

1 **Enhanced energy recovery via separate hydrogen and methane production from**  
2 **two-stage anaerobic digestion of food waste with nanobubble water**  
3 **supplementation**

4

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9

10 **Abstract**

11 This study investigated the enhancement effect on two-stage anaerobic digestion  
12 (AD) of food waste (FW) for separate production of hydrogen and methane with N<sub>2</sub>-  
13 and Air-nanobubble water (NBW) supplementation. In the first stage for hydrogen  
14 production, the maximum cumulative H<sub>2</sub> yield ( $27.31 \pm 1.21$  mL/g-VS) was obtained  
15 from FW supplemented with Air-NBW (FW+Air-NBW), increasing by 38%  
16 compared to the control (with deionized water (DW) addition). In the second stage for  
17 methane production, the cumulative CH<sub>4</sub> yield followed a descending order of  
18 FW+Air-NBW ( $373.63 \pm 3.58$  mL/g-VS) > FW+N<sub>2</sub>-NBW ( $347.63 \pm 7.05$   
19 mL/g-VS) > FW+DW ( $300.93 \pm 3.24$  mL/g-VS, control), increasing by 24% in  
20 FW+Air-NBW and 16% in FW+N<sub>2</sub>-NBW compared to the control, respectively.  
21 Further investigations revealed that the positive effect of added N<sub>2</sub>-NBW to the  
22 two-stage AD of FW was attributable to the enhanced hydrolysis of FW, while the  
23 addition of Air-NBW not only promoted the hydrolysis of FW with enhanced  
24 activities of four extracellular hydrolases at the end of hydrolysis/acidification stage,  
25 but also improved the methanogenesis indicated by enhanced activity of coenzyme

26 F<sub>420</sub> at the end of methanogenesis stage. Results from this study suggest the potential  
27 application of NBW in the two-stage AD for efficient renewable energy recovery from  
28 FW.

29 **Keywords:** Food waste; Nanobubble water; Two-stage anaerobic digestion;  
30 Hydrogen; Methane

31

## 32 1. Introduction

33 Continuous growth of population and the scarcity of resources bring about the  
34 exhaustion of energy, thus the impotunity and dependence on energy continues to  
35 reach record levels. “Turning waste into wealth” opens up a new path for sustainable  
36 utilization and management of wastes, which is increasingly attracting the attention  
37 from the society nowadays. As it is known, anaerobic digestion (AD) can convert  
38 organic wastes into renewable energy like H<sub>2</sub> and CH<sub>4</sub>, which may relieve the  
39 pressure of energy scarcity and increase the diversity of fuel sources. From the  
40 viewpoint of environmental and economic aspects, bioconversion of organic wastes  
41 can reduce the risk of environmental pollution and disposal costs (Awasthi et al.,  
42 2018).

43 Municipal solid waste as the main source of organic wastes is estimated to be  
44 annually 2.3 billion tonnes by 2025, with food waste (FW) accounting for the largest  
45 proportion ( $\geq 50\%$ ) (Ahamed et al., 2016; Qin et al., 2019). According to the UN Food  
46 and Agriculture Organization (FAO), the roughly same quantities of food were  
47 dissipated in industrialized and less industrialized countries (670 and 630 million  
48 tonnes per annum), but FW is more problematic in industrialized countries, i.e. those  
49 countries with per capita FW by consumers between 95-115 kg per annum in Europe  
50 and North America as against 6-11 kg per annum in the low income Sub-Saharan

51 Africa and South/Southeast Asia regions (FAO, 2014). If not handled properly, one  
52 million tonnes of FW would cause 4.5 million tonnes of CO<sub>2</sub> emission from the  
53 landfill. In contrast, if being treated by AD, one million tonnes of FW could produce  
54 enough electricity to power 29,818 households (Kosseva, 2009). As a result, AD is  
55 considered as an appropriate option for the disposal of FW. However, due to the  
56 highly biodegradable components in FW, large amounts of volatile fatty acids (VFAs)  
57 produced quickly may result in a reduced pH to inhibit the activity of methanogens at  
58 the early stage of AD, which is claimed as the main reason for the failure of FW-AD  
59 reactors (Liu and Liao, 2019). Two-stage AD can separate the complicated AD process  
60 into two main stages, i.e. hydrolysis/acidification and methanogenesis, ensuring the  
61 optimal and different growth environment for the corresponding microbial groups. In  
62 the first stage, the complex organic compounds can be maximally degraded into  
63 short-chain VFAs under slightly acidic condition (pH = 5.0-6.0), from which  
64 hydrogen as a clean energy with high specific energy content (142 kJ/g) can be  
65 produced as an important intermediate in addition to VFAs (Silva et al., 2018; Yuan et  
66 al., 2019); in the second stage, the produced VFAs can be converted into methane by  
67 methanogens under relatively neutral condition (pH = 7.0-8.0) (Voelklein et al., 2016).  
68 Thus, two-stage AD is deemed to be promising to reduce organic loads and improve  
69 overall energy conversion efficiency besides the generation of the two high-calorie  
70 gases, i.e. H<sub>2</sub> and CH<sub>4</sub>. As reported by Baldi et al. (2019), the biogas production and  
71 volatile solid (VS) degradation rate from two-stage AD of FW and activated sludge  
72 were increased by 26% and 9% in comparison to the single-stage AD, respectively.

73 However, the composition of FW is complex, rich in carbohydrates (41-62%),  
74 proteins (15-25%), and lipids (13-30%) (Boonpiyo et al., 2018). Although the high  
75 content of carbohydrates in FW can be easily converted, the high contents of proteins,

76 lipids, and some recalcitrant cellulosic materials may greatly reduce the hydrolysis  
77 efficiency (Kim et al., 2019). On the other hand, the low hydrogen yield in the first  
78 stage might be the major limiting factor for the two-stage AD (Yuan et al., 2019). To  
79 enhance hydrolysis and biogas production, various pretreatment methods have been  
80 attempted to treat FW. For instance, Ding et al. (2017) applied hydrothermal  
81 pretreatment on FW, and obtained the maximum hydrogen and methane yields about  
82 43.0 and 511.6 mL/g-VS under the optimum condition (140°C, 20 min), increasing by  
83 24% and 32% when compared with the untreated FW, respectively. Acidic (pH = 2)  
84 and alkaline (pH = 11-12) pretreatments are also frequently adopted to FW due to  
85 their simple operations (Kim et al., 2014; Jang et al., 2015), from which hydrogen  
86 production can be largely improved while adversely impacted by operation mode.  
87 Although these pretreatments improve the hydrolysis of FW and thus enhance biogas  
88 production to some extent, the difficulty in maintenance of the operating conditions  
89 and the expensive chemicals addition greatly hinder their practical application.

