

## PARTIALLY ORDERED RINGS II

By

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**Abstract.** This paper is a continuation of [6]. We study partially ordered rings in terms of non-negative semi-cones and convex ideals, considering order-preserving homomorphisms, residue class rings, and certain product rings, etc.

### 1. Introduction

Partially ordered rings have been considered by several authors. Especially, the systematic foundation of lattice-ordered rings has been given by Birkhoff and Pierce [2]. Recently, an interesting result of a lattice-ordered skew field has been obtained in [10].

In this paper, we assume that all rings are non-zero commutative rings with identity. The symbol  $R$  means such a ring with the identity element denoted by 1, and  $I$  means an ideal of  $R$  (similar, for  $R'$  and  $I'$ ), unless otherwise stated.

We shall consider commutative, partially ordered rings. As is well-known, for a ring  $R$ , there is a bijection between the set of partial orders of  $R$  which make it into a partially ordered ring and the set of those  $S$  of  $R$  having properties:  $S \cap -S = \{0\}$ ;  $S + S \subset S$  ( $S$  is closed under addition);  $SS \subset S$  ( $S$  is closed under multiplication). In the previous paper [6], we call a subset  $S$  of  $R$  satisfying these three conditions a non-negative semi-cone as a generalization of “positive cones” of integral domains, as well as, “non-negative cones” of rings. For a partially ordered ring  $R$ , in order that the residue class ring  $R/I$  be a partially ordered ring with the canonical order induced from  $R$ ,  $I$  is precisely a convex ideal, as is well-known ([4]). The concepts of “non-negative semi-cones” and the “convex ideals” play important roles in the theory of partially ordered rings (see [1], [2], [3] and

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[4], etc.). In view of these concepts, we shall study partially ordered rings, considering order-preserving homomorphisms, idempotents, residue class rings, and certain product rings, etc. Also, we give characterizations for typical subsets of the product rings to be non-negative semi-cones, and a characterization for non-negative cones of a certain product ring of a field.

## 2. Non-negative Semi-cones and Convex Ideals

Let  $A, B$  be subsets of  $R$ . Define  $-A = \{-x \mid x \in A\}$ ,  $A + B = \{x + y \mid x \in A, y \in B\}$ ,  $AB = \{xy \mid x \in A, y \in B\}$ ,  $aB = Ba = \{a\}B$  for  $a \in R$ , and  $A \setminus \{0\} = \{x \mid x \in A, x \neq 0\}$ . Also, for a subset  $C$  of  $R'$ , define  $A \times C = \{(x, y) \mid x \in A, y \in C\}$ .

First, let us recall some basic definitions used in this paper. For other terminologies, see [4], [6], etc.

DEFINITION 2.1. A subset  $S$  of a ring  $R$  is a *non-negative semi-cone* ([6]) (resp. *non-negative cone* ([5])) of  $R$  if  $S$  satisfies the following (i), (ii), and (iii) (resp. (i), (ii), (iii), and (iv)):

- (i)  $S \cap (-S) = \{0\}$ .
- (ii)  $S + S \subset S$ .
- (iii)  $SS \subset S$ .
- (iv)  $R = S \cup (-S)$ .

A subset  $S$  of  $R$  is a *positive cone* ([5], [9]) of  $R$  if  $S$  satisfies the above (ii) and (iii), and  $S \cup (-S) = R \setminus \{0\}$ . For a positive cone  $S$ ,  $S \cup \{0\}$  is a non-negative cone.

We recall that  $(R, \leq)$  is a *partially ordered ring* (resp. *ordered ring*) if  $\leq$  is a partial order (resp. total order) on  $R$  such that  $a \leq b$  implies  $a + x \leq b + x$  for all  $x$ , and  $a \leq b$  and  $0 \leq x$  implies  $ax \leq bx$ . Also,  $(R, \leq)$  is an *ordered integral domain* if it is an ordered ring which is an integral domain.

We note that for a non-negative semi-cone  $S$  of a ring  $R$ , we induce a canonical partial order  $\leq_S$  in  $R$  by defining  $x \leq_S y$  by  $y - x \in S$ , and  $(R, \leq_S)$  is a partially ordered ring. Conversely, for a partially ordered ring  $(R, \leq)$ , we induce a canonical non-negative semi-cone  $S = \{x \mid 0 \leq x\}$  of  $R$  with  $\leq = \leq_S$ . These are also valid for the relationship between “non-negative cones (resp. positive cones)” and “ordered rings (resp. ordered integral domains)”. (A non-negative semi-cone  $S$  of a ring  $R$  is the set  $R^+$  of all positive elements\* of a po-ring (or partly ordered ring)  $(R, \leq_S)$  in [2]).

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\*For a partially ordered ring  $(R, \leq)$ , elements  $x$  of  $R$  satisfying  $x \geq 0$  are called *positive* in [2], [10], and other references.

DEFINITION 2.2. Let  $R, R'$  be rings. As is well-known,  $R \times R'$  is a ring under component-wise addition and multiplication (i.e., for  $(x, y), (z, w) \in R \times R'$ ,  $(x, y) + (z, w) = (x + z, y + w)$ , and  $(x, y) \cdot (z, w) = (xz, yw)$ ). Let us call such a ring  $R \times R'$  the *direct product ring*.

NOTATIONS. (1) The brief terminology “semi-cone” (resp. “cone”) is used as an abbreviation of “non-negative semi-cone” (resp. “non-negative cone”).

(2) The symbol  $(R, \leq)$  (or simply,  $R$ ) means a partially ordered ring, and  $S$  means the canonical semi-cone of  $R$ , and similar to the symbols  $(R', \leq')$  (or simply,  $R'$ ) and  $S'$ , unless otherwise stated.

(3) The symbol  $R \times R'$  means the direct product ring, unless otherwise stated.

An element  $e$  of a ring  $R$  is called an *idempotent* if  $e^2 = e$ . For an idempotent  $e$  of  $R$ ,  $f = 1 - e$  is also an idempotent of  $R$  with  $ef = 0$ ,  $e + f = 1$ .

The symbol  $\mathbf{Z}$  denotes the ring of integers, and  $\mathbf{Z}^*$  denotes the set of non-negative integers.

REMARK 2.3. Let  $S$  be a semi-cone of a ring  $R$ . Let  $a, b \in S \cup \mathbf{Z}^*$ . Then  $aS + bS$  ( $\subset S$ ) is obviously a semi-cone of  $R$  (here,  $aS + bS = S$  for  $a = 1$  or  $b = 1$ ). However, we have the following (1) and (2).

(1)  $S + S'$  need not be a semi-cone of  $R$  for a semi-cone  $S'$  of  $R$ , and similar to  $Se$  for an idempotent  $e$  of  $R$  (indeed, let  $R = \mathbf{Z} \times \mathbf{Z}$ . Let  $S = ((\mathbf{Z}^* \setminus \{0\}) \times \mathbf{Z}) \cup \{(0, 0)\}$ ,  $S' = (\mathbf{Z} \times (\mathbf{Z}^* \setminus \{0\})) \cup \{(0, 0)\}$ . Then  $S$  and  $S'$  are semi-cones of  $R$ . But,  $S + S' = R$ , and for an idempotent  $e = (0, 1)$ ,  $Se = \{0\} \times \mathbf{Z}$ . Then neither  $S + S'$  nor  $Se$  is a semi-cone of  $R$ ).

(2)  $SS$  need not be a semi-cone of  $R$  (indeed, let  $R = \mathbf{Z}$ , and  $S = 2\mathbf{Z}^* + 3\mathbf{Z}^*$ . Then  $S$  is a semi-cone of  $R$ , but  $SS$  is not a semi-cone, because  $4, 9 \in SS$ , but  $4 + 9 = 13 \notin SS$ ).

PROPOSITION 2.4. Let  $S$  be a semi-cone of a ring  $R$ , and let  $e$  and  $f = 1 - e$  be idempotents of  $R$  with  $e, f \neq 0$ . Then the following hold.

(1)  $Se$  is a semi-cone of  $Re$  iff  $Se \cap (-Se) = \{0\}$ . In particular, for  $Se \subset S$ ,  $Se$  is a semi-cone of  $Re$ .

(2) If  $S_1$  and  $S_2$  are semi-cones of  $Re$  and  $Rf$  respectively, then  $S_1 + S_2$  is a semi-cone of  $R$ .

