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1 Mg implantation in AlN layers on sapphire substrates

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8 Mg ions were implanted in 1- μm -thick AlN layers grown on sapphire substrates. The Mg
9 implantation with a total dose of $5 \times 10^{14} \text{ cm}^{-2}$ introduced Al-vacancy related defects, which
10 were decreased by annealing at temperatures over 1400°C in a N_2 ambient. We found that
11 annealing temperatures over 1400°C were necessary for an electrically conductive Mg-
12 implanted AlN layer. The Mg-implanted AlN layer annealed at 1500°C showed 1.1 nA at a
13 bias of 100 V at room temperature and 7 nA at a bias of 10 V at 300°C .
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3 1 AlN is a much attractive material for ultra-violet light-emitting diodes and high-
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5 2 power devices due to its high critical electric field and large band-gap energy.¹⁾ AlN-channel
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7 3 devices including light-emitting diodes,²⁾ Schottky-barrier diodes,³⁻⁵⁾ and field-effect
8
9 4 transistors,^{6,7)} have been reported. Still, AlN-based devices suffer from high contact
10
11 5 resistances due to a high Schottky barrier,^{8,9)} large ionization energy,¹⁰⁻¹²⁾ and formation of
12
13 6 compensation defects.^{13,14)} The contact resistances can be reduced by high-temperature
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15 7 sintering of a metal stack,¹⁵⁾ an AlGaN-graded contact layer,^{16,17)} a GaN contact layer,¹⁸⁻²⁰⁾
16
17 8 and heavy doping of the top-most AlN surfaces.²¹⁾ In particular, selective doping just under
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19 9 the contacts would improve the performance of existing AlN devices.

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21 10 High-dose ion implantation enables selective doping over 10^{19} cm⁻³. Si-implanted
22
23 11 AlN layers with doses over 10^{14} cm⁻² show *n*-type conductance after annealing at
24
25 12 temperatures over 1200°C in a N₂ ambient.²²⁻²⁴⁾ However, there are the limited reports on *p*-
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27 13 type AlN layers formed using implantation technology. The major candidates of acceptor
28
29 14 impurities in AlN are Be, Zn, and Mg. Although the Be acceptor has a small ionization
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31 15 energy of 340 meV,²⁵⁾ Be metal is harmful to human lungs and contaminates the implantation
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33 16 equipment. Mg acceptors have a smaller ionization energy than Zn acceptors.^{2,26,27)} Thus,
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35 17 Mg is the most suitable acceptor for practical AlN devices. Electrical activation of implanted
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37 18 AlN layers requires a post-thermal annealing process to reduce implantation-induced
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39 19 damage, which causes compensation defects. Recently, we found that Mg atoms diffused
40
41 20 deeply in the AlN layer after annealing at 1600°C.²⁴⁾ Dopant diffusion causes smaller carrier
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43 21 concentrations than expected as well as leakage current in unexpected areas. For electrically
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45 22 conductive AlN layers to be made using Mg implantation, we need to optimize the annealing
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47 23 temperatures to reduce implantation-induced damage while keeping steep Mg profiles and
48
49 24 smooth surfaces. In this paper, we report on the material and electrical properties of Mg-
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51 25 implanted AlN layers after annealing at various temperatures.

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53 26 1- μ m-thick unintentionally doped AlN (0001) templates were supplied by DOWA
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55 27 Electronics Materials, Ltd., and were grown on 2-inch *c*-plane sapphire substrates by using
56
57 28 metal-organic chemical vapor deposition. The concentrations of Si, H, C, and O impurities
58
59 29 in the AlN templates were less than 2×10^{17} cm⁻³. The full width at half maximum value of
60
30 the X-ray rocking curve for AlN (0002) was less than 150 arcsec. Mg was implanted at room
31
32 31 temperature in the as-grown AlN layers at an incident angle of 7° from [0001] by the Ion
33
34 32 Technology Center.⁶⁾ The amount and penetration depth of implanted Mg ions were
35
36 33 controlled by the implantation dose and ion-beam energy, respectively. The desired box
37
38 34 profile of dopants, i.e., Mg concentration of 3×10^{19} cm⁻³ in a 200-nm-deep box profile, was

