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Menger Manifolds and n -shape theories

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THESIS

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Introduction

The concept of `shape` was introduced by Borsuk [Bo₁] as a generalization of homotopy theory. In many studies, shape theory has been developed as a big branch of geometric topology (cf. [Bo₃], [Bo₄], [MS₂]). Using Hilbert cube manifolds (Q -manifolds) theory, Chapman [Cha₁] established the so-called complement theorem, that is, *two Z -sets in Q have the same shape type if and only if their complements are homeomorphic.*

On the other hand, Menger manifold (μ^{n+1} -manifolds) were introduced and investigated by Bestvina [Be]. From many studies (cf. [Chi₄], [Chi₅], [Dr] etc.), it has become clear that μ^{n+1} -manifolds are “ $(n + 1)$ -dimensional” analogues of Q -manifolds. In [Chi₁], Chigogidze introduced the concept of n -shape for compacta and proved the n -shape version of the complement theorem, that is, *two Z -sets in μ^{n+1} have the same n -shape type if and only if their complements are homeomorphic.* One can see a survey on μ^{n+1} -manifolds in [CKT]. The complement theorems are surveyed in [Sh₄] and [Sh₅].

In this paper, we introduce some variations of n -shape theory and investigate the relation between them. These results were obtained in [Aka₁], [Aka₂], [Aka₃], [Aka₄] and [AS].

In Chapter 1, we give terminology and notation, and present some basic properties which will be needed in the sequel. The n -homotopy extension theorem is strengthened for pairs.

We discuss μ^{n+1} -manifold pairs in Chapter 2 and adapt the Z -set approximation theorem and the Z -set unknotting theorem to pairs.

The concept of shape was extended to compact pairs by Borsuk [Bo₃] (cf. [Bo₄]) and by Mardešić and Segal [MS₁] (cf. [MS₂]), but their definitions do not coincide (see [Ma]). The shape of pairs in the sense of Mardešić-Segal is defined by using inverse systems, but it can be also defined using the Borsuk approach (cf. [KO]). In [Fe], Felt tried to generalize Chapman's complement theorem to compact pairs by using the definition of shape in the sense of Mardešić-Segal. However, his proof contained a gap, which was recovered in [Sa]. In Chapter 3, we give the definition of n -shape of compact pairs with dimension at most $n + 1$ by Mardešić-Segal's method and generalize the Chigogidze's complement theorem for compact pairs.

Property UV^∞ arose in the study of cellularity and is connected with shape theory through the result that a compact metric space X has UV^∞ if and only if X has the shape of a singleton. Property SUV^∞ was introduced by Hartley [Ha] as a noncompact variant of property UV^∞ . In [Sh₁], Sher defined property SUV^n as a finite-dimensional variant of property SUV^∞ , and proved that if a closed connected subset X of a piecewise linear n -manifold has SUV^n , then X has SUV^∞ . In Chapter 4, we define the notion of proper n -shape for locally compact spaces and proper n -shape category $n\text{-SH}_p\mathcal{LK}$. Using this notion, we give the characterization of property SUV^n . As a corollary, strengthening Sher's result [Sh₁], if a locally compact connected space with dimension at most n has property SUV^n , then it has property SUV^∞ .

In Chapter 5, we give the other approach to proper n -shape and proper n -shape category $n\text{-SH}'_p\mathcal{LK}(n + 1)$ whose objects are locally compact spaces with dimension at most $n + 1$. Let $n\text{-SH}_p\mathcal{LK}(n + 1)$ be a full-subcategory of $n\text{-SH}_p\mathcal{LK}$ whose objects are at most $n + 1$ dimensional. We construct a categorical embedding from $n\text{-SH}_p\mathcal{LK}(n + 1)$ to $n\text{-SH}'_p\mathcal{LK}(n + 1)$. Moreover, strengthening n -shape of compact pairs, we introduce the notion of relative n -shape. Then we prove that if two locally compact spaces with dimension at most $n + 1$, whose quasi-component spaces are compact, have the same proper

n -shape type, then their compact pairs of Freudenthal compactifications and the spaces of ends are relative n -shape equivalent. Using the result of Chapter 4, we conclude that if a locally compact space with dimension at most $n + 1$ has SUV^n , then its Freudenthal compactification has UV^n .

In Chapter 6, applying proper n -shape, we consider Z -sets in μ^{n+1} -manifolds and prove a μ^{n+1} -manifold version of the result of [Sh₂], that is, if two Z -sets in μ^{n+1} -manifolds are proper n -shape equivalent, then they have arbitrarily small homeomorphic μ^{n+1} -manifold closed neighborhoods.

Chapter 1

Preliminaries

The purpose of this chapter is to introduce basic notation and terminology. In particular, we discuss the n -homotopy extension theorem for pairs.

1.1 The results of μ^{n+1} -manifold theory

All spaces in this paper are assumed to be separable and metrizable, and maps to be continuous. Let $\omega = \{0, 1, 2, \dots\}$ denote the set of natural number. Let $Q = [0, 1]^\omega$ be the Hilbert cube and μ^{n+1} the $(n + 1)$ -dimensional universal Menger compactum. A μ^{n+1} -manifold (resp. a Q -manifold) is a topological manifold modeled on μ^{n+1} (resp. Q). A closed set A in a space X is called a Z -set if the identity map $\text{id}_X : X \rightarrow X$ is approximable arbitrary closely by maps $f : X \rightarrow X \setminus A$.

A pair (of space) (X, X_0) means that X is a space and X_0 is a closed set in X . Let $f, g : (X, X_0) \rightarrow (Y, Y_0)$ be (proper) maps of pairs. By $f \simeq g$ ($f \simeq_p g$), we means that f is (properly) homotopic to g . We say that $f, g : (X, X_0) \rightarrow (Y, Y_0)$ are (*properly*) n -homotopic and denote $f \simeq^n g$ ($f \simeq_p^n g$) if $f\alpha \simeq g\alpha$ ($f\alpha \simeq_p g\alpha$) for any (proper) map $\alpha : (Z, Z_0) \rightarrow (X, X_0)$ of a pair (Z, Z_0) with $\dim \leq n$.

Next theorems are obtained by Bestvina [Be].

Theorem 1.1.1 (The Z -set unknotting theorem: estimating version)

Let M be a μ^{n+1} -manifold. For each open cover \mathcal{U} of M there exists an open refinement \mathcal{V} satisfying the following. If $f : A \rightarrow B$ is a homeomorphism between Z -sets in M which is \mathcal{V} -close to id_A , then f extends to a homeomorphism $\bar{f} : M \rightarrow M$ such that \bar{f} is \mathcal{U} -close to id_M . \square

Theorem 1.1.2 (The Z -set unknotting theorem: n -homotopy version)

Let M be a μ^{n+1} -manifold and $f : A \rightarrow B$ be a homeomorphism between Z -sets in M . If $f \simeq_p^n \text{id}_A$ in M , then f extends to a homeomorphism $\bar{f} : M \rightarrow M$ with $\bar{f} \simeq_p^n \text{id}_M$. \square

Theorem 1.1.3 (The Z -set approximation theorem)

Let M be a μ^{n+1} -manifold, X a locally compact space with $\dim \leq n + 1$ and A a closed set in X . If $f : X \rightarrow M$ is a proper map which restricts to a Z -embedding on A , then f is approximable a Z -embedding $g : X \rightarrow M$ such that $f|_A = g|_A$. \square

A map $f : X \rightarrow Y$ between spaces is called n -invertible if for any map $\alpha : Z \rightarrow Y$ from any space Z with $\dim Z \leq n$ to Y , there exists a map $\tilde{\alpha} : Z \rightarrow X$ such that $f\tilde{\alpha} = \alpha$. It is easy to observe that if f and α are proper, then $\tilde{\alpha}$ is also proper.

Theorem 1.1.4 ([Chi₃])

There is an $(n + 1)$ -invertible UV^n -surjection $f : \mu^{n+1} \rightarrow Q$ such that the inverse image of a Z -set in Q is a Z -set in μ^{n+1} and the fibers are homeomorphic (\approx) to μ^{n+1} . Moreover, the inverse image of $Q_0 = \{(x_i) \in Q \mid x_1 = 0\}$ is homeomorphic to μ^{n+1} . \square

Let μ_0^{n+1} be a Z -set in μ^{n+1} and homeomorphic to μ^{n+1} and $M = \mu^{n+1} \setminus \mu_0^{n+1}$. By the Z -set unknotting theorem, we may assume $f^{-1}(*) = \mu_0^{n+1}$ for some $*$ $\in Q$ (a point is a Z -set in Q). The following follows from Theorem 1.1.4.

Proposition 1.1.5

There is an $(n + 1)$ -invertible proper UV^n -surjection $f : M \rightarrow Q \setminus \{*\}$ such that the fibers are Z -sets and homeomorphic to μ^{n+1} . \square

Theorem 1.1.6 *For each $n \geq 0$, there exists an n -invertible UV^{n-1} -surjection $f_n : (\mu^n, \mu_0^n) \rightarrow (Q, Q_0)$. \square*

Remark. Let (X, X_0) be an arbitrary pair of spaces. We can assume that $X \subset Q$ and $X_0 = X \cap Q_0$. Then we have a pair $(Z, Z_0) = (f_n^{-1}(X), f_n^{-1}(X_0))$ of spaces with $\dim \leq n$ and an n -invertible proper UV^{n-1} -surjection $\alpha = f_n|_{(Z, Z_0)} : (Z, Z_0) \rightarrow (X, X_0)$. It is easy to see that $f \simeq^n g$ for two maps $f, g : (X, X_0) \rightarrow (Y, Y_0)$ if and only if $f\alpha \simeq g\alpha$.

1.2 The n -homotopy extension theorem

In this section, we treat pairs of spaces instead of spaces. Therefore, we need to extend some well-known results to pairs. A pair of LC^n spaces is simply called an LC^n -pair.

1.2.1 The extension theorem for pairs

Lemma 1.2.1 *Let (X, X_0) be a pair of spaces with $\dim \leq n + 1$ and (Y, Y_0) an LC^n -pair. Let (A, A_0) be a closed pair in (X, X_0) with $A_0 = A \cap X_0$. Then every map $f : (A, A_0) \rightarrow (Y, Y_0)$ has an extension $F : (U, U_0) \rightarrow (Y, Y_0)$, where U is a neighborhood of A in X and $U_0 = U \cap X_0$. Moreover if (Y, Y_0) is a $C^n \cap LC^n$ -pair, then f has an extension $F : (X, X_0) \rightarrow (Y, Y_0)$.*

Proof. Since $\dim X_0 \leq n + 1$ and Y_0 is LC^n , the map $f|_{A_0} : A_0 \rightarrow Y_0$ extends to a map $g : U_0 \rightarrow Y_0$, where U_0 is a neighborhood of A_0 in X_0 [Hu]. Note that U_0 is closed in $(X \setminus X_0) \cup U_0$. Since Y is LC^n , the map $g \cup f : U_0 \cup A \rightarrow Y$ extends to a map $F : U \rightarrow Y$, where U is a neighborhood of $U_0 \cup A$ in $(X \setminus X_0) \cup U_0$. Then $U_0 = U \cap X_0$. Consequently, (U, U_0) is the desired neighborhood and F is the desired extension of f . The proof of the additional statement is similar. \square

Lemma 1.2.2 *Let (Y, Y_0) be an LC^n -pair. Then every open cover \mathcal{U} of Y has an open refinement \mathcal{V} of \mathcal{U} such that if (X, X_0) is a pair of $\dim \leq n$ then any two \mathcal{V} -close maps $f, g : (X, X_0) \rightarrow (Y, Y_0)$ are \mathcal{U} -homotopic.*

Proof. Since Y is LC^n , every open cover \mathcal{U} of Y has an open refinement \mathcal{V} such that for any two \mathcal{V} -close maps $f, g : X \rightarrow Y$ of a space X with $\dim X \leq n$, any \mathcal{V} -homotopy $h : X_0 \times I \rightarrow Y$ from $f|_{X_0}$ to $g|_{X_0}$ extends to a \mathcal{U} -homotopy $H : X \times I \rightarrow Y$ from f to g [Hu, p.112]. Moreover, since Y_0 is also LC^n , there exists an open cover \mathcal{V}_0 of Y_0 such that if $f|_{X_0}, g|_{X_0} : X_0 \rightarrow Y_0$ are \mathcal{V}_0 -close, then we can find a \mathcal{V} -homotopy $h : X_0 \times I \rightarrow Y_0$ connecting $f|_{X_0}$ to $g|_{X_0}$. Now let $f, g : (X, X_0) \rightarrow (Y, Y_0)$ be maps. If f and g are \mathcal{V} -close in Y and $f|_{X_0}$ and $g|_{X_0}$ are \mathcal{V}_0 -close in Y_0 , then there exists a \mathcal{U} -homotopy $H : (X, X_0) \times I \rightarrow (Y, Y_0)$ connecting f and g . For every $V \in \mathcal{V}_0$ there exists an open set V' in Y such that $V = V' \cap Y_0$. Put $\mathcal{V}'_0 = \{V' \mid V \in \mathcal{V}_0\} \cup \{Y \setminus Y_0\}$. The common open refinement of \mathcal{V} and \mathcal{V}'_0 is the desired cover of Y . \square

Corollary 1.2.3 *Let (Y, Y_0) be an LC^n -pair. Then there exists an open cover \mathcal{U} of Y such that any two \mathcal{U} -close maps defined on an arbitrary pair of spaces are n -homotopic. \square*

The following is due to Chigogidze [Chi₁, Proposition 2.1]:

Proposition 1.2.4 *Let Y be LC^n . Then every open cover \mathcal{U} of Y has an open refinement \mathcal{V} satisfying the following condition:*

()_n For an arbitrary space X with $\dim \leq n + 1$ and any two \mathcal{V} -close maps from a closed set in X , if one of them extends to a map from X , then the other also extends to a map from X , which is \mathcal{U} -close to the former extension.*

Remark on the proof. In [Chi₁], spaces are separable completely metrizable. However the proof of Proposition 2.1 in [Chi₁] is valid without the as-

sumption of complete metrizability since every space can be embedded in a linear subspace of the Hilbert space ℓ_2 as a closed set.

As a corollary we have the following:

Lemma 1.2.5 *Every open cover \mathcal{U} of an LC^n space Y has an open refinement \mathcal{V} satisfying the following condition:*

(\sharp) _{n} *Suppose that $f, g : X \rightarrow Y$ are \mathcal{V} -close maps, $\alpha : Z \rightarrow X$ is a map, $\dim Z \leq n$, A is closed in X , $\varphi : \alpha^{-1}(A) \times I \rightarrow Y$ is a \mathcal{V} -homotopy with $\varphi_0 = f\alpha|_{\alpha^{-1}(A)}$ and $\varphi_1 = g\alpha|_{\alpha^{-1}(A)}$. Then φ extends to a \mathcal{U} -homotopy $\tilde{\varphi} : Z \times I \rightarrow M$ with $\tilde{\varphi}_0 = f\alpha$ and $\tilde{\varphi}_1 = g\alpha$.*

Proof. Let \mathcal{W} be an open star-refinement of \mathcal{U} . By Proposition 1.2.4, \mathcal{W} has an open refinement \mathcal{V} satisfying (\ast) _{n} . We extend φ to the map $\varphi' : \alpha^{-1}(A) \times I \cup Z \times \{0, 1\} \rightarrow M$ by $\varphi'(z, 0) = f\alpha(z)$ and $\varphi'(z, 1) = g\alpha(z)$ for each $z \in Z$. Since φ is a \mathcal{V} -homotopy and g is \mathcal{V} -close to f , φ' is \mathcal{V} -close to the restriction of the map $f\alpha \text{pr}_Z : Z \times I \rightarrow Y$, where $\text{pr}_Z : Z \times I \rightarrow Z$ is the projection. By (\ast) _{n} , φ' extends to a map $\tilde{\varphi} : Z \times I \rightarrow Y$ which is \mathcal{W} -close to $f\alpha \text{pr}_Z$. It is easy to see that $\tilde{\varphi}$ is the desired homotopy. \square

1.2.2 The n -homotopy extension theorem for pairs

The n -homotopy extension theorem was established by Chigodidze [Chi₁, Proposition 2.2]. We strengthen it by including a covering estimate, which might be useful in some applications. Although we have not used the covering estimate, we give a detailed proof, which will help those who have some difficulty concerning the first sentence in Chigodidze's proof.

Theorem 1.2.6 (The n -homotopy extension theorem for pairs) *Suppose that (Y, Y_0) is a locally compact LC^n -pair, (X, X_0) is a locally compact pair with $\dim \leq n + 1$ and $f, g : (A, A_0) \rightarrow (Y, Y_0)$ are proper maps of a closed pair (A, A_0) in (X, X_0) with $A_0 = A \cap X_0$ which are properly n -homotopic. If f*

extends to a proper map $\tilde{f} : (X, X_0) \rightarrow (Y, Y_0)$, then g also extends to a proper map $\tilde{g} : (X, X_0) \rightarrow (Y, Y_0)$ which is properly n -homotopic to \tilde{f} .

To prove the n -homotopy extension theorem for pairs, we first generalize the standard trick of bridge maps (cf. [Hu]).

Lemma 1.2.7 *Let X_1, \dots, X_k be closed sets in X such that $X_C = \bigcap_{i \in C} X_i$ is LC^n for any $C \subset \{1, \dots, k\}$, where $X_\emptyset = X$. Then each open cover \mathcal{U} of X has an open refinement \mathcal{V} satisfying the following condition:*

(a)_n *Each $V \in \mathcal{V}$ is contained in some $U \in \mathcal{U}$ such that every map $f : S^i \rightarrow V \cap X_C$ ($0 \leq i \leq n; C \subset \{1, \dots, k\}$) extends to a map $\tilde{f} : B^{i+1} \rightarrow U \cap X_C$.*

Proof. Let \mathcal{W} be an open star-refinement of \mathcal{U} . For each $C \subset \{1, \dots, k\}$, X_C is LC^n , hence we have an open cover \mathcal{V}_C of X such that each $V \in \mathcal{V}_C$ is contained in some $W_C \in \mathcal{W}$ satisfying

(a)_{nC} *each map $f : S^i \rightarrow V \cap X_C$ ($i = 0, \dots, n$) extends to a map $\tilde{f} : B^{i+1} \rightarrow W_C \cap X_C$.*

Let \mathcal{V} be an open cover of X which refines all \mathcal{V}_C ($C \subset \{1, \dots, k\}$). Then \mathcal{V} is the desired refinement of \mathcal{U} . In fact, let $V \in \mathcal{V}$. For each $C \subset \{1, \dots, k\}$, V is contained in some $W_C \in \mathcal{W}$ satisfying (a)_{nC}. Since \mathcal{W} is a star-refinement of \mathcal{U} , $\bigcup_{C \subset \{1, \dots, k\}} W_C$ is contained in some $U \in \mathcal{U}$. Then (a)_n is satisfied. \square

Lemma 1.2.8 *Let X_1, \dots, X_k be closed in X . Then each open cover \mathcal{U} of X has an open refinement \mathcal{V} such that*

(b) $V \cap \bigcap \{X_i \mid X_i \cap V \neq \emptyset\} \neq \emptyset$ *for each $V \in \mathcal{V}$ with $V \cap \bigcap_{i \in C} X_i \neq \emptyset$,*

and \mathcal{V} is locally finite if so is \mathcal{U} .

Proof. For each $C \subset \{1, \dots, k\}$, let

$$\mathcal{V}_C = \left\{ U \setminus \bigcup_{j \notin C} X_j \mid U \in \mathcal{U}, U \cap \bigcap_{i \in C} X_i \neq \emptyset \right\},$$

where $\mathcal{V}_\emptyset = \{U \in \mathcal{U} \mid U \cap \bigcup_{i=1}^k X_i = \emptyset\}$. Then $\mathcal{V} = \bigcup_{C \subset \{1, \dots, k\}} \mathcal{V}_C$ is the desired refinement of \mathcal{U} . In fact, for each $V \in \mathcal{V}$, choose $C \subset \{1, \dots, k\}$ so that $V \in \mathcal{V}_C$. In the case $C = \emptyset$, $V \cap \bigcup_{i=1}^k X_i = \emptyset$. In the case $C \neq \emptyset$, by the definition of \mathcal{V}_C , $V \cap \bigcap_{i \in C} X_i \neq \emptyset$ and $V \cap X_j = \emptyset$ if $j \notin C$, namely $C = \{i \mid X_i \cap V \neq \emptyset\}$. Thus (b) is satisfied. The additional statement is clear. \square

Lemma 1.2.9 *Let $\dim X \leq n + 1$ and X_1, \dots, X_k be closed in X such that $X_C = \bigcap_{i \in C} X_i$ is LC^n for any $C \subset \{1, \dots, k\}$, where $X_\emptyset = X$. Then for each open cover \mathcal{U} of X , there exist a locally finite simplicial complex K with $\dim \leq n + 1$, subcomplexes K_1, \dots, K_k of K , maps $p : X \rightarrow |K|$ and $q : |K| \rightarrow X$ such that $p(X_j) \subset |K_j|$, $q(|K_j|) \subset X_j$ and qp is \mathcal{U} -close to id_X .*

Proof. By Lemma 1.2.7, we have a sequence of open covers of X as follows:

$$\mathcal{U} = \mathcal{U}_n \stackrel{(a)_n}{>} \mathcal{V}_n \stackrel{*}{>} \mathcal{U}_{n-1} \stackrel{(a)_{n-1}}{>} \cdots \stackrel{*}{>} \mathcal{U}_0 \stackrel{(a)_0}{>} \mathcal{V}_0,$$

where $\mathcal{U} \stackrel{(a)_j}{>} \mathcal{V}$ means that \mathcal{V} is a refinement of \mathcal{U} satisfying (a)_j in Lemma 1.2.7 and $\mathcal{U} \stackrel{*}{>} \mathcal{V}$ means that \mathcal{V} is a star-refinement of \mathcal{U} . Since $\dim X \leq n + 1$, \mathcal{V}_i has a locally finite open star-refinement \mathcal{V} of $\text{ord } \mathcal{V} \leq n + 2$ satisfying (b) by Lemma 1.2.8. Let $K = N(\mathcal{V})$ be the nerve of \mathcal{V} and $K_j = N(\mathcal{V}_j)$ nerves of $\mathcal{V}_j = \{V \in \mathcal{V} \mid V \cap X_j \neq \emptyset\}$ ($j = 1, \dots, k$). Note that K is a locally finite simplicial complex with $\dim \leq n + 1$ and K_j 's are subcomplexes of K . Let $p : X \rightarrow |K|$ be a canonical map. Then $p(X_j) \subset |K_j|$ for each $j = 1, \dots, k$.

We construct $q : |K| \rightarrow X$ by the skeleton-wise induction. For each $V \in \mathcal{V} = K^{(0)}$, let $X_V = \bigcap \{X_i \mid X_i \cap V \neq \emptyset\}$, where $X_V = X$ if $V \cap \bigcup_{i=1}^k X_i = \emptyset$. Then $V \cap X_V \neq \emptyset$ by (b). By choosing a point $q^{(0)}(V) \in V \cap X_V$ for each $V \in K^{(0)}$, we can define $q^{(0)} : |K^{(0)}| \rightarrow X$. For each $\tau \in K^{(1)}$, let $X_\tau = \bigcap \{X_i \mid q^{(0)}(\partial\tau) \subset X_i\}$, where $X_\tau = X$ if $q^{(0)}(\partial\tau) \not\subset X_i$ for any $i = 1, \dots, k$. Then there exists $V \in \mathcal{V}$, such that $q^{(0)}(\partial\tau) \subset V \cap X_\tau$. By (a)₀, $q^{(0)}|_{\partial\tau}$ can be extended to a map $q_\tau : \tau \rightarrow U \cap X_\tau$ for some $U \in \mathcal{U}$. Then we can extend $q^{(0)}$ to a map

$q^{(1)} : |K^{(1)}| \rightarrow X$ such that $q^{(1)}(|K_j^{(1)}|) \subset X_j$ for each $j = 1, \dots, k$ and $q^{(1)}$ maps each $\tau \in K^{(1)}$ into some $U \in \mathcal{U}_r$. Assume that $q^{(i)} : |K^{(i)}| \rightarrow X$ has been defined such that $q^{(i)}(|K_j^{(i)}|) \subset X_j$ for each $j = 1, \dots, k$ and $q^{(i)}$ maps each $\tau \in K^{(i)}$ into some $U \in \mathcal{U}_{-\infty}$. For each $\tau \in K^{(i+1)}$, let $X_\tau = \bigcap \{X_i \mid q^{(i)}(\partial\tau) \subset X_i\}$, where $X_\tau = X$ if $q^{(0)}(\partial\tau) \not\subset X_i$ for any $i = 1, \dots, k$. Since $\mathcal{U}_{i-1}^* < \mathcal{V}_i$, $q^{(i)}(\partial\tau) \subset V \cap X_\tau$ for some $V \in \mathcal{V}_i$. By (a)_i, $q^{(i)}|_{\partial\tau}$ can be extended to a map $q_\tau : \tau \rightarrow U \cap X_\tau$ for some $U \in \mathcal{U}_i$. Then we can extend $q^{(i)}$ to a map $q^{(i+1)} : |K^{(i+1)}| \rightarrow X$ such that $q^{(i+1)}(|K_j^{(i+1)}|) \subset X_j$ for each $j = 1, \dots, k$ and $q^{(i+1)}$ maps each $\tau \in K^{(i+1)}$ into some $U \in \mathcal{U}_i$. Thus we can obtain the desired map $q = q^{(n+1)} : |K| \rightarrow X$. \square

We use the following well-known fact:

Lemma 1.2.10 *Each k -dimensional metrizable space X can be embedded in a k -dimensional LC^{k-1} metrizable space $E(X)$ as a closed set, where if X is locally compact then $E(X)$ can be locally compact.*

Proof. For completeness, we give a proof. In the proof of [Ko, Theorem 1], Kodama constructed a $(k+1)$ -dimensional ANR $M(X) = M \cup X$, where X is closed in $M(X)$ and M is a cell complex. Replacing M with the k -skeleton $M^{(k)}$, we obtain a k -dimensional subspace $E(X) = M^{(k)} \cup X$ of $M(X)$. Then $M^{(k)}$ is an ANR which is open in $E(X)$. To see that $E(X)$ is LC^{k-1} at each $x \in X$, let U be a neighborhood of x in $M(X)$. By the definition of the topology for $M(X)$, U contains a neighborhood W of x in $M(X)$ such that $\tau \cap W \neq \emptyset$ implies $\tau \subset U$ for any cell τ of M . Since $M(X)$ is locally contractible, W contains a neighborhood V of x in $M(X)$ which contracts in W . Then each map $f : S^i \rightarrow V \cap E(X)$ ($i = 1, \dots, k-1$) extends to a map $g : B^{i+1} \rightarrow W$. For each $(k+1)$ -cell τ of M , choose a map $f_\tau : g^{-1}(\tau) \rightarrow \partial\tau$ so that $f_\tau|_{g^{-1}(\partial\tau)} = g|_{g^{-1}(\partial\tau)}$. We can define a map $\tilde{f} : B^{i+1} \rightarrow U \cap E(X)$ by $\tilde{f}|_{g^{-1}(\tau)} = f_\tau$ for each $(k+1)$ -cell $\tau \in M$. Clearly \tilde{f} is an extension of f . Thus $E(X)$ is LC^{k-1} . \square

Now we prove the following n -homotopy extension theorem:

Theorem 1.2.11 *Suppose that Y is an LC^n space, \mathcal{U} is an open cover of Y , X is a space with $\dim \leq n+1$, $\alpha : Z \rightarrow X$ is an n -invertible map from a space $\dim \leq n$, A is closed in X and $f, g : A \rightarrow Y$ are maps such that $f\alpha|_{\alpha^{-1}(A)}$ is \mathcal{U} -homotopic to $g\alpha|_{\alpha^{-1}(A)}$. If f extends to a map $\tilde{f} : X \rightarrow Y$, then g also extends to a map $\tilde{g} : X \rightarrow Y$ such that $\tilde{f}\alpha$ and $\tilde{g}\alpha$ are $\text{st}^5\mathcal{U}$ -homotopic.*

Proof. We divide the proof into three cases. In each case, let $\varphi : \alpha^{-1}(A) \times I \rightarrow Y$ be a \mathcal{U} -homotopy such that $\varphi_0 = f\alpha|_{\alpha^{-1}(A)}$ and $\varphi_1 = g\alpha|_{\alpha^{-1}(A)}$.