(3)  $S' = Se + Sf$  is a semi-cone of  $R$  iff so are  $Se$  of  $Re$  and  $Sf$  of  $Rf$ .

PROOF. (1) is obvious. (2) is routinely shown, noting  $(Re)(Rf) = Re \cap Rf = \{0\}$ . For (3), the if part holds by (2). For the only if part, noting  $S'e = Se \subset S'$ ,  $Se$  is a semi-cone of  $Re$  by (1). Similarly,  $Sf$  is a semi-cone of  $Rf$ .  $\square$

Let  $h: R \rightarrow R'$  be a map with  $R$  and  $R'$  rings. We recall that  $h$  is an *epimorphism* if it is a ring homomorphism with  $h(R) = R'$ . For semi-cones  $S$  of  $R$  and  $S'$  of  $R'$ ,  $h$  is called *order-preserving* if  $h(S) \subset S'$ .

Let  $R$  be a ring. An ideal  $I$  of  $R$  is *proper* if  $I \neq R$ . For a proper ideal  $I$  of  $R$ ,  $R/I$  denotes the *residue class ring* consisting of elements  $[a] = I + a$  ( $a \in R$ ).

DEFINITION 2.5 ([4]). For a proper ideal  $I$  of  $(R, \leq)$ ,  $I$  is *convex* in  $R$  if whenever  $0 \leq x \leq y$  and  $y \in I$ , then  $x \in I$ . We induce a canonical ordering relation on  $R/I$  as follows: For  $a \in R$ , define  $[a] \geq 0$  if  $[a] = [x]$  for some  $x \geq 0$  in  $R$  (we use the same symbol  $\leq$  in  $R/I$  without confusion).

We recall that a proper ideal  $I$  of  $(R, \leq)$  is convex iff  $(R/I, \leq)$  is a partially ordered ring; equivalently,  $S' = \{[x] \mid [x] \geq 0\}$  is a semi-cone of  $R/I$  ([4], etc.).

We assume that  $R/I$  has the semi-cone  $S'$ , unless otherwise stated.

Let  $\varphi: (R, \leq) \rightarrow (R/I, \leq)$  be the natural map defined by  $\varphi(x) = [x]$  for  $x \in R$ . Then  $\varphi$  is an order-preserving, epimorphism with  $\varphi(S) = S'$ .

The convexity of an ideal  $I$  of a partially ordered ring  $R$  is usually defined under  $I$  being proper in  $R$ . But, for convex ideals  $I$  and  $J$  of  $R$ ,  $I + J$  need not be proper in  $R$  (see Example 2.11(1)). Moreover, for some partially ordered ring  $R \times R$ , ideals  $I_0 = 0 \times R$  and  $I'_0 = R \times 0$  are convex (see Remark 3.20 later), but  $I_0 + I'_0$  is not proper in  $R \times R$ , and also for an idempotent  $e = (0, 1)$ ,  $I_0e$  is not proper in  $Re$ .

In view of the above, let us introduce the following terminology.

DEFINITION 2.6. Let  $J$  be an ideal of a ring  $R$  (including  $J = R$ ), and  $S$  be a semi-cone of  $R$ . Let us say that  $J$  is *S-convex* in  $R$  if whenever  $x \in S$ ,  $y - x \in S$  and  $y \in J$  imply  $x \in J$ . When  $J \neq R$ , we shall call such an  $S$ -convex ideal  $J$  *convex for S*. For  $(R, \leq)$ , obviously  $J$  is  $S$ -convex in  $R$  iff  $J$  is convex (for  $S$ ), or  $J = R$ .

PROPOSITION 2.7. Let  $S$  be a semi-cone of a ring  $R$ , and let  $e$  and  $f = 1 - e$  be idempotents of  $R$  with  $e, f \neq 0$ . Then the following hold.

- (1) Let  $Se \subset S$ . If  $I$  is  $S$ -convex in  $R$ , then  $Ie$  is  $Se$ -convex in  $Re$ .
- (2) Let  $Se \subset S$  and  $Sf \subset S$ .  $I$  is  $S$ -convex iff  $Ie$  is  $Se$ -convex and  $If$  is  $Sf$ -convex.

PROOF. For (1),  $Se$  is a semi-cone of  $Re$  by Proposition 2.4(1). To see  $Ie$  is  $Se$ -convex in  $Re$ , let  $xe, y - xe \in Se$  ( $x \in S$ ), and  $y \in Ie$ . Since  $Se \subset S$  and  $Ie \subset I$ ,  $xe, y - xe \in S$ , and  $y \in I$ . Since  $I$  is  $S$ -convex in  $R$ ,  $xe \in I$ , hence  $xe \in Ie$ . For (2), the only if part holds by (1). For the if part, let  $x, y - x \in S$ , and  $y \in I$ . Then  $xe, ye - xe \in Se$ , and  $ye \in Ie$ . Since  $Ie$  is  $Se$ -convex in  $Re$ ,  $xe \in Ie$ . Similarly,  $If$  is  $Sf$ -convex, so  $xf \in If$ . Hence  $x = xe + xf \in Ie + If = I$ . Thus  $I$  is  $S$ -convex.  $\square$

REMARK 2.8. In (1) of Proposition 2.7, “ $Se \subset S$ ” is essential. Also, it is impossible to replace “ $Se$ -convex” by “convex for  $Se$ ” even if  $I$  is convex for  $S$  in  $R$ . We have similar matters in (2) there. For these, see Example 2.20 later.

The following is a classical result, but let us give a proof for the readers.

THEOREM 2.9. Let  $\sigma : (R, \leq) \rightarrow (R', \leq')$  be an epimorphism with  $\sigma(S) = S'$ , and let  $J = \text{Ker}(\sigma)$ . Then there exists a bijection  $\Phi$  between the class of convex ideals  $I$  of  $R$  containing  $J$  and the class of convex ideals  $I'$  of  $R'$ , defining by  $\Phi(I) = \sigma(I)$  and  $\Phi^{-1}(I') = \sigma^{-1}(I')$ . Especially,  $J$  is a convex ideal of  $R$ .

PROOF. Let  $I$  be a convex ideal of  $R$  containing  $J$ . Evidently,  $I = \sigma^{-1}(\sigma(I))$ , hence  $\sigma(I) \neq R'$ . To see  $\sigma(I)$  is convex in  $R'$ , let  $0 \leq' \sigma(x) \leq' \sigma(y)$  and  $y \in I$ . Since  $0 \leq' \sigma(y - x)$  and  $S' = \sigma(S)$ , there exists  $s \in S$  such that  $\sigma(y - x) = \sigma(s)$ . Thus  $y - x - s = a$  for some  $a \in J$ . Since  $0 \leq' \sigma(x)$ , there exists similarly  $t \in S$  such that  $\sigma(x) = \sigma(t)$ . Thus  $x - t = b$  for some  $b \in J$ . Hence  $s + t = y - (a + b)$ . Since  $J \subset I$ , this implies that  $s + t \in I$ . Since  $I$  is convex in  $R$ ,  $s, t \in I$ . Hence  $\sigma(x) = \sigma(t) \in \sigma(I)$ . Then  $\sigma(I)$  is convex in  $R'$ . Conversely, let  $I'$  be a convex ideal of  $R'$ . Evidently,  $\sigma(\sigma^{-1}(I')) = I'$  and  $\sigma^{-1}(I') \supset J$ . To see that  $I = \sigma^{-1}(I') (\neq R)$  is convex in  $R$  containing  $J$ , let  $0 \leq x \leq y$  and  $y \in I$ . Since  $\sigma(S) \subset S'$ ,  $0 \leq' \sigma(x) \leq' \sigma(y)$ . Since  $\sigma(y) \in I'$  and  $I'$  is convex in  $R'$ ,  $\sigma(x) \in I'$ , then  $x \in I$ . Thus  $I$  is convex in  $R$ .  $\square$

For convex ideals  $I$  and  $J$  of  $R$ ,  $I + J$  need not even be  $S$ -convex (see Example 2.20(4) later). While, for  $R$  being an ordered ring, the following holds.

LEMMA 2.10. Let  $(R, \leq)$  be an ordered ring. If  $I_i$  ( $i = 1, 2, \dots, n$ ) are convex ideals of  $R$ , then  $I = I_1 + I_2 + \dots + I_n$  is convex in  $R$ .