90 Recently, nanobubble water (NBW) containing nano-scale bubbles (NBs) with  
91 diameter < 1000 nm has been applied for environmental remediation due to its unique  
92 characteristics (such as the long-term stable existence of NBs and the generation of  
93 hydroxyl radicals) and zero pollution (Azevedo et al., 2019; Hu and Xia, 2018; Li et al.,  
94 2014). It is worth mentioning that NBW addition has also been proven as an  
95 environmentally friendly method to enhance AD of organic solid wastes such as waste  
96 activated sludge and cellulosic materials (Wang et al., 2019; Yang et al., 2019; Wang  
97 et al., 2020a; Wang et al., 2020b). These previous works indicate that supplementation  
98 of various gas-NBW can enhance the hydrolysis of organic solids with enhanced  
99 methane production. Up to the present, however, no report is available about the effect  
100 of NBW on hydrogen production from AD, especially the two-stage AD process of

101 high organic content wastes (like FW in this study).

102 In this study, N<sub>2</sub>-NBW and Air-NBW were selected for the tests due to their  
103 different initial anaerobic (N<sub>2</sub>-NBW) or micro-aerobic environment (Air-NBW)  
104 created after addition. Both hydrogen and methane production from the two-stage AD  
105 of FW were monitored. The underlying mechanisms of NBW addition to each stage  
106 were further explored for the first time by using the model substrates in the separate  
107 hydrolysis/acidogenesis and methanogenesis experiments. Finally, the enzyme  
108 activities with or without NBW addition were also evaluated to evidence the above  
109 mechanisms.

110

## 111 2. Materials and methods

### 112 2.1 FW, inoculum and NBW

113 FW was directly collected from the dormitory kitchen in University of Tsukuba,  
114 Japan, and the inoculum, anaerobically digested sludge was sampled from a  
115 wastewater treatment plant in Tsuchiura, Ibaraki Prefecture, Japan. The details of  
116 preparation and storage of FW and inoculum have been described previously (Hou et  
117 al., 2020). The physicochemical characteristics of FW and inoculum are shown in  
118 Table 1.

119

#### **Table 1**

120 The NBW, i.e. N<sub>2</sub>-NBW or Air-NBW was prepared using the NBW generator  
121 (HACK FB11, Japan) under the optimal generation condition with NBs size of ~140  
122 nm and the bubble particle concentration > 100 million particles/ml according to a  
123 previous work (Wang et al., 2019). Air used for Air-NBW generation was taken  
124 directly from the natural environment of the laboratory and the purity of N<sub>2</sub> used for  
125 N<sub>2</sub>-NBW generation was  $\geq 99.9995\%$ .

## 126 2.2 *Experimental set-up of the two-stage AD of FW system*

### 127 2.2.1 *Batch biochemical hydrogen potential (BHP) tests*

128 The Schott Duran serum bottles with identical specification (250 mL in total  
129 volume with 150 mL of working volume) were used in both stages of experiments.  
130 In the BHP tests, each bottle was loaded with the same amount (20.0 g wet weight) of  
131 FW and 60 mL inoculum. After that, 70 mL of deionized water (DW), N<sub>2</sub>-NBW, or  
132 Air-NBW was added to make the total working volume of each bottle to be 150 mL,  
133 in which the DW group was used as a blank control. In addition, the initial total VS in  
134 each bottle was  $13.96 \pm 0.49$  g/L and the feed-to-microorganisms (F/M) ratio was  
135 about 6.5 (VS basis). As reported by Yuan et al. (2019), a high F/M ratio could inhibit  
136 the activity of methanogens in untreated inoculum, and a higher hydrogen yield could  
137 be achieved at a greater F/M ratio. More specifically, all the initial pH values were  
138 adjusted to  $5.50 \pm 0.10$  using a 2 M HCl solution in the BHP tests, which is regarded  
139 as the optimal pH for the activity of enzyme hydrogenase and also could inhibit the  
140 activity of methanogens to avoid methane production (Liu et al., 2019; Micolucci et al.,  
141 2014).

### 142 2.2.2 *Batch biochemical methane potential (BMP) tests*

143 In the BMP tests, the remaining acidified slurries or mixtures from the BHP tests  
144 were used as substrates for the second stage to produce methane. 80 mL of acidified  
145 slurry and 50 mL of inoculum (with 4.5 of F/M ratio (VS basis) and  $7.18 \pm 0.40$  g/L  
146 of initial total VS) were added into each bottle and then 20 mL of DW, N<sub>2</sub>-NBW or  
147 Air-NBW was added to make the total working volume of each bottle to be 150 mL.  
148 In this stage, the initial pH value was adjusted to  $7.50 \pm 0.10$  using a 2 M NaOH or  
149 HCl solution, which has been reported as the optimal pH for methanogens (Liu et al.,  
150 2019; Micolucci et al., 2014). Additionally, the inoculum was activated for about two

151 weeks under mesophilic condition ( $38 \pm 1^\circ\text{C}$ ) until no gas production and the TS and  
152 VS of inoculum were about  $0.67\% \pm 0.01\%$  and  $0.42\% \pm 0.01\%$  after activation,  
153 respectively.

154 Before starting the experiments, all the bottles were flushed with  $\text{N}_2$  gas (purity  $\geq$   
155 99.9995%) for 2 min for 3 times to ensure anaerobic conditions and then incubated in  
156 a temperature-controlled incubator ( $38 \pm 1^\circ\text{C}$ ) for 68 h in the first-stage AD for  
157 hydrogen production and 24 d in the second-stage AD for methane production. In the  
158 BHP tests, the first gas sample was taken 8 h later, and then regularly collected over  
159 time every 12 h to detect the contents of  $\text{H}_2$  and  $\text{CO}_2$ . In the BMP tests, the gas sample  
160 was regularly collected over time every 2 d to detect the contents of  $\text{CH}_4$  and  $\text{CO}_2$ .

### 161 *2.3 Effect of NBW addition on each stage in the two-stage AD by using the model* 162 *substrates*

163 The two-stage AD consists of two steps: hydrolysis/acidification and  
164 methanogenesis. The hydrolysis stage that can break down carbohydrates, proteins,  
165 and lipids into monosaccharides, amino acids, and long-chain fatty acids is crucial for  
166 complex organic compounds like FW (Dong et al., 2019). In this study, the effect of  
167 NBW addition on each stage in the two-stage AD system was investigated by using  
168 the model substrates (protein, glucose, and acetic acid (HAc)).

169 The experiments were carried out with 100 mL glass serum bottles. Firstly, for  
170 the hydrolysis process, 1500 mg/L of protein as the model substrate together with 25  
171 mL of inoculum was loaded. Moreover, the total working volume was made up to 50  
172 mL with 25 mL of DW,  $\text{N}_2$ -NBW, or Air-NBW, respectively. The concentration of  
173 protein in the filtrated supernatant from each bottle was daily monitored during the 8  
174 days' hydrolysis to illustrate the effect of NBW addition. During the acidogenesis  
175 process, except the use of glucose (1500 mg/L) instead of protein as the model

176 substrate, all the other operation conditions were the same as the hydrolysis step.  
177 During the examination, the concentration of glucose in the filtrated supernatant from  
178 each bottle was analyzed by sampling every 3 h for 12 h for to assess the effect of  
179 NBW addition on the acidogenesis step. Finally, HAc was used as the model substrate  
180 for the methanogenesis stage due to the fact that HAc was the main contributor to  
181 methane production according to the VFAs measurement in this study (section 3.1.2).  
182 A mixture with 90 mg of HAc (1500 mg/L) and 30 mL of inoculum was added into  
183 the designated bottles, then 30 mL of DW, N<sub>2</sub>-NBW, or Air-NBW was added to make  
184 the total volume up to 60 mL. The biogas composition was analyzed every 2 days to  
185 calculate the cumulative methane yield during the 10 days' methanogenesis stage.