Case 1: (X, A) is a polyhedral pair. Let K be a triangulation of X with L a subcomplex triangulating A such that \tilde{f} maps each simplex of K in some member of \mathcal{U} , and g maps each simplex of L in some member of \mathcal{U} . Since $\dim Z \leq n$, we have a map $\alpha' : Z \rightarrow |K^{(n)}|$ which is contiguous to α , hence α and α' are homotopic by a homotopy whose each homotopy track is contained in some simplex of K . By the n -invertibility of α , we have a map $\gamma : |K^{(n)}| \rightarrow Z$ such that $\alpha\gamma = \text{id}$. Then $\varphi' = \varphi(\gamma|_{\alpha^{-1}(A)} \times \text{id}_I) : |L^{(n)}| \times I \rightarrow Y$ is a \mathcal{U} -homotopy such that $\varphi'_0 = f|_{|L^{(n)}|}$ and $\varphi'_1 = g|_{|L^{(n)}|}$. Since Y is LC^n , φ' extends to a \mathcal{U} -homotopy $\psi' : |K^{(n)}| \times I \rightarrow Y$ with $\psi'_0 = \tilde{f}|_{|K^{(n)}|}$. Thus, we have a \mathcal{U} -homotopy $\psi = \psi'(\alpha' \times \text{id}_I) : Z \times I \rightarrow Y$ such that $\psi_0 = \tilde{f}\alpha'$ and $\psi_1 = \psi'_1\alpha'$. Now, we can extend g to a map $\tilde{g} : X \rightarrow Y$ as follows: $\tilde{g}|_{|K^{(n)}|} = \psi'_1$ and $\tilde{g}|_\sigma = (\psi' \cup f \times \{0\})h_\sigma$ for each n -simplex $\sigma \in K \setminus L$, where $h_\sigma : \sigma \rightarrow \partial\sigma \cup \sigma \times \{0\}$ is a homeomorphism such that $h(x) = (x, 1)$ for each $x \in \partial\sigma$. By the definition, \tilde{g} maps each simplex of K into some member of $\text{st}^2\mathcal{U}$, whence $\psi_1 = \tilde{g}\alpha'$ is $\text{st}^2\mathcal{U}$ -homotopic to $\tilde{g}\alpha$. On the other hand, since \tilde{f} maps each simplex of K in some member of \mathcal{U} , $\psi_0 = \tilde{f}\alpha'$ is \mathcal{U} -homotopic to $\tilde{f}\alpha$. Therefore $\tilde{f}\alpha$ and $\tilde{g}\alpha$ are $\text{st}^3\mathcal{U}$ -homotopic.

Case 2: (X, A) is an LC^n -pair. Since Y is LC^n , \mathcal{U} has an open refinement \mathcal{W} such that any two \mathcal{W} -close maps from a space with $\dim \leq n$ are \mathcal{U} -homotopic. By Proposition 1.2.4, \mathcal{W} has an open refinement \mathcal{V} satisfying $(*)_n$. Using Lemma 1.2.9, we have a countable locally finite simplicial complex

K with $\dim \leq n + 1$, a subcomplex L of K , and maps $p : (X, A) \rightarrow (|K|, |L|)$, $q : (|K|, |L|) \rightarrow (X, A)$ such that $gqp|_A$ is \mathcal{V} -close to g and $\tilde{f}qp\alpha$ is \mathcal{U} -homotopic to $\tilde{f}\alpha$. Let $\beta : Z' \rightarrow |K|$ be an n -invertible map, where $\dim Z' \leq n$. By the n -invertibility of β and α , we have maps $\tilde{\alpha} : Z \rightarrow Z'$ and $\tilde{\beta} : Z \rightarrow Z'$ such that $p\alpha = \beta\tilde{\alpha}$ and $q\beta = \alpha\tilde{\beta}$. Since $f\beta|_{\beta^{-1}(|L|)} = f\alpha\tilde{\beta}|_{\beta^{-1}(|L|)}$ is \mathcal{U} -homotopic to $g\alpha\tilde{\beta}|_{\beta^{-1}(|L|)}$ and $f\beta|_{|L|}$ extends to the map $\tilde{f}q$, it follows from Step 1 that $gq|_{|L|}$ also extends to a map $g' : |K| \rightarrow Y$ such that $\tilde{f}q\beta$ is $\text{st}^3\mathcal{U}$ -homotopic to $g'\beta$. Then $\bar{g} = g'p : X \rightarrow Y$ is an extension of $gqp|_A : A \rightarrow Y$. Since $gqp|_A$ is \mathcal{V} -close to g , g also extends to a map $\tilde{g} : X \rightarrow Y$ such that $\tilde{g}\alpha$ is \mathcal{U} -homotopic to $\bar{g}\alpha$. On the other hand, $\tilde{f}\alpha$ is \mathcal{U} -homotopic to $\tilde{f}qp\alpha = \tilde{f}q\beta\tilde{\alpha}$, which is $\text{st}^3\mathcal{U}$ -homotopic to $g'\beta\tilde{\alpha} = g'p\alpha = \bar{g}\alpha$. Consequently, $\tilde{f}\alpha$ and $\bar{g}\alpha$ are $\text{st}^4\mathcal{U}$ -homotopic.

General Case. We can embed (X, A) in an $(n + 1)$ -dimensional LC^n -pair (E, F) as a closed pair such that $A = X \cap F$. (In fact, first embed A in some F as a closed set and then $X \cup F$ in some E as a closed set.) Since Y is LC^n , we may assume that f and g are defined on an open neighborhood V of A in F and \tilde{f} is defined on an open neighborhood W of X in E such that $W \cap F = V$. Let $\beta : Z' \rightarrow E$ be an n -invertible proper map, where $\dim Z' \leq n$. (The existence of such a map follows from results in [Dr]. Refer the proof of [Chi₁, Proposition 2.1] and Remark on the proof of Proposition 1.2.4.) Then we have maps $\tilde{\alpha} : Z \rightarrow Z'$ and $\tilde{\beta} : \beta^{-1}(X) \rightarrow Z$ such that $\alpha = \beta\tilde{\alpha}$ and $\beta|_{\beta^{-1}(X)} = \alpha\tilde{\beta}$. Since Y is LC^n and β is proper, the \mathcal{U} -homotopy $\psi = \varphi(\tilde{\beta} \times \text{id}_I) : \beta^{-1}(A) \times I \rightarrow Y$ extends to a \mathcal{U} -homotopy $\tilde{\varphi} : \beta^{-1}(A') \times I \rightarrow Y$ such that $\tilde{\varphi}_0 = f\beta|_{\beta^{-1}(A')}$ and $\tilde{\varphi}_1 = g\beta|_{\beta^{-1}(A')}$, where A' is an open neighborhood of A in V . Let $X' = W \setminus (F \setminus A')$. Then (X', A') is an LC^n -pair with $\dim \leq n + 1$, f and g are defined on A' , the extension \tilde{f} of f is defined on X' , $f\beta|_{\beta^{-1}(A')}$ is \mathcal{U} -homotopic to $g\beta|_{\beta^{-1}(A')}$. By Case 2, g extends to a map $\tilde{g} : X' \rightarrow Y$ such that $\tilde{f}\beta$ and $\tilde{g}\beta$ are $\text{st}^5\mathcal{U}$ -homotopic, whence $\tilde{f}\alpha = \tilde{f}\beta\tilde{\alpha}$ and $\tilde{g}\beta\tilde{\alpha} = \tilde{g}\alpha$ are also $\text{st}^5\mathcal{U}$ -homotopic. This

completes the proof. \square

Remark. In the above theorem, if X and Y are locally compact, α , f , g and the homotopy from $f\alpha|_{\alpha^{-1}(A)}$ to $g\alpha|_{\alpha^{-1}(A)}$ are proper, then the homotopy from $\tilde{f}\alpha|_{\alpha^{-1}(A)}$ to $\tilde{g}\alpha|_{\alpha^{-1}(A)}$ can be proper. In fact, subdividing K in Case 1, we may assume that for any two contiguous maps from a locally compact space to $|K|$, if one of them is proper then the other is also proper. Then all maps and homotopies are proper. In case 2, take an open refinement \mathcal{U}' of \mathcal{U} such that any map from a locally compact space to Y is proper if it is \mathcal{U}' -close to a proper map, and let \mathcal{W} be an open refinement of \mathcal{U}' such that any two \mathcal{W} -close maps from a space with $\dim \leq n$ are \mathcal{U}' -homotopic, whence if one of two \mathcal{W} -close maps is proper then the other and the homotopy are also proper. Hence all maps and homotopies can be proper. In General Case, embed (X, A) in an $(n + 1)$ -dimensional locally compact LC^n -pair (E, F) as a closed pair such that $A = X \cap F$, and $\beta : Z' \rightarrow E$ be proper. Then X' is locally compact and all maps and homotopies can be proper.

The n -homotopy extension theorem for pairs can be proved by replacing spaces with pairs in the proof of Theorem 1.2.11.

Theorem 1.2.12 *Let (Y, Y_0) be an LC^n -pair, \mathcal{U} is an open cover of Y , (X, X_0) is a pair with $\dim \leq n + 1$, $\alpha : (Z, Z_0) \rightarrow (X, X_0)$ is an n -invertible map from a pair with $\dim \leq n$, (A, A_0) is a closed pair in (X, X_0) with $A_0 = A \cap X_0$, and $f, g : (A, A_0) \rightarrow (Y, Y_0)$ are maps such that $f\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$ is \mathcal{U} -homotopic to $g\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$. If f extends to a map $\tilde{f} : (X, X_0) \rightarrow (Y, Y_0)$ then g also extends to a map $\tilde{g} : (X, X_0) \rightarrow (Y, Y_0)$ such that $\tilde{f}\alpha$ is $\text{st}^5\mathcal{U}$ -homotopic to $\tilde{g}\alpha$.*

Proof. When spaces are replaced by pairs, we verify that all maps and homotopies in each cases are relative.

In Case 1, K and L are also replaced by pairs, whence the maps α' , γ and φ can be relative. Now remark that α and α' are relatively homotopic by the same homotopy. Since (Y, Y_0) is an LC^n -pair, we can extend φ' to a

relative homotopy $\psi' : (|K^{(n)}|, |K_0^{(n)}|) \times I \rightarrow (Y, Y_0)$. Thus the homotopy ψ is also relative. By the definition, \tilde{g} is naturally relative and $\tilde{f}\alpha'$ is relatively homotopic to $\tilde{g}\alpha'$. Since the homotopy from α to α' is relative, the homotopies from $\tilde{f}\alpha$ to $\tilde{f}\alpha'$ and from $\tilde{g}\alpha$ to $\tilde{g}\alpha'$ are also relative. Consequently, $\tilde{f}\alpha$ and $\tilde{g}\alpha'$ are also relatively homotopic.

In Case 2, let \mathcal{W} be an open refinement of \mathcal{U} satisfying the condition of Lemma 1.2.2, whence any two \mathcal{W} -close maps from a pair with $\dim \leq n$ are relatively \mathcal{U} -homotopic. Using Proposition 1.2.4 twice, we have an open refinement \mathcal{V} of \mathcal{W} satisfying $(*)_n$ for pairs. Then it is easy to see that all maps and homotopies are relative when all spaces, K and L are replaced by pairs. Lemma 1.2.9 guarantees that all spaces, K and L can be replaced by pairs in this Case 2.

In General Case, first embed (A, A_0) in an $(n + 1)$ -dimensional LC^n -pair (F, F_0) as a closed pair such that $A_0 = A \cap F_0$, and then embed $(X \cup F, X_0 \cup F_0)$ in an $(n + 1)$ -dimensional LC^n -pair (E, E_0) as a closed pair $X_0 \cup F_0 = (X \cup F) \cap E_0$, whence $X \cap F = A$ and $X_0 \cap F_0 = A_0$. Then similarly to Theorem 1.2.11, the general case can be reduced to Case 2. \square

Remark. Similarly to Theorem 1.2.11, if (X, X_0) and (Y, Y_0) are locally compact, α , f , g and the homotopy from $f\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$ to $g\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$ are proper, then the homotopy from $\tilde{f}\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$ to $\tilde{g}\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$ can be proper. Thus we have Theorem 1.2.6.

Chapter 2

μ^{n+1} -manifold pairs

In this chapter, we study Z -pairs in μ^{n+1} -manifold pairs and adapt the Z -set approximation theorem and the Z -set unknotting theorem to Z -pairs.

2.1 Z -pairs in μ^{n+1} -manifold pair

Let μ_0^{n+1} be a Z -set in μ^{n+1} which is homeomorphic to μ^{n+1} . We call (A, A_0) a Z -pair in (X, X_0) if A and A_0 are Z -sets in X and X_0 , respectively, and $A_0 = A \cap X_0$. For a pair (X, X_0) of spaces, X_0 is assumed to be a *closed* set in X .

A pair (M, M_0) is said to be a μ^{n+1} -manifold pair if M and M_0 are μ^{n+1} -manifolds and M_0 is a Z -set in M . For example, (μ^{n+1}, μ_0^{n+1}) is a μ^{n+1} -manifold pair. We say (A, A_0) Z -pair in a pair (X, X_0) if A and A_0 are Z -sets in X and X_0 , respectively, and $A_0 = A \cap X_0$.

An embedding $f : (X, X_0) \rightarrow (M, M_0)$ is a Z -embedding if $(f(X), f(X_0))$ is a Z -pair in (M, M_0) .

Lemma 2.1.1 *Let (A, A_0) and (B, B_0) be Z -pairs in (μ^{n+1}, μ_0^{n+1}) and let $f : (A, A_0) \rightarrow (B, B_0)$ be a homeomorphism. Then f extends to a homeomorphism $h : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$.*

Proof. By the Z -set unknotting theorem, $f|_{A_0} : A_0 \rightarrow B_0$ extends to a homeomorphism $h' : \mu_0^{n+1} \rightarrow \mu_0^{n+1}$. Then $f \cup h' : A \cup \mu_0^{n+1} \rightarrow B \cup \mu_0^{n+1}$ is a homeomorphism between two Z -sets. Again, by the Z -set unknotting theorem, $f \cup h'$ extends to a homeomorphism $h : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$. \square

Proposition 2.1.2 *Let (M, M_0) be a μ^{n+1} -manifold pair and (X, X_0) a closed pair in (M, M_0) such that X is a Z -set in M . Then for any open cover \mathcal{U} of M there exists a homeomorphism $h : M \rightarrow M$ such that h and id_M are \mathcal{U} -close, $h|_{X_0} = \text{id}_{X_0}$ and $h(X) \cap M_0 = X_0$.*

Proof. By [AHW, Lemma 3], $M \setminus X_0$ has an open cover \mathcal{U}' such that if a homeomorphism $h : M \setminus X_0 \rightarrow M \setminus X_0$ is \mathcal{U}' -close to $\text{id}_{M \setminus X_0}$ then h can be extended to a homeomorphism $\bar{h} : M \rightarrow M$ by $\bar{h}|_{X_0} = \text{id}_{X_0}$. Let \mathcal{V} be a common open refinement of $\mathcal{U} \cap (M \setminus X_0)$ and \mathcal{U}' . By the Z -set unknotting theorem, \mathcal{V} has an open refinement \mathcal{W} such that if $g : Z_1 \rightarrow Z_2$ is a homeomorphism between two Z -sets in $M \setminus X_0$ and g is \mathcal{W} -close to id_{Z_1} , then g extends to a homeomorphism $\bar{g} : M \setminus X_0 \rightarrow M \setminus X_0$ which is \mathcal{V} -close to $\text{id}_{M \setminus X_0}$. Since $M_0 \setminus X_0$ is a Z -set in $M \setminus X_0$, it follows from [Be, 2.3.6, 2.3.8] that there exists a Z -embedding $f_1 : X \setminus X_0 \rightarrow M \setminus X_0$ which is \mathcal{W} -close to $\text{id}_{X \setminus X_0}$ and $f_1(X \setminus X_0) \cap (M_0 \setminus X_0) = \emptyset$. Then the homeomorphism $f_1 : X \setminus X_0 \rightarrow f_1(X \setminus X_0)$ extends to a homeomorphism $f_2 : M \setminus X_0 \rightarrow M \setminus X_0$ which is \mathcal{V} -close to $\text{id}_{M \setminus X_0}$. By the property of \mathcal{U}' , there exists a homeomorphism $\bar{f}_2 : M \rightarrow M$ such that $\bar{f}_2|_{M \setminus X_0} = f_2$ and $\bar{f}_2|_{X_0} = \text{id}_{X_0}$. Since $\bar{f}_2(X \setminus X_0) \cap (M_0 \setminus X_0) = \emptyset$, we have $\bar{f}_2(X) \cap M_0 = X_0$. Then \bar{f}_2 is the desired homeomorphism. \square

By Proposition 2.1.2 and the Z -set approximation theorem, we have the following:

Corollary 2.1.3 *Every compact pair (X, X_0) of $\dim \leq n+1$ can be embedded in (μ^{n+1}, μ_0^{n+1}) as a Z -pair. \square*

Proposition 2.1.4 *Let (X, X_0) be a Z -pair in (μ^{n+1}, μ_0^{n+1}) . Then for every open neighborhood (U, U_0) of (X, X_0) with $U_0 = U \cap \mu_0^{n+1}$, there exists a compact μ^{n+1} -manifold pair (M, M_0) such that $X \subset M \subset U$, $X_0 \subset M_0 \subset U_0$ and $M_0 = M \cap \mu_0^{n+1}$.*

Proof. Since $\dim X \leq n+1$, it can be assumed that $(X, X_0) \subset (I^{2(n+1)+2}, I^{2(n+1)+1})$ and $X_0 = X \cap I^{2(n+1)+1}$. By Lemma 2.1.1, we can assume that (μ^{n+1}, μ_0^{n+1}) is obtained from $(I^{2(n+1)+2}, I^{2(n+1)+1})$ by the construction of [Be, Chap.2] so that $(X, X_0) \subset (\mu^{n+1}, \mu_0^{n+1})$. Take an open pair (V, V_0) in $(I^{2(n+1)+2}, I^{2(n+1)+1})$ such that $U = \mu^{n+1} \cap V$ and $U_0 = \mu_0^{n+1} \cap V_0$. Then there exists a regular neighborhood (R, R_0) of (X, X_0) in $(I^{2(n+1)+2}, I^{2(n+1)+1})$ such that $R \subset V$ and $R_0 = R \cap V_0$. Consequently, the desired (M, M_0) is obtained from (R, R_0) by the construction of [Be, Chap.2]. \square

Proposition 2.1.5 *Let (X, X_0) be a compact pair with $\dim \leq n+1$ and (M, M_0) a compact μ^{n+1} -manifold pair. Let (Y, Y_0) be a Z -pair in (M, M_0) and $f : (X, X_0) \rightarrow (M, M_0)$ a map. Then for any $\varepsilon > 0$ there exists a Z -embedding $h : (X, X_0) \rightarrow (M \setminus Y, M_0 \setminus Y_0)$ with $d(f, h) < \varepsilon$.*

Proof. By the Z -set unknotting theorem, there exists $\delta > 0$ ($\delta < \varepsilon/2$) such that if $\alpha : Z_1 \rightarrow Z_2$ is a homeomorphism between Z -sets in M with $d(\alpha, \text{id}_{Z_1}) < \delta$, then α extends to a homeomorphism $\bar{\alpha} : M \rightarrow M$ with $d(\bar{\alpha}, \text{id}_M) < \varepsilon/2$. Since (Y, Y_0) is a Z -pair in (M, M_0) , there exist Z -embeddings $g_0 : X_0 \rightarrow M_0 \setminus Y_0 \subset M \setminus Y$ and $g : X \rightarrow M \setminus Y$ such that $d(g_0, f|_{X_0}) < \delta/2$ and $d(g, f) < \delta/2$ ($< \varepsilon/2$), hence $d(g_0 g^{-1}|_{g(X_0)}, \text{id}_{g(X_0)}) = d(g_0, g|_{X_0}) \leq d(g_0, f|_{X_0}) + d(f|_{X_0}, g|_{X_0}) < \delta$. Then the homeomorphism $\text{id}_Y \cup g_0 g^{-1}|_{g(X_0)} : Y \cup g(X_0) \rightarrow Y \cup g_0(X_0)$ between Z -sets in M extends to a homeomorphism $h' : M \rightarrow M$ with $d(h', \text{id}_M) < \varepsilon/2$. Observe that $h'g(X) \subset M \setminus Y$ and $h'g(X_0) = g_0(X_0) \subset M_0 \setminus Y_0$. By Proposition 2.1.2, there exists a homeomorphism $h'' : M \rightarrow M$ such that $d(h'', \text{id}_M) < \varepsilon/4$, $h''|_{h'g(X_0)} = \text{id}_{h'g(X_0)}$ and $h''(h'g(X)) \cap M_0 =$

$h''(h'g(X_0))$. We obtain the desired Z -embedding $h = h''h'g : (X, X_0) \rightarrow (M, M_0)$ because

$$d(h, f) \leq d(h''h'g, h'g) + d(h'g, g) + d(g, f) < \varepsilon/4 + \varepsilon/2 + \varepsilon/4 = \varepsilon. \quad \square$$

Proposition 2.1.6 *Let (Z, Z_0) be a compact pair with $\dim \leq n$ and (M, M_0) a compact μ^{n+1} -manifold pair. Let (Y, Y_0) be a Z -pair in (M, M_0) and $H : (Z, Z_0) \times I \rightarrow (M, M_0)$ a homotopy such that $H_0(Z) \cap Y = \emptyset$ and $H_1(Z) \cap Y = \emptyset$. Then for each $\varepsilon > 0$ there exists a homotopy $\tilde{H} : (Z, Z_0) \times I \rightarrow (M \setminus Y, M_0 \setminus Y_0)$ such that $H_0 = \tilde{H}_0$, $H_1 = \tilde{H}_1$ and $d(H, \tilde{H}) < \varepsilon$.*

Proof. Since $(M \setminus Y, M_0 \setminus Y_0)$ is LC^n -pair, by Lemma 1.2.2, there exists an open covering \mathcal{V} of $M \setminus Y$ such that two \mathcal{V} -close maps from (Z, Z_0) to $(M \setminus Y, M_0 \setminus Y_0)$ are $\varepsilon/3$ -homotopic. Since $H_0(Z) \cup H_1(Z)$ is compact in $M \setminus Y$, there exists a Lebesgue number $\delta > 0$ ($\delta < \varepsilon/3$) such that $d(x, y) < \delta$, where $x \in H_0(Z) \cup H_1(Z)$ and $y \in M \setminus Y$, implies that $x, y \in V$ for some $V \in \mathcal{V}$. By Proposition 2.1.5, there exists a Z -embedding $H' : (Z, Z_0) \times I \rightarrow (M \setminus Y, M_0 \setminus Y_0)$ which is δ -close to H . Then there exist $\varepsilon/3$ -homotopies $F^{(i)} : (Z, Z_0) \times I \rightarrow (M \setminus Y, M_0 \setminus Y_0)$ ($i = 0, 1$) such that $F_0^{(0)} = H_0$, $F_1^{(0)} = H'_0$, $F_0^{(1)} = H'_1$ and $F_1^{(1)} = H_1$. Since Z is compact, there exists $t_0 > 0$ such that $\text{diam}H(\{x\} \times [0, t_0]) < \varepsilon/3$, $\text{diam}H(\{x\} \times [1 - t_0, 1]) < \varepsilon/3$, $\text{diam}H'(\{x\} \times [0, t_0]) < \varepsilon/3$ and $\text{diam}H'(\{x\} \times [1 - t_0, 1]) < \varepsilon/3$, for each $x \in Z$. We define the desired homotopy \tilde{H} as follows:

$$\tilde{H}(x, t) = \begin{cases} F^{(0)}(x, \frac{2}{t_0}t) & (0 \leq t \leq \frac{t_0}{2}), \\ H'(x, 2t - t_0) & (\frac{t_0}{2} \leq t \leq t_0), \\ H'(x, t) & (t_0 \leq t \leq 1 - t_0), \\ H'(x, 2t + t_0 - 1) & (1 - t_0 \leq t \leq 1 - \frac{t_0}{2}), \\ F^{(1)}(x, \frac{2}{t_0}(t - 1) + 1) & (1 - \frac{t_0}{2} \leq t \leq 1). \end{cases}$$

To see that $d(H, \tilde{H}) < \varepsilon$, let $(x, t) \in Z \times I$. If $0 \leq t \leq t_0/2$, then

$$\begin{aligned} d(\tilde{H}(x, t), H(x, t)) &\leq d(F^{(0)}(x, \frac{2}{t_0}t), F^{(0)}(x, 0)) + d(H(x, 0), H(x, t)) \\ &< \varepsilon/3 + \varepsilon/3 < \varepsilon. \end{aligned}$$

If $t_0/2 \leq t \leq t_0$, then

$$\begin{aligned} d(\tilde{H}(x, t), H(x, t)) &\leq d(H'(x, 2t - t_0), H'(x, 0)) \\ &\quad + d(F^{(0)}(x, 1), F^{(0)}(x, 0)) + d(H(x, 0), H(x, t)) \\ &< \varepsilon/3 + \varepsilon/3 + \varepsilon/3 = \varepsilon. \end{aligned}$$

If $1 - t_0 \leq t \leq 1$, we conclude that $d(\tilde{H}(x, t), H(x, t)) < \varepsilon$ by the same arguments. If $t_0 \leq t \leq 1 - t_0$, then

$$d(\tilde{H}(x, t), H(x, t)) = d(H'(x, t), H(x, t)) < \delta < \varepsilon/3 < \varepsilon. \quad \square$$

Corollary 2.1.7 *Let (X, X_0) be a compact pair and (M, M_0) a compact μ^{n+1} -manifold pair. Let (Y, Y_0) be a Z -pair in (M, M_0) and $f, g : (X, X_0) \rightarrow (M \setminus Y, M_0 \setminus Y_0)$ maps. Then $f \simeq^n g$ in (M, M_0) implies that $f \simeq^n g$ in $(M \setminus Y, M_0 \setminus Y_0)$. \square*

2.2 The Z -pair approximation theorem

In this section, we extend Theorem 1.1.3 to the Z -pair approximation theorem.

Theorem 2.2.1 *Let (M, M_0) be a μ^{n+1} -manifold pair, (X, X_0) a locally compact pair with $\dim \leq n + 1$, (A, A_0) a closed pair in (X, X_0) with $A \cap X_0 = A_0$ and let $f : (X, X_0) \rightarrow (M, M_0)$ be a proper map such that $f|_{(A, A_0)}$ is a Z -embedding. Then for any open cover \mathcal{U} of M , f is \mathcal{U} -close to a Z -embedding $g : (X, X_0) \rightarrow (M, M_0)$ such that $f|_{(A, A_0)} = g|_{(A, A_0)}$ and for any proper map $\alpha : (Z, Z_0) \rightarrow (X, X_0)$ of a pair with $\dim \leq n$, there is a proper \mathcal{U} -homotopy $\varphi : (Z, Z_0) \times I \rightarrow (M, M_0)$ such that $\varphi_0 = f\alpha$, $\varphi_1 = g\alpha$ and $\varphi_t|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))} = f\alpha|_{(\alpha^{-1}(A), \alpha^{-1}(A_0))}$ for all $t \in I$, namely, $f\alpha \simeq_{\mathcal{U}} g\alpha$ rel. $(\alpha^{-1}(A), \alpha^{-1}(A_0))$. In particular, f is relatively properly n -homotopic to g .*

By Lemma 1.2.5, we can easily prove the absolute case of Theorem 2.2.1, which strengthens the Z -set approximation theorem.

