LJUNGGREN'S TRINOMIALS AND MATRIX EQUATION $A^x + A^y = A^z$

By

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Abstract. We give some necessary and sufficient conditions for solvability of the matrix equation (*) $A^x + A^y = A^z$, with certain restrictions on integers x, y, z and a matrix $A \in M_k(\mathbf{Z})$, by applying Ljunggen's result on trinomials. Moreover, we obtain full solution of (*) for the case k = 2 by another technique.

1. Introduction

We consider the general problem of finding necessary and sufficient conditions for the matrix $A \in M_k(\mathbf{Z})$ to satisfy the equation

for some positive integers x, y and z. Le and Li [7] proved that if $A \in M_2(\mathbb{Z})$, then, for x = mr, y = ms, z = mt, where m > 2 and r, s, t are positive integers, (*) has a solution if and only if the matrix A is nilpotent or det A = TrA = 1. Another proof of this result has been given in [5]. The restriction to multiplies of m is motivated by another matrix equation of the famous form, namely by the equation of Fermat

$$(**) X^m + Y^m = Z^m.$$

In fact (*) is equivalent to Fermat's equation (**) for $X = A^r$, $Y = A^s$ and $Z = A^t$. We note, that if m = 4 the Domiaty [2] remarked that the equation (**) has infinitely many solutions in $M_2(Z)$ generated by Pythagorean triples. This fact is in opposition to the well-known case of ordinary integers, as proved by Wiles [13].

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In this connection it is a very important problem to find a sufficient and necessary condition for solvability of Fermat's equation (**) in the set of matrices (cf. [10], [12]). Khazanov [6] found such conditions for the matrices $X, Y, Z \in$ $SL_2(\mathbb{Z})$ and $X, Y, Z \in GL_3(\mathbb{Z})$. Further investigations connected with Khazanov's results have been given in the papers [1], [5], [7] and [9]. Some necessary condition for solvability of (**) in the set $M_2(\mathbb{Z})$ is contained in the paper [3]. In general case, it was proved in [4] that if the matrix $A \in M_k(\mathbb{C})$, $k \ge 2$ has at least one real eigenvalue $\alpha > \sqrt{2}$ and (*) is satisfied in positive integers x, y and z, then max{x - z, y - z} = -1.

In the present paper we give an application of Ljunggren's [8] result on trinomials to find a sufficient and necessary condition for solvability of (*) in positive integers x, y and z under some restrictions for $A \in M_k(\mathbb{Z}), k \ge 2$ concerning the set of exponents x, y and z. Moreover, we present full solution of (*) for the case k = 2 without using Ljunggren's result on trinomials. In the first part of this paper we prove the following theorem.

THEOREM 1. Let $A \in M_k(\mathbb{Z})$, $k \ge 2$ be a given non-zero and non-singular matrix with the characteristic polynomial $f(t) = \det(tI - A) = t^k + a_1t^{k-1} + \dots + a_k$. Then the matrix equation (*) has a solution in positive integers x, y and z such that x = y or x = z or y = z if and only if (i)

$$A^m = 2I$$

where $m = k/\alpha$, $1 \le \alpha \le k$ is a divisor of k, det $A = \pm 2^{\alpha}$ and $\alpha(z - x) = k \ge 2$. Moreover, if the positive integers x, y, z satisfy the conditions: x > y > z and $x - z \ge 2(y - z) \ge k \ge 2$, with (x - z, y - z) = (n, m) = d and $3 \not\mid (x - z)/d + (y - z)/d$, then (*) has a solution, if and only if

(ii) $a_i = 0$, for $i \neq m, k$, and $a_m = \varepsilon_1$ and $a_k = \det A = \varepsilon_2$, where $\varepsilon_1 = \pm 1$ and $\varepsilon_2 = \pm 1$ or if 3 | (x - z)/d + (y - z)/d then (iii)

$$A^{2d} + \varepsilon_1^{y-z} \varepsilon_2^{x-z} A^d + I = O \quad or \quad h(A) = O$$

where h(t) is irreducible factor of the polynomial g(t) given by the equality

$$g(t) = t^{x-z} + \varepsilon_1 t^{y-z} + \varepsilon_2 = (t^{2d} + \varepsilon_1^{y-z} \varepsilon_2^{x-z} t^d + 1)h(t)$$

where (x - z)/d, (y - z)/d are both odd and $\varepsilon_1 = 1$ or (x - z)/d is even and $\varepsilon_2 = 1$ or (y - z)/d is even and $\varepsilon_1 = \varepsilon_2$.

