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4 **Title**

5 Effects of apple juice manufacturing processes on procyanidin concentration and  
6 nondestructive analysis by fluorescence fingerprint

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15  
16 **Abstract**

17 Procyanidins are one of the main polyphenols in apple fruit. In this study, we aimed to  
18 increase the amount of extractable procyanidins by micro-wet milling (MWM), a novel  
19 milling process that can wet-mill foods to micrometer scale, in addition to commonly  
20 applied apple juice manufacturing processes. The effects of milling, pasteurization,  
21 centrifugation, and enzymatic treatment on extractable procyanidin concentration were  
22 investigated, and MWM was shown to increase the procyanidin concentration by 16.7%  
23 compared with mixer milling. Conversely, other processes such as pasteurization,  
24 centrifugation, and enzymatic treatment decreased the procyanidin concentration in apple  
25 juice. Since procyanidin concentrations in apple juice are also affected by the variability  
26 between individual apples, we attempted to nondestructively estimate the procyanidin  
27 concentration at each process of apple juice manufacturing by obtaining the fluorescence  
28 fingerprint (FF). The FFs are a set of fluorescence spectra acquired at consecutive  
29 excitation wavelengths. Partial least-squares regression was used to estimate the  
30 procyanidin concentrations of apple juice from the FFs, and the most accurate model was  
31 able to estimate procyanidin concentration at the quality control analysis level.

33 **Keywords**

34 excitation–emission matrix; nondestructive measurement; polyphenols; wet milling;  
35 pasteurization; enzymatic treatment

36

37 **Abbreviations**

38 MWM: micro–wet milling; FF: fluorescence fingerprint; EEM: excitation-emission  
39 matrix; CFU: colony-forming units; HPLC: high-performance liquid chromatography;  
40 VIP: variable importance in projection; RPD: residual predictive deviation; RMSEP:  
41 root-mean-square error of prediction

42

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47 **Conflicts of interest/Competing interests**

48 The authors declare no conflicts of interest or competing interests.

49 **Availability of data and material**

50 Not applicable

51 **Code availability**

52 Not applicable

53 **Authors' contributions**

54 Okino, S. conceived of the presented idea, carried out the experiment, analyzed the data,  
55 and took a lead in writing the manuscript. Kokawa, M. conceived of the presented idea,  
56 analyzed the data, and wrote the manuscript. Islam, M.Z. conceived of the presented idea  
57 and aided in interpreting the results. Kitamura, Y. conceived of the presented idea and  
58 aided in interpreting the results. All authors discussed the results and commented on the  
59 manuscript.

## 60 **Introduction**

61 Apples are one of the most consumed fruits in the world, and approximately 2000  
62 varieties of apples are grown in Japan. Apples contain high levels of polyphenols, which  
63 are composed of various chemical components, such as catechins, procyanidins, phenolic  
64 acid, dihydrochalcones, and flavanols (Renard et al. 2017). Among these, procyanidins  
65 account for 69–87% of apple polyphenols (Guyot et al. 2002). Procyanidins are oligomers  
66 obtained by the polymerization of polyphenols, such as catechin and epicatechin, and  
67 there are various isomers depending on the bonding degree. They are known to be poorly  
68 absorbable, but nonetheless exhibit a very strong antioxidant effect. In addition to  
69 antioxidant activity, they have various functions, such as suppression of blood glucose  
70 elevation, and antiallergic and anticancer activities (Yamashita and Ashida 2016).

71 Most polyphenols, including procyanidins, exist in the vacuoles of apple cells. Plants  
72 cells are protected by cell walls whose main component is cellulose, and since humans  
73 do not produce cellulolytic enzymes, ingestion of large amounts of intracellular  
74 polyphenols requires the destruction of cells by chewing or grinding. Therefore, it is very  
75 reasonable to ingest apple procyanidins in the form of apple juice, where the apple cells  
76 are crushed and ground. Apple juice is manufactured through various processes, such as  
77 crushing, squeezing, centrifugation, clarification, and sterilization, and these processes  
78 affect the content of procyanidin in the apple juice (Wojdylo et al. 2008).

79 In contrast, milling the apple flesh to micrometer order may increase the amount of  
80 extractable procyanidin in the juice. From this perspective, a new milling technique called  
81 micro-wet milling (MWM) was used to mill the apple fruit and produce juice with higher  
82 procyanidin concentration. MWM is a modified electric stone mill, where millstones with  
83 grooves can efficiently cleave materials into small particles (Li et al. 2018). MWM has  
84 been applied to develop rice slurry with fine rice particles (Koyama and Kitamura 2014),  
85 produce concentrated orange juice with higher nutritional values and antioxidant activity  
86 (Islam et al. 2017), and increase the amount of phosphatidic acid in Japanese mustard  
87 spinach (Li et al. 2017).

88 In addition to changes due to processing, the procyanidin contents in individual apples  
89 vary considerably depending on the variety, climate, ripeness, and cultivation and  
90 preservation methods. Therefore, to produce apple juice with added value such as higher  
91 procyanidin concentration, it is necessary to measure the procyanidin concentration in  
92 individual juices. Chemical analyses such as high-performance liquid chromatography  
93 (HPLC) may be used for this purpose, but these methods are time-consuming.