1 optimized by SRIM (stopping and range of ions in matter) Monte-Carlo simulations. The
2 box profile was formed by implanting the Mg ions at ion-beam energies of 90, 40, and 10
3 keV, where the corresponding dosages were 2.8×10^{14} , 1.6×10^{14} , and 6×10^{13} cm⁻². After
4 pumping the chamber to 5×10^{-4} Pa, the Mg-implanted AlN layers were annealed without
5 protective caps at temperatures (T_a) between 1000°C and 1700°C for 30 min in a N₂ ambient
6 at 1×10^4 Pa to heal the implantation-induced damage. The susceptor temperature was
7 monitored using a pyrometer.

8 The surface morphologies of the AlN layers were obtained using a scanning probe
9 microscope. The root-mean-square (RMS) roughness was determined to be over $2 \mu\text{m} \times 2$
10 μm . The Mg concentration in the AlN layers was experimentally determined using
11 secondary-ion mass spectrometry (SIMS) performed by the MST Foundation. The detection
12 limit for the Mg concentration was 4×10^{16} cm⁻³. The depth distribution of point defects in
13 the AlN layers was determined by positron-annihilation spectroscopy (PAS). In AlN, a
14 positron is repelled from an isolated nitrogen vacancy V_N , which is a positively charged
15 defect, because of Coulomb interaction, whereas it is localized in negatively charged defects,
16 such as an aluminum vacancy V_{Al} .^{24,28)} The low-momentum part was characterized by the S
17 parameter, defined as the number of annihilation events over the energy range of 511 ± 0.76
18 keV. Doppler broadening spectra of the annihilation radiation were measured with a Ge
19 detector as a function of the incident positron energy E_p using monoenergetic positron beams.

20 After Mg implantation and subsequent thermal annealing, a Ni (25 nm)/Au (25 nm)
21 metal stack was deposited using an EB evaporation, followed by sintering at temperatures
22 (T_s) between 500°C and 800°C for 10 min in an O₂ ambient at 1×10^4 Pa. A 300-nm-deep
23 mesa isolation was obtained by Cl₂-based reactive-ion etching.²⁹⁾ Current-voltage (I - V)
24 measurements of the Mg-implanted AlN layers were performed between two rectangular
25 contacts of $50 \mu\text{m} \times 100 \mu\text{m}$ at temperatures (T_m) between room temperature and 300°C by
26 using a semiconductor-device analyzer (Agilent B1500A).

27 The surface morphologies of the Mg-implanted AlN layers before and after annealing at
28 $1200^\circ\text{C} \leq T_a \leq 1600^\circ\text{C}$ are shown in Fig. 1. The AlN surfaces before and after annealing at
29 $T_a \leq 1300^\circ\text{C}$ had a small RMS roughness of 0.22 ± 0.04 nm. This highlights the excellent
30 thermal stability of the Mg-implanted AlN layers. The AlN surfaces at $T_a = 1200^\circ\text{C}$ and
31 1300°C had triangularly shaped islands. We anticipate that Al adatoms created from thermal
32 decomposition form an Al adlayer on the surface, resulting in the formation of two-
33 dimensional islands in excess metal condition.^{30,31)} The AlN surfaces annealed at $T_a \geq$

1 1400°C increased in RMS roughness as T_a increased. The Si-implanted AlN layer with a
 2 total dose of $5 \times 10^{14} \text{ cm}^{-2}$ had a smooth surface even after annealing at 1600°C.³²⁾ Heavy ions
 3 produce a relatively high degree of nuclear stopping, causing lattice damage. The Mg
 4 implantation may have broken more Al-N bonds in comparison with Si implantation. A
 5 smooth surface could be obtained even at a higher T_a by implanting Mg at elevated
 6 temperature and by using a protective cap, which can suppress migration of surface atoms
 7 during thermal annealing.