186 In all the above experiments prior to start, each bottle was firstly sealed with a  
187 rubber stopper and an resin crimp cap, and then flushed with high-purity N<sub>2</sub> gas ( $\geq$   
188 99.9995%) for 1 min for 3 times to remove oxygen and finally placed in a  
189 temperature-controlled incubator ( $38 \pm 1^\circ\text{C}$ ).

### 190 *2.3 Microbial enzyme activities at each stage*

191 To a great extent, the stability and efficiency of AD of organic wastes depend on  
192 the activity of microorganisms, and the main functional microorganisms in each stage  
193 are different in the two-stage AD process (Ma et al., 2019). Thus, the major microbial  
194 enzyme activities were evaluated in this study, aiming to provide insights into the  
195 effects of NBW addition on the two-stage AD of FW.

196 Four extracellular hydrolases, i.e. alkaline phosphatase (ALP), acid phosphatase  
197 (ACP),  $\alpha$ -glucosidase, and protease (at the end of the first stage), and coenzyme F<sub>420</sub>  
198 (at the end of the second stage) were measured. For their analysis, the activities of  
199 ALP, ACP, and  $\alpha$ -glucosidase were measured according to Goel et al. (1998). 0.1%  
200 *p*-nitrophenyl phosphate disodium salt (pnpP), 0.1% pnpP, and 0.1%



201 *p*-nitrophenyl- $\alpha$ -D-glucopyranoside were used as substrates for the determination of  
202 ALP, ACP, and  $\alpha$ -glucosidase, respectively. Besides, the activity of protease was  
203 analyzed according to the Folin-Phenol reagent method with 10 mg/mL of casein as  
204 the substrate (Dai et al., 2017; Ledoux and Lamy, 1986). Finally, coenzyme F<sub>420</sub> was  
205 measured according to the method described by Delafontaine et al. (1979) and Dong et  
206 al. (2019).

207 In this study, the relative enzyme activity was calculated to evaluate the effect of  
208 NBW addition on microorganisms according to Eq. (1).

$$209 \quad \text{Relative enzyme activity (\%)} = \frac{A_{\text{NBW}}}{A_{\text{DW}}} \times 100\% \quad (1)$$

210 where  $A_{\text{NBW}}$  and  $A_{\text{DW}}$  represent the absorbance at the same wavelength of the NBW  
211 added sample and DW added sample, respectively.

#### 212 2.4 Other analytical methods and calculations

213 The determinations of total solid (TS) and VS of FW and inoculum were based  
214 on the standard methods (APHA, 2012). All liquid samples were filtered through 0.45  
215  $\mu\text{m}$  membrane filters before being analyzed and all the measurements were performed  
216 in triplicate. The concentrations of protein and glucose were determined by Lowry's  
217 method and Phenol-sulfuric acid method, respectively (Dubois et al., 1956; Lowry et  
218 al., 1951). Soluble total organic carbon (sTOC) was determined by a total carbon  
219 analyzer (TOC-VCSN with ASI-V autosampler, Shimadzu, Japan). pH value was  
220 determined with a semi-solid pH meter (Testo 206, Germany). According to the  
221 detailed description of Huang et al. (2016), the concentration of individual VFA,  
222 including the HAc, propionic acid (HPr), *iso*-butyric acid (*iso*-HBu), *n*-butyric acid  
223 (*n*-HBu), *iso*-valeric acid (*iso*-HVa), and *n*-valeric acid (*n*-HVa)), were determined by  
224 a gas chromatograph (GC-8A, Shimadzu, Japan) equipped with Unisole F-200 30/60

225 column and flame ionization detector.

226 For the gas samples, the volume of biogas production was measured using 50  
227 and 20 mL of gas-tight syringes and normalized to standard temperature and pressure  
228 (25 °C, 1 atm). The contents of H<sub>2</sub>, CH<sub>4</sub>, and CO<sub>2</sub> in biogas were analyzed using a gas  
229 chromatograph (GC-8A, Shimadzu, Japan) after sampling 1 mL biogas produced and  
230 the volumes of hydrogen and methane were calculated according to Eq. (2).

$$231 \quad V_t = C_t \cdot V_{biogas,t} + V_{head} \cdot (C_t - C_{t-1}) \quad (2)$$

232 Additionally, the productions of hydrogen or methane during the interval between  $t$   
233 and  $t-1$  were calculated based on VS in this study, according to Eq. (3).

$$234 \quad Y_t = \frac{V_t}{\text{Total VS}} \quad (3)$$

235 where  $V_t$  (H<sub>2</sub> or CH<sub>4</sub>) (mL) is the volume of hydrogen or methane produced during the  
236 interval between times  $t$  and  $t-1$ ;  $C_t$  (%) and  $C_{t-1}$  (%) are the contents of hydrogen or  
237 methane at times  $t$  and  $t-1$ ;  $V_{biogas,t}$  (mL) is the volume of biogas produced at time  $t$ ;  
238  $V_{head}$  (mL) is the headspace volume of the bottle;  $Y_t$  (H<sub>2</sub> or CH<sub>4</sub>, mL/g-VS) is the yield  
239 of hydrogen or methane based on VS during the interval between  $t$  and  $t-1$ .

240 In the BHP and BMP tests, the experimental data of cumulative hydrogen or  
241 methane yield in each stage was fitted to the modified Gompertz model as in Eq. (4).

$$242 \quad H_t \text{ or } M_t = P \exp\left\{-\exp\left[\frac{R_{max}}{P}(\lambda-t)\right]\right\} \quad (4)$$

243 where  $H_t$  or  $M_t$  (mL/g-VS) is the specific hydrogen or methane yield at a given time  $t$ ;  
244  $P$  (mL/g-VS) is the maximum hydrogen or methane production potential;  $R_{max}$   
245 (mL/g-VS·h or mL/g-VS·d) is the maximum hydrogen or methane production rate;  $\lambda$   
246 (h or d) is the lag time;  $t$  (h or d) is the experimental duration; and  $e = 2.7183$ .

247 In this study, the energy recovery from the two-stage AD of FW with or without  
248 the NBW addition was calculated according to Eq. (5).

249 
$$E_r = 12.78 \times Y_{H_2, \text{yield}} + 40.03 \times Y_{CH_4, \text{yield}} \quad (5)$$

250 where  $E_r$  (kJ/g-VS) is the energy recovery from the two-stage AD of FW;  
251  $Y_{H_2, \text{yield}}$  (L/g-VS) is the hydrogen yield in the first stage;  $Y_{CH_4, \text{yield}}$  (L/g-VS) is the  
252 methane yield in the second stage. The heating value of H<sub>2</sub> (12.78 kJ/L) or CH<sub>4</sub> (40.03  
253 kJ/L) under 1 atm and 25°C was estimated according to the density and calorific value  
254 of H<sub>2</sub> or CH<sub>4</sub> gas, i.e., 0.09 kg/m<sup>3</sup> and 0.72 kg/m<sup>3</sup> or 142 kJ/g and 55.6 kJ/g,  
255 respectively (Silva et al., 2018).