94 Consequently, many studies on nondestructive analysis using light sensing technology  
95 for component analysis in food have been reported, and application to beverages has also

96 been performed (Yu et al. 2009; L. J. Xie et al. 2008; L. Xie et al. 2009; Shen et al. 2010;  
97 Lorenzo et al. 2009; Liu and He 2009; Di Egidio et al. 2010; Castritius et al. 2010). Since  
98 procyanidins show fluorescence emission, fluorescence measurement is potentially  
99 effective for estimating their concentrations in apple juice (Karoui and Blecker 2011).  
100 Furthermore, the fluorescence fingerprint (FF), also known as the excitation-emission  
101 matrix (EEM), could provide more comprehensive information about the fruit juice. FFs  
102 are a set of fluorescence spectra acquired at consecutive excitation wavelengths (Jiang et  
103 al. 2010), giving a three-dimensional diagram consisting of fluorescence intensity values  
104 at different excitation and emission wavelengths. The advantage of the FF technique over  
105 conventional fluorescence spectroscopy is that it includes the signals of many fluorescent  
106 constituents existing in the sample. Many studies using the FF technique to evaluate  
107 various food stuffs, such as olive oil (Guimet et al. 2006), beer (Sikorska et al. 2008),  
108 cheese (M. Kokawa et al. 2015), soymilk (Mito Kokawa et al. 2017), and apples  
109 (Trivittayasil et al. 2018), have been reported. Trivittayasil et al. (2017) reported that a  
110 model for estimating the active oxygen-scavenging ability of peach extract was  
111 constructed from the fluorescence patterns of epicatechin and procyanidin (Trivittayasil  
112 et al. 2017).

113 In this study, the fluorescence characteristics of MWM and mixer-milled apple juice  
114 were examined, and models to estimate total procyanidin concentration from FF data  
115 were constructed. Samples of apple juice prepared by various processes, such as crushing,  
116 squeezing, centrifugation, enzyme treatment, clarification, and pasteurization, were  
117 targeted, and prediction models that can handle apple juice in various processing stages  
118 were constructed.

119

## 120 **2. Materials and methods**

### 121 2.1. Preparation of apple juice

122 Figure 1 shows a schematic diagram of apple juice production. In this study, apples of  
123 the ‘Fuji’ variety were purchased from York Benimaru Co., Ltd., between September  
124 2019 and January 2020 and were used as raw materials for all experiments. After washing  
125 the apples with water, the cores were removed and the apples were divided into 12 equal  
126 parts using a fruit cutter having an inner diameter of 10 cm. L(+)-ascorbic acid  
127 (FUJIFILM Wako Pure Chemical Corporation, Osaka, Japan) was added to the apple  
128 pieces to prevent browning, to a final concentration of 1.0% (w/w). The mixture of apple  
129 pieces and L(+)-ascorbic acid was milled with a blender (Commercial Food Blender 990,  
130 Hamilton Beach Brands, Inc., Washington, D.C., Unites States) for 2 min to obtain a  
131 coarse slurry. Half of this slurry was directly supplied to the MWM system. Then the

132 lower mill was rotated at a rotation speed of 50 rpm to perform fine grinding of the  
133 remaining slurry. The milling conditions of the MWM were optimized on the basis of the  
134 results of pre-experiments to minimize the particle size of the slurry.

135 The slurry obtained by each milling treatment was filtered with a polyethylene mesh  
136 sieve (925  $\mu\text{m}$  mesh, AS ONE, Japan) to separate the juice from the pomace. The juice  
137 obtained from the coarse slurry will hereafter be referred to as “mixer-milled apple juice”,  
138 whereas that obtained from the MWM slurry will be referred to as “MWM apple juice”.  
139 The following processes, namely, centrifugation, cellulase treatment, clarification, and  
140 pasteurization, were individually performed on both the mixer-milled and MWM juices.

141 For centrifugation, 40 mL of juice was dispensed into a 50 mL conical tube and  
142 centrifuged for 5 min at 3000 rpm or 5000 rpm using a centrifuge (CN-1050, AS-ONE).  
143 The supernatant was used as the centrifuged sample. Centrifugation conditions were  
144 decided on the basis of the results of a previous study (Iino and Watanabe 1986) and pre-  
145 experiments.

146 Cellulase treatment was performed following the method reported earlier (Center  
147 2005). Both the MWM apple juice and mixer-milled apple juice (30 mL) were dispensed  
148 into 50 mL conical tubes and cellulase (Tokyo Chemical Industry Co., Ltd.) was added at  
149 a concentration of 2.0% (w/w), followed by vortexing. The sample was slowly shaken at  
150 100 rpm for 3 h in a water bath at  $55\pm 2^\circ\text{C}$ . A portion of the cellulase-treated juice was  
151 centrifuged at 3000 rpm for 5 min with a centrifuge (CN-1050, AS-ONE), and the  
152 supernatant was collected. This was referred to as the clarified juice.

153 For pasteurization, 5 mL of juice was dispensed into a test tube and heated at  $65\pm 2^\circ\text{C}$   
154 for 10 min or at  $95\pm 2^\circ\text{C}$  for 1 min. The conditions were determined by pre-experiments,  
155 and it was verified that the number of colony-forming units (CFU) in 1 mL of the  
156 pasteurized juices was below 100. Pasteurization was performed in a water bath and the  
157 time was measured as soon as the center temperature of the sample reached the target  
158 temperature. The samples were cooled with cold water immediately after pasteurization.  
159 All apple juice samples were frozen and stored at  $-60^\circ\text{C}$  until FF measurement. HPLC  
160 analysis was carried out immediately after processing.

161

## 162 2.2. Measurement of total procyanidin concentration by HPLC

163 For both the mixer-milled and MWM apple juices, samples were obtained for  
164 procyanidin measurement after each process. Centrifugation, cellulase treatment and  
165 clarification, and pasteurization were performed on four batches of apples each, and for  
166 all experiments, the mixer-milled and MWM apple juices prepared by only milling and  
167 filtration were used as control samples. Extraction and HPLC analysis conditions

168 followed those of Obara et al. (2016). All reagents used were HPLC-analysis-grade  
169 products manufactured by FUJIFILM Wako Pure Chemical Corporation (Osaka, Japan).