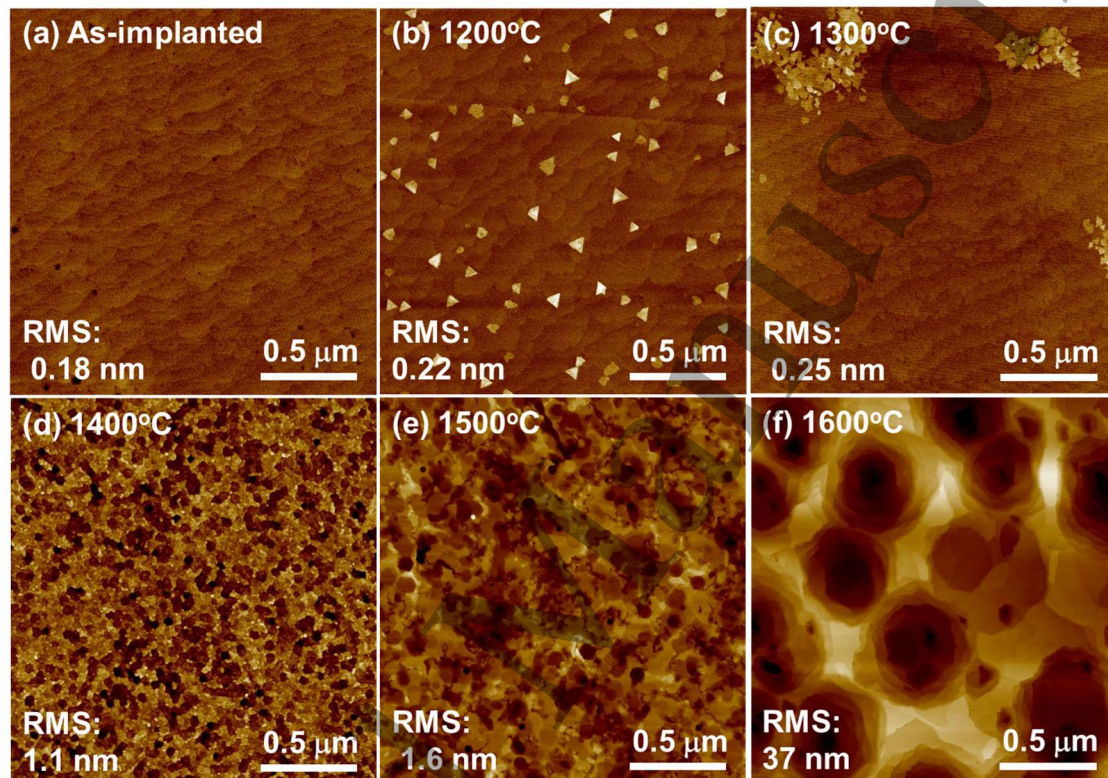


Fig. 1: Surface morphologies of Mg-implanted AlN layers (a) before and after annealing at (b) 1200°C, (c) 1300°C, (d) 1400°C, (e) 1500°C, and (f) 1600°C.

8 Depth profiles of Mg concentrations in the Mg-implanted AlN layers before and
 9 after thermal annealing at various T_a are shown in Fig. 2. The Mg concentration in the as-
 10 implanted AlN layer was $2 \times 10^{19} \text{ cm}^{-3}$ at 100-nm depth and had a long tail to a 600-nm depth.
 11 The discrepancy between the SIMS results and SRIM simulation is attributed to a channeling
 12 effect, which allows implanted Mg ions to travel without scattering along [0001]. A larger
 13 incident angle than 7° would achieve a steep Mg profile close to the SRIM simulation. The
 14 AlN layer at $T_a \leq 1300^\circ\text{C}$ had a small amount of Mg diffusion, while annealing at $T_a \geq$
 15 1500°C diffused Mg atoms to a concentration of $2 \times 10^{17} \text{ cm}^{-3}$ at the AlN/sapphire interface,
 16 which corresponds to the previous result.²⁴⁾ After annealing at 1700°C for 30 min, the 670-