Theorem 2.2.2 *Let M be a μ^{n+1} -manifold, X a locally compact space with $\dim \leq n + 1$, A a closed set in X and let $f : X \rightarrow M$ be a proper map such that $f|_A$ is a Z -embedding. Then for any open cover \mathcal{U} of M , f is \mathcal{U} -close to a Z -embedding $g : X \rightarrow M$ such that $f|_A = g|_A$ and if $\alpha : Z \rightarrow X$ is a proper map and $\dim Z \leq n$ then there is a proper \mathcal{U} -homotopy $\varphi : Z \times I \rightarrow M$ with $\varphi_0 = f\alpha$, $\varphi_1 = g\alpha$ and $\varphi_t|_{\alpha^{-1}(A)} = f\alpha|_{\alpha^{-1}(A)}$ for all $t \in I$, hence $f\alpha \simeq_{\mathcal{U}} g\alpha$ rel. $\alpha^{-1}(A)$ and $f\alpha \simeq_p g\alpha$ rel. $\alpha^{-1}(A)$.*

Proof. Replacing \mathcal{U} by a refinement, we may assume that, if one of two \mathcal{U} -close maps from any locally compact space to M is proper, then the other one is also proper. By Lemma 1.2.5, \mathcal{U} has an open refinement of \mathcal{V} satisfying $(\sharp)_n$. By the Z -set approximation theorem, f is \mathcal{V} -close to a Z -embedding $g : X \rightarrow M$ such that $f|_A = g|_A$. Applying $(\sharp)_n$ to f, g and the constant homotopy $f\alpha_{\text{pr}_{\alpha^{-1}(A)}} : \alpha^{-1}(A) \times I \rightarrow M$, we can obtain the desired homotopy φ . \square

Now, we can prove Theorem 2.2.1.

Proof of Theorem 2.2.1. As in the proof of Theorem 2.2.2, we may assume that if one of two \mathcal{U} -close maps of a locally compact space to M is proper then the other is also proper. By Lemma 1.2.5, \mathcal{U} has an open refinement \mathcal{V} satisfying $(\sharp)_n$. Let \mathcal{U}_1 be an open star-refinement of \mathcal{V} . By Proposition 1.2.4, \mathcal{U}_1 has an open refinement \mathcal{V}_1 satisfying $(*)_n$. By Theorem 2.2.2, $f|_{X_0}$ is \mathcal{V}_1 -close to a Z -embedding $g_0 : X_0 \rightarrow M_0$ such that $f|_{A_0} = g_0|_{A_0}$ and there is a \mathcal{V}_1 -homotopy $\varphi : Z_0 \times I \rightarrow M_0$ such that $\varphi_0 = f\alpha|_{Z_0}$, $\varphi_1 = g_0\alpha|_{Z_0}$ and

$$\varphi_t|_{\alpha^{-1}(A_0)} = f\alpha|_{\alpha^{-1}(A_0)} \quad \text{for all } t \in I.$$

Then $g_0 \cup f|_A : X_0 \cup A \rightarrow M$ is a Z -embedding because $f(A_0) \subset g_0(X_0) \cap f(A) \subset f(A) \cap M_0 = f(A_0)$. Since $g_0 \cup f|_A$ is \mathcal{V}_1 -close to $f|_{X_0 \cup A}$, $g_0 \cup f|_A$ extends to a map $f_1 : X \rightarrow M$ which is \mathcal{U}_1 -close to f . By the Z -set approximation theorem, f_1 is \mathcal{U}_1 -close to a Z -embedding $g_1 : X \rightarrow M$ such that $g_1|_{X_0 \cup A} = f_1|_{X_0 \cup A} = g_0 \cup f|_A$. It should be remarked that $g_1 : (X, X_0) \rightarrow (M, M_0)$ is an extension

of f and both $g_1 : X \rightarrow M$ and $g_1|_{X_0} = g_0 : X_0 \rightarrow M_0$ are Z -embeddings but g_1 is not the desired one, because it can happen that $g_1(X) \cap M_0 \neq g_1(X_0)$.

By [AHW, Lemma 3], we have an open cover \mathcal{W} of $M \setminus g_1(A)$ ($= M \setminus f(A)$) such that, if $h : M \setminus g_1(A) \rightarrow M \setminus g_1(A)$ is a homeomorphism \mathcal{W} -close to $\text{id}_{M \setminus g_1(A)}$, then $h \cup \text{id}_{g_1(A)} : M \rightarrow M$ is a homeomorphism. We may assume that \mathcal{W} refines \mathcal{U}_1 . By Proposition 2.1.2, we have a homeomorphism $h : M \setminus g_1(A) \rightarrow M \setminus g_1(A)$ \mathcal{W} -close to $\text{id}_{M \setminus g_1(A)}$ such that $h|_{g_1(X_0)} = \text{id}_{g_1(X_0)}$ and $h(g_1(X)) \cap M_0 = g_1(X_0)$. Let $g = \bar{h}g_1 : (X, X_0) \rightarrow (M, M_0)$, where $\bar{h} = h \cup \text{id}_{g_1(A)} : M \rightarrow M$. Then g is the desired Z -embedding. In fact, g is \mathcal{U}_1 -close to g_1 . Recall that g_1 is \mathcal{U}_1 -close to f_1 and f_1 is \mathcal{U}_1 -close to f . Consequently, g and f are $\text{st}\mathcal{U}_1$ -close, hence they are \mathcal{V} -close. Since $\bar{h}|_{g(X_0 \cup A)} = \text{id}$, we have $g|_{X_0 \cup A} = \bar{h}g_1|_{X_0 \cup A} = g_1|_{X_0 \cup A} = g_0 \cup f|_A$. On the other hand, the homotopy φ can be extended to a homotopy $\bar{\varphi} : (Z_0 \cup \alpha^{-1}(A)) \times I \rightarrow M$ by $\bar{\varphi}_t|_{\alpha^{-1}(A)} = f\alpha|_{\alpha^{-1}(A)}$ for all $t \in I$. Then $\bar{\varphi}$ is a \mathcal{V} -homotopy such that

$$\bar{\varphi}_0 = f\alpha|_{Z_0 \cup \alpha^{-1}(A)} \text{ and } \bar{\varphi}_1 = (g_0 \cup f|_A)\alpha|_{Z_0 \cup \alpha^{-1}(A)} = g\alpha|_{Z_0 \cup \alpha^{-1}(A)}.$$

By using $(\#)_n$, we can extend $\bar{\varphi}$ to the desired homotopy $\tilde{\varphi}$. \square

2.3 The Z -pair unknotting theorem

In this section, we prove the Z -pair unknotting theorem as an extension of Theorem 1.1.2.

Theorem 2.3.1 *Let (M, M_0) be a μ^{n+1} -manifold pair and $f : (A, A_0) \rightarrow (M, M_0)$ a Z -embedding of a Z -pair (A, A_0) in (M, M_0) . If f is relatively properly n -homotopic to $\text{id}_{(A, A_0)}$ in (M, M_0) , then f extends to a homeomorphism $\tilde{f} : (M, M_0) \rightarrow (M, M_0)$ which is relatively properly n -homotopic to $\text{id}_{(M, M_0)}$.*

Proof. Step 1. In this step, it is not asserted that \tilde{f} is properly n -homotopic (\simeq_p^n) to $\text{id}_{(M, M_0)}$. Let $\alpha : (Z, Z_0) \rightarrow (M, M_0)$ be an n -invertible proper map

of a pair with $\dim \leq n$. By Theorem 1.2.6, f extends to a proper map $\bar{f} : (M, M_0) \rightarrow (M, M_0)$ which is properly n -homotopic to $\text{id}_{(M, M_0)}$. By Theorem 2.2.2, there exist Z -embeddings $g_0, g_1 : M_0 \rightarrow M_0$ such that $g_0|_{A_0} = \text{id}_{A_0}$, $g_1|_{A_0} = f$, $g_0\alpha|_{Z_0} \simeq_p \alpha|_{Z_0} \text{ rel. } \alpha^{-1}(A_0)$ and $g_1\alpha|_{Z_0} \simeq_p \bar{f}\alpha|_{Z_0} \text{ rel. } \alpha^{-1}(A_0)$. Since $g_0 \simeq_p^n \text{id}_{M_0}$ in M_0 and $g_1 \simeq_p^n \bar{f}|_{M_0} \simeq_p^n \text{id}_{M_0}$ in M_0 , by using the Z -set unknotting theorem, we have a homeomorphism $h : M_0 \rightarrow M_0$ such that $hg_0 = g_1$. Then $h|_{A_0} = hg_0|_{A_0} = g_1|_{A_0} = f|_{A_0}$ and $h\alpha|_{Z_0} \simeq_p hg_0\alpha|_{Z_0} = g_1\alpha|_{Z_0} \text{ rel. } \alpha^{-1}(A_0)$. Since $h(M_0) \cap f(A) = f(A) \cap M_0 = f(A_0)$, $h \cup f : M_0 \cup A \rightarrow M$ is a Z -embedding. Observe that

$$(h \cup f)\alpha|_{Z_0 \cup \alpha^{-1}(A)} \simeq_p (g_1 \cup f)\alpha|_{Z_0 \cup \alpha^{-1}(A)} \simeq_p \bar{f}\alpha|_{Z_0 \cup \alpha^{-1}(A)} \text{ rel. } \alpha^{-1}(A),$$

hence, $h \cup f \simeq_p^n \text{id}_{M_0 \cup A}$. Using again the Z -set unknotting theorem, we have a homeomorphism $\tilde{f} : M \rightarrow M$ such that $\tilde{f}|_{M_0 \cup A} = h \cup f$, i.e., $\tilde{f}|_{M_0} = h$ and $\tilde{f}|_A = f$. Then $\tilde{f} : (M, M_0) \rightarrow (M, M_0)$ is the desired homeomorphism.

Step 2. By Theorem 1.2.6, f extends to a map $\bar{f} : (M, M_0) \rightarrow (M, M_0)$ which is properly n -homotopic to $\text{id}_{(M, M_0)}$. By Theorem 2.2.1, we have Z -embeddings $f_0, f_1 : (M, M_0) \rightarrow (M, M_0)$ such that

$$f_0|_{(A, A_0)} = \text{id}_{(A, A_0)}, f_0 \simeq_p^n \text{id}_{(M, M_0)}, f_1|_{(A, A_0)} = f \text{ and } f_1 \simeq_p^n \bar{f} \simeq_p^n \text{id}_{(M, M_0)}.$$

Applying Step 1 to the Z -embedding $f_1 f_0^{-1} : (f_0(M), f_0(M_0)) \rightarrow (M, M_1)$, we have a homeomorphism $h : (M, M_0) \rightarrow (M, M_0)$ such that $h f_0 = f_1$. Then h is the desired homeomorphism. In fact, $h|_{(A, A_0)} = h f_0|_{(A, A_0)} = f_1|_{(A, A_0)} = f$ and

$$h \simeq_p^n h f_0 = f_1 \simeq_p^n \text{id}_{(M, M_0)} \text{ in } (M, M_0). \quad \square$$

Remark. In our approach as above, we can't give a covering estimate to Theorem 2.3.1. The problem remains to establish the Z -pair unknotting theorem with a covering estimate like in Theorem 2.2.1.

Chapter 3

The complement theorem in n -shape theory for compact pairs

The concept of shape was introduced by Borsuk [Bo₁]. In many studies, shape theory has been developed as a big branch of geometric topology (cf. [Bo₃], [MS₂]). Using Q -manifold theory, Chapman [Cha₁] established the so-called complement theorem, that is,

Theorem A *If X and Y are Z -sets in Q , then X and Y are shape equivalent if and only if $Q \setminus X$ and $Q \setminus Y$ are homeomorphic. \square*

At the same time, he introduced the notion of weak proper homotopy and proved the following theorem:

Theorem B *Let \mathcal{S} denote the category of Z -sets in Q and shape morphisms, and let \mathcal{P} denote the category of complements of Z -sets in Q and weak proper homotopy classes of proper maps. Then there exists a category isomorphism T from \mathcal{S} onto \mathcal{P} such that $T(X) = Q \setminus X$ for each Z -set X in Q . \square*

On the other hand, μ^{n+1} -manifolds were introduced and investigated by Bestvina [Be]. From many studies, it has become clear that μ^{n+1} -manifolds are “ $(n + 1)$ -dimensional” analogues of Q -manifolds. In [Chi₁], Chigogidze introduced the concept of n -shape for compacta and proved the n -shape version of Theorem by using μ^{n+1} manifold theory.

The concept of shape was extended to compact pairs by Borsuk [Bo₃] (cf. [Bo₄]) and by Mardešić and Segal [MS₁] (cf. [MS₂]), but their definitions do not coincide (see [Ma]). The shape of pairs in the sense of Mardešić-Segal is defined by using inverse systems, but it can be also defined using the Borsuk approach (cf. [KO]). In [Fe], Felt tried to generalize Chapman’s complement theorem to compact pairs using the definition of shape in the sense of Mardešić-Segal. However, his proof contained a gap, which was recovered by [Sa].

In this chapter, we introduce the notion of weak proper n -homotopy and prove the n -shape version of Theorem B. Moreover, we generalize Chigogidze’s complement theorem to compact pairs.

Let μ_0^{n+1} be a Z -set in μ^{n+1} which is homeomorphic to μ^{n+1} . We call (A, A_0) a Z -pair in (X, X_0) if A and A_0 are Z -sets in X and X_0 , respectively, and $A_0 = A \cap X_0$. For a pair (X, X_0) of spaces, X_0 is assumed to be a *closed* set in X . A pair (M, M_0) is said to be a μ^{n+1} -manifold pair if M and M_0 are μ^{n+1} -manifolds and M_0 is a Z -set in M . An embedding $f : (X, X_0) \rightarrow (M, M_0)$ is a Z -embedding if $(f(X), f(X_0))$ is a Z -pair in (M, M_0) .

The following is our main theorem in this chapter.

Theorem C *There exists a categorical isomorphism Ψ from the n -shape category $\mathcal{S}_{\text{pair}}^n$ of Z -pairs in (μ^{n+1}, μ_0^{n+1}) to the weak proper n -homotopy category $\mathcal{P}_{\text{pair}}^n$ of complements of Z -pairs such that $\Psi(X, X_0) = (\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus X_0)$, for each Z -pair (X, X_0) in (μ^{n+1}, μ_0^{n+1}) .*

Theorem D *For any two Z -pairs (X, X_0) and (Y, Y_0) in (μ^{n+1}, μ_0^{n+1}) , n -*

$\text{Sh}(X, X_0) = n\text{-Sh}(Y, Y_0)$ if and only if

$$(\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus X_0) \approx (\mu^{n+1} \setminus Y, \mu_0^{n+1} \setminus Y_0).$$

3.1 The n -shape of compact pairs and weak proper n -homotopy

An inverse sequence of LC^n -pairs $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ is called an LC^n -sequence. In case $\dim X_i \leq n + 1$ for each i , $(\mathbf{X}, \mathbf{X}_0)$ is called an $LC^n(n + 1)$ -sequence. We say that $(\mathbf{X}, \mathbf{X}_0)$ is associated with (X, X_0) if $(X, X_0) = \lim_{\leftarrow} (\mathbf{X}, \mathbf{X}_0)$. The following is an easy consequence of Proposition 2.1.4.

Proposition 3.1.1 *Let (X, X_0) be a Z -pair in (μ^{n+1}, μ_0^{n+1}) . Then there exists an $LC^n(n + 1)$ -sequence $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ associated with (X, X_0) such that each (X_i, X_{0i}) is a compact μ^{n+1} -manifold pair which is a neighborhood of (X, X_0) with $X_{i+1} \subset \text{int}X_i$, $X_{0i} = X_i \cap \mu_0^{n+1}$ and each $p_i^{i+1} : (X_{i+1}, X_{0i+1}) \rightarrow (X_i, X_{0i})$ is the inclusion. \square*

For every compact pair (X, X_0) with $\dim \leq n + 1$, Corollary 2.1.3 and Proposition 3.1.1 guarantee the existence of an $LC^n(n+1)$ -sequence $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ associated with (X, X_0) .

Let $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ and $(\mathbf{Y}, \mathbf{Y}_0) = \{(Y_i, Y_{0i}), q_i^{i+1}\}$ be LC^n -sequences. An n -morphism $\mathbf{f} = (f, \{f_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ consists of an increasing function $f : \omega \rightarrow \omega$ and a collection $\{f_i\}$ of maps $f_i : (X_{f(i)}, X_{0f(i)}) \rightarrow (Y_i, Y_{0i})$ such that $f_i p_{f(i)}^{f(i)} \simeq^n q_i^j f_j$ in (Y_i, Y_{0i}) , for each $i, j \in \omega$ with $j \geq i$. The identity map $\mathbf{id}_{(\mathbf{X}, \mathbf{X}_0)} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{X}, \mathbf{X}_0)$ is defined by $\text{id}_\omega : \omega \rightarrow \omega$ and $\text{id}_{(X_i, X_{0i})} : (X_i, X_{0i}) \rightarrow (X_i, X_{0i})$. The composition of two n -morphisms $\mathbf{f} = (f, \{f_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ and $\mathbf{g} = (g, \{g_i\}) : (\mathbf{Y}, \mathbf{Y}_0) \rightarrow (\mathbf{Z}, \mathbf{Z}_0) = \{(Z_i, Z_{0i}), r_i^{i+1}\}$ are defined by $\mathbf{fg} = (fg, \{g_i f_{g(i)}\})$. Observe that \mathbf{fg} forms an n -morphism.

Two n -morphisms $\mathbf{f} = (f, \{f_i\}), \mathbf{g} = (g, \{g_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ are said to be n -homotopic (notation: $\mathbf{f} \simeq^n \mathbf{g}$) if for each $i \in \omega$ there exists $j \geq f(i), g(i)$ such that $f_i p_{f(i)}^j \simeq^n g_i p_{g(i)}^j$ in (Y_i, Y_{0i}) . It is clear that the relation \simeq^n of n -morphisms is an equivalence relation. The equivalence class of \mathbf{f} is denoted by $[\mathbf{f}]_n$.

By the same argument as in [MS₁], we have the following proposition which corresponds to theorem 12 in [MS₁].

Proposition 3.1.2 *Let $(\mathbf{X}, \mathbf{X}_0)$ and $(\mathbf{Y}, \mathbf{Y}_0)$ be $LC^n(n+1)$ -sequences associated with (X, X_0) and (Y, Y_0) respectively. Then $(X, X_0) \simeq^n (Y, Y_0)$ implies $(\mathbf{X}, \mathbf{X}_0) \simeq^n (\mathbf{Y}, \mathbf{Y}_0)$. \square*

Corollary 3.1.3 *If $(\mathbf{X}, \mathbf{X}_0)$ and $(\mathbf{X}', \mathbf{X}'_0)$ are $LC^n(n+1)$ -sequences associated with the same compact pair (X, X_0) , then $(\mathbf{X}, \mathbf{X}_0) \simeq^n (\mathbf{X}', \mathbf{X}'_0)$. \square*

Corollary 3.1.3 implies that every compact pair (X, X_0) of $\dim \leq n+1$ determines an n -homotopy type of an $LC^n(n+1)$ -sequence $(\mathbf{X}, \mathbf{X}_0)$ associated with (X, X_0) , which is called the n -shape of (X, X_0) , and is denoted by n -Sh (X, X_0) .

Let (X, X_0) and (Y, Y_0) be compact pairs with $\dim \leq n+1$ and let $(\mathbf{X}, \mathbf{X}_0)$ and $(\mathbf{Y}, \mathbf{Y}_0)$ be $LC^n(n+1)$ -sequences associated with (X, X_0) and (Y, Y_0) , respectively. The n -shape morphism from (X, X_0) to (Y, Y_0) is represented by the n -homotopy class of n -morphisms from $(\mathbf{X}, \mathbf{X}_0)$ to $(\mathbf{Y}, \mathbf{Y}_0)$. For more information about n -shape, refer to [Chi₂].

Two proper maps $f, g : (X, X_0) \rightarrow (Y, Y_0)$ are said to be *weakly properly homotopic* (notation: $f \simeq_{wp} g$) if for any compactum D in Y there exists a homotopy $H : (X, X_0) \times I \rightarrow (Y, Y_0)$ connecting f and g and a compactum C in X such that $H(X \setminus C, X_0 \setminus C) \subset (Y \setminus D, Y_0 \setminus D)$. Two proper maps $f, g : (X, X_0) \rightarrow (Y, Y_0)$ are *weakly properly n -homotopic* (notation: $f \simeq_{wp}^n g$) if $f\alpha \simeq_{wp} g\alpha$ for any proper map $\alpha : (Z, Z_0) \rightarrow (X, X_0)$ of a pair (Z, Z_0) with

$\dim \leq n$. Observe that $f \simeq_{wp}^n g$ if and only if $f\alpha \simeq_{wp} g\alpha$ for some n -invertible proper map $\alpha : (Z, Z_0) \rightarrow (X, X_0)$ of a pair (Z, Z_0) with $\dim \leq n$ (see the remark after Theorem 1.1.6). Moreover, we have the following:

Proposition 3.1.4 *Let $f, g : (X, X_0) \rightarrow (Y, Y_0)$ be proper maps. Then $f \simeq_{wp}^n g$ if and only if for each compactum D in Y there exists a compactum C in X such that $f|_{(X \setminus C, X_0 \setminus C)} \simeq^n g|_{(X \setminus C, X_0 \setminus C)}$ in $(Y \setminus D, Y_0 \setminus D)$.*

Proof. Let $\alpha : (Z, Z_0) \rightarrow (X, X_0)$ be an n -invertible proper map of a pair (Z, Z_0) with $\dim \leq n$. First, assume $f \simeq_{wp}^n g$. Since $f\alpha \simeq_{wp} g\alpha$, for each compactum D in Y , there exists a compactum E in Z such that $f\alpha|_{(Z \setminus E, Z_0 \setminus E)} \simeq g\alpha|_{(Z \setminus E, Z_0 \setminus E)}$ in $(Y \setminus D, Y_0 \setminus D)$. Then $C = \alpha(E)$ is the desired compactum. Conversely, assume that for each compactum D in Y there is a compactum C in X satisfying the condition. Then $E = \alpha^{-1}(C)$ is a compactum in Z and $f\alpha|_{(Z \setminus E, Z_0 \setminus E)} \simeq g\alpha|_{(Z \setminus E, Z_0 \setminus E)}$ in $(Y \setminus D, Y_0 \setminus D)$. Thus, $f\alpha \simeq_{wp} g\alpha$, which implies $f \simeq_{wp}^n g$. \square

By the definitions, we have $f \simeq_p^n g \Rightarrow f \simeq_{wp}^n g \Rightarrow f \simeq^n g$. Clearly the relation \simeq_{wp}^n is an equivalent relation. The weak proper n -homotopy class of a proper map f is denoted by $\{f\}_n$.

3.2 A categorical isomorphism from $\mathcal{S}_{\text{pair}}^n$ to $\mathcal{P}_{\text{pair}}^n$

Let $\mathcal{S}_{\text{pair}}^n$ be the category of Z -pairs in (μ^{n+1}, μ_0^{n+1}) and n -shape morphisms. Let $\mathcal{P}_{\text{pair}}^n$ be the category of complements of Z -pairs in (μ^{n+1}, μ_0^{n+1}) and weak proper n -homotopy classes of proper maps. In this section, we will construct a categorical isomorphism $\Psi : \mathcal{S}_{\text{pair}}^n \rightarrow \mathcal{P}_{\text{pair}}^n$.

Let (X, X_0) and (Y, Y_0) be Z -pairs in (μ^{n+1}, μ_0^{n+1}) and let

$$(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\} \text{ and } (\mathbf{Y}, \mathbf{Y}_0) = \{(Y_i, Y_{0i}), q_i^{i+1}\}$$

be nested sequences of compact μ^{n+1} -manifold neighborhoods of (X, X_0) and (Y, Y_0) in (μ^{n+1}, μ_0^{n+1}) respectively, which are obtained by Proposition 3.1.1.

An n -morphism $\mathbf{f} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ is called a *nice n -morphism* if $f_i(X_{f(i)} \cap Y = \emptyset$, for each $i \in \omega$.

Lemma 3.2.1 *For each n -morphism $\mathbf{g} = (g, \{g_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$, there exists a nice n -morphism $\mathbf{f} = (f, \{f_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ such that each $f_i : (X_{f(i)}, X_{0f(i)}) \rightarrow (Y_i, Y_{0i})$ is a Z -embedding, $f = g$ and $f_i \simeq^n g_i$ in (Y_i, Y_{0i}) , hence $\mathbf{f} \simeq^n \mathbf{g}$.*

Proof. Recall that (X_i, X_{0i}) and (Y_i, Y_{0i}) are compact μ^{n+1} -manifold pairs. Take $\varepsilon_i > 0$ such that two ε_i -close maps into (Y_i, Y_{0i}) are n -homotopic. Let $i \in \omega$ be fixed. By Proposition 2.1.5, there exists a Z -embedding $f_i : (X_{g(i)}, X_{0g(i)}) \rightarrow (Y_i \setminus Y, Y_{0i} \setminus Y_0)$ with $d(f_i, g_i) < \varepsilon_i$. Then $\mathbf{f} = (g, \{f_i\})$ is the desired n -morphism. In fact, since \mathbf{g} is an n -morphism and $g_i \simeq^n f_i$, we conclude that $f_i p_{g(i)}^{g(j)} \simeq^n q_i^j f_j$, for each $j \geq i$. \square

For each nice n -morphism $\mathbf{f} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$, we say that a proper map $\tilde{f} : (\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus X_0) \rightarrow (\mu^{n+1} \setminus Y, \mu_0^{n+1} \setminus Y_0)$ is *associated* with \mathbf{f} provided

$$\tilde{f}|_{(X_{f(i)} \setminus X, X_{0f(i)} \setminus X_0)} \simeq^n f_i|_{(X_{f(i)} \setminus X, X_{0f(i)} \setminus Y_0)} \text{ in } (Y_i \setminus Y, Y_{0i} \setminus Y_0), \text{ for each } i \in \omega.$$

By Lemma 3.2.1, we have the relative case of Lemma 4.2 in [Chi₁].