2. Basic Lemmas

In the proof of the Theorem 1 we use of the following Lemmas.

LEMMA 1 ([11], p. 210). Let A be a $k \times k$, $k \ge 2$ matrix with entries in the field K. Then each polynomial $g \in K[x]$ with property g(A) = O is divisible by the minimal polynomial $m \in K[x]$ of the matrix A. In particular, the minimal polynomial m divides the characteristic polynomial $f \in K[x]$ of the matrix A and the polynomial f has the same roots, but possibly with different multiplicities.

REMARK 1. The minimal polynomial of the matrix A is the unique polynomial $m \in K[x]$ of minimal degree with leading coefficient equal to one and such that m(A) = O.

LEMMA 2 (Ljunggren [8], Thm. 3, p. 69). If $n = dn_1$, $m = dm_1$, $n \ge 2m$ where $(n_1, m_1) = 1$, then the polynomial $g(x) = x^n + \varepsilon_1 x^m + \varepsilon_2$, where $\varepsilon_1, \varepsilon_2 = \pm 1$ is irreducible, apart from the following three cases, when $n_1 + m_1 \equiv 0 \pmod{3}$: $1^0 n_1, m_1$ both odd and $\varepsilon_1 = 1$, $2^0 n_1$ even and $\varepsilon_2 = 1$, $3^0 m_1$ even and $\varepsilon_1 = \varepsilon_2$ and then $g(x) = (x^{2d} + \varepsilon_1^m \varepsilon_2^n x^d + 1)h(x)$, where h(x) is an irreducible polynomial.

3. Proof of the Theorem 1

Suppose that (*) has a solution in positive integers x, y and z and let the matrix $A \in M_k(\mathbb{Z})$ be a non-zero and non-singular matrix. First, we note that if x = z or y = z then (*) is impossible, since (*) reduces in these cases to the form $A^y = O$ or $A^x = O$. Both these equations imply det A = 0, which contradicts the assumptions. If x = y then (*) has the form

By (3.1) it follows that $x \neq z$ and z > x and consequently we have

From (3.2) we obtain det $A^{z-x} = (\det A)^{z-x} = 2^k$, so det $A = \pm 2^{\alpha}$, where $1 \le \alpha \le k$. Hence, $(\pm 2)^{\alpha(z-x)} = 2^k$ and $\alpha(z-x) = k \ge 2$, where α or z-x is even if det $A = -2^{\alpha}$ and $z-x = k/\alpha = m$. Then by (3.2) it follows that $A^m = 2I$ and the proof of (i) is finished. Now, we can consider the case when $x \ne y \ne z$. In this case, by the equation (*) and the assumptions about x, y and z it follows to consider the following equation:

(3.3)
$$A^{x-z} + A^{y-z} = I.$$

Let d = (x - z, y - z) = (n, m) be the greatest common divisor of n and mand let $x - z \ge 2(y - z) \ge k \ge 2$ and denote by g(t) the polynomial of the form (3.4) $q(t) = t^{x-z} + t^{y-z} - 1.$

Then by (3.3) it follows that g(A) = O. If $3 \not (x-z)/d + (y-z)/d$ then from Lemma 2 it follows that the polynomial g(t) is irreducible and therefore the characteristic polynomial f(t) of the matrix A is equal to g(t) in (3.4). Comparing the coefficients and degrees of these polynomials we obtain the condition (ii). Let $3 \mid (x-z)/d + (y-z)/d$, then by Ljunggren's result given in Lemma 2 we obtain that

(3.5)
$$g(t) = (t^{2d} + \varepsilon_1^m \varepsilon_2^n t^d + 1)h(t)$$

From (3.5) in virtue of g(A) = O we obtain that

$$A^{2d} + \varepsilon_1^m \varepsilon_2^n A^d + I = O$$
 or $h(A) = O$

with some restrictions concerning m, n, d and the polynomial h(t) given by the assumptions of the Ljunggren's Lemma 2. The proof of the Theorem 1 is complete.