1 nm-thick AlN layer had decomposed from the surface. The decomposition rate in this case
 2 was 22 nm/min, whereas the decomposition rate of a Si-implanted AlN layer with a total
 3 dose of $5 \times 10^{14} \text{ cm}^{-2}$ at 1800°C is 8 nm/min.⁶⁾ A large amount of implantation-induced

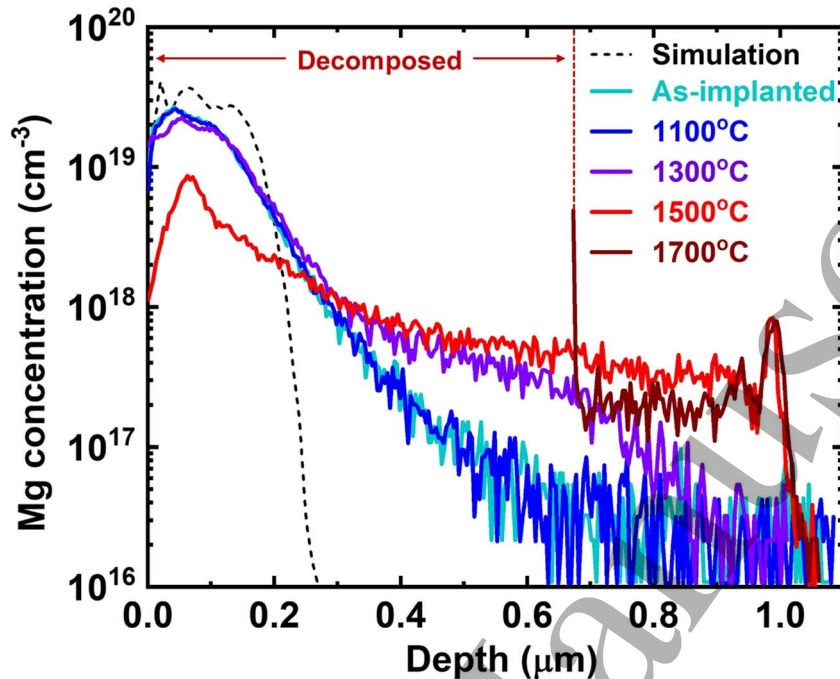


Fig. 2: Depth profiles of Mg atoms in Mg-implanted AlN layers before and after annealing between 1100°C and 1700°C . The result of the SRIM simulation is also shown (dashed line). The data of the AlN layer at $T_a = 1700^\circ\text{C}$ was shifted to match the depth at the AlN/sapphire interface to the other data.

4 damage may have enhanced the decomposition rate.

5 Fig. 3 (a) shows the S values of the Mg-implanted AlN layers in the PAS
 6 measurement before and after thermal annealing as a function of E_p . The high S value for E_p
 7 $< 2 \text{ keV}$ is associated with annihilation of positrons at the AlN surface, while the constant S
 8 value for $E_p = 2\text{-}10 \text{ keV}$ corresponds to annihilation of positrons in the AlN epilayer. The S
 9 value of the as-implanted AlN layers was 0.485, which is higher than that of the as-grown
 10 AlN layer ($=0.470$) and the Si-implanted AlN layers ($=0.480$) with a total dose of $5 \times 10^{14} \text{ cm}^{-2}$.²⁴⁾ This indicates that V_{Al} -related defects were introduced by the Mg implantation and that
 11 Mg implantation causes more damage than Si implantation does. After annealing at $T_a \leq$
 12 1300°C , the S value for $E_p = 2\text{-}7 \text{ keV}$ was higher than that for $E_p = 7\text{-}10 \text{ keV}$, suggesting that
 13 the implantation-induced defects diffused to the AlN surface and/or new V_{Al} -related defects
 14 were generated at the surfaces. The decrease in the S value for $E_p > 10 \text{ keV}$ is due to
 15

1 annihilation of positrons in the sapphire substrate.