PROOF. It suffices to show that  $I' = I_1 + I_2$  is convex. To see  $I'$  is proper, suppose not. Then  $1 = a + b$  for some  $a \in I_1$  and  $b \in I_2$ . We can assume  $a \leq b$ .

Then  $0 < 1 \leq 2b \in I_2$ . Thus,  $1 \in I_2$  by the convexity of  $I_2$ , so  $I_2 = R$ , a contradiction. Thus,  $I'$  is proper. Similarly, the convexity of  $I'$  is shown. Hence,  $I'$  is convex.  $\square$

EXAMPLE 2.11. (1) For a partially ordered ring  $R = (\mathbf{Z}, \leq_S)$  with  $S = n\mathbf{Z}^*$  ( $n > 1$ ), if  $I$  and  $J$  are convex ideals of  $R$ , then  $I + J$  is  $S$ -convex (by means of [6, Proposition 3.4]). But, it is impossible to replace “ $S$ -convex” by “convex” (indeed, let  $I = 2\mathbf{Z}$  and  $J = 3\mathbf{Z}$ , and let  $S = 6\mathbf{Z}^*$  in  $\mathbf{Z}$ . Then  $I$  and  $J$  are convex ideals of a partially ordered ring  $(\mathbf{Z}, \leq_S)$ , but  $I + J = \mathbf{Z}$ ).

(2) For an ordered integral domain  $D$ , let  $D[x]$  be the polynomial ring over  $D$ , and for  $f = a_0 + a_1x + \cdots + a_nx^n$  in  $D[x]$ ,  $0 <_2 f$  means the first nonzero coefficient  $a_k$  is positive in  $D$ . Then  $R = (D[x], \leq_2)$  is an ordered integral domain (see [6], etc.). Thus, for any convex ideals  $I$  and  $J$  of  $R$ ,  $I + J$  is convex in  $R$  by Lemma 2.10.

COROLLARY 2.12. *Let  $\sigma : (R, \leq) \rightarrow (R', \leq')$  be an epimorphism with  $\sigma(S) = S'$ , and  $I$  be an ideal of  $R$ , and let  $J = \text{Ker}(\sigma)$ . Then  $I + J$  is a convex ideal of  $R$  iff so is  $\sigma(I)$ . For  $(R, \leq)$  being an ordered ring, if  $I$  is convex, then so is  $\sigma(I)$ .*

PROOF. This is shown in view of Theorem 2.9, noting that  $\sigma(I + J) = \sigma(I)$  with  $I + J \supset J$ , and  $\sigma^{-1}(\sigma(I)) = I + J$ . The latter part holds by Lemma 2.10.  $\square$

REMARK 2.13. In the first half of Corollary 2.12, for  $I$  being convex in  $R$ ,  $\sigma(I)$  need not be  $S'$ -convex in  $R'$ ; see Example 2.20(6) later. Also, the converse of the latter part need not hold (indeed, let  $R = (\mathbf{Z}[x], \leq_2)$ . Then every ideal  $A = (x^n)$  (generated by  $x^n$  ( $n > 0$ )) is convex in  $R$  (by [6, Remark 3.8]). Let  $I = (x^2 + x)$ ,  $I' = (x)$ ,  $J = (x^2)$ . Then  $I'$  and  $J$  are convex, but  $I$  is not convex in  $R$  (actually,  $0 \leq_2 x^2 \leq_2 x^2 + x \in I$ , but  $x^2 \notin I$ ). Let  $\varphi : R \rightarrow R/J$  be the natural map. Then  $\varphi(I)$  ( $= \varphi(I')$ ) is convex in  $R/J$ , but  $I$  is not convex).

COROLLARY 2.14. *Let  $J$  be a convex ideal of  $R$ . For the natural map  $\varphi : (R, \leq) \rightarrow (R/J, \leq)$  and an ideal  $I$  of  $R$ ,  $I + J$  is a convex ideal of  $R$  iff so is  $I' = \varphi(I)$  of  $R/J$ . For  $(R, \leq)$  being an ordered ring, if  $I$  is convex, then so is  $\varphi(I)$ .*

Let us show that the (direct product) ring  $R \times R'$  is never an ordered ring. While, there exists a certain product ring which is an ordered ring; see [5, Example 1] (or Proposition 3.21 later).

THEOREM 2.15. (1) Every ordered ring  $R$  has the largest convex ideal.

(2) The following (a) and (b) hold. Moreover, (a) and (b) are equivalent.

(a) For any rings  $R, R'$ , the ring  $R \times R'$  can not be an ordered ring (i.e.,  $R \times R'$  has no cones).

(b) Any ordered ring  $R$  has no idempotents except  $e = 0$  or  $e = 1$ .

PROOF. For (1), let  $\{I_\lambda \mid \lambda \in \Lambda\}$  be the collection of all convex ideals in  $R$ . Then the sum  $L = \sum_{\lambda \in \Lambda} I_\lambda$  is the largest convex ideal of  $R$ . Indeed, to see  $L$  is proper, suppose not. Then, for some  $I_{\lambda_i}$  ( $i = 1, 2, \dots, n$ ),  $1 \in \sum_{i=1}^n I_{\lambda_i}$ , so  $R = \sum_{i=1}^n I_{\lambda_i}$ . But,  $\sum_{i=1}^n I_{\lambda_i}$  is proper by Lemma 2.10, a contradiction. Hence  $L$  is proper. The convexity of  $L$  is obvious by Lemma 2.10.

For (2), to see (a), suppose  $(R \times R', \leq)$  is an ordered ring. We will show that  $I = R \times 0$  and  $J = 0 \times R'$  are convex in  $R \times R'$ , which implies  $I + J \neq R \times R'$  by Lemma 2.10, but  $I + J = R \times R'$ , a contradiction. To see  $I$  is convex, let  $(0, 0) \leq (x, y) \leq (r, 0) \in I$ . For  $f = (0, 1) \in R \times R'$ ,  $(0, 0) \leq f^2 = f$ . Then  $(0, 0)f \leq (x, y)f \leq (r, 0)f$ . Thus  $(0, 0) \leq (0, y) \leq (0, 0)$ , so  $y = 0$ . Then  $(x, y) = (x, 0) \in I$ . Hence  $I$  is convex. Similarly,  $J$  is convex, using  $e = (1, 0)$ . Next, to see (a)  $\Rightarrow$  (b), suppose some ordered ring  $R$  has an idempotent  $e$  with  $e \neq 0$  and  $e \neq 1$ . Then  $\sigma : R \rightarrow Re \times R(1 - e)$  defined by  $\sigma(r) = (re, r(1 - e))$  is a (ring) isomorphism (actually, if  $y = (re, r'(1 - e))$ , then for  $x = re + r'(1 - e)$ ,  $\sigma(x) = y$ ). Also, if  $\sigma(r) = (0, 0)$ , then  $re = r(1 - e) = 0$ , thus  $r = re + r(1 - e) = 0$ . Then,  $Re \times R(1 - e)$  is an ordered ring by the cone  $\sigma(S)$ , a contradiction to (a). For (b)  $\Rightarrow$  (a),  $e = (1, 0)$  is an idempotent in  $R \times R'$ , but  $e \neq (0, 0)$  and  $e \neq (1, 1)$ . Hence  $R \times R'$  is not an ordered ring.  $\square$

LEMMA 2.16. For  $S$ -convex ideas  $I, I'$  of a ring  $R$ ,  $S + I = S + I'$  iff  $I = I'$ .

PROOF. This is shown by the proof of [6, Lemma 4.14], replacing “convex” by “ $S$ -convex” (actually,  $R = S + I'$  implies  $I' = R$ ).  $\square$

In [6], we obtain the following result by means of the above lemma: Let  $\sigma : R \rightarrow R'$  be an epimorphism, and  $I$  (resp.  $I'$ ) be a convex ideal of  $R$  (resp.  $R'$ ). Assume that (\*)  $\sigma(I)$  is convex in  $R'$  or  $R$  is an ordered ring. If  $\sigma(S + I) = S' + I'$  and  $\sigma(S) = S'$ , then  $\sigma(I) = I'$ . The convexity of  $I$  (or  $I'$ ) is essential ([6, Remark 4.16]), but let us consider the question whether the assumption (\*) is essential. Namely,

QUESTION 2.17. Let  $\sigma : R \rightarrow R'$  be an epimorphism, and  $I$  (resp.  $I'$ ) be a convex ideal of  $R$  (resp.  $R'$ ). If  $\sigma(S + I) = S' + I'$  and  $\sigma(S) = S'$ , then  $\sigma(I) = I'$ ?