170 Apple juice samples (9 mL) were mixed with 5 mL of acetone and shaken with a shaker  
171 for 15 min. The mixture was centrifuged at 3600 rpm for 10 min and the supernatant was  
172 recovered to obtain the first apple juice extract. Pure acetone (8 mL) was added to the  
173 precipitate and the mixture was shaken with a vortex and centrifuged under the same  
174 conditions as above to obtain the second extract. This operation was repeated, and the  
175 first to third extracts were mixed to obtain a total of 30 mL of apple juice extract. This  
176 extract was injected into a 2 mL syringe and filtered with a 0.45  $\mu\text{m}$  PTFE syringe filter  
177 (AS ONE Corporation, Osaka, Japan).

178 The filtered apple juice extract was poured into vials (Shimadzu GLC Ltd., Osaka,  
179 Japan) of approximately 1 mL, and the target components were detected by HPLC  
180 (Shimadzu Corporation) with an RF-20AXS fluorescence detector (Shimadzu  
181 Corporation). A size exclusion column (Inertsil® WP300 Diol, GL Science Inc.) [i.d. 4.6  
182  $\times$  250 mm; 5  $\mu\text{m}$ ] was used at 30°C. Acetonitrile–acetic acid solution (98:2, v/v,  
183 hereinafter mobile phase A) and methanol–water–acetic acid solution (95:3:2, v/v/v,  
184 hereinafter mobile phase B) were used as mobile phases. Gradient elution was performed  
185 using the two types of mobile phases (from 0 to 3 min: 0–7% mobile phase B; from 3 to  
186 60 min: 7–30% mobile phase B; 60 to 70 min: 30–100% mobile phase B; 70 to 80 min:  
187 0% mobile phase B). The sample injection volume was 5  $\mu\text{L}$  and the flow rate of the  
188 mobile phase was 1.0 mL/min. The procyanidins were detected by setting the  
189 fluorescence excitation and emission wavelengths to 230 and 321 nm, respectively. The  
190 gain of the photomultiplier was set to  $\times$  4 between 29 to 65 min. From the peak area of  
191 the obtained chromatogram, the total procyanidin concentration was calculated on the  
192 basis of a calibration curve prepared with a standard procyanidin B2 product  
193 (EXTRASYNTHÈSE). The total procyanidin concentration was represented by the sum  
194 of procyanidin dimers to octamers and represented as the amount of procyanidin B2. The  
195 total procyanidin concentration was expressed as weight (mg) in 100 mL of apple juice.

196

### 197 2.3. Statistical analysis

198 For both the mixer-milled and MWM apple juices, samples were obtained and analyzed  
199 after milling and filtration, centrifugation, cellulase treatment, clarification, and  
200 pasteurization. Four samples were made for each process, and the total procyanidin  
201 concentration was calculated as relative values against the control sample (mixer-milled  
202 apple juice undergoing milling and filtration). The paired-samples *t*-test was performed  
203 to compare the two corresponding groups (samples made from the same apples) using

204 statistical analysis software JMP13 (SAS Institute Inc.), and the significance was  
205 evaluated at three levels (\*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ ) for the pairs in which  
206 significant differences were observed.

207

#### 208 2.4. Fluorescent fingerprint measurement

209 The frozen apple juice samples were thawed at room temperature before measurement.  
210 The FFs were measured using a fluorescence spectrophotometer (F-7000, Hitachi High-  
211 Technologies Corporation) using data acquisition software (FL Solutions 4.0, Hitachi  
212 High-Technologies Corporation). A quartz cell was filled with 3 mL of apple juice sample,  
213 and set at a front-face angle (Becker et al. 2003). FFs were obtained in the excitation  
214 wavelength range of 200 to 500 nm and the emission wavelength range of 200 to 700 nm,  
215 at 10 nm intervals. The scan speed was 30000 nm/min, the slit width was 5.0 nm, the  
216 photomultiplier voltage was 700 V, and the measurement time was 78 s per sample. The  
217 measurement was performed three times for one sample, and a total of 216 FF datasets  
218 were obtained.

219

#### 220 2.5 Models for predicting procyanidin concentration

221 To estimate total procyanidin concentration from the FFs, partial least-squares (PLS)  
222 regression was performed using numerical analysis software (MATLAB R2018a,  
223 MathWorks) and multivariate analysis add-on software (PLS\_Toolbox, Eigenvector  
224 Research). In this experiment, the FF data of apple juice was used as the explanatory  
225 variable, and the total procyanidin concentration obtained by HPLC analysis was used as  
226 the objective variable.

227 The FF and chemical analysis data were divided into a calibration group for model  
228 construction and a validation group for model evaluation. Since four samples were made  
229 for each process, FF and total procyanidin concentration data from the first three samples  
230 were used for the calibration group, and data from the last samples were used for the  
231 validation group.

232 Eighteen models were made by combining three sample conditions (mixer-milled apple  
233 juice, MWM apple juice, and both types of juice) and six combinations of the following  
234 processes: filtration, centrifugation, and cellulase treatment with or without clarification.  
235 The pasteurized samples were always included in the models because pasteurization is an  
236 indispensable process when producing apple juice.

237 FF data were preprocessed by autoscaling (each wavelength was scaled to zero mean  
238 and unit variance), and the number of latent variables (LVs) was decided on the basis of  
239 the root-mean-square error of cross-validation (RMSECV). The venetian blinds method

240 (Lenhardt et al. 2015) was used to construct the datasets for cross-validation.  
241 Subsequently, verification was performed using the validation group in order to evaluate  
242 the performance of the calibration model. As a measure of the predictive power of the  
243 model, the residual predictive deviation (RPD) value, which is calculated as the ratio of  
244 the root-mean-square error of prediction (RMSEP) to the sample standard deviation, was  
245 calculated (Fearn 2002).

$$246 \quad \text{RPD} = \text{SD} / \text{RMSEP} = 1 / \sqrt{1 - R^2}$$

247 RPD values between 2.5 and 3.0 enable rough screening, values between 3.1 and 4.9  
248 enable firm screening, and values between 5.0 and 7.9 allow analysis at the quality control  
249 level (Williams and Sobering 1993).

