

**Metamorphosis Regulation by Retinoic Acid Signaling in
Echinoderms: Insights into the Evolution of Animal Life Cycle**

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**Metamorphosis Regulation by Retinoic Acid Signaling in
Echinoderms: Insights into the Evolution of Animal Life Cycle**

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1 **Abstract**

2 The evolution of the biphasic life cycle in marine invertebrates has attracted the interest
3 of many zoologists. A key question is how similar the molecular regulatory mechanisms
4 of metamorphosis are in various taxa. It was recently suggested that retinoic acid (RA) is
5 involved in the regulation of metamorphosis in the starfish. It also plays a role in the life
6 cycle transition of basal echinoderms and jellyfish, a cnidarian; thus, the regulatory
7 machinery of life cycle transitions may be conserved in starfish metamorphosis. However,
8 details of the molecular mechanisms that trigger RA signaling upon settlement during
9 starfish metamorphosis have yet to be elucidated. Furthermore, the function of RA
10 signaling in other animal groups is poorly understood in this context. In this study, I used
11 the starfish *Patiria pectinifera* and revealed the regulatory mechanism of the RA-
12 dependent metamorphosis through the mass spectrometry and genome editing analysis. I
13 also investigated the role of RA signaling during the metamorphosis of other echinoderm
14 species, the starfish *Astropecten latespinosus* and the feather star *Antedon serrata* and
15 determined its evolutionary conserved function in echinoderms. I herein discussed the
16 life cycle evolution of animal from the viewpoint of RA-dependent regulatory mechanism.

17

18

19

20 **General Introduction**

21 Marine invertebrates have evolved various life cycle strategies in different taxa; many
22 show a biphasic life cycle in which floating larvae metamorphose into benthic adult forms
23 (Jagersten, 1972). Many zoologists have proposed evolutionary scenarios underlying this
24 superficial similarity, and some recent studies have posed evolutionary hypotheses based
25 on comparisons of larval morphology or transcriptomes. Arendt *et al.*, 2001 and Marlow
26 *et al.*, 2018 reported that the molecular patterning mechanism of apical organs is highly
27 conserved among floating larvae including protostomes, deuterostomes, and cnidarians,
28 proposing that the common ancestors of metazoans or bilaterians experience the floating
29 larval stage. In addition, Wang *et al.*, 2020 revealed that the transcriptome of the larval
30 phase is comparable among various animal groups including sponges based on the
31 phylostratigraphic approach. However, some researchers have noted that the expression
32 and functions of body patterning genes such as Hox genes vary among the larval bodies
33 of animal taxa (Gonzalez *et al.*, 2017; Hejnol and Vellutini, 2017; Sly *et al.*, 2003).
34 Although that may support multiple origins of larval forms, the evolutionary history of
35 the life cycle remains controversial. It is important to understand and compare the
36 molecular regulatory mechanisms of metamorphosis and the life cycle among various
37 animal taxa.

38 Yamakawa *et al.* 2018 recently presented evidence that retinoic acid (RA)
39 signaling is involved in the regulation of metamorphosis in starfish (echinoderms). RA
40 signaling functions in cell-cell communication through secretion of RA synthesized by
41 retinal dehydrogenase (*raldh*) (Gutierrez-Mazariegos *et al.* 2014; Rhinn and Dolle. 2012;

42 Marlétaz *et al.* 2006). RA signaling is processed through RA binding to the nuclear
43 receptors: RA receptor (*rar*) and retinoid x receptor (*rxr*) (Gutierrez-Mazariegos *et al.*
44 2014; Rhinn and Dolle. 2012; Marlétaz *et al.* 2006). RA signaling is well known as the
45 regulator of the neural patterning through the regulation of Hox gene expression in
46 vertebrates (Marlétaz *et al.* 2006). Yamakawa *et al.* 2018 previously investigated the role
47 of RA signaling in larvae of starfish (echinoderms), and suggested the involvement of RA
48 signaling machinery on the metamorphosis process after settlement in the starfish *Patiria*
49 *pectinifera*. Furthermore, RA has also been reported to act in the life cycle transition of
50 cnidarians, the polyp-to-ephyra transition of jellyfish (Fuchs *et al.*, 2014). However, to
51 clarify the evolutionarily conserved role of RA in the life cycle transition, it is important
52 to understand whether RA signaling is involved in neural reception and transduction of
53 environmental cues to commence metamorphosis.

54 In this study I investigated the mechanism of RA-dependent metamorphosis in
55 starfish (Chapter 1) and revealed its evolutionary conserved role in echinoderms (Chapter
56 2–3). In chapter 1, the amount of endogenous RA was measured during larval and
57 metamorphic stages, and it is found that endogenous RA is sufficiently high for
58 transduction of RA signaling even before the larvae acquired competence for
59 metamorphosis (6–12 dpf). By demonstrating that the gene knockout of *rar* suppressed
60 the metamorphosis process after settlement, I confirmed that RAR is essential for the
61 commencement of metamorphosis in *P. pectinifera*. Based on these findings, I propose
62 that starfish metamorphosis is regulated not by the increase of RA amount or
63 concentration, but by regulating RA binding to RAR.

64 In the following Chapter 2–3, I aimed to reveal the evolutionary conservation
65 of the RA signaling function in other echinoderms, which show diverged life cycle
66 evolution. First, I investigated the metamorphosis regulatory mechanism of the starfish
67 having the derived life cycle strategy (Chapter 2). The starfish *Astropecten latespinosus*
68 have the lecithotrophic larvae lacking brachiolar arms, the sensory apparatus for the
69 reception of environmental cues in planktotrophic larvae. Although the metamorphosis
70 of *A. latespinosus* is considered to be independent from external environmental signal, I
71 found that metamorphosis of *A. latespinosus* was stimulated when larvae were cultured
72 with natural sand from their habitat. I also found that RA signaling mediated the
73 metamorphosis process upon environmental stimulation, as in planktotrophic larvae. I
74 examined reagent treatments and gene expression analysis by in situ hybridization.
75 Exogenous RA treatment induced metamorphosis, whereas RA synthesis inhibitor or
76 antagonist for RA receptors suppressed metamorphosis. RA signaling–related genes were
77 expressed in juvenile rudiments. In conclusion, it is proposed that the reception of
78 particular environmental cues and the mediation of RA signaling is required, for the
79 metamorphosis of lecithotrophic larvae.

80 Although RA signaling is involved in the metamorphosis regulation of starfish,
81 regarding that each group of echinoderms diverged the larval type and settlement style, it
82 was unclear if RA signaling is an ancestral regulator of metamorphosis in echinoderms.
83 Thus, in order to determine the ancestral function of RA signaling in echinoderms, I
84 investigated the role of RA signaling in the metamorphosis of the feather star *Antedon*
85 *serrata* in Chapter 3. I treated doliolaria larvae of *A. serrata* with exogenous RA, resulting

86 in the induction of cystidean larvae. In contrast, metamorphosis was suppressed by
87 treatment with RA synthesis inhibitor and antagonist for RA receptors. In conclusion, my
88 study suggests that RA signaling functions as a regulator of metamorphosis in the ancestor
89 of echinoderms.

90 Based on my findings about the role of RA signaling in echinoderm
91 metamorphosis, I provide insight into the evolution of the animal life cycle.

92

505 **Chapter 2**

506 **Regulation of Metamorphosis by Environmental Cues and Retinoic Acid Signaling**
507 **in the Lecithotrophic Larvae of the Starfish *Astropecten latespinosus***

508

509 **Abstract**

510 Common ancestors of starfish (echinoderms) are believed to have planktotrophic larvae,
511 although some species shows lecithotrophic larvae, which do not feed before
512 metamorphosis. Furthermore, some lecithotrophic paxillosidan larvae, such as those of
513 *Astropecten latespinosus*, lack brachiolar arms, the sensory apparatus for the reception of
514 environmental cues in planktotrophic larvae. In this study, I found that metamorphosis of
515 *A. latespinosus* was stimulated when larvae were cultured with natural sand from their
516 habitat. I also found that RA signaling mediated the metamorphosis process upon
517 environmental stimulation, as in planktotrophic larvae. I examined reagent treatments and
518 gene expression analysis by *in situ* hybridization. Exogenous RA treatment induced
519 metamorphosis, whereas RA synthesis inhibitor or antagonist for RA receptors
520 suppressed metamorphosis. RA signaling-related genes were expressed in juvenile
521 rudiments. In conclusion, I propose that the reception of particular environmental cues is
522 required, for the metamorphosis of lecithotrophic larvae.

523

524

525 **Introduction**

526 Many marine invertebrates have biphasic life cycles, with planktonic larval and sessile
527 adult phases (Jägersten 1972). Because sessile adults have restricted motility, the settling
528 of larvae in suitable environments during metamorphosis is of critical importance (Morse
529 1990). Therefore, planktonic larvae usually possess sensory apparatus to respond to
530 specific environmental cues (Morse 1990).

531 The ancestors of starfish (echinoderms) are believed to have planktotrophic
532 larvae (Oguro 1989; McEdward *et al.* 2001; Byrne 2006). Planktotrophic larvae need to
533 be fed to commence metamorphosis; thus, their development proceeds depending on the
534 larval nutritional state (McEdward 1997). Some species changed their strategies and
535 develop through lecithotrophic larvae (Oguro 1989; McEdward *et al.* 2001; Byrne 2006).
536 In contrast to that of planktotrophic larvae, the development of lecithotrophic larvae
537 proceeds in a cascade-like manner because they do not feed before metamorphosis (Oguro
538 1989; Byrne 2006). However, whether these lecithotrophic larvae sense environmental
539 cues to commence metamorphosis is not clear.

540 After lecithotrophy, some starfish species retain their sensory apparatus,
541 brachiolar arms (McEdward *et al.* 2001). Many planktotrophic starfish larvae use their
542 brachiolar arms to sense environmental cues about where to settle (Murabe *et al.* 2007).
543 This suggests that these species have continued to sense environmental cues, even after
544 their transition to lecithotrophy. Some lecithotrophic paxillosidan species, such as
545 *Astropecten latespinosus*, however, do not possess brachiolar arms (Komatsu 1975;
546 Komatsu 1982; Komatsu and Nojima 1985).

547 In this study, I found that metamorphosis of *A. latespinosus* was stimulated
548 when larvae were cultured with natural sand from their habitat. I also found that RA
549 signaling mediated the metamorphosis process upon environmental stimulation, as in
550 planktotrophic larvae. I examined reagent treatments and gene expression analysis by *in*
551 *situ* hybridization. Exogenous RA treatment induced metamorphosis, whereas RA
552 synthesis inhibitor or antagonist for RA receptors suppressed metamorphosis. RA
553 signaling-related genes were expressed in juvenile rudiments. In conclusion, it is
554 proposed that the reception of particular environmental cues is required, for the
555 metamorphosis of lecithotrophic larvae.
556

557 **Material and Methods**

558

559 **1. Sampling and Culture of Larvae**

560 I collected adult specimens of *A. latespinosus* from Notojima Island, Ishikawa Prefecture,
561 Japan, and obtained fertilized eggs as described previously (Komatsu 1975). I cultured
562 the larvae in artificial sea water at 22°C.

563

564 **2. Reagent Treatments**

565 I prepared 100 mM stock of *all-trans* RA (Sigma-Aldrich, St Louis, CAS number: 302-
566 79-4), 1 M stock of N, N-diethylaminobenzaldehyde (DEAB, Tokyo Chemical Industry,
567 Tokyo, Japan, CAS number: 120-21-8) and 50 mM stock of RO41-5253 (RO, Focus
568 Biomolecules, Plymouth Meeting, PA, USA, CAS number: 144092-31-9) in dimethyl
569 sulfoxide (DMSO). I incubated the larvae in 2 mL of artificial seawater containing 2 µL
570 of reagents or DMSO in 12-well plates at 22 °C. For the experiment with a substrate, 10
571 larvae were incubated in one well. Natural sands from Notojima Island were used for the
572 experiments conducted to induce metamorphosis. For cases in which reagent treatment
573 continued for more than two days, I changed the seawater with the same concentration of
574 reagents every other day.

575 I judged whether the larvae were metamorphosed by the enlargement of
576 juvenile rudiment and absorption of larval body. From these observations, the numbers
577 of individuals who metamorphosed were counted. The rates of metamorphosis were
578 calculated by dividing by the number of treated larvae. I carried out experiments using

579 three batches of larvae from different adults. In particular, experiments were conducted
580 once to several times in each batch.

581

582 **4. Statistical Analysis**

583 As in described in the Method section of Chapter 1, I examined the statistical analyses to
584 evaluate differences in the effects of the treatments of substrate or reagents on settlement
585 or metamorphosis.

586

587 **5. Construction of the Phylogenic Trees**

588 To gather sequences of RA signaling–related genes, I used the transcriptome data which
589 was previously *de novo* assembled and deposited in the DDBJ Sequence Reads Archives
590 (DRA008444) to recover the nucleotide sequences coding for *raldha*, *raldhb*, *raldhc*,
591 *rar*, and *rxr* of *A. latespinosus*. In addition to the previously used datasets (Yamakawa *et*
592 *al.* 2018), a sequence alignment was performed using MAFFT (default value in the online
593 version) and the phylogenetic sequence was filtered using trimAL with a gap threshold
594 of 0.8 (Katoh *et al.* 2017; Capella-Gutiérrez *et al.* 2009). The estimate of the amino acid
595 substitution model and preparation of the maximum likelihood tree were carried out using
596 RAxML (Stamatakis 2014). Confidence values were calculated after 1000 bootstrap runs.
597 Accession numbers for each gene are shown in Table 2-2. Abbreviations for species are
598 as shown following. Hs (human); *Homo sapiens*, Xt (frog); *Xenopus tropicalis*, Dr
599 (zebrafish); *Danio rerio*, Bf (amphioxus); *Branchiostoma floridae*, Ci (tunicate); *Ciona*
600 *intestinalis*, Al (starfish); *Astropecten latespinosus*, Pp (starfish); *Patiria pectinifera*, Sp

601 (sea urchin); *Strongylocentrotus purpuratus*; Sk (acorn worm); *Saccoglossus*
602 *kowalevskii*. Mm (mouse); *Mus musculus*, B1 (amphioxus); *Branchiostoma lanceolatum*,
603 Pm (tunicate); *Polyandrocarpa misakiensis*, Dm (fly); *Drosophila melanogaster*, Rc
604 (snail); *Reishia clavigera*, Ls (snail); *Lymnaea stagnalis*, and Tc (jellyfish); *Tripedalia*
605 *cystophora*.

606

607 **6. Whole-mount *in situ* hybridization**

608 I prepared the DIG-labeling antisense probes for *raldha-c*, *rar* and *rxr* using the
609 primers shown in Table 2-1 and conducted *in situ* hybridization as previously de
610 scribed (Morino *et al.* 2012; Yamakawa *et al.* 2018).

611

612 **Results**

613

614 **1. Larvae of *A. latespinosus* respond to the environmental cues for metamorphosis**

615 First, I investigated whether lecithotrophic larvae could sense environmental cues by
616 testing whether larvae of *A. latespinosus* commenced metamorphosis in seawater
617 containing sand from the habitat of adult specimens. During this experiment, I also
618 investigated when larvae became competent for metamorphosis. Previously, Komatsu
619 1975 stated that larvae began to develop juvenile rudiments at around 30 hpf, after the
620 gastrula elongated along archenteron (Fig. 2-1b). Juvenile rudiment development then
621 proceeds until approximately 72–96 hpf (Fig. 2-1c, d) (Komatsu 1975). She reported that
622 larvae metamorphosed to juveniles after 75 hpf (Fig. 2-1e, f), although she did not
623 experimentally examine the time to acquisition of competency (Komatsu 1975).