For this question, we have the following by Corollary 2.12 and Lemma 2.16.

**PROPOSITION 2.18.** *Let  $\sigma : R \rightarrow R'$  be an epimorphism with  $\sigma(S) = S'$ . Let  $J = \text{Ker}(\sigma)$ . For convex ideals  $I$  of  $R$  and  $I'$  of  $R'$ ,  $\sigma(I) = I'$  iff  $I + J$  is convex in  $R$  and  $\sigma(S + I) = S' + I'$ .*

The following holds by Proposition 2.18.

**COROLLARY 2.19.** *Let  $J$  be a convex ideal of  $R$ . Let  $\varphi : (R, \leq) \rightarrow (R/J, \leq)$  be the natural map. For convex ideals  $I$  of  $R$  and  $I'$  of  $R' = R/J$ ,  $\varphi(I) = I'$  iff  $I + J$  is convex in  $R$  and  $\varphi(S + I) = S' + I'$ .*

The convexity of  $I + J$  in Proposition 2.18 and Corollary 2.19 is essential, which shows that Question 2.17 is negative. Indeed, we have the following.

**EXAMPLE 2.20.** Let  $R = \mathbf{Z} \times \mathbf{Z}$ . Then  $e = (0, 1)$  and  $f = (1, 0)$  are idempotents of  $R$ . Let  $1 < n \in \mathbf{Z}$ , and let  $I = \{0\} \times 2n\mathbf{Z}$ ,  $I' = \{0\} \times n\mathbf{Z}$ ,  $J = \mathbf{Z} \times \{0\}$ . For a semi-cone  $A = n\mathbf{Z}^*$  of  $\mathbf{Z}$ , let  $S = \{(k, m) \in R \mid 0 \leq_A m \leq_A k\}$ . Then  $S$  is a semi-cone of  $R$ , and the following (1)~(6) hold.

(1)  $Se$ ,  $Sf$ , and  $Se + Sf = S \times S$  are semi-cones of the ring  $R$ , but  $Se \not\subseteq S$ ,  $Sf \not\subseteq S$ , and  $S \not\subseteq Se + Sf$ .

(2)  $I$ ,  $I'$ ,  $J$  are convex ideals of  $(R, \leq_S)$ , and  $Jf = Rf$ .

(3)  $I (= Ie)$  is not  $Se$ -convex, and  $I' (= I'e)$  is convex in  $(Re, \leq_{Se})$ .

(4)  $I + J = \mathbf{Z} \times 2n\mathbf{Z}$  is not  $S$ -convex in  $(R, \leq_S)$  (indeed,  $(0, 0) \leq_S (n, n) \leq_S (2n, 2n) \in (I + J)$ , but  $(n, n) \notin (I + J)$ ).

(5)  $(S + I)e = Se + I'$ , but  $Ie \neq I'$ .

(6) Let  $\varphi : (R, \leq_S) \rightarrow (R/J, \leq_{S'})$  be the natural map with  $S' = \varphi(S)$ .

(a) For the convex ideal  $I$ ,  $\varphi(I)$  is not  $S'$ -convex in  $R/J$ .

(b) There holds that  $\varphi(S + I) = S' + \varphi(I')$ , but  $\varphi(I) \neq \varphi(I')$ .

Indeed, note that  $\psi : (R/J, \leq_{S'}) \rightarrow (Re, \leq_{Se})$  by  $\psi([r]) = re$  is an isomorphism with  $\psi(S') = Se$ . Then (a) follows from (3), and (b) holds by (5), since  $(\psi \circ \varphi)(S + I) = (\psi \circ \varphi)(S) + (\psi \circ \varphi)(I')$ , but  $(\psi \circ \varphi)(I) \neq (\psi \circ \varphi)(I')$ .

### 3. Products of Partially Ordered Rings

Let  $R$  and  $R'$  be partially ordered rings, but assume  $S \neq \{0\}$  and  $S' \neq \{0\}$  in this section. Let  $S_0 = S \setminus \{0\}$  and  $S'_0 = S' \setminus \{0\}$ .



In what follows, let us also use the symbol “0” instead of the set “{0}”. We will consider the following typical subsets of the product set  $R \times R'$ .

$$T = S \times S'.$$

$$T_0 = S \times 0 = (S_0 \times 0) \cup \{(0, 0)\},$$

$$T_1 = (S_0 \times S'_0) \cup \{(0, 0)\},$$

$$T_2 = (S_0 \times S') \cup \{(0, 0)\}, \text{ and}$$

$$T_3 = (S_0 \times R') \cup \{(0, 0)\} = (S_0 \times R') \cup T_0.$$

$$T'_0 = 0 \times S' = (0 \times S'_0) \cup \{(0, 0)\},$$

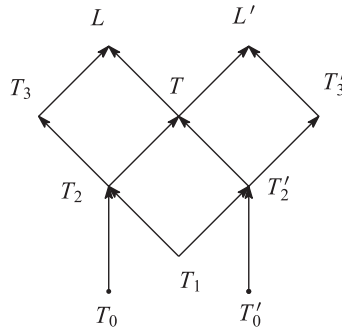
$$T'_1 = T_1,$$

$$T'_2 = (S \times S'_0) \cup \{(0, 0)\}, \text{ and}$$

$$T'_3 = (R \times S'_0) \cup \{(0, 0)\} = (R \times S'_0) \cup T'_0.$$

REMARK 3.1. Obviously, the following (a), (b), and (c) hold, here we define the *lexicographic sets*  $L$  and  $L'$  in (c). Also, we have the diagram below.

- (a)  $T_0 \subset T_2$ ,  $T_1 \subset T_2 \subset T_3$ ,  $T_2 \subset T$ ; and  $T'_0 \subset T'_2$ ,  $T'_1 = T_1 \subset T'_2 \subset T'_3$ ,  $T'_2 \subset T$ .
- (b)  $T_2 = T_0 \cup T_1$ ,  $T'_2 = T_1 \cup T'_0$ ; and  $T = T_0 \cup T_1 \cup T'_0 = T_0 \cup T'_2 = T_2 \cup T'_0 = T_2 \cup T'_2$ .
- (c)  $L = T_3 \cup T = T_3 \cup T'_2 = T_3 \cup T'_0$ ; and  $L' = T \cup T'_3 = T_2 \cup T'_3 = T_0 \cup T'_3$ .



REMARK 3.2. (1) Obviously, the sets  $T$ ,  $T_i$ ,  $T'_i$  ( $i = 0, 1, 2, 3$ ),  $L$ , and  $L'$  satisfy (i) and (ii) in Definition 2.1 (with respect to these sets). But, neither  $S \times R'$  nor  $R \times S'$  satisfies (i) (cf.  $T_3$  or  $T'_3$ ).

(2) None of sets  $T_0 \cup T'_0$ ,  $T_3 \cup T'_3$ ,  $L$ , and  $L'$  are semi-cones of  $R \times R'$  (indeed, let  $s \in S_0$  and  $s' \in S'_0$ . Then  $(s, 0) + (0, s') = (s, s') \notin T_0 \cup T'_0$ ;  $(s, -1) \cdot (0, s') = (0, -s') \notin T_3 \cup T'_3 \cup L$ ; and  $(s, 0) \cdot (-1, s') = (-s, 0) \notin L'$ ).

(3) Let  $\mathcal{C} = \{T_0, T_1, T_2, T_3, T'_0, T'_2, T'_3, T\}$ . Let  $\mathcal{F}$  be the collection of finite unions of  $\mathcal{C}$  (containing the sets in  $\mathcal{C}$ ), but except unions which are never semi-cones of  $R \times R'$ . Then  $\mathcal{F} = \mathcal{C}$ , that is,  $\mathcal{F} = \{T_0, T_1, T_2, T_3, T'_0, T'_2, T'_3, T\}$ . Indeed, let  $\mathcal{C}(\leq 2)$  be the collection of unions of at most two sets in  $\mathcal{C}$ , and let  $\mathcal{C}(> 2)$  be the collection of unions of more than two sets in  $\mathcal{C}$ . Using Remark 3.1, we show that  $\mathcal{C}(\leq 2) = \mathcal{C} \cup \mathcal{C}^*$ , where  $\mathcal{C}^* = \{T_0 \cup T'_0, T_3 \cup T'_3, L, L'\}$ , and  $\mathcal{C}(> 2) \subset \mathcal{C}(\leq 2)$ . But, any set in  $\mathcal{C}^*$  is never a semi-cone of  $R \times R'$  in view of (2). Thus  $\mathcal{F} = \mathcal{C}$ . (Actually, when  $R$  and  $R'$  are integral domains, all sets in  $\mathcal{F}$  are semi-cones; see Corollary 3.4(1) below).

