

## INDUCED MAPPINGS ON HYPERSPACES

By

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**Abstract.** Let  $f : X \rightarrow Y$  be a mapping between continua. Then  $f$  induces two mappings  $C(f) : C(X) \rightarrow C(Y)$  and  $2^f : 2^X \rightarrow 2^Y$  in the natural way. In this paper, we shall study about the following question: Dose the correspondences  $f \rightarrow C(f)$  and  $f \rightarrow 2^f$  preserve or reverse what classes of mappings? When  $Y$  is locally connected, many classes of mappings are preserved by these correspondences. We shall consider the classes of monotone, open, OM, confluent, quasi-monotone and weakly monotone mappings.

### 1. Introduction

In this paper, continua are compact connected metric spaces, mappings are continuous functions. Throughout this paper, the letters  $X$  and  $Y$  will always denote nondegenerate continua and a mapping  $f : X \rightarrow Y$  is always onto. We shall use the letter  $d$  for the metric function for both spaces  $X$  and  $Y$ . The *hyperspaces* of  $X$  are the metric spaces  $2^X = \{K \subset X : K \text{ is nonempty and compact}\}$  and  $C(X) = \{K \in 2^X : K \text{ is connected}\}$  with the Hausdorff metric  $H_d$  (see [8] for the definition of the Hausdorff metric and basic properties of hyperspaces). A mapping  $f : X \rightarrow Y$  induces mappings  $C(f) : C(X) \rightarrow C(Y)$  and  $2^f : 2^X \rightarrow 2^Y$  naturally. If  $g : Y \rightarrow Z$  is an another mapping, then  $C(g \circ f) = C(g) \circ C(f)$  and  $2^{g \circ f} = 2^g \circ 2^f$  hold. Clearly  $2^f$  is onto (since we always assume that  $f : X \rightarrow Y$  is onto) but  $C(f)$  is onto if and only if  $f$  is weakly confluent.

The following three statements for a mapping  $f : X \rightarrow Y$  are equivalent:

- (1)  $f$  is a homeomorphism;
- (2)  $C(f)$  is a homeomorphism;
- (3)  $2^f$  is a homeomorphism.

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We shall study in the sections below the relations about the above type between the mappings  $f$ ,  $C(f)$  and  $2^f$ .

Some of the results are improvement of those partially appeared in [2] and [3]. But for completeness, we shall describe their proofs.

## 2. Definitions and Notations

We shall give the list of definitions for mappings treated hereafter. A mapping  $f : X \rightarrow Y$  is said to be

- (1) *monotone* if for each  $y \in Y$ ,  $f^{-1}(y)$  is connected; equivalently, if for each subcontinuum  $L$  of  $Y$ ,  $f^{-1}(L)$  is connected;
- (2) *open* if  $f$  maps every open set in  $X$  onto an open set in  $Y$ ;
- (3) *an OM-mapping* (resp. *an MO-mapping*) if there are mappings  $g$  and  $h$ , where  $g$  is open and  $h$  is monotone, such that  $f = g \circ h$  (resp.  $f = h \circ g$ );
- (4) *confluent* if for each subcontinuum  $L$  of  $Y$ , each component of  $f^{-1}(L)$  is mapped by  $f$  onto  $L$ ;
- (5) *quasi-monotone* if for each subcontinuum  $L$  of  $Y$  with a nonempty interior, the set  $f^{-1}(L)$  has a finite number of components and  $f$  maps each of them onto  $L$ ;
- (6) *weakly monotone* if for each subcontinuum  $L$  of  $Y$  with a nonempty interior, each component of the set  $f^{-1}(L)$  is mapped by  $f$  onto  $L$ .

For the implications between these classes of mappings, see p28 in [7].