250 To consider which wavelengths were involved in estimating the target component,  
251 variable importance in projection (VIP) values, which indicate the importance of  
252 variables, were determined (Kvalheim 2010; Rajalahti et al. 2009; Chong and Jun 2005).  
253 The VIP value summarizes the importance of each original variable (wavelength  
254 conditions), and since the average value VIP value is 1, if  $\text{VIP} > 1$ , the importance of the  
255 variable can be said to be above the average (Chong and Jun 2005).

256

## 257 **Results and discussion**

### 258 3.1. Change in total procyanidin concentration during processing

259 Figure 2 shows the total procyanidin concentration in the apple juice after each process.  
260 The procyanidin concentrations of the centrifuged, cellulase-treated, and pasteurized  
261 samples are shown in relative values, where the concentration of mixer-milled apple juice  
262 samples made from the same apples are taken to be 100%. This calculation allows us to  
263 cancel the difference in procyanidin concentration among individual apples.

264 The average procyanidin concentrations of the mixer-milled and MWM juices were  
265 29.9 and 34.8 mg/100 mL of juice, respectively. Applying MWM to the apple juice  
266 increased the total procyanidin concentration by 16.7%. This increase is due to the  
267 incorporation of apple pomace in the MWM juice. The pomace that was removed from  
268 the mixer-milled apple juice by filtration contained a 23.7% higher concentration of  
269 procyanidins than the mixer-milled apple juice itself (data not shown). The MWM slurry  
270 was also filtered to produce MWM juice, but there was little pomace remaining on the  
271 filter owing to the small particle size of the MWM slurry. This indicates that the pomace  
272 removed from the mixer-milled slurry remained in the MWM juice, increasing the  
273 procyanidin concentration. A similar trend was observed when comparing processed  
274 MWM juice with their mixer-milled counterparts, and the procyanidin concentration was  
275 higher in the MWM juice. The difference was especially large for the pasteurized



276 samples; the MWM juice showed 23.4 and 20.0% higher procyanidin concentration than  
277 the mixer-milled juice for the 65°C and 95°C pasteurized samples, respectively. On the  
278 other hand, the centrifuged MWM and mixer-milled juices showed similar procyanidin  
279 concentrations. This was because the pomace remaining in the MWM was removed  
280 during centrifugation. A study on the effects of different milling methods on polyphenol  
281 contents of mulberry juice (Li et al. 2016) also showed that milling methods that decrease  
282 particle size produce juice with high polyphenol contents and very small amounts of  
283 pomace.

284 Next, we compare procyanidin concentrations of juice processed by centrifugation,  
285 enzymatic treatment, and pasteurization with those of the milled and filtrated juices. To  
286 evaluate the effects of each process on procyanidin concentration, MWM juices  
287 undergoing the three processes are compared with the filtered MWM juice, and the  
288 processed mixer-milled juices are compared with the filtered mixer-milled juice.  
289 Centrifugation significantly reduced the total procyanidin concentration in both juices.  
290 Reductions after centrifugation were 9.5 and 16.9% under low speed conditions (3000  
291 rpm) and 17.0 and 21.6% under high speed conditions (5000 rpm) for the mixer-milled  
292 and MWM juices, respectively, compared with the filtrated juices. The procyanidin  
293 concentrations were reduced considerably for the MWM juice at a higher centrifugation  
294 rate. A possible reason for this result was stated by Renard et al. (2017), who mentioned  
295 that procyanidins bind spontaneously to plant cell wall polysaccharides upon the  
296 destruction of plant tissue that occurs during milling, chewing, and heat treatment. Also,  
297 it has been reported that orthoquinone produced by browning has high electrophilicity  
298 and promotes dimer formation between polyphenols and other substances (Renard et al.  
299 2017). The MWM juice, which also contained the pomace that was filtered out from the  
300 mixer-milled juice, contained high concentrations of cell wall substances, and the  
301 procyanidin was presumed to be in an environment where it could easily interact with the  
302 precipitate. This is the reason for the large decrease in procyanidin concentration from  
303 the MWM juice. Higher rotational speed also reduced the cell wall substances in the juice,  
304 thereby precipitating the procyanidins with it.

305 The enzyme treatment reduced the total procyanidin concentration in the mixer-milled  
306 juice by 19.9%, and the clarification treatment showed a similar reduction ratio. On the  
307 other hand, the enzyme treatment reduced the procyanidin concentration in the MWM  
308 juice by 12.5%, and the clarification decreased it by 18.5%. Although cellulase treatment  
309 was expected to improve the extraction of procyanidins inside the cells through the  
310 degradation of cell wall cellulose, the results showed a reduction in the amount of  
311 extracted procyanidin. This decrease may be due to the reaction of enzymes that promoted

312 the oxidization of procyanidins during cellulase treatment. Prior to this study, several  
313 enzymatic treatments using different enzymes (pectinase, cellulase, and a mixture of the  
314 two) were applied to the apple juice, and this pre-experiment showed that cellulase was  
315 most effective in increasing the concentration of procyanidins. However, enzymatic  
316 activity is greatly affected by the concentration of the enzyme, as well as temperature and  
317 reaction time, and further investigation is required to determine the optimal enzyme  
318 conditions.