Lemma 3.2.2 *Let (X, X_0) be a Z -pair in (μ^{n+1}, μ_0^{n+1}) . Then there exists a nice n -morphism $\varphi^X = (\text{id}_\omega, \{\varphi_i^X\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{X}, \mathbf{X}_0)$ such that φ_i^X is a Z -embedding, $\varphi_i^X \simeq^n \text{id}_{(X_i, X_{0i})}$ in (X_i, X_{0i}) , hence, $\varphi^X \simeq^n \text{id}_{(\mathbf{X}, \mathbf{X}_0)}$. Moreover, the identity map $\text{id}_{(\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus X_0)}$ is associated with φ^X , that is,*

$$\varphi_i^X|_{(X_i \setminus X, X_{0i} \setminus X_0)} \simeq^n \text{id}_{(X_i \setminus X, X_{0i} \setminus X_0)} \text{ in } (X_i \setminus X, X_{0i} \setminus X_0), \text{ for each } i \in \omega.$$

Proof. The first statement is a direct consequence of Lemma 3.2.1. To see the additional statement, let $\alpha : (Z, Z_0) \rightarrow (X_i, X_{0i})$ be an n -invertible proper map of a pair (Z, Z_0) with $\dim \leq n$. Remark that Z is compact. Since

$\varphi_i^X \simeq^n \text{id}_{(X_i, X_{0i})}$ in (X_i, X_{0i}) , there exists a homotopy $H : (Z, Z_0) \times I \rightarrow (X_i, X_{0i})$ such that $H_1 = \varphi_i^X \alpha$ and $H_0 = \alpha$. Let $f_1 = \varphi_i^X \alpha$ and $j \geq 2$ fixed. Since Z is compact, there exists a sequence $1 > t_1 > t_2 > \dots > 0$ such that $H(\{x\} \times [0, t_j]) < 2^{-j}$, for each $x \in Z$. By Lemma 1.2.2, there exists $\delta_j > 0$ ($\delta_j < 2^{-j-2}$) such that any two δ_j -close maps from (Z, Z_0) to (X_i, X_{0i}) are 2^{-j-2} -homotopic in (X_i, X_{0i}) . By Proposition 2.1.5, there exists a Z -embedding $f_j : (Z, Z_0) \rightarrow (X_i \setminus X, X_{0i} \setminus X_0)$ such that $d(f_j, H_{t_j}) < \delta_j$. Then there exists a 2^{-j-2} -homotopy $G^j : (Z, Z_0) \times I \rightarrow (X_i, X_{0i})$ such that $G_0^j = H_{t_j}$ and $G_1^j = f_j$. We can define a homotopy $F^j : (Z, Z_0) \times I \rightarrow (X_i, X_{0i})$ connecting f_{j+1} and f_j as follows:

$$F^j(x, t) = \begin{cases} G^{j+1}(x, 1 - 3t) & (0 \leq t \leq 1/3), \\ H(x, 3(t - t_{j+1})t + 2t_{j+1} - t_j) & (1/3 \leq t \leq 2/3), \\ G^j(x, 3t - 2) & (2/3 \leq t \leq 1). \end{cases}$$

By Proposition 2.1.6, there exists a homotopy $\tilde{F}^j : (Z, Z_0) \times I \rightarrow (X_i \setminus X, X_{0i} \setminus X_0)$ such that $\tilde{F}_0^j = F_0^j$, $\tilde{F}_1^j = F_1^j$ and $d(\tilde{F}^j, F^j) < 2^{-j-4}$. Note that \tilde{F}^j is a 2^{-j+1} -homotopy. In fact,

$$\begin{aligned} \text{diam} \tilde{F}^j(\{x\} \times I) &\leq 2 \cdot 2^{-j-4} + \text{diam} F^j(\{x\} \times I) \\ &\leq 2^{-j-3} + 2 \cdot 2^{-j-3} + 2 \cdot 2^{-j-2} + \text{diam} H(\{x\} \times [t_{j+1}, t_j]) \\ &\leq 2^{-j-3} + 2^{-j-2} + 2^{-j-1} + 2^{-j} \\ &< 2^{-j+1}. \end{aligned}$$

Again by Proposition 2.1.6, there exists $\tilde{F}^1 : (Z, Z_0) \times I \rightarrow (X_i \setminus X, X_{0i} \setminus X_0)$ such that $\tilde{F}_0^1 = f_2$ and $\tilde{F}_1^1 = f_1$. By using a linear homeomorphism $s_j : [t_{j+1}, t_j] \rightarrow I$, we can define a homotopy $\tilde{H} : (Z, Z_0) \times I \rightarrow (X_i, X_{0i})$ such that $\tilde{H}_0 = \alpha$ and $\tilde{H}|_{(Z, Z_0) \times (0, 1]} = \bigcup_{j \in \omega} \tilde{F}^j \circ (\text{id}_{(Z, Z_0)} \times s_j)$.

It is clear that \tilde{H} is continuous on $Z \times (0, 1]$. To prove the continuity of \tilde{H} at $(x, 0) \in Z \times \{0\}$, let $\varepsilon > 0$. Then there exists $m \in \omega$ such that $2^{-m+2} < \varepsilon/2$. For each $x \in Z$, there exists a neighborhood U of x

in Z such that $d(\alpha(x), \alpha(x')) < \varepsilon/2$ for each $x' \in U$. For each $t \in (0, t_m]$, choose $j \geq m$ so that $t \in [t_{j+1}, t_j]$. Since $f_j \rightarrow \alpha$, $d(\tilde{H}(x', t), \alpha(x')) = d(\tilde{F}^j(x', s_j(t)), \alpha(x')) \leq d(\tilde{F}^j(x', s_j(t)), f_{j+1}(x')) + \sum_{i>j} d(f_i(x'), f_{i+1}(x')) \leq 2^{-m+1} + 2^{-m} + \dots = 2^{-m+2} < \varepsilon/2$. Thus, for each $(x', t) \in U \times (0, t_m]$,

$$d(\tilde{H}(x', t), \tilde{H}(x, 0)) \leq d(\tilde{H}(x', t), \alpha(x')) + d(\alpha(x'), \alpha(x)) < \varepsilon/2 + \varepsilon/2 = \varepsilon.$$

Observe that $\tilde{H}(Z \times (0, 1]) \cap X = \emptyset$, which implies

$$\varphi_i^X \alpha|_{(Z \setminus \alpha^{-1}(X), Z_0 \setminus \alpha^{-1}(X_0))} \simeq \alpha|_{(Z \setminus \alpha^{-1}(X), Z_0 \setminus \alpha^{-1}(X_0))} \text{ in } (X_i \setminus X, X_{0i} \setminus X_0). \quad \square$$

Lemma 3.2.3 *For each nice n -morphism $\mathbf{f} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$, there exists a proper map $\tilde{f} : (\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus X_0) \rightarrow (\mu^{n+1} \setminus Y, \mu_0^{n+1} \setminus Y_0)$ associated with \mathbf{f} .*

Proof. Because of similarity and bothersome notation, we prove only the absolute case. Note that $f_i(X_{f(i)}) \subset Y_i \setminus Y$. Since $\mu^{n+1} \setminus Y$ is $C^n \cap LC^n$, by Lemma 1.2.1, the map $f_1 : X_{f(1)} \rightarrow Y_1 \setminus Y \subset \mu^{n+1} \setminus Y$ has an extension $h_1 : \mu^{n+1} \rightarrow \mu^{n+1} \setminus Y$.

Assume now that we have constructed $h_i : \mu^{n+1} \rightarrow \mu^{n+1} \setminus Y$ such that

- 1_{*i*}. $h_i|_{X_{f(i)}} = f_i$,
- 2_{*i*}. $h_i|_{\mu^{n+1} \setminus \text{int } X_{f(i-1)}} = h_{i-1}|_{\mu^{n+1} \setminus \text{int } X_{f(i-1)}}$,
- 3_{*i*}. $h_i|_{X_{f(i-1)}} \simeq^n f_{i-1}|_{X_{f(i-1)}}$ in $Y_{i-1} \setminus Y$.

We will construct $h_{i+1} : \mu^{n+1} \rightarrow \mu^{n+1} \setminus Y$. Consider the map $f_{i+1} \cup f_i|_{\text{bd } X_{f(i)}} : X_{f(i+1)} \cup \text{bd } X_{f(i)} \rightarrow Y_i \setminus Y$. Since \mathbf{f} is an n -morphism and Y is a Z -set in Y_i , we have $f_i|_{X_{f(i+1)}} \cup f_i|_{\text{bd } X_{f(i)}} \simeq^n f_{i+1} \cup f_i|_{\text{bd } X_{f(i)}}$ in $Y_i \setminus Y$. By Lemma 1.2.6, there exists an extension $F_{i+1} : X_{f(i)} \rightarrow Y_i \setminus Y$ such that $F_{i+1}|_{X_{f(i+1)}} = f_{i+1}$, $F_{i+1}|_{\text{bd } X_{f(i)}} = f_i|_{\text{bd } X_{f(i)}}$ and $F_{i+1}|_{X_{f(i)}} \simeq^n f_i$ in $Y_i \setminus Y$. We can define the map $h_{i+1} : \mu^{n+1} \rightarrow \mu^{n+1} \setminus Y$ as follows:

$$h_{i+1}(x) = \begin{cases} h_i(x) & \text{for } x \in \mu^{n+1} \setminus \text{int } X_{f(i)}, \\ F_{i+1}(x) & \text{for } x \in X_{f(i)}. \end{cases}$$

Observe that h_{i+1} is well defined and satisfies $1_{i+1} \sim 3_{i+1}$. By the induction, we have a sequence of maps $h_i : \mu^{n+1} \rightarrow \mu^{n+1} \setminus Y$.

Now, we define a map $\tilde{f} : \mu^{n+1} \setminus X \rightarrow \mu^{n+1} \setminus Y$ by $\tilde{f} = \lim_{i \rightarrow \infty} h_i$. By 2 $_i$, \tilde{f} is well defined. Indeed, $\tilde{f}|_{\mu^{n+1} \setminus \text{int} X_{f(i)}} = h_i|_{\mu^{n+1} \setminus \text{int} X_{f(i)}}$. For every compactum D in $\mu^{n+1} \setminus Y$, $\mu^{n+1} \setminus D$ is a neighborhood of Y . Then there exists Y_i such that $Y \subset Y_i \subset \mu^{n+1} \setminus D$. Let $C = \mu^{n+1} \setminus \text{int} X_{f(i)}$. Since $(\mu^{n+1} \setminus X) \setminus C \subset X_{f(i)}$ and $\tilde{f}(X_{f(i)} \setminus X) \subset Y_i$, it follows that $\tilde{f}((\mu^{n+1} \setminus X) \setminus C) \subset Y_i$. Therefore $\tilde{f}((\mu^{n+1} \setminus X) \setminus C) \cap D = \emptyset$. Thus \tilde{f} is a proper map. Moreover, by composing the n -homotopies of 3 $_i$, it is easy to see that $\tilde{f}|_{X_{f(i)} \setminus X} \simeq^n f_i|_{X_{f(i)} \setminus X}$ in $Y_i \setminus Y$ for each $i \in \omega$. \square

Lemma 3.2.4 *Let $\tilde{f}, \tilde{g} : (\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus X_0) \rightarrow (\mu^{n+1} \setminus Y, \mu_0^{n+1} \setminus Y_0)$ be proper maps associated with nice n -morphisms $\mathbf{f} = (f, \{f_i\}), \mathbf{g} = (g, \{g_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$, respectively. Then $\mathbf{f} \simeq^n \mathbf{g}$ if and only if $\tilde{f} \simeq_{wp}^n \tilde{g}$.*

Proof. By the same reason as in Lemma 3.2.3, we prove only the absolute case. First we prove the “only if” part. For each compactum E in $\mu^{n+1} \setminus Y$, there exists an $i \in \omega$ such that $E \cap Y_i = \emptyset$. Since $\mathbf{f} \simeq^n \mathbf{g}$, \mathbf{f} and \mathbf{g} are nice n -morphisms and Y is a Z -set, by Proposition 2.1.6, there exists $j \geq f(i), g(i)$ such that $f_i|_{X_j} \simeq^n g_i|_{X_j}$ in $Y_i \setminus Y$. Since \tilde{f} and \tilde{g} are associated with \mathbf{f} and \mathbf{g} respectively, we have $\tilde{f}|_{X_j \setminus X} \simeq^n f_i|_{X_j \setminus X} \simeq^n g_i|_{X_j \setminus X} \simeq^n \tilde{g}|_{X_j \setminus X}$ in $Y_i \setminus Y$. Note that $D = \mu^{n+1} \setminus \text{int} X_j$ is a compactum in $\mu^{n+1} \setminus X$, $(\mu^{n+1} \setminus X) \setminus D \subset X_j \setminus X$ and $Y_i \setminus Y \subset (\mu^{n+1} \setminus Y) \setminus E$. Then it follows that $\tilde{f}|_{(\mu^{n+1} \setminus X) \setminus D} \simeq^n \tilde{g}|_{(\mu^{n+1} \setminus X) \setminus D}$ in $(\mu^{n+1} \setminus Y) \setminus E$. Thus we have $\tilde{f} \simeq_{wp}^n \tilde{g}$.

To prove the “if” part, assume $\tilde{f} \simeq_{wp}^n \tilde{g}$. For each $i \in \omega$, $E = \mu^{n+1} \setminus \text{int} Y_i$ is a compactum in $\mu^{n+1} \setminus Y$. Then we have a compactum D in $\mu^{n+1} \setminus X$ such that $\tilde{f}|_{(\mu^{n+1} \setminus X) \setminus D} \simeq^n \tilde{g}|_{(\mu^{n+1} \setminus X) \setminus D}$ in $(\mu^{n+1} \setminus Y) \setminus E$. Take $j \geq f(i), g(i)$ such that $X_j \subset \mu^{n+1} \setminus D$. Let φ^X be a nice n -morphism obtained by Lemma 3.2.2. Then $\varphi_j^X(X_j) \subset X_j \setminus X$ and $\varphi_j^X \simeq^n \text{id}_{X_j}$ in X_j . From the definition, it follows

that

$$f_i|_{X_j} \simeq^n f_i\varphi_j^X|_{X_j} \simeq^n \tilde{f}\varphi_j^X|_{X_j} \simeq^n \tilde{g}\varphi_j^X|_{X_j} \simeq^n g_i\varphi_j^X|_{X_j} \simeq^n g_i|_{X_j} \text{ in } Y_i.$$

Consequently, $\mathbf{f} \simeq^n \mathbf{g}$. \square

We define the functor $\Psi : \mathcal{S}_{\text{pair}}^n \rightarrow \mathcal{P}_{\text{pair}}^n$ by $\Psi(X, X_0) = (\mu^{n+1} \setminus X, \mu_0^{n+1} \setminus Y_0)$ and $\Psi([\mathbf{f}]_n) = \{\tilde{f}\}_n$, where \tilde{f} is a proper map associated with a nice n -morphism which is n -homotopic to \mathbf{f} . By Lemmas 3.2.1, 3.2.3 and 3.2.4, Ψ is well-defined.

Now we prove Theorem C, that is,

Theorem 3.2.5 $\Psi : \mathcal{S}_{\text{pair}}^n \rightarrow \mathcal{P}_{\text{pair}}^n$ is a categorical isomorphism.

Proof. By the same reason as in Lemma 3.2.3, we prove only the absolute case.

Step 1 (Ψ is functorial). First, we prove that $\Psi([\text{id}_{\mathbf{X}}]_n) = \{\text{id}_{\mu^{n+1} \setminus X}\}_n$. Let φ^X be a nice n -morphism obtained by Lemma 3.2.2. It suffices to prove that $\tilde{\varphi} \simeq_{wp}^n \text{id}_{\mu^{n+1} \setminus X}$, for a proper map $\tilde{\varphi}$ associated with φ^X . For each compactum D in $\mu^{n+1} \setminus X$, there exists $i \in \omega$ such that $X_i \cap D = \emptyset$. Since $\tilde{\varphi}$ is associated with φ^X , $\tilde{\varphi}|_{X_i \setminus X} \simeq^n \varphi_i^X|_{X_i \setminus X}$ in $X_i \setminus X$. By Lemma 3.2.2, $\varphi_i^X|_{X_i \setminus X} \simeq^n \text{id}_{X_i \setminus X}$ in $X_i \setminus X$. Let $E = \mu^{n+1} \setminus \text{int } X_i$. Since $(\mu^{n+1} \setminus X) \setminus E \subset X_i \setminus X$ and $X_i \setminus X \subset (\mu^{n+1} \setminus X) \setminus D$, we have $\tilde{\varphi}|_{(\mu^{n+1} \setminus X) \setminus E} \simeq^n \text{id}_{(\mu^{n+1} \setminus X) \setminus E}$ in $(\mu^{n+1} \setminus X) \setminus D$, which implies $\tilde{\varphi} \simeq_{wp}^n \text{id}_{\mu^{n+1} \setminus X}$.

Next, let $\mathbf{f} = (f, \{f_i\}) : \mathbf{X} \rightarrow \mathbf{Y}$ and $\mathbf{g} = (g, \{g_i\}) : \mathbf{Y} \rightarrow \mathbf{Z} = \{Z_i, r_i^{i+1}\}$ be n -morphisms. We prove that $\Psi([\mathbf{f}]_n[\mathbf{g}]_n) = \Psi([\mathbf{f}]_n)\Psi([\mathbf{g}]_n)$. By Lemma 3.2.1, we can assume that \mathbf{f} and \mathbf{g} are nice n -morphisms. Then $\mathbf{gf} : \mathbf{X} \rightarrow \mathbf{Z}$ is also a nice n -morphism. Let \tilde{f}, \tilde{g} and \tilde{h} be proper maps associated with \mathbf{f}, \mathbf{g} and \mathbf{gf} respectively. For every compactum E in $\mu^{n+1} \setminus Z$ there exists $i \in \omega$ such that $Z_i \cap E = \emptyset$. Since Y and Z are Z -sets in μ^{n+1} , $g_i|_{Y_{g(i)}} \simeq^n g_j$ in $Z_i \setminus Z$ for all $j \geq i$, and $f_{g(i)}|_{X_{f(i)}} \simeq^n f_j$ in $Y_{g(i)} \setminus Y$ for all $j \geq g(i)$, by the definition of an n -morphism. It follows that

$$\tilde{h}|_{X_{fg(i)} \setminus X} \simeq^n g_i f_{g(i)}|_{X_{fg(i)} \setminus X} = g_i|_{Y_{g(i)} \setminus Y} f_{g(i)}|_{X_{fg(i)} \setminus X}$$

$$\simeq^n \tilde{g}|_{Y_{g(i)} \setminus Y} \tilde{f}|_{X_{fg(i)} \setminus X} \text{ in } Z_i \setminus Z.$$

Put $C = \mu^{n+1} \setminus \text{int } X_{fg(i)}$. Then $\tilde{h}|_{(\mu^{n+1} \setminus X) \setminus C} \simeq^n \tilde{g}\tilde{f}|_{(\mu^{n+1} \setminus X) \setminus C}$ in $(\mu^{n+1} \setminus Z) \setminus E$, which implies $\tilde{g}\tilde{f} \simeq_{wp}^n \tilde{h}$.

Step 2 (Ψ is an isomorphism). By Lemma 3.2.4, Ψ is a monomorphism, so it suffices to see that Ψ is an epimorphism. To this end, let $\tilde{f} : \mu^{n+1} \setminus X \rightarrow \mu^{n+1} \setminus Y$ be a proper map. For any $i \in \omega$, $E = \mu^{n+1} \setminus \text{int } Y_i$ is a compactum in $\mu^{n+1} \setminus Y$. Then $D = \tilde{f}^{-1}(E)$ is a compactum in $\mu^{n+1} \setminus X$. Thus we can take $n_i \in \omega$ such that $X_{n_i} \cap D = \emptyset$, hence $\tilde{f}(X_{n_i} \setminus X) \subset Y_i \setminus Y$. We have an increasing map $f : \omega \rightarrow \omega$ such that $f(i) \geq n_i$. Using the nice n -morphism φ^X in Lemma 3.2.2, we can define the map $f_i = \tilde{f}\varphi_{f(i)}^X : X_{f(i)} \rightarrow Y_i \setminus Y \subset Y_i$. Thus, we obtain the n -morphism $\mathbf{f} = (f, \{f_i\})$. In fact, for each $i, j \in \omega$ with $i \leq j$, $\varphi_{f(i)}^X|_{X_{f(i)}} \simeq^n \varphi_{f(j)}^X$ in $X_{f(i)} \setminus X$, because φ^X is an n -morphism and X is a Z -set in $X_{f(i)}$. Then we have $f_i p_{f(i)}^{f(j)} = \tilde{f}\varphi_{f(i)}^X|_{X_{f(i)}} \simeq^n \tilde{f}\varphi_{f(j)}^X = q_i^j f_j$ in Y_i . Thus, \mathbf{f} is an n -morphism. By Lemma 3.2.2, $\tilde{f}|_{X_{f(i)} \setminus X} \simeq^n \tilde{f}\varphi_{f(i)}^X|_{X_{f(i)} \setminus X}$ in $Y_i \setminus Y$, which implies that \tilde{f} is associated with \mathbf{f} . Consequently, we have $\Psi([\mathbf{f}]_n) = \{\tilde{f}\}_n$. \square

3.3 The complement theorem for Z -pairs

Let (X, X_0) and (Y, Y_0) be Z -pairs in (μ^{n+1}, μ_0^{n+1}) . By Proposition 3.1.1, we have $LC^n(n+1)$ -sequences $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ and $(\mathbf{Y}, \mathbf{Y}_0) = \{(Y_i, Y_{0i}), q_i^{i+1}\}$ associated with (X, X_0) and (Y, Y_0) (i.e., $(X, X_0) = \lim_{\leftarrow} (\mathbf{X}, \mathbf{X}_0)$ and $(Y, Y_0) = \lim_{\leftarrow} (\mathbf{Y}, \mathbf{Y}_0)$) such that all (X_i, X_{0i}) and (Y_i, Y_{0i}) are compact μ^{n+1} -manifold pairs which are neighborhoods of (X, X_0) and (Y_i, Y_{0i}) in (μ^{n+1}, μ_0^{n+1}) respectively, and all p_i^{i+1} and q_i^{i+1} are inclusion maps. By Proposition 1 in [Sh₆], we may assume that all (X_i, X_{0i}) and (Y_i, Y_{0i}) have Z -pairs $(\delta X_i, \delta X_{0i})$ and $(\delta Y_i, \delta Y_{0i})$ respectively, such that

$$\text{bd}_{\mu^{n+1}} X_i \subset \delta X_i, \text{bd}_{\mu_0^{n+1}} X_{0i} \subset \delta X_{0i},$$

$$\text{bd}_{\mu^{n+1}} Y_i \subset \delta Y_i \text{ and } \text{bd}_{\mu_0^{n+1}} Y_{0i} \subset \delta Y_{0i}.$$

Throughout this section, we use these notations. The next lemma is the relative version of Lemma 4.1 in [Chi₁].

Lemma 3.3.1 *Let $f : (X, X_0) \rightarrow (Y, Y_0)$ and $g : (Y, Y_0) \rightarrow (X, X_0)$ be n -morphisms such that $gf \simeq^n \text{id}_{(X, X_0)}$. Assume that $h : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ is a homeomorphism and $i_0 \in \omega$ such that*

$$(1) \text{int}_{\mu^{n+1}} h(X_{i_0}), \text{int}_{\mu_0^{n+1}} h(X_{0i_0}) \supset (Y, Y_0) \text{ and}$$

$$(2) h^{-1}|_{(Y, Y_0)} \simeq^n g_{i_0}|_{(Y, Y_0)} \text{ in } (X_{i_0}, X_{0i_0}).$$

Then there exists a homeomorphism $h' : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ with $j_0 > i_0$ such that

$$(0)' h'|_{(\mu^{n+1} \setminus X_{i_0}, \mu_0^{n+1} \setminus X_{0i_0})} = h|_{(\mu^{n+1} \setminus X_{i_0}, \mu_0^{n+1} \setminus X_{0i_0})},$$

$$(1)' (\text{int}_{\mu^{n+1}} h(X_{i_0}), \text{int}_{\mu_0^{n+1}} h(X_{0i_0})) \supset (Y_{j_0}, Y_{0j_0}) \supset$$

$$(\text{int}_{\mu^{n+1}} Y_{j_0}, \text{int}_{\mu_0^{n+1}} Y_{0j_0}) \supset (h'(X), h'(X_0)), \text{ and}$$

$$(2)' h'|_{(X, X_0)} \simeq^n f_{j_0}|_{(X, X_0)} \text{ in } (Y_{j_0}, Y_{0j_0}).$$

Proof. Let $\alpha : (Z, Z_0) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ be an n -invertible map of a compact pair with $\dim \leq n$. By (2), there is a homotopy $\varphi : (\alpha^{-1}(Y), \alpha^{-1}(Y_0)) \times I \rightarrow (X_{i_0}, X_{0i_0})$ with $\varphi_0 = h^{-1}\alpha|_{(\alpha^{-1}(Y), \alpha^{-1}(Y_0))}$ and $\varphi_1 = g_{i_0}\alpha|_{(\alpha^{-1}(Y), \alpha^{-1}(Y_0))}$. By Lemma 1.2.1, we can find $i_1 > i_0$ such that

$$(Y_{g(i_1)}, Y_{0g(i_1)}) \subset (\text{int}_{\mu^{n+1}} h(X_{i_0}), \text{int}_{\mu_0^{n+1}} h(X_{0i_0}))$$

and φ extends to a homotopy $\tilde{\varphi} : (\alpha^{-1}(Y_{g(i_1)}), \alpha^{-1}(Y_{0g(i_1)})) \times I \rightarrow (X_{i_0}, X_{0i_0})$ with

$$\tilde{\varphi}_0 = h^{-1}\alpha|_{(\alpha^{-1}(Y_{g(i_1)}), \alpha^{-1}(Y_{0g(i_1)}))} \text{ and } \tilde{\varphi}_1 = g_{i_0}\alpha|_{(\alpha^{-1}(Y_{g(i_1)}), \alpha^{-1}(Y_{0g(i_1)}))}.$$

Hence, $h^{-1}|_{(Y_{g(i_1)}, Y_{0g(i_1)})} \simeq^n g_{i_0}|_{(Y_{g(i_1)}, Y_{0g(i_1)})}$ in (X_{i_0}, X_{0i_0}) . Since g is an n -morphism, we have $h^{-1}|_{(Y_{g(i_1)}, Y_{0g(i_1)})} \simeq^n g_{i_1}$ in (X_{i_0}, X_{0i_0}) .

By Theorem 2.2.1, we have a Z -embedding $f' : (X, X_0) \rightarrow (Y_{g(i_1)}, Y_{0g(i_1)})$ which is n -homotopic to $f_{g(i_1)}|_{(X, X_0)}$ in $(Y_{g(i_1)}, Y_{0g(i_1)})$. Since $\mathbf{gf} \simeq^n \mathbf{id}_{(X, X_0)}$, it follows that

$$\begin{aligned} h^{-1}f' &\simeq^n h^{-1}f_{g(i_1)}|_{(X, X_0)} = h^{-1}|_{(Y_{g(i_1)}, Y_{0g(i_1)})}f_{g(i_1)}|_{(X, X_0)} \\ &\simeq^n g_{i_1}f_{g(i_1)}|_{(X, X_0)} \simeq^n \mathbf{id}_{(X, X_0)} \text{ in } (X_{i_0}, X_{0i_0}), \end{aligned}$$

which implies that $f'' = f'h^{-1}|_{(h(X), h(X_0))} \simeq^n \mathbf{id}_{(h(X), h(X_0))}$ in $(h(X_{i_0}), h(X_{0i_0}))$.

Observe that

$$f'' \cup \mathbf{id}_{(h(\delta X_{i_0}), h(\delta X_{0i_0}))} : (h(X \cup \delta X_{i_0}), h(X_0 \cup \delta X_{0i_0})) \rightarrow (h(X_{i_0}), h(X_{0i_0}))$$

is a Z -embedding which is n -homotopic to \mathbf{id} in $(h(X_{i_0}), h(X_{0i_0}))$. By Theorem 2.3.1, this extends to a homeomorphism $h'' : (h(X_{i_0}), h(X_{0i_0})) \rightarrow (h(X_{i_0}), h(X_{0i_0}))$.

Since $h''|_{(h(\delta X_{i_0}), h(\delta X_{0i_0}))} = \mathbf{id}$, we have the homeomorphism

$$h''' = h'' \cup \mathbf{id}_{(\mu^{n+1} \setminus h(X_{i_0}), \mu_0^{n+1} \setminus h(X_{0i_0}))} : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1}).$$

Let $h' = h'''h$ and $j_0 = g(i_1) > i_0$. Observe that $h'|_{(X, X_0)} = h''h|_{(X, X_0)} = f''h|_{(X, X_0)} = f'$. Then it is easy to see that h' and j_0 satisfy the required conditions. \square

Proof of Theorem D. Since the “only if” part follows from Theorem C, it remains to prove the “if” part.