624 I introduced the habitat sand to the wells of the 24-hpf larvae, corresponding to
625 gastrula (n=50 from three batches; Fig. 2-1a). Then, I cultured larvae and counted the
626 number of larvae that completed metamorphosis every day for 1 week. In the seawater
627 without substrate, larvae did not metamorphose before 72 hpf (Fig. 2-2, Table 2-3). Small
628 numbers of larvae (5 of 50 larvae) metamorphosed into juveniles after 96 hpf (Fig. 2-2,
629 Table 2-3), although the metamorphosis ratio was less than 50% (21 of 50 larvae; Fig. 2-
630 2, Table 2-3). On the other hand, when substrates were added to the seawater, small
631 numbers of larvae (7 of 50 larvae) were induced to metamorphose even at 72 hpf (Fig. 2-
632 2, Table 2-3). More than 70% of larvae (36 of 50 larvae) metamorphosed after 96 hpf (Fig.
633 2-2, Table 2-3). At 192 hpf, significant differences in the metamorphosis ratios were

634 observed between treatments ($P=0.009$, T-TEST). These results indicate that *A.*
635 *latespinosus* can sense environmental cues, such as natural sand, to commence
636 metamorphosis. I also found that most of the larvae metamorphosed at 72–96 hpf (Fig. 2-
637 2), suggesting that they became competent around 72 hpf.

638

639 **2. RA signaling also involves in the metamorphosis regulation of *A. latespinosus***

640 In planktotrophic larvae of starfish, Murabe *et al.* 2007 found that brachiolar arms
641 perform critical roles to receive environmental cues for metamorphosis. Recently,
642 Yamakawa *et al.* 2018 suggested that retinoic acid (RA) signaling mediated the
643 commencement of metamorphosis process after settlement, through RA synthesis by
644 retinal dehydrogenase (RALDH) and binding to retinoic acid receptor (RAR) and retinoid
645 x receptor (RXR) (Rhinn and Dolle 2012). As shown above, I found that paxillosidan
646 larvae also received environmental cues to commence metamorphosis, though they use
647 different apparatus from brachiolar arms for reception, thus it is unclear if RA signaling
648 involves the metamorphosis regulation in this group.

649 Here, I examined whether the commencement of metamorphosis was also
650 mediated by RA signaling in *A. latespinosus*. Firstly, I investigated the effect of
651 exogenous RA treatment of competent larvae ($n=40$ from three batches). As more than
652 half of 72-hpf larvae treated with habitat sand completed metamorphosis in 24 h (Fig. 2-
653 2), I tested the effect of exogenous RA on 72-hpf larvae. I found that exogenous RA (1
654 μM) treatment induced metamorphosis (32 of 40 larvae; Fig. 2-3a, c, Table 2-4). The
655 larvae commenced metamorphosis immediately after treatment and completed their

656 transitions to juveniles in 24 h. On the other hand, only one out of 40 DMSO-treated
657 larvae metamorphosed (Fig. 2-3b, c, Table 2-4). I observed that the presence of RA
658 significantly affected the metamorphosis ratio ($P < 0.001$, T-TEST). These results suggest
659 that RA mediates the internal signaling to commence the metamorphosis of *A.*
660 *latespinosus*.

661 Additionally, I investigated the effect of exogenous RA treatment of larvae of
662 various ages on metamorphosis to test whether RA also affected the timing of larval
663 competence to respond to cues for metamorphosis. I treated 24- and 48-hpf larvae with
664 RA (1 μ M) and counted the number of metamorphosed larvae every 24 h until 96 hpf
665 ($n=30$ and 40 from three batches, respectively). I observed that metamorphosis was
666 induced only after 72 hpf in both cases (3 of 30 and 2 of 40 with 24- and 48-hpf initiations,
667 respectively; Fig. 2-3d, e, Tables 2-5, 2-6). Thus, regardless of when the larvae were
668 treated with RA, they responded and metamorphosed at 72 hpf, which is comparable to
669 the stage at which larvae acquire competence to metamorphose during normal
670 development (Figs. 2-2, 2-3d, e). Furthermore, at 96 hpf, almost half of the larvae
671 metamorphosed (15 of 30 and 19 of 40 from three batches with 24-hpf and 48-hpf
672 initiation, respectively; Fig. 2-3d, e, Tables 2-5, 2-6). I found significant differences at 96
673 hpf in the batches with 24- and 48-hpf initiations ($P=0.034$ and $P=0.019$, respectively, T-
674 TEST). These timelines are similar to those induced by a substrate (Fig. 1). These results
675 suggest that RA does not affect the development of competence for metamorphosis, but
676 rather functions as an internal mediator of the signaling to commence metamorphosis
677 when added to competent larvae.

678 Next, I investigated whether endogenous RA synthesis is required for
679 metamorphosis. To investigate the effect of treatment with DEAB, an RA synthesis
680 inhibitor, on metamorphosis, I treated 72-hpf larvae with DEAB (300 μ M) and natural
681 sand in the experiments described above, and counted the larvae that had completed
682 metamorphosis 24 h after treatment (n=40 from three batches). As a control, I treated 72-
683 hpf larvae with DMSO and natural sand. More than half of the DMSO-treated larvae
684 transitioned to juveniles (26 of 40 larvae; Fig. 2-4b, c, Table 2-7). In contrast, DEAB
685 treatment decreased the number of metamorphosed larvae (6 of 40 larvae; Fig. 2-4a, c,
686 Table 2-7). The metamorphosis ratio was significantly suppressed by DEAB treatment
687 (P=0.022, T-TEST). I observed particular larval behavior prior to metamorphosis, such
688 as attachment to the substrate with rudiments under the DEAB treatment. Thus, larvae
689 were likely to sense the environmental cue, but did not commence metamorphosis. These
690 findings suggest that endogenous RA synthesis is required for the commencement of
691 metamorphosis.

692 RA binding to RAR is required for RA signaling activation (Rhinn and Dolle
693 2012). Thus, I investigated the effect of RAR antagonist treatment on metamorphosis to
694 test the hypothesis that RA signaling pathways mediate the metamorphosis process. I
695 treated 72-hpf larvae (n=40 from three batches) with RO41-5253 (RO; 1 μ M), RAR
696 antagonist, and the natural sand used above, and counted the number of metamorphosed
697 larvae after 24 h. As a control, I treated 72-hpf larvae with DMSO and natural sand. Under
698 the DMSO treatment, 67.5% of larvae (27 of 40 larvae) transitioned to juveniles (Fig. 2-
699 4e, f, Table 2-8). In contrast, no larva metamorphosed under the RO treatment (Fig. 2-4d,

700 f, Table 2-8). The metamorphosis ratio was significantly repressed by RO treatment
701 ($P=0.008$, T-TEST). As I observed with DEAB treatment, larvae also stopped floating and
702 attached to the substrate with rudiments following RO treatment.

703 As shown previously, exogenous RA treatment induces metamorphosis in 72-
704 hpf larvae (Fig. 2-3). To support the idea that RA binding to RAR is required for
705 metamorphosis, I examined whether RO treatment blocked metamorphosis induced by
706 RA treatment. I treated 72-hpf larvae ($n=40$ from three batches) with RA ($1\ \mu\text{M}$) or RA
707 ($1\ \mu\text{M}$) plus RO ($1\ \mu\text{M}$). Under the case RA-only treatment, 77.5% of larvae (31 of 40
708 larvae) metamorphosed (Fig. 2-4g–i, Table 2-9). On the other hand, the RA ($1\ \mu\text{M}$) plus
709 RO ($1\ \mu\text{M}$) treatment induced metamorphosis in only 12.5% of larvae (5 of 40 larvae;
710 Fig. 2-4h, k, Table 2-9). RO significantly repressed the metamorphosis ratio ($P<0.001$, T-
711 TEST). These data suggest that RA signaling activation through RA binding to RAR is
712 required for the commencement of metamorphosis.

713 I examined the expression patterns of genes involved in RA signaling. I
714 confirmed their orthologies by constructing phylogenetic trees (Figs. 2-5, 2-6). As
715 conclusion, from de novo transcriptome, I identified three *raldhs* (*raldha*, *raldhb*, and
716 *raldhc*), single *rar*, and single *rxr*. I also investigated the spatial expression patterns of
717 the three *raldhs*, *rar*, and *rxr* by whole-mount *in situ* hybridization of 72-hpf larvae (Fig.
718 2-7). I identified the expression of two types of receptor, *rar* and *rxr*, in the juvenile
719 rudiment (Fig. 2-7j–l and m–o, respectively), as well as that of *raldha*, *raldhb*, and *raldhc*
720 (Fig. 2-7a–c; d–f; and g–i, respectively). Especially, in juvenile rudiment, all genes were
721 expressed in epidermis region with different expression pattern; patchwise expression of

722 *raldhs*, broad expression of *rar* and *rxr* (Fig. 2-7c, f, i, l and o). I also found that all genes
723 except *raldhc* were expressed in hydrolobes (primordium of primary podia and tube feet;
724 Fig. 2-7c, f, l and o). These expression patterns were consistent with the idea which
725 hydrolobes are used for sensory of environmental cues in paxillosida (Byrne 2013; Pernet
726 *et al.* 2017). These data support the conclusion that RA signaling mediates the
727 metamorphosis process in *A. latespinosus*.

728

729

730 **Discussion**

731 Here, I provided the evidence that metamorphosis is triggered by environmental cues in
732 *A. latespinosus* larvae. When I introduced natural sand from the *A. latespinosus* habitat,
733 the larvae stopped floating, became attached to the substrate, and commenced
734 metamorphosis (Fig. 2-2). Furthermore, my data suggest that RA signaling mediated the
735 commencement of metamorphosis upon environmental cue reception. Exogenous RA
736 treatment of competent larvae induced metamorphosis (Fig. 2-3), and metamorphosis was
737 suppressed by the inhibition of two distinct RA signaling pathways (Fig. 2-4): RA
738 synthesis (Fig. 2-4a–c) and RA binding to RAR (Fig. 2-4d–i). The spatial expression
739 pattern of RA signaling–related genes is consistent with the results described above (Fig.
740 2-7). Particularly, overlapping expression of two kinds of receptor (*rar* and *rxr*) was
741 observed in juvenile rudiments of competent larvae (Fig. 2-7j–l and m–o, respectively).
742 It should be noted that I did not examine the gene function analysis in this study. To
743 strengthen my hypothesis, future study should focus on the function of each regulatory
744 component.

745 Planktonic starfish larvae sense environmental cues for metamorphosis with
746 brachiolar arms (Murabe *et al.* 2007), but paxillosidan larvae, even those that are
747 planktonic, lack brachiolar arms (McEdward and Miner 2001; Pernet *et al.* 2017). This
748 absence is regarded as a secondary loss due to the transition to a sandy habitat
749 (Linchangco *et al.* 2017). In this study, I found that metamorphosis of *A. latespinosus* is
750 induced by culture with natural sand from their habitat, suggesting that paxillosidan
751 larvae also respond to environmental cues for metamorphosis. Despite my findings, how

752 paxillosidan larvae sense environmental cues remains unclear.

753 Previously, several researchers suggested that tube feet (primary podia) are
754 used as the sensory apparatus for the reception of environmental cues in this group (Byrne
755 2013; Pernet *et al.* 2017). On the other hand, Komatsu 1975 and Oguro *et al.* 1976 stated
756 that tube feet did not appear before metamorphosis was mostly completed in *A.*
757 *latespinosus* and *A. scoparius* (Komatsu 1975; Oguro *et al.* 1976). Whether larvae sense
758 environmental cues with this structure is difficult to judge based on hydrolobe
759 morphology. I suggest that researchers' attention be broadened to juvenile structures in
760 efforts to identify the sensory apparatus for environmental cue detection in paxillosidan
761 species.

762

763

764

765 **Chapter 3: Retinoic Acid Signaling Regulates the Metamorphosis of Feather Stars**

766 **(Crinoidea, Echinodermata)**

767

768 **Abstract**

769 Many marine invertebrates have a life cycle with planktonic larvae, although the
770 evolution of this type of life cycle remains enigmatic. It is recently proposed that the
771 regulatory mechanism of life cycle transition is conserved between jellyfish (Cnidaria)
772 and starfish (Echinoderm); retinoic acid (RA) signaling regulates strobilation and
773 metamorphosis, respectively. However, the function of RA signaling in other animal
774 groups is poorly understood in this context. Here, to determine the ancestral function of
775 RA signaling in echinoderms, I investigated the role of RA signaling during the
776 metamorphosis of the feather star, *Antedon serrata* (Crinoidea, Echinodermata). Although
777 feather stars have different larval forms from starfish, I found that exogenous RA
778 treatment on doliolaria larvae induced metamorphosis, like in starfish. Furthermore,
779 blocking RA synthesis or binding to the RA receptor suppressed metamorphosis. These
780 results suggested that RA signaling functions as a regulator of metamorphosis in the
781 ancestor of echinoderms. My data provides insight into the evolution of the animal life
782 cycle from the viewpoint of RA signaling.

783

784

785 **Introduction**

786 The life cycle of many marine invertebrates includes a shift from swimming as a
787 planktonic larva with cilia to a benthic adult (Jägersten 1972). Various larval forms exist
788 in animals, including sponges, cnidarians, and various bilaterians; this has attracted the
789 interest of many zoologists to the origin of the larvae and evolution of the life cycle
790 (Jägersten 1972; Jackson *et al.* 2002; Degnan and Degnan 2010). The patterning
791 mechanism of the larval body is conserved in various animal groups, including
792 Protostomes, Deuterostomes, and Cnidaria, suggesting an older evolutionary origin of
793 planktonic larvae (Marlow *et al.* 2014; Darras *et al.* 2011; Marlow *et al.* 2013; Range *et*
794 *al.* 2013). Nevertheless, as Raff 2008 hypothesized that larval forms evolved multiple
795 times over the course of evolution, the evolution of the life cycle in the animal kingdom
796 is still controversial. Therefore, in addition to the morphological aspects, it is important
797 to understand the evolution of the regulatory mechanisms underlying the life cycles of
798 marine invertebrates.

799 The life cycle transition in jellyfish (Cnidaria) and starfish (Echinoderm) is
800 regulated by the conserved machinery of retinoic acid (RA) signaling (Fuchs *et al.* 2014;
801 Yamakawa *et al.* 2018). Planktonic larvae of many marine invertebrates settle on an
802 external substrate (settlement) and subsequently transit to a benthic adult phase
803 (metamorphosis) (Jackson *et al.* 2002). In jellyfish, the planula larvae settle on the
804 seafloor and commence the polyp stage; subsequently, environmental signals, including
805 cold temperatures, stimulate strobilation and the transition to ephyra stage (Fuchs *et al.*
806 2014). Fuchs *et al.* 2014 suggested that endogenous RA mediates the regulation of

807 strobilation after environmental signals are received. On the other hand, when the
808 competent starfish larvae settle on the external substrate using brachiolar arms, they
809 transition to the juvenile stage through metamorphic processes such as enlargement of the
810 juvenile rudiment (Murabe *et al.* 2007; Yamakawa *et al.* 2018). Yamakawa *et al.* 2018
811 suggested that, like in jellyfish, RA signaling mediates the regulation of metamorphosis
812 in starfish larvae after environmental cues are received. Although different types of
813 receptors for RA are used in each lineage (Fuchs *et al.* 2014; Yamakawa *et al.* 2018),
814 these findings suggest that the RA functions widely in the life cycles of marine
815 invertebrates. To demonstrate this idea, it is necessary to clarify the function of RA
816 signaling in various animal groups. Notably, RA signaling might not function in the
817 metamorphosis of marine annelids. Handberg-Thorsager *et al.* 2018 showed that RA
818 receptor functions as a low-affinity sensor triggering neural differentiation but did not
819 report a metamorphosis-regulating function in a study of trochophore and early
820 nectochaete larvae.