319 Pasteurization at  $65\pm 2^\circ\text{C}$  reduced the total procyanidin concentration by 2.6 and 5.7%  
320 for the mixer-milled and MWM apple juices, respectively. Similarly, pasteurization at  
321  $95\pm 2^\circ\text{C}$  reduced the procyanidin concentration by 4.7 and 10.1% for the mixer-milled and  
322 MWM apple juices, respectively. It has been confirmed that the procyanidin  
323 concentrations in general processed products decreases with time when heated and stored  
324 at pH 4.0 or higher (Morifuji et al. 2013). Our findings were in accordance with the results  
325 reported by De Paepe et al. (2014), where procyanidin concentrations decreased during  
326 thermal treatment with degradation rate coefficients ( $k$ ) between  $0.39 \times 10^{-2}$  and  
327  $0.90 \times 10^{-2} \text{ s}^{-1}$ , depending on the isomer. Since both pasteurization conditions were  
328 effective for decreasing the number of CFU to below 100 in 1 mL of pasteurized juice,  
329 pasteurization at  $65^\circ\text{C}$  for 10 min was shown to be better for maintaining the procyanidin  
330 concentration.

331

### 332 3.2. Estimation of fluorescent components

333 Figure 3 shows the average FFs of mixer-milled (108 samples) and MWM (107  
334 samples, with one deleted sample due to measurement problems) juices after each of the  
335 processes. The two FFs were similar to each other, and strong fluorescence peaks, which  
336 are considered to indicate procyanidins, were observed at excitation (Ex)/emission (Em)  
337 wavelengths of 210/310 nm and 280/310 nm. These peaks were also observed in the  
338 procyanidin standard reagent. In addition to the fluorescence peak of procyanidins, broad  
339 peaks were also observed at Ex/Em of around 320–350/420 nm and 400–440/670 nm.  
340 These peaks can be related to chlorogenic acid and chlorophyll, respectively (Włodarska  
341 et al. 2017). Another strong peak was observed at Ex/Em of 210/350 nm in both samples,  
342 which might be related to L(+)-ascorbic acid.

343

### 344 3.3. Practicality evaluation of estimation model by PLS regression analysis

345 Various PLS regression models for estimating total procyanidin concentration from FF  
346 data were constructed by varying the samples included in the models. Various processes  
347 included in apple juice production affect not only the procyanidin concentration but also

348 the turbidity and fluidity of the juice. Since these differences also affect the optical  
349 properties of the juice, including many samples in the model that have undergone  
350 different processes may increase the error of the model. On the other hand, estimation  
351 models that cover a large variation of apple juices are more versatile. Therefore, we aimed  
352 to determine how the difference in the range of samples affects the performance of the  
353 model and to create a standard method for estimating apple juice procyanidin. Table 1  
354 shows the combination of processes included in the 18 models, as well as the indices of  
355 model performance, RMSECV, RMSEP, coefficients of determination of cross-validation  
356 ( $R^2CV$ ) and prediction ( $R^2P$ ), and RPD.

357 RPD values were used for evaluating the performance of the models. Model 17, which  
358 was constructed on the basis of filtrated and pasteurized mixer-milled juice, showed the  
359 highest RPD value of 5.20, which is fit for quality control. In addition, Model 14, which  
360 was constructed on the basis of filtrated, cellulase-treated (not clarified), and pasteurized  
361 mixer-milled juice, showed performance fit for rough screening (RPD=2.92). These  
362 results suggest the possibility of estimating total procyanidin concentration in mixer-  
363 milled juices that have undergone similar processes using the FF, although additional  
364 samples may be needed to increase reliability of the models.

365 RPD values of the models constructed from mixer-milled juice decreased significantly  
366 when centrifuged samples were included in the model and were lower than the RPD  
367 values of models constructed from MWM samples. This is because of the large  
368 differences between the samples that were centrifuged and those that were not. When cell  
369 wall substances are present in the apple juice, some of the procyanidins are bound to these  
370 substances and cannot be extracted, and therefore are not included in the HPLC  
371 measurement results. However, these bound procyanidins also emit fluorescence during  
372 FF measurement; thus, there is a difference between procyanidin concentrations  
373 measured by HPLC and those measured with FF. On the other hand, in the centrifuged  
374 sample, the bound procyanidins are transferred to the precipitate, and most of the  
375 procyanidins remaining in the supernatant can be extracted. Therefore, in the centrifuged  
376 samples, the procyanidin concentrations measured by HPLC are equal to those measured  
377 with FF.

378 The RPD values of the models based on the MWM samples did not change  
379 considerably with different processes and remained lower than the practical level. This  
380 may be due to physical factors such as increased turbidity and decreased fluidity  
381 (Lakowicz 2006) owing to the milling process as well as changes in chemical components  
382 that contribute to the estimation model.

383 Finally, the RPD values of the models created using both mixer-milled and MWM

384 samples were generally low and did not change much with the addition of different  
385 processes. The reason may be similar to the effect of centrifugation: mixer-milled and  
386 MWM juice samples contained different ratios of cell-wall-bound procyanidins, which  
387 affected the ratio of total procyanidins (measurable with FF) to extractable procyanidins  
388 (measurable by HPLC). Considering these results, it can be concluded that it is  
389 undesirable to evaluate fruit juices that have been milled by different methods using a  
390 single model. Alternatively, the juices could be diluted and filtered before FF  
391 measurement, to eliminate the effects of insoluble particles. Although the initial objective  
392 of this study was to develop a non-destructive measurement method where the samples  
393 can be measured directly, combining these preprocessing procedures with FF  
394 measurement may lead to a simple and accurate method which could be applicable to a  
395 large range of samples.

396 Scatterplots of estimated and measured values of total procyanidin concentration for  
397 models 14 and 17 are shown in Fig. 4a and 4b. The RMSEP of model 17 was 2.50 mg/100  
398 g juice, which is very small compared with the total concentration of procyanidins in  
399 apple juice (approximately 30 mg/100 g juice). Pissard et al. (2013) reported that the RPD  
400 of the model for estimating the concentration of apple polyphenols from near-infrared  
401 spectra was 5.1, which is similar to that of model 17. This indicates that the prediction  
402 performance of this model was very high.