2 The S values at $E_p = 3$ keV for the Mg-implanted AlN layers before and after thermal
 3 annealing are shown as a function of T_a in Fig. 3 (b). The S values of the Mg-implanted AlN
 4 layer at $T_a = 1200^\circ\text{C}$ and 1300°C were higher than that of the as-implanted AlN layers. The
 5 S values for $T_a \geq 1400^\circ\text{C}$ dramatically decreased with increasing T_a , indicating that the
 6 annihilation of positrons trapped by V_{Al} -related defects was reduced by annealing at $T_a \geq$
 7 1400°C . The S values for $T_a \geq 1500^\circ\text{C}$ were close to that of defect-free AlN ($=0.451$),²⁸⁾
 8 implying that the density of V_{Al} -related defects is low enough for AlN devices to operate.

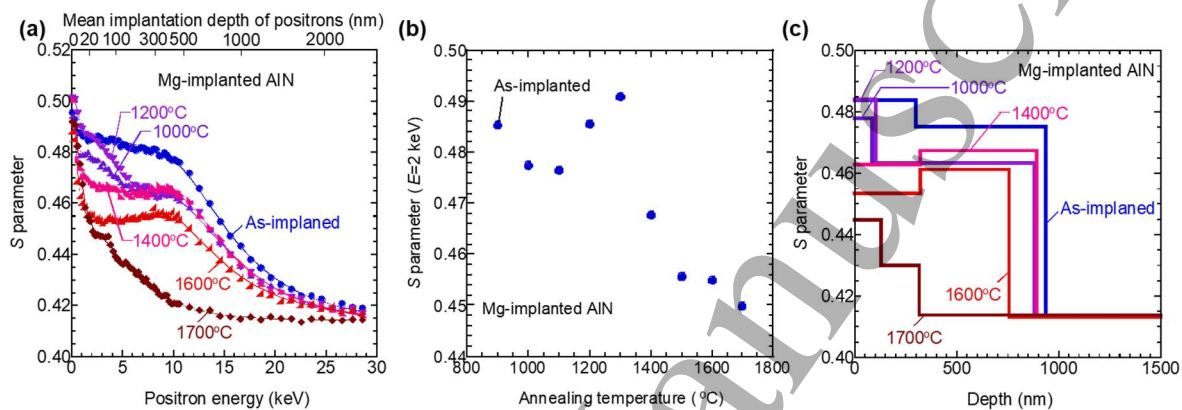


Fig. 3: (a) S parameters as a function of incident positron energy for Mg-implanted AlN layers before and after annealing between 1000°C and 1700°C . Solid curves are fitted to the experimental data. (b) S parameters as a function of annealing temperature for Mg-implanted AlN layers before and after annealing between 1000°C and 1700°C . (c) S depth distributions obtained from analysis of S - E curves for Mg-implanted AlN.

9 The S - E curves were fitted using $S(E) = S_s F_s(E) + \sum S_i F_i(E)$, where $F_s(E)$ is the
 10 fraction of thermalized positrons annihilated at the surface, and $F_i(E)$ the fraction of
 11 positrons annihilated in the i th layer with the relation of $F_s(E) + \sum F_i(E) = 1$. S_s and S_i are
 12 the S parameter to the annihilation of positrons on the surface and that to the annihilation of
 13 positrons in the i th layer, respectively. The region exposed to positrons was divided into three
 14 blocks. The solid curves are well fitted to the experimental data, as shown in Fig. 3 (a). The
 15 derived depth distributions of the S values for the Mg-implanted AlN layers before and after
 16 annealing at various T_a are shown in Fig. 3 (c). The as-implanted, 1000°C -annealed, and
 17 1200°C -annealed AlN layers showed high S values in the first block of ~ 100 -nm depth
 18 because of the ion bombardment and high Mg concentration. The S values reduced by
 19 annealing at $T_a \geq 1400^\circ\text{C}$. Mg atoms in AlN prefer the substitutional position of the
 20 aluminum sublattice, forming substitutional defects (Mg_{Al}).³³⁾ We consider that the low S