821 In the present study, I made an attempt to determine the ancestral function of
822 RA signaling in echinoderms. Echinoderms comprise five classes: the most basal
823 Crinoidea and their sister group, Eleutherozoa, consisting of Echinozoa (Echinoid and
824 Holothuria) and Asterozoa (Asterozoa and Ophiurozoa) (Telford *et al.* 2014). Notably, the
825 larval morphology and the machinery for settlement vary among echinoderm taxa (Hart
826 2002; Hyman 1955; McEdward 2001; Raff 2006); for example, planktotrophic pluteus
827 larvae of sea urchins and brittle stars settle to the sea bottom using tube feet, while in
828 crinoids, lecithotrophic doliolaria larvae settle using adhesive tufts. Furthermore, it

829 should be noted that the regulation of metamorphosis in sea urchins has been clarified in
830 relatively great detail (Heyland *et al.* 2018; Sutherby *et al.* 2012; Chino *et al.* 1994);
831 thyroid hormone and histamine signaling modulate larval growth and the acquisition of
832 competency. Although previous studies have suggested that nitric oxide signaling
833 negatively controls the post-settlement process and that the receipt of environmental cues
834 decreases nitric oxide synthesis to commence metamorphosis (Bishop and Brandhorst
835 2001; 2007), it has not been reported that RA signaling is involved in the regulation of
836 metamorphosis in sea urchins. Therefore, it is unclear whether metamorphosis in
837 echinoderm ancestors is regulated by RA signaling as in starfish.

838 Here, I investigated whether RA signaling regulates metamorphosis in the
839 feather star (Crinoidea), *Antedon serrata*. I treated doliolaria larvae of *A. serrata* with
840 exogenous RA, resulting in the induction of cystidean larvae. In contrast, metamorphosis
841 was suppressed by treatment with RA synthesis inhibitor and antagonist for RA receptors.
842 In conclusion, my study suggests that RA signaling functions as a regulator of
843 metamorphosis in the ancestor of echinoderms, providing insight into the evolution of the
844 animal life cycle from the viewpoint of RA signaling.

845

846 **Materials and Methods**

847

848 **1. Sampling and Culture of Larvae**

849 The adult specimens of *A. serrata* with fertilized eggs or embryos in their pinnular surface
850 were collected and provided by Dr. Hisanori Kohtsuka (Misaki Marine Biological Station,
851 School of Science, University of Tokyo) from Misaki (Miura, Kanagawa Prefecture,
852 Japan) and Onahama (Iwaki, Fukushima Prefecture, Japan). I incubated the adult
853 specimens in artificial sea water at 14 °C. For experiments, I used doliolaria larvae that
854 hatched from the pinnular surface of adults.

855

856 **2. Immunohistochemistry**

857 I fixed the larvae in 4% PFA in MOPS buffer and washed them with phosphate-buffered
858 saline (PBS) with 0.1% Tween 20 buffer (PBST). The fixed embryos were then labeled
859 with anti-acetylated tubulin antibody (Sigma, St. Louis, MO, USA) in a solution
860 containing 0.5% blocking reagent (Roche, Basel, Switzerland), followed by Alexa Fluor
861 555 goat anti-mouse IgG antibody (Thermo Fisher Scientific, Waltham, MA, USA).
862 Stained embryos were washed with PBST and then observed under a fluorescence
863 microscope.

864

865 **3. Reagent Treatments**

866 As described in the Method section of Chapter 2, I prepared the reagent *all-trans* RA
867 (Sigma-Aldrich, St Louis, CAS number: 302-79-4), N, N-diethylaminobenzaldehyde

868 (DEAB, Tokyo Chemical Industry, Tokyo, Japan, CAS number: 120-21-8) and RO41-
869 5253 (RO, Focus Biomolecules, Plymouth Meeting, PA, USA, CAS number: 144092-31-
870 9) in dimethyl sulfoxide (DMSO). I incubated the larvae in 2 mL of artificial seawater
871 containing 2 μ L of reagents or DMSO in 12-well plates at 14 °C. For the experiment
872 without a substrate, 10 larvae were incubated in one well. Natural sands from Misaki
873 (Miura, Kanagawa Prefecture, Japan) were used for the experiments conducted to induce
874 metamorphosis. In these experiments, a single larva was cultured in one well to identify
875 individuals. For cases in which reagent treatment continued for more than two days, I
876 changed the seawater with the same concentration of reagents every other day.

877 I evaluated the attachment of larvae to the external substrates by an adhesive
878 tuft as settlement and judged whether the larvae were metamorphosed by clear formation
879 of the calyx, stalk and adhesive plate. From these observations, the numbers of individuals
880 who settled and metamorphosed were counted. The rates of settlement and
881 metamorphosis were calculated by dividing by the number of treated larvae and the
882 number of settled larvae. I carried out experiments using two batches of larvae hatched
883 from different adults. In particular, experiments were conducted once to several times in
884 each batch.

885

886 **4. Statistical Analysis**

887 I examined the statistical analyses to evaluate differences in the effects of the treatments
888 of substrate or reagents on settlement or metamorphosis as described in the Method
889 section of Chapter 1.

890

891 **5. Construction of the Phylogenic Trees**

892 I obtained RA signaling-related genes (*raldh*, *rar*, and *rxr*) from the transcriptome data
893 assembled previously for the crinoid *Metacrinus rotundus* (Koga *et al.* 2016).

894 Reconstruction of phylogenic tree was conducted using same dataset which was described
895 in Chapter 2. The sequences for RA signaling-related genes of *M. rotundus* are shown in

896 Table 3-1.

897

898

899

900 **Results**

901 **1. Incubation with Natural Substrates Stimulated the Metamorphosis of *A. serrata***

902 Doliolaria larvae of *A. serrata* hatch from the pinnular surface of adult specimens and
903 swim in the water column using ciliary bands (Fig. 3-1A). As described in the same genus
904 species, *A. mediterranea* (Barbaglio *et al.* 2012), doliolaria larvae of *A. serrata*, have five
905 ciliary bands and an apical tuft that can be labeled by anti-acetylated tubulin antibody
906 (Fig. 3-1B,C). Within a few days after hatching, larval development reaches a plateau,
907 and larvae become competent for metamorphosis. Then, doliolaria larvae attach to a
908 substrate with adhesive tufts and transition to cystidean larvae through development of
909 calyx and adhesive plates, the elongation of stalks and the disappearance of ciliary bands
910 (Fig. 3-1A–I). This process begins immediately after settlement, but it takes
911 approximately two days for the stalk and other structures to be clearly observed. After
912 metamorphosis completion, cystidean larvae transit to pentacrinoid larvae by the
913 formation of tube feet, opening of the mouth and finally discard the stalk to become
914 juveniles and start free-swimming life.

915 Although the metamorphosis process of several species of crinoid is described
916 in detail (Barbaglio *et al.* 2012; Amemiya *et al.* 2016; Haig and Rouse 2008; Mladenov
917 and Chia 1983), how the larvae of crinoids determine the proper site for settlement is still
918 debated. Previously, it was reported that the larvae of feather star aggregate and settle to
919 the bottom of dishes in the laboratory (Mladenov and Chia 1983). On the other hand, just
920 as larvae of several species of feather stars respond to natural substrates such as fragments
921 of shell and coral (Pearce 1997), the reception of environmental cues would be required

922 for their settlement. Here, I examined whether larvae of *A. serrata* can respond to
923 environmental cues for metamorphosis by incubation of its doliolaria larvae with natural
924 sands from the habitat of adult specimens (Miura, Kanagawa Prefecture, Japan).

925 I reared competent doliolaria larvae of *A. serrata* with or without substrates for
926 six days and counted the number of individuals that metamorphosed during this period. I
927 found that approximately 30% of larvae metamorphosed to cystidean larvae in the
928 absence of substrates (17 of 60 larvae from two batches, Fig. 3-2A,C, Table 3-2). On the
929 other hand, the number of metamorphosed larvae doubled in the presence of substrate (34
930 of 60 larvae from two batches, Fig. 3-2B,C, Table 3-2). Larvae settled to the bottom of
931 the plates or substrates and normally metamorphosed to cystidean larvae through the
932 development of calyx, stalk and adhesive plates (Fig. 3-2A,B). Significant differences in
933 the metamorphosis ratios were observed between treatments ($P = 0.0423$, T-TEST). The
934 response to the substrate did not differ between the Misaki and Onahama samples, as the
935 metamorphosis ratio values were similar (Misaki: 13 of 20 larvae vs. Onahama: 21 of 40
936 larvae; Table 3-2), although a statistical analysis was not possible due to the small number
937 of samples. These data suggest that the presence of environmental cues stimulated the
938 commencement of metamorphosis.

939

940 **2. Exogenous RA Treatment Induced the Metamorphosis of *A. serrata***

941 Next, I investigated the role of RA signaling in the metamorphosis of *A. serrata*. RA
942 signaling plays a variety of developmental roles in chordates (Rhinn and Dolle 2012),
943 through the synthesis of RA by RALDH (retinal dehydrogenase) and its binding to

944 receptors such as RAR (retinoic acid receptor) and RXR (retinoid x receptor) to regulate
945 downstream gene expression (Rhinn and Dolle 2012; Marlétaz *et al.* 2006). Although I
946 could not conduct a genomic survey of *A. serrata* due to poor genomic information on
947 the species, I identified the RA signaling components in transcriptome data from the sea
948 lily *M. rotundus* (single genes: *raldh*, *rar*, and *rxr*; Figs 3-3, 3-4), suggesting that the RA
949 signaling machinery is conserved in the crinoid lineage.

950 First, I treated competent doliolaria larvae of *A. serrata* for four days, with
951 exogenous *all-trans* RA (0.1 or 1 μ M) without substrates (Fig. 3-1). I judged whether the
952 larvae were metamorphosed by clear formation of the calyx, stalk and adhesive plate. In
953 the control experiments (DMSO treatment), almost no larvae metamorphosed within four
954 days after treatment (3 of 60 larvae from two batches, Fig. 3-5A, Table 3-3), whereas
955 exogenous RA treatments induced the metamorphosis process, including the development
956 of calyx, stalk and adhesive plates (0.1, 1 μ M; 57, 59 of 60 larvae from two batches,
957 respectively; Fig. 3-5D,G, Table 3-3). Metamorphosis was induced within 24 h after
958 treatment and continued to proceed until 72–96 h after treatment so that the calyx and
959 stalk were gradually more clearly observed (Fig. 3-6). Spicules were observed in the calyx
960 and stalk of individuals in which metamorphosis was induced (Fig. 3-5D,E,G,H). The
961 time scales of development and morphogenesis after the induction of metamorphosis by
962 RA are similar to those of the transition of doliolaria larvae to cystidean larvae after
963 settlement during normal development (Fig. 3-1 and Fig. 3-5). Therefore, the
964 metamorphosis induced by RA without substrates was similar in structure and time scale
965 to the metamorphosis in normal development, suggesting that RA is an endogenous

966 regulator of metamorphosis.

967

968 **3. Endogenous RA Synthesis is Required for the Metamorphosis of *A. serrata***

969 To test whether the endogenous synthesis of RA is necessary for the metamorphosis
970 process in *A. serrata*, I examined RALDH inhibitor (DEAB) treatment and its effects on
971 metamorphosis. As shown above, natural sand from the habitat of adult specimens
972 stimulated metamorphosis (Fig. 3-2). Thus, I treated larvae with DEAB (300 μ M) in
973 seawater containing natural sand for six days and investigated its effect on settlement and
974 metamorphosis for up to six days after treatment. I evaluated attachment of larvae to the
975 external substrate by an adhesive tuft as settlement and judged whether the larvae were
976 metamorphosed by clear formation of the calyx, stalk, and adhesive plate.

977 In both the control (DMSO) and DEAB 300 μ M treatment, doliolaria larvae
978 showed specific behaviors before metamorphosis, such as crawling around the substrate.
979 Then, up to six days after treatment, I found that most of the larvae normally settled to
980 substrates (DMSO; 29 of 36 larvae from two batches, DEAB; 31 of 36 larvae from two
981 batches, Fig. 3-7A,B,D, Table 3-4). I did not detect any significant differences in effect
982 on the settlement between treatments ($P = 0.45$, T-TEST). However, although 62% of the
983 larvae metamorphosed into cystidean larvae in the DMSO control (18 of 29 larvae from
984 two batches, Fig. 3-7D, Table 3-4), only a few larvae metamorphosed in the presence of
985 DEAB treatment (2 of 31 larvae from two batches, Fig. 3-7D, Table 3-4). DEAB inhibited
986 metamorphosis significantly ($P < 0.001$, T-TEST). These data suggest that endogenous
987 RA synthesis did not affect settlement but was required to commence metamorphosis.

988

989 **4. RA Binding with RAR is Required for the Metamorphosis of *A. serrata***

990 In a typical RA signaling pathway, the reception of RA by RAR has been shown to be
991 essential for signal transduction (Rhinn and Dolle 2012; Gutierrez-Mazariegos *et al.*
992 2014). Therefore, I examined whether the reception of RA by RAR is necessary to
993 commence metamorphosis. During the above experiment, I also treated larvae for six
994 days with the RAR α antagonist, RO41-5253 (RO), which was used in the previous work
995 with starfish (Yamakawa *et al.* 2018). As in the DMSO or DEAB treatment, larvae treated
996 with RO 1 μ M also showed specific behavior before metamorphosis, and most of them
997 settled on the substrate (28 of 36 larvae from two batches, Fig. 3-7C,D, Table 3-4). There
998 was no statistically significant difference in the effects on settlements between RO
999 treatment and control ($P = 0.308$, T-TEST). However, in the RO treatment, only a very
1000 small number of settled larvae were able to metamorphose (6 of 28 larvae from two
1001 batches, Fig. 3-7C, D, Table 3-4). Significant differences in the metamorphosis ratios
1002 were observed between treatments ($P < 0.001$, T-TEST).

1003 I also investigated whether RO treatment suppressed the induction of
1004 metamorphosis by RA treatment. As shown above, I found that treatment with 0.1 μ M
1005 exogenous RA induced the metamorphosis of doliolaria larvae 72 h after treatment (16 of
1006 16 larvae from two batches, Fig. 3-8A, Table 3-5). Conversely, treatment of larvae with
1007 0.1 μ M RA plus 1 μ M RO did not induce metamorphosis in most larvae (2 of 16 larvae
1008 from two batches, Fig. 3-8B, Table 3-5). Although a statistical analysis was not possible
1009 due to the small number of samples in this experiment, the presence of RO suppressed

1010 metamorphosis. The effect of exogenous RA treatment on metamorphosis was examined
1011 96 h after the treatment (Fig. 3-5). Although the effects of exogenous RA, DEAB and RO
1012 treatments on metamorphosis were examined up to 96 h after the treatment, the RA + RO
1013 treatment had a fatal effect on larvae at 96 h after treatment, as the larval body swelled.
1014 Thus, the effect on metamorphosis was examined 72 h after RA + RO treatment.
1015 Nonetheless, my experimental data suggest that RA binding to RAR is required for the
1016 metamorphosis of *A. serrata*.
1017

1018 **Discussion**

1019 **1. Metamorphosis Regulation by RA Signaling in the Ancestor of Living**
1020 **Echinoderms**

1021 In this study, I hypothesized that RA signaling mediates the metamorphosis process,
1022 including development of stalk and calyx, once environmental cues are received in feather
1023 stars (Fig. 3-9). Although my idea is supported by interfering with RA signaling at the
1024 levels of RA synthesis and RAR-activation (Rhinn and Dolle 2012), I recognize that my
1025 conclusion will become more robust after future studies, including testing if all trans-
1026 retinaldehyde, the RA precursor molecule, or other forms of RA, are able to promote
1027 metamorphosis. I also should determine if RA signaling is activated after settlement
1028 through a quantitative polymerase chain reaction analysis of downstream genes.

1029 In addition, the disappearance of ciliary bands was independent of RA signaling,
1030 as the ciliary bands did not disappear in larvae in which metamorphosis was induced by
1031 exogenous RA treatment (Fig. 3-5). Therefore, other regulatory components must be
1032 investigated to understand the comprehensive regulatory mechanism of the
1033 metamorphosis of feather stars.