We may assume that $(X_1, X_{01}) = (\mu^{n+1}, \mu_0^{n+1})$. Using the same argument of [Chi₁], we construct homeomorphisms $h_i : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ ($i \in \omega$) by induction. Since $g_1|_{(Y, Y_0)} \simeq^n \mathbf{id}_{(Y, Y_0)}$ in (μ^{n+1}, μ_0^{n+1}) , we apply Lemma 3.3.1 to obtain a homeomorphism $h_1 : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ with $j_1 \in \omega$ such that

$$(1) \ (\text{int}_{\mu^{n+1}} Y_{j_1}, \text{int}_{\mu_0^{n+1}} Y_{0j_1}) \supset (h_1(X), h_1(X_0)) \text{ and}$$

$$(2) \ h_1|_{(X, X_0)} \simeq^n f_{j_1}|_{(X, X_0)} \text{ in } (Y_1, Y_{01}).$$

By Lemma 3.3.1, there exists a homeomorphism $h' : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ with $j_2 > j_1$ such that

- (0)' $h'|_{(\mu^{n+1}\setminus Y_{j_1}, \mu_0^{n+1}\setminus Y_{0j_1})} = h_1^{-1}|_{(\mu^{n+1}\setminus Y_{j_1}, \mu_0^{n+1}\setminus Y_{0j_1})}$,
- (1)' $(h_1^{-1}(\text{int}_{\mu^{n+1}} Y_{j_1}), h_1^{-1}(\text{int}_{\mu_0^{n+1}} Y_{0j_1})) \supset (X_{j_2}, X_{0j_2}) \supset$
 $(\text{int}_{\mu^{n+1}} X_{j_2}, \text{int}_{\mu_0^{n+1}} X_{0j_2}) \supset (h'(Y), h'(Y_0)), \text{ and}$
- (2)' " $h'|_{(Y, Y_0)} \simeq^n g_{j_2}|_{(Y, Y_0)}$ in (X_{j_2}, X_{0j_2}) .

Let $h_2 = h'^{-1}$. Then

- (0) $h_2^{-1}|_{(\mu^{n+1}\setminus Y_{j_1}, \mu_0^{n+1}\setminus Y_{0j_1})} = h_1^{-1}|_{(\mu^{n+1}\setminus Y_{j_1}, \mu_0^{n+1}\setminus Y_{0j_1})}$,
- (1) $(\text{int}_{\mu^{n+1}} Y_{j_1}, \text{int}_{\mu_0^{n+1}} Y_{0j_1}) \supset (h_2(X_{j_2}), h_2(X_{0j_2})) \supset$
 $(\text{int}_{\mu^{n+1}} h_2(X_{j_2}), \text{int}_{\mu_0^{n+1}} h_2(X_{0j_2})) \supset (Y, Y_0), \text{ and}$
- (2) $h_2^{-1}|_{(Y, Y_0)} \simeq^n g_{j_2}|_{(Y, Y_0)}$ in (X_{j_2}, X_{0j_2}) .

Again by Lemma 3.3.1, we have a homeomorphism $h_3 : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ with $j_3 > j_2$ such that

- (0) $h_3|_{(\mu^{n+1}\setminus X_{j_2}, \mu_0^{n+1}\setminus X_{0j_2})} = h_2|_{(\mu^{n+1}\setminus X_{j_2}, \mu_0^{n+1}\setminus X_{0j_2})}$,
- (1) $(h_2(\text{int}_{\mu^{n+1}} X_{j_2}), h_2(\text{int}_{\mu_0^{n+1}} X_{0j_2})) \supset (Y_{j_3}, Y_{0j_3}) \supset$
 $(\text{int}_{\mu^{n+1}} Y_{j_3}, \text{int}_{\mu_0^{n+1}} Y_{0j_3}) \supset (h_3(X), h_3(X_0)), \text{ and}$
- (2) $h_3|_{(X, X_0)} \simeq^n f_{j_3}|_{(X, X_0)}$ in (Y_{j_3}, Y_{0j_3}) .

Similarly to h_2 , we can obtain a homeomorphism $h_4 : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ with $j_4 > j_3$ such that

- (0) $h_4^{-1}|_{(\mu^{n+1}\setminus Y_{j_3}, \mu_0^{n+1}\setminus Y_{0j_3})} = h_3^{-1}|_{(\mu^{n+1}\setminus Y_{j_3}, \mu_0^{n+1}\setminus Y_{0j_3})}$,
- (1) $(\text{int}_{\mu^{n+1}} Y_{j_3}, \text{int}_{\mu_0^{n+1}} Y_{0j_3}) \supset (h_4(X_{j_4}), h_4(X_{0j_4})) \supset$
 $(\text{int}_{\mu^{n+1}} h_4(X_{j_4}), \text{int}_{\mu_0^{n+1}} h_4(X_{0j_4})) \supset (Y, Y_0), \text{ and}$
- (2) $h_4^{-1}|_{(Y, Y_0)} \simeq^n g_{j_4}|_{(Y, Y_0)}$ in (X_{j_4}, X_{0j_4}) .

Thus, we define inductively homeomorphisms $h_i : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ with j_i . Similarly to [Chi₁], we can define a homeomorphism $h : (\mu^{n+1}, \mu_0^{n+1}) \rightarrow (\mu^{n+1}, \mu_0^{n+1})$ by

$$h|_{(\mu^{n+1} \setminus X_{j_{2i}}, \mu_0^{n+1} \setminus X_{0j_{2i}})} = h_{2i}|_{(\mu^{n+1} \setminus X_{j_{2i}}, \mu_0^{n+1} \setminus X_{0j_{2i}})}.$$

Hence the inverse of h is given by

$$h^{-1}|_{(\mu^{n+1} \setminus Y_{j_{2i-1}}, \mu_0^{n+1} \setminus Y_{0j_{2i-1}})} = h_{2i-1}^{-1}|_{(\mu^{n+1} \setminus Y_{j_{2i-1}}, \mu_0^{n+1} \setminus Y_{0j_{2i-1}})}. \quad \square$$

Chapter 4

Proper n -shape and property SUV^n

Property UV^∞ arose in the study of cellularity and is connected with shape theory through the result that a compact metric space X has property UV^∞ if and only if X has the shape of a singleton. Property SUV^∞ was introduced by Hartley [Ha] as a noncompact variant of property UV^∞ . Generalizing shape theory to locally compact spaces, Ball and Sher introduced the notion of proper shape [BS]. In [Sh₁], Sher proved that a locally compact metric space X has property SUV^∞ if and only if X has the proper shape of a tree (i.e., a locally finite, connected and simply connected simplicial 1-complex). He also defined property SUV^n as a noncompact variant of property UV^n and proved that, if $X \in SUV^n$ is a closed connected subset in a piecewise linear n -manifold, then $X \in SUV^\infty$.

On the other hand, the concept of n -shape was introduced by Chigogidze [Chi₁]. He discussed the relation of n -shape and property UV^n . In particular, a compact metric space X has property UV^n if and only if X has the n -shape of a singleton. In this chapter, we introduce the notion of proper n -shape and give a characterization of property SUV^n , that is, X has property SUV^n if and

only if X has the proper n -shape of a tree (Theorem 4.2.3). As a corollary, the above result of Sher can be strengthened as follows: if $X \in SUV^n$ is connected and $\dim X \leq n$, then $X \in SUV^\infty$ (Corollary 4.2.4).

4.1 Definition of proper n -shape

Let X and Y be locally compact spaces. Recall that a proper map $\alpha : X \rightarrow Y$ is said to be *properly n -invertible* if for any locally compact space Z with $\dim Z \leq n$ and any proper map $\beta : Z \rightarrow Y$ there is a proper map $\varphi : Z \rightarrow X$ such that $\alpha\varphi = \beta$. By Theorem 1.1.4 and considering one-point compactification, for any locally compact space X , there exists a proper n -invertible surjection $\alpha : Z \rightarrow X$ with $\dim Z \leq n$.

Two proper maps $f, g : X \rightarrow Y$ are *properly n -homotopic* (written by $f \simeq_p^n g$) if, for any proper map $\alpha : Z \rightarrow X$ from a locally compact space Z with $\dim Z \leq n$ into X , the compositions $f\alpha$ and $g\alpha$ are properly homotopic in the usual sense ($f\alpha \simeq_p g\alpha$). Note that we may only prove when α is a properly n -invertible.

If there exist proper maps $f : X \rightarrow Y$ and $g : Y \rightarrow X$ such that $fg \simeq_p^n \text{id}_Y$ and $gf \simeq_p^n \text{id}_X$, then X and Y are said to be *properly n -homotopically equivalent* (written by $X \simeq_p^n Y$). If only the first relation is valid, then it is said that X *properly n -homotopically dominates* Y , or Y is *properly n -homotopically dominated by* X (written by $X \geq_p^n Y$, or $Y \leq_p^n X$).

Suppose that X and Y are closed sets in locally compact AR 's M and N , respectively. Let $\Lambda = (\Lambda, \leq)$ be a directed set. A net $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\}$ of maps $f_\lambda : M \rightarrow N$ is called a *proper n -fundamental net* from X to Y in M and N if, for every closed neighborhood V of Y in N , there exist a closed neighborhood U of X in M and an index $\lambda_0 \in \Lambda$ such that

$$f_\lambda|_U \simeq_p^n f_{\lambda_0}|_U \text{ in } V \text{ for all } \lambda \geq \lambda_0.$$

One should remark that each f_λ need not be proper but $f_\lambda|_U$ is proper for some closed neighborhood U of X in M (cf. [BS, Lemma 3.2]). We denote that $\mathbf{f} : X \rightarrow Y$ in (M, N) .

The identity n -fundamental net is defined by $\mathbf{i}_X = \{\text{id}_M\} : X \rightarrow X$ in (M, M) .

Let $\mathbf{g} = \{g_\delta \mid \delta \in \Delta\}$ be a proper n -fundamental net from Y to Z in N and P , where Δ is a directed set and P is a locally compact AR containing Z as a closed set. Then the composition of \mathbf{f} and \mathbf{g} is defined by $\mathbf{g}\mathbf{f} = \{g_\delta f_\lambda \mid (\lambda, \delta) \in \Lambda \times \Delta\} : X \rightarrow Z$ in (M, P) , where $\Lambda \times \Delta$ is the directed set with the order $(\lambda, \delta) \geq (\lambda_0, \delta_0) \Leftrightarrow \lambda \geq \lambda_0, \delta \geq \delta_0$.

Let $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\}, \mathbf{g} = \{g_\delta \mid \delta \in \Delta\} : X \rightarrow Y$ in (M, N) be proper n -fundamental nets. We say that \mathbf{f} and \mathbf{g} are *properly n -homotopic* (written by $\mathbf{f} \simeq_p^n \mathbf{g}$) if for each closed neighborhood V of Y there exist a closed neighborhood U of X and $(\lambda_0, \delta_0) \in \Lambda \times \Delta$ such that

$$f_\lambda|_U \simeq_p^n g_\delta|_U \text{ in } V \text{ for all } (\lambda, \delta) \geq (\lambda_0, \delta_0).$$

If there exist proper n -fundamental nets $\mathbf{f} : X \rightarrow Y$ in (M, N) and $\mathbf{g} : Y \rightarrow X$ in (N, M) such that $\mathbf{g}\mathbf{f} \simeq_p^n \mathbf{i}_X$ and $\mathbf{f}\mathbf{g} \simeq_p^n \mathbf{i}_Y$, then X and Y are said to be *properly n -fundamentally equivalent* in M and N . In this case we write $X \simeq_{pF}^n Y$ in (M, N) . If only the relation $\mathbf{f}\mathbf{g} \simeq_p^n \mathbf{i}_Y$ is valid, then we say that X *properly n -fundamentally dominate* Y in (M, N) or Y is *properly n -fundamentally dominated by* X in (N, M) , and write $X \geq_{pF}^n Y$ in (M, N) or $Y \leq_{pF}^n X$ in (N, M) . The following is easy to see.

Proposition 4.1.1 *Let X, Y and Z be closed subsets of locally compact AR's M, N and P , respectively. If $X \geq_{pF}^n Y$ in (M, N) and $Y \geq_{pF}^n Z$ in (N, P) , then $X \geq_{pF}^n Z$ in (M, P) . If $X \simeq_{pF}^n Y$ in (M, N) and $Y \simeq_{pF}^n Z$ in (N, P) , then $X \simeq_{pF}^n Z$ in (M, P) . \square*

Let $f : X \rightarrow Y$ be a proper map and $\mathbf{f} : X \rightarrow Y$ in (M, N) a proper n -fundamental net. We say that \mathbf{f} is *generated by* f (or f *generates* \mathbf{f}) provided

$f = f_\lambda|_X$ for all $\lambda \in \Lambda$. Since N is an AR , each proper map f has an extension $\tilde{f} : M \rightarrow N$. By [BS, Lemma 3.2], we have the following.

Lemma 4.1.2 *Each proper map $f : X \rightarrow Y$ generates a proper n -fundamental net $\mathbf{f} = \{\tilde{f}\} : X \rightarrow Y$ in (M, N) . \square*

Two proper maps $f, g : X \rightarrow Y \subset N$ are said to be *weakly properly n -homotopic in N* if they are properly n -homotopic in each closed neighborhood of Y in N . It is clear that any two properly n -homotopic maps from X to Y are weakly properly n -homotopic in N .

Lemma 4.1.3 *Let \mathbf{f}, \mathbf{g} be two proper n -fundamental nets from X to Y in M and N generated by $f, g : X \rightarrow Y$, respectively. Then f and g are weakly properly n -homotopic in N if and only if $\mathbf{f} \simeq_p^n \mathbf{g}$.*

Proof. Suppose that $\mathbf{f} \simeq_p^n \mathbf{g}$. Then for each closed neighborhood V of Y there exist a closed neighborhood U of X and $(\lambda_0, \delta_0) \in \Lambda \times \Delta$ such that

$$f_\lambda|_U \simeq_p^n g_\delta|_U \text{ in } V \text{ for all } (\lambda, \delta) \geq (\lambda_0, \delta_0).$$

Thus, $f = f_\lambda|_X \simeq_p^n g_\delta|_X = g$ in V .

Conversely, suppose that f and g are weakly properly n -homotopic in N . Let V and V_1 be closed neighborhoods of Y in N such that $V_1 \subset \text{int}V$. Since \mathbf{f} and \mathbf{g} are properly n -fundamental nets, there exist a closed neighborhood U_1 of X and $(\lambda_0, \delta_0) \in \Lambda \times \Delta$ such that

$$f_\lambda|_{U_1} \simeq_p^n f_{\lambda_0}|_{U_1} \text{ and } g_\delta|_{U_1} \simeq_p^n g_{\delta_0}|_{U_1} \text{ in } V_1 \text{ for all } (\lambda, \delta) \geq (\lambda_0, \delta_0).$$

It follows from the assumption that

$$f_{\lambda_0}|_X = f \simeq_p^n g = g_{\delta_0}|_X \text{ in } V_1.$$

Let $\alpha : Z \rightarrow M$ be an n -invertible proper map with $\dim Z \leq n$. Then there exists a proper homotopy $H : \alpha^{-1}(X) \times I \rightarrow V_1$ such that $H_0 = f_{\lambda_0}\alpha|_{\alpha^{-1}(X)}$

and $H_1 = g_{\delta_0} \alpha|_{\alpha^{-1}(X)}$. Let $\hat{f} = f_{\lambda_0} \alpha$ and $\hat{g} = g_{\delta_0} \alpha$. By [BS, Lemma 3.4], there exists a closed neighborhood W of $\alpha^{-1}(X)$ in Z such that $\hat{f}|_W \simeq_p \hat{g}|_W$ in V . Since α is proper, we can find a closed neighborhood U of X in M such that $U \subset U_1$ and $\alpha^{-1}(U) \subset W$. Hence, $\hat{f}|_{\alpha^{-1}(U)} \simeq_p \hat{g}|_{\alpha^{-1}(U)}$ in V , which implies that

$$f_\lambda|_U \simeq_p^n f_{\lambda_0}|_U \simeq_p^n g_{\delta_0}|_U \simeq_p^n g_\delta|_U \text{ in } V. \quad \square$$

Observe that $X \leq_p^n Y$ (or $X \simeq_p^n Y$) implies $X \leq_{pF}^n Y$ (or $X \simeq_{pF}^n Y$) in (M, N) for any locally compact AR 's M and N containing X and Y as closed sets, respectively. In fact, then we have two maps $f : X \rightarrow Y$ and $g : Y \rightarrow X$ such that $gf \simeq_p^n \text{id}_X$. By Lemma 4.1.2, f and g generate proper n -fundamental nets $\mathbf{f} : X \rightarrow Y$ in (M, N) and $\mathbf{g} : Y \rightarrow X$ in (N, M) , respectively. Then $\mathbf{g}\mathbf{f}$ is a proper n -fundamental net generated by the composition gf . Since $gf \simeq_p^n \text{id}_X$, we have $\mathbf{g}\mathbf{f} \simeq_p^n \mathbf{i}_X$ by Lemma 4.1.3. (Similarly, $\mathbf{f}\mathbf{g} \simeq_p^n \mathbf{i}_Y$.) In particular, if X is homeomorphic (\approx) to X' , then $X \simeq_{pF}^n X'$ in (M, M') for any locally compact AR 's M and M' containing X and X' as closed sets, respectively. Then the following holds from Proposition 4.1.1.

Proposition 4.1.4 *Let X, X', Y and Y' be closed sets in locally compact AR 's M, M', N and N' , respectively. Suppose that $X \approx X'$ and $Y \approx Y'$. Then $X \geq_{pF}^n Y$ in (M, N) if and only if $X' \geq_{pF}^n Y'$ in (M', N') ; and $X \simeq_{pF}^n Y$ in (M, N) if and only if $X' \simeq_{pF}^n Y'$ in (M', N') . \square*

This proposition shows that the relations of proper n -fundamental dominance and proper n -fundamental equivalence do not depend on the choice of ambient AR 's. We can define *the proper n -shape* as follows: locally compact spaces X and Y are *the same proper n -shape* (written by $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$) if and only if $X \simeq_{pF}^n Y$ in (M, N) for some locally compact AR 's M and N . Analogously, X is said to *properly n -shape dominate* Y , or Y is *properly n -shape dominated by* X (written by $n\text{-Sh}_p(X) \geq n\text{-Sh}_p(Y)$, or $n\text{-Sh}_p(Y) \leq n\text{-Sh}_p(X)$).

$\text{Sh}_p(X)$) if and only if $X \geq_{pF}^n Y$ in (M, N) for some locally compact AR's M and N .

Let \mathcal{LK} be the class of locally compact spaces. We define *the proper n -shape category*, $n\text{-SH}_p\mathcal{LK}$, whose objects are in \mathcal{LK} and whose morphisms are the proper n -homotopy classes of proper n -fundamental nets.

Suppose that X is rim-compact (i.e., any point has arbitrary small neighborhoods with compact boundaries). Then *the Freudenthal compactification* of X , here denoted by FX , is defined as the least upper bound of all compactifications Y of X such that $\text{ind}(Y \setminus X) = 0$. We call $EX = FX \setminus X$ *the space of ends* of X . It is known that FX is metrizable if and only if the space QX of quasi-components of X is compact, where EX is homeomorphic to a closed set of the Cantor set.

Let $\Sigma = \{X \mid X \text{ is locally compact and } QX \text{ is compact}\}$. Suppose that $X, Y \in \Sigma$ and that $f : X \rightarrow Y$ is a proper map. Then f has the unique extension $Ff : (FX, EX) \rightarrow (FY, EY)$. If $g : X \rightarrow Y$ is a proper map and $f \simeq_p g$, then $Ff|_{EX} = Fg|_{EX}$. Also, the assignment $f \rightarrow Ff$ is functorial; that is, $F(\text{id}_X) = \text{id}_{FX}$ and $F(fg) = (Ff)(Fg)$ for proper maps $f : X \rightarrow Y$ and $g : Y \rightarrow Z$. For details, refer to [BS].

Lemma 4.1.5 *Let X be a closed set in a locally compact ANR M with $\dim X \leq n$ and $f, g : M \rightarrow Z$ be proper maps from M to any space Z . If $f \simeq_p^n g$, then there exists a closed neighborhood E of X in M such that $f|_E \simeq_p g|_E$.*

Proof. Since M is a locally compact ANR, M has an open covering \mathcal{U} such that any two \mathcal{U} -close proper maps of an arbitrary space to M are properly homotopic ([Mi, Theorem 5.1.1] and [Cha₂, Theorem 4.1(2)]). By [Mi, Theorem 5.1.4], there exists an open refinement \mathcal{V} of \mathcal{U} such that for every locally finite simplicial complex \mathcal{T} and every subcomplex \mathcal{S} of \mathcal{T} containing all vertices of \mathcal{S} , every partial realization of \mathcal{T} in M relative to $(\mathcal{S}, \mathcal{V})$ can be extended to a full realization of \mathcal{T} in M relative to \mathcal{U} . Let \mathcal{V}' be an open star-refinement of

\mathcal{V} . Since $\dim X \leq n$, there exists an open covering \mathcal{W} of X in M such that \mathcal{W} refines \mathcal{V}' and the order of \mathcal{W} is at most n (cf. [Mi, Theorem 4.3.5]). Let $E \subset \bigcup \mathcal{W}$ be a closed neighborhood of X in M .

Let $\eta : E \rightarrow K$ be a canonical map from E to the nerve K of $\mathcal{W} \cap E$ and $\zeta^{(0)} : K^{(0)} \rightarrow M$ be a map defined by $\zeta^{(0)}(x(W)) \in W$ for each $W \in \mathcal{W}$. Since $\zeta^{(0)}$ is a partial realization of K relative to $(K^{(0)}, \mathcal{V})$, there exists a full realization $\zeta : K \rightarrow M$ relative to \mathcal{U} . By the proof of [Mi, Theorem 5.1.8], we conclude that id_E and $\zeta\eta$ are \mathcal{U} -close, which implies that $\zeta\eta$ is proper homotopic to id_E . Since $\dim K \leq n$ and $f \simeq_p^n g$, we have

$$f|_E \simeq_p f\zeta\eta \simeq_p g\zeta\eta \simeq_p g|_E. \quad \square$$

Remark. Let $\mu^n = M_n^{2n+1} \subset I^{2n+1}$ be the n -dimensional Menger compactum constructed in the $(2n+1)$ -dimensional cube I^{2n+1} [CKT]. Since $\dim FX \leq n$ and by the Z-set unknotting theorem [Be], we can assume that FX is a closed set in μ^n and $EX = FX \cap I^{2n} \times \{1\}$, which implies that $X \subset \mu^n \setminus EX \subset I^{2n} \times [0, 1) = M$. Let $f, g : M \rightarrow Z$ be proper maps from M to any space Z and $f|_V \simeq_p^n g|_V$ for some closed neighborhood of X in M . Then there exists a closed neighborhood $U = \bigcup_{i \in \omega} B_i$ of X in V , where B_i are similar figures to $B = \{(x_1, \dots, x_{2n+1}) \in I^{2n+1} \mid \text{there exists } (y_1, \dots, y_{2n+1}) \in P \text{ such that } |x_i - y_i| \leq 1/3 \text{ for some } i \in \{1, \dots, 2n+1\}\}$ and $P = \{(y_1, \dots, y_{2n+1}) \in I^{2n+1} \mid y_{i_1}, \dots, y_{i_{n+1}} \in \{0, 1\}, 1 \leq i_1 < \dots < i_{n+1} \leq 2n+1\}$. There exists a deformation retraction from B to the n -dimensional subcomplex P of B , so we obtain a proper deformation retract $\beta : U \rightarrow L$ from U to n -dimensional subcomplex L of U . Let $\gamma : L \rightarrow U$ be an inclusion. Then $\text{id}_U \simeq_p \gamma\beta$ in U , which implies that $f|_U \simeq_p f\gamma\beta \simeq_p g\gamma\beta \simeq_p g|_U$.

Proposition 4.1.6 *If $\text{Sh}_p(X) = \text{Sh}_p(Y)$, then $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$; the converse holds in case $\dim X, \dim Y \leq n$.*

Proof. First statement is obvious since a proper homotopy is a proper n -homotopy.

In case $\dim X, \dim Y \leq n$, suppose that X and Y are closed sets in locally compact ANR 's M and N , respectively. If $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$, then there exist proper n -fundamental nets $\mathbf{f} : X \rightarrow Y$ in (M, N) and $\mathbf{g} : Y \rightarrow X$ in (N, M) such that $\mathbf{g}\mathbf{f} \simeq_p^n \mathbf{i}_X$ and $\mathbf{f}\mathbf{g} \simeq_p^n \mathbf{i}_Y$. Let V be a closed neighborhood of Y in N . Then there exist a closed neighborhood U of X in M and $\lambda_0 \in \Lambda$ such that $f_\lambda|_U \simeq_p^n f_{\lambda_0}|_U$ in V for all $\lambda \geq \lambda_0$. Note that $\text{int}U$ is a locally compact ANR . By Lemma 4.1.5, there exists a closed neighborhood W of X in U such that $f_\lambda|_W \simeq_p f_{\lambda_0}|_W$ in V for all $\lambda \geq \lambda_0$. Thus, we conclude that \mathbf{f} is a proper fundamental net. Similarly, \mathbf{g} is a proper fundamental net, $\mathbf{g}\mathbf{f} \simeq_p \mathbf{i}_X$ and $\mathbf{f}\mathbf{g} \simeq_p \mathbf{i}_Y$. The proposition is proved. \square

4.2 Proper n -shape and property SUV^n

Let X be a closed set in a locally compact ANR M , and $n \in \omega$. We say that X has *property SUV^n in M* [Sh₁] if, for each closed neighborhood U of X in M , there exists a closed neighborhood V of X in U such that the following holds;

For any proper map $h : S^i \times \omega \rightarrow V$ ($1 \leq i \leq n$) there exists a proper map $\bar{h} : B^{i+1} \times \omega \rightarrow U$ such that $\bar{h}|_{S^i \times \omega} = h$.

One should note that $i \neq 0$ in the above condition. (If $i = 0$, then the above condition implies $EX = \text{one-point.}$) It is easy to see that property SUV^n is independent of the choice of M . We write $X \in SUV^n$ if X embeds into some locally compact ANR as a closed SUV^n subset.

Suppose $X \in \Sigma$. A sequence α of points of X is said to be *admissible in X* [Ba] if (1) no subsequence of α converges to a point of X and (2) no compact subset of X separates in X two infinite subsequences of α . Two admissible sequences α and β in X are *equivalent* if no compact subset of X separates an infinite subsequence of α from an infinite subsequence of β . This relation is an

equivalence relation of the set of all admissible sequences in X . The equivalent class of α is denoted by $[\alpha]$.

Lemma 4.2.1 *Let T be a tree and $X \in \Sigma$. For proper maps $f, g : X \rightarrow T$, the following are equivalent:*

- (i) $Ff|_{EX} = Fg|_{EX}$;
- (ii) $f \simeq_p g$;
- (iii) $f \simeq_p^n g$ for all $n \in \omega$;
- (iv) $f \simeq_p^0 g$.

Proof. The implication (i) \Rightarrow (ii) follows from [Sh₁, Theorem 2.3] and the implications (ii) \Rightarrow (iii) \Rightarrow (iv) are trivial.

To see the implication (iv) \Rightarrow (i), suppose that $f \simeq_p^0 g$. By [Ba, Theorem 2.5], an end $e \in EX$ may be considered to be the equivalence class $[\beta]$ of an admissible sequence β in X . Consider β as a proper map from ω to X . Then $f\beta \simeq_p g\beta$ by (iv), which implies $Ff(e) = [f\beta] = [g\beta] = Fg(e)$. Thus, we conclude that $Ff|_{EX} = Fg|_{EX}$. \square

Lemma 4.2.2 *Suppose $X \in SUV^1$ and X is a closed set in $Q \times [0, 1)$. For each closed neighborhood U of X there exists a closed neighborhood V of X such that two proper maps $f, g : T \rightarrow V$ of a tree T are properly homotopic in U if $Ff|_{ET} = Fg|_{ET}$.*

Proof. Since $X \in SUV^1$, for each closed neighborhood U of X there exists a closed neighborhood V of X such that any proper map $h : S^1 \times \omega \rightarrow V$ has a proper extension $\bar{h} : B^2 \times \omega \rightarrow U$. We can assume that V is a Q -manifold. Let $V = \bigcup_{i=0}^{\infty} C_i$, where C_i is compact and $\emptyset = C_0 \subset C_1 \subset C_2 \subset \dots$. Since f and g are proper, there exists a sequence $T = T_1 \supset T_2 \supset T_3 \supset \dots$ of subcomplexes of T such that $ET_i = ET$ for each $i \in \omega$, $\bigcap_{i=1}^{\infty} T_i = \emptyset$ and $f(T_i) \cup g(T_i) \subset V \setminus C_{i-1}$.

