseline were 0.44 (0.22-0.87) and 0.36 (0.22-0.58) in men, respectively while the HR  
13 for never smokers was 0.30 (0.16-0.57). There were an insufficient number of COPD  
14 deaths in women to clarify this association.

15 **Conclusion.** Smoking cessation for ten years or more prior to enrollment reverses the  
16 excess risk of COPD mortality to a level similar to that observed among never smokers in  
17 men.

18 **Keywords:** Smoking Cessation; COPD; Mortality; Prospective Study; Epidemiology

## 19 **Introduction**

20       Chronic obstructive pulmonary disease (COPD) is a long-standing, crippling disease  
21 characterized by accelerated decline of lung function. The disease is commonly brought by  
22 aging and long-term tobacco smoking([Burrows et al., 1977](#); [Fletcher and Peto, 1977](#)). The  
23 latest report from World Health Organization described that COPD is the only major cause  
24 of deaths that has increased in recent years and that it is predicted to become the third  
25 leading cause of death worldwide by 2030, both are due to the expansion of smoking habit  
26 in developing world and increasing life expectancy([WHO report, 2008](#)). Recent updated  
27 international guidelines define COPD as a preventable and treatable disease by smoking  
28 cessation that convincingly palliates the accelerated lung function decline([Rabe et al.,](#)  
29 [2007](#)).

30       Several prospective studies have described lower COPD mortality risks in former  
31 smokers compared to continuous smokers([Carstensen et al., 1987](#); [Doll et al., 1980](#); [Doll et](#)  
32 [al., 2004](#); [Rogot and Murray, 1980](#)). However, they were worksite-based studies in male  
33 Caucasians([Carstensen et al., 1987](#); [Doll et al., 2004](#); [Rogot and Murray, 1980](#)), except for  
34 one study conducted in women([Doll et al., 1980](#)). Moreover, no studies have addressed  
35 whether there may be certain duration of smoking cessation that would significantly reduce  
36 risk of COPD mortality to the level similar to never smokers.

37 The detailed examination of this issue would enable us to formulate more an explicit  
38 public health recommendation. Therefore, in this 18-year follow-up cohort study of  
39 approximately 95,000 Japanese men and women, we examined risk of COPD mortality  
40 associated not only with smoking status but time since quitting smoking.

41

## 42 **Methods**

43 The Japan Collaborative Cohort Study for Evaluation of Cancer Risk (JACC study) was  
44 initiated 1988-1990(Kawado et al., 2005; Ohno and Tamakoshi, 2001). Self-administered  
45 questionnaires that included items on lifestyles and medical histories of COPD, cancer,  
46 cardiovascular disease and other diseases were completed by 110,792 persons(46,465 men  
47 and 64,327 women) aged 40-79 years from 45 communities across Japan. Among them,  
48 44,201 men and 55,592 women provided valid responses about smoking status. Those who  
49 had quit smoking were asked at what age or what year they stopped in order to calculate the  
50 years of smoking cessation. We also excluded 2,736 men and 2,930 women with a reported  
51 history of COPD, asthma, other chronic lung diseases, cardiovascular disease or cancer at  
52 baseline, leaving 41,465 men and 52,662 women for the present analysis.

53 Mortality surveillance was conducted systematically by reviewing death certificates.  
54 The underlying causes of death according to the *International Classification of Diseases*  
55 (ICD-10) were obtained centrally from the Ministry of Health and Welfare. COPD was

56 defined as ICD-10 codes of J41 to J44 and J47. The present study was approved by the  
57 Ethical Committee, Nagoya University and Osaka University.

### 58 *Statistical Analysis*

59 Participants were followed-up until death or they moved away from the original  
60 community to the end of 2008. The follow-up of six and five communities ended at the end  
61 of 1999 and 2003, respectively. Median follow-up period was 18 years. Sex-specific,  
62 age-adjusted means and proportions of selected COPD risk factors were calculated by general  
63 linear model.

64 Sex-specific, age-adjusted and multivariable-adjusted hazard ratios(HRs) and their 95%  
65 confidence intervals(95% CIs) were calculated by Cox proportional hazards models. Duration  
66 of smoking cessation was divided to three groups (0-4, 5-9 and  $\geq 10$  years before the baseline).  
67 Variables included in the multivariable-adjusted model were age at baseline, body mass index,  
68 ethanol intake, hours of walking, hours of exercise, education, perceived mental stress, and  
69 histories of hypertension and diabetes. Number of cigarettes smoked per day and age of  
70 smoking initiation were also included in the smoking cessation analysis.