1034 My findings support that metamorphosis was RA-dependent in the ancestors of
1035 extant echinoderms. Crinoids (feather star and stalked sea lily) are the most basal group
1036 of extant echinoderms, forming a sister group with Eleutherozoa including other
1037 echinoderm taxa (Telford *et al.* 2014). Both the feather star and stalked sea lily develop
1038 doliolaria-type larvae before settlement (Amemiya *et al.* 2015; Nakano *et al.* 2003),
1039 although it should be noted that the stalked sea lily develops semidoliolaria stages but not

1040 a full doliolaria stage (Amemiya *et al.* 2015). Thus, it is hypothesized that the ancestors
1041 of crinoids had a life cycle in which the doliolaria-type larvae metamorphosed into the
1042 cystidean larvae (Nakano *et al.* 2003). Namely, as shown in feather stars, it is suggested
1043 that metamorphosis is regulated by RA in the ancestor of crinoids. In addition, among the
1044 lineages of Eleutherozoa, I previously reported that the metamorphosis of starfish is
1045 regulated by RA signaling (Yamakawa *et al.* 2018). In both feather stars and starfish, RA
1046 signaling mediates the process of metamorphosis after receiving an environmental signal
1047 at settlement, suggesting that the developmental role of RA signaling is evolutionarily
1048 conserved. These findings support an ancient origin of RA-dependent metamorphosis
1049 during echinoderm evolution.

1050 Although echinoderms have evolved various larval morphologies in each
1051 lineage (McEdward 2001), the metamorphosis regulatory mechanisms might be
1052 evolutionarily conserved, as in feather star and starfish. In this context, I should especially
1053 focus on metamorphosis regulation in sea urchins, which acquired larval skeletons and
1054 evolved a pluteus larval form (McEdward 2001). The metamorphosis regulation in the
1055 sea urchin has been clarified in comparatively high detail (Heyland *et al.* 2018; Sutherby
1056 *et al.* 2012). Generally, thyroid hormone and histamine signaling modulate larval growth
1057 and competency acquisition, and nitric oxide signaling negatively controls the
1058 postsettlement process (Heyland *et al.* 2018; Sutherby *et al.* 2012). Despite the above
1059 findings, it has not been reported that RA signaling is involved in the metamorphosis
1060 regulation of sea urchin. In parallel with the investigations of metamorphosis regulaton,
1061 genomic survey revealed that the typical RALDH (Aldh1a family) genes are absent in the

1062 genome of sea urchin (Cañestro *et al.* 2006).

1063 Note that the above information does not necessarily indicate that the
1064 metamorphosis of sea urchin is independent of RA signaling. Rather, RA signaling is
1065 expected to be functional even in sea urchin because other RA signaling components such
1066 as RAR and RXR were identified (Cañestro *et al.* 2006). Furthermore, *Aldh8* gene, which
1067 has the potential to synthesize RA, was also found in the genomic data of sea urchin,
1068 suggesting that RA signaling works in sea urchin without typical *RALDH* genes (Albalat
1069 2009). Therefore, to deepen our understanding of the evolution of metamorphosis
1070 regulation in echinoderms, I suggest that it is important to investigate the role of RA
1071 signaling in the metamorphosis of sea urchins.

1072 Finally, it would be interesting to know if RA signaling regulates the
1073 metamorphosis in sea cucumbers, which show gradual metamorphosis and a secondary
1074 bilateral axis (Smirnov 2014), as well as in sea urchins. By studying the function of RA
1075 signaling in various echinoderms, we can better understand the evolution of the
1076 echinoderm life cycle.

1077

1078 **2. Life Cycle Evolution from the Viewpoint of RA Signaling**

1079 The evolution of planktonic larvae in marine invertebrates has attracted great interest
1080 from many zoologists (Jägersten 1972; Degnan and Degnan 2010). It has been
1081 hypothesized that the common ancestor of cnidarians and bilaterians had planktonic
1082 larvae based on the formation mechanism of an apical organ and body patterning (Marlow
1083 *et al.* 2014; Darras *et al.* 2011; Marlow *et al.* 2013; Range *et al.* 2013). Furthermore,

1084 endogenous RA is reported to mediate strobilation and metamorphosis in jellyfish and
1085 starfish, respectively, once environmental cues are received (Fuchs *et al.* 2014;
1086 Yamakawa *et al.* 2018). My study also suggests that metamorphosis is regulated by RA
1087 signaling in echinoderm ancestors. Based on these findings, I hypothesized that RA has
1088 the function of transiting the life cycle in the common ancestor of cnidarians and
1089 bilaterians, suggesting that such functions have been co-opted to regulate strobilation and
1090 metamorphosis in cnidarians and echinoderms, respectively.

1091 Further studies are required to reveal which processes RA regulates in the life
1092 cycle of the common ancestor. Although the life cycle evolution of cnidarians remains
1093 controversial, recent molecular phylogenetic analyses support the polyp-first hypothesis,
1094 suggesting that the jellyfish stage is a derived feature in the lineage of cnidarians (Kayal
1095 *et al.* 2018). Thus, it is important to learn the ancestral function of RA signaling in
1096 cnidarians. In particular, it is of interest to investigate whether RA regulates the transition
1097 process of planktonic planula larva to sessile polyps in the ancestor of cnidarians, as in
1098 echinoderms. Previous studies with exogenous RA treatment provided insights into such
1099 functions. For example, Pennati *et al.* 2013 examined RA treatment in the planula larvae
1100 of the hydrozoan *Clava multicornis* and reported influence on the anterior-posterior
1101 positioning of peptidergic neurons but not on the induction of polyp. Nevertheless, the
1102 RA signaling machinery is lacking in the anthozoan and several lineages of hydrozoans,
1103 as no RXR genes have been identified in their genomic data (Fuchs *et al.* 2014). Because
1104 it is unclear whether *C. multicornis* has the RXR gene due to limited genetic information,
1105 it is difficult to reveal the ancestral function of RA in cnidarians through investigations

1106 without genomic surveys. I suggest that future studies should re-examine the function of
1107 RA with species with the RXR gene.

1108

1109 **3. Insight into the Ancestral Function of RA Signaling**

1110 Although my data illuminate the ancestral function of RA signaling in echinoderms as a
1111 regulator of life cycle transition, its validity throughout the animal kingdom still requires
1112 further assessments. In particular, the following two points should be noted. The first is a
1113 study by Handberg-Thorsager *et al.* 2018 using the marine annelid *Platynereis dumerilii*.
1114 This study clarified the detailed biochemical features of RA signaling and its
1115 developmental role in neurogenesis in *P. dumerilii*, suggesting that RAR ancestrally
1116 functions as a low-affinity sensor triggering neural differentiation (Handberg-Thorsager
1117 *et al.* 2018). This work reported no function in life cycle transition, although such a
1118 function might not be captured in their framework, which focused on the neurogenesis of
1119 embryos and the early nectochaete larval stage (Handberg-Thorsager *et al.* 2018). Namely,
1120 in *P. dumerilii*, it is reported that the late nectochaete larvae settle on external substrates
1121 and commence “settlement metamorphosis” to transition to the errant juvenile stage
1122 (Fischer *et al.* 2010). Therefore, I suggest that future studies should focus on the function
1123 of RA signaling in later stages, such as the late nectochaete larval stage or phase after
1124 settlement.

1125 Second, in invertebrates of deuterostomes other than echinoderms, the
1126 regulation of metamorphosis by RA has not been reported. In particular, ascidians have a
1127 life cycle similar to that of many marine invertebrates, in which swimming larvae settle

1128 to the bottom and begin sessile life (Cloney 1982). Furthermore, their metamorphosis
1129 regulatory mechanism has been clarified in detail (Karaïskou *et al.* 2015), although there
1130 are no reports that RA signaling functions as a regulator of metamorphosis control.
1131 Instead, it has been suggested that RA signaling functions conservatively with other
1132 chordates, such as in the regulation of Hox gene expression (Marlétaz *et al.* 2006). In this
1133 context, it is important to determine whether metamorphosis was regulated by RA in the
1134 ancestor of the deuterostomes. In particular, we should investigate the role of RA
1135 signaling in hemichordates, a sister group of echinoderms. Although the life cycle of
1136 hemichordates is similar to that of echinoderms, where planktonic tornaria larvae
1137 metamorphose to juveniles after settlement (Röttinger and Lowe 2012), it is unclear
1138 whether their metamorphosis is regulated by RA signaling.

1139 As described above, my study showed that we can approach the origin of larvae
1140 and the life cycle evolution from the viewpoint of life cycle regulation. Further research
1141 on various animal groups should lead to a comprehensive understanding of life cycle
1142 evolution.

1143

1144 **General Discussion**

1145 My findings shed the light into the evolution of regulatory mechanism for life cycle
1146 transition in animal kingdom. Molecular mechanisms for metamorphosis in amphibians
1147 and insects have been investigated in detail (Laudet 2011; Buszczak and Segraves 1998;
1148 Buszczak and Segraves 2000; Thummel 1995; Brown and Cai 2007). In both taxa,
1149 metamorphosis is regulated by hormones received by receptors (TH for amphibians and
1150 an ecdysone receptor for insects), which make a heterodimer with RXR. A recent study
1151 by Fuchs 2014 indicated that RXR is also involved in cnidarian life-cycle transition.
1152 Exogenous treatment with RA was shown to induce the metamorphic process of
1153 strobilation (Fuchs *et al.* 2014). Here, I present evidence that RA signaling is also
1154 involved in starfish and feather star metamorphosis. This provides additional evidence
1155 that components of RA signaling are conserved in the metamorphosis. However,
1156 amphibians and insects use different hormones for signaling, and RXR makes
1157 heterodimers with different counterparts accordingly (Laudet 2011; Buszczak and
1158 Segraves 1998; Buszczak and Segraves 2000; Thummel 1995; Brown and Cai 2007).
1159 Even in cnidarians, RXR is considered to make a heterodimer with different counterparts
1160 from amphibians, insects and echinoderms (Fuchs *et al.* 2014). Therefore, it is suggested
1161 that the gene function of RXR for life cycle regulation is highly conserved while its
1162 partner and ligand changed during evolutionary process. This hypothesis will be tested
1163 by further biochemical investigation of RA signaling machinery in echinoderms. For
1164 example, it needs to be revealed if RAR/RXR heterodimer is required for the
1165 metamorphosis. Moreover, this hypothesis should be tested by the investigation of

1166 metamorphosis in various taxa, such as sea urchins, annelids and molluscs.

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Tables

Table 2-1 Accession numbers for the genes used for phylogenic analysis

Species	gene	accession number	gene	accession number
Hs; <i>Homo sapiens</i>	<i>aldh1a1</i>	P00352.2	<i>thra</i>	P10827
	<i>aldh1a2</i>	O94788	<i>thrb</i>	P10828
	<i>aldh1a3</i>	P47895	<i>rara</i>	P10276
	<i>aldh1b1</i>	P30837	<i>rarb</i>	P10826
	<i>aldh2</i>	P05091	<i>rarg</i>	P13631
	<i>aldh3a1</i>	P30838	<i>rxra</i>	P19793
	<i>aldh3a2</i>	P51648	<i>rxrb</i>	P28702
	<i>aldh3b1</i>	P43353	<i>rxrg</i>	P48443
	<i>aldh3b2</i>	P48448		
	<i>aldh4a1</i>	P30038		
	<i>aldh5a1</i>	P51649		
	<i>aldh6a1</i>	Q02252		
	<i>aldh7a1</i>	P49419		
	<i>aldh8a1</i>	Q9H2A2		
<i>aldh9a1</i>	P49189			
Mm; <i>Mus musculus</i>			<i>thra</i>	P63058
			<i>thrb</i>	P37242
			<i>rara</i>	P11416
			<i>rarb</i>	P22605
			<i>rarg</i>	P18911
			<i>rxra</i>	P28700
			<i>rxrb</i>	P28704
			<i>rxrg</i>	P37238

Xt; *Xenopus*

tropicalis

aldh1a1 Q4VBE1

aldh1a2 Q9DEX5

aldh1a3 F7BV06

aldh1b1 F7DQF8

aldh2 Q6DJ49

aldh3a2 B1WBI3

aldh3b2 F6X8Y6

aldh4a1 A4QNJ0

aldh5a1 F6QFQ2

aldh6a1 F6SRL8

aldh7a1 F7BQF6

aldh8a1 F6UH88

aldh9a1 F6VC33

Dr; *Danio rerio*

aldh1a2 Q90XS8 *thraa* Q98867

aldh1a3 Q0H2G3 *thrab* U3JAT9

aldh2a Q8QGQ2 *thrb* Q9PVE4

aldh2b Q6TH48 *raraa* Q90271

aldh3a1 X1WBM4 *rarab* Q7ZTI3

aldh3a2a A0A2R8PW97

aldh3a2b E9QH31 *rarga* Q91392

aldh3b1 Q90ZZ7 *rargb* A2T928

aldh4a1 Q7SY23

aldh5a1 A0A0R4IIB7

aldh6a1 Q6DHT4

aldh7a1 Q803R9

aldh8a1 Q66I21

	<i>aldh9a1a1</i>	Q7ZVB2		
	<i>aldh9a1a2</i>	B0S7W5		
	<i>aldh9a1b</i>	Q802W2		
Bf; <i>Branchiostoma</i>				
<i>floridae</i>	<i>aldh1a_1</i>	C3ZGK4	<i>rxr</i>	Q8MX78
	<i>aldh1a_2</i>	C3ZG63		
Bl; <i>Branchiostoma</i>				
<i>lanceolatum</i>			<i>rar</i>	O18608
Ci; <i>Ciona</i>				
<i>intestinalis</i>	<i>aldh1a_1</i>	A0A1W2WB51	<i>rar</i>	Q4H2W1
	<i>aldh1a_2</i>	A0A1W5BCT1	<i>rxr</i>	Q4H2U9
	<i>aldh1a_3</i>	A0A1W2WDC1		
	<i>aldh2</i>	A0A1W5B7N8		
Pm;				
<i>Polyandrocarpa</i>			<i>rxr</i>	K7ZLP3
<i>misakiensis</i>				
Sk; <i>Saccoglossus</i>				
<i>kowalevskii</i>	<i>aldh1a_1</i>	XP_006823779.1	<i>rar</i>	XP_002742241.1
	<i>aldh1a_2</i>	XP_006822197.1	<i>rxr</i>	D2XNK4
	<i>aldh1a_3</i>	XP_002736989.1		
	<i>aldh1a_4</i>	XP_002731204.1		
	<i>aldh1a_5</i>	XP_006824634.1		
	<i>aldh2</i>	XP_006816163.1		
Sp;				
<i>Strongylocentrotus</i>	<i>aldh2_1</i>	SPU_007284	<i>thr</i>	SPU_025239
<i>purpuratus</i>				
	<i>aldh2_2</i>	SPU_023801	<i>rar</i>	SPU_016523

	<i>aldh5a1_1</i>	SPU_007492.1	<i>rxr</i>	SPU_028422
	<i>aldh5a1_2</i>	SPU_016767.1		
	<i>aldh6a1</i>	SPU_026493.1		
	<i>aldh7</i>	SPU_024895.3a		
	<i>aldh8a1_1</i>	SPU_017403.1		
	<i>aldh8a_2</i>	SPU_000522.1		
	<i>aldh9</i>	SPU_002901.3a		
Pp; <i>Patiria</i>				
<i>pectinifera</i>	<i>raldha</i>	LC379260	<i>rar</i>	LC379258
	<i>raldhb</i>	LC379261	<i>rxr</i>	LC379259
	<i>raldhc</i>	LC379262	<i>thr</i>	*
	<i>aldh2</i>	*		
Al; <i>Astropecten</i>				
<i>latespinosus</i>	<i>raldha</i>	LC485972	<i>rar</i>	LC485975
	<i>raldhb</i>	LC485973	<i>rxr</i>	LC485976
	<i>raldhc</i>	LC485974		
Dm; <i>Drosophila</i>				
<i>melanogaster</i>			<i>usp</i>	P20153
Rc; <i>Reishia</i>				
<i>clavigera</i>			<i>rar</i>	T2HRZ4
			<i>rxr</i>	E9RHD8
Ls; <i>Lymnaea</i>				
<i>stagnalis</i>			<i>rar</i>	D5LIR6
			<i>rxr</i>	Q5I7G2
Tc; <i>Tripedalia</i>				
<i>cystophora</i>			<i>rxr</i>	O96562

Table 2-2 Sequences of primer for amplification of *raldha*, *raldhb*, *raldhc*, *rar*, and *rxr*
 We used 40-bp reverse primers including a 20-bp T3 promoter sequence to synthesize
 Dig-labelled RNA probes for *in situ* hybridization. Capital characters mean consensus
 sequence for T3 promoter.