For each $v \in T^{(0)}$, let $i(v) = \max\{i \in \omega \mid v \in T_i\}$. Take an admissible sequence $(x_j^v)_{j \in \omega}$ which is in the same component of v in $T_{i(v)}$. Since $Ff|_{ET} = Fg|_{ET}$, $(f(x_j^v))_{j \in \omega}$ and $(g(x_j^v))_{j \in \omega}$ are equivalence, there exists an index $k(v)$ such that $f(x_{k(v)}^v)$ and $g(x_{k(v)}^v)$ are in the same component D_v of $V \setminus C_{i(v)}$. Since V is locally path-connected, D_v is a path-component. Hence, there exists a path $\gamma : I \rightarrow D_v$ connecting $f(x_{k(v)}^v)$ and $g(x_{k(v)}^v)$. Let $\beta : I \rightarrow T_{i(v)}$ be a path such that $\beta(0) = v$ and $\beta(1) = x_{k(v)}^v$, and define a path $\alpha_v : I \rightarrow V \setminus C_{i(v)}$ by

$$\alpha_v(t) = \begin{cases} f\beta(3t) & \text{for } 0 \leq t \leq 1/3, \\ \gamma(3t-1) & \text{for } 1/3 \leq t \leq 2/3, \\ g\beta(3-3t) & \text{for } 2/3 \leq t \leq 1. \end{cases}$$

Then $\alpha_v(0) = f(v)$ and $\alpha_v(1) = g(v)$ for each $v \in T^{(0)}$. We define a map $H : T \times \{0\} \cup T^{(0)} \times I \cup T \times \{1\} \rightarrow V$ by

$$H(x, t) = \begin{cases} f(x) & \text{for } t = 0, \\ \alpha_x(t) & \text{for } x \in T^{(0)}, \\ g(x) & \text{for } t = 1. \end{cases}$$

Observe that H is a proper map. Let $\{\tau_i \mid i \in \omega\}$ be the set of all 1-simplices of T and $\phi_i : B^2 \times \{i\} \rightarrow \tau_i \times I$ ($i \in \omega$) be homeomorphisms. Then the proper map $h : S^1 \times \omega \rightarrow V$ defined by $h|_{S^1 \times \{i\}} = H\phi_i|_{S^1 \times \{i\}}$ extends to a proper map $\bar{h} : B^2 \times \omega \rightarrow U$, which induces the proper extension $\tilde{H} : T \times I \rightarrow U$ of H such that $\bar{h}|_{B^2 \times \{i\}} = \tilde{H}\phi_i$. Since \tilde{H} is a proper homotopy from f to g in U , we have the lemma. \square

Theorem 4.2.3 *Let $X \in \Sigma$ be connected. Then the following are equivalent:*

- (i) $n\text{-Sh}_p(X) = n\text{-Sh}_p(T)$ for any tree T such that $EX \approx ET$;
- (ii) $n\text{-Sh}_p(X) = n\text{-Sh}_p(T)$ for some tree T ;
- (iii) $n\text{-Sh}_p(X) \leq n\text{-Sh}_p(T)$ for some tree T ;

(iv) $X \in SUV^n$.

Proof. Since (i) \Rightarrow (ii) \Rightarrow (iii) are trivial, we show the implications (iii) \Rightarrow (iv) \Rightarrow (i).

(iii) \Rightarrow (iv): Let M be a locally compact AR with $X \subset M$ as a closed set. Suppose that there exist proper n -fundamental nets $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\} : X \rightarrow T$ in (M, T) and $\mathbf{g} = \{g_\delta \mid \delta \in \Delta\} : T \rightarrow X$ in (T, M) such that $\mathbf{g}\mathbf{f} \simeq_p^n \mathbf{i}_X$. Then for each closed neighborhood U of X there exist a closed neighborhood V of X and $(\lambda_0, \delta_0) \in \Lambda \times \Delta$ such that

$$g_\delta f_\lambda|_V \simeq_p^n \text{id}_V \text{ in } U \text{ for all } (\lambda, \delta) \geq (\lambda_0, \delta_0).$$

Let $h : S^i \times \omega \rightarrow V$ ($1 \leq i \leq n$) be a proper map. Then $T_j = f_{\lambda_0} h(S^i \times \{j\})$ ($j \in \omega$) are compact subtrees of T and $\{T_j \mid j \in \omega\}$ is locally finite in T . Since each T_j is an AR , we have an extension $h_j : B^{i+1} \times \{j\} \rightarrow T_j$ of $f_{\lambda_0} h|_{S^i \times \{j\}}$. Define a proper map $\bar{h} : B^{i+1} \times \omega \rightarrow T$ by $\bar{h}|_{B^{i+1} \times \{j\}} = h_j$. Then $g_{\delta_0} \bar{h}|_{S^i \times \omega} = g_{\delta_0} f_{\lambda_0} h \simeq_p h$ in U since $\dim S^i \times \omega \leq n$. By Borsuk's homotopy extension theorem for a proper maps (cf. [Sh₃, Theorem 3.1]), h has a proper extension $\tilde{h} : B^{i+1} \times \omega \rightarrow U$.

(iv) \Rightarrow (i): We can assume that $X \subset Q \times [0, 1) = M$ is a closed set such that $\text{cl}_{Q \times [0, 1)} X = FX$. Let T be a tree such that there exists a homeomorphism $f : EX \rightarrow ET$. By [Sh₁, Theorem 2.3], there exists a proper map $\bar{f} : X \rightarrow T$ such that $F\bar{f}|_{EX} = f$. Then \bar{f} generates a proper n -fundamental net $\mathbf{f} = \{\bar{f}\} : X \rightarrow T$ in (M, T) by Lemma 4.1.2.

Let $\Delta = \{V \subset M \mid V \text{ is a closed connected neighborhood of } X \text{ in } M \text{ which is a } Q\text{-manifold and } EV = EX\}$. Note that Δ is the directed set with the order $V \geq V' \Leftrightarrow V \subset V'$. Let $U \in \Delta$. Since $X \in SUV^n$, we have a sequence $U = V_{n+1} \supset V_n \supset \cdots \supset V_1 = V_U$ in Δ satisfying the following;

- (*) Any proper map $h : S^i \times \omega \rightarrow V_i$ ($1 \leq i \leq n$) has a proper extension $\bar{h} : B^{i+1} \times \omega \rightarrow V_{i+1}$.

Observe that $V_2 \supset V_1$ satisfy the condition of Lemma 4.2.2.

By the Triangulation Theorem for Q -manifolds [Cha₂], we can regard $V_U = K \times Q$, where K is a locally finite simplicial complex. Let $\eta : V_U = K \times Q \rightarrow K$ be the projection and $\zeta : K \rightarrow K \times \{0\} \subset K \times Q$ the canonical injection. Let T'_U be a maximal tree of K such that $EK = ET'_U$ and let $T_U = \zeta(T'_U)$ be a closed tree in V_U . Note that $ET_U = EV_U = EX$. By [Sh₁, Theorem 2.3], there exist proper maps $k_U : V_U \rightarrow T_U \subset V_U$ and $g_U : T \rightarrow T_U \subset V_U$ such that $Fk_U|_{EV_U} = \text{id}_{EV_U}$ and $Fg_U|_{ET} = f^{-1} : ET \rightarrow EX = EV_U = ET_U$. Then $\mathbf{g} = \{g_U \mid U \in \Delta\}$ is a proper n -fundamental net because if $U' \geq V_U$, then $Fg_U|_{ET} = f^{-1} = Fg_{U'}|_{ET}$, hence $g_{U'} \simeq_p g_U$ in U by Lemma 4.2.2. Since $F(\tilde{f}g_U)|_{ET} = (F\tilde{f})(Fg_U)|_{ET} = ff^{-1} = \text{id}_{ET}$, $\tilde{f}g_U \simeq_p^n \text{id}_T$ in T for all $U \in \Delta$ by Lemma 4.2.1, which implies that $\mathbf{fg} \simeq_p^n \mathbf{i}_T$.

Since V_U is path-connected and $F(k_U\zeta)|_{ET'_U} = (Fk_U)(F\zeta)|_{ET'_U} = F\zeta|_{ET'_U}$, by the same argument of the proof of Lemma 4.2.2, we have a proper homotopy $H^{(1)} : K^{(1)} \times I \rightarrow V_2$ such that $H_0^{(1)} = \zeta|_{K^{(1)}}$ and $H_1^{(1)} = k_U\zeta|_{K^{(1)}}$.

Assume that a proper map $H^{(i-1)} : K^{(i-1)} \times I \rightarrow V_i$ ($2 \leq i \leq n$) has been constructed so that $H_0^{(i-1)} = \zeta|_{K^{(i-1)}}$ and $H_1^{(i-1)} = k_U\zeta|_{K^{(i-1)}}$. By using (*), we can extend $H^{(i-1)}$ to a proper map $H^{(i)} : K^{(i)} \times I \rightarrow V_{i+1}$ such that $H_0^{(i)} = \zeta|_{K^{(i)}}$ and $H_1^{(i)} = k_U\zeta|_{K^{(i)}}$. We have inductively a proper map $H^{(n)} : K^{(n)} \times I \rightarrow V_{n+1} = U$ such that $H_0^{(n)} = \zeta|_{K^{(n)}}$ and $H_1^{(n)} = k_U\zeta|_{K^{(n)}}$. Let $\beta : Z \rightarrow V_U$ be a proper map with $\dim Z \leq n$. By the standard trick of bridge maps, there exists a proper map $\gamma : Z \rightarrow K^{(n)}$ such that $\eta\beta \simeq_p \gamma$ in K .¹ Since $\beta \simeq_p \zeta\eta\beta \simeq_p \zeta\gamma$ in V_U , we have

$$\text{id}_{V_U}\beta \simeq_p \zeta\gamma \stackrel{H^{(n)}}{\simeq_p} k_U\zeta\gamma \simeq_p k_U\beta \text{ in } U,$$

which implies that $\text{id}_{V_U} \simeq_p^n k_U$ in U . Note that $\text{id}_{V_{U'}} \simeq_p^n k_{U'}$ in U for all $U' \geq V_U$.

¹Note that γ is contiguous to $\eta\beta$.

Since $F(g_U \tilde{f})|_{EV_U} = (Fg_U)(F\tilde{f})|_{EV_U} = f^{-1}f = \text{id}_{EV_U} = Fk_U|_{EV_U}$, we have $g_U \tilde{f}|_{V_U} \simeq_p^n k_U$ in T_U by Lemma 4.2.1. Then

$$\text{id}_{V_{U'}} \simeq_p^n k_{U'} \simeq_p^n g_{U'} \tilde{f}|_{V_{U'}} \text{ in } U \text{ for all } U' \geq V_U,$$

which implies that $\mathbf{gf} \simeq_p^n \mathbf{i}_X$. The theorem is proved. \square

Now we can strengthen Sher's result [Sh₁, Theorem 4.1] as follows:

Corollary 4.2.4 *Let $X \in \Sigma$ be connected. If $X \in SUV^n$ and $\dim X \leq n$, then $X \in SUV^\infty$.*

Proof. By Theorem 4.2.3, there exists a tree T such that $n\text{-Sh}_p(X) = n\text{-Sh}_p(T)$. It implies that $\text{Sh}_p(X) = \text{Sh}_p(T)$ by Proposition 4.1.6. By [Sh₁, Corollary 3.5], we conclude that $X \in SUV^\infty$. \square

The following table shows the relations of UV -properties and shapes.

X : compact;

$$\begin{aligned} X \in UV^\infty &\Leftrightarrow \text{Sh}(X) = \text{Sh}(1) \text{ [Bo}_2, \text{ Theorem 9.1]} \\ &\Updownarrow \dim X \leq n \text{ [Chi}_2, \text{ Corollary 2.17]} \\ X \in UV^n &\Leftrightarrow n\text{-Sh}(X) = n\text{-Sh}(1) \text{ [Chi}_2, \text{ Proposition 3.1]}. \end{aligned}$$

X : locally compact;

$$\begin{aligned} X \in SUV^\infty &\Leftrightarrow \text{Sh}_p(X) = \text{Sh}_p(T), \exists T : \text{tree} \text{ [Sh}_1, \text{ Theorem 3.1]} \\ &\Updownarrow \dim X \leq n \text{ (Corollary 4.2.4)} \\ X \in SUV^n &\Leftrightarrow n\text{-Sh}_p(X) = n\text{-Sh}_p(T'), \exists T' : \text{tree} \text{ (Theorem 4.2.3)}. \end{aligned}$$

Proposition 4.2.5 *For any two trees T_1 and T_2 ,*

- (1) $T_1 \simeq_p^0 T_2$ if and only if $ET_1 \approx ET_2$;
- (2) $T_1 \geq_p^0 T_2$ if and only if ET_2 embeds in ET_1 .

Proof. We only prove (1). Note that (2) follows from the proof of (1).

First, we prove the ‘‘only if’’ part. Suppose that there exist two proper maps $f : T_1 \rightarrow T_2$ and $g : T_2 \rightarrow T_1$ such that $gf \simeq_p^0 \text{id}_{T_1}$ and $fg \simeq_p^0 \text{id}_{T_2}$. By Lemma

4.2.1, $(Fg)(Ff)|_{ET_1} = F(gf)|_{ET_1} = F(\text{id}_{T_1})|_{ET_1} = \text{id}_{ET_1}$ and $(Ff)(Fg)|_{ET_2} = F(fg)|_{ET_2} = F(\text{id}_{T_2})|_{ET_2} = \text{id}_{ET_2}$, which implies that $ET_1 \approx ET_2$.

Next, we prove the ‘‘if’’ part. Let $h : ET_1 \rightarrow ET_2$ be a homeomorphism. By [Sh₁, Theorem 2.3], there exist two proper maps $k : T_1 \rightarrow T_2$ and $l : T_2 \rightarrow T_1$ such that $h = Fk|_{ET_1}$ and $h^{-1} = Fl|_{ET_2}$. Then $F(lk)|_{ET_1} = (Fl)(Fk)|_{ET_1} = h^{-1}h = \text{id}_{ET_1}$ and $F(kl)|_{ET_2} = (Fk)(Fl)|_{ET_2} = hh^{-1} = \text{id}_{ET_2}$. By Lemma 4.2.1, we conclude that $lk \simeq_p^0 \text{id}_{T_1}$ and $kl \simeq_p^0 \text{id}_{T_2}$. \square

By [Sh₁, Corollary 2.6] and Lemma 4.2.1, we have the following.

Corollary 4.2.6 *Let T_1 and T_2 be trees. Then $T_1 \geq_p^0 T_2$ or $T_2 \geq_p^0 T_1$. \square*

Corollary 4.2.7 *Suppose $X_1, X_2 \in SUV^n$. Then*

- (1) $n\text{-Sh}_p(X_1) = n\text{-Sh}_p(X_2)$ if and only if $EX_1 \approx EX_2$;
- (2) $n\text{-Sh}_p(X_1) \geq n\text{-Sh}_p(X_2)$ if and only if EX_2 embeds in EX_1 .

Proof. We only prove (1). note that (2) follows from the proof of (1).

Let T_1 and T_2 be trees such that $EX_1 \approx ET_1$ and $EX_2 \approx ET_2$. Then $n\text{-Sh}_p(X_1) = n\text{-Sh}_p(T_1)$ and $n\text{-Sh}_p(X_2) = n\text{-Sh}_p(T_2)$ by Theorem 4.2.3. If $n\text{-Sh}_p(X_1) = n\text{-Sh}_p(X_2)$, then $n\text{-Sh}_p(T_1) = n\text{-Sh}_p(T_2)$. Since T_1 and T_2 are AR 's, this implies that there exist two proper maps $f : T_1 \rightarrow T_2$ and $g : T_2 \rightarrow T_1$ such that $gf \simeq_p^n \text{id}_{T_1}$ and $fg \simeq_p^n \text{id}_{T_2}$. By Proposition 4.2.5, we have $ET_1 \approx ET_2$, hence $EX_1 \approx EX_2$.

Conversely, if $EX_1 \approx EX_2$, then $ET_1 \approx ET_2$. By Proposition 4.2.5 and Lemma 4.2.1, we have $T_1 \simeq_p^n T_2$, hence $n\text{-Sh}_p(X_1) = n\text{-Sh}_p(T_1) = n\text{-Sh}_p(T_2) = n\text{-Sh}_p(X_2)$. \square

Corollary 4.2.8 *Suppose $X_1, X_2 \in SUV^n$. Then $n\text{-Sh}_p(X_1) \geq n\text{-Sh}_p(X_2)$ or $n\text{-Sh}_p(X_2) \geq n\text{-Sh}_p(X_1)$. \square*

Chapter 5

Proper n -shape and Freudenthal compactification

In this chapter, we give another approach to proper n -shape and proper n -shape category $n\text{-SH}'_p\mathcal{LK}(n+1)$.

For a class \mathcal{M} of spaces, $\mathcal{M}(n)$ denotes the subclass of \mathcal{M} consisting of spaces with $\dim \leq n$. Let $\Sigma = \{X \mid X \text{ is locally compact and } QX \text{ is compact}\}$.

In [BS], Ball and Sher studied the relation of proper maps and the Freudenthal compactifications, defined the notion of proper shape and proved that for $X, Y \in \Sigma$, if $\text{Sh}_p(X) = \text{Sh}_p(Y)$ then $\text{Sh}(FX, EX) = \text{Sh}(FY, EY)$ rel. (EX, EY) [BS, Corollary 4.8].

By $n\text{-SH}_p\mathcal{LK}(n+1)$ ($n\text{-SH}_p\Sigma(n+1)$), we denote the full-subcategory of $n\text{-SH}_p\mathcal{LK}$ whose objects are in $\mathcal{LK}(n+1)$ ($\Sigma(n+1)$). The proper n -shape of locally compact spaces with $\dim \leq n+1$ is also defined by using embeddings of them into locally compact $(n+1)$ -dimensional $LC^n \cap C^n$ -spaces. We denote such a proper n -shape category by $n\text{-SH}'_p\mathcal{LK}(n+1)$. It is not clear that $n\text{-SH}_p\mathcal{LK}(n+1)$ and $n\text{-SH}'_p\mathcal{LK}(n+1)$ are categorical isomorphic. However we can prove the following:

Theorem A *There is a categorical embedding $\Phi : n\text{-SH}_p\mathcal{LK}(n+1) \rightarrow n\text{-SH}'_p\mathcal{LK}(n+1)$.*

$\text{SH}'_p \mathcal{LK}(n+1)$ such that $\Phi(X) = X$ for each $X \in \mathcal{LK}(n+1)$.

Let $\mathcal{K}^2(n+1)$ be the class of compact pairs with $\dim \leq n+1$ and $n\text{-SH}'_p \Sigma(n+1)$ be the full-subcategory of $n\text{-SH}'_p \mathcal{LK}(n+1)$ whose objects are in $\Sigma(n+1)$. We also define the relative n -shape category $n\text{-SH}_{rel} \mathcal{K}^2(n+1)$, strengthening n -shape category of pairs, and prove the following.

Theorem B *There is a functor $\Psi : n\text{-SH}'_p \Sigma(n+1) \rightarrow n\text{-SH}_{rel} \mathcal{K}^2(n+1)$ such that $\Psi(X) = (FX, EX)$ for each $X \in \Sigma(n+1)$.*

So we conclude the following corollaries.

Corollary 1 *There is a functor $\Theta : n\text{-SH}'_p \Sigma(n+1) \rightarrow n\text{-SH}_{rel} \mathcal{K}^2(n+1)$ such that $\Theta(X) = (FX, EX)$ for each $X \in \Sigma(n+1)$.*

Corollary 2 *For $X, Y \in \Sigma(n+1)$, if $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$ then*

$$n\text{-Sh}(FX, EX) = n\text{-Sh}(FY, EY) \text{ rel. } (EX, EY).$$

Corollary 3 *If X is connected, SUV^n and $\dim X \leq n+1$, then $FX \in UV^n$.*

For each $X \in \mathcal{LK}$, let $CX = X \cup \{\infty\}$ be the one-point compactification of X . Considering $(CX, \{\infty\})$ instead of (FX, EX) , we have the following similarly to Theorem 2.

Theorem C *There is a functor $\Psi' : n\text{-SH}'_p \mathcal{LK}(n+1) \rightarrow n\text{-SH}_{rel} \mathcal{K}^2(n+1)$ such that $\Psi'(X) = (CX, \{\infty\})$ for each $X \in \mathcal{LK}(n+1)$.*

As a corollary, we have the following.

Corollary 4 *Let $\mu_\infty^{n+1} = \mu^{n+1} \setminus \{*\}$, where $* \in \mu^{n+1}$, and let $X, Y \subset \mu_\infty^{n+1}$ be Z -sets. If $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$ then $\mu_\infty^{n+1} \setminus X \approx \mu_\infty^{n+1} \setminus Y$.*

5.1 The proper n -shape theory by using $AE(n)$'s

Suppose that X and Y are closed sets in $(n + 1)$ -dimensional locally compact $LC^n \cap C^n$ -spaces M and N , respectively. Let $\Lambda = (\Lambda, \leq)$ and $\Delta = (\Delta, \leq)$ be directed sets. A net $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\}$ of maps $f_\lambda : M \rightarrow N$ is called a *proper n -fundamental net* from X to Y in M and N if, for every closed neighborhood V of Y in N , there exist a closed neighborhood U of X in M and an index $\lambda_0 \in \Lambda$ such that

$$f_\lambda|_U \simeq_p^n f_{\lambda_0}|_U \text{ in } V \text{ for all } \lambda \geq \lambda_0.$$

One should remark that each f_λ need not be proper but $f_\lambda|_U$ is proper for some closed neighborhood U of X in M (cf. [BS, Lemma 3.2]). We denote that $\mathbf{f} : X \rightarrow Y$ in (M, N) .

Let $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\}, \mathbf{g} = \{g_\delta \mid \delta \in \Delta\} : X \rightarrow Y$ in (M, N) be proper n -fundamental nets. We say that \mathbf{f} and \mathbf{g} are *properly n -homotopic* (written by $\mathbf{f} \simeq_p^n \mathbf{g}$) if for each closed neighborhood V of Y there exist a closed neighborhood U of X and $\lambda_0 \in \Lambda, \delta_0 \in \Delta$ such that

$$f_\lambda|_U \simeq_p^n g_\delta|_U \text{ in } V \text{ for all } \lambda \geq \lambda_0 \text{ and } \delta \geq \delta_0.$$

The proper n -homotopy class of \mathbf{f} is denoted by $[\mathbf{f}]_p^n$.

By the same argument of previous chapter, we can define the notion of proper n -shape for $(n + 1)$ -dimensional locally compact spaces by using embeddings of them into $(n + 1)$ -dimensional locally compact $LC^n \cap C^n$ -spaces. The *proper n -shape category with $\dim \leq n + 1$* , $n\text{-SH}'_p \mathcal{LK}(n + 1)$, is the category whose objects are in $\mathcal{LK}(n + 1)$ and whose morphisms are the proper n -homotopy classes of proper n -fundamental nets. If $X, Y \in \mathcal{LK}(n + 1)$ are isomorphic in $n\text{-SH}'_p \mathcal{LK}(n + 1)$, then we denote $n\text{-Sh}'_p(X) = n\text{-Sh}'_p(Y)$.

Proposition 5.1.1 *Let $X, Y \in \mathcal{LK}$, X be an LC^n -space, $f : X \rightarrow Y$ be a proper UV^n -surjection and \mathcal{U} be an open covering of Y . Suppose that two proper maps $\phi : W_0 \rightarrow X$ and $\psi : W \rightarrow Y$ such that $f\phi = \psi|_{W_0}$, where*

$W \in \mathcal{LK}(n+1)$ and W_0 is a closed subset of W . Then there exists a proper map $\gamma : W \rightarrow X$ such that $\gamma|_{W_0} = \phi$ and $f\gamma$ is \mathcal{U} -close to ψ .

Proof. By [Da, Theorem 16.11], Y is LC^n . Let \mathcal{U}_1 be a double star-refinement of \mathcal{U} . By [Chi₁, Proposition 2.1], there is an open refinement \mathcal{U}' of \mathcal{U}_1 satisfying the following; for any two \mathcal{U}' -close proper maps $g, h : A \rightarrow Y$ from a closed subset A of a space B with $\dim \leq n+1$ such that g has a proper extension $G : B \rightarrow Y$ it follows that h also has a proper extension $H : B \rightarrow Y$ which is \mathcal{U}_1 -close to G (cf. [Cha₂, Theorem 4.2(2)]). By [Hu, p.156], there exists an open refinement \mathcal{V} of \mathcal{U}_1 such that, for any simplicial polytope K with $\dim \leq n+1$, every partial realization of K in Y relative to \mathcal{V} extends to a full realization of K in Y relative to \mathcal{U}_1 . Let \mathcal{W} be a canonical cover (cf. [Hu, p.51]) of $W \setminus W_0$ with order $\leq n+1$ such that $\psi(\mathcal{W})$ is a refinement of \mathcal{V} . By the nerve replacement trick [Hu, p.53] and the definition of \mathcal{V} , we have a proper map $\psi' : W^* \rightarrow Y$ such that $\psi'p$ is \mathcal{U}_1 -close to ψ and $\psi'|_{W_0} = \psi|_{W_0}$, where $W^* = N(\mathcal{W}) \cup W_0$ and $p : W \rightarrow W^*$ is a canonical map with $p|_{W_0} = \text{id}_{W_0}$. Since $\psi'|_{W_0} = f\phi$ and X is LC^n , ϕ extends to a proper map $\tilde{\phi} : W' \rightarrow X$, where W' is a closed neighborhood of W_0 in W^* and $W' \setminus W_0$ is a subpolyhedron of $N(\mathcal{W})$, such that $f\tilde{\phi}$ is \mathcal{U}' -close to $\psi'|_{W'}$. Then $f\tilde{\phi}$ has a proper extension $\tilde{\psi}' : W^* \rightarrow Y$ which is \mathcal{U}_1 -close to ψ' . Note that $f\tilde{\phi}|_{W' \setminus W_0} = \tilde{\psi}'|_{W' \setminus W_0}$. By the lifting property [La, Lemma A] (cf. [Be, Proposition 2.1.3]), we have a proper map $\gamma' : N(\mathcal{W}) \rightarrow X$ such that $\gamma'|_{W' \setminus W_0} = \tilde{\phi}|_{W' \setminus W_0}$ and $f\gamma'$ is \mathcal{U}_1 -close to $\psi'|_{N(\mathcal{W})}$. Then $\gamma = (\gamma' \cup \phi)p$ is the desired proper map. \square

By Proposition 1.1.5, we may assume that each $X \in \mathcal{LK}(n+1)$ is embedded as a closed set into an AR -space $M_X \in \mathcal{LK}$ and an $LC^n \cap C^n$ -space $M'_X \in \mathcal{LK}(n+1)$, and there is an $(n+1)$ -invertible proper UV^n -surjection $\alpha_X : M'_X \rightarrow M_X$ such that $\alpha_X|_X = \text{id}_X$.