We give characterizations for the sets in the collection  $\mathcal{F}$  to be semi-cones of the ring  $R \times R'$ .

**THEOREM 3.3.** *Let  $R$  and  $R'$  be partially ordered rings. Then the following hold.*

- (1)  $T$ ,  $T_0$ , and  $T'_0$  are semi-cones of  $R \times R'$ .
- (2)  $T_1$  is a semi-cone of  $R \times R'$  iff (i)  $S_0S_0 \subset S_0$  and  $S'_0S'_0 \subset S'_0$ , otherwise (ii)  $S_0S_0 = 0$  and  $S'_0S'_0 = 0$ .
- (3)  $T_2$  is a semi-cone of  $R \times R'$  iff  $S_0S_0 \subset S_0$  or  $S'_0S'_0 = 0$ .
- (4)  $T'_2$  is a semi-cone of  $R \times R'$  iff  $S_0S_0 = 0$  or  $S'_0S'_0 \subset S'_0$ .
- (5)  $T_3$  is a semi-cone of  $R \times R'$  iff  $S_0S_0 \subset S_0$ .
- (6)  $T'_3$  is a semi-cone of  $R \times R'$  iff  $S'_0S'_0 \subset S'_0$ .

**PROOF.** (1) is obvious. It is routine to see the if parts in (2)~(6). So, we will see their “only if” parts. For (2), assume  $S_0S_0 \neq 0$ . Then  $S'_0S'_0 \subset S'_0$ . Indeed, take some  $a, b \in S_0$  with  $ab \neq 0$ . Suppose  $S'_0S'_0 \not\subset S'_0$ . Take  $c, d \in S'_0$  with  $cd = 0$ . Thus  $(a, c) \cdot (b, d) = (ab, 0) \notin T_1$ , a contradiction. Hence  $S'_0S'_0 \subset S'_0$ . Similarly,  $S'_0S'_0 \neq 0$  implies  $S_0S_0 \subset S_0$ . Therefore, the following are equivalent:  $S_0S_0 \subset S_0$ ;  $S_0S_0 \neq 0$ ;  $S'_0S'_0 \subset S'_0$ ; and  $S'_0S'_0 \neq 0$ . Hence (i) or (ii) holds. For (3) and (4), assume  $S'_0S'_0 \neq 0$ . Take  $c, d \in S'_0$  with  $cd \neq 0$ . Suppose  $S_0S_0 \not\subset S_0$ . Take  $a, b \in S_0$  with  $ab = 0$ . Thus  $(a, c) \cdot (b, d) = (0, cd) \notin T_2$ , a contradiction. Thus  $S_0S_0 \subset S_0$ . (4) is similarly shown. For (5) and (6),  $(x, 1) \cdot (y, 1) = (xy, 1) \in T_3$  implies  $S_0S_0 \subset S_0$ . (6) is similarly shown.  $\square$

**COROLLARY 3.4.** *Let  $R$  and  $R'$  be partially ordered rings. Then the following hold.*

- (1)  $T$ ,  $T_0$ , and  $T'_0$  are semi-cones of  $R \times R'$ . For  $R$  and  $R'$  being integral domains, the other sets in  $\mathcal{F}$  are also semi-cones of  $R \times R'$ .

- (2) Let  $1 \in S$  and  $1 \in S'$ . Then the following hold.
- (a)  $T_1$  is a semi-cone of  $R \times R'$  iff  $S_0 S_0 \subset S_0$  and  $S'_0 S'_0 \subset S'_0$ .
  - (b)  $T_2$  is a semi-cone of  $R \times R'$  iff  $S_0 S_0 \subset S_0$ .
  - (c)  $T'_2$  is a semi-cone of  $R \times R'$  iff  $S'_0 S'_0 \subset S'_0$ .

REMARK 3.5. For a partially ordered ring  $R$ , (a)  $S_0 S_0 \subset S_0$  need not hold, and (b)  $S_0 S_0 \subset S_0$  need not imply that  $R$  is an integral domain. Indeed, for (a), take a semi-cone  $S'' = T$  of  $R'' = R \times R'$ , and for (b), take a semi-cone  $S'' = T_1$  of  $R''$  with  $R$  and  $R'$  integral domains, in view of Corollary 3.4(1). Then the partially ordered ring  $(R'', \leq_{S''})$  is a desired one.

For convenience, henceforth let us assume that all sets in  $\mathcal{F}$  are semi-cones of the ring  $R \times R'$  (cf. Corollary 3.4), unless otherwise stated.

Let  $P_R : R \times R' \rightarrow R$  and  $P_{R'} : R \times R' \rightarrow R'$  be the projections (i.e.,  $P_R(x, y) = x$ ,  $P_{R'}(x, y) = y$ ). These projections are obviously epimorphisms.

REMARK 3.6.  $P_R$  or  $P_{R'}$  need not be order-preserving, and also need not preserve the convexity of an ideal. Indeed, let us see these for  $P_R$  (similar for  $P_{R'}$ ). Evidently,  $P_R(T'_3) = R$ , hence  $P_R$  is not order-preserving. Let  $R = R' = \mathbf{Z}$ , and  $1 < n \in \mathbf{Z}$ . Let  $S' = n\mathbf{Z}^*$ , and  $S'' = \{(k, m) \in \mathbf{Z} \times \mathbf{Z} \mid 0 \leq_{S'} k \leq_{S'} m\}$ . Then,  $S''$  is a semi-cone, and  $I'' = 2n\mathbf{Z} \times 0$  is a convex ideal, but  $P_R(I'') = 2n\mathbf{Z}$  is not convex in  $(\mathbf{Z}, \leq_{S'})$  (cf. Example 2.20).

The following is well-known or routinely shown.

LEMMA 3.7. For a subset  $A$  of the ring  $R \times R'$ ,  $A$  is an ideal of  $R \times R'$  iff  $A = P_R(A) \times P_{R'}(A)$ ,  $P_R(A)$  is an ideal of  $R$ , and so is  $P_{R'}(A)$  of  $R'$ .

PROPOSITION 3.8. For  $T = S \times S'$ , and an ideal  $J$  of  $R \times R'$ ,  $J$  is  $T$ -convex iff  $P_R(J)$  is  $S$ -convex in  $R$  and  $P_{R'}(J)$  is  $S'$ -convex in  $R'$ .

PROOF. For the if part, to see  $J$  is  $T$ -convex, let  $(0, 0) \leq_T (x, y) \leq_T (a, b) \in J$ . Then  $0 \leq_S x \leq_S a \in P_R(J)$ , so  $x \in P_R(J)$ . Similarly,  $y \in P_{R'}(J)$ . Then  $(x, y) \in J$  by Lemma 3.7. Hence  $J$  is  $T$ -convex. For the only if part, to see  $P_R(J)$  is  $S$ -convex in  $R$ , let  $0 \leq_S x \leq_S a \in P_R(J)$ . Then  $(0, 0) \leq_T (x, 0) \leq_T (a, 0)$ , and  $(a, 0) \in J$  by Lemma 3.7. Since  $J$  is  $T$ -convex,  $(x, 0) \in J$ , so  $x \in P_R(J)$ . Hence  $P_R(J)$  is  $S$ -convex. Similarly,  $P_{R'}(J)$  is  $S'$ -convex in  $R'$ .  $\square$

The following holds by Proposition 3.8 and Lemma 2.16, related to Question 2.17.

**PROPOSITION 3.9.** *Let  $J$  be a  $T$ -convex ideal of  $R \times R'$ , and let  $J'$  be an  $S$ -convex ideal of  $R$ . Then  $P_R(T + J) = S + J'$  iff  $P_R(J) = J'$ .*

**REMARK 3.10.** Let us give analogues to Propositions 3.8 and 3.9 for the sets in  $\mathcal{F}$ . For an ideal  $I$  of  $R \times R'$ , let us consider conditions  $(p_1)$   $P_R(I) \cap S_0 \neq \emptyset$ , and  $(p_2)$   $P_{R'}(I) \cap S'_0 \neq \emptyset$ . We note that  $(p_1)$  (resp.  $(p_2)$ ) holds if  $R$  (resp.  $R'$ ) is an ordered ring. Then the following hold for ideals  $I$  and  $J$  of  $R \times R'$ .