	Forward Primer (5'→3')	Reverse Primer including T3 promoter region (5'→3')
<i>raldha</i>	gcaaccgatcgcttcagaaggcacacatt	ATTAACCCTCACTAAAGGGAgcagaaaccacgtctgtat
<i>raldhb</i>	accatcaatccggcaactgggagaagata	ATTAACCCTCACTAAAGGGAatattcataaacgcacaca
<i>raldhc</i>	gacggtgattctctgctactcccgtac	ATTAACCCTCACTAAAGGGAtagtgttgaccagatcac
<i>rar</i>	gcgttacaccaaggccaacaacatgtcc	ATTAACCCTCACTAAAGGGAatcatggcatgaagtgtga
<i>rxr</i>	gtaaaggcggcattctcctgaccagtgtct	ATTAACCCTCACTAAAGGGAatcagctgaagaagaagag

Table 2-3 Number of metamorphosed/treated larvae of each batch under seawater with and without substrate

number of metamorphosed / treated larvae										
	Substrate (+)					Substrate (-)				
age	batch 1		batch 2		batch 3	batch 1		batch 2		batch 3
well	1	2	1	2	1	1	2	1	2	1
48hpf	0/10	0/10	0/10	0/10	0/10	0/10	0/10	0/10	0/10	0/10
72hpf	2/10	3/10	0/10	1/10	1/10	0/10	0/10	0/10	0/10	0/10
96hpf	8/10	8/10	7/10	8/10	4/10	0/10	1/10	2/10	1/10	1/10
120hpf	8/10	8/10	7/10	8/10	4/10	2/10	2/10	2/10	3/10	2/10
144hpf	8/10	8/10	8/10	8/10	4/10	3/10	2/10	6/10	4/10	4/10
168hpf	8/10	8/10	8/10	8/10	4/10	3/10	4/10	6/10	4/10	4/10
192hpf	8/10	8/10	8/10	8/10	4/10	3/10	4/10	6/10	4/10	4/10

Table 2-4 Number of metamorphosed larvae/treated larvae of each batch in retinoic acid (RA) or dimethyl sulfoxide (DMSO) treatment

number of metamorphosed / treated larvae				
treatment	batch 1		batch 2	batch 3
well	1	2	1	1
RA	8/10	8/10	7/10	9/10
DMSO	1/10	0/10	0/10	0/10

Table 2-5 Number of metamorphosed larvae/treated larvae of each batch in retinoic acid (RA) or dimethyl sulfoxide (DMSO) treatment in the case that treatment was commenced at 24 hours postfertilization (hpf)

number of metamorphosed / treated larvae						
treatment	RA			DMSO		
age	batch 1	batch 2	batch 3	batch 1	batch 2	batch 3
well	1	1	1	1	1	1
48hpf	0/10	0/10	0/10	0/10	0/10	0/10
72hpf	0/10	1/10	2/10	0/10	0/10	0/10
96hpf	3/10	6/10	6/10	0/10	0/10	1/10

Table 2-6 Number of metamorphosed larvae/treated larvae of each batch in retinoic acid (RA) or dimethyl sulfoxide (DMSO) treatment in the case that treatment was commenced at 48 hours post-fertilization (hpf)

number of metamorphosed / treated larvae									
age	RA			DMSO					
treatment	batch 1		batch 2	batch 3		batch 1		batch 2	batch 3
well	1	2	1	1	1	2	1	1	
72hpf	1/10	0/10	0/10	1/10	0/10	0/10	0/10	0/10	0/10
96hpf	7/10	2/10	5/10	5/10	0/10	0/10	0/10	0/10	0/10

Table 2-7 Number of metamorphosed larvae/treated larvae of each batch in DEAB or dimethyl sulfoxide (DMSO) treatment

number of metamorphosed / treated larvae				
treatment	batch 1		batch 2	batch 3
well	1	2	1	1
DEAB	0/10	4/10	2/10	0/10
DMSO	6/10	9/10	8/10	3/10

Table 2-8 Number of metamorphosed larvae/treated larvae of each batch in RO41-5253 (RO) or dimethyl sulfoxide (DMSO) treatment

number of metamorphosed / treated larvae				
treatment	batch 1		batch 2	batch 3
well	1	2	1	1
RO	0/10	0/10	0/10	0/10
DMSO	8/10	8/10	9/10	2/10

Table 2-9 Number of metamorphosed larvae/treated larvae of each batch in retinoic acid (RA) or retinoic acid plus RO41-5253 (RA1RO) treatment

number of metamorphosed / treated larvae				
treatment	batch 1		batch 2	batch 3
well	1	2	1	1
RA	8/10	8/10	9/10	6/10
RA+RO	1/10	2/10	1/10	1/10

Table 3-1 The sequences of *raldha*, *rar* and *rxr* retrieved from the sea lily *Metacrinus rotundus* for phylogenetic analysis

Mr MNLQLVFSRPFLLSVNRGFFVHSYSMSQLNSAPEVKFTQLFINNEFVNSVSGKTFPTLNPCTGEKICDVQEGDKA
R DVDLAVKAAREAFKLGSPWRRLDPTKRAKHMTKLAELLEQNKDQLSALETLDNGMPYFESQMWVDSFVNTLT
AL YFAGWCDKVVHGKTIPIIDGYFCYTKHEPVGVCGAIPWNYPMMLGWKVPALACGNTMVIKPAEQTPLTALH
D IASLIKEAGFPPGVINIIPGYGPTAGAAISEHMDVDKVAFTGSTEVGRLIQQAAGKSNLKRVALELGGKSPNIVFAD
H SDLDFAVDEAHEAVMCNEGQCCSAGSRTFVQEGYDEFVKKSIEMAKARVIGDPYVEGTQSGPQIDEEQFTKVL
A EKIKSGKNEGATLGCGRHGDGKGFLESTVFSVDSEMSIAQEEIFGPVQVILKFKTIEEVIERAHKTHYGLAGA
VFTKDIDTAMTVAHSLSAGTVWVNCYNVGGPQTPFGGYKQSGVGRDLGEDSLKEYEYVKTVIKVPQKNS
M FTNGLTLSHEQLKSGGFGALLDTIFQFAGALSKMKIDETEVSLLGAICLISSDRGLKDPIKIEKMQEPLLEGLRYY
rR VRKRRPLEPHIFAKILMKITD
A
R
M IAAFSHRSIAVTDGILLATGLHVHRNSAHTAGVGTIFDRVLTELVAKMREMRMDKTELGCLRAIVLFPDAKNLT
rR SVQKVEELREKVYASLEEYCRTQYPEESGRFAKLLRLPALRSIGLKCLEHLFFFKLIGDTPIDTFLMEMLEAPNN
X s
R

Table 3-2 Number of metamorphosed/treated larvae of each batch in substrate treatment experiment

	Substrate (+)		Substrate (-)	
	number	metamorphosis	number	metamorphosis
batch 1-1	10	8	10	1
batch 1-2	10	5	10	0
batch 2-1	10	8	10	2
batch 2-2	10	4	10	4
batch 2-3	10	4	10	4
batch 2-4	10	5	10	6
Total	60	34	60	17

Table 3-3: Number of metamorphosed/treated larvae of each batch in RA treatment experiment

	DMSO		RA 0.1 μ M		RA 1 μ M	
	number	metamorphosis	number	metamorphosis	number	metamorphosis
batch 1	20	2	20	20	20	20
batch 2-1	10	0	10	9	10	10
batch 2-2	10	0	10	8	10	10
batch 2-3	10	0	10	10	10	9
batch 2-4	10	1	10	10	10	10
Total	60	3	60	57	60	59

Table 3-4: Number of settled or metamorphosed/treated larvae of each batch in DEAB or RO treatment experiment

	DMS O			DEA B			RO		
	treat ment	settle ment	metamor phosis	treat ment	settle ment	metamor phosis	treat ment	settle ment	metamor phosis
Bat ch 1	12	8	5	12	12	1	12	9	2
Bat ch 2-1	12	11	7	12	9	1	12	10	4
Bat ch 2-2	12	10	6	12	10	0	12	9	0
Tot al	36	29	18	36	31	2	36	28	6

Table 3-5: Number of metamorphosed/treated larvae of each batch in RA+RO treatment experiment

	RA 0.1 μ M		RA 0.1 μ M + RO 1 μ M	
	number	metamorphosis	number	metamorphosis
batch 1	10	10	10	0
batch 2	6	6	6	2
Total	16	16	16	2

Figures

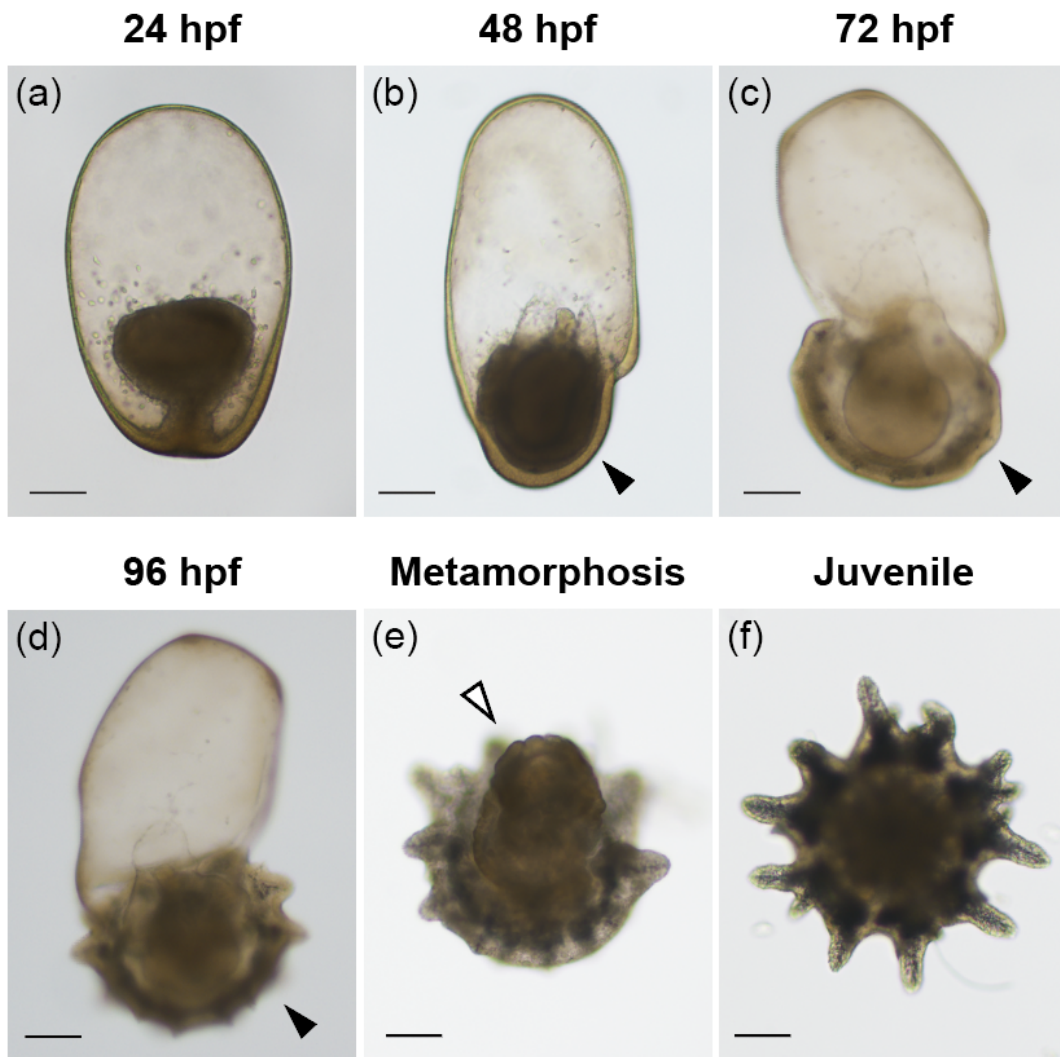


Fig. 2-1 Developmental process of *Astropecten latespinosus*

(a–d) Larvae at 24, 48, 72, and 96 hours postfertilization (hpf), respectively. After the larvae commence metamorphosis, the juvenile rudiments develop; the larval bodies are absorbed, as in (e); and the larvae finally transition to juveniles (f). The black arrowheads indicate the juvenile rudiment, and the white arrowhead indicates the absorbed larval body. Scale bars: 100 μ m.

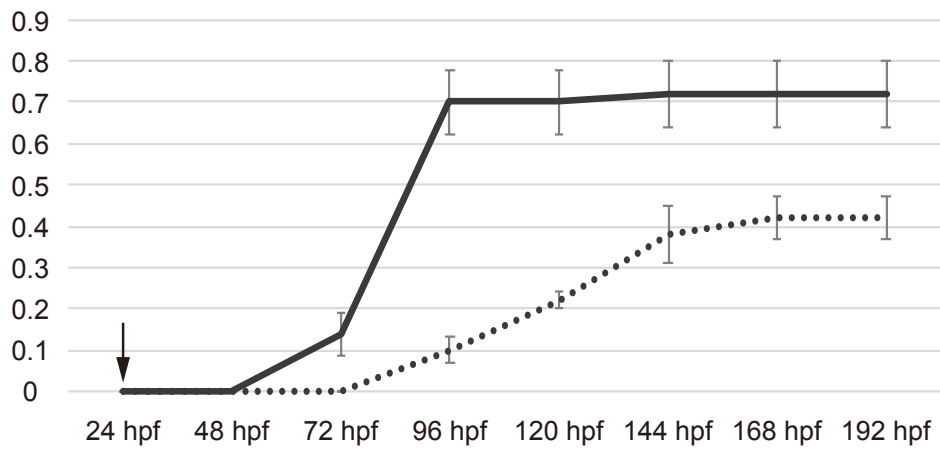


Fig. 2-2 Effect of culture with natural sand from habitat on metamorphosis

Ten *Astropecten latespinosus* larvae were incubated with natural sand in 2 mL of artificial seawater (Marin-Tech, Aichi, Japan) in 12-well plates at 22 °C. Metamorphosis ratios were recorded every 24 hours after treatment. The solid and dotted lines indicate the ratios under incubation of larvae with and without natural sand from their habitat, respectively. The arrow indicates the time of treatment commencement. hpf, hours post-fertilization.