Lemma 5.1.2 *Let $X, Y \in \mathcal{LK}(n+1)$. Then any proper n -fundamental net $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\} : X \rightarrow Y$ in (M_X, M_Y) in the sense of Chapter 4 induces a*

proper n -fundamental net $\mathbf{f}' = \{f'_\lambda \mid \lambda \in \Lambda\} : X \rightarrow Y$ in (M'_X, M'_Y) such that $f_\lambda \alpha_X = \alpha_Y f'_\lambda$ for each $\lambda \in \Lambda$.

Proof. Since α_Y is $(n+1)$ -invertible, for each map $f_\lambda : M_X \rightarrow M_Y$ there is a map $f'_\lambda : M'_X \rightarrow M'_Y$ such that $f_\lambda \alpha_X = \alpha_Y f'_\lambda$. We show that $\mathbf{f}' = \{f'_\lambda \mid \lambda \in \Lambda\} : X \rightarrow Y$ in (M'_X, M'_Y) is a proper n -fundamental net. Since α_Y is proper, for each closed neighborhood V' of Y in M'_Y there is a closed neighborhood V_1 of Y in M_Y such that $\alpha_Y^{-1}(\text{int } V_1) \subset V'$. Note that $\alpha_Y^{-1}(\text{int } V_1)$ is LC^n . Let $V \subset \text{int } V_1$ be a closed neighborhood of Y in M_Y . Then there are a closed neighborhood U of X in M_X and an index $\lambda_0 \in \Lambda$ such that

$$f_\lambda|_U \simeq_p^n f_{\lambda_0}|_U \text{ in } V \text{ for all } \lambda \geq \lambda_0.$$

Let $U' = \alpha_X^{-1}(U)$ and fix $\lambda \geq \lambda_0$. Since $\alpha_Y f'_\lambda|_{U'} = f_\lambda \alpha_X|_{U'}$, we have $f'_\lambda(U') \subset \alpha_Y^{-1}(V) \subset \alpha_Y^{-1}(\text{int } V_1) \subset V'$. Let $\beta' : Z \rightarrow U'$ be a proper map from $Z \in \mathcal{LK}(n)$ to U' . Since $f_\lambda|_U \simeq_p^n f_{\lambda_0}|_U$ in V ,

$$f_\lambda|_U \alpha_X \beta' \simeq_p f_{\lambda_0}|_U \alpha_X \beta' \text{ in } V,$$

i.e., there is a proper homotopy $H : Z \times I \rightarrow \text{int } V_1$ such that $H_0 = f_{\lambda_0}|_U \alpha_X \beta'$ and $H_1 = f_\lambda|_U \alpha_X \beta'$. Let $h : Z \times \{0, 1\} \rightarrow \alpha_Y^{-1}(\text{int } V_1)$ be the map defined by $h|_{Z \times \{0\}} = f'_{\lambda_0}|_{U'} \beta'$ and $h|_{Z \times \{1\}} = f'_\lambda|_{U'} \beta'$. Since $H|_{Z \times \{0, 1\}} = \alpha_Y h$, by Proposition 5.1.1, there is a proper map $\tilde{h} : Z \times I \rightarrow \alpha_Y^{-1}(\text{int } V)$ which is an extension of h . We conclude that $f'_\lambda|_{U'} \simeq_p^n f'_{\lambda_0}|_{U'}$ in $\alpha_Y^{-1}(\text{int } V_1) \subset V'$. \square

Lemma 5.1.3 *Let $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\}, \mathbf{g} = \{g_\delta \mid \delta \in \Delta\} : X \rightarrow Y$ in (M_X, M_Y) be proper n -fundamental nets and suppose \mathbf{f}, \mathbf{g} induce proper n -fundamental nets $\mathbf{f}' = \{f'_\lambda \mid \lambda \in \Lambda\}, \mathbf{g}' = \{g'_\delta \mid \delta \in \Delta\} : X \rightarrow Y$ in (M'_X, M'_Y) such that $f_\lambda \alpha_X = \alpha_Y f'_\lambda$ and $g_\delta \alpha_X = \alpha_Y g'_\delta$ for each $\lambda \in \Lambda$ and $\delta \in \Delta$. Then $\mathbf{f} \simeq_p^n \mathbf{g}$ if and only if $\mathbf{f}' \simeq_p^n \mathbf{g}'$.*

Proof. Suppose that $\mathbf{f} \simeq_p^n \mathbf{g}$. Since α_Y is proper, for each closed neighborhood V' of Y in M'_Y there is a closed neighborhood V of Y in M_Y such that $\alpha_Y^{-1}(V) \subset$

V' . By the argument of Lemma 5.1.2, we may assume that $\alpha_Y^{-1}(V)$ is LC^n . Since $\mathbf{f} \simeq_p^n \mathbf{g}$, there are a closed neighborhood U of X in M_X and indices $\lambda_0 \in \Lambda$ and $\delta_0 \in \Delta$ such that

$$f_\lambda|_U \simeq_p^n g_\delta|_U \text{ in } V \text{ for all } \lambda \geq \lambda_0 \text{ and } \delta \geq \delta_0.$$

By replacing f_λ and f_{λ_0} to f_λ and g_δ in Lemma 5.1.2, we can conclude $\mathbf{f}' \simeq_p^n \mathbf{g}'$.

To prove the contrary, suppose that $\mathbf{f}' \simeq_p^n \mathbf{g}'$. Let V be a closed neighborhood of Y in M_Y . Since $\mathbf{f}' \simeq_p^n \mathbf{g}'$, for $V' = \alpha_Y^{-1}(V)$ there exist a closed neighborhood U' of X in M'_X and indices $\lambda_0 \in \Lambda$, $\delta_0 \in \Delta$ such that

$$f'_\lambda|_{U'} \simeq_p^n g'_\delta|_{U'} \text{ in } V' \text{ for all } \lambda \geq \lambda_0 \text{ and } \delta \geq \delta_0.$$

Since α_X is proper, there is a closed neighborhood U of X in M_X such that $\alpha_X^{-1}(U) \subset U'$. Let $\beta : Z \rightarrow U$ be a proper map from $Z \in \mathcal{LK}(n)$ to U . By the invertibility of α_X , there is a proper map $\beta' : Z \rightarrow \alpha_X^{-1}(U)$ such that $\beta = \alpha_X \beta'$. Then

$$f_\lambda|_U \beta = f_\lambda|_U \alpha_X \beta' = \alpha_Y f'_\lambda|_{\alpha_X^{-1}(U)} \beta' \simeq_p \alpha_Y g'_\delta|_{\alpha_X^{-1}(U)} \beta' = g_\delta|_U \alpha_X \beta' = g_\delta|_U \beta \text{ in } V$$

for all $\lambda \geq \lambda_0$ and $\delta \geq \delta_0$, which implies $\mathbf{f} \simeq_p^n \mathbf{g}$. \square

Theorem 5.1.4 *There is a categorical embedding $\Phi : n\text{-SH}_p \mathcal{LK}(n+1) \rightarrow n\text{-SH}'_p \mathcal{LK}(n+1)$ such that $\Phi(X) = X$ for each $X \in \mathcal{LK}(n+1)$.*

Proof. For a proper n -fundamental net $\mathbf{f} : X \rightarrow Y$ in (M_X, M_Y) , we define $\Phi([\mathbf{f}]_p^n) = [\mathbf{f}']_p^n$, where $\mathbf{f}' : X \rightarrow Y$ in (M'_X, M'_Y) is induced in Lemma 5.1.2. By Lemmas 5.1.2 and 5.1.3, we may only prove that Φ is functorial, that is, $\Phi([\mathbf{g}]_p^n [\mathbf{f}]_p^n) = \Phi([\mathbf{f}]_p^n) \Phi([\mathbf{g}]_p^n)$ for each proper n -fundamental nets $\mathbf{f} : X \rightarrow Y$ in (M_X, M_Y) and $\mathbf{g} : Y \rightarrow Z$ in (M_Y, M_Z) . Let $\mathbf{f}' : X \rightarrow Y$ in (M'_X, M'_Y) and $\mathbf{g}' : Y \rightarrow Z$ in (M'_Y, M'_Z) be proper n -fundamental nets induced from \mathbf{f} and \mathbf{g} . For each $\lambda \in \Lambda$ and $\delta \in \Delta$, since $f_\lambda \alpha_X = \alpha_Y f'_\lambda$ and $g_\delta \alpha_Y = \alpha_Z g'_\delta$,

$$g_\delta f_\lambda \alpha_X = g_\delta \alpha_Y f'_\lambda = \alpha_Z g'_\delta f'_\lambda,$$

which means that $\mathbf{g}'\mathbf{f}' = \{g'_\delta f'_\lambda \mid (\lambda, \delta) \in \Lambda \times \Delta\}$ is induced from $\mathbf{gf} = \{g_\delta f_\lambda \mid (\lambda, \delta) \in \Lambda \times \Delta\}$. Therefore,

$$\Phi([\mathbf{g}]_p^n [\mathbf{f}]_p^n) = \Phi([\mathbf{gf}]_p^n) = [\mathbf{g}'\mathbf{f}']_p^n = [\mathbf{g}']_p^n [\mathbf{f}']_p^n = \Phi([\mathbf{g}]_p^n) \Phi([\mathbf{f}]_p^n). \quad \square$$

5.2 The Freudenthal compactification and compact pairs

In this section, we recall the Freudenthal compactification and study the relation between proper maps and compact pairs.

Suppose that X is rim-compact (i.e., any point has arbitrary small neighborhoods with compact boundaries). *The Freudenthal compactification* of X , here denoted by FX , is defined as the least upper bound of all compactifications Y of X such that $\text{ind}(Y \setminus X) = 0$. We call $EX = FX \setminus X$ *the space of ends* of X . It is known that FX is metrizable if and only if the space QX of quasi-components of X is compact, whence EX is homeomorphic to a closed set of the Cantor set.

Let $X, Y \in \Sigma$. Then each proper map $f : X \rightarrow Y$ has the unique extension $Ff : (FX, EX) \rightarrow (FY, EY)$. If $g : X \rightarrow Y$ is a proper map and $f \simeq_p^0 g$, then $Ff|_{EX} = Fg|_{EX}$ (cf. [Ba, Lemmas 2.3 and 2.7]). Also, the assignment $f \rightarrow Ff$ is functorial, that is, $F(\text{id}_X) = \text{id}_{FX}$ and $F(fg) = (Ff)(Fg)$ for proper maps $f : X \rightarrow Y$ and $g : Y \rightarrow Z$. For details, refer to [BS] and [DM].

Lemma 5.2.1 *Let $f, g : Z \rightarrow FY$ be maps from a compact space Z to FY and C a closed set in Z . Suppose that $f(Z \setminus C), g(Z \setminus C) \subset Y$ and $f(z) = g(z) \in EY$ for each $z \in C$. If $f|_{Z \setminus C} \simeq_p g|_{Z \setminus C}$ in Y , then $f \simeq g$ rel. C in FY .*

Proof. Let $H : (Z \setminus C) \times I \rightarrow Y$ be a proper homotopy such that $H_0 = f|_{Z \setminus C}$

and $H_1 = g|_{Z \setminus C}$. Define the homotopy $H' : Z \times I \rightarrow FY$ by

$$H'(z, t) = \begin{cases} H(z, t) & \text{for } z \in Z \setminus C, \\ f(z) = g(z) & \text{for } z \in C. \end{cases}$$

We prove that H' is continuous. Let $\{(z_i, t_i)\}_{i \in \omega}$ be a sequence in $(Z \setminus C) \times I$ such that $(z_i, t_i) \rightarrow (z_0, t_0) \in C \times I$ as $i \rightarrow \infty$. Let V be a neighborhood of $f(z_0) = g(z_0)$ in FY . Since $\dim EY = 0$, there exist open sets V_0, V_1 in FY such that $V_0 \subset V$, $EY \subset V_0 \cup V_1$ and $V_0 \cap V_1 = \emptyset$. Then $L = Y \setminus (V_0 \cup V_1) = FY \setminus (V_0 \cup V_1)$ is compact in Y , so $H^{-1}(L)$ is compact in $(Z \setminus C) \times I$. Let $U = Z \setminus pH^{-1}(L)$, where $p : Z \times I \rightarrow Z$ is a projection. Then U is a neighborhood of C and $H(U \times I) \subset V_0 \cup V_1$. Since $(z_i, t_i) \rightarrow (z_0, t_0)$, there exists $m_0 \in \omega$ such that $\{z_m\} \times I \subset U \times I$ for each $m \geq m_0$. Note that $H(\{z_m\} \times I) \subset H(U \times I) \subset V_0 \cup V_1$. By the continuity of f , $H(\{z_m\} \times 0) = f(z_m) \in V_0$. Then $H(\{z_m\} \times I) \subset V_0 \subset V$ since $V_0 \cap V_1 = \emptyset$. In particular, $H(z_m, t_m) \in V$ for each $m \geq m_0$, which implies that H' is continuous. \square

Let $f, g : X \rightarrow Y$ be maps and $A \subset X$ a closed set. We denote $f \simeq^n g$ rel. A if $f\alpha \simeq g\alpha$ rel. $\alpha^{-1}(A)$ for any map $\alpha : Z \rightarrow X$ with $\dim Z \leq n$. To see $f \simeq^n g$ rel. A , it suffices to verify the condition in case that α is an n -invertible surjection. By using this notation, the following holds from Lemma 5.2.1.

Corollary 5.2.2 *Let $f, g : X \rightarrow Y$ be proper maps. Then $f \simeq_p^n g$ implies $Ff \simeq^n Fg$ rel. EX . \square*

Remark. As is easily observed, the above is valid for maps between pairs.

We call (M, M_0) a μ^{n+1} -manifold pair if M and M_0 are μ^{n+1} -manifolds and M_0 is Z -set in M .

Lemma 5.2.3 *Let $f, g : U \rightarrow V$ be proper maps such that $f \simeq_p^n g$. Suppose that (FU, EU) and (FV, EV) are embedded in compact μ^{n+1} -manifold pairs (M, M_0) and (N, N_0) , respectively, such that $EU = FU \cap M_0$ and $EV =$*

$FV \cap M_0$. If f has an extension $\tilde{f} : (M, M_0) \rightarrow (N, N_0)$ with $\tilde{f}^{-1}(EV) = EU$, then g has also an extension $\tilde{g} : (M, M_0) \rightarrow (N, N_0)$ such that $\tilde{g}^{-1}(EV) = EU$ and $\tilde{f} \simeq^n \tilde{g}$ rel. EU as maps between pairs.

Proof. By Theorem 1.2.6 and its remark, we can extend g to a proper map $\bar{g} : (M \setminus EU, M_0 \setminus EU) \rightarrow (N \setminus EV, N_0 \setminus EV)$ such that $\bar{g} \simeq_p^n \tilde{f}|_{(M \setminus EU, M_0 \setminus EU)}$. Since M_0 is Z -set in M , $F(M \setminus EU) = M$ by [No, Corollary 1]. Then we have $\tilde{g} = F(\bar{g}) : (M, M_0) \rightarrow (N, N_0)$ which has the desired property by Corollary 5.2.2. \square

Remark. In the above, $g \cup \tilde{f}|_{M_0} : U \cup M_0 \rightarrow V \cup N_0$ is a map which is n -homotopic to $\tilde{f}|_{U \cup M_0}$ rel. M_0 . Then we can obtain \tilde{g} satisfying $\tilde{g}|_{M_0} = \tilde{f}|_{M_0}$.

Lemma 5.2.4 *Let $f, g : (M, M_0) \rightarrow (Y, Y_0)$ be maps from a compact pair (M, M_0) to an LC^n -pair (Y, Y_0) and $A \subset X$ be closed sets in M and $X_0 = X \cap M_0$. If $f|_{(X, X_0)} \simeq^n g|_{(X, X_0)}$ rel. A , then there exists a neighborhood pair (U, U_0) of (X, X_0) in (M, M_0) such that $f|_{(U, U_0)} \simeq^n g|_{(U, U_0)}$ rel. A .*

Proof. Let $\alpha : (Z, Z_0) \rightarrow (M, M_0)$ be an n -invertible UV^n -surjection from an n -dimensional compact pair (Z, Z_0) to (M, M_0) . By the assumption, there exists a homotopy $H : (\alpha^{-1}(X), \alpha^{-1}(X_0)) \times I \rightarrow (Y, Y_0)$ such that $H_0 = f\alpha|_{(\alpha^{-1}(X), \alpha^{-1}(X_0))}$, $H_1 = g\alpha|_{(\alpha^{-1}(X), \alpha^{-1}(X_0))}$ and $H_t|_{\alpha^{-1}(A)} = f\alpha|_{\alpha^{-1}(A)}$ for all $t \in I$. By 1.2.2, there exists a neighborhood pair (W, W_0) of $(Z, Z_0) \times \{0, 1\} \cup (\alpha^{-1}(X), \alpha^{-1}(X_0)) \times I$ in $(Z, Z_0) \times I$ and an extension $H' : (W, W_0) \rightarrow (Y, Y_0)$ of H . Since α is proper, we can find a neighborhood pair (U, U_0) of (X, X_0) such that $(\alpha^{-1}(U), \alpha^{-1}(U_0)) \times I \subset (W, W_0)$, which implies that $f|_{(U, U_0)} \simeq^n g|_{(U, U_0)}$ rel. A . \square

5.3 Proper n -shape and relative n -shape for compact pairs

Let \mathcal{K}^2 be the class of compact pairs. In this section, we define the relative n -shape category for compact pairs with $\dim \leq n+1$, $n\text{-SH}_{rel}\mathcal{K}^2(n+1)$, which is different from Chapter 3 and we construct a functor $\Psi : n\text{-SH}'_p\Sigma(n+1) \rightarrow n\text{-SH}_{rel}\mathcal{K}^2(n+1)$.

Let (X, X_0) be a Z -pair in (μ^{n+1}, μ_0^{n+1}) and let $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ be an $LC^n(n+1)$ -sequence associated with (X, X_0) , where each (X_i, X_{0i}) is a compact μ^{n+1} -manifold pair which is a closed neighborhood of (X, X_0) , and bonding maps are inclusions (Proposition 3.1.1). For convenience sake, we assume that $(X_1, X_{01}) = (\mu^{n+1}, \mu_0^{n+1})$. We call such an $LC^n(n+1)$ -sequence an *inclusion sequence* associated with (X, X_0) . Let (Y, Y_0) be a Z -pair in (μ^{n+1}, μ_0^{n+1}) and $(\mathbf{Y}, \mathbf{Y}_0) = \{(Y_i, Y_{0i}), q_i^{i+1}\}$ be an inclusion sequence associated with (Y, Y_0) . An n -morphism $\mathbf{h} = (h, \{h_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ is said to be a *relative n -morphism* if $h_i p_{h(i)}^{h(j)} \simeq^n q_i^j h_j \text{ rel. } X_0$ for any i, j with $j \geq i$, and we denote $\mathbf{h} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0) \text{ rel. } X_0$. Two relative n -morphisms $\mathbf{g}, \mathbf{h} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0) \text{ rel. } X_0$ are *relative n -homotopic* ($\mathbf{g} \simeq^n \mathbf{h} \text{ rel. } X_0$) if for each $i \in \omega$ there is an index $j \geq g(i), h(i)$ such that $g_i|_{(X_j, X_{0j})} \simeq^n h_i|_{(X_j, X_{0j})} \text{ rel. } X_0$. By Lemma 5.2.4, $\mathbf{g} \simeq^n \mathbf{h} \text{ rel. } X_0$ if and only if $g_i|_X \simeq^n h_i|_X \text{ rel. } X_0$. The class of relative n -homotopy of the relative n -morphism \mathbf{h} is denoted by $[\mathbf{h}]_{rel}^n$. The relative n -shape category for compact pairs $n\text{-SH}_{rel}\mathcal{K}^2(n+1)$ is defined as a category whose objects are in $\mathcal{K}^2(n+1)$ and whose morphisms are the relative n -homotopy classes of relative n -morphisms. If there exist two relative n -morphisms $\mathbf{f} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0) \text{ rel. } X_0$ and $\mathbf{g} : (\mathbf{Y}, \mathbf{Y}_0) \rightarrow (\mathbf{X}, \mathbf{X}_0) \text{ rel. } Y_0$ such that $\mathbf{g}\mathbf{f} \simeq^n \mathbf{i}_{(\mathbf{X}, \mathbf{X}_0)} \text{ rel. } X_0$ and $\mathbf{f}\mathbf{g} \simeq^n \mathbf{i}_{(\mathbf{Y}, \mathbf{Y}_0)} \text{ rel. } Y_0$, we denote $n\text{-Sh}(X, X_0) = n\text{-Sh}(Y, Y_0) \text{ rel. } (X_0, Y_0)$. The relative n -shape for compact pairs is stronger than the n -shape for compact pairs in the sense of Chapter 3, that is, $n\text{-Sh}(X, X_0) = n\text{-Sh}(Y, Y_0) \text{ rel. } (X_0, Y_0)$ implies $n\text{-Sh}(X, X_0) = n\text{-Sh}(Y, Y_0)$.

Suppose that FX and FY are Z -sets in μ^{n+1} , $EX = FX \cap \mu_0^{n+1}$, $EY = FY \cap \mu_0^{n+1}$ and $M = \mu^{n+1} \setminus \mu_0^{n+1}$, $(\mathbf{X}, \mathbf{X}_0) = \{(X_i, X_{0i}), p_i^{i+1}\}$ and $(\mathbf{Y}, \mathbf{Y}_0) = \{(Y_i, Y_{0i}), q_i^{i+1}\}$ are inclusion sequences associated with (FX, EX) and (FY, EY) , respectively. For each proper n -fundamental net $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\} : X \rightarrow Y$ in (M, M) , we construct a relative n -morphism $\hat{\mathbf{f}} = (f, \{\hat{f}\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ rel. EX as follows:

Let $V_1 \supset V_2 \supset \dots$ be closed neighborhoods of Y in M such that $Y = \bigcap_{i \in \omega} V_i$, $EV_i = EY$ and $FV_i \subset \text{int } Y_i$. Choose closed neighborhoods $U_1^f \supset U_2^f \supset \dots$ of X and indices $\lambda_1 \leq \lambda_2 \leq \dots$ such that $X = \bigcap_{i \in \omega} U_i^f$, $EU_i^f = EX$ and

$$f_\lambda|_{U_i^f} \simeq_p^n f_{\lambda_i}|_{U_i^f} \text{ in } V_i \text{ for all } \lambda \geq \lambda_i.$$

Since (Y_1, Y_{01}) is an $LC^n \cap C^n$ -pair, $F(f_{\lambda_1}|_{U_1^f}) : (FU_1^f, EU_1^f) \rightarrow (FV_1, EV_1) \subset (Y_1, Y_{01})$ has an extension $\hat{f}_1 : (X_1, X_{01}) \rightarrow (Y_1, Y_{01})$ by Lemma 1.2.1. Since $f_{\lambda_2}|_{U_2^f} \simeq_p^n f_{\lambda_1}|_{U_2^f}$ in V_1 and $f_{\lambda_1}|_{U_2^f}$ has an extension \hat{f}_1 with $EU_1^f = \hat{f}_1^{-1}(EV_1)$, by Lemma 5.2.3, $f_{\lambda_2}|_{U_2^f}$ has an extension $\tilde{f}_2 : (X_1, X_{01}) \rightarrow (Y_1, Y_{01})$ such that $\tilde{f}_2 \simeq^n \hat{f}_1$ in (V_1, V_{01}) rel. $EU_2^f = EX$. Note that $\tilde{f}_2(FU_2^f) \subset FV_2 \subset \text{int } Y_2$. Then there exists a μ^{n+1} -manifold neighborhood pair $(\bar{U}_2^f, \bar{U}_{02}^f)$ of (FU_2^f, EU_2^f) such that $\tilde{f}_2(\bar{U}_2^f, \bar{U}_{02}^f) \subset (Y_2, Y_{02})$. Take $f(2) \in \omega$ such that $(X_{f(2)}, X_{0f(2)}) \subset (\bar{U}_2^f, \bar{U}_{02}^f)$ and let $\hat{f}_2 = \tilde{f}_2|_{(X_{f(2)}, X_{0f(2)})} : (X_{f(2)}, X_{0f(2)}) \rightarrow (Y_2, Y_{02})$. Observe that $\hat{f}_2 \simeq^n \hat{f}_1|_{(X_{f(2)}, X_{0f(2)})}$ in (Y_1, Y_{01}) rel. EX . Assume that we obtained an extension $\tilde{f}_i : (\bar{U}_i^f, \bar{U}_{0i}^f) \rightarrow (Y_i, Y_{0i})$ of $f_{\lambda_i}|_{U_i^f}$ for $i \geq 2$ such that $(\bar{U}_i^f, \bar{U}_{0i}^f)$ is a μ^{n+1} -manifold neighborhood pair of (FU_i^f, EU_i^f) and $\tilde{f}_i \simeq \tilde{f}_{i-1}|_{(\bar{U}_i^f, \bar{U}_{0i}^f)}$ in (Y_i, Y_{0i}) rel. EX . Since $f_{\lambda_{i+1}}|_{U_{i+1}^f} \simeq_p^n f_{\lambda_i}|_{U_{i+1}^f}$ in V_i and $f_{\lambda_i}|_{U_{i+1}^f}$ has an extension \tilde{f}_i with $EU_i^f = \tilde{f}_i^{-1}(EV_i)$, by Lemma 5.2.3, $f_{\lambda_{i+1}}|_{U_{i+1}^f}$ has an extension $\tilde{f}_{i+1} : (\bar{U}_i^f, \bar{U}_{0i}^f) \rightarrow (Y_i, Y_{0i})$ such that $\tilde{f}_{i+1} \simeq^n \tilde{f}_i$ in (V_i, V_{0i}) rel. EX . Note that $\tilde{f}_{i+1}(FU_{i+1}^f) \subset FV_{i+1} \subset \text{int } Y_{i+1}$. Then there exists a μ^{n+1} -manifold neighborhood pair $(\bar{U}_{i+1}^f, \bar{U}_{0i+1}^f)$ of (FU_{i+1}^f, EU_{i+1}^f) such that $\tilde{f}_{i+1}(\bar{U}_{i+1}^f, \bar{U}_{0i+1}^f) \subset (Y_{i+1}, Y_{0i+1})$. Take $f(i+1) \in \omega$ such that $(X_{f(i+1)}, X_{0f(i+1)}) \subset (\bar{U}_{i+1}^f, \bar{U}_{0i+1}^f)$ and let $\hat{f}_{i+1} = \tilde{f}_{i+1}|_{(X_{f(i+1)}, X_{0f(i+1)})} : (X_{f(i+1)}, X_{0f(i+1)}) \rightarrow (Y_{i+1}, Y_{0i+1})$. Observe

that $\hat{f}_{i+1} \simeq^n \hat{f}_i|_{(X_{f(i+1)}, X_{0f(i+1)})}$ in (Y_i, Y_{0i}) rel. EX . By the induction, we have a sequence $\hat{\mathbf{f}} = (f, \{\hat{f}_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$. It is easy to see that $\hat{\mathbf{f}}$ is a relative n -morphism. In fact, for each $j \geq i$,

$$\begin{aligned} q_i^j \hat{f}_j &= \hat{f}_j \simeq^n \hat{f}_{j-1}|_{(X_{f(j)}, X_{0f(j)})} \text{ in } (Y_{j-1}, Y_{0j-1}) \text{ rel. } EX \\ &\simeq^n \hat{f}_{j-2}|_{(X_{f(j)}, X_{0f(j)})} \text{ in } (Y_{j-2}, Y_{0j-2}) \text{ rel. } EX \\ &\dots \\ &\simeq^n \hat{f}_i|_{(X_{f(j)}, X_{0f(j)})} = \hat{f}_{f(j)} p_{f(i)}^{f(j)} \text{ in } (Y_i, Y_{0i}) \text{ rel. } EX \end{aligned}$$

The above construction of $\hat{\mathbf{f}}$ is denoted by $\mathbf{f} \Rightarrow \{V_i, U_i^f, \lambda_i, \tilde{f}_i, \bar{U}_i^f, f(i)\}(\hat{\mathbf{f}})$.