### 256 2.5 Statistical analysis

257 All the experiments were carried out in duplicate and the sample was measured  
258 in triplicate. The data were processed by Microsoft Excel 2010 and expressed as the  
259 mean value ± standard deviation (SD). One-way analysis of variance (ANOVA) was  
260 also applied for statistical analysis and statistical significance was assumed at  $p < 0.05$ .  
261 Origin 9.0 (Origin Lab, trial version) was used to draw and analyze the fitting curve.

262

## 263 3. Results and discussion

### 264 3.1 Hydrogen production from the first stage of two-stage AD of FW

#### 265 3.1.1 Hydrogen yield and kinetics analysis

266 The results of hourly H<sub>2</sub> production and cumulative H<sub>2</sub> yield in the BHP tests  
267 with the addition of DW or NBW (N<sub>2</sub>-NBW and Air-NBW) are shown in Fig.1. It's  
268 important to mention that during the whole H<sub>2</sub> production process, no methane  
269 production was detected. The hourly H<sub>2</sub> productions from all the bottles were almost  
270 identical during the first 20 h ( $p = 0.98 > 0.05$ ) and then increased to the peak values  
271 of  $13.08 \pm 0.06$ ,  $15.07 \pm 0.46$ , and  $16.10 \pm 0.03$  mL/g-VS·h at 32 h in FW+DW,  
272 FW+N<sub>2</sub>-NBW, and FW+Air-NBW, respectively (Fig. 1 a). In addition, the hourly H<sub>2</sub>  
273 productions from the NBW added reactors were also higher than that from the control

274 with DW addition after reaching the peak values. These results indicate that addition  
275 of NBW can enhance H<sub>2</sub> production. Similarly, the cumulative H<sub>2</sub> yields from the  
276 NBW added reactors were greater than that from the DW added reactor. It is  
277 interesting to notice that the maximum cumulative H<sub>2</sub> yield ( $27.31 \pm 1.21$  mL/g-VS)  
278 was obtained in the Air-NBW added reactor, about 38% higher than that from the  
279 control ( $19.79 \pm 0.07$  mL/g-VS). Meanwhile, the cumulative H<sub>2</sub> yield ( $25.42 \pm 0.37$   
280 mL/g-VS) from the N<sub>2</sub>-NBW added reactor was 28% higher than that from the control.  
281 This enhancement by NBW addition may be due to the fact that NBs in the NBW will  
282 collapse when contacted with the organic matters in FW to generate OH· free radicals,  
283 which could oxidize and decompose the organic matter, thereby accelerating the  
284 hydrolysis of FW (Ghadimkhani et al., 2016; Takahashi et al., 2007). On the other  
285 hand, the uneven mass transfer of organic matters in FW is regarded as one of the  
286 main reasons for its low biogas production efficiency. A previous work by Hu and Xia  
287 (2018) indicates that micro-nanobubbles might promote mass transfer efficiency,  
288 resulting in enhanced utilization and conversion efficiency of organic substrates. In  
289 comparison, although N<sub>2</sub>-NBW can ensure a strictly anaerobic environment, the  
290 cumulative H<sub>2</sub> yield was 10% lower than that from the Air-NBW added reactor. This  
291 implies that the introduction of trace amounts of air (or O<sub>2</sub>) would increase rather than  
292 inhibit hydrogen production. This observation is consistent with that by Fu et al.  
293 (2020) who found that the maximum hydrogen yield of 24.3 mL/g VS was achieved  
294 at an oxygen loading of 5 mL/g-VS, about 70% higher than that without  
295 micro-aeration during the two-stage AD of corn straw. In this study, the micro-aerobic  
296 environment was realized by adding Air-NBW, which needs further in-depth  
297 investigations before being applied in practice.

298

**Fig. 1**

299 The relevant parameters of the first stage were obtained by fitting the BHP  
300 experimental data to the Modified Gompertz model (Table 2). The results show that  
301 the maximum  $P_{H_2}$  (27.66 mL/g-VS) and  $R_{H_2, max}$  value (4.37 mL/g-VS·h) were  
302 obtained in the Air-NBW added reactor, which was consistent with the actual  
303 experimental results in the BHP tests. In addition, the lag time ( $\lambda$ ) was reduced slightly,  
304 in the NBW added reactors (16.88-17.10 h) compared to the control (17.56 h). It  
305 indicates that although the addition of NBW resulted in some change of the original  
306 environment for the microorganisms, it didn't affect the start-up of the reactor.

### 307 **Table 2**

#### 308 *3.1.2 VFAs evolution in the first-stage AD*

309 The individual VFA and the total VFAs concentrations at the end of BHP tests  
310 are shown in Fig. 2 a. In comparison to the control, the total VFAs concentration was  
311 detected higher under NBW addition, increasing about 9% and 10% in FW+N<sub>2</sub>-NBW  
312 and FW+Air-NBW reactors, respectively. It is worth noting that HAc and HBU were  
313 the major VFAs during the hydrogen production stage, amounting to > 90% of the  
314 total VFAs concentration, in agreement with previous observations (Luo et al., 2010;  
315 Yuan et al., 2019).

316 In this study, the highest HAc production ( $2954.09 \pm 48.34$  mg/L) was detected  
317 in the FW+Air-NBW reactor, which is corresponding to its highest H<sub>2</sub> production.  
318 However, the HBU concentration followed a descending order of FW+N<sub>2</sub>-NBW  
319 ( $1889.62 \pm 0.45$  mg/L) > FW+Air-NBW ( $1775.84 \pm 61.51$  mg/L) > FW+DW  
320 ( $1583.59 \pm 2.12$  mg/L). This means that the addition of Air-NBW is more conducive  
321 to HAc production, while the addition of N<sub>2</sub>-NBW is beneficial for HBU production.  
322 The molar ratio of HBU to HAc (B/A ratio), as a proportional indicator of H<sub>2</sub> yields  
323 from per mole hexose (Ghimire et al., 2015), is plotted in Fig. 2 b. Only a slight

324 improvement in B/A ratio was observed in the NBW added reactors, which was 0.40,  
325 0.45, and 0.42 in the FW+DW, FW+N<sub>2</sub>-NBW, and FW+Air-NBW reactors,  
326 respectively. This result indicates that the addition of NBW may not alter the  
327 metabolic pathway to product hydrogen, and the increase of hydrogen yield might be  
328 contributed by the improved hydrolysis as discussed later.