1 values for $T_a \geq 1400^\circ\text{C}$ are due to substitution of Mg for V_{Al} and the reduced concentration
2 of V_{Al} -related defects, or self-interstitial-vacancy recombination. The as-implanted AlN layer
3 had a high S value in the second block of $\sim 300\text{-nm}$ depth, which is close to the box profile
4 of Mg implantation, due to the implantation damage. In the first and second blocks, the AlN
5 layers at $T_a = 1600^\circ\text{C}$ had a S value of 0.453, which is comparable to that of defect-free
6 AlN.²⁸⁾ The third block of $\sim 900\text{-nm}$ depth corresponds to the AlN thickness determined by
7 SIMS. We found that the implantation-induced defects were effectively reduced by
8 annealing $T_a \geq 1400^\circ\text{C}$.

9 In the theoretical calculation, the triply positively charged nitrogen vacancy V_N^{3+} and
10 aluminum interstitial Al_i^{3+} have a low formation energy in p -type AlN layers under N-rich
11 conditions and can act as an effective compensating center for shallow acceptors.^{34,35)} We
12 consider that most of the Mg atoms in AlN lattices diffuse via the kick-out mechanism, in
13 which interstitial-substitutional exchange of Mg atoms creates an Al self-interstitial through
14 the reaction $Mg_i \rightleftharpoons Mg_{Al} + Al_i$. The favorable formation of Al_i^{3+} may provide a means of
15 fast Mg diffusion when Mg is implanted in AlN. Additionally, V_N^{3+} deep donors compensate
16 free holes in p -type AlN, reducing the effective acceptor concentrations. Unfortunately, PAS
17 measurements cannot directly detect positively charged defects including Al_i^{3+} and V_N^{3+} .
18 Further investigations using photoluminescence and cathode luminescence will be necessary.

19 The I - V characteristics of the Mg-implanted AlN layers at $T_a = 1500^\circ\text{C}$ are shown in Fig.
20 4 (a). Here, two Ni/Au contacts with a $2\text{-}\mu\text{m}$ spacing were sintered at various T_s . We
21 confirmed that the Mg-implanted AlN layer was electrically isolated by the bottom UID AlN
22 layer. The currents of the AlN layers at $T_s \leq 500^\circ\text{C}$ were under the measurement limit, while
23 those at $T_s \geq 600^\circ\text{C}$ were electrically conducting. The AlN layers showed the highest current
24 at $T_s = 700^\circ\text{C}$. The current showed Schottky behavior, indicating a low effective acceptor
25 concentration. Higher Mg concentrations and lower compensation-defect concentrations are
26 needed to achieve ohmic behavior.

27 The I - V characteristics of the Mg-implanted AlN layers annealed at various T_a are shown
28 in Fig. 4 (b). Here, two Ni/Au contacts were a $2\text{-}\mu\text{m}$ spacing were sintered at 700°C . The
29 AlN layers at $T_a \leq 1300^\circ\text{C}$ were insulating, while the AlN layers at $T_a = 1400^\circ\text{C}$ and 1500°C
30 were electrically conducting. We found that $T_a \geq 1400^\circ\text{C}$ is necessary for electrical
31 activation of the Mg-implanted AlN layer, which is in good agreement with the PAS result.
32 Despite the small S value close to that of the layer annealed at 1500°C , the AlN layer at T_a
33 $= 1600^\circ\text{C}$ was insulating, perhaps because of an increase in the contact resistivity by Mg

1 diffusion and thermal decomposition of the AlN surfaces.