(1) (a)  $P_R(I)$  is  $S$ -convex in  $R$  if  $I$  is  $A$ -convex for  $A = T_i$  ( $i = 0, 2, 3$ ),  $T'_i$  ( $i = 1, 2, 3$ ), but assume  $(p_2)$  for  $T'_i$  ( $i = 1, 2, 3$ ). Also,  $P_{R'}(I)$  is  $S'$ -convex in  $R$  if  $I$  is  $A$ -convex for  $A = T_i$  ( $i = 1, 2, 3$ ),  $T'_i$  ( $i = 0, 2, 3$ ), but assume  $(p_1)$  for  $T_i$  ( $i = 1, 2, 3$ ). Conversely,

(b)  $J$  is  $A$ -convex in  $R \times R'$  for  $A = T_1, T_2$ , or  $T'_2$  if  $P_R(J)$  is  $S$ -convex and  $P_{R'}(J)$  is  $S'$ -convex. Also,  $J$  is  $T_0$ -convex if  $P_R(J)$  is  $S$ -convex. Similarly,  $J$  is  $T'_0$ -convex if  $P_{R'}(J)$  is  $S'$ -convex.

(2) Proposition 3.8 remains true for  $T_1, T_2$ , and  $T'_2$ , but for  $T_1$  (resp.  $T_2; T'_2$ ), assume  $(p_1)$  and  $(p_2)$  (resp.  $(p_1); (p_2)$ ). Also,  $J$  is  $T_0$ -convex iff  $P_R(J)$  is  $S$ -convex. Similarly,  $J$  is  $T'_0$ -convex iff  $P_{R'}(J)$  is  $S'$ -convex.

(3) Proposition 3.9 remains true for  $T_i$  ( $i = 0, 2, 3$ ),  $T'_1, T'_2$ , but assume  $(p_2)$  for  $T'_1, T'_2$ . Also, for  $P_{R'}$ , the similar result holds for  $T, T_1, T_2, T'_i$  ( $i = 0, 2, 3$ ), but assume  $(p_1)$  for  $T_1, T_2$ . While, Proposition 3.9 need not hold for  $A = T'_0$  or  $T'_3$ .

Indeed, (1) is shown as in the proof of Proposition 3.8. (For example, for (a), to see  $P_R(I)$  is  $S$ -convex in  $R$  for  $T'_3 = (R \times S'_0) \cup \{(0, 0)\}$ , let  $0 \leq_S x \leq_S a \in P_R(I)$ , and take  $p \in P_{R'}(I) \cap S'_0$  by  $(p_2)$ . Then  $(0, 0) \leq_{T'_3} (x, p) \leq_{T'_3} (a, 2p) \in P_R(I) \times P_{R'}(I) = I$ . Thus,  $(x, p) \in I$ , so  $x \in P_R(I)$ ). (2) and (3) hold in view of (1). For the last part of (3), let  $J'$  be a convex ideal and  $S \ni 1$  in  $R$ . Then  $J = J' \times 0$  is convex for  $A$ , and  $P_R(J) = J'$ . But,  $P_R(A + J) \neq S + J'$ . To see this, suppose  $P_R(A + J) = S + J'$ . Then, for  $A = T'_0$ ,  $J' = S + J' \ni 1$ , so  $J' = R$ , a contradiction. For  $A = T'_3$ ,  $R = S + J'$ , so  $R = J'$  by Lemma 2.16, a contradiction).

**EXAMPLE 3.11.** In Proposition 3.9, the convexity of the ideals  $J$  and  $J'$  is essential for  $J$  and  $J'$  being proper. Indeed, let  $R = (\mathbf{Z}[x], \leq_2)$ . Let  $I = (x)$ , and  $A = (2x)$ . Then  $I$  is convex in  $R$ . But,  $A$  is not convex in  $R$  (indeed,  $0 \leq_2 x \leq_2$

$2x \in A$ , but  $x \notin A$ ). Also, (\*)  $S + I = S + A$  holds. For  $R' = R$ ,  $I^* = I \times I$  and  $A^* = A \times A$ , the following hold.

(1)  $I^*$  is convex in  $R \times R'$  for  $T$ , but  $A$  is not convex in  $R$ . While,  $P_R(T + I^*) = S + A$  by (\*), but  $P_R(I^*) \neq A$ .

(2)  $A^*$  is not convex in  $R \times R'$  for  $T$ , but  $I$  is convex in  $R$ . While,  $P_R(T + A^*) = S + I$  by (\*), but  $P_R(A^*) \neq I$ .

Let us recall the following ring on the product set  $P = R \times R$  of ring  $R$  with itself.

DEFINITION 3.12. Let  $R$  be a ring. For  $(a, b) \in P$ , let  $P(a, b) = (P, +, *)$  be the commutative ring defined by the following addition (i) and multiplication (ii):

For  $(x, y), (z, w) \in P$ , let

$$(i) \quad (x, y) + (z, w) = (x + z, y + w).$$

$$(ii) \quad (x, y) * (z, w) = (xz + ayw, xw + yz + byw).$$

Then  $e = (1, 0)$  is the identity element, and for  $u = (0, 1)$ ,  $u * u = (a, b)$ , and  $(x, y) = (x, 0) * e + (y, 0) * u$  in  $P(a, b)$ .

The ring  $P(0, 0)$  is an algebra over  $R$  which has a basis  $\{e, u\}$  with  $u * u = (0, 0)$ , and it is called the *trivial extension* of  $R$  by itself (see [8], etc.). This ring gives useful examples related to ring structures and order structures, or extensions. We investigate order structures of the ring  $P(0, 0)$  in terms of semi-cones or cones. (We consider  $P(a, b)$  in [7] in terms of ring structures).

NOTATION. For a ring  $R$ , the symbol  $R \bowtie R$  denotes the ring  $P(0, 0)$ .

REMARK 3.13. (1) Let  $R[x]$  be the polynomial ring over a ring  $R$ , and  $I = (x^2)$ . Then  $R \bowtie R$  is (ring) isomorphic to  $R[x]/I$  by a map  $(a, b) \mapsto [a + bx]$ .

(2) For a subset  $A$  of  $R \bowtie R$ , let  $A^* = \{(x, -y) \mid (x, y) \in A\}$ . Then  $A$  is a semi-cone of  $R \bowtie R$  iff so is  $A^*$ , and also for a semi-cone  $A$  of  $R \bowtie R$ ,  $I$  is a convex ideal of  $R \bowtie R$  for  $A$  iff so is  $I^*$  for  $A^*$ , by a (ring) isomorphism  $(x, y) \mapsto (x, -y)$ .

Let us consider the sets  $T, T_i, T'_j$  ( $i, j = 0, 1, 2, 3$ ) in  $R \bowtie R$ , putting  $R' = R$  and  $S' = S$ .

REMARK 3.14. (1)  $T_0 \cup T'_0$  is not a semi-cone of  $R \bowtie R$  by Remark 3.2(2). Also,  $T'_3$  is not a semi-cone of  $R \bowtie R$ , and any union of  $T, T_i, T'_j$  ( $i, j = 0, 1, 2, 3$ )

containing  $T'_3$  is not a semi-cone of  $R \times R$  (indeed, for  $s \in S_0$ ,  $(-1, s) * (0, s) = (0, -s) \notin T'_3$ ). The latter part holds by  $(0, -s) \notin T \cup T_i \cup T'_j$ .

(2) Let  $\mathcal{C} = \{T_0, T_1, T_2, T_3, T'_0, T'_2, T'_3, T\}$ . Let  $\mathcal{F}_P$  be the collection of finite unions of  $\mathcal{C}$  (containing the sets in  $\mathcal{C}$ ), but except unions which are never semi-cones of  $R \times R$ . Then,  $\mathcal{F}_P = \{T_0, T_1, T_2, T_3, T'_0, T'_2, T, L\}$  by (1), reviewing the proof of Remark 3.2(3). (Actually, when  $R$  is an integral domain, all sets in  $\mathcal{F}_P$  are semi-cones of  $R \times R$ ; see Corollary 3.17(1) later).