## 329 **Fig. 2**

### 330 *3.2 Methane production from the second-stage of the two-stage AD of FW*

#### 331 *3.2.1 Daily methane production and cumulative methane yield*

332 The remaining hydrolyzed and acidified organic slurries or mixtures at the end of  
333 BHP tests were further used as the substrates for methane production in the  
334 subsequent BMP tests. The results of daily CH<sub>4</sub> production and cumulative CH<sub>4</sub> yield  
335 are presented in Fig. 3. Regarding the daily CH<sub>4</sub> production (Fig. 3 a), all the test  
336 conditions behaved almost same during the first 6 days and all the reactors reached  
337 the peak values on day 8. However, the FW+Air-NBW reactor achieved the highest  
338 peak value of  $133.90 \pm 0.56$  mL/g-VS·d, in comparison to  $87.22 \pm 6.28$  and  $115.17 \pm$   
339  $0.20$  mL/g-VS·d from the FW+DW and FW+N<sub>2</sub>-NBW reactors, respectively, which is  
340 in agreement with the VFAs concentrations in the three reactors (Fig. 2 a). It has been  
341 claimed that a high VFAs concentration is beneficial for methane production (De  
342 Gioannis et al., 2017). The BMP tests lasted 24 d and the cumulative CH<sub>4</sub> yields from  
343 the NBW reactors were significantly higher than the control with DW addition ( $p$   
344  $=0.01 < 0.05$ , Fig. 3 b). Similarly, the cumulative CH<sub>4</sub> yield followed a descending  
345 order of FW+Air-NBW ( $373.63 \pm 3.58$  mL/g-VS) > FW+N<sub>2</sub>-NBW ( $347.63 \pm 7.05$   
346 mL/g-VS) > FW+DW ( $300.93 \pm 3.24$  mL/g-VS), increasing by 24% and 16% when  
347 compared with the FW+DW reactor, respectively. This observation clearly shows that  
348 the addition of NBW can positively effect both hydrogen and methane productions in

349 the two-stage AD of FW, especially under Air-NBW addition. This result also proves  
350 once again that the micro-aerobic environment created by Air-NBW addition can  
351 promote rather than inhibit AD process. Similar phenomenon could be found in a  
352 previous study (Wang et al., 2020b), in which enhanced methane production from AD  
353 of cellulose was achieved when O<sub>2</sub>-containing gas NBW was supplemented. At the  
354 end of methanogenesis, the final VS degradation rates in the FW+DW, FW+N<sub>2</sub>-NBW,  
355 and FW+Air-NBW reactors were 65.97% ± 0.92%, 71.18% ± 0.04%, and 74.33% ±  
356 0.21%, respectively, indicating that addition of NBW can improve VS decomposition  
357 thus enhance hydrogen and methane production from the two-stage AD of FW. The  
358 above results also suggest that NBW-based two-stage AD process can successfully  
359 improve energy recovery from the AD of FW with no chemicals addition and no  
360 secondary pollution.

### 361 **Fig. 3**

#### 362 *3.2.2 Kinetics involved in biomethane production*

363 The methanogenesis stage was also described with the parameters of  $P$ ,  $R_{max}$ , and  
364  $\lambda$  by fitting the experimental data to the Modified Gompertz model as shown in Table  
365 2. The maximum  $P_{CH_4}$  (375.25 mL/g-VS) and  $R_{CH_4,max}$  (174.84 mL/g-VS·d) were also  
366 obtained in the Air-NBW added reactor, followed by the N<sub>2</sub>-NBW added reactor ( $P_{CH_4}$   
367 = 346.58 mL/g-VS,  $R_{CH_4,max}$  = 133.90 mL/g-VS·d) and the control ( $P_{CH_4}$  = 300.93  
368 mL/g-VS,  $R_{CH_4,max}$  = 100.29 mL/g-VS·d). When compared to the control, a  
369 remarkable increase in  $R_{CH_4,max}$  by about 34% was obtained in the N<sub>2</sub>-NBW added  
370 reactor, with an increase by 74% in the Air-NBW added reactor. This observation  
371 further confirms that addition of NBW is beneficial for methane production. In  
372 addition, the lag phase of methanogenesis was not significantly different among the  
373 three test conditions, about 4.02-4.44 d, but was much shorter than that of the

374 one-stage AD (8.24 d) in a previous study by using the same FW (Hou et al., 2020).

375 This might be attributable to the fact that much more available substrates were

376 produced from the first stage of the two-stage AD (Fu et al., 2020).

377 *3.3 Effect of NBW addition on the specific individual step involved in the two-stage*

378 *AD by using the model substrates*

379 In this study, the effects of NBW addition on the hydrolysis/acidogenesis and  
380 methanogenesis were explored by using the model substrates to better understand the  
381 effects of NBW addition on the two-stage AD and the results are shown in Fig 4. As  
382 shown in Figs. 4 a and b, the hydrolysis and acidogenesis steps were significantly  
383 enhanced with the addition of NBW, especially under Air-NBW addition ( $p = 0.02$  and  
384  $0.01 < 0.05$ ). Fig. 4 a shows that the concentration of protein decreased by  $1190.18 \pm$   
385  $36.07$ ,  $1397.56 \pm 45.08$ , and  $1433.62 \pm 9.02$  mg/L and the degradation rates of protein  
386 were 67%, 79%, and 83% in the FW+DW, FW+N<sub>2</sub>-NBW, and FW+Air-NBW reactors,  
387 respectively. Similarly, the concentration of glucose decreased by  $1098.54 \pm 76.5$ ,  
388  $1208.7 \pm 39.78$ , and  $1315.8 \pm 0.00$  mg/L and the degradation rates of glucose were  
389 69%, 76%, and 83% in the FW+DW, FW+N<sub>2</sub>-NBW, and FW+Air-NBW reactors,  
390 respectively (Fig. 4 b). The increase in degradation rates of these two model  
391 substrates further illustrates that the addition of NBW enhanced the hydrolysis and  
392 acidogenesis during the two-stage AD process. For the methanogenesis (Fig. 4 c), the  
393 cumulative methane yields were  $94.89 \pm 4.51$ ,  $100.93 \pm 4.92$ , and  $126.79 \pm 5.12$   
394 mL/g-HAc in the FW+DW, FW+N<sub>2</sub>-NBW, and FW+Air-NBW reactors, respectively.  
395 Most recently, Yang et al. (2019) found that the addition of N<sub>2</sub>-NBW did not  
396 significantly enhance the methanogenesis of acetate, which agrees with the result  
397 from this study. The cumulative methane yield was only increased by 6% in the  
398 N<sub>2</sub>-NBW added reactor when compared to the control ( $p = 0.85 > 0.05$ ) in this study.



399 However, the methanogenesis was significantly enhanced with cumulative methane  
400 yield increased by 33% under Air-NBW addition ( $p = 0.04 < 0.05$ ). Therefore, the  
401 positive effect of N<sub>2</sub>-NBW addition on the two-stage AD of FW could attribute to the  
402 enhancement of hydrolysis/acidogenesis, while the addition of Air-NBW can not only  
403 promote the hydrolysis/acidogenesis of FW but also enhance the methanogenesis.  
404 This result was further confirmed by the enzyme activity as shown in section 3.4.