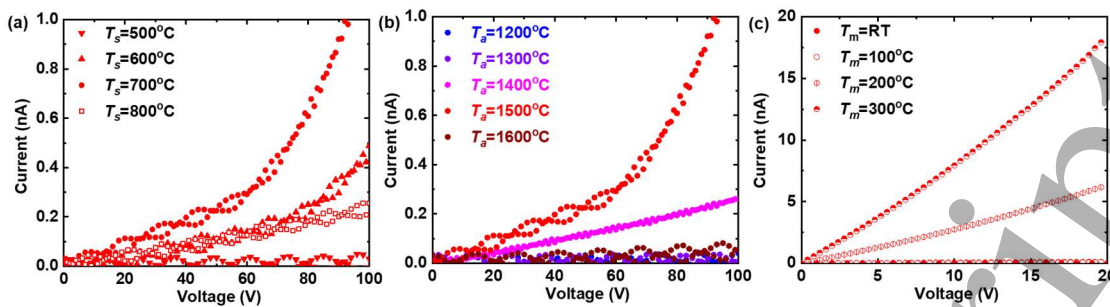


Fig. 4: (a) Room-temperature I - V characteristics of Mg-implanted AlN layers after sintering at temperatures between 500°C and 800°C . (b) Room-temperature I - V characteristics of Mg-implanted AlN layers after annealing at temperatures between 1200°C and 1600°C . (c) I - V characteristics of Mg-implanted AlN layers at temperatures between room temperature and 300°C . All contacts have a spacing of $2\ \mu\text{m}$.

The I - V characteristics of the Mg-implanted AlN layer at $T_a = 1500^{\circ}\text{C}$ are shown in Fig. 4 (c). Here, two Ni/Au contacts with a $2\text{-}\mu\text{m}$ spacing were sintered at 700°C . The currents increased with increasing T_m , reaching $7\ \text{nA}$ at a bias of $10\ \text{V}$ at $T_m = 300^{\circ}\text{C}$. However, the carrier type and electrical conduction mechanism of the Mg-implanted AlN layer are unclear. We note that Si-implantation-induced damages with the range of 10^{14} - $10^{15}\ \text{cm}^{-2}$ reduce the electrical conductivity.³²⁾ Reliable data of the Mg-implanted AlN layer were not obtained from the Hall-effect measurements due to the high resistivity over $10\ \text{M}\Omega$. The resistivity should be reduced by increasing the channel thickness and Mg concentration to clarify the carrier type.

The AlN layers at $T_a = 1400^{\circ}\text{C}$ and 1500°C were electrically conducting, and the corresponding PAS measurement showed a reduction in V_{Al} -related defects. We consider that the compensation defects started to be reduced by thermal annealing at $T_a \geq 1400^{\circ}\text{C}$. Meanwhile, the RMS roughness of the AlN surface was increased by annealing at $T_a \geq 1400^{\circ}\text{C}$. Mg atoms diffused after annealing at $T_a \geq 1500^{\circ}\text{C}$. We suggest that the ion bombardment forms a high concentration of V_{Al} -related defects, and the thermal annealing causes the lattice of the AlN layer to be disordered. The lattice disorder and threading dislocation in the AlN heteroepitaxial layer on sapphire substrates may lead to the large diffusion length of Mg atoms in the AlN layer, like that of Mg in GaN.^{36,37)} We expect that further high-dose Mg implantation at elevated temperature for an AlN homoepitaxial layer and thermal annealing at 1500°C with a protective cap would reduce the compensation defects, Mg diffusion, and surface roughness, and increase the current.

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3 1 In conclusion, Mg ions were implanted in 1- μm -thick AlN layers grown on sapphire
4 substrates. The Mg implantation with a total dose of $5 \times 10^{14} \text{ cm}^{-2}$ introduced Al-vacancy
5 2 related defects, which were reduced by annealing at temperatures over 1400°C . Annealing
6 3 at temperatures over 1500°C increased the surface roughness and caused Mg diffusion. The
7 4 Mg-implanted AlN layer annealed at 1500°C showed the highest current, which was 1.1 nA
8 5 at a bias of 100 V at room temperature and 7 nA at a bias of 10 V at 300°C .
9 6
10 7

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11 Science and Technology and at the open facility at the University of Tsukuba.
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