4. Full Solution of the Equation (*) for the Case $A \in M_2(\mathbb{Z})$

In this part of our paper we present full solution of the equation (*) in positive integers x, y and z in the case when the matrix A belongs to $M_2(\mathbf{Z})$. In this purpose we replace Ljunggren's result on trinomials by the following Lemma.

LEMMA 3 ([4]). Let A be in $M_k(\mathbf{C})$, where $k \ge 2$ and \mathbf{C} denotes the field of complex numbers. Suppose that A has at least one real eigenvalue $\alpha > \sqrt{2}$. If the equation (*) has a solution in positive integers x, y and z then $\max\{x - z, y - z\} = -1$.

Now we prove the following theorem.

THEOREM 2. Let $A \in M_2(\mathbb{Z})$ be a given non-zero matrix with det A = s and Tr A = r. Then the matrix equation (*) has a solution in positive integers x, y and z if and only if one of the following conditions holds:

(i)

$$A=2I,$$

(ii)

$$(r,s) = \{(0,0), (0,2), (0,-2), (1,1), (1,-1), (-1,-1)\}.$$

PROOF. Denote by $f(t) = \det(tI - A) = t^2 - (Tr A)t + \det A$ the characteristic polynomial of the matrix $A \in M_2(\mathbb{Z})$ and let r = Tr A and $s = \det A$. Suppose that the matrix A is non-singular, so $s = \det A \neq 0$ and let positive integers x, y and z satisfy the equation (*). If x = z or y = z then (*) reduces to $A^y = O$ or $A^x = O$, which is impossible, because $s = \det A \neq 0$. If x = y then (*) has the form: $2A^x = A^z$. We observe that if $x \ge z$ then we have $2A^{x-z} = I$, which implies 4 det $A^{x-z} = 4(\det A)^{x-z} = 1$ and we get a contradiction. Hence, x < zand we obtain the following equation:

From (4.1) it follows that det $A^{z-x} = (\det A)^{z-x} = 4$ and consequently det $A = \pm 2$ and z - x = 2 or det A = 4 and z - x = 1. The case of z - x = 1 implies by (4.1) the condition (i) of the Theorem 2. In the case of z - x = 2 and $s = \det A = \pm 2$ by (4.1) it follows that

Let $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ be a given matrix with entries $a, b, c, d \in \mathbb{Z}$. Then by (4.1) it follows that

(4.3)
$$A^2 = \begin{pmatrix} a & b \\ c & d \end{pmatrix}^2 = \begin{pmatrix} a^2 + bc & b(a+d) \\ c(a+d) & d^2 + bc \end{pmatrix} = 2I = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}.$$

Analyzing the equation (4.3) we obtain that $b \neq 0$ and $c \neq 0$, so implies a + d = r = 0. From this fact in virtue of $s = \det A = \pm 2$ we obtain (r, s) = (0, 2); (0, -2).

Now, we can consider the case when $x \neq y \neq z$ and $s = \det A \neq 0, \pm 2$ and $A \neq 2I$. In these cases the equation (*) implies:

(4.4)
$$A^{x-z} + A^{y-z} = I$$
, if $\min\{x, y, z\} = z$

(4.5)
$$A^{x-y} + I = A^{z-y}$$
, if $\min\{x, y, z\} = y$

(4.6)
$$I + A^{y-x} = A^{z-x}$$
, if $\min\{x, y, z\} = x$

For the corresponding equations (4.4)–(4.6) let g(t) be associated polynomial of the form:

(P1) $g(t) = t^{x-z} + t^{y-z} - 1$, if (4.4) holds

(P2)
$$g(t) = t^{x-y} - t^{z-y} + 1$$
, if (4.5) holds

(P3) $g(t) = t^{y-x} - t^{z-x} + 1$, if (4.6) holds.