#### 405 **Fig. 4**

##### 406 *3.4 Effects of NBW addition on the microbial enzyme activities at each stage*

407 The relative activities of ALP, ACP,  $\alpha$ -glucosidase, and protease at the end of the  
408 first stage (hydrolysis/acidification) and coenzyme F<sub>420</sub> at the end of the second stage  
409 (methanogenesis) were determined and calculated to provide insights into the effects  
410 of NBW on microorganisms in the two-stage AD of FW. Clearly, the activities of four  
411 extracellular hydrolases under NBW addition were higher than those in the control  
412 (Fig. 5 a). In particular, the addition of Air-NBW achieved the highest activities of  
413 ALP, ACP,  $\alpha$ -glucosidase, and protease, increasing by 29%, 47%, 14%, and 8% in  
414 comparison to the control, respectively. It has been reported that the phosphatases,  
415 including ALP and ACP, can catalyze the hydrolysis of organic phosphate esters and  
416 protease is capable of hydrolyzing soluble proteins into amino acids (Mu and Chen,  
417 2011; Wan et al., 2020). In addition, the activity of  $\alpha$ -glucosidase in the FW+N<sub>2</sub>-NBW  
418 reactor increased by 23% compared with the control. The  $\alpha$ -glucosidase is considered  
419 as a crucial enzyme for the conversion of disaccharides and oligosaccharides into  
420 monosaccharides (Ni et al., 2020). The increase of bioactivity in these hydrolases  
421 verified that addition of NBW could enhance the hydrolysis of FW under the test  
422 first-stage conditions.

423 As it is known, coenzyme F<sub>420</sub> plays a critical role in the process of

424 methanogenesis, which represents the conversion activity of the electron donor-driven  
425 by redox proton translocation in the methanogenic archaea (Mu and Chen, 2011). Fig.  
426 5 b shows that the relative activity of  $F_{420}$  increased by 4% and 34% in the  
427 FW+N<sub>2</sub>-NBW and FW+Air-NBW reactors in comparison to the control, respectively.  
428 Clearly, the addition of Air-NBW has a significantly positive effect on the activity of  
429 coenzyme  $F_{420}$ , resulting in improved methane production. This observation further  
430 confirms that the improvement on hydrogen and methane production from the  
431 two-stage AD of FW through Air-NBW supplementation may involve in enhanced  
432 microbial activities in both stages, i.e., hydrolysis/acidification and methanogenesis.

### 433 **Fig. 5**

434 As seen from the above results, Air-NBW is more suitable than N<sub>2</sub>-NBW for the  
435 two-stage AD of FW to produce hydrogen and methane sequentially due to the  
436 following two reasons. Firstly, the air is preferable and free everywhere, which is  
437 easier to obtain than nitrogen. Thus, the manufacturing of Air-NBW can reduce cost  
438 and operation difficulty. Secondly, the addition of Air-NBW showed superior  
439 effectiveness than that of N<sub>2</sub>-NBW in the test two-stage AD of FW either in terms of  
440 hydrogen production or methane production.

### 441 *3.5 Energy recovery from the two-stage AD of FW with or without NBW addition*

442 The energy recovery from H<sub>2</sub> and CH<sub>4</sub> in the two-stage AD of FW with or  
443 without NBW addition was analyzed as shown in Table 3. It is worth noting that the  
444 two-stage AD of FW achieved much higher energy recovery (12.30 kJ/g-VS in  
445 FW+DW) than one-stage AD using the same FW (9.68 kJ/g-VS) (Hou et al., 2020).  
446 This observation to some extent is in agreement with a previous work by Yuan et al.  
447 (2019) who reported 10% higher energy yield obtained in the two-stage AD of FW  
448 than the one-stage AD of FW. Owing to the addition of Air-NBW, the increment in

449 energy recovery from the two-stage AD of FW is about 62% than the one-stage AD of  
450 FW. As pointed out by Fu et al. (2020), the first stage of two-stage AD can be  
451 regarded as biological pretreatment of high organic content wastes (such as FW in this  
452 study), in which hydrogen energy recovery and substrates pretreatment are realized  
453 simultaneously. In this study, the highest total energy recovery was obtained from the  
454 FW+Air-NBW reactor ( $15.31 \pm 0.16$  kJ/g-VS), increasing by 24% when compared to  
455 the FW+DW reactor.

456

### **Table 3**

457 When considering the electricity consumption involved in manufacturing of  
458 NBW, the economic assessment is also necessary. In this study, Air-NBW addition  
459 was used as an example. The generation capacity of the NBW generator is 12-22  
460 L/min (the median generation capacity (17 L/min) was used for calculation) at a  
461 power consumption of 0.75 kW·h. When 1 tonne of FW is treated, the required 4500  
462 L of Air-NBW will consume 3.31kWh of electricity. The comparative economic  
463 assessment results between the FW+DW reactor and FW+Air-NBW reactor are  
464 shown in Table 4. The increase in generated electricity from the FW+Air-NBW  
465 reactor is about 23% when compared to the FW+DW reactor. When taking the more  
466 TS and VS reductions into consideration, supplementation of Air-NBW can be  
467 considered as an environmentally friendly technology for more renewable energy  
468 recovery from the two-stage AD of FW.

469

### **Table 4**

## 470 **4. Conclusions**

471 The addition of NBW can achieve higher H<sub>2</sub> and CH<sub>4</sub> yields from the two-stage  
472 AD of FW than the control, especially under Air-NBW addition which increased H<sub>2</sub>  
473 yield by 38% and CH<sub>4</sub> yield by 24%. The relative activities of hydrolases increased

474 by 8-47% in the first stage, and the activity of coenzyme F<sub>420</sub> was significantly  
475 enhanced by 34% in the second stage with addition of Air-NBW. Therefore, the  
476 developed two-stage AD of FW with Air-NBW supplementation can enhance  
477 hydrogen and methane production simultaneously, greatly increasing (23%) the  
478 energy recovery from the whole AD system. This two-stage AD process is a  
479 promising and environmentally friendly approach, targeting the maximization of  
480 renewable energy recovery from FW.

481

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485

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620

621 **Table 1**

622 Physicochemical characteristics of the food waste and inoculum used in this study

Parameter	Food waste	Inoculum
Total solids (TS, %) <sup>a</sup>	13.08 ± 0.12	0.70 ± 0.01
Volatile solid (VS, %) <sup>a</sup>	8.93 ± 0.18	0.45 ± 0.03
Total volatile fatty acids (VFAs, mg/L)	5287.74 ± 67.57	9.77 ± 1.70
Soluble total organic carbon (sTOC, mg/L)	10191.74 ± 21.63	171.61 ± 0.22

623 <sup>a</sup> Wet weight basis.