71 Sensitivity analyses were conducted separately by excluding early deaths from COPD  
72 mortality within the first 5-year of follow-up and by excluding those with self-reported  
73 persistent phlegm symptom in an attempt to reduce a reverse causal relationship. Interaction  
74 for sex-by-smoking status was tested by using cross-product terms of sex with smoking status.  
75 In order to evaluate the specificity of association of smoking status or smoking cessation

76 duration with COPD mortality, all-cause mortality was also modeled, and the result was  
77 compared with that of COPD. This was done by computing a test statistic:  
78  $(b_1 - b_2)^2 / \{ [SE(b_1)]^2 + [SE(b_2)]^2 \}$ , where  $b_1$  is the coefficient for the association with COPD,  $b_2$   
79 is the coefficient for all-cause mortality,  $SE(b_1)$  and  $SE(b_2)$  are the corresponding standard  
80 errors for the association with COPD and all-cause mortality, respectively (Allison, 1995).

81 The proportional hazards assumption was confirmed graphically by examining the  
82 parallelness of the  $\ln(-\ln)$  survival curves for smoking status as well as by a model including  
83 the interaction term between follow-up time and smoking status. The follow-up time was first  
84 treated as a continuous scale and then dichotomized at year 11 (middle value of follow-up) in  
85 the model. We found no violation for the proportional hazard assumption.

86 All analyses were performed by using SAS version 9.1.3 Service Pack 4 (SAS Institute,  
87 Cary, North Carolina). Two-tailed probability values of  $<0.05$  were considered statistically  
88 significant.

89

## 90 **Results**

91 The proportions of current and former smokers were 54% and 25% in men, and 6% and  
92 2% in women, respectively. Majority of male smokers (68%) smoked 20 or more cigarettes  
93 per day, but the corresponding proportion in female current smokers were 31%. Compared  
94 with never or current smokers, former smokers were older, more educated, and more likely to  
95 have hypertension and diabetes mellitus in both men and women (Table 1).

96 A total of 251 deaths from COPD among 41,465 men and 34 deaths among 52,662  
97 women were documented during the 18-year follow-up. Both former and current smoking  
98 were significantly associated with increased COPD mortality for both men and women in  
99 models adjusted for age and potential confounding variables (Table 2). The  
100 multivariable-adjusted HRs (95% CIs) for former and current smokers compared with never  
101 smokers were 2.97 (1.76-5.02) and 4.46 (2.72-7.29) in men and 8.57 (2.75-26.7) and 9.26  
102 (4.19-20.5) in women, respectively (Table 2). There appeared dose-response associations  
103 between the number of cigarettes smoked daily and age- and multivariable-adjusted risk of  
104 COPD mortality among current smokers in both sexes. Although crude COPD mortality rates  
105 were higher in men than in women in any smoking status categories at baseline, associations  
106 of both former and current smoking with COPD mortality tended to be stronger in women  
107 than in men ( $P$  for sex-by-smoking status interaction =0.08).

108 Compared with current smokers, former smokers at baseline were associated with lower  
109 COPD mortality in men but only when cessation duration was five years or more before the  
110 baseline (Table 3). Men who had quit smoking more than 10 years before baseline had COPD  
111 mortality risk close to never smokers. Quitters for less than five years did not experience the  
112 lowering of mortality risk compared to current continuous smokers. The finding did not  
113 change materially even after excluding COPD deaths that occurred within five years from the  
114 baseline or individuals who reported persistent phlegm symptom at baseline (HRs: 95% CIs  
115 were 1.19 0.80-1.79 and 1.11: 0.71-1.72, respectively). There were too few death cases in

116 female former smokers (n=4) at each smoking cessation group to yield meaningful results.

117 The associations of smoking status ( $P=0.005$  in men,  $P<0.001$  in women) and  
118 smoking cessation duration ( $P<0.001$  in men) with COPD mortality were stronger than  
119 those with all-cause mortality (Supplemental tables 1 and 2).