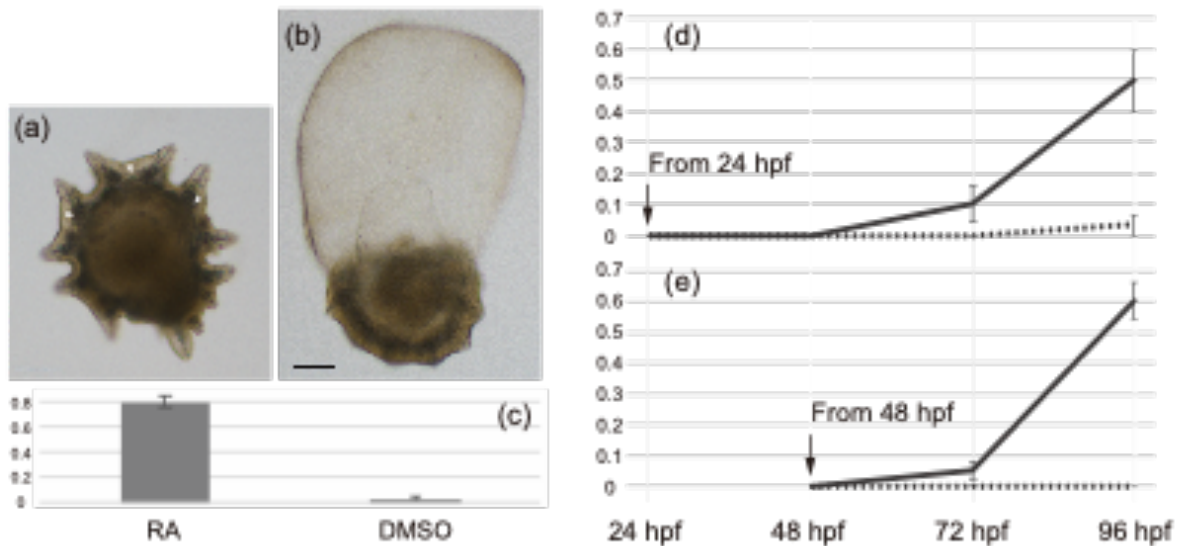


Fig. 2-3 Metamorphosis induction by exogenous retinoic acid (RA) treatment in *Astropecten latespinosus*

Reagent treatment experiments were conducted as in our previous work (11). (a) Metamorphosis induced by RA. (b) Control larvae treated with dimethyl sulfoxide (DMSO). (c) Metamorphosis induction ratios under the RA and DMSO treatments obtained 24 hours after treatment. The scale bars indicate 100 μ m, and the arrows indicate the primary podia. (d, e) Metamorphosis induction ratios under the RA (solid lines) and DMSO (dotted lines) treatments obtained every 24 hours after the commencement of treatment of 24- and 48-hours post-fertilization (hpf) larvae, respectively.

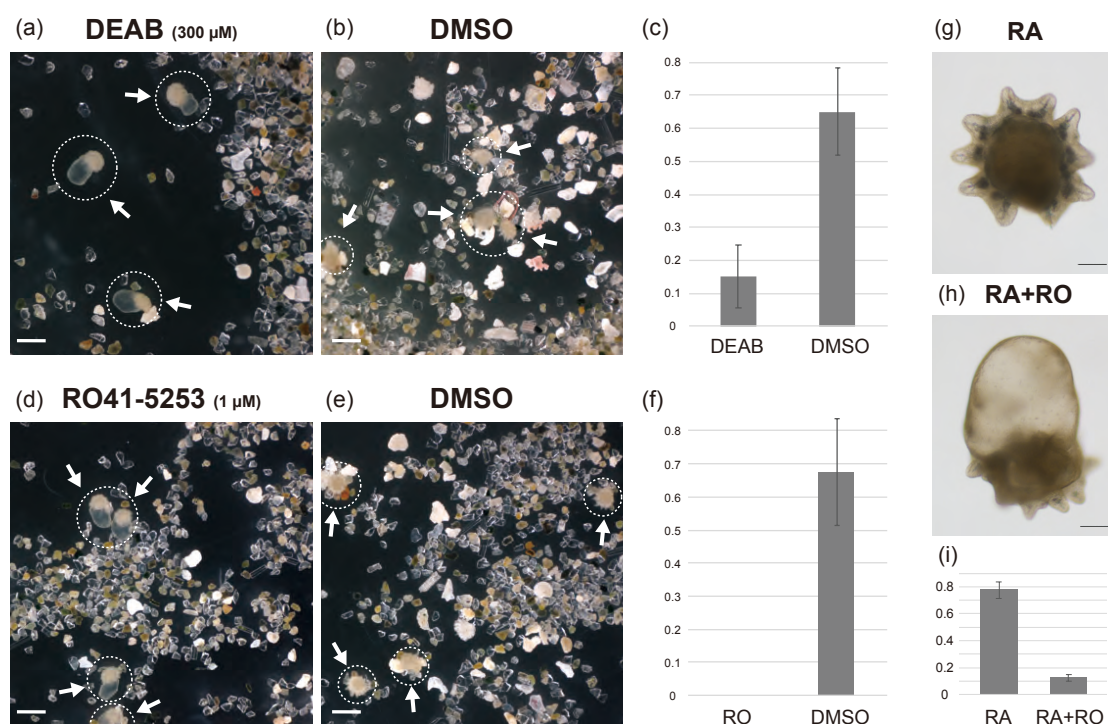


Fig. 2-4 Effect of inhibition of retinoic acid (RA) synthesis or RA binding to retinoic acid receptor (RAR) on metamorphosis.

(a) *Astropecten latespinosus* larvae in which metamorphosis was inhibited by N,N-diethylaminobenzaldehyde (DEAB) (300 mmol /L) treatment. Under the dimethyl sulfoxide (DMSO) treatment, larvae correctly completed metamorphosis (b). (c) Metamorphosis ratios under the DEAB (300 mmol /L) and DMSO treatments. (d, e) Metamorphosis-inhibited larvae and completed juveniles under the RO41-5253 (RO; 1 mmol /L) and DMSO treatments, respectively. (f) Metamorphosis ratios under the RO (1 mmol /L) and DMSO treatments. Larvae treated with RA (1 mmol /L) (g) and RA (1

mmol /L) plus RO (1 mmol /L) (h). (i) Metamorphosis ratios. The dotted circles and arrows indicate larvae and juveniles, respectively. The scale bars in (a–e) and (g, h) indicate 500 and 100 mm, respectively

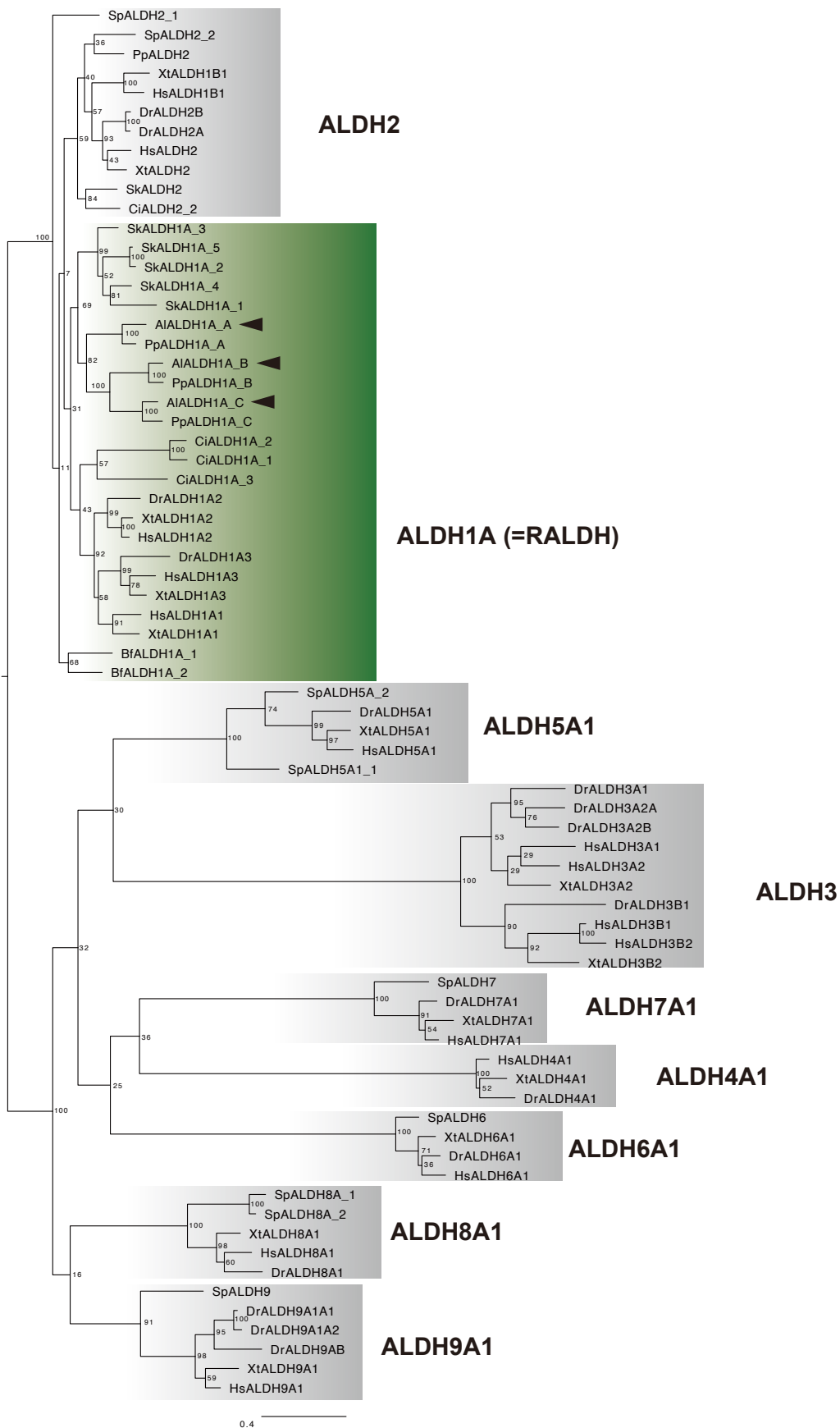


Fig. 2-5 Maximum likelihood tree of the aldehyde dehydrogenase (ALDH) family

We constructed the tree of the aldehyde dehydrogenase (aldh) gene family to identify *raldh* (aldh1a) genes in *Astropecten latespinosus*. In these trees, the phylogeny was not clearly dissolved in the clade including *raldh*, aldh1b, and aldh2, although we found that *raldh* genes of starfish (*A. latespinosus* and *Patiria pectinifera*) made a clade with *raldh* genes of a hemicordate previously identified with comparatively high support value.

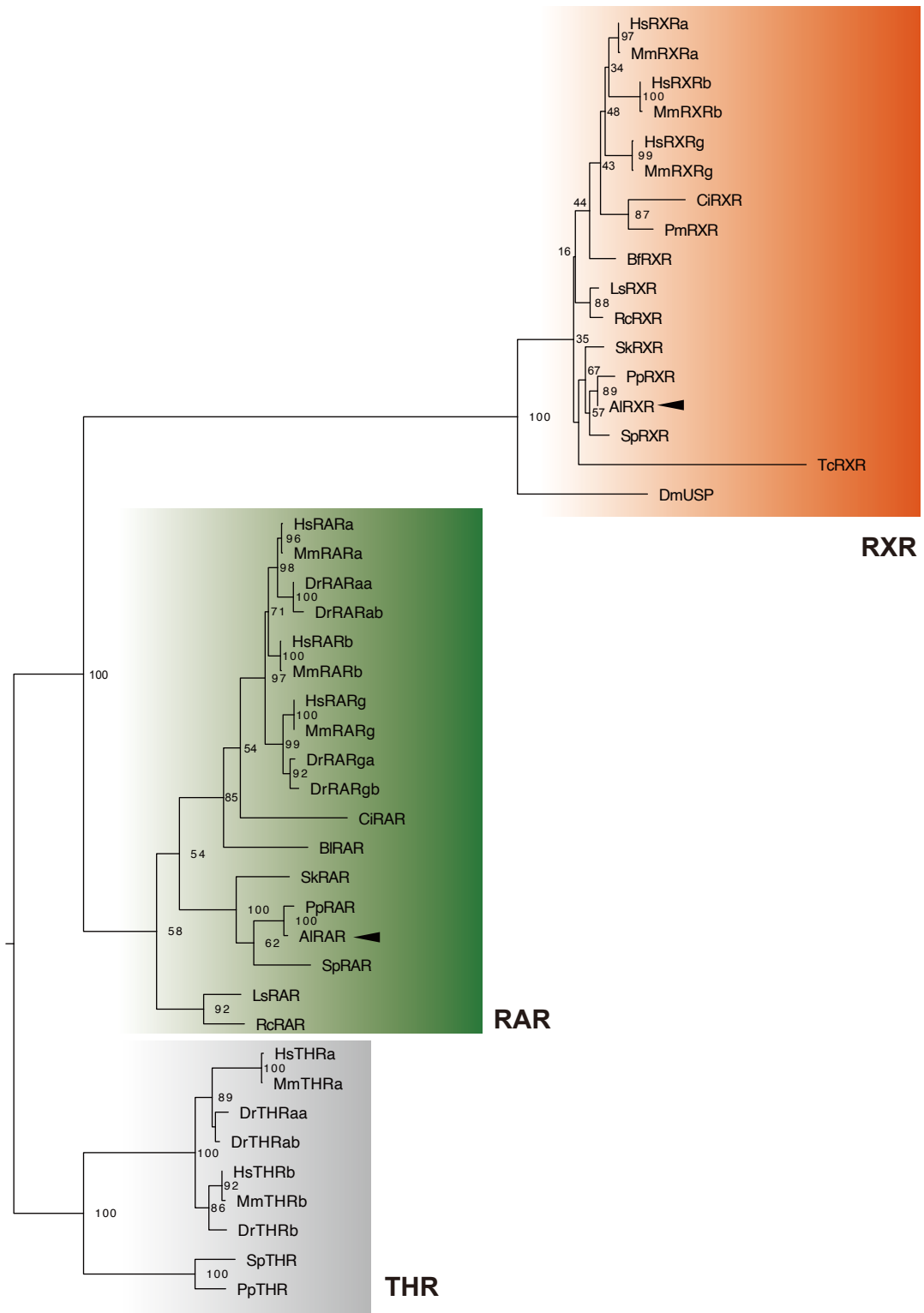


Fig. 2-6 Maximum likelihood tree of retinoic acid receptor (RAR), retinoid X receptor (RXR), and thyroid hormone receptor (THR)

In phylogenetic trees of *rar*, *rxr*, and outgroup *thr*, we found that *rar* and *rxr* of *Astropecten latespinosus* were respectively positioned in the clade of *rar* and *rxr*.

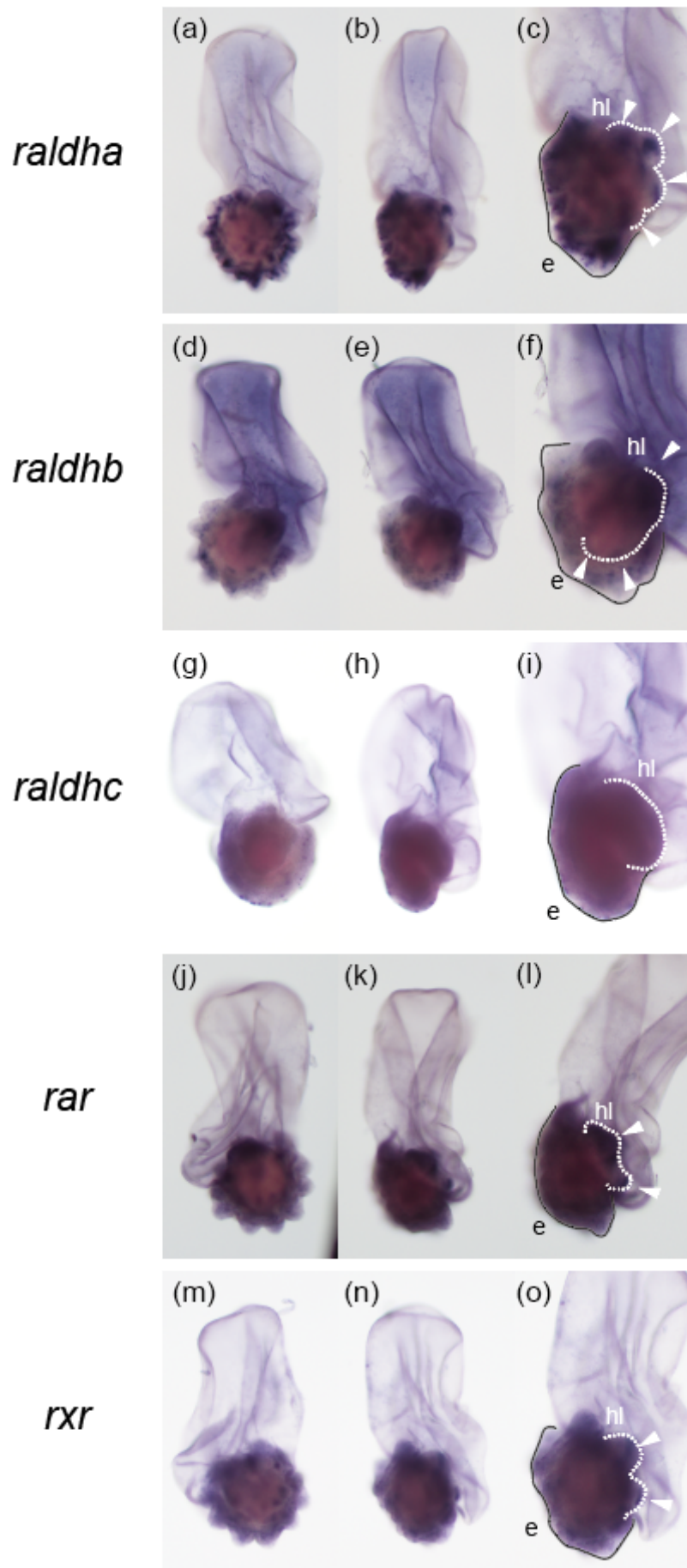


Fig. 2-7 Spatial expression pattern of retinoic acid (RA) signaling components.