403 To estimate important variables contributing to the estimation model, the VIP values  
404 of each wavelength condition were shown in the form of FFs (Fig. 4c and 4d). In Model  
405 14, peaks with high VIP values were observed at Ex/Em of 240/310 nm, 240/370 nm,  
406 310/320 nm, and 490/690 nm. In Model 17, an additional peak was observed at 440/500  
407 nm. The three peaks observed at 240 nm and 310 nm were located at slightly longer  
408 wavelengths than the procyanidin fluorescence peak, and the peak observed at around  
409 480 nm was located at slightly longer wavelengths than the chlorophyll fluorescence peak.  
410 On the other hand, there was no strong fluorescence peak corresponding to the peak at  
411 440/500 nm, suggesting that chemical components other than the estimated fluorescent  
412 component may be used as important variables. The VIP values for the wavelengths  
413 corresponding to the procyanidin fluorescence peak (Ex/Em of 210/310 nm and 280/310  
414 nm were not high, which may be because multiple components fluoresce at this  
415 wavelength, including catechins and epicatechins.

416 For both models, the highest VIP values were seen at 490/690 nm, which suggests that  
417 the chlorophyll fluorescence may be related to the estimation model. According to Hagen  
418 et al. (2006), flavonoids in apples are mainly concentrated in the chloroplast epidermis  
419 where chlorophyll is present, and the absorbance calculated from chlorophyll

420 fluorescence correlates with the concentrations of anthocyanins and total flavonoids in  
421 apple fruits. In this experiment, a positive correlation was observed between the  
422 fluorescence peak of chlorophyll and the total procyanidin concentration, which is the  
423 reason for the high VIP value of wavelengths related to chlorophyll.

424

#### 425 Conclusion

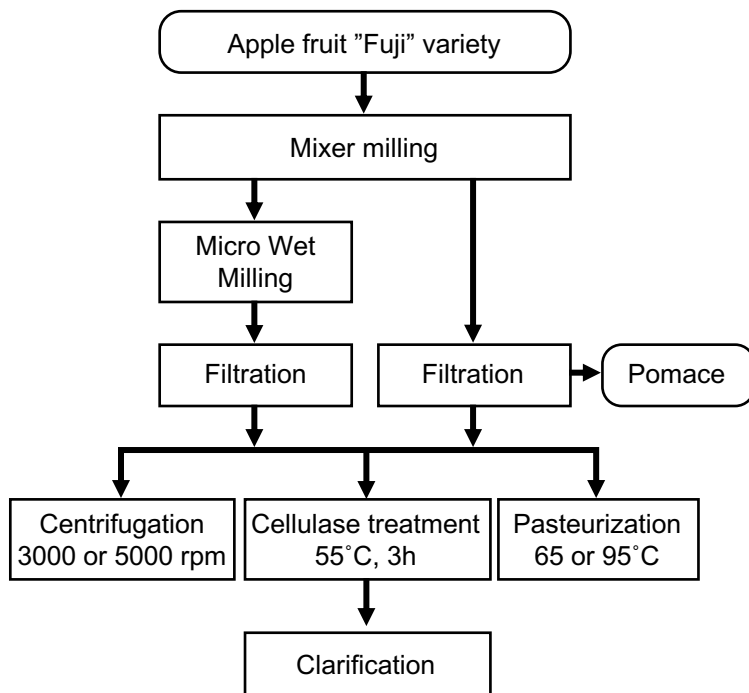
426 In this study, we investigated the changes in total procyanidin concentration in relation  
427 with apple juice manufacturing processes, such as milling, centrifugation, enzymatic  
428 treatment, and pasteurization. While most processes decreased the concentration of  
429 procyanidins, a novel milling method named micro-wet milling (MWM) increased the  
430 procyanidin concentration by 16.7% compared with mixer milling.

431 In addition, to establish a simple and quick method for estimating the total procyanidin  
432 concentration in apple juice, we measured all apple juice samples at various processing  
433 stages using the FF. The FFs of the apple juices showed autofluorescence that could be  
434 attributed to epicatechin, procyanidin, chlorogenic acid, and chlorophyll. To estimate the  
435 procyanidin concentration from FF data, PLS regression analysis models were  
436 constructed and their performance was evaluated. Various PLS regression models were  
437 constructed by varying the samples included in the models, since models applicable to a  
438 large variety of samples would be versatile but may have higher prediction error. The  
439 model with the highest performance included mixer-milled samples that were filtrated  
440 and pasteurized. Prediction error increased when centrifuged samples were added to the  
441 model, and models constructed with MWM apple juice resulted in poor performance,  
442 possibly due to physical factors, such as increased turbidity and reduced fluidity.

443 In this study, we showed that the procyanidin concentration can be estimated using  
444 fluorescence spectroscopy for samples prepared under certain conditions. It may be  
445 possible to construct models with increased versatility by increasing the number of  
446 samples and using techniques such as variable selection, or by adding a preprocessing  
447 step before measurement such as dilution and filtration.