From (P1)–(P3) and (4.4)–(4.6) we obtain g(A) = O. Hence, by Lemma 1 it follows that if m(t) is the minimal polynomial then we have m(t) | g(t). In this connection we consider two cases: $1^0 f(t) = t^2 - tr + s$ is an irreducible characteristic polynomial of the matrix A, $2^0 f(t)$ is reducible polynomial. In the case 1^0 we have f(t) = m(t) and therefore f(t) | g(t), which by (P1)–(P3) implies

(4.7)
$$f(t) | t^{x-z} + t^{y-z} - 1$$
, or $f(t) | t^{x-y} - t^{z-y} + 1$, or $f(t) | t^{y-x} - t^{z-x} + 1$.

From (4.7) in the case of t = 0 we get $f(0) | \pm 1$. Since f(0) = s, then $s = \pm 1$. On the other hand putting in (4.7) t = 1 we obtain $f(1) | \pm 1$. Since f(1) = 1 - r + s and $s = \pm 1$ we get the following possibilities to consider:

$$(4.8) (r,s) = \{(1,1), (3,1), (-1,-1), (1,-1)\}.$$

Consider the case when (r, s) = (3, 1). In this case the characteristic polynomial has the form: $f(t) = t^2 - 3t + 1$ and we have $\Delta = 5$ and the characteristic roots α, β of this polynomial are equal to $\alpha = (3 + \sqrt{5})/2$ and $\beta = (3 - \sqrt{5})/2$. Since $\alpha > \sqrt{2}$ then by Lemma 3 it follows that $\max\{x - z, y - z\} = -1$. Suppose that $\max\{x - z, y - z\} = x - z$. Then we have x - z = -1, so z = x + 1 and (*) implies

Since $s = \det A = 1$ from (4.9) we obtain $\det(A - I) = 1$. If $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ then the condition $\det(A - I) = 1$ implies (a - 1)(d - 1) - bc = 1 and consequently ad - bc - (a + d) = 0. Since ad - bc = s = 1 and a + d = Tr A = r, thus we obtain r = 1, which is contrary to the fact that r = 3. Therefore, in the case of (r, s) = (3, 1) the equation (*) has no solution. In a similar way we obtain a contradiction for the case if $\max\{x - z, y - z\} = y - z$.

It remains to consider the case 2^0 when the characteristic polynomial f(t) is reducible. In this case we have $f(t) = (t - \alpha)(t - \beta)$, where $\alpha, \beta \in \mathbb{Z}$. From (*) and the assumption that A is non-singular matrix, it follows that det $A = \pm 1$ and in virtue of det $A = \alpha\beta$ we get $\alpha\beta = \pm 1$. Hence, $\alpha = \beta = 1$ or $\alpha = 1$ and $\beta = -1$ or $\alpha = -1$ and $\beta = 1$. For these cases we obtain that A = I or A = -I and the equation (*) has no solutions in positive integers $x \neq y \neq z$. Now, we can consider the final part of the proof. If the non-zero matrix $A \in M_2(\mathbb{Z})$ is singular, then det A = 0. In this case, by simple inductive way, we get $A^m = (Tr A)^{m-1}A$ for all positive integers m. Using this formula and the assumption that $A \neq O$ we obtain that (*) reduces to the form:

$$(4.10) r^{x-1} + r^{y-1} = r^{z-1},$$

where $r = Tr \ A \in \mathbb{Z}$. It is easy to see that the equation (4.10) has a solution with positive integers $x \neq y \neq z$ and an integer r if and only if r = 0 or r = 2. Summarizing, we get that the condition (ii) is satisfied and the proof of the Theorem 2 is complete.

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