624

625

626

627 **Table 2**

628 Parameters estimated by fitting the experimental data from the first-stage and  
 629 second-stage AD tests to the Modified Gompertz model

Stage/Reactors	Parameters in the modified Gompertz model			
The first-stage AD of FW				
	$P_{H2}$ (mL/g-VS)	$R_{H2,max}$ (mL/g-VS·h)	$\lambda$ (h)	$R^2$
FW+DW	19.84 ± 0.05	3.87 ± 0.08	17.56 ± 0.08	0.9999
FW+N <sub>2</sub> -NBW	24.52 ± 0.15	4.32 ± 0.14	16.88 ± 0.22	0.9996
FW+Air-NBW	27.66 ± 0.29	4.37 ± 0.22	17.10 ± 0.41	0.9990
The second-stage AD of FW				
	$P_{CH4}$ (mL/g-VS)	$R_{CH4,max}$ (mL/g-VS·d)	$\lambda$ (d)	$R^2$
FW+DW	300.93 ± 2.78	100.29 ± 4.10	4.02 ± 0.17	0.9981
FW+N <sub>2</sub> -NBW	346.58 ± 3.49	133.90 ± 6.77	4.05 ± 0.19	0.9974
FW+Air-NBW	375.25 ± 3.01	174.84 ± 8.33	4.44 ± 0.15	0.9981

630

631

632 **Table 3**

633 Energy recovery from the two-stage AD of FW with or without NBW addition

Reactors	Energy recovery (kJ/g-VS)		
	H <sub>2</sub> production in the first stage	CH <sub>4</sub> production in the second stage	Two-stage AD
FW+DW	0.25 ± 0.00	12.05 ± 0.13	12.30 ± 0.13
FW+N <sub>2</sub> -NBW	0.32 ± 0.00	13.92 ± 0.28	14.24 ± 0.28
FW+Air-NBW	0.35 ± 0.02	14.96 ± 0.14	15.31 ± 0.16

634

635

636 **Table 4**

637 Comparative results of economic assessment between the FW+DW reactor and

638 FW+Air-NBW reactor when treating 1 tonne of FW

Reactors	H <sub>2</sub> yield (L)	Heating value of H <sub>2</sub> (kJ)	CH <sub>4</sub> yield (L)	Heating value of CH <sub>4</sub> (kJ)	Total heating value (kJ)	Generated electricity (kWh)
FW+DW	1,767	22,582	26,873	1,075,726	1,098,308	305.09
FW+Air-NBW	2,439	31,170	33,365	1,335,601	1,366,771	376.35

639 The unit conversion from kJ to kWh is 1/3600.

640

641

642 **Figure captions**

643 **Fig. 1.** Time courses of daily H<sub>2</sub> production (a) and cumulative H<sub>2</sub> yield (b) under DW  
644 and NBW (N<sub>2</sub>-NBW and Air-NBW) addition. DW: deionized water, NBW:  
645 nanobubble water.

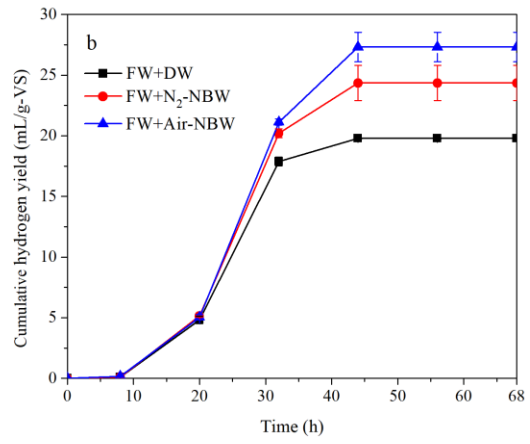
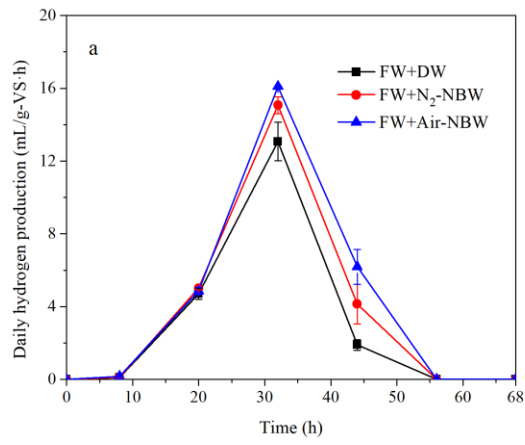
646 **Fig. 2.** The individual VFA and total VFAs concentrations (a) and the molar H<sub>Bu</sub>/H<sub>Ac</sub>  
647 (B/A) ratio and H<sub>2</sub> yield (b) at the end of the first stage under DW and NBW  
648 (N<sub>2</sub>-NBW and Air-NBW) addition. VFA: volatile fatty acid, DW: deionized water,  
649 NBW: nanobubble water.

650 **Fig. 3.** Time courses of daily CH<sub>4</sub> production (a) and cumulative CH<sub>4</sub> yield (b) under  
651 DW and NBW (N<sub>2</sub>-NBW and Air-NBW) addition. DW: deionized water, NBW:  
652 nanobubble water.

653 **Fig. 4.** Effects of NBW (N<sub>2</sub>-NBW and Air-NBW) addition on the specific individual  
654 step involved in two-stage anaerobic digestion by using the model substrates: (a)  
655 variation of protein concentration during hydrolysis step; (b) variation of glucose  
656 concentration during acidogenesis step; and (c) cumulative methane yield from H<sub>Ac</sub>  
657 during methanogenesis. NBW: nanobubble water.

658 **Fig. 5.** Effects of NBW (N<sub>2</sub>-NBW and Air-NBW) addition on the relative activity of  
659 ALP, ACP, protease,  $\alpha$ -glucosidase, and protease at the end of the first stage  
660 (hydrolysis/acidification, a), and the relative activity of coenzyme F<sub>420</sub> at the end of  
661 the second stage (methanogenesis, b). NBW: nanobubble water, ALP: alkaline  
662 phosphatase, ACP: alkaline phosphatase.

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665 **Figure 1**

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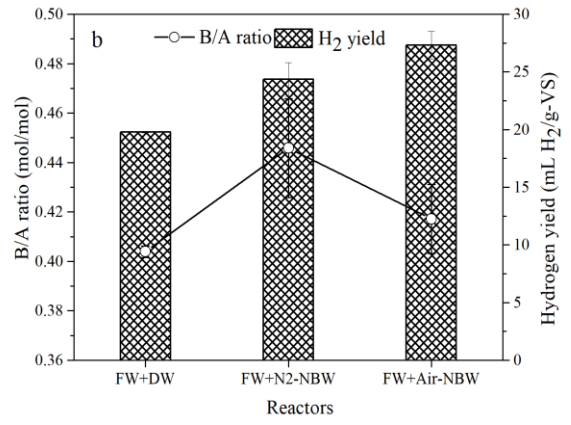
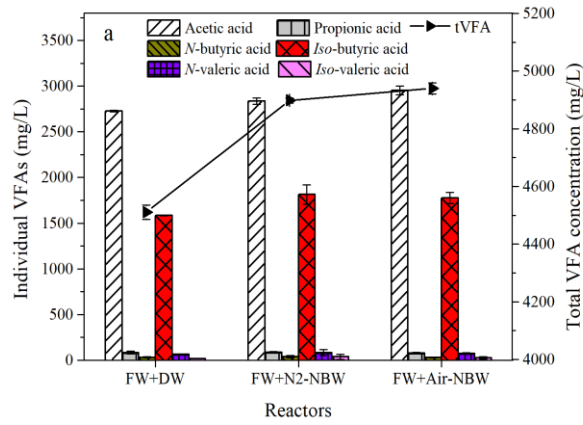
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683 **Figure 2**