Let  $\mathcal{H}$  denote either  $C(X)$  or  $2^X$ . A *Whitney map*  $\mu : \mathcal{H} \rightarrow [0, 1]$  is a mapping such that  $\mu(\{x\}) = 0$  for each  $x \in X$ ,  $\mu(X) = 1$  and if  $A, B \in \mathcal{H}$  with  $A \subset B \neq A$ , then  $\mu(A) < \mu(B)$ . Such a mapping always exists ([9] or [8]). Let  $A_0, A_1 \in \mathcal{H}$ . A mapping  $\sigma : [0, 1] \rightarrow \mathcal{H}$  is said to be a *segment with respect to the Whitney map  $\mu$  from  $A_0$  to  $A_1$*  provided that  $\sigma(0) = A_0$ ,  $\sigma(1) = A_1$ ,  $\mu[\sigma(t)] = (1-t)\mu(A_0) + t\mu(A_1)$  for each  $t \in [0, 1]$  and if  $0 \leq t_1 \leq t_2 \leq 1$ , then  $\sigma(t_1) \subset \sigma(t_2)$ . When we use a segment, we will consider it with respect to some fixed Whitney map. A condition of the existence of a segment is as follows:

LEMMA 2.1 ([4] or [8]). *Let  $A_0, A_1 \in \mathcal{H}$ , where  $\mathcal{H}$  denotes either  $C(X)$  or  $2^X$ . Then there exists a segment from  $A_0$  to  $A_1$  if and only if*

$$(2.1.1) \quad A_0 \subset A_1 \text{ if } \mathcal{H} = C(X),$$

$$(2.1.2) \quad A_0 \subset A_1 \text{ and each component of } A_1 \text{ intersects } A_0 \text{ if } \mathcal{H} = 2^X.$$

Let  $A_1, A_2, \dots$  be a sequence of nonempty subsets of  $X$ . Then  $\liminf A_n$  and  $\limsup A_n$  are defined by  $\liminf A_n = \{x \in X : \text{if } U \text{ is a neighborhood of } x \text{ in } X,$

then  $U \cap A_n \neq \phi$  for almost all  $n$ ,  $\limsup A_n = \{x \in X: \text{if } U \text{ is a neighborhood of } x \text{ in } X, \text{ then } U \cap A_n \neq \phi \text{ for infinitely many } n\}$ . If  $\liminf A_n = \limsup A_n = A$ , then we say that  $\{A_n\}_{n=1}^\infty$  converges to  $A$  and write it by  $\lim A_n = A$ . Following is known:

LEMMA 2.2 [8]. *Let  $A_1, A_2, \dots$  be a sequence in  $2^X$  (resp.  $C(X)$ ). Then  $\lim A_n = A$  in the sense above if and only if it converges to  $A$  with respect to the Hausdorff metric for  $2^X$  (resp.  $C(X)$ ).*

When we say a sequence  $\{A_n\}_{n=1}^\infty$  converges in  $2^X$  or  $C(X)$ , we will mean in a convenient sense of one of the two senses. We shall write  $\bar{A}$ ,  $\text{int} A$  for the closure of  $A$ , the interior of  $A$  respectively. If  $\mathcal{A}$  is a subset of a hyperspace  $\mathcal{H}$ , then we shall write  $\text{Int} \mathcal{A}$  for the interior of  $\mathcal{A}$  in  $\mathcal{H}$ .

For a subset  $A$  of a space, we say that  $A = A_1 \cup A_2$  is a separation of  $A$  if  $A_1 \neq \phi \neq A_2$  and  $\bar{A}_1 \cap A_2 = A_1 \cap \bar{A}_2 = \phi$ .

LEMMA 2.3 [10]. *If  $A$  and  $B$  are nonempty disjoint closed subsets of a compact set  $K$  such that no component of  $K$  intersects both  $A$  and  $B$ , then there exists a separation  $K = K_a \cup K_b$  of  $K$  such that  $A \subset K_a$  and  $B \subset K_b$ .*

Further we shall use the following notation. For any collection  $\mathcal{A}$  of subsets of a space,  $\mathcal{A}^*$  denotes the union of all members contained in  $\mathcal{A}$ .