120

## 121 **Discussion**

122 We observed the excess risk of COPD mortality among current and former smokers of  
123 both sexes in this large prospective cohort study of Japanese. Our finding is consistent with  
124 the results from previous prospective studies, including US veterans cohort(Rogot and  
125 Murray, 1980), British doctors' cohort(Doll et al., 1980; Doll et al., 2004), Swedish registers'  
126 cohort(Carstensen et al., 1987), Copenhagen registers' cohort(Lange et al., 1992), and  
127 Washington white registers' cohort(Tockman and Comstock, 1989).

128 Our study also revealed that the duration of smoking cessation was inversely associated  
129 with COPD mortality in men, and the excess risk that would have been observed if they had  
130 continuous smoking could be reduced after long-term ( $\geq 10$  years) cessation before the  
131 baseline similar to the level observed in never smokers.

132 A few studies have evaluated the duration of quitting smoking associated with COPD  
133 mortality and morbidity(Lokke et al., 2006; Rogot and Murray, 1980). Our finding that  
134 accounted for other smoking-related variables, which previous studies did not address, was  
135 similar to that of a 25-year follow-up study in a general population of both sexes(Lokke et al.,

136 2006). That study showed a dose-response relationship between the duration of smoking  
137 cessation and cumulative incidence of COPD, and the odds ratio for stage 2 or more COPD in  
138 ex-smokers who had quit 25 years or more at the end of follow-up compared to continuous  
139 smokers was similar to that in never smokers(Lokke et al., 2006). Another 16-year  
140 observation in the US veterans described that crude COPD mortality rate fell to  
141 approximately one fifth of continuous smokers if subjects had quit smoking 20 years or more  
142 at the end of follow-up(Rogot and Murray, 1980).

143 It is noteworthy that both former and current smokers were more strongly associated with  
144 COPD mortality in women than in men, especially in female heavy smokers who currently  
145 smoked 20 or more cigarettes per day. The interaction for sex-by-smoking status was found to  
146 be of borderline significance ( $P=0.08$ ) in the multivariable model. Similarly, Copenhagen  
147 City Heart Study(Lange et al., 1992) and British doctors' cohort study(Doll et al., 1980; Doll  
148 et al., 2004) also presented that the COPD mortality ratio associated with smoking in female  
149 was higher than that in male, however the number of female deaths from COPD was too  
150 small in both studies to confirm the gender difference. The higher age-adjusted relative risks  
151 for COPD hospitalization in female smokers compared the risk in male smokers was also  
152 observed in Danish longitudinal population study ( $P=0.08$  for the interaction for sex by  
153 pack-years categories). Previous prospective studies demonstrated that at comparable levels  
154 of smoking exposure, women expressed a faster decline in lung function ( $FEV_1$ )(Prescott et  
155 al., 1997; Xu et al., 1994). A possible explanation for the faster deterioration is that women

156 have smaller airways and lung volume than do men, which results in higher exposure in per  
157 volume of lung tissues with each cigarette. In addition, estrogen and related compounds have  
158 been reported to increase smoking-induced lung damage possibly through up-regulating the  
159 expression of cytochrome P450 enzymes in lungs (Benowitz et al., 2006). Cytochrome P450  
160 enzymes facilitate to transform some harmless substances in cigarette smoke into toxic  
161 chemicals, for example benzo[*a*]pyrene into benzo[*a*]pyrene-7,8-diol (Ben-Zaken Cohen et  
162 al., 2007). A family study of early-onset COPD probands found no differences in lung  
163 function between their female and male first-degree relatives. However, smoking female  
164 first-degree relatives, women showed significantly lower lung function than smoking male  
165 first-degree relatives, which implied a genetic predisposition for smoking-induced lung  
166 damage in women (Silverman et al., 2000). In the current study, the misclassification of  
167 smoking status as never smoking in women was smaller than that in men, which may  
168 contribute to the stronger association between smoking and mortality in women. In addition,  
169 the finding might have been observed by chance due to small number of women who died  
170 from COPD.