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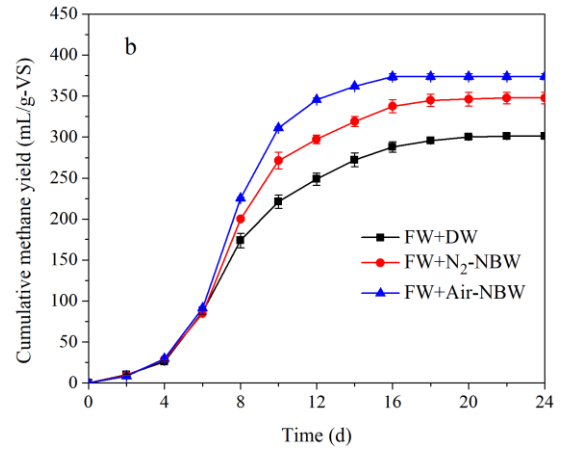
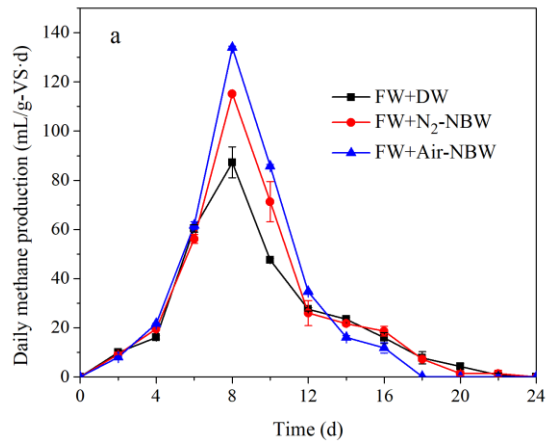
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701 **Figure 3**

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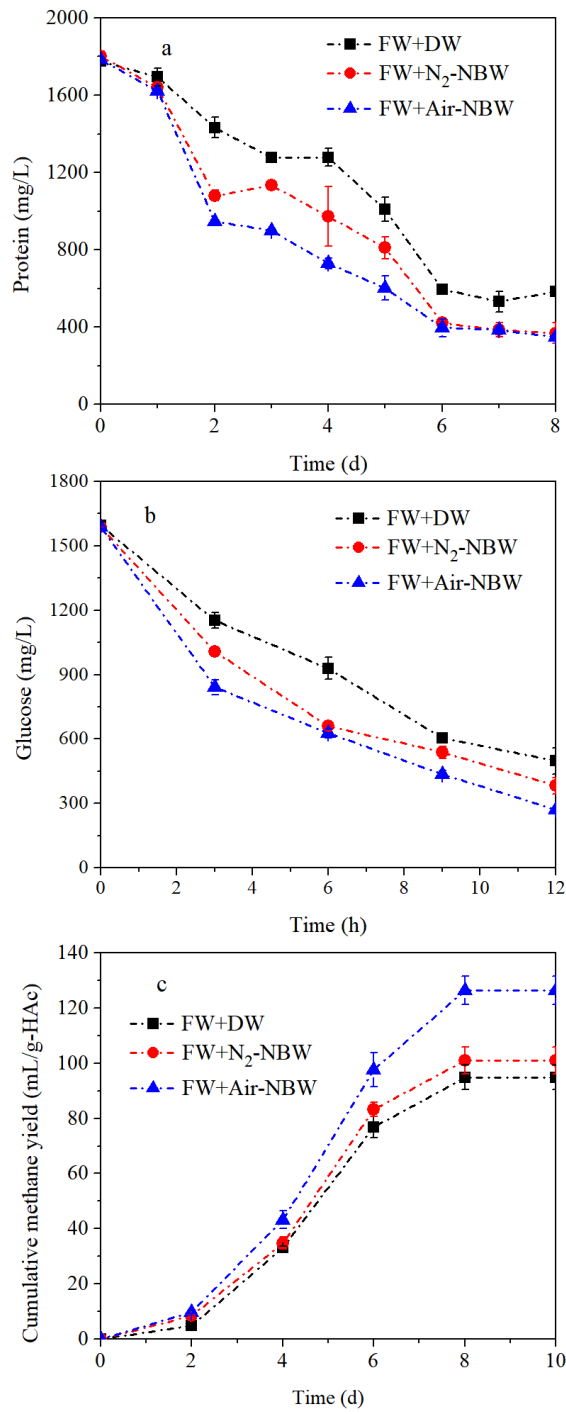
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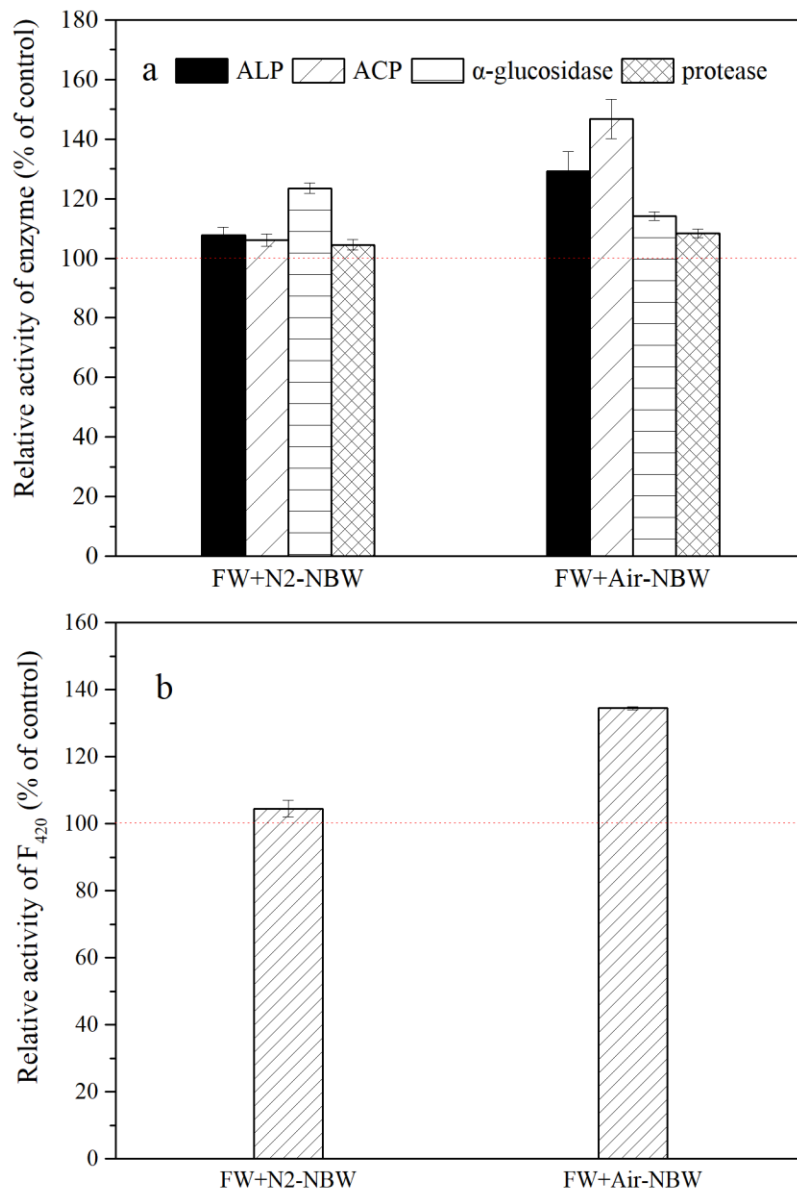
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719 **Figure 4**

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724 **Figure 5**

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