(a–c), (d–f), (g–i), (j–l), and (m–o) show the expression patterns of *raldha*, *raldhb*, *raldhc*, *rar*, and *rxr*, respectively, in 72-hours postfertilization (hpf) larvae. In (c), (f), (i), (l), and (o), white dotted lines and black solid lines indicate hydrolobes (hl) and epidermis of juvenile rudiment (e), respectively. Arrowheads point toward the expression in hydrolobes.

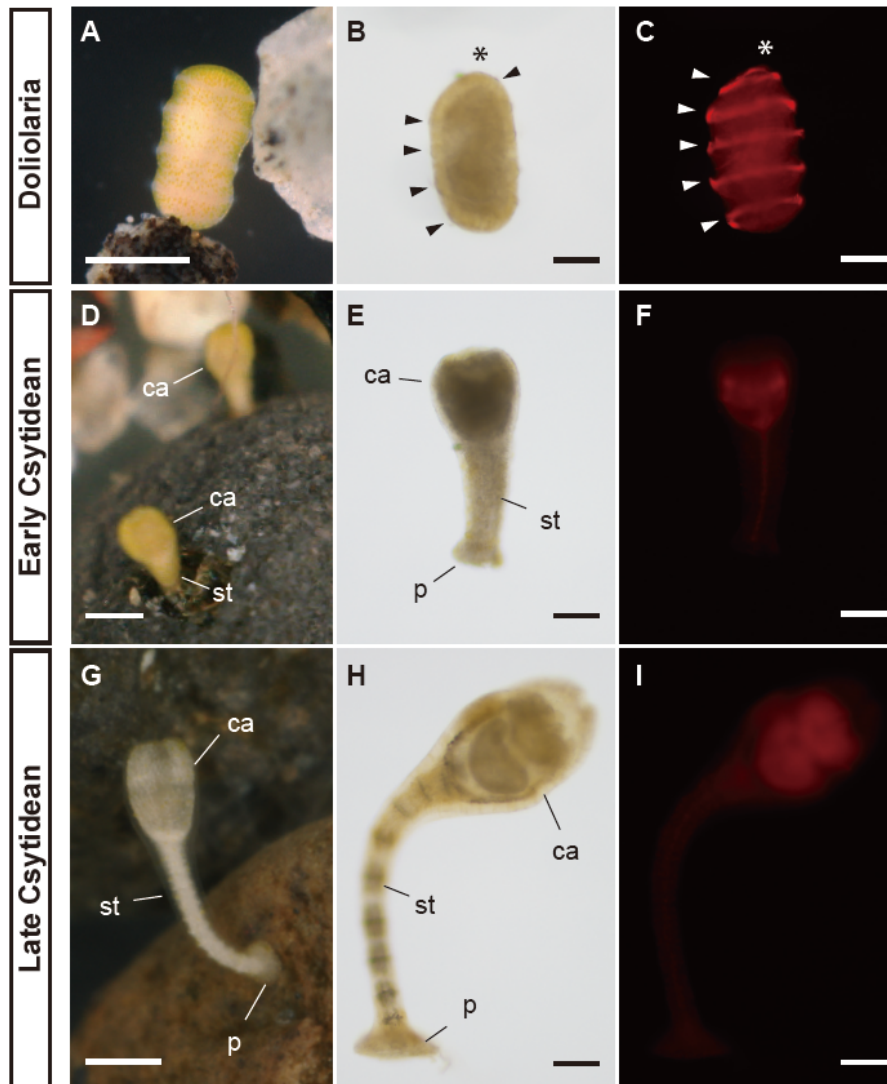


Fig. 3-1 Development process and localization of ciliary bands in the feather star *A. serrata*

(A,D,G) show the living specimens of *A. serrata* larvae. Competent doliolaria larvae settle to the substrate with the apical tuft (A), then commence the metamorphosis process to transit to the cystidean larval phase (D, G; approximately two, four days after settlement, respectively). (D) shows the early cystidean larvae just after metamorphosis

commenced. Calyx (ca), stalk (st) and adhesive plate (p) can be clearly observed in a few days after metamorphosis (G). (B,C,E,F,H,I) indicates the fixed embryos labeled with anti-acetylated tubulin antibody in doliolaria, early cystidean and late cystidean larvae, respectively (light field; B, E and H, observation of fluorescence; C, F and I). The specific fluorescence in ciliary bands (arrow heads) and apical tuft (asterisk) were observed in doliolaria larvae (C), whereas no specific fluorescence was observed in cystidean larvae (F,I). Scale bars: 250 μm (A,D,G), 100 μm (B,C,E,F,H,I).

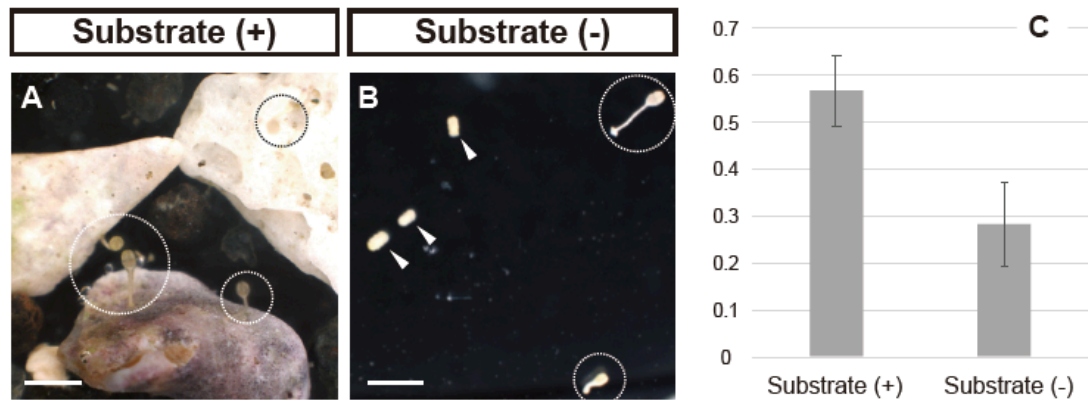


Fig. 3-2 Effects of the presence or absence of substrates on settlement and metamorphosis

(A,B) indicate the doliolaria (arrowheads) or cystidean (dotted line circles) larvae incubated for six days with or without substrate, respectively. Scale bars: 1 mm. (C) shows the metamorphosis ratio for each treatment.

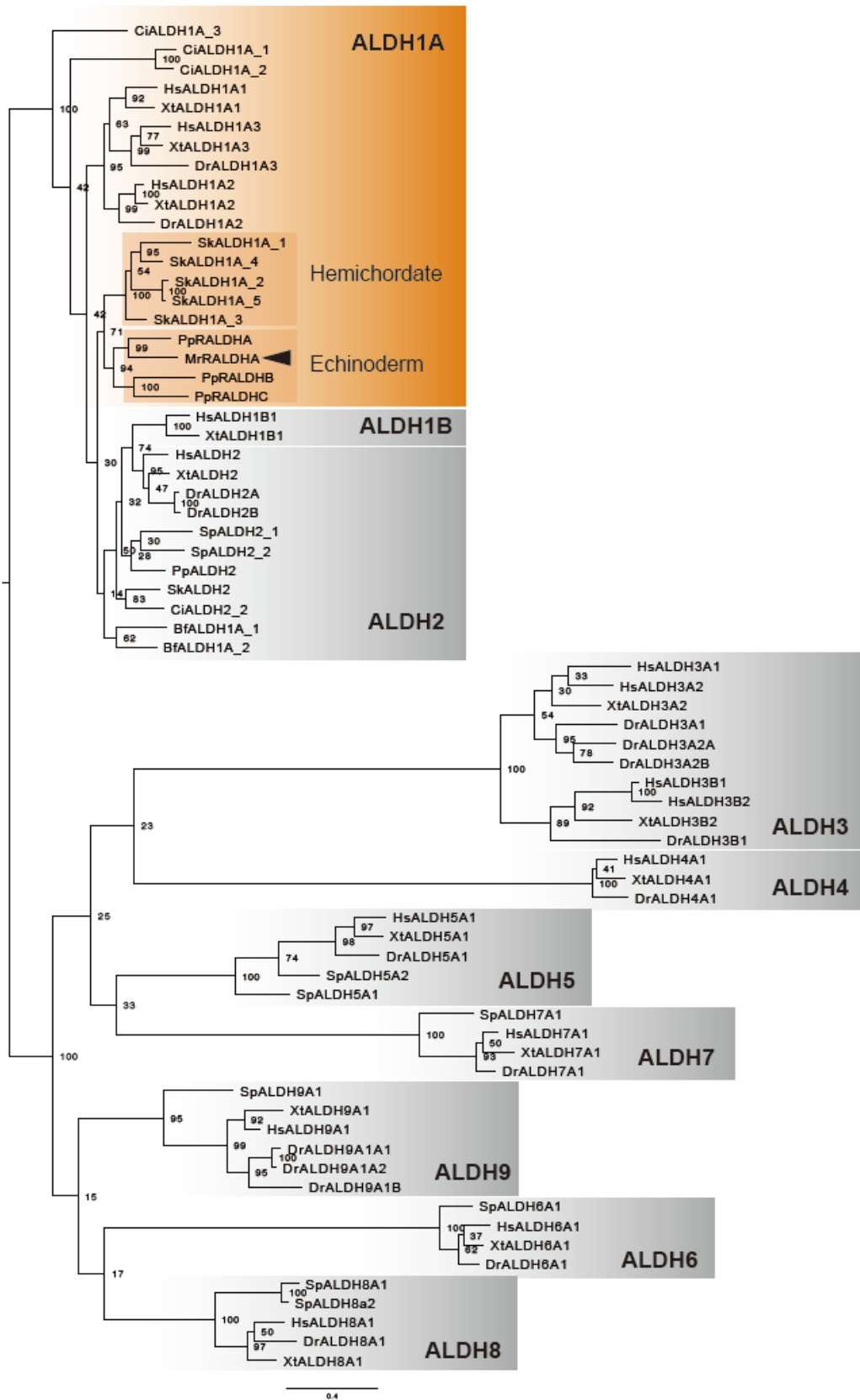


Fig. 3-3 The phylogenetic tree of ALDH gene family

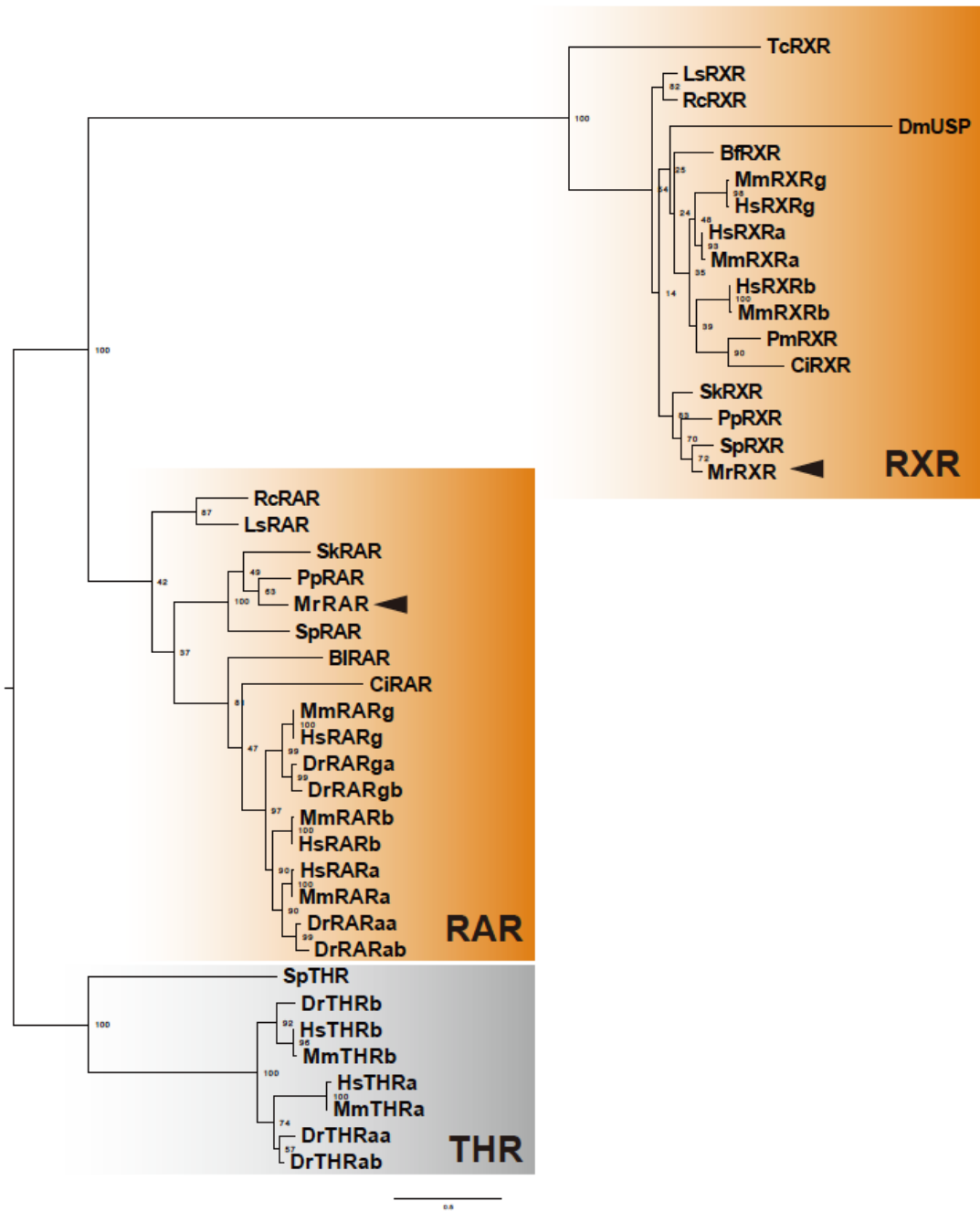


Fig. 3-4 The phygenic tree of RAR, RXR and THR (Thyroid hormone receptor)