### 3. Monotone Mappings

If  $\mathcal{K}$  is a subcontinuum of  $2^X$  and  $\mathcal{K} \cap C(X) \neq \phi$ , then  $\mathcal{K}^*$  is connected [8]. This is generalized as follows:

LEMMA 3.1. *Let  $\mathcal{K}$  be a subcontinuum of  $2^X$  and  $K \in \mathcal{K}$ . Then each component of  $\mathcal{K}^*$  intersects  $K$ .*

PROOF. On the contrary, suppose there is a component  $C$  of  $\mathcal{K}^*$  such that  $C \cap K = \phi$ . Then by lemma 2.3, there is a separation  $\mathcal{K}^* = A \cup B$  of  $\mathcal{K}^*$  such that  $K \subset A$  and  $C \subset B$ . Put  $\mathcal{K}_0 = \{L \in \mathcal{K} : L \subset A\}$  and  $\mathcal{K}_1 = \{L \in \mathcal{K} : L \cap B \neq \phi\}$ . Then we have a separation  $\mathcal{K} = \mathcal{K}_0 \cup \mathcal{K}_1$  of  $\mathcal{K}$ . This contradicts to the connectedness of  $\mathcal{K}$ .

THEOREM 3.2. *Let  $f : X \rightarrow Y$  be a mapping. Then, the following three statements are equivalent:*

- (3.2.1)  $f$  is a monotone mapping;  
 (3.2.2)  $C(f)$  is a monotone mapping;  
 (3.2.3)  $2^f$  is a monotone mapping.

PROOF. (3.2.1)  $\Rightarrow$  (3.2.2): Suppose that  $f$  is monotone and let  $L$  be an arbitrary element of  $C(Y)$ . Put  $M = f^{-1}(L)$  and let  $K$  be an arbitrary element of  $[C(f)]^{-1}(L)$ . Then, since  $f$  is monotone,  $M$  is a subcontinuum of  $X$  and contains  $K$ . Therefore, by lemma 2.1, there is a segment  $\sigma$  from  $K$  to  $M$  in  $C(X)$ . It is evident that the image of  $\sigma$  is contained in  $[C(f)]^{-1}(L)$ . Thus, in particular,  $[C(f)]^{-1}(L)$  is arcwise connected.

(3.2.2)  $\Rightarrow$  (3.2.3): Suppose that  $C(f)$  is monotone and let  $B$  be an arbitrary element of  $2^Y$ . Put  $A = f^{-1}(B)$ . Then  $A \in [2^f]^{-1}(B)$ . Let  $K$  be a component of  $A$  considered as a subset of  $X$ . Since  $C(f)$  is monotone,  $[C(f)]^{-1}(f(K))^*$  is connected and contained in  $f^{-1}(f(K))$  and hence is equal to  $K$ . Therefore every component of  $A$  intersects each element of  $[2^f]^{-1}(B)$ . It follows by lemma 2.1 that  $[2^f]^{-1}(B)$  is arcwise connected.

(3.2.3)  $\Rightarrow$  (3.2.1): Suppose that  $2^f$  is monotone and let  $y \in Y$ . Then by lemma 3.1,  $[2^f]^{-1}(\{y\})^* = f^{-1}(y)$  is connected.

REMARK. If  $f$  is monotone and  $\mathcal{B}$  is an arcwise connected subcontinuum of  $2^Y$  (resp.  $C(Y)$ ), then  $[2^f]^{-1}(\mathcal{B})$  (resp.  $[C(f)]^{-1}(\mathcal{B})$ ) is arcwise connected.

#### 4. Open Mappings

The following lemma is a characterization of open mappings. The equivalence (4.1.1.)  $\Leftrightarrow$  (4.1.2) is appeared in [7], p.14 without proof (see also [5], pp. 67–68).

LEMMA 4.1. Let  $f : X \rightarrow Y$  be a mapping. Then the following three statements are equivalent:

- (4.1.1)  $f$  is an open mapping;  
 (4.1.2) for each sequence  $\{y_n\}_{n=1}^{\infty}$  in  $Y$  such that  $\lim y_n = y$ ,  $\limsup f^{-1}(y_n) = f^{-1}(y)$ ;  
 (4.1