For a semi-cone  $S$  of  $R$ , let us consider the following conditions around condition (\*)  $S_0 S_0 \subset S_0$  on  $R$ .

(c<sub>1</sub>) For  $x, z \in S_0$ , if  $xz \in S_0$  (i.e.,  $xz \neq 0$ ), then  $xS_0 \subset S_0$  or  $zS_0 \subset S_0$ .

(c<sub>2</sub>) For  $x, z \in S_0$ , if  $xz \notin S_0$  (i.e.  $xz = 0$ ), then  $xS_0 = 0$  and  $zS_0 = 0$ .

We can replace “ $xS_0 \subset S_0$  or  $zS_0 \subset S_0$ ” by “ $xS_0 + zS_0 \neq 0$ ” in (c<sub>1</sub>). Also, we can replace “ $xS_0 = 0$  and  $zS_0 = 0$ ” by “ $xS_0 + zS_0 = 0$  (or  $xS + zS = 0$ )” in (c<sub>2</sub>).

REMARK 3.15. (1) None of (\*) (i.e.,  $S_0 S_0 \subset S_0$ ), (c<sub>1</sub>), and (c<sub>2</sub>) hold for some partially ordered ring  $R$ .

(2) Obviously, (\*) implies (c<sub>1</sub>) and (c<sub>2</sub>). But, (c<sub>1</sub>) and (c<sub>2</sub>) need not imply (\*) by the following (3) and (4).

(3) (c<sub>2</sub>) implies (c<sub>1</sub>). But, the converse does not hold for some ordered ring  $R$ .

(4) For  $S_0 \ni 1$ , (c<sub>2</sub>) implies (\*). But, (c<sub>2</sub>) need not imply (\*) without  $S_0 \ni 1$ .

Indeed, (1) is shown by the proof of Remark 3.5(a), but assume  $SS \neq 0$  in  $T$ . For (3), assume (c<sub>2</sub>) holds. If  $xz \in S_0$  for  $x, z \in S_0$ , then  $xS_0 \subset S_0$  and  $zS_0 \subset S_0$ . To see this, suppose  $xS_0 \not\subset S_0$ , then  $xy = 0$  for some  $y \in S_0$ . Thus  $xS_0 = 0$  by (c<sub>2</sub>), hence  $xz = 0$ , a contradiction. Thus  $xS_0 \subset S_0$  (similarly,  $zS_0 \subset S_0$ ). Then (c<sub>1</sub>) holds. For the latter part, let  $(R, \leq)$  be the ordered ring in [5, Example 1]. Then we may consider the ordered ring  $(R, \leq)$  as the ring  $R' = K \times K = \{(a, b) \mid a, b \in K\}$  with  $K$  an ordered field, where  $R'$  has a cone  $S' = L$  (cf. Corollary 3.17(1) below). Then  $u = (0, 1) \in S'_0$  and  $u * u = (0, 0)$ . But,  $e = (1, 0) \in S'_0$ , then  $uS'_0 \neq \{(0, 0)\}$ . Hence (c<sub>2</sub>) does not hold (also,  $S'_0 S'_0 \not\subset S'_0$ ). For  $x = (a, b)$ ,  $z = (c, d) \in S'_0$  with  $x * z \neq (0, 0)$ . Then  $a \neq 0$  or  $c \neq 0$ , so  $a > 0$  or  $c > 0$  in  $K$ . Hence  $xS'_0 \subset S'_0$  or  $zS'_0 \subset S'_0$ . Then (c<sub>1</sub>) holds. Hence,  $R'$  is a desired one (for  $S'$ ). For (4), suppose  $S_0 S_0 \not\subset S_0$ , then  $xz = 0$  for some  $x, z \in S_0$ . But,  $x = x1 \in xS_0 = 0$  by (c<sub>2</sub>), so  $x = 0$ , a contradiction. Hence,  $S_0 S_0 \subset S_0$ . For the latter part in (4), let  $R' = R \times R$ ,  $A = T'_0 (= 0 \times S)$ , and  $A_0 = 0 \times S_0$ . Then  $AA = \{(0, 0)\}$ . Thus, (c<sub>2</sub>) holds, but  $A_0 A_0 \not\subset A_0$ . Then  $R'$  is a desired one (for  $A$ ).

We give characterizations for the sets in the collection  $\mathcal{F}_P$  to be semi-cones of  $R \times R$ , in comparison with Theorem 3.3 for  $R \times R'$ .

**THEOREM 3.16.** *Let  $R$  be a partially ordered ring. Then the following hold.*

- (1)  $T$ ,  $T_0$ , and  $T'_0$  are semi-cones of  $R \times R$ .
- (2)  $T_1$  is a semi-cone of  $R \times R$  iff  $(c_2)$  holds.
- (3)  $T_2$  is a semi-cone of  $R \times R$  iff  $(c_2)$  holds.
- (4)  $T'_2$  is a semi-cone of  $R \times R$  iff  $(c_1)$  holds.
- (5)  $T_3$  is a semi-cone of  $R \times R$  iff  $S_0S_0 \subset S_0$ .
- (6)  $T_3 \cup T'_0 (= L)$  is a semi-cone of  $R \times R$  iff  $S_0S_0 \subset S_0$ .

**PROOF.** For (1), the result is obviously shown.

For (2), to see the if part, let  $(x, y), (z, w) \in S_0 \times S_0$ . By  $(c_2)$  with Remark 3.15(3), for  $xz = 0$ ,  $xw + yz = 0$ , and for  $xz \neq 0$ ,  $xw + yz \in S_0$ . Thus  $(x, y) * (z, w) = (xz, xw + yz) \in T_1$ . For the only if part, suppose  $(c_2)$  does not hold. Then we assume that for some  $x, z, w \in S_0$ ,  $xz = 0$ , but  $xw \neq 0$ . Let  $y \in S_0$ , then  $xw + yz \neq 0$ . Thus  $(x, y), (z, w) \in S_0 \times S_0$ , but  $(x, y) * (z, w) = (xz, xw + yz) = (0, xw + yz) \notin T_1$ , a contradiction. Then  $(c_2)$  holds.

For (3), the result is shown as in the proof of (2).

For (4), to see the if part, let  $(x, y), (z, w) \in S \times S_0$ . If  $xz = 0$ ,  $(x, y) * (z, w) = (0, xw + yz) \in T'_2$ , so assume  $xz \neq 0$ . Then  $xw + yz \in S_0$  by  $(c_1)$ , hence  $(x, y) * (z, w) = (xz, xw + yz) \in T'_2$ . For the only if part, suppose that  $(c_1)$  doesn't hold. Then for some  $x, z, y, w \in S_0$ ,  $xz \neq 0$ ,  $xw = 0$ , and  $yz = 0$ . Thus,  $(x, y) * (z, w) = (xz, xw + yz) = (xz, 0) \notin T_1$ , a contradiction. Then  $(c_1)$  holds.

For (5) and (6), their if parts are routine. For their only if parts, suppose  $S_0S_0 \not\subset S_0$ , and take  $x, y \in S_0$  with  $xy = 0$ . Then  $(x, -1), (y, 0) \in T_3$ , but  $(x, -1) * (y, 0) = (0, -y) \notin T_3 \cup T'_0$ , a contradiction. Hence,  $S_0S_0 \subset S_0$ .  $\square$

The following holds by Theorem 3.16 and Remark 3.15.

**COROLLARY 3.17.** *Let  $R$  be a partially ordered ring. Then the following hold.*

- (1)  $T$ ,  $T_0$ , and  $T'_0$  are semi-cones of  $R \times R$ . For  $R$  being an integral domain, the other sets in  $\mathcal{F}_P$  are also semi-cones of  $R \times R$ .
- (2) For  $S \ni 1$ ,  $T_1$  (or  $T_2$ ) is a semi-cone of  $R \times R$  iff  $S_0S_0 \subset S_0$ .

In view of the previous corollary, for an ordered integral domain  $R$ , the lexicographic set  $L$  is a cone of  $R \times R$ , though  $L$  is not even a semi-cone of the ring  $R \times R$  (by Remark 3.2(2)).