448

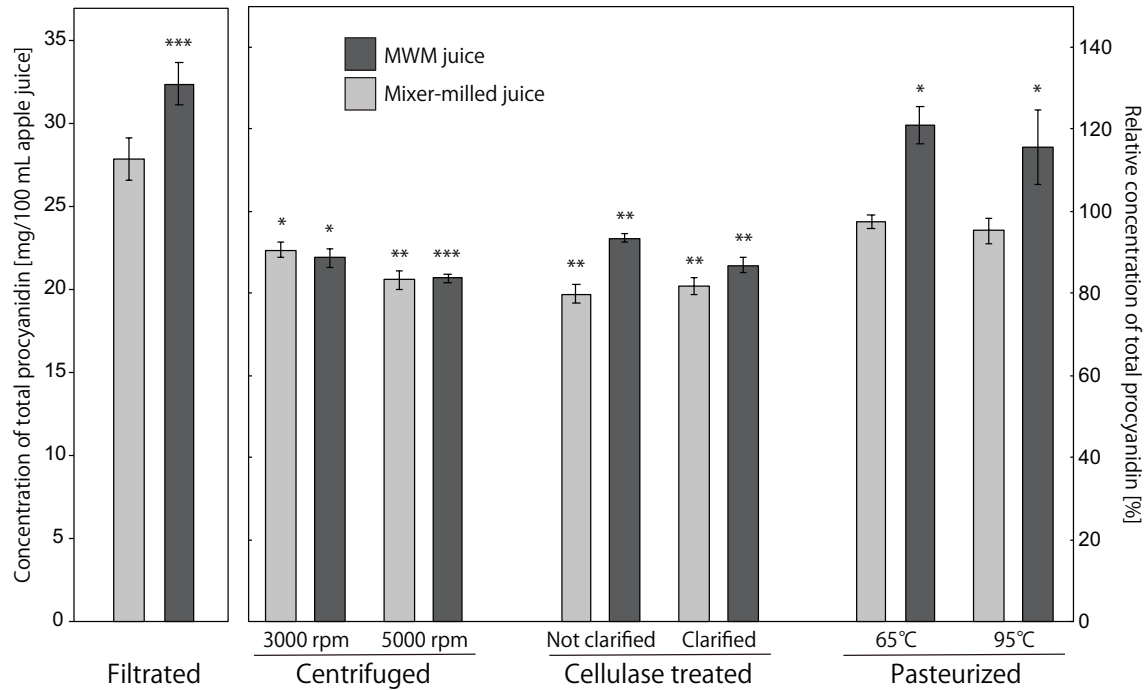
449 **Figures**



450

451 **Fig.1** Flowchart of method for producing apple juice.

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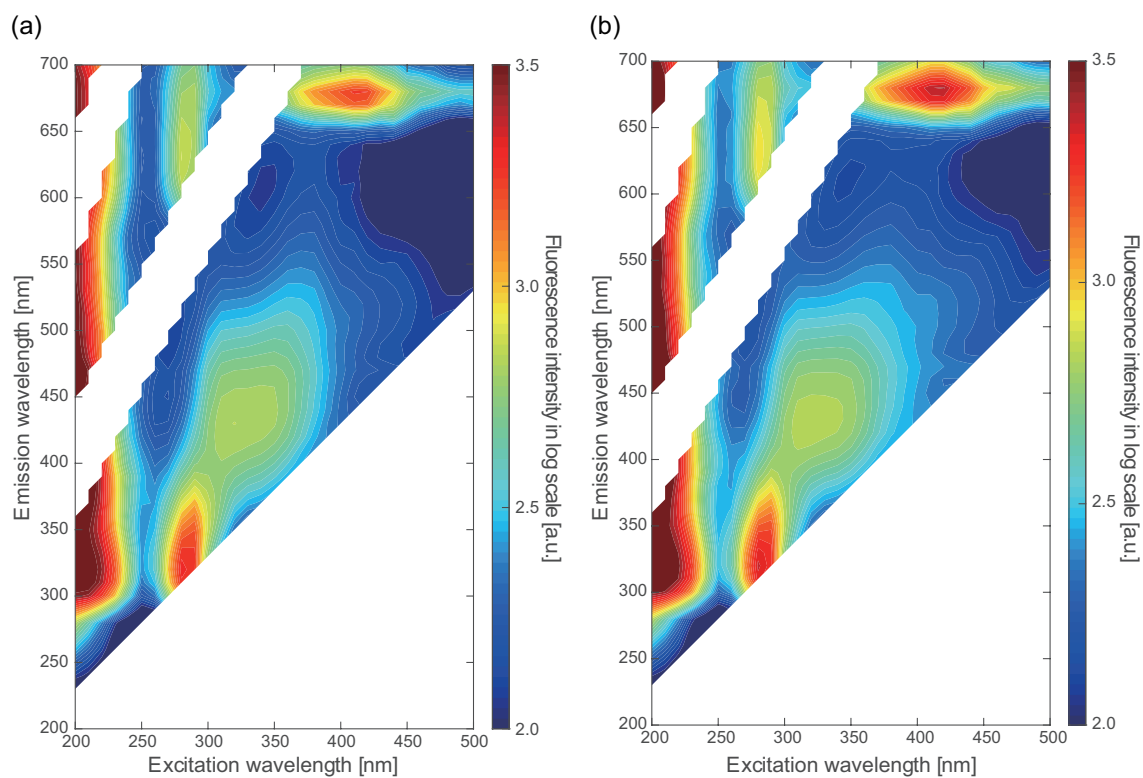
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**Fig.2** Average concentrations of milled and filtrated mixer-milled and MWM juices, and effects of various processes (centrifugation, cellulase treatment, and pasteurization) on total procyanidin concentration in apple juice. Error bars indicate standard error of relative procyanidin concentration (calculated as the ratio to the filtrated mixer-milled juice made from the same batch of apples). Asterisks indicate significant differences between the sample and the filtrated mixer-milled juice (control, \*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ ).