171 Potential effects of smoking cessation on pulmonary pathology have been reported.  
172 Macroscopic signs of chronic bronchitis (edema, erythema and mucus) disappeared totally  
173 after 6 months' smoking cessation (Skold et al., 1992). In addition, after smoking cessation,  
174 the number of macrophages in bronchoalveolar lavage fluid (Skold et al., 1992), blood  
175 neutrophils and lymphocytes (Jensen et al., 1998) was largely reversed, and those in

176 bronchoalveolar lavage fluid normalized at 6, 9, 15 months, respectively([Skold et al., 1996](#)).  
177 These data indicated that the inflammatory changes are reversible rapidly after smoking  
178 cessation. However, in the present study, quitters for less than five years did not experience  
179 the lowering of COPD mortality risk compared to current continuous smokers. One possible  
180 explanation is that the sample in the present study included people who already had  
181 preclinical but irreversible emphysema. Indeed, a recent study in Japan showed that only  
182 9.4% of cases with airflow limitation reported a previous diagnosis of COPD([Fukuchi et al.,](#)  
183 [2004](#)). Even among early stage COPD patient, it takes 11-years or more for sustained quitters  
184 to experience the same rate of FEV<sub>1</sub> decline as never smokers([Anthonisen et al., 2002](#)).

185 We could not clarify the association between the duration of smoking cessation and  
186 COPD mortality in women due to the small number of deaths in former smokers. However,  
187 one previous intervention study demonstrated that women experienced larger improvements  
188 in lung function with smoking cessation than men ( $\Delta$ FEV<sub>1</sub> change: 3.7% vs. 1.6%)([Connett et](#)  
189 [al., 2003](#)). The effect of smoking cessation on COPD incidence and mortality in women  
190 warrants further investigation.

191 Since persons who quit smoking years prior to the enrollment were more likely to be  
192 unhealthy or had some respiratory symptoms, we conducted analyses by excluding the early  
193 deaths of COPD within 5-year of follow-up or those who had persistent phlegm at baseline.  
194 This exclusion, however, did not alter our results essentially.

195 Some limitations in the present study merit discussion. COPD develops in a long-term

196 process and is often undiagnosed(Fukuchi et al., 2004; Mannino et al., 2000). Therefore, the  
197 duration of smoking cessation to reduce mortality from COPD ( $\geq 10$  year before baseline)  
198 might be longer than that to reduce the incidence of COPD. Smoking information was  
199 assessed only at baseline and was not updated throughout the entire study period in the  
200 present study. However, the examination of about one-third of the present sample with 5-year  
201 follow-up data indeed showed that the percentage of current smokers had decreased(Kawado  
202 et al., 2005) by 5.6 point for men, 0.4 point for women in the present study sample. This  
203 suggests that the beneficial effect for smoking cessation may be underestimated, especially  
204 for men.

205 Our study takes advantages of a long observation period, a large population-based  
206 samples and the availability of information about potential confounding factors for COPD.  
207 We have found that smoking status and smoking cessation duration were more strongly  
208 associated with COPD than with all-cause mortality.

209 Overall, the present study suggests that women may be more susceptible to smoking  
210 cigarettes for COPD mortality, and that longer time of smoking cessation was associated with  
211 progressively decreased COPD mortality in men. We conclude that smokers should be  
212 encouraged to stop smoking as early as possible for the prevention of COPD.

213

214 Author Contributions: Y.L. analyzed data, and wrote manuscript. H.I. analyzed data, and  
215 conducted critical revision of manuscript. K.Y., H.Y. and A.T. conducted critical revision of

216 manuscript.

217

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219

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Table 1. Sex-specific, age-adjusted means and proportions according to smoking status at baseline, Japan Collaborative Cohort Study for Evaluation of Cancer Risk (JACC Study), 1988-2008.

	Men					Women				
	Never smokers	Former smokers	Current smokers	Cigarettes smoked*		Never smokers	Former smokers	Current smokers	Cigarettes smoked*	
				1-19 (no./day)	≥20 (no./day)				1-19 (no./day)	≥20 (no./day)
No. at risk	8,613	10,394	22,458	7,174	14,973	48,914	853	2,895	1,932	848
Age (years)	56.6	60.0	55.9	59.0	54.4	57.1	60.0	56.0	56.7	54.2
Body mass index (kg/m <sup>2</sup> )	23.0	22.9	22.4	22.0	22.6	22.9	23.3	22.8	22.7	23.2
History of hypertension (%)	19.1	26.0	17.8	21.4	16.0	21.6	27.4	22.2	23.2	20.2
History of diabetes (%)	5.7	8.4	6.2	6.7	6.1	3.5	6.8	5.0	4.8	4.5
Ethanol intake (g/day)	18.2	22.5	27.4	24.4	28.9	1.2	4.7	6.9	5.5	10.5
Walk half an hour or more/day (%)	69.3	68.2	69.8	71.1	69.3	71.9	64.5	69.3	70.8	66.2
Exercise 5 hours or more/week (%)	7.2	8.3	6.6	8.0	5.9	4.5	4.3	4.0	4.3	3.2
High perceived mental stress (%)	22.4	21.8	23.9	19.7	25.9	20.0	24.1	26.2	24.1	30.7
College or higher education (%)	18.3	20.2	15.9	15.2	16.3	10.1	12.5	8.5	9.1	7.5

\* Information on number of cigarettes smoked per day among current smokers was missing for 311 men and for 115 women.