It is well-known (or routinely shown) that for a field  $K$ , any non-zero, proper ideal of  $K \times K$  (resp.  $K \times K$ ) is  $0 \times K$  (resp.  $0 \times K$  or  $K \times 0$ ). We note that  $I_0 = 0 \times R$  is an ideal in  $R \times R$ , but  $I'_0 = R \times 0$  is not an ideal ( $I_0$  and  $I'_0$  are ideals in  $R \times R$ ). Let us consider the convexity of  $I_0$  in  $R \times R$  (or  $I_0, I'_0$  in  $R \times R$ ).

Let  $pr : R \times R$  (or  $R \times R$ )  $\rightarrow R$  be the projection defined by  $pr(x, y) = x$ . Then  $pr$  is an epimorphism.

LEMMA 3.18. *Let  $A$  be a semi-cone of  $R \times R$  (or  $R \times R$ ). Then  $I_0 = 0 \times R$  is a convex ideal for  $A$  iff  $pr(A) \cap -pr(A) = 0$ .*

PROOF. Let  $\leq = \leq_A$ . For the if part, let  $(0, 0) \leq (x, y) \leq (0, b) \in I_0$ . Then  $(0, 0) \leq (-x, b - y)$ , hence  $x \in pr(A) \cap -pr(A)$ , so  $x = 0$ . Thus,  $(x, y) = (0, y) \in I_0$ . For the only if part, let  $x \in pr(A) \cap -pr(A)$ . Then for some  $y, y' \in R$ ,  $(0, 0) \leq (x, y)$  and  $(0, 0) \leq (-x, y')$ . Then,  $(0, 0) \leq (x, y) \leq (x, y) + (-x, y') = (0, y + y') \in I_0$ . Since  $I_0$  is convex in  $R \times R$  (or  $R \times R$ ),  $(x, y) \in I_0$ , hence  $x = 0$ .  $\square$

Obviously,  $I_0 = 0 \times R$  is convex in  $R \times R$  for the semi-cones in  $\mathcal{F}_p$ . Also, the following holds (hence, for  $R$  being a field,  $I_0$  is the only non-zero, convex ideal).

PROPOSITION 3.19. *For an integral domain  $R$ ,  $I_0$  is convex for any semi-cone  $A$  of  $R \times R$ .*

PROOF. To see  $pr(A) \cap -pr(A) = 0$ , let  $x \in pr(A) \cap -pr(A)$ . Then  $x = pr(x, y) = -pr(z, w)$  for some  $(x, y), (z, w) \in A$ . Then  $x = -z$ , and hence  $(x, y) + (z, w) = (x + z, y + w) = (0, y + w) \in A$ . Thus  $(x, y) * (0, y + w) = (0, x(y + w)) \in A$ , and similarly,  $(0, z(y + w)) \in A$ . Hence  $(0, x(y + w)) = -(0, z(y + w)) \in A \cap -A$ . Thus  $x(y + w) = 0$ . Since  $R$  is an integral domain,  $x = 0$  or  $y + w = 0$ . If  $y + w = 0$ , then  $y = -w$ , so  $(x, y) = (-z, -w) = -(z, w) \in A \cap -A$ , thus  $x = 0$ . Then  $pr(A) \cap -pr(A) = 0$ , which implies that  $I_0$  is convex in  $R \times R$  by Lemma 3.18.  $\square$

REMARK 3.20. For the ring  $R \times R$ ,  $I_0 = 0 \times R$  is obviously a convex ideal of  $R \times R$  for the semi-cones in  $\mathcal{F}$ , but remove  $T'_3$  even if  $R$  is an integral domain. Also, for an integral domain  $R$ ,  $I_0$  is convex for a semi-cone  $A$  of  $R \times R$  if  $A \ni (a, 0)$  for some  $a \neq 0$  (indeed, let  $x \in pr(A) \cap -pr(A)$ , and  $(a, 0) \in A$  with  $a \neq 0$ . Then  $(ax, 0), (-ax, 0) \in A$ . Thus  $(ax, 0) \in A \cap -A$ , hence  $x = 0$ . Thus,  $I_0$  is convex for  $A$  by Lemma 3.18). Also, for  $I'_0 = R \times 0$ , similarly the analogous results hold.



PROPOSITION 3.21. (1) For an ordered (resp. partially ordered) integral domain  $R$ ,  $L$  and  $L^* = \{(x, -y) \mid (x, y) \in L\}$  are cones (resp. semi-cones) of  $R \times R$ .

(2) For an ordered field  $K$  and a cone  $A$  of  $K \times K$ , the following are equivalent.

- (a)  $A \supset T_0$  ( $= S \times 0$ ).
- (b)  $pr(A) \supset S$ .
- (c)  $pr(A) = S$ .
- (d)  $A = L$  or  $A = L^*$ .

PROOF. (1) holds in view of Corollary 3.17(1) and Remark 3.13(2).

For (2), obviously, the implication (d)  $\Rightarrow$  (c)  $\Rightarrow$  (b) holds. (a)  $\Rightarrow$  (d) holds by putting  $a = (a, 0)$ ,  $b = (b, 0)$ , and  $e = (1, 0)$ ,  $u = (0, 1)$  in the proof of Example 1 in [5]. Indeed,  $A$  is a cone, so  $u \in A$  or  $u \in -A$ . In case of  $u \in A$ , let  $(a, b) \in L$ . If  $a = 0$ , then  $b \in S$ , so  $(b, 0) \in A$  by (a), thus  $(a, b) = (0, b) = (b, 0) * u \in A$ . If  $a \neq 0$ , then  $a \in S$ , and  $(a, 0) \in A$  by (a), thus  $(a, b) = (a, 0) * (1, b/2a)^2 \in A$ . Hence,  $L \subset A$ , so  $A = L$ . In case of  $u \in -A$  (i.e.,  $-u = (0, -1) \in A$ ), let  $(a, -b) \in L^*$ . Then, similarly  $(a, -b) \in A$ . Thus,  $A = L^*$ . For (b)  $\Rightarrow$  (a), let  $s \in S$ . Then  $(s, s') \in A$  for some  $s' \in K$  by (b). Thus, for  $s \neq 0$ ,  $(s, 0) = (s, s') * (1, -s'/2s)^2 \in A$ . Hence,  $T_0 \subset A$ .  $\square$

COROLLARY 3.22. Let  $K$  be an ordered field such that (\*) for each  $a \in S$ , there exists  $b \in K$  with  $a = b^2$  (in particular,  $K$  is the field of real numbers, or the field of algebraic real numbers over the rational number field). Then for a cone  $A$  of  $K \times K$ ,  $A = L$  or  $A = L^*$ .

PROOF. To see  $A \supset T_0$ , let  $(a, 0) \in T_0$ . Then for some  $b \in K$ ,  $(a, 0) = (b^2, 0) = (b, 0)^2 \in A$ . Then  $A = L$  or  $L^*$  by Proposition 3.21. The parenthetic part implies (\*), as is well-known.  $\square$

For a field  $K$ , we will give a characterization for cones of  $K \times K$ . The following lemma is obvious.

LEMMA 3.23. For a subring  $R'$  and cone of  $R$ ,  $A \cap R'$  is a cone of  $R'$ .

THEOREM 3.24. For a field  $K$ , let  $\mathcal{S}$  be the collection of all cones of  $K$ , and let  $\tilde{\mathcal{S}}$  be the collection of all cones of  $K \times K$ . Then  $\tilde{\mathcal{S}} = \{L(S), L(S)^* \mid S \in \mathcal{S}\}$ , where  $L(S) = (S_0 \times K) \cup (0 \times S)$ .

PROOF. For a cone  $S$  of  $K$ ,  $L(S)$  and  $L(S)^*$  are cones of  $K \rtimes K$  in view of Proposition 3.21(1). Conversely, let  $A$  be a cone of  $K \rtimes K$ , and let  $K' = K \rtimes 0$ . Since  $K'$  is a subring of  $K \rtimes K$ ,  $S = A \cap K'$  is a cone of  $K'$  by Lemma 3.23. But, we can consider  $S$  as a cone in  $K$  by a (ring) isomorphism  $K' \rightarrow K$ ,  $(x, 0) \mapsto x$ . Since  $A \supset S \times 0$ ,  $A = L(S)$  or  $A = L(S)^*$  by Proposition 3.21(2). Thus,  $\tilde{\mathcal{S}} = \{L(S), L(S)^* \mid S \in \mathcal{S}\}$ .  $\square$

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