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**Morphology, ultrastructure, and phylogeny of two novel species of *Ventrifissura* (*V. oblonga* n. sp. and *V. velata* n. sp., Thecofilosea, Cercozoa)**

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Running title: Description of two novel *Ventrifissura* species

Declarations of interest: none

24 **Abstract**

25 *Ventrifissura* is a group of poorly studied heterotrophic biflagellates in the phylum Cercozoa.  
26 Despite a phylogenetic placement with only weak support and a lack of ultrastructural data,  
27 *Ventrifissura* was assigned to Thecofilosea. In the presented study, we established cultures of  
28 two novel species of *Ventrifissura* (*V. oblonga* n. sp. and *V. velata* n. sp.) isolated from coastal  
29 marine environments in Japan, and performed light and electron microscopy observations  
30 and molecular phylogenetic analysis. Transmission electron microscopy revealed that *V.*  
31 *oblonga* shares several ultrastructural characteristics with thecofilosean flagellates, including  
32 permanently condensed chromosomes, a extracellular theca, and slender extrusomes.  
33 Molecular phylogenetic analysis could not resolve the phylogenetic position, but the  
34 possibility that *Ventrifissura* clusters into Ventrifilosa was supported by approximately  
35 unbiased tests. Based on both morphological and phylogenetic findings, we concluded that  
36 *Ventrifissura* is a basal lineage of Thecofilosea.

37

38 **Keywords:** Morphology, ultrastructure, phylogeny, *Ventrifissura*, Cercozoa, Thecofilosea

39

40 **Introduction**

41 Thecofilosea is one of cercozoan classes that was established based on molecular  
42 phylogenetic analysis using small subunit ribosomal RNA (SSU rRNA) gene sequences  
43 (Cavalier-Smith and Chao 2003). This group originally comprised thecate amoebae  
44 (Tectofilosida) and amoeboflagellates (*Cryothecomonas*) and was defined as a protistan  
45 group in which individuals possess an organic flexible theca or rigid test (Cavalier-Smith and  
46 Chao 2003). Further molecular phylogenetic analyses have revealed that protists with  
47 uncertain taxonomic positions exemplified by *Ebria* and *Protaspa*, and protists that have  
48 been classified into other taxonomic groups such as phaeodareans also belong to

49 Thecofilosea (Hoppenrath and Leander 2006a, b; Yuasa et al. 2006). Thecofilosean protists  
50 such as *Mataza*, *Botuliforma*, *Ventrifissura*, and *Verrucomonas* have also been described  
51 recently (Chantangsi and Leander 2010a; Yabuki and Ishida 2011), and Thecofilosea  
52 currently consists of seven orders (Cavalier-Smith et al. 2018). Diversity of thecofilosean  
53 thecate amoebae also have been revealed recently (Dumack et al. 2017; 2018). However,  
54 some newly assigned thecofiloseans lack sufficient morphological and ultrastructural  
55 information, which prevents a deep understanding of the evolution of Thecofilosea.

56         The genus *Ventrifissura* is a group of marine heterotrophic biflagellates first reported  
57 by Chantangsi and Leander (2010a). Two species (*V. artocarpoidea* and *V. foliiformis*)  
58 isolated from tidal sand flats in British Columbia, Canada, were originally reported and  
59 characterized based on culture-independent light microscopy and molecular phylogenetic  
60 analysis using SSU rRNA gene sequences. Cells are broadly obovate and dorsoventrally  
61 flattened, and they move by gliding across a substrate. Cells have a longitudinal groove at  
62 the ventral side and a large nucleus at the anterior region. The cell surface of *V. foliiformis* is  
63 smooth, whereas that of *V. artocarpoidea* is decorated with numerous pointed warts.  
64 Although Chantangsi and Leander (2010a) assigned *Ventrifissura* as a member of  
65 Tectofilosida based on molecular phylogenetic analysis, a subsequent taxon-rich SSU rRNA  
66 gene tree showed that *Ventrifissura* is positioned as the deepest lineage of Thecofilosea,  
67 together with a group of uncultured heterotrophic flagellates *Verrucomonas*, albeit with weak  
68 statistical support (Howe et al. 2011). *Ventrifissura* and *Verrucomonas* share several  
69 morphological features with some thecofilosean flagellates (e.g., *Protaspa* and  
70 *Cryothecomonas*) based on light microscopy, such as a non-flexible cell body and ventral  
71 groove. Based on phylogenetic position and morphological similarity, the two genera were  
72 classified into the new thecofilosean order Ventricleftida (Howe et al. 2011). However,  
73 *Ventrifissura* lacks ultrastructural information that is important for higher classification of

74 Cercozoa. In addition, the monophyly of *Ventrifissura* and Thecofilosea was not consistently  
75 supported in molecular phylogenetic analyses, and *Ventrifissura* occasionally branches as a  
76 sister or inner lineage of Imbricatea (Chantangsi and Leander 2010b; Dumack et al. 2017;  
77 Scoble and Cavalier-Smith 2014).

78 In the present study, we established cultures of two new species of *Ventrifissura* from  
79 Japanese coastal marine samples, and performed molecular phylogenetic analysis and light  
80 and electron microscopic observations. Our results provide insight on the taxonomic position  
81 of *Ventrifissura* and ultrastructural evolution of Thecofilosea.

82

## 83 **Results**

### 84 **Light microscopy analysis of *V. oblonga* and *V. velata***

85 *Ventrifissura oblonga* had a rigid cell body and smooth cell surface (Fig. 1). Cells were ovoid  
86 in shape and slightly dorsoventrally flattened (Fig. 1C–E). The cell length was 16.8–33.9  
87 ( $22.8 \pm 3.57$ ,  $n = 40$ )  $\mu\text{m}$ , and the width was 9.4–18.2 ( $13.5 \pm 2.12$ ,  $n = 40$ )  $\mu\text{m}$ . A large  
88 nucleus contained a conspicuous nucleolus and located at the anterior region of the cell (Fig.  
89 1C–E), and cells contained numerous refractile granules scattered throughout the cell (Fig.  
90 1C–G). Brown food vacuoles containing diatoms were occasionally observed. Two unequal  
91 flagella subapically emerged from the ventral side of the cell (Fig. 1F), of which the shorter  
92 flagellum was approximately 0.5–1 times the cell length while the longer flagellum was  
93 approximately 1.2–1.5 times the cell length. Cells possessed a deep ventral groove that runs  
94 from close to the flagellar insertion point to the posterior end (Fig. 1E, G). Most cells in the  
95 culture glided on the substrate, and the shorter flagellum of gliding cells was directed  
96 anteriorly (i.e. the anterior flagellum) and beats rapidly, whereas the longer flagellum (the  
97 posterior flagellum) trailed on the substrate. Gliding cells vibrated according to the beating  
98 of the anterior flagellum. Filopodia occasionally emerged from the posterior region of the



99 ventral groove (Fig. 1H, I). The filopodia lacking granules, were well branched, and did not  
100 anastomose (Fig. 1H, I). When filopodia emerged from the ventral groove, both flagella were  
101 immotile and cells did not glide, and instead moved slowly along the substrate using filopodia.  
102 Cells occasionally discharged filamentous extrusomes when pressed with a cover slip, and  
103 discharged extrusomes were approximately 20–35  $\mu\text{m}$  in length (Fig. 1J). Large cell clumps  
104 that reach 50  $\mu\text{m}$  in diameter were occasionally observed in older cultures (Fig. 1K, L). Cells  
105 consisted of cell clumps directed outward and connected with each other by posterior end of  
106 cells (Fig. 1K). In some clumps, cells connected with a large spherical core by their posterior  
107 end (Fig. 1L).

108         Cells of *V. velata* were rigid, broadly ovoid, and dorsoventrally flattened, with a  
109 length of 17.5–29.7 ( $24.04 \pm 2.69$ ,  $n = 38$ )  $\mu\text{m}$  and a width of 13.1–28.1 ( $19.75 \pm 3.32$ ,  $n =$   
110 38)  $\mu\text{m}$  (Fig. 2A–C). Many small warts were distributed over the entire surface of the cell  
111 (Fig. 2A–C). A large nucleus with one to several nucleoli was located at the anterior region  
112 of the cell (Fig. 2A, B). Many refractile granules and non-refractile globules that appear to  
113 be oil drops were scattered throughout the cell (Fig. 2A–C), and brown food vacuoles  
114 containing diatoms were occasionally observed. One short flagellum and one long flagellum  
115 emerge subapically from the ventral side of the cell (Fig. 2B, D). The shorter flagellum was  
116 approximately 0.5–1 times the cell length, and the longer flagellum was approximately  
117 1.2–1.5 times the cell length. Cells possessed a deep ventral groove that runs from close to  
118 the flagellar insertion point to the posterior end of the cell (Fig. 2C). Most cells in the culture  
119 glided on the substrate, and the shorter flagellum was directed anteriorly (i.e. the anterior  
120 flagellum) and beat rapidly, whereas the longer flagellum (the posterior flagellum) trailed on  
121 the substrate. Gliding cells vibrated according to the beating of the anterior flagellum. A large  
122 lamellipodium emerged from the entire region of the ventral groove that lacks granules, but  
123 filopodia occasionally emerged from the rim of the lamellipodium (Fig. 2D, E). When the

124 lamellipodium emerged from the ventral groove, both flagella were immotile and cells did  
125 not glide, but instead moved across the substrate using the lamellipodium. Cells occasionally  
126 discharged filamentous extrusomes when pressed with a cover slip (Fig. 2F). Discharged  
127 extrusomes were approximately 20–35  $\mu\text{m}$  in length, and large cell clumps were occasionally  
128 observed in older cultures (Fig. 2G).

129

### 130 **Electron microscopy of *V. oblonga* and *V. velata***

131 Scanning electron microscopy (SEM) observation showed that *V. oblonga* had two  
132 longitudinally aligned flagellar pockets (Fig. 3A), and naked anterior and posterior flagella  
133 emerge from each flagellar pocket (Fig. 3A). The cell surface was coated with granular  
134 material, and small rounded bumps were scattered on the surface (Fig. 3A, B). By contrast,  
135 the cell surface of *V. velata* was covered by fine creases and a small rounded bump was  
136 positioned at the tip of each wart (Fig. 3C, D).