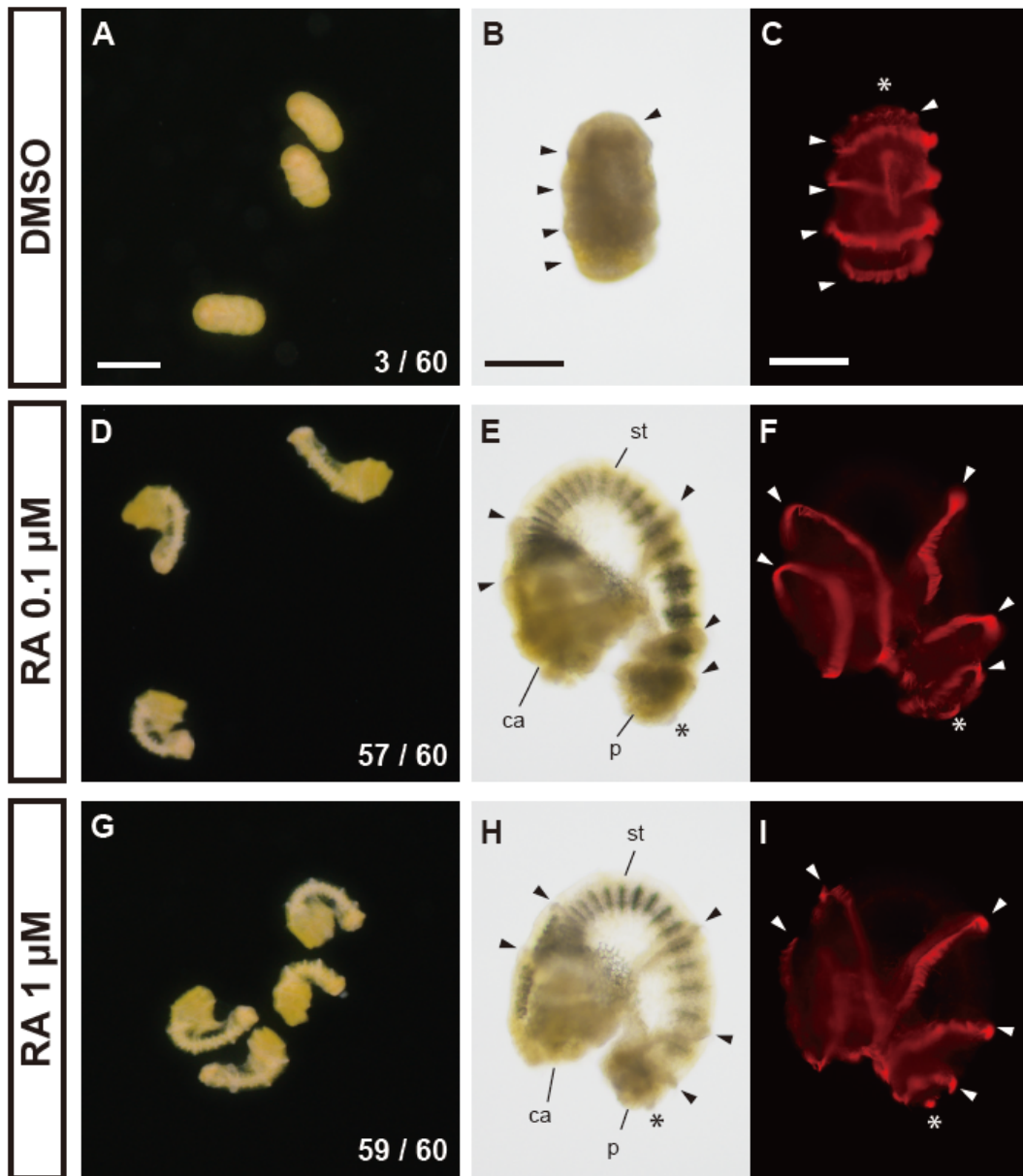


Fig. 3-5 Induction of metamorphosis by exogenous RA treatment

(A–I) respectively show the larvae treated for 96 h with DMSO, RA 0.1 μM and RA 1 μM . While almost all doliolaria larvae did not metamorphose to cystidean larvae (A), metamorphosis was induced by the treatment of RA 0.1 μM and RA 1 μM (D and G, respectively). The numbers in (A,D,G) refer to “the number of metamorphosed larvae” /

“the number of treated larvae”. (B,C,E,F,H,I) indicate the fixed larvae labeled with anti-acetylated tubulin antibody after DMSO, RA 0.1 μ M and RA 1 μ M treatment, respectively (light field; B, E and H, observation of fluorescence; C, F and I). In RA treatment, metamorphosis was induced as the calyx (ca), stalk (st) and adhesive plate (p) can be clearly observed, whereas ciliary bands (arrowheads) and apical tuft (asterisk) did not disappear (E,F,H,I) like in doliolaria larvae with DMSO treatment (C). Scale bars: 250 μ m (A), 125 μ m (B,C).

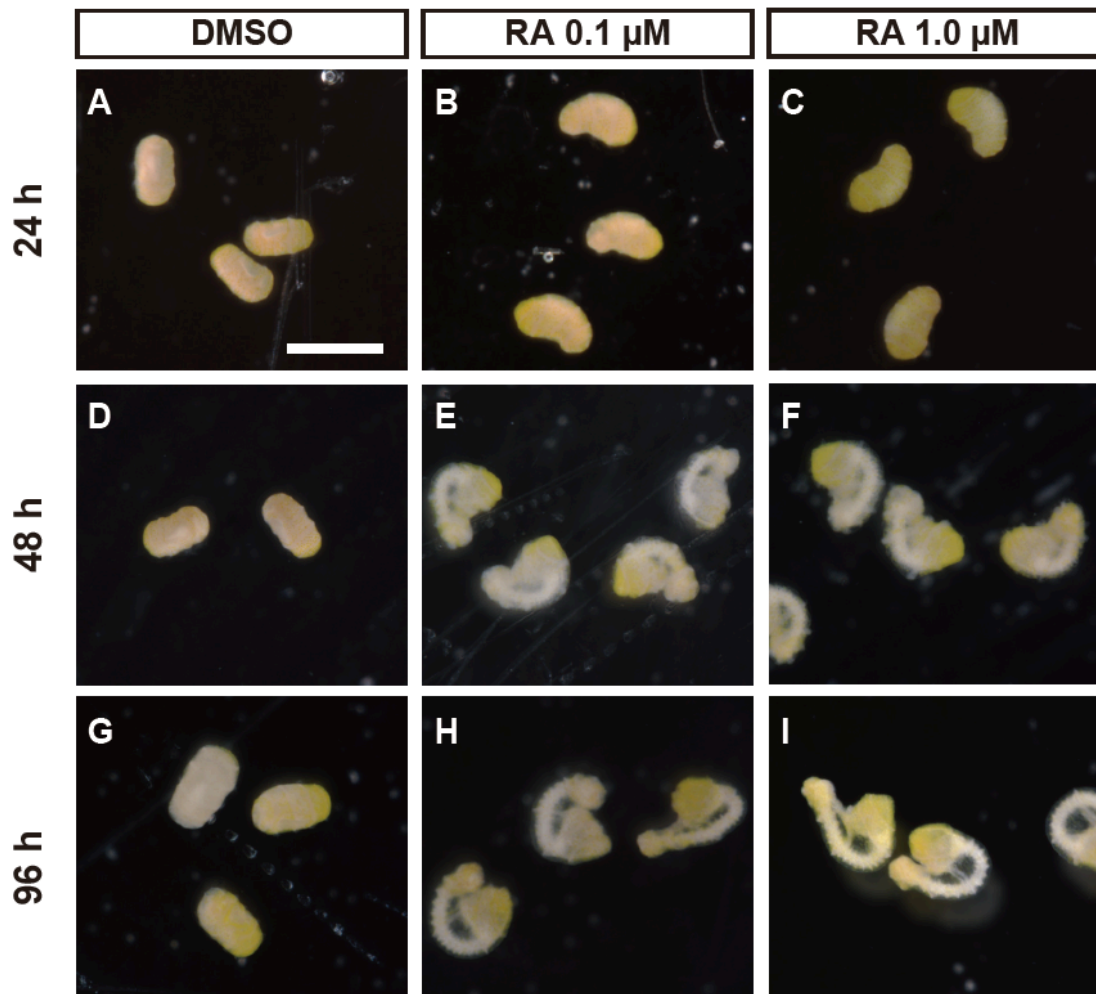


Fig. 3-6 Temporal change of larvae in metamorphosis induction by exogenous RA treatment

(A, D, G), (B, E,H) and (C,F,I) respectively show the DMSO-treated, RA 0.1 μM -treated and RA 1 μM -treated larvae. In the RA treatment, larval forms slightly bent at 24 h after treatment (B, C) and the structures like stalk became gradually visible at 48–72 h after treatment (E–F, H–I).

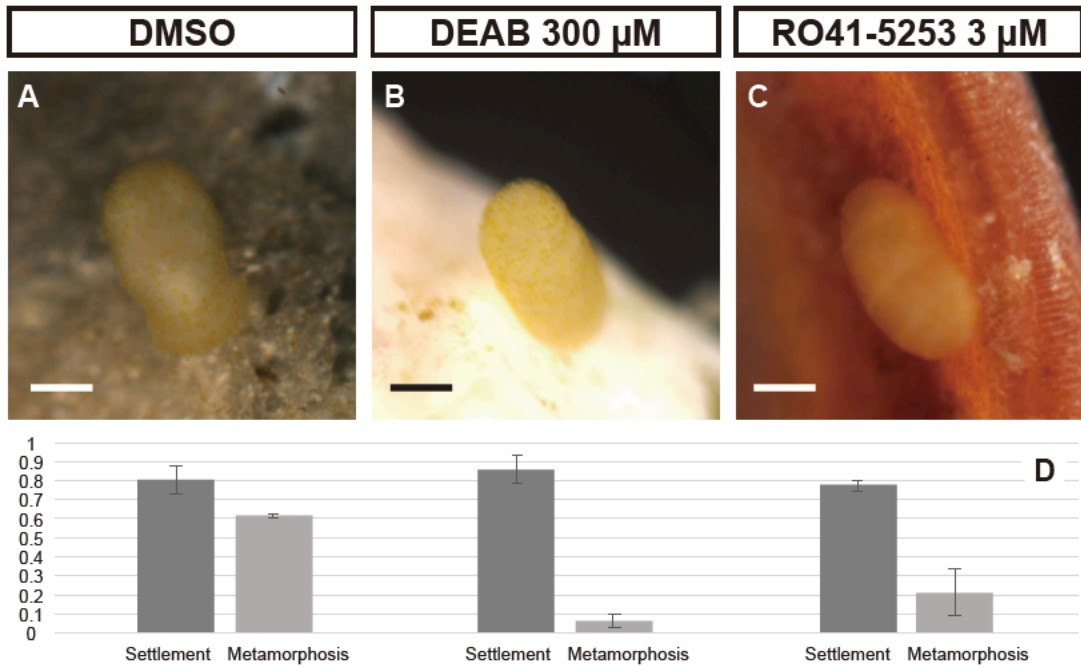


Fig. 3-7 Effects of DEAB or RO treatment on settlement and metamorphosis

We treated doliolaria larvae with DMSO (control), DEAB 300 μM or RO 3 μM and examined the effects on settlement and metamorphosis. (A–C) show the settled larvae on substrates (natural sands from their adult habitat) in DMSO, DEAB and RO treatments. The ratio of settlement and metamorphosis is shown in (D) (dark gray; settlement ratio, light gray; metamorphosis ratio). Scale bars: 125 μm.

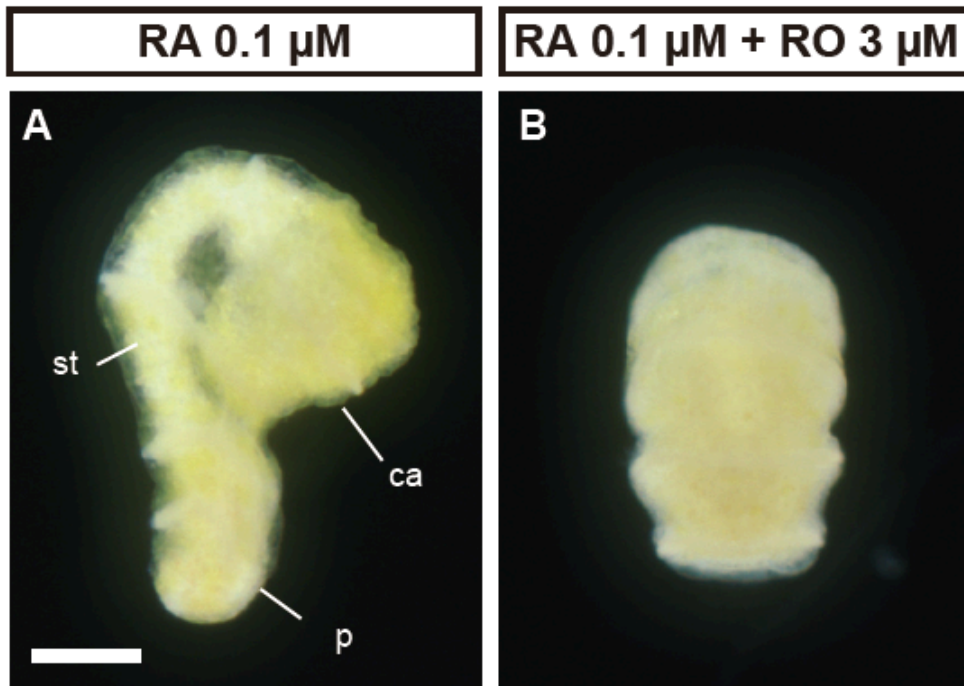


Fig. 3-8 RO treatment suppressed the induction of metamorphosis by RA

RA 0.1 μM treatment with doliolaria larvae induced the metamorphosis (A), while this induction was suppressed by adding RO 3 μM (B). ca; calyx, st; stalk and p; adhesive plate. Scale bar: 125 μm .

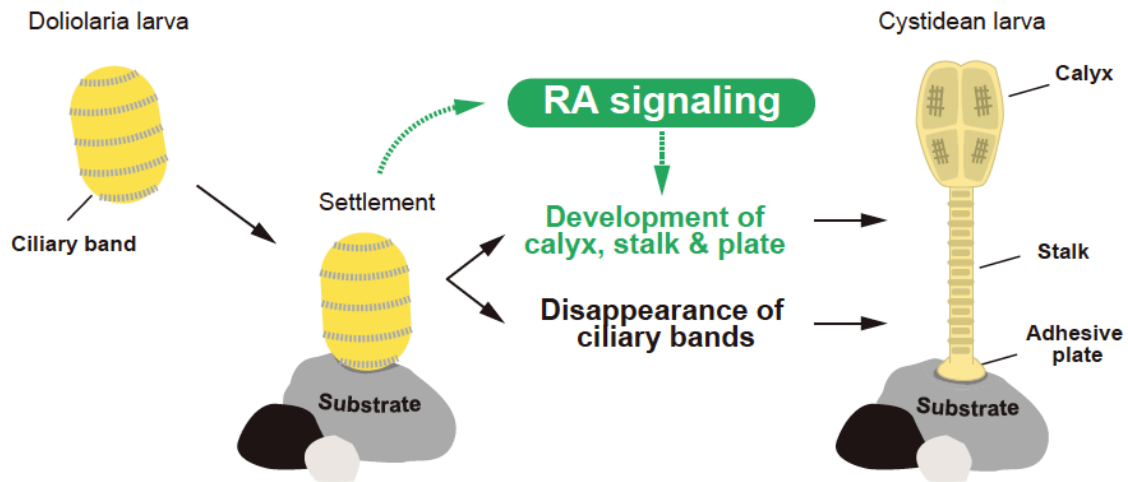


Fig. 3-9 Hypothetical regulatory mechanism for metamorphosis of feather stars

Black arrows indicate the developmental process of *A. serrata*. Competent doliolaria larvae settle to external substrate with reception of environmental cues to commence metamorphosis process. Finally, transition to sessile cystidean larvae is completed through the disappearance of ciliary bands and the development of calyx, stalk and adhesive plate. We hypothesized that RA signaling mediates the metamorphosis process such as the development of calyx upon the reception of environmental signals (shown in green).