Table 2. Sex-specific, age- and multivariable-adjusted hazard ratios and 95% confidence intervals of mortality from COPD according to smoking status, Japan Collaborative Cohort Study for Evaluation of Cancer Risk (JACC Study), 1988-2008.

	Never smokers	Former smokers	Current smokers	Cigarettes smoked (no./day)	
				1-19	≥20
<b>Men</b>					
No. at risk	8,613	10,394	22,458	7,174	14,973
No. of person-years	138,752	157,215	346,870	106,398	235,693
No. of death	18	68	165	53	111
Crude death rate*	13	43	48	50	47
Age-adjusted HR	1.0	2.76 (1.64-4.64)	4.84 (2.97-7.88)	3.57 (2.09-6.09)	6.06 (3.67-10.0)
Multivariable HR <sup>†</sup>	1.0	2.97 (1.76-5.02)	4.46 (2.72-7.29)	3.27 (1.91-5.60)	5.60 (3.38-9.29)
<b>Women</b>					
No. at risk	48,914	853	2,895	1,932	848
No. of person-years	796,017	12,463	44,454	29,454	13,099
No. of death	20	4	10	6	4
Crude death rate*	3	32	22	20	31
Age-adjusted HR	1.0	8.82 (3.01-25.9)	10.1 (4.71-21.6)	8.35 (3.35-20.8)	19.3 (6.58-56.4)
Multivariable HR <sup>†</sup>	1.0	8.57 (2.75-26.7)	9.26 (4.19-20.5)	7.54 (2.95-19.3)	18.3 (5.96-56.3)

\*: Mortality rate was expressed as rate per 100,000 person-years.

†: Multivariable adjustment: age (continuous), body mass index (sex-specific quintiles), ethanol intake (never, former, current intake of 1-22, 23-45, 46-68, and ≥69 g per day), hours of walking (<0.5, 0.5, 0.6-0.9, and ≥1.0 hour per day), hours of exercise (<1, 1-2, 3-4, and ≥5 hours per week), education (<10, 10-12, 13-15, and ≥16 years), perceived mental

stress (low, medium, and high), and histories of hypertension and diabetes.

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Table 3. Sex-specific, age- and multivariable-adjusted hazard ratios and 95% confidence intervals of mortality from COPD according to years since quitting, Japan Collaborative Cohort Study for Evaluation of Cancer Risk (JACC Study), 1988-2008.

	Current smokers	No. of years since quitting smoking before the baseline*			Never smokers
		0-4	5-9	≥10	
<b>Men</b>					
No. at risk	22,458	2,599	2,360	4,964	8,613
No. of person-years	346,870	39,582	35,889	74,612	138,752
No. of death	165	32	9	20	18
Crude death rate**	48	81	25	27	13
Age-adjusted HR	1.0	1.24 (0.85-1.82)	0.41 (0.21-0.80)	0.30 (0.19-0.48)	0.21 (0.13-0.34)
Multivariable HR†	1.0	1.23 (0.83-1.81)	0.44 (0.22-0.87)	0.36 (0.22-0.58)	0.30 (0.16-0.57)
<b>Women</b>					
No. at risk	2,895	251	184	315	48,914
No. of person-years	44,454	3,758	2,657	4,510	796,017
No. of death	10	0	2	2	20
Crude death rate**	22	0	75	44	3
Age-adjusted HR	1.0	-----1.00 (0.31-3.18)-----			0.10 (0.05-0.21)

\*: Information on number of years since quitting smoking was missing for 471 men and for 103 women.

\*\* : Mortality rate was expressed as rate per 100,000 person-years.

†: Multivariable adjustment: variables included in multivariable model in table 2 plus number of cigarettes smoked per day (<20, 20-29, and ≥30) and age of smoking initiation

(<20, 20–24, 25–29, and  $\geq 30$  years).

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