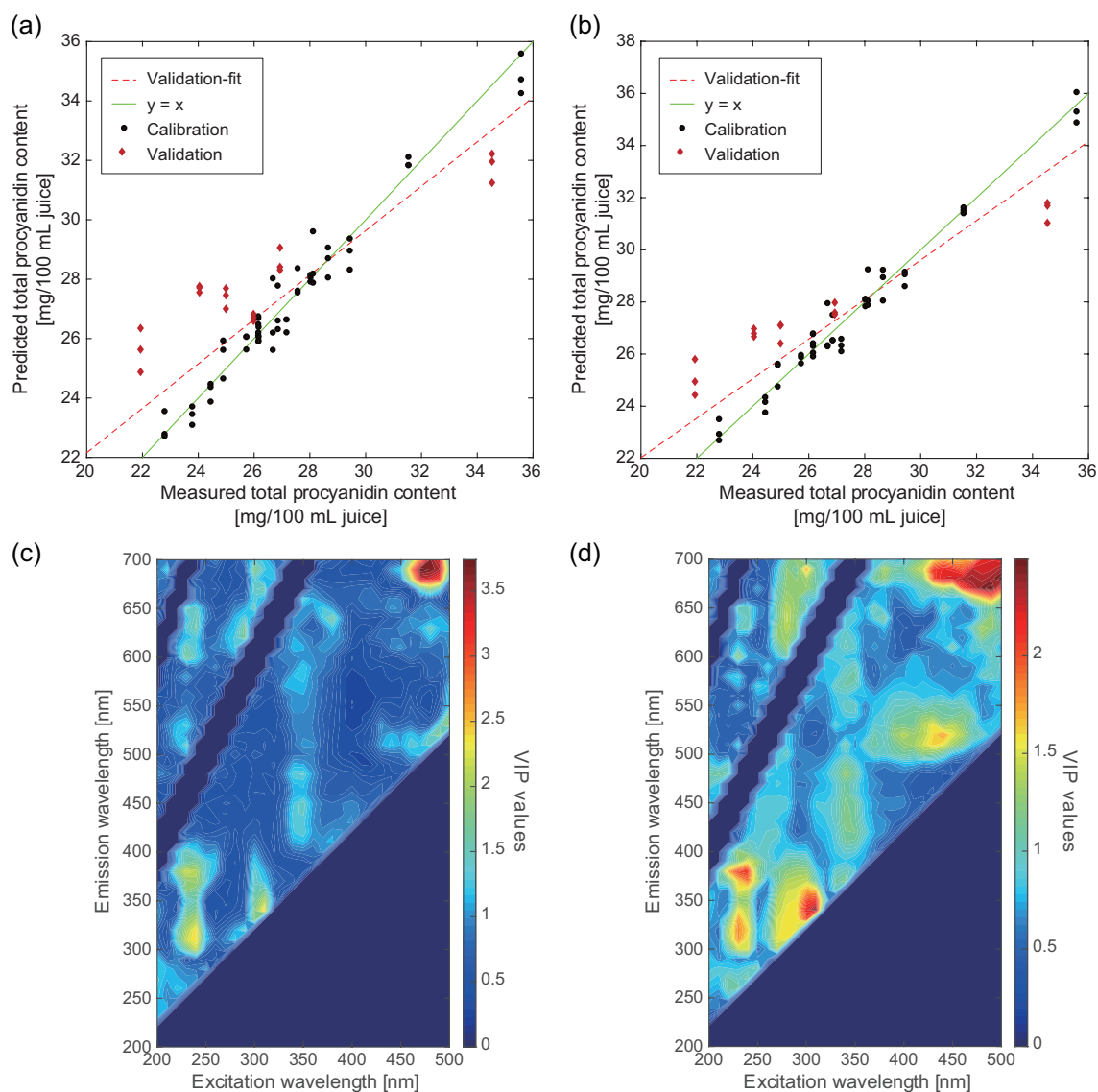
462  
 463 **Fig.3** Average fluorescence fingerprints (FFs) of mixer-milled (a) and MWM (b) apple  
 464 juices. The color axis indicates the fluorescence intensity in log scale.  
 465



466 **Table 1** Results of PLS regression analyses of 18 models produced by combining  
 467 various processed apple juices.

Model No.	Sample condition (Number of samples)	Processing	Number of LVs	RMSECV	RMSEP	R <sup>2</sup> CV	R <sup>2</sup> P	RPD
1	Both juices (215)	filtration / centrifugation / cellulase	4	2.51	3.47	0.59	0.26	1.16
2	mixer-milled juice (108)	treatment / clarification /	4	2.49	2.93	0.36	0.32	1.21
3	MWM-milled juice (107)	pasteurization	6	2.42	2.79	0.63	0.53	1.46
4	Both juices (191)	filtration / centrifugation / cellulase	5	2.44	3.14	0.63	0.52	1.45
5	mixer-milled juice (96)	treatment / pasteurization	4	2.50	3.05	0.38	0.40	1.29
6	MWM-milled juice (95)		5	2.38	2.74	0.61	0.64	1.66
7	Both juices (167)	filtration / cellulase treatment /	5	2.18	2.92	0.69	0.62	1.62
8	mixer-milled juice (84)	clarification / pasteurization	7	1.52	2.83	0.72	0.68	1.78
9	MWM-milled juice (83)		9	1.64	3.12	0.78	0.56	1.51
10	Both juices (167)	filtration / centrifugation /	6	2.26	3.36	0.68	0.46	1.36
11	mixer-milled juice (84)	pasteurization	6	2.64	3.58	0.44	0.28	1.18
12	MWM-milled juice (83)		7	2.17	3.44	0.69	0.52	1.45
13	Both juices (143)	filtration / cellulase treatment /	3	2.65	4.11	0.56	0.34	1.23
14	mixer-milled juice (72)	pasteurization	9	1.15	2.71	0.84	0.88	2.92
15	MWM-milled juice (71)		8	1.77	3.48	0.69	0.57	1.52
16	Both juices (119)		6	2.03	3.36	0.74	0.69	1.80
17	mixer-milled juice (60)	filtration / pasteurization	9	1.17	2.50	0.85	0.96	5.20
18	MWM-milled juice (59)		7	1.56	4.38	0.69	0.45	1.35

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**Fig.4** Scatter plots of estimated and measured values of two models created to estimate total procyanidin concentration from fluorescence fingerprint diagrams (a and b) and selected plots of key variables (c and d). Figures 4a and 4c, and 4b and 4d indicate the results of Models 14 and 17, respectively. The number of datasets for models 14 and 17 were calibration: 54, validation: 18, and calibration: 45, validation: 45, respectively.

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