137 Transmission electron microscopy (TEM) observations revealed that cells of *V.*  
138 *oblonga* were covered by a single layered theca of 30–60 nm thickness (Fig. 4A), the surface  
139 of which is covered with ambiguous material that resembles thin filaments, while the inner  
140 side of the theca is composed of electron-dense particles (Fig. 4A, B). An extrusome was  
141 located just beneath the rounded bump (Fig. 4C, 6A). Cells of *V. velata* were covered by a  
142 single layered theca of 30–60 nm thickness (Fig. 4D), the surface of which was adorned with  
143 thin ribbon-like filaments that form a pleated structure (Fig. 4D, E). The inner side of the  
144 theca was coated with electron-dense particles (Fig. 4D, E), and an extrusome was located  
145 just beneath the rounded bump (Fig. 4F, G).

146 The cytoplasm of *V. oblonga* was highly vacuolated, and a large nucleus with a  
147 conspicuous nucleolus was located at the anterior region of the cell (Fig. 5A). Condensed  
148 chromosomes were distributed permanently throughout the entire region of the nucleus and

149 surround the nucleolus (Fig. 5A). Two Golgi apparatus were located at the anterior region of  
150 the cell (Fig. 5B), and several rounded mitochondrial profiles containing tubular cristae were  
151 scattered throughout the cytoplasm (Fig. 5B, C). One or two microbodies occasionally  
152 including tubular invaginations of the cytoplasm were observed around the nucleus (Fig. 5A,  
153 B, D). Cylindrical vesicles containing dense material were observed around the basal bodies  
154 (Fig 5B, E), and one or two lattice-like structures were located near basal bodies (Fig. 5F).  
155 The extrusome, 110–150 nm in diameter and surrounded by a single membrane (Fig. 6A, B),  
156 consisted of two parts: a distal region approximately 1.2  $\mu\text{m}$  in length containing an electron-  
157 dense cylindrical core, and a proximal region containing granular or lenticulate material (Fig.  
158 6A–D). The cylindrical core appeared to be released when the extrusome is discharged (Fig.  
159 6C). The proximal part of the extrusome was aligned in the same direction and forms clusters  
160 (Fig. 6D). The two basal bodies of *V. oblonga* were arranged approximately at a right angle  
161 (Fig. 6E), not in the same plane, while the anterior basal body was positioned at the left side  
162 of the posterior basal body. The flagellar transitional region contained four or five dense  
163 transitional plates at its distal region (Fig. 6F). A constriction of the flagellar membrane was  
164 observed at the proximal side of the transitional plates (Fig. 6F). At the distal side of the  
165 constriction, an electron-dense disk that was sandwiched by less dense transitional plates was  
166 observed (Fig. 6F). Two large and small ring structures were observed at the proximal side  
167 of the disk/plate structure (Fig. 6F).

168 We observed at least two striated fibers around the basal bodies of *V. oblonga*. One  
169 striated fiber (sf1) emerged from the posterior side of the posterior basal body, at the level of  
170 the cartwheel structure (Fig. 7A–C, 8D). The sf1 was broader at its origin and reached the  
171 nucleus (Fig, 7A–C, 8D). Another striated fiber (sf2) was located between the anterior and  
172 posterior basal bodies (Fig. 7E, F, 8F). A fibrillar bridge (fb) connected the proximal parts of  
173 the basal bodies (Fig. 9E). Fibrous structures other than sf1, sf2, and fb were also observed

174 around basal bodies. However, we could not identify all fibrous structures since they were  
175 intricately associated with each other, and their shapes and positions appeared not to be  
176 structurally conserved among cells.

177         Since the microtubular roots of *V. oblonga* shared clear homology with other  
178 cercozoan flagellates, we applied the same terminology used in previous studies on the  
179 cercozoan flagellar apparatus to describe them (Cavalier-Smith and Karpov 2012; Karpov  
180 2010). The posterior basal body had a ventral posterior root that originates from the posterior  
181 flagellum (vp1), and vp1 consisted of 10 microtubules emerging from the right side of the  
182 posterior basal body that runs along the posterior flagellar pocket (Fig. 7A–D, 8A–F, 9A–F).  
183 The anterior basal body had two microtubular roots: a left root (lr) and an anterior root (ar).  
184 The lr consisted of two microtubules emerging from the left side of the anterior basal body,  
185 near the proximal end of the posterior basal body (Fig. 7B, 8G, H, 9C, D). The ar consisted  
186 of two microtubules emerging from the right side of the anterior basal body. Together, the ar  
187 and the lr lined the dorsal side of the anterior flagellar pocket (Fig. 7B, F). Several singlet  
188 microtubules indirectly associated with basal bodies were occasionally observed (Fig. 8B, C,  
189 9A, D). Fibrous structures and microtubular roots of *V. oblonga* are illustrated in Figure 10.

#### 190 **Molecular phylogenetic analysis**

191 Molecular phylogenetic analysis using SSU rRNA genes showed that *V. oblonga* and *V.*  
192 *velata* formed a clade with two *Ventrifissura* species and several environmental sequences  
193 with high statistical support; the bootstrap probability (BP) was 98%, and the Bayesian  
194 posterior probability (BPP) was 1. The *Ventrifissura* clade separated into two subclades: a  
195 moderately supported (BP = 54%, BPP = 1) subclade comprising *V. oblonga*, *V. velata*, and  
196 an environmental sequence derived from seawater, and a robust clade (BP = 93%, BPP = 1)  
197 including *V. artocarpoidea*, *V. foliiformis*, and three marine environmental sequences. The  
198 *Ventrifissura* clade branched as a sister to an environmental clade named “eVentri” in Scoble

199 and Cavalier-Smith (2014). Monophyly of eVentri and *Ventrifissura* was strongly supported  
200 (BP = 93%, BPP = 1), and these were placed as the most basal lineage of Imbricatea, but this  
201 position was not well supported (BP = 6% and no BPP due to differences in topology).

202 To elucidate the possible position of *Ventrifissura*, approximately unbiased (AU)  
203 tests were performed against six different tree topologies (Table 1). Monophyly of  
204 *Ventrifissura* and Imbricatea (tree 1) and monophyly of *Ventrifissura* and Thecofilosea (tree  
205 2) were not rejected by the AU tests, whereas monophyly of *Ventrifissura* and *Metromonas*  
206 *simplex* (tree 4), monophyly of *Ventrifissura* and *Micrometopion nutans* (tree 5), and  
207 *Ventrifissura* branching outside of Ventrifilosa (tree 3) were rejected at the 5% significance  
208 level.

209

## 210 **Discussion**

### 211 **Justification of new species**

212 In our molecular phylogenetic analysis, *V. oblonga* and *V. velata* were included in the  
213 *Ventrifissura* clade with robust support. *Ventrifissura oblonga*, *V. velata*, and another two  
214 *Ventrifissura* spp. were placed in distinct phylogenetic positions in the *Ventrifissura* clade.  
215 The morphological characteristics of *Ventrifissura* spp. are summarized in Table 2. They  
216 share common morphological and behavioral characteristics such as gliding locomotion, a  
217 dorsoventrally flattened cell shape, and the presence of a ventral groove. Additionally, both  
218 *V. oblonga* and *V. velata* can be distinguished from other species by morphological  
219 characteristics. The cell of *V. oblonga* is more slender than that of other *Ventrifissura* spp.,  
220 and unlike other species *V. velata* has lamellipodia. *Ventrifissura oblonga* and *V. velata* are  
221 similar in terms of cell size, but they are twice as small as *V. artocarpoidea* and *V. foliiformis*.  
222 *Ventrifissura* possess two types of surface structures, smooth and warty surfaces.  
223 *Ventrifissura oblonga* has a smooth surface like *V. foliiformis*, while *V. velata* has a warty

224 surface similar to *V. artocarpoidea*. Our molecular phylogenetic analysis revealed a close  
225 relationship between *V. oblonga* and *V. velata*, indicating that the surface structure could be  
226 changed easily along with diversification, providing a useful characteristic for distinguishing  
227 each species. Based on molecular phylogenetic analysis and morphological comparison, we  
228 conclude that the two strains should be treated as new species of *Ventrifissura*, named *V.*  
229 *oblonga* and *V. velata*.

### 230 ***Ventrifissura* is a basal member of Thecofilosea**

231 *Ventrifissura* is one of poorly studied cercozoan flagellates lacking ultrastructural  
232 information. In Cercozoa, there is a large variety of structures including cell and flagellar  
233 surface appendages, extrusomes, mitochondria, and other organelle structures, and the  
234 number and arrangement of microtubular and non-microtubular roots in the flagellar  
235 apparatus. Several ultrastructural characteristics are shared among specific lineages of  
236 Cercozoa, and are important traits for elucidating phylogenetic relationships. Herein, we  
237 compare ultrastructure elements of *Ventrifissura* with those of other cercozoans and discuss  
238 the placement of *Ventrifissura* within Cercozoa.

239 *Ventrifissura* possess a smooth monolayered theca with an inner surface coated with  
240 amorphous material and an outer surface lined with dense particles. In Cercozoa,  
241 Thecofilosea and Metromonadea are known as thecate groups. The theca of Metromonadea  
242 is a delicate monolayer or bilayer covered with fibrous material (Mylnikov et al. 1999;  
243 Mylnikova and Mylnikov 2011), whereas thecae of Thecofilosea are typically rigid and vary  
244 in terms of thickness and the number of layers present (Hargraves 2002; Hoppenrath and  
245 Leander 2006a; Swanberg et al. 1986; Tomsen et al. 1991; Yabuki and Ishida 2011). The  
246 theca of *Ventrifissura* is similar to that of Metromonadea in terms of possessing fibrous  
247 material on both sides, although the theca of Metromonadea are thinner and less electron-  
248 dense. Since phylogenetic analyses revealed no close relationship between *Ventrifissura* and

249 Metromonadea, their similar thecate structures probably do not share the same origin. Indeed,  
250 other thecofilosean flagellates such as *Ebria tripartita* and *Hermesinum adriaticum* have a  
251 relatively thin monolayer theca with fibrous material on the outer surface (Hargraves 2002).  
252 Therefore, the thinner thecae of *Ventrifissura* and ebridiids are more similar to those of  
253 thecofiloseans, and this may reflect the ancestral state of Thecofilosea, and ‘higher evolved’  
254 Thecofilosea have smooth thecae, some of which include extrusomes in their theca, such as  
255 *Protaspa* and *Cryothecomonas*. A condensed chromosome is another characteristic shared  
256 with most thecofilosean organisms (Drebes et al. 1996; Hargraves 2002; Hoppenrath and  
257 Leander 2006a; Shiratori and Ishida 2016; Swanberg et al. 1986; Yabuki and Ishida 2011)  
258 and probably one of the ancestral thecofilosean characteristics. In addition, flagella that are  
259 inserted from different flagellar pockets are also shared exclusively among *Ventrifissura* and  
260 Thecofilosea in Cercozoa (Hoppenrath and Leander 2006a; Schnepf and Kühn 2000).  
261 Extrusomes are widely reported in various cercozoans and display broad diversity in terms  
262 of size and structure. Most thecofilosean flagellates such as *Cryothecomonas*, *Mataza*, and  
263 *Protaspa* have very slender cylindrical extrusomes similar to those in *Ventrifissura*  
264 (Hoppenrath and Leander 2006a; Schnepf and Kühn 2000; Tomsen et al. 1991; Yabuki and  
265 Ishida 2011). Slender extrusomes are also observed in non-thecofilosean cercozoans such as  
266 Thaumatomonadida and Metromonadea. In Thaumatomonadida, slender extrusomes are  
267 reported in *Ovaloplaca* and *Esquamula* but they are dissimilar to that of thecofiloseans since  
268 they have a striped pattern and a non-cylindrical structure (Ota et al. 2012; Shiratori et al.  
269 2012). Extrusomes of Metromonadea are similar to those of thecofiloseans in their cylindrical  
270 structure, but they exhibit a wheel-shaped pattern in cross-sections and are shorter than  
271 thecofilosean extrusomes (Mylnikov et al. 1999; Mylnikova and Mylnikov 2011).

272           Although our SSU rRNA gene tree could not resolve the phylogenetic position of  
273 *Ventrifissura*, similar to previous studies, our AU tests rejected the monophyly of

274 *Ventrifissura* and Metromonadea (i.e., *Metromonas simplex* and *Micrometopion nutans*,  
275 respectively). AU tests also rejected a hypothesis that *Ventrifissura* branches outside of  
276 Ventrifilosa. In Ventrifilosa, there are no amoeba or flagellate with a rigid theca, or slender  
277 extrusomes of cylindrical structure, other than Thecofilosea. Therefore, it seems most  
278 parsimonious that Thecofilosea and *Ventrifissura* are monophyletic. Based on the results of  
279 molecular phylogenetic analyses and ultrastructural observations, we conclude that  
280 *Ventrifissura* is a basal lineage of Thecofilosea, as classified by Howe et al. (2011).

281 Our ultrastructural observation of *Ventrifissura* also revealed several other  
282 characteristics that can help us understand the ultrastructural diversity and evolution of  
283 Thecofilosea. A narrow thecal funnel in the flagellar pit is a specific characteristic of  
284 cryomonad flagellates (Drebes et al. 1996; Hoppenrath and Leander 2006a; Schnepf and  
285 Kühn 2000; Tomsen et al. 1991). The Ebriid flagellate *Hermesinum adriaticum* also has a  
286 funnel-like thickened portion of the theca around the flagellar insertion point (Hargraves  
287 2002), whereas *Mataza* and *Ventrifissura* lack this funnel or a similar structure (Yabuki and  
288 Ishida 2011; this study). This suggests that the funnel is a derived characteristic of  
289 Thecofilosea and was probably acquired at the common ancestor of cryomonads and ebrriids.  
290 The cylindrical vesicles containing dense material is unique structure found in *V. oblonga*.  
291 Although we could not observe discharged vesicles, as in spherical vesicles in  
292 chlorarachniophytes, it may contain theca materials and involve with theca formation (Ishida  
293 and Hara 1994). The lattice structure is also not observed in Cercozoa. It looks like  
294 euglenozoan paraxonemal rod that associated with flagella but is located anterior region of  
295 the cell. Further studies on these structures are needed to determine their biological function.

296 The flagellar apparatus of Thecofilosea is only reconstructed in *Cryothecomonas*  
297 *aestivalis* and *Protaspa longipes*. Both have a simple flagellar apparatus that consists of a  
298 single microtubular band corresponding to vp1, and a fibrillar bridge that connects basal



299 bodies (Drebes et al. 1996; Schnepf and Kühn 2000). Many singlet microtubules indirectly  
300 associated with basal bodies are also present in both species. Other flagellates in Ventrifilosa  
301 have more complex microtubular root systems that typically consist of four microtubular  
302 roots: ar, lr, vp1, and a ventral posterior root that originates from the anterior basal body (vp2)  
303 (Cavalier-Smith and Karpov 2012; Cavalier-Smith and Oates 2012; Karpov 2010). Our  
304 ultrastructural observation showed that the flagellar apparatus of *Ventrifissura* shares similar  
305 characteristics with those of cryomonads, such as singlet microtubules that are situated  
306 around right-angled basal bodies, and a connecting fiber that connects the proximal ends of  
307 basal bodies (Drebes et al. 1996; Schnepf and Kühn 2000). *Ventrifissura* lacks vp2 as in  
308 cryomonad flagellates, but it retains other three microtubular roots. Microtubular root plays  
309 various function such as for feeding, locomotion, and supporting the cell body as  
310 cytoskeleton (Moestrup 1982). The vp2 is also believed to support the ventral portion of the  
311 cell, together with vp1 (Cavalier-Smith and Karpov 2012). Ancestral thecofilosean flagellates  
312 possibly lost vp2 by acquiring a rigid extracellular theca that supports the cell body. In  
313 contrast to cryomonads, *V. oblonga* possesses the da and the lr. Therefore, the less reduced  
314 microtubular roots of *Ventrifissura* may represent the ancestral state of Thecofilosea.

### 315 **Taxonomic Treatment**

316 Phylum Cercozoa Cavalier-Smith, 2003

317 Class Thecofilosea Cavalier-Smith, 2003

318 Order Ventricleftida Howe et al., 2011

319 Family Ventrifissuridae Cavalier-Smith et al., 2018

320 Genus *Ventrifissura* Chantangsi and Leander, 2010

321 **Revised diagnosis:** Uninucleate marine gliding biflagellate with a rigid extracellular theca.  
322 Cells ovoid or obovoid and dorsoventrally flattened. Cells either with smooth surfaces or  
323 with numerous pointed warts. Circular to oblong-shaped nucleus located at the anterior end

324 of the cell. Ventral groove present. Filopodia or lamellipodia present. Theca a monolayer  
325 structure covered with filamentous material. Flagella inserted subapically. Some species with  
326 an anterior protrusion. Highly slender extrusomes present. Lacking a funnel in the flagellar  
327 pit.

328 ***Ventrifissura oblonga* Shiratori, Yabuki, Ishida sp. nov. (ICZN)**

329 **Diagnosis:** Cells ovoid, 16.8–33.9  $\mu\text{m}$  in length and 9.4–18.2  $\mu\text{m}$  in width. Cells slightly  
330 dorsoventrally flattened with a smooth surface. Filopodia emerging from a ventral groove.  
331 Outer surface of theca covered with thin filaments.

332 **Hapantotype:** One microscope slide (TNS-AL-58968s), deposited in the herbarium of the  
333 National Museum of Nature and Science (TNS), Tokyo, Japan.

334 **Paratype:** One EM block (TNS-AL-58968tb) deposited in the TNS. These cells are derived  
335 from the same sample as the holotype.

336 **DNA sequence:** Small subunit ribosomal RNA gene, LC375249.

337 **Type locality:** Seawater from a wharf in Tokyo Bay, Japan (latitude = 35.6281°N, longitude  
338 = 139.7713°E).

339 **Collection date:** July 6, 2012.

340 **Authentic culture:** The strain SRT122 used for describing this species is deposited and is  
341 maintained at the National Institute for the Environmental Sciences, Tokyo, Japan, under  
342 accession NIES-4233.

343 **Etymology:** *oblong* (oval) refers to the cell shape of this organism.

344 **ZooBank LSID:** urn:lsid:zoobank.org:act:20647F45-38E9-4B89-A99A-38C421645D10

345

346 ***Ventrifissura velata* sp. nov. Shiratori, Yabuki, Ishida sp. nov. (ICZN)**

347 **Diagnosis:** Cells broadly ovoid, 17.5–29.7  $\mu\text{m}$  in length and 13.1–28.1  $\mu\text{m}$  in width. Cells  
348 dorsoventrally flattened and with numerous pointed warts on the surface. Lamellipodia

349 emerging from a ventral groove. Outer surface of the theca covered with aligned thin ribbon-  
350 like filaments that form a pleated structure.

351 **Hapantotype:** One microscope slide (TNS-AL-58968s), deposited in the herbarium of the  
352 National Museum of Nature and Science (TNS), Tokyo, Japan.

353 **Paratype:** One EM block (TNS-AL-58968tb) deposited in the TNS. These cells are derived  
354 from the same sample as the holotype.

355 **DNA sequence:** Small subunit ribosomal RNA gene, LC375250.

356 **Type locality:** Sand sample from Oarai Sun Beach, Ibaraki, Japan (latitude = 36.3013°N,  
357 longitude = 140.5700°E).

358 **Collection date:** July 10, 2011.

359 **Etymology:** *velum* (veil) refers to the morphology of the pseudopodia.

360 **ZooBank LSID:** urn:lsid:zoobank.org:act:530EC8C5-8E4D-4F71-B6B8-FEE0833647A1

361

## 362 **Materials and Methods**

### 363 **Culture establishment**

364 Cells of *Ventrifissura oblonga* n. sp. were isolated from a seawater sample from Tokyo Bay,  
365 Tokyo, Japan (35.6281°N, 139.7713°E) on July 30, 2011. Cells of *Ventrifissura velata* n. sp.  
366 were isolated from a sand sample from Oarai Sun Beach, Ibaraki, Japan (36.3013°N,  
367 140.5700°E) on July 6, 2012. Clonal cultures of *V. oblonga* (strain SRT122) and *V. velata*  
368 (strain SRT224) were established by the single-cell isolation technique using micropipettes.  
369 Each culture was maintained in ESM medium (Kasai et al. 2009) with pennate diatoms as  
370 food at 18°C under a 14 h light/10 h dark cycle. Strain SRT224 was extinct in July 2016.  
371 *Ventrifissura oblonga* (strain SRT122) was deposited at the National Institute for the  
372 Environmental Sciences (NIES), Tsukuba, Japan, under accession NIES-xxxx.

### 373 **Light microscopy observation**

374 Cells of *V. oblonga* and *V. velata* were observed in glass bottom dishes or on glass slides  
375 covered with a cover slip using an Olympus IX71 inverted microscope (Olympus, Tokyo,  
376 Japan) equipped with an Olympus DP73 CCD camera (Olympus).

### 377 **Scanning electron microscopy**

378 Cell suspensions of strains SRT122 and SRT224 were mounted on 8.5 mm diameter glass  
379 SEM plates (Okenshoji Co., Tokyo, Japan) coated with 0.1% (w/v) poly-L-lysine and  
380 subsequently fixed using 4% (w/v) osmium tetroxide (OsO<sub>4</sub>) vapor for 30 min at room  
381 temperature. Cells on glass SEM plates were post-fixed with 1% (w/v) osmium tetroxide in  
382 0.2 M cacodylate buffer (pH 7.2) for 2 h at room temperature. Fixed cells were gradually  
383 dehydrated using a graded ethanol series of 15–100% ethanol. After dehydration, specimens  
384 were placed in a 1:1 mixture of 100% ethanol and t-butyl alcohol followed by pure t-butyl  
385 alcohol twice and chilled in a freezer. Specimens were freeze-dried using a VFD-21S freeze  
386 drier (SHINKU-DEVICE, Ibaraki, Japan) and mounted on aluminum stubs using carbon  
387 paste. Specimens were sputter-coated with platinum-palladium using a Hitachi E-102 sputter-  
388 coating unit (Hitachi High-Technologies Corp., Tokyo, Japan) and observed using a JSM-  
389 6360F field emission SEM instrument (JEOL, Tokyo, Japan).

### 390 **Transmission electron microscopy**

391 Specimens for TEM observation were prepared by fixing cells of strains SRT122 and SRT224  
392 using an equal amount of 2% (v/v) glutaraldehyde in filtered and sterilized natural seawater  
393 for 1 h at room temperature for pre-fixation. Cells were collected by centrifugation, and cell  
394 pellets were washed with seawater three times. Cells were then post-fixed with 1% (v/v)  
395 OsO<sub>4</sub> in seawater, and dehydration was performed using a graded series of 30–100% ethanol  
396 (v/v). After dehydration, cells were placed in a 1:1 mixture of 100% ethanol and acetone  
397 followed by pure acetone twice. Resin replacement was performed using a 1:1 mixture of  
398 acetone and Agar Low Viscosity Resin R1078 (Agar Scientific Ltd, Stansted, UK), followed

399 by pure resin. Resin was polymerized by heating at 60°C for 12 h. Ultrathin sections were  
400 prepared on a Reichert Ultracut S ultramicrotome (Leica, Vienna, Austria), double-stained  
401 with 2% (w/v) uranyl acetate and lead citrate (Hanaichi et al. 1986; Sato 1968), and observed  
402 using a Hitachi H-7650 electron microscope (Hitachi High-Technologies Corp) equipped  
403 with a Veleta TEM CCD camera (Olympus).

#### 404 **DNA extraction and PCR amplification**

405 Total DNA from strains SRT122 and SRT224 was extracted from cell pellets collected by  
406 centrifugation using a DNeasy Plant mini kit (Qiagen Science, Valencia, CA) according to  
407 the manufacturer's instructions. SSU rRNA from each strain was amplified by PCR with  
408 primers 18F and 18R (Yabuki et al. 2010). Amplification involved 30 cycles of denaturation  
409 at 94°C for 30 s, annealing at 55°C for 30 s, and extension at 72°C for 2 min, followed by an  
410 additional extension for 2 min at 72°C at the end of the reaction. Amplified DNA fragments  
411 were purified after gel electrophoreses with a QIAquick Gel Extraction Kit (Qiagen Science),  
412 then cloned into the pGEM T-easy vector (Promega, Tokyo, Japan). Inserted DNA fragments  
413 were sequenced in full using a 3130 Genetic Analyzer (Applied Biosystems, Monza, Italy)  
414 with a BigDye Terminator v3.1 cycle sequencing kit (Applied Biosystems). SSU rRNA gene  
415 sequences of strains SRT122 and SRT224 have been deposited in GenBank under accession  
416 codes xxxx and yyyy, respectively.

#### 417 **Sequence alignment and molecular phylogenetic analysis**

418 For phylogenetic analysis, we obtained cercozoan SSU rRNA gene sequences from the NCBI  
419 database and created a dataset. SSU rRNA gene sequences of strains SRT122 and SRT224  
420 were then added to the dataset, and automatic alignment was performed using MAFFT  
421 v7.394 (Kato and Standley 2013), followed by manual alignment with SeaView v4.6 (Gouy  
422 et al. 2010). Ambiguously aligned regions were manually deleted to get a final alignment  
423 containing 1,661 sites of 91 OTUs. A maximum-likelihood (ML) tree was constructed using

424 RAxML v.8.2.4 (Stamatakis 2014) based on the GTR+ $\Gamma$  model. Tree searches began with 20  
425 randomized maximum-parsimony trees, and the highest log-likelihood (lnL) was selected as  
426 the ML tree. A non-parametric bootstrap analysis (1,000 replicates) was conducted using the  
427 GTR+ $\Gamma$  model, and a Bayesian analysis was carried out using MrBayes v.3.2.6 (Ronquist et  
428 al. 2012), also with the GTR+ $\Gamma$  model. One cold and three heated Markov chain Monte Carlo  
429 simulations with default chain temperatures were run for  $1.5 \times 10^6$  generations, sampling lnL  
430 values and trees at 100-generation intervals. Convergence was assessed by the average  
431 standard deviation of split frequencies, and the first 25% of the total generations of each  
432 analysis were discarded as ‘burn-in’. BPP and branch lengths were calculated from the  
433 remaining trees.

434 For AU tests, five alternative trees were constructed using RAxML with the same  
435 parameters as above. Per site log-likelihood scores were calculated using RAxML with the  
436 GTR+ $\Gamma$  model, and the site-wise log-likelihood of each tree was analyzed using  
437 CONSELv0.20 (Shimodaira and Hasegawa 2001).

438

#### 439 **Acknowledgments**

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441

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533

534

535 **Table 1. Approximately unbiased (AU) tests with different tree topologies**

Tree ID	Tree topology	<i>p</i> -value
1	Monophyly of <i>Ventrifissura</i> <sup>1</sup> and Imbricatea	0.678
2	Monophyly of <i>Ventrifissura</i> and Thecofilosea	0.595
3*	Monophyly of Ventrifilosa <sup>2</sup> without <i>Ventrifissura</i>	0.044
4*	Monophyly of <i>Ventrifissura</i> and <i>Micrometopion nutans</i>	0.030
5*	Monophyly of <i>Ventrifissura</i> and <i>Metromonas simplex</i>	1e-04

536 \* Trees rejected at the 5% significance level.

537 <sup>1</sup> (four species of *Ventrifissura* and 16 related environmental sequences).

538 <sup>2</sup> (Imbricatea, Sarcomonadea, and Thecofilosea).

539

540 **Table 2. Comparison of the morphological characteristics of *Ventrifissura* spp.**

	<i>V. oblonga</i> n. sp.	<i>V. velata</i> n. sp.	<i>V. artocarpoidea</i>	<i>V. foliiformis</i>
Cell size; length (µm)	16.8–33.9	17.5–29.7	43–45	40–47
width (µm)	9.4–18.2	13.1–28.1	35–36	30–35
Cell shape	ovoid, slightly dorsoventrally flattened	broadly ovoid, dorsoventrally flattened	broadly ovoid, slightly dorsoventrally flattened	obovoid, broadly obovoid, extremely dorsoventrally flattened
Cell surface	smooth	warty	warty	smooth
Flagellar insertion	subapically	subapically	subapically	subapically
Pseudopodia	filopodia	lamellipodium	not reported	filopodia
Locomotion	gliding	gliding	gliding	gliding
Position of nucleus	anterior end	anterior end	anterior end	anterior end
Ventral groove	present	present	present	present

541

542

543 **Figure legends**

544 **Figure 1**

545 Differential interference contrast (DIC) micrographs of *Ventrifissura oblonga* n. sp. AF,  
546 anterior flagellum; FV, food vacuole; N, nucleus; n, nucleolus; PF, posterior flagellum; VG,  
547 ventral groove. **A.** The schematic drawing viewed from ventral side of the cell. **B.** The  
548 schematic drawing viewed from left side of the cell. **C, D.** Ventral view of living cells. **E.**  
549 Lateral view of living cells. **F.** Ventral view of living cells, showing two unequal flagella. **G.**  
550 Ventral view of living cells, showing ventral groove. **H, I.** Filose pseudopodia emerging from  
551 the ventral groove. **J.** Cells with discharged extrusomes. **K, L.** Colony-like cell cluster. Scale  
552 bar: A–G = 10  $\mu\text{m}$ ; I and J = 50  $\mu\text{m}$ .

553

554 **Figure 2**

555 Differential interference contrast (DIC) micrographs of *Ventrifissura velata* n. sp. AF,  
556 anterior flagellum; FV, food vacuole; N, nucleus; n, nucleolus; PF, posterior flagellum; VG,  
557 ventral groove. **A.** Ventral view of living cells. **B.** Lateral view of living cells. **C.** Ventral view  
558 of living cells, showing ventral groove. **D, E.** Lamellipodia emerging from the ventral groove.  
559 **F.** Cells with discharged extrusomes. **G.** Colony-like cell cluster. Scale bars: A–F = 10  $\mu\text{m}$ ;  
560 G = 50  $\mu\text{m}$ .

561

562 **Figure 3**

563 Scanning electron micrographs of *Ventrifissura oblonga* n. sp. (A, B) and *Ventrifissura velata*  
564 n. sp. (C, D). AF, anterior flagellum; PF, posterior flagellum; VG, ventral groove. Triple  
565 arrowheads indicate rounded bumps. **A.** Whole-cell micrograph of *V. oblonga*. **B.** Cell surface  
566 of *V. oblonga*. **C.** Whole-cell micrograph of *V. velata*. **D.** Cell surface of *V. velata*. Scale bars:  
567 A and C = 5  $\mu\text{m}$ ; B and D = 1  $\mu\text{m}$ .

568

569 Figure 4

570 Transmission electron micrographs of *Ventrifissura oblonga* n. sp. (A–C) and *Ventrifissura*  
571 *velata* n. sp. (D–G). Arrowheads indicate filamentous material on the outer surface of the  
572 theca. Double arrowheads indicate dense particles at the inner side of the theca. Triple  
573 arrowheads indicate rounded bumps. **A.** Transverse section of the extracellular theca of *V.*  
574 *oblonga*. **B.** Tangential section of the theca of *V. oblonga*. **C.** Extracellular theca showing a  
575 rounded bump just above an extrusome of *V. oblonga*. **D.** Transverse section of the  
576 extracellular theca of *V. velata*. **E.** Tangential section of the theca of *V. velata*. **F.** Extracellular  
577 theca showing a rounded bump just above an extrusome of *V. velata*. **G.** Discharged  
578 extrusome of *V. velata*. Scale bars: A–C and F = 500 nm; D, E, and G = 1  $\mu$ m.

579

580 Figure 5

581 Transmission electron micrographs of *Ventrifissura oblonga* n. sp. AF, anterior flagellum; G,  
582 Golgi apparatus; M, mitochondria; MB, microbody; N, nucleus; n, nucleolus; PF, posterior  
583 flagellum. Asterisks indicate elongate vesicles containing dense material. **A.** General cell  
584 image. **B.** Anterior portion of the cell. **C.** Mitochondria with tubular cristae. **D.** Microbody  
585 with tubular invagination of cytoplasm. **E.** Anterior portion of the cell showing cylindrical  
586 vesicles. **F.** Lattice-like structures near basal bodies. Scale bars: A = 5  $\mu$ m; B and D–F = 1  
587  $\mu$ m; C = 500 nm.

588

589 Figure 6

590 Transmission electron micrographs of *Ventrifissura oblonga* n. sp. D, disk sandwiched by  
591 two transitional plates; N, nucleus; R, transitional ring. Thin arrowheads indicate transitional  
592 plates. Triple arrowhead indicates rounded bumps. **A.** Longitudinal section of slender

593 extrusomes. **B.** Cross-section of slender extrusomes. **C.** Longitudinal section of discharged  
594 extrusomes. **D.** Profiles of different extrusomes in the cytoplasm. **E.** High magnification view  
595 of two basal bodies. **F.** High magnification view of the basal body and transitional region.  
596 Scale bars: A–C and F = 500 nm; D and E = 1  $\mu$ m.

597

598 Figure 7

599 Transmission electron micrographs of *Ventrifissura oblonga* n. sp. AB, anterior basal body;  
600 ar, anterior root; fb, fibrillar bridge; lr, left root; N, nucleus; PB, posterior basal body; sf1,  
601 striated fiber 1; sf2, striated fiber 2; vp1, ventral posterior root originating from the posterior  
602 basal body. **A–F.** Selected serial section around basal bodies. Viewed from left to right. Scale  
603 bar = 1  $\mu$ m.

604

605 Figure 8

606 Transmission electron micrographs of *Ventrifissura oblonga* n. sp. AB, anterior basal body;  
607 fb, fibrillar bridge; lr, left root; PB, posterior basal body; sf1, striated fiber 1; sf2, striated  
608 fiber 2; vp1, ventral posterior root originating from the posterior basal body. Arrows indicate  
609 singlet microtubules around basal bodies. **A–H.** Selected serial section around basal bodies.  
610 Viewed from ventral to dorsal. Scale bar = 500 nm.

611

612 Figure 9

613 Transmission electron micrographs of *Ventrifissura oblonga* n. sp. AB, anterior basal body;  
614 ar, anterior root; fb, fibrillar bridge; lr, left root; PB, posterior basal body; sf1, striated fiber  
615 1; sf2, striated fiber 2; vp1, ventral posterior root originating from the posterior basal body.  
616 **A–H.** Selected serial section around basal bodies. Viewed from ventral posterior to dorsal  
617 anterior. Scale bar = 1  $\mu$ m.



618

619 Figure 10

620 Illustration of the flagellar apparatus of *Ventrifissura oblonga* n. sp. AB, anterior basal body;

621 ar, anterior root; fb, fibrillar bridge; lr, left root; PB, posterior basal body; sf1, striated fiber

622 1; sf2, striated fiber 2; vp1, ventral posterior root originating from the posterior basal body.

623 Presumed universal terminologies of the microtubular roots are shown in brackets.

624

625 Figure 11

626 Maximum-likelihood tree of 85 filosans and six endomyxans using 1,661 positions of the

627 small subunit (SSU) rRNA gene sequences. Environmental sequences are labeled only with

628 accession numbers. Values at each branch indicate the bootstrap probability ( $\geq 50\%$  shown).

629 Bold branches indicate Bayesian posterior probabilities  $\geq 0.95$ .

Figure 1

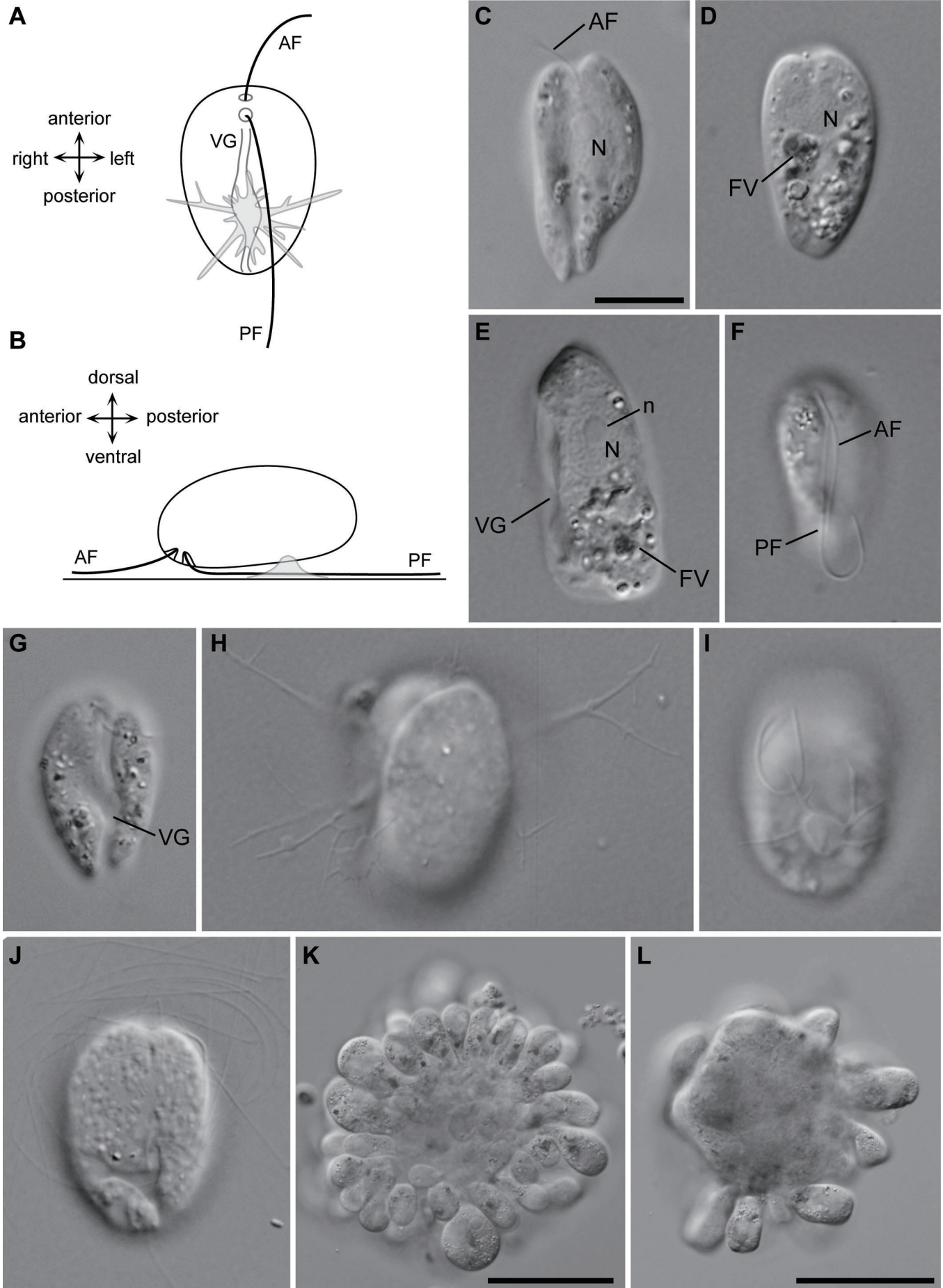


Figure 2

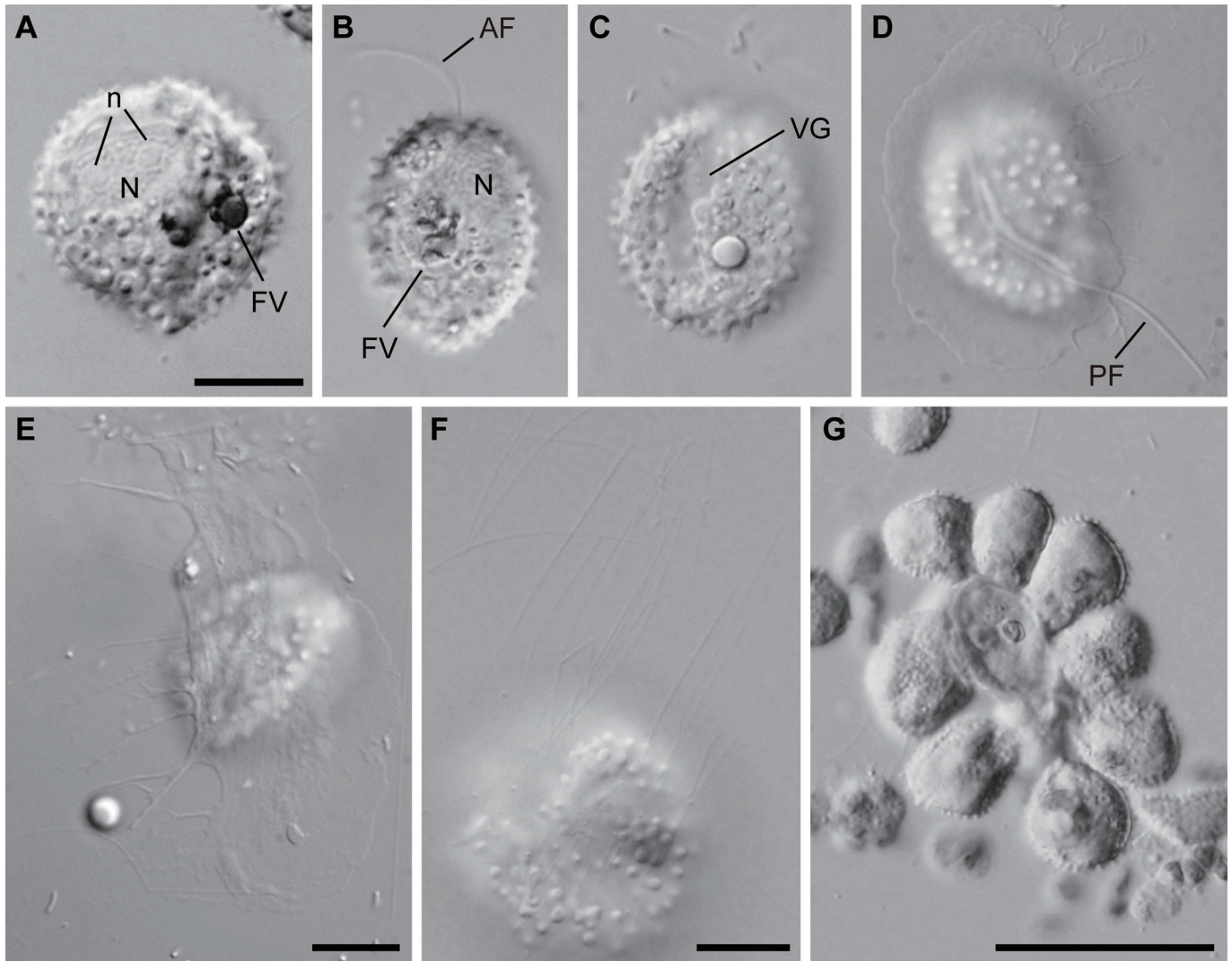




Figure 3

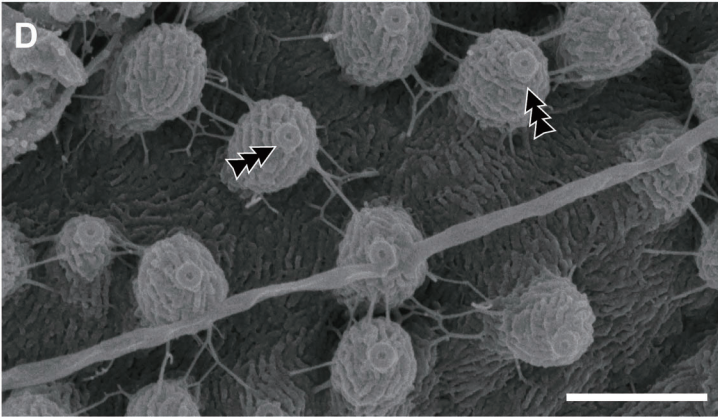
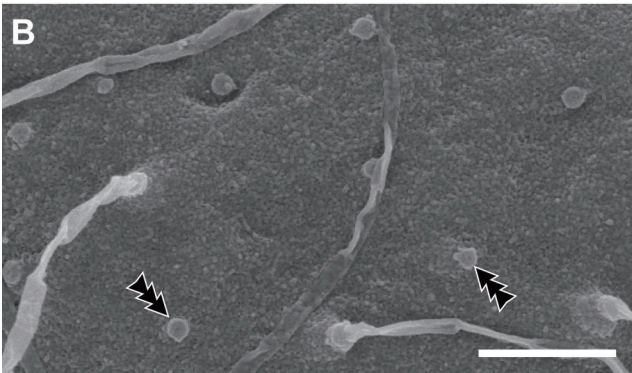
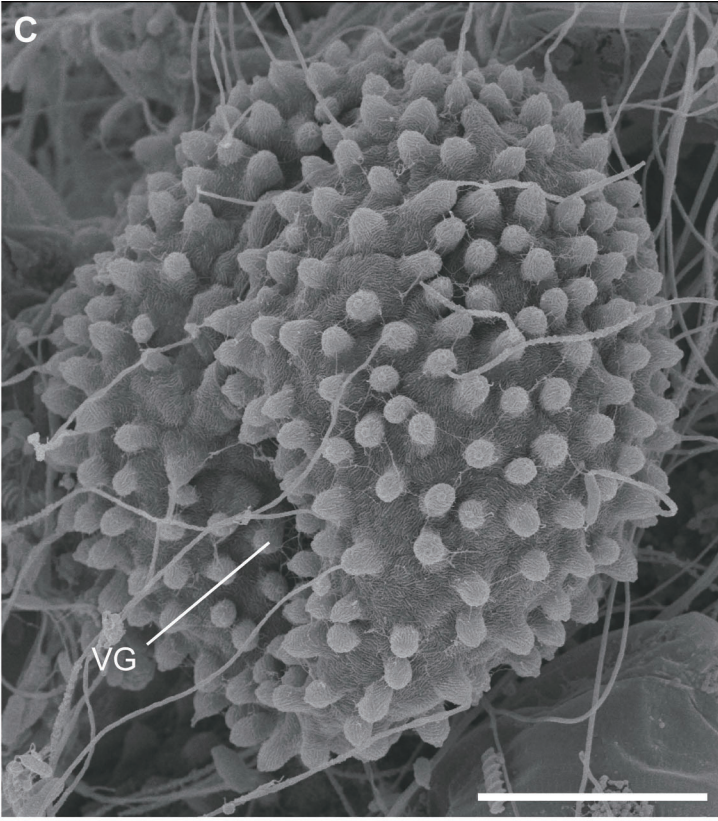
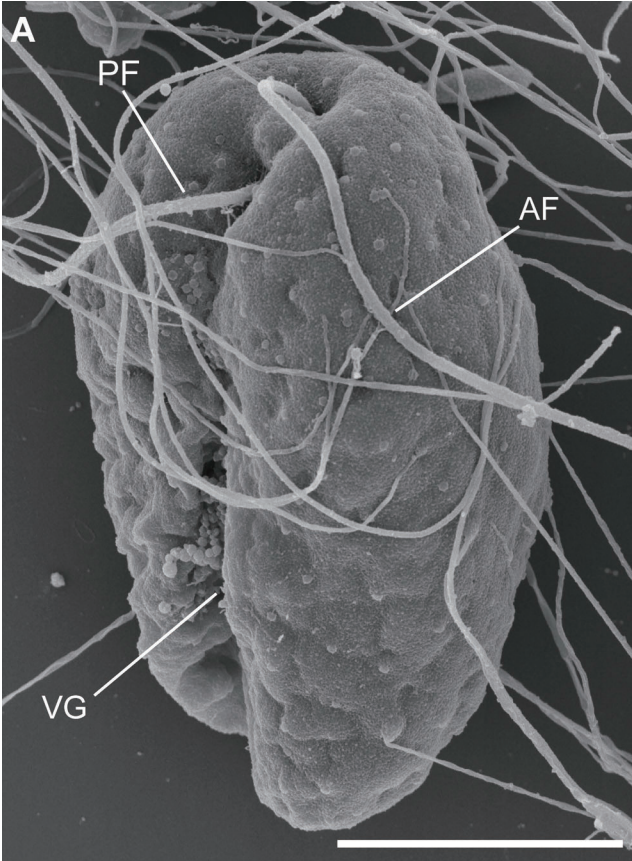




Figure 4

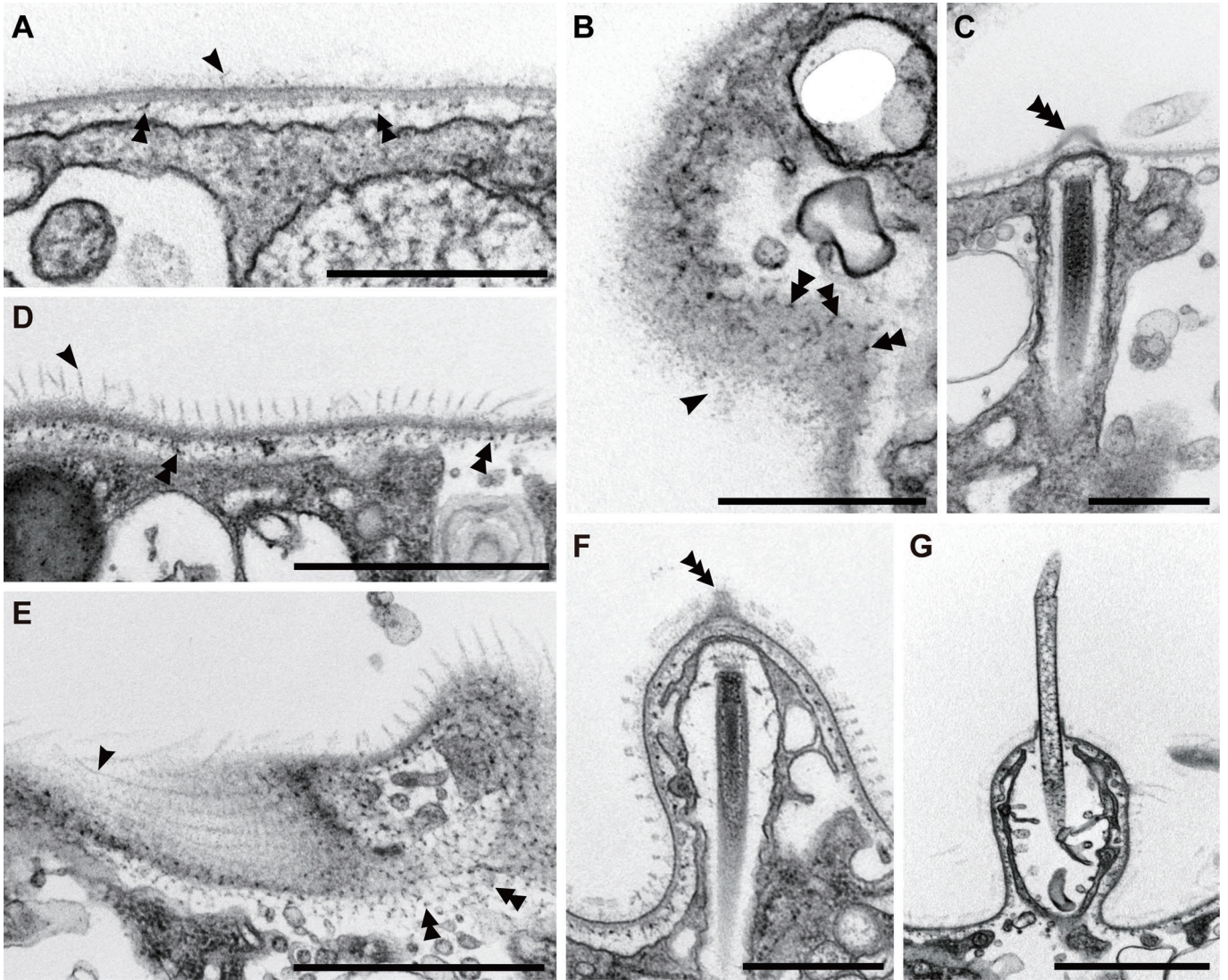




Figure 5

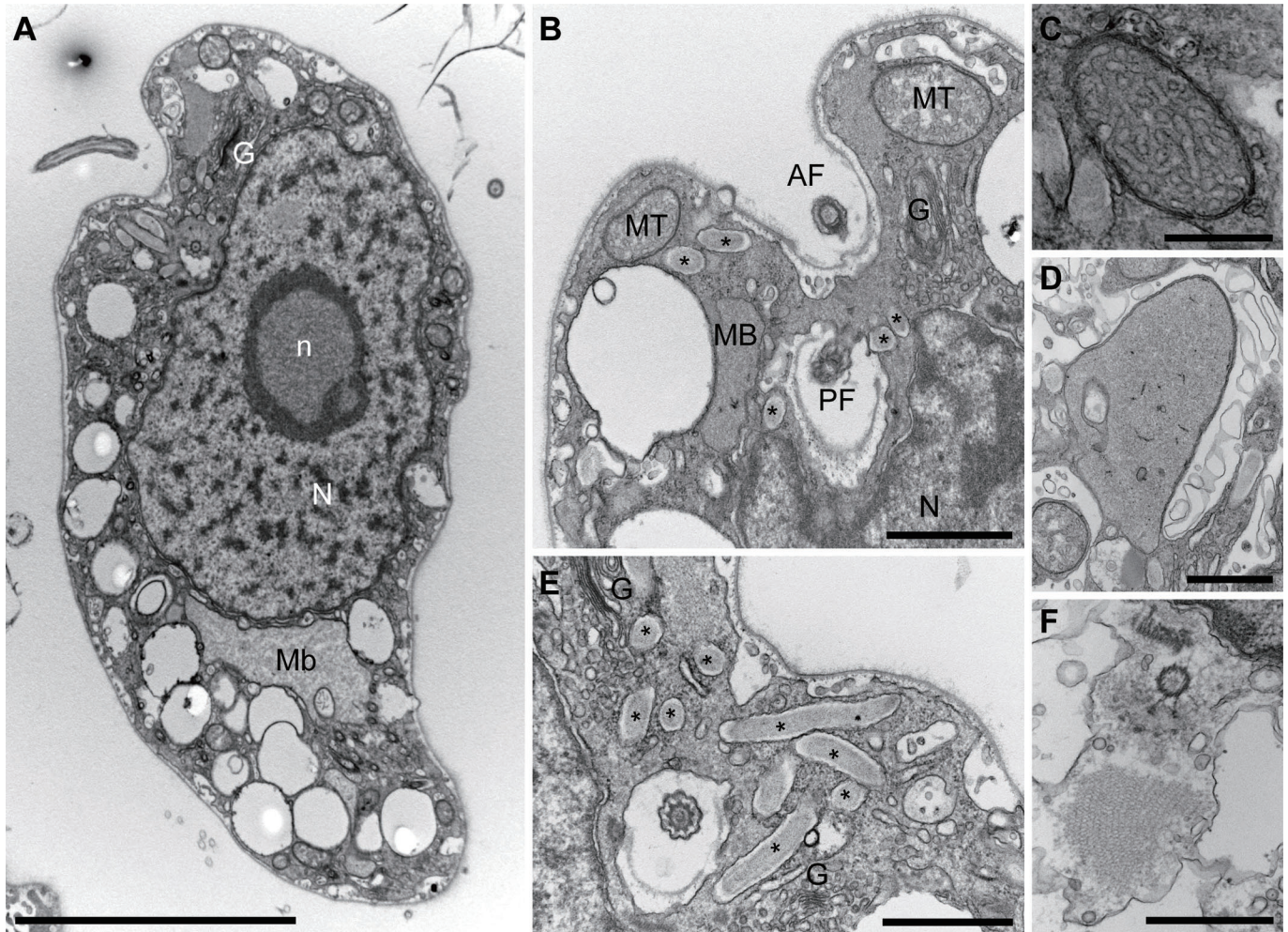




Figure 6

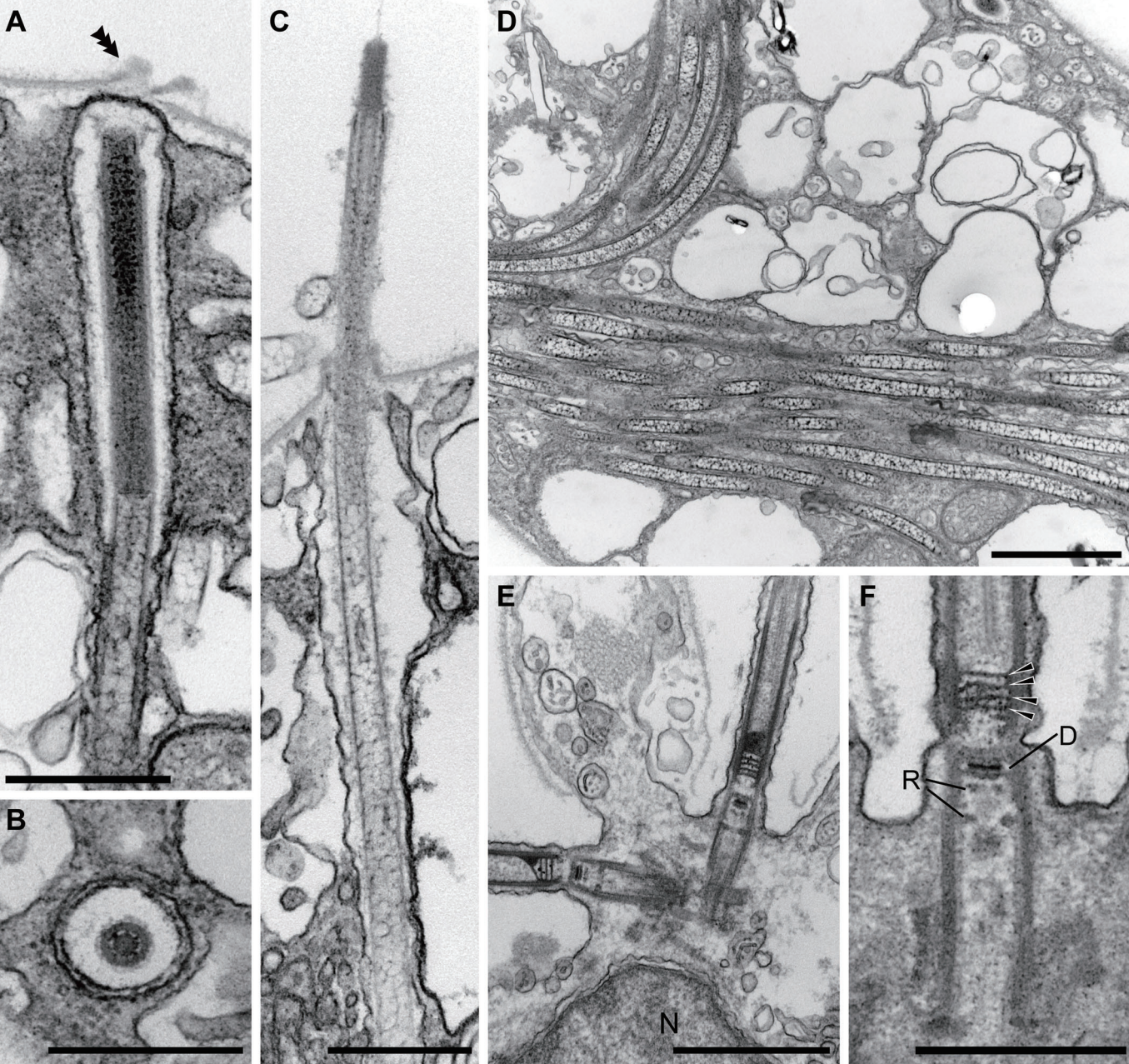




Figure 7

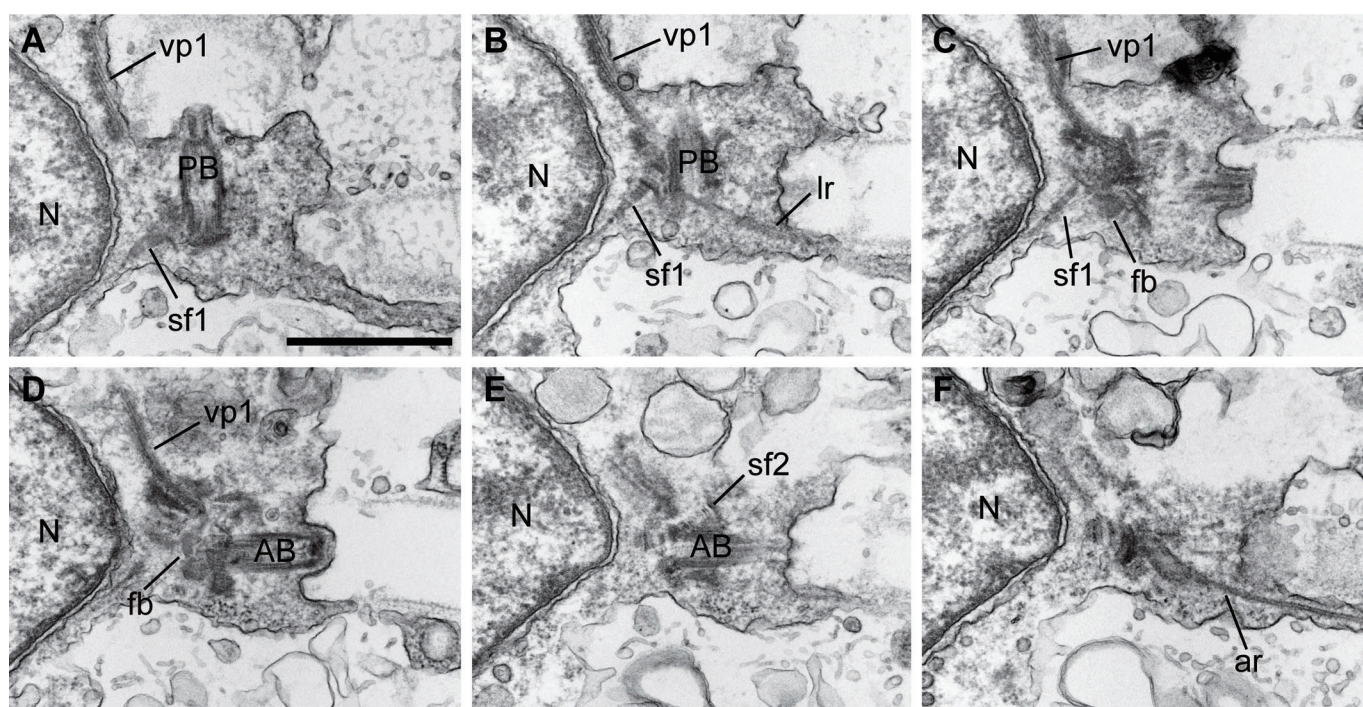




Figure 8

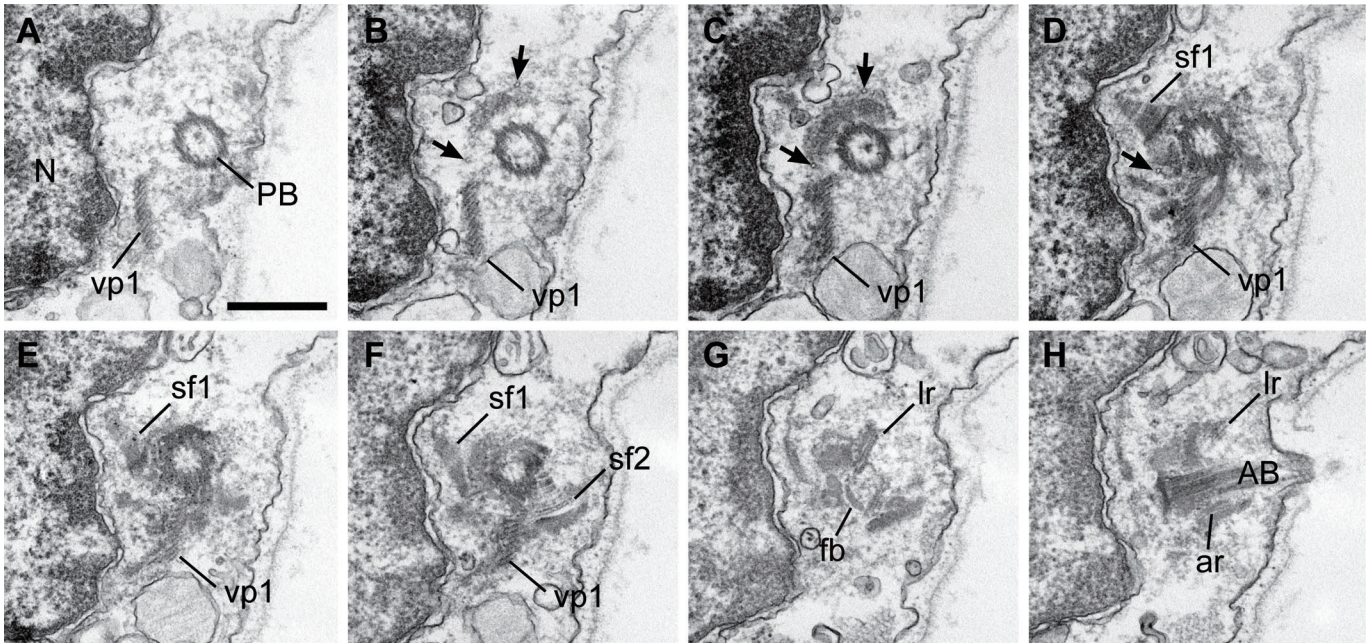


Figure 9

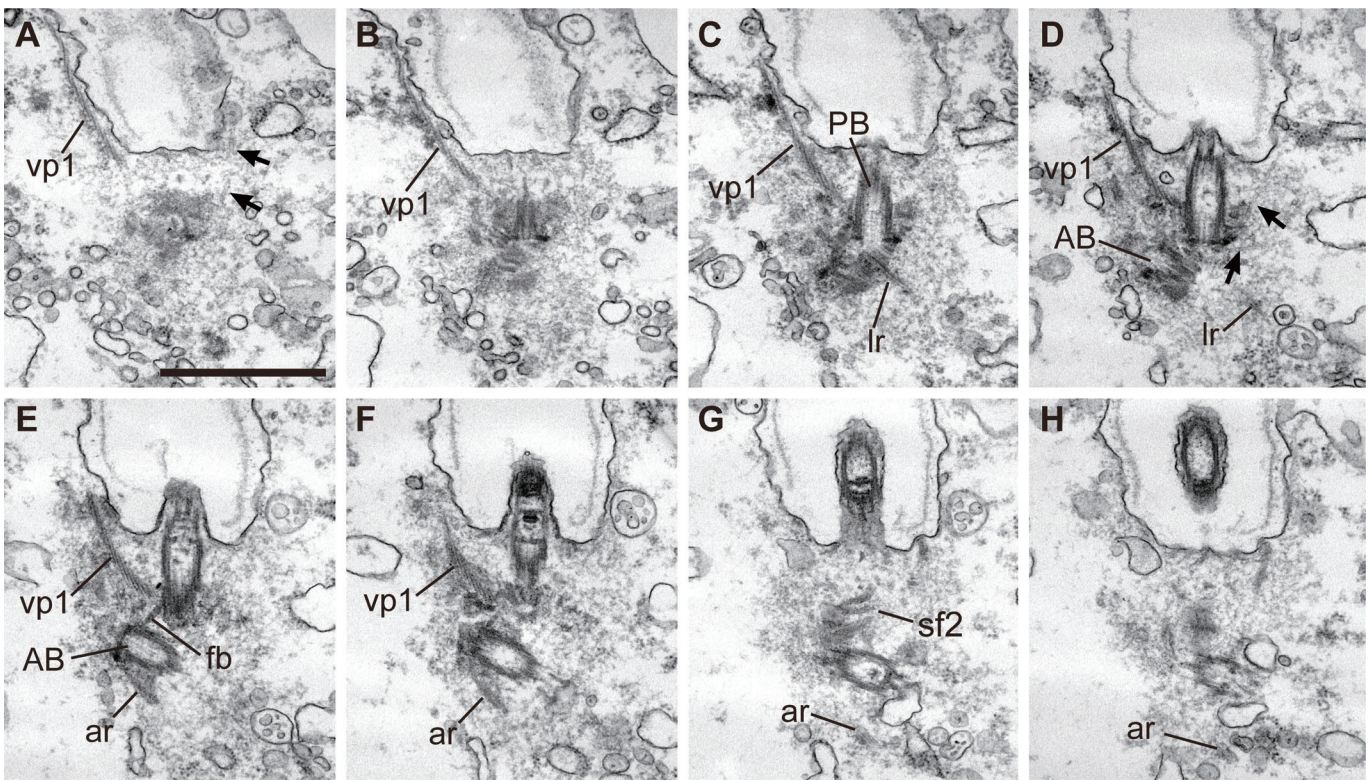


Figure 10

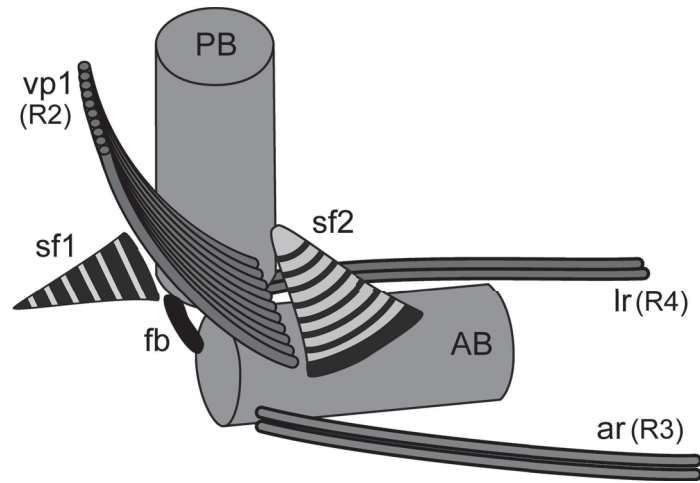




Figure 11