Theorem 5.3.1 *There is a functor $\Psi : n\text{-SH}'_p \Sigma(n+1) \rightarrow n\text{-SH}_{rel} \mathcal{K}^2(n+1)$ such that $\Psi(X) = (FX, EX)$ for each $X \in \Sigma(n+1)$.*

Proof. Let $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\} : X \rightarrow Y$ in (M, M) be a proper n -fundamental net. By the construction $\mathbf{f} \Rightarrow \{V_i, U_i^f, \lambda_i, \tilde{f}_i, \bar{U}_i^f, f(i)\}(\hat{\mathbf{f}})$, we have a relative n -morphism $\hat{\mathbf{f}} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ rel. EX . We define $\Psi([\mathbf{f}]_p^n) = [\hat{\mathbf{f}}]_{rel}^n$.

First, we prove that Ψ is well-defined, that is, if $\mathbf{f} \simeq_p^n \mathbf{g}$ for another proper n -fundamental net $\mathbf{g} = \{g_\delta \mid \delta \in \Delta\} : X \rightarrow Y$ in (M, M) , then $\hat{\mathbf{f}} \simeq^n \hat{\mathbf{g}}$ rel. EX . By the construction $\mathbf{g} \Rightarrow \{V'_i, U_i^g, \delta_i, \tilde{g}_i, \bar{U}_i^g, g(i)\}(\hat{\mathbf{g}})$, we have a relative n -morphism $\hat{\mathbf{g}} = (g, \{\hat{g}_i\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ rel. EX such that $\hat{g}_i = \tilde{g}_i|_{(X_{g(i)}, X_{0g(i)})}$ and $\tilde{g}_i|_{U_i^g} = g_{\delta_i}|_{U_i^g}$. Since $\mathbf{f} \simeq_p^n \mathbf{g}$, there exist a closed neighborhood W of X in M , $\lambda_0 \in \Lambda$ and $\delta_0 \in \Delta$ such that

$$f_\lambda|_W \simeq_p^n g_\delta|_W \text{ in } V_i \cup V'_i \text{ for all } \lambda \geq \lambda_0 \text{ and } \delta \geq \delta_0.$$

Let $W' = W \cap U_i^f \cap U_i^g$. For each $\lambda \geq \lambda_0, \lambda_i$ and $\delta \geq \delta_0, \delta_i$, since

$$f_\lambda|_{W'} \simeq_p^n f_{\lambda_i}|_{W'} \text{ in } V_i \text{ and } g_\delta|_{W'} \simeq_p^n g_{\delta_i}|_{W'} \text{ in } V'_i,$$

we have

$$f_{\lambda_i}|_{W'} \simeq_p^n f_\lambda|_{W'} \simeq_p^n g_\delta|_{W'} \simeq_p^n g_{\delta_i}|_{W'} \text{ in } V_i \cup V'_i.$$

Since \hat{f}_i and \hat{g}_i are extensions of $f_{\lambda_i}|_{W'}$ and $g_{\delta_i}|_{W'}$, by Corollary 5.2.2,

$$\hat{f}_i|_{FX} \simeq^n \hat{g}_i|_{FX} \text{ rel. } EX \text{ in } Y_i,$$

which implies $\hat{\mathbf{f}} \simeq^n \hat{\mathbf{g}}$ rel. EX . Therefore, Ψ is well-defined.

Next, we show that Ψ is functorial. Let $\mathbf{f} : X \rightarrow Y$ in (M, M) and $\mathbf{g} : Y \rightarrow Z$ in (M, M) be proper n -fundamental nets and $(\mathbf{Z}, \mathbf{Z}_0) = \{(Z_i, Z_{0i}), r_i^{i+1}\}$ be an inclusion sequence associated with (FZ, EZ) . By the constructions $\mathbf{f} \Rightarrow \{V_i, U_i^f, \lambda_i, \tilde{f}_i, \bar{U}_i^f, f(i)\}(\hat{\mathbf{f}})$ and $\mathbf{g} \Rightarrow \{W_i, V_i^g, \delta_i, \tilde{g}_i, \bar{V}_i^g, g(i)\}(\hat{\mathbf{g}})$, we have relative n -morphisms $\hat{\mathbf{f}} : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Y}, \mathbf{Y}_0)$ rel. EX and $\hat{\mathbf{g}} : (\mathbf{Y}, \mathbf{Y}_0) \rightarrow (\mathbf{Z}, \mathbf{Z}_0)$ rel. EY . Observe that $V_{g(i)} \subset Y_{g(i)} \subset \bar{V}_i^g$. Since $\mathbf{g}\mathbf{f} = \{g\delta f_\lambda \mid (\lambda, \delta) \in \Lambda \times \Delta\} : X \rightarrow Z$ in (M, M) and $\hat{\mathbf{g}}\hat{\mathbf{f}} = (fg, \{\hat{g}_i \hat{f}_{g(i)}\}) : (\mathbf{X}, \mathbf{X}_0) \rightarrow (\mathbf{Z}, \mathbf{Z}_0)$, it is easy to verify $\mathbf{g}\mathbf{f} \Rightarrow \{W_i, U_{g(i)}^f, (\delta_i, \lambda_{g(i)}), \tilde{g}_i \tilde{f}_{g(i)}, \bar{U}_{g(i)}^f, fg(i)\}(\hat{\mathbf{g}}\hat{\mathbf{f}})$, hence

$$\Psi([\mathbf{g}\mathbf{f}]_p^n) = [\hat{\mathbf{g}}\hat{\mathbf{f}}]_{rel}^n = [\hat{\mathbf{g}}]_{rel}^n [\hat{\mathbf{f}}]_{rel}^n = \Psi([\mathbf{g}]_p^n) \Psi([\mathbf{f}]_p^n). \quad \square$$

Combining Theorems 5.1.4 and 5.3.1, we have the following.

Corollary 5.3.2 *There is a functor $\Theta : n\text{-SH}_p\Sigma(n+1) \rightarrow n\text{-SH}_{rel}\mathcal{K}^2(n+1)$ such that $\Theta(X) = (FX, EX)$ for each $X \in \Sigma(n+1)$. \square*

As a direct consequence of the above, we have

Corollary 5.3.3 *For $X, Y \in \Sigma(n+1)$, if $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$ then $n\text{-Sh}(FX, EX) = n\text{-Sh}(FY, EY)$ rel. (EX, EY) , hence $n\text{-Sh}(FX, EX) = n\text{-Sh}(FY, EY)$ in the sense of Chapter 3 and $EX \approx EY$. \square*

Corollary 5.3.4 *If X is connected SUV^n and $\dim X \leq n+1$, then $FX \in UV^n$.*

Proof. By Theorem 4.2.1, there exists a tree T such that $n\text{-Sh}_p(X) = n\text{-Sh}_p(T)$. By Corollary 5.3.3, $n\text{-Sh}(FX, EX) = n\text{-Sh}(FT, ET)$ rel. (EX, ET) . In particular, $n\text{-Sh}(FX) = n\text{-Sh}(FT)$. Since FT is contractible, $n\text{-Sh}(FX) = n\text{-Sh}(1)$, that is, $FX \in UV^n$ (cf. [Chi₁, Proposition 3.1]). \square

5.4 Proper n -shape and the one-point compactification

In this section, we consider the relation of proper n -shape and the one-point compactification.

Let $CX = X \cup \{\infty\}$ be the one-point compactification of $X \in \mathcal{LK}$. It is known that CX is metrizable. For a proper map $f : X \rightarrow Y$ between $X, Y \in \mathcal{LK}$, there is a unique extension $Cf : (CX, \{\infty\}) \rightarrow (CY, \{\infty\})$. If $f, g : X \rightarrow Y$ are proper maps and $f \simeq_p g$, then $Cf \simeq Cg$ rel. $\{\infty\}$. It is easy to see that the arguments of Section 5.3 are valid for the pairs $(CX, \{\infty\})$ and the maps Cf . By replacing FX by CX and EX by $\{\infty\}$ in the proof of Theorem 5.3.1, we can obtain the following.

Theorem 5.4.1 *There is a functor $\Psi' : n\text{-SH}'_p \mathcal{LK}(n+1) \rightarrow n\text{-SH}_{rel} \mathcal{K}^2(n+1)$ such that $\Psi'(X) = (CX, \{\infty\})$ for each $X \in \mathcal{LK}(n+1)$. \square*

Combining Theorems 5.1.4 and 5.4.1, we have the following.

Corollary 5.4.2 *There is a functor $\Theta' : n\text{-SH}_p \mathcal{LK}(n+1) \rightarrow n\text{-SH}_{rel} \mathcal{K}^2(n+1)$ such that $\Theta'(X) = (CX, \{\infty\})$ for each $X \in \mathcal{LK}(n+1)$. \square*

As a direct consequence of the above, we have

Corollary 5.4.3 *For $X, Y \in \mathcal{LK}(n+1)$, if $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$ then $n\text{-Sh}(CX, \{\infty\}) = n\text{-Sh}(CY, \{\infty\})$ rel. $(\{\infty\}, \{\infty\})$, hence pointed compacta $(CX, \{\infty\})$ and $(CY, \{\infty\})$ have the same pointed n -shape. \square*

Corollary 5.4.4 *Let $\mu_\infty^{n+1} = \mu^{n+1} \setminus \{*\}$, where $* \in \mu^{n+1}$ and let $X, Y \subset \mu_\infty^{n+1}$ be Z -sets. If $n\text{-Sh}_p(X) = n\text{-Sh}_p(Y)$ then $\mu_\infty^{n+1} \setminus X \approx \mu_\infty^{n+1} \setminus Y$.*

Proof. We can assume that $CX, CY \subset \mu^{n+1}$ as Z -sets with $\{*\} = \{\infty\}$. By Corollary 5.4.3, we have $n\text{-Sh}(CX, \{\infty\}) = n\text{-Sh}(CY, \{\infty\})$ rel. $(\{\infty\}, \{\infty\})$. In particular, $n\text{-Sh}(CX) = n\text{-Sh}(CY)$. By the complement theorem, $\mu_\infty^{n+1} \setminus X = \mu^{n+1} \setminus CX \approx \mu^{n+1} \setminus CY = \mu_\infty^{n+1} \setminus Y$. \square

Chapter 6

Homeomorphic neighborhoods

in μ^{n+1} -manifolds

In this chapter, we prove a μ^{n+1} -manifold version of the result of [Sh₂], that is, if X and Y are Z -sets in μ^{n+1} -manifolds M and N respectively, and $n\text{-Sh}'_p(X) = n\text{-Sh}'_p(Y)$, then X and Y have arbitrarily small homeomorphic μ^{n+1} -manifold closed neighborhoods. Here, we use the notion of proper n -shape for $(n+1)$ -dimensional locally compact spaces by using embeddings of them into $(n+1)$ -dimensional locally compact $LC^n \cap C^n$ -spaces (see Section 5.1). As a corollary, if X is a connected Z -set in a μ^{n+1} -manifold and $X \in SUV^n$, then there exists a tree T such that X has arbitrarily small closed neighborhoods homeomorphic to the Δ_{n+1} -product $T\Delta_{n+1}\mu^{n+1}$ of T and μ^{n+1} . Here, the Δ_{n+1} -product is defined in [Iwa] which plays the rule of the Cartesian product in the category of μ^{n+1} -manifolds. For a locally finite polyhedron P , $P\Delta_{n+1}\mu^{n+1}$ is the μ^{n+1} -manifold having the same proper n -homotopy type of P .

6.1 Homeomorphic neighborhoods

We denote $\mu_\infty^{n+1} = \mu^{n+1} \setminus \{*\}$, where $* \in \mu^{n+1}$. Recall that two proper maps $f, g : X \rightarrow Y$ are *properly n -homotopic* (written by $f \simeq_p^n g$) if, for any proper

map $\alpha : Z \rightarrow X$ from a space Z with $\dim Z \leq n$ into X , the compositions $f\alpha$ and $g\alpha$ are properly homotopic in the usual sense ($f\alpha \simeq_p g\alpha$). A μ^{n+1} -manifold M lying in a μ^{n+1} -manifold N is said to be *n-clean* in N [Chi₅] if M is closed in N and there exists a closed μ^{n+1} -manifold $\delta(M)$ in M such that

- (i) $(N \setminus M) \cup \delta(M)$ is a μ^{n+1} -manifold;
- (ii) $\delta(M)$ is a Z -set in both M and $(N \setminus M) \cup \delta(M)$; and
- (iii) $M \setminus \delta(M)$ is open in N .

Remark 6.1.1 Let P be a PL-manifold and L a submanifold in P such that $\text{Bd } L = \text{Bd } (P \setminus L)$. By [Chi₄, Theorem 1.6], there exists a proper UV^n -surjection $f : N \rightarrow P$ from a μ^{n+1} -manifold N satisfying the following conditions:

- (a) $f^{-1}(K)$ is a μ^{n+1} -manifold for any closed subpolyhedron K of P ; and
- (b) $f^{-1}(Z)$ is a Z -set in $f^{-1}(K)$ for any Z -set Z in a closed subpolyhedron K of P .

Then it is easy to see that $M = f^{-1}(L)$ is an *n-clean* submanifold of N with $\delta(M) = f^{-1}(\text{Bd } L)$.

Lemma 6.1.2 *Let Y be closed in a locally compact $C^n \cap LC^n$ -space N . Assume that $r : V_0 \rightarrow Y$ is a proper retraction of a closed neighborhood V_0 of Y in N . Then for each closed neighborhood V of Y in N there exists a closed neighborhood V' of Y in N such that $V' \subset V \cap V_0$ and $\text{id}_{V'} \simeq_p^n r|_{V'}$ in V .*

Proof. Let \mathcal{W} be an open cover of $V \cap V_0$ such that if one of any two \mathcal{W} -close maps from arbitrary locally compact space is proper, then the other is also proper. Since $\text{int}(V \cap V_0)$ is LC^n , there exists an open cover \mathcal{U} of $\text{int}(V \cap V_0)$ such that any two \mathcal{U} -close maps from a space with $\dim \leq n$ to $\text{int}(V \cap V_0)$ are \mathcal{W} -homotopic. By the continuity of r , for any $U \in \mathcal{U} \cap Y = \{U \in \mathcal{U} \mid U \cap Y \neq \emptyset\}$

$\emptyset\}$ and $x \in U \cap Y$ there exists a closed neighborhood \bar{V}_x of x in N such that $\bar{V}_x \subset U$ and $r(\bar{V}_x) \subset U \cap Y$. Since Y is locally compact, $\{\bar{V}_x \mid x \in Y\}$ has a locally finite refinement \mathcal{V}' . Then $V' = \bigcup \mathcal{V}'$ is the desired neighborhood. \square

Let X and Y be closed sets in locally compact $C^n \cap LC^n$ -spaces M and N respectively. Recall that a proper n -fundamental net $\mathbf{f} = \{f_\lambda \mid \lambda \in \Lambda\} : X \rightarrow Y$ in (M, N) is *generated by* a proper map $f : X \rightarrow Y$ (or f *generates* \mathbf{f}) provided $f = f_\lambda|_X$ for all $\lambda \in \Lambda$. The proper n -homotopy class $[\mathbf{f}]$ of \mathbf{f} is *generated by* f if f generates some $\mathbf{f}' \in [\mathbf{f}]$.

Proposition 6.1.3 *If Y is a locally compact LC^n -space, then the proper n -homotopy class of any proper n -fundamental net $\mathbf{f} : X \rightarrow Y$ in (M, N) is generated by a proper map $f : X \rightarrow Y$.*

Proof. Since Y is LC^n , there exist a closed neighborhood V_0 of Y in N and a proper retraction $r : V_0 \rightarrow Y$ by [BS, Lemma 3.2]. By Lemma 6.1.2, for each closed neighborhood V of Y in N there exists a closed neighborhood V' of Y in N such that $r|_{V'} \simeq_p^n \text{id}_{V'}$ in V . Then there exist a closed neighborhood U' of X in M and $\lambda_0 \in \Lambda$ such that $f_\lambda|_{U'} \simeq_p^n f_{\lambda_0}|_{U'}$ in V' for all $\lambda \geq \lambda_0$. Let $r' : N \rightarrow N$ be an extension of r and $f'_\lambda = r'f_{\lambda_0}$. Note that $\mathbf{f}' = \{f'_\lambda\}$ is generated by $f = rf_{\lambda_0}|_X$, i.e., $f'_\lambda|_X = f$. Since $f'_\lambda|_{U'} = r'f_{\lambda_0}|_{U'} \simeq_p^n f_{\lambda_0}|_{U'} \simeq_p^n f_\lambda|_{U'}$ in V for all $\lambda \geq \lambda_0$, $\mathbf{f}' \simeq_p^n \mathbf{f}$. \square

Lemma 6.1.4 *Let X be a Z -set in a μ^{n+1} -manifold M . Then there exists a closed embedding $F : M \rightarrow \mu_\infty^{n+1}$ such that $F(M)$ is a neighborhood of $F(X)$ in μ_∞^{n+1} and $F(M)$ is n -clean in μ_∞^{n+1} with $\delta(F(M)) \approx M$.*

Proof. By [Chi₁, Theorem 9], there exists a proper $(n+1)$ -invertible UV^n -surjection $f : M \rightarrow P$ from M to a locally finite $(n+1)$ -dimensional polyhedron P . We can assume that P is a closed subpolyhedron in $(I^{2(n+1)+1} \times \{0\}) \setminus \{*\}$, where $* = (0, \dots, 0) \in I^{2(n+1)+2}$. Then there exists a proper $(n+1)$ -invertible UV^n -surjection $f : N \rightarrow I^{2(n+1)+2} \setminus \{*\}$ from a μ^{n+1} -manifold N as same as

Remark 6.1.1. Since $I^{2(n+1)+2} \setminus \{*\} \simeq_p^n \mu_\infty^{n+1}$ and f is proper UV^n , we have $N \approx \mu_\infty^{n+1}$ (cf. [Chi₄, Theorem 1.3]). Let $N(P)$ be a regular neighborhood of P . By Remark 6.1.1, $M' = f^{-1}(N(P))$ and $M'' = f^{-1}(\text{Bd } N(P))$ are μ^{n+1} -manifolds in N and M' is n -clean with $\delta(M') = M''$. Since P is a Z -set in $N(P)$, it follows that $N(P) \simeq_p^n \text{Bd } N(P)$. By [Chi₄, Theorem 1.4], there exist homeomorphisms $g : M \rightarrow M'$ and $g' : M \rightarrow M''$. By the Z -set unknotting theorem, there exists a homeomorphism $h : M' \rightarrow M''$ such that $h(g(X)) \cap M'' = \emptyset$. Then $F = hg$ is the desired closed embedding. \square

Theorem 6.1.5 *Let X and Y be Z -sets in μ^{n+1} -manifolds M and N respectively, such that $n\text{-Sh}'_p(X) \leq n\text{-Sh}'_p(Y)$. Then, for each neighborhood U of X in M and each neighborhood V of Y in N , there exists an open neighborhood V' of Y such that for every μ^{n+1} -manifold closed neighborhood S of Y in $V' \cap V$, there exists a μ^{n+1} -manifold closed neighborhood R of X in U which is homeomorphic to S .*

Proof. By Lemma 6.1.4, we can assume that $M = N = \mu_\infty^{n+1}$ and U is n -clean. Let $\mathbf{f} : X \rightarrow Y$ in $(\mu_\infty^{n+1}, \mu_\infty^{n+1})$ and $\mathbf{g} = \{g_\delta \mid \delta \in \Delta\} : Y \rightarrow X$ in $(\mu_\infty^{n+1}, \mu_\infty^{n+1})$ be proper n -fundamental nets such that $\mathbf{g}\mathbf{f} \simeq_p^n \text{id}_X$. Let U' be a closed neighborhood of X such that $U' \subset \text{int } U$. Then there exist $\delta_0 \in \Delta$, $\lambda_0 \in \Lambda$ and a closed neighborhood W of Y with $W \subset V$ such that $g_\delta f_\lambda|_X \simeq_p^n \text{id}_X$ in U' , $g_\delta|_W \simeq_p^n g_{\delta_0}|_W$ in U' for each $\delta \geq \delta_0, \lambda \geq \lambda_0$. By the Z -set approximation theorem, there exists a Z -embedding $g'_{\delta_0} : W \rightarrow \text{int } U$ approximating g_{δ_0} . Note that $g'_{\delta_0}|_Y$ is properly n -homotopic to the inclusion in μ_∞^{n+1} . By the Z -set unknotting theorem, there exists a homeomorphism $h : \mu_\infty^{n+1} \rightarrow \mu_\infty^{n+1}$ such that $hg'_{\delta_0}|_Y = \text{id}_Y$. Let $V' = h(\text{int } U)$ and $S \subset V \cap V'$ be a closed μ^{n+1} -manifold neighborhood of Y . Then $S' = h^{-1}(S)$ is a μ^{n+1} -manifold closed neighborhood of $g'_{\delta_0}(Y)$ lying in $\text{int } U$. Let W' be a closed neighborhood of Y lying in $\text{int } S$ so that $g'_{\delta_0}(W') \subset \text{int } S'$. Then there exists $\lambda \geq \lambda_0$ such that $f_\lambda(X) \subset W'$. By the Z -set approximation theorem, we can assume that f_λ is a Z -embedding.

Note that $g'_{\delta_0} f_\lambda(X) \subset g'_{\delta_0}(W') \subset \text{int } S'$ and $g'_{\delta_0} f_\lambda|_X \simeq_p^n g_{\delta_0} f_\lambda|_X \simeq_p^n \text{id}_X$ in $U' \subset \text{int } U$. By the Z -set unknotting theorem, there exists a homeomorphism $h' : \mu_\infty^{n+1} \rightarrow \mu_\infty^{n+1}$ such that $h' g'_{\delta_0} f_\lambda|_X = \text{id}_X$ and $h'|_{\mu_\infty^{n+1} \setminus \text{int } U} = \text{id}_{\mu_\infty^{n+1} \setminus \text{int } U}$. Then $R = h'(S')$ is the desired neighborhood. \square

For Δ_{n+1} -product, refer to [Iwa].

Lemma 6.1.6 *Let P be a locally finite polyhedron embedded in μ_∞^{n+1} as a closed set. Every neighborhood U of P in μ_∞^{n+1} contains a μ^{n+1} -manifold closed neighborhood V of P such that V is n -clean in μ_∞^{n+1} and $V \approx \delta(V) \approx P\Delta_{n+1}\mu^{n+1}$.*

Proof. We can assume that $P \subset (I^{2(n+1)+1} \times \{0\}) \setminus \{*\} \subset I^{2(n+1)+2} \setminus \{*\} = M$ as a closed subpolyhedron and μ_∞^{n+1} is obtained from M by the Lefschetz construction [CKT, 2.1, II]. Let \mathcal{L} be a combinatorial triangulation of M and \tilde{U} be a neighborhood of P in M such that $U = \mu_\infty^{n+1} \cap \tilde{U}$. By Whitehead's theorem [Wh], there exists a subdivision \mathcal{L}' of \mathcal{L} such that \mathcal{L}' refines $\{M \setminus P\} \cup \{\tilde{U}\}$. Let $N(P, \text{sd } \mathcal{L}')$ be a regular neighborhood of P obtained from the barycentric subdivision $\text{sd } \mathcal{L}'$ of \mathcal{L}' and let V be a μ^{n+1} -manifold obtained from $N(P, \text{sd } \mathcal{L}')$ by the Lefschetz construction. Then V is n -clean in μ_∞^{n+1} such that $\delta(V) = \mu_\infty^{n+1} \cap \text{Bd } N(P, \text{sd } \mathcal{L}')$ and $V \setminus \delta(V) = \mu_\infty^{n+1} \cap \text{int } N(P, \text{sd } \mathcal{L}')$. Now there exists a proper deformation retraction $r : N(P, \text{sd } \mathcal{L}') \rightarrow P$, we have a proper UV^n -retraction $r|_V : V \rightarrow P$. Since there exists a proper UV^n -surjection $P\Delta_{n+1}\mu^{n+1} \rightarrow P$ [Iwa], and by [Chi₄, Theorem 1.4], V and $P\Delta_{n+1}\mu^{n+1}$ are homeomorphic. Since P is a Z -set in $N(P, \text{sd } \mathcal{L}')$, $N(P, \text{sd } \mathcal{L}') \simeq_p^n \text{Bd } N(P, \text{sd } \mathcal{L}')$, which implies $\delta(V) \approx V$ by [Chi₄, Theorem 1.4]. \square

Theorem 6.1.7 *Let X be a Z -set in a μ^{n+1} -manifold M and P an $(n+1)$ -dimensional locally finite polyhedron such that $n\text{-Sh}'_p(X) \leq n\text{-Sh}'_p(P)$. Then X has arbitrarily small closed neighborhoods U_α , $\alpha \in A$, such that*

- (1) each U_α is n -clean in M ,
- (2) $U_\alpha \approx \delta(U_\alpha) \approx P\Delta_{n+1}\mu^{n+1}$ and
- (3) for each $\alpha, \beta \in A$ there exists a homeomorphism $h : U_\alpha \rightarrow U_\beta$ fixing X .

Proof. By Lemma 6.1.4, we can assume that X and P are closed sets in μ_∞^{n+1} . Let $\mathbf{f} : X \rightarrow P$ and $\mathbf{g} : P \rightarrow X$ be proper n -fundamental nets in $(\mu_\infty^{n+1}, \mu_\infty^{n+1})$ such that $\mathbf{g}\mathbf{f} \simeq_p^n \mathbf{i}_X$. By Proposition 6.1.3, \mathbf{f} is generated by a proper map $f : X \rightarrow P$. Let $A = \{\alpha \mid \alpha \text{ is a closed neighborhood of } X \text{ in } \mu_\infty^{n+1}\}$. For each $\alpha \in A$, there exist $\delta_\alpha \in \Delta$ and a closed neighborhood W of P which is homeomorphic to $P\Delta_{n+1}\mu^{n+1}$, such that $g_\delta|_W \simeq_p^n g_{\delta_\alpha}|_W$ and $g_\delta f \simeq_p^n \text{id}_X$ in α for all $\delta \geq \delta_\alpha$ by Lemma 6.1.6. By the same argument of Theorem 6.1.5, we may assume that $g_{\delta_\alpha}|_W$ is a Z -embedding of $P\Delta_{n+1}\mu^{n+1}$ into α and $X \subset g_{\delta_\alpha}(W)$. Then $g_{\delta_\alpha}^{-1}|_X \simeq_p^n g_{\delta_\alpha}^{-1}g_{\delta_\alpha}f|_X \simeq_p^n f$ in $P\Delta_{n+1}\mu^{n+1}$.

Let $\alpha, \beta \in A$. Since $g_{\delta_\alpha}^{-1}|_X \simeq_p^n f \simeq_p^n g_{\delta_\beta}^{-1}|_X$ in $P\Delta_{n+1}\mu^{n+1}$, by the Z -set unknotting theorem, there exists a homeomorphism $G : P\Delta_{n+1}\mu^{n+1} \rightarrow P\Delta_{n+1}\mu^{n+1}$ such that $Gg_{\delta_\alpha}^{-1}|_X = g_{\delta_\beta}^{-1}|_X$. Then $h = g_{\delta_\beta}Gg_{\delta_\alpha}^{-1}$ is the desired homeomorphism. \square

It is proved (Theorem 4.2.3) that if X is connected, then $X \in SUV^n$ if and only if $n\text{-Sh}_p(X) = n\text{-Sh}_p(T)$ for some tree T . By Theorem 5.1.4, we have the following:

Corollary 6.1.8 *Let X be a connected Z -set in a μ^{n+1} -manifold and $X \in SUV^n$. Then X has an arbitrarily small closed μ^{n+1} -manifold neighborhood V such that $V \approx T\Delta_{n+1}\mu^{n+1}$ for some tree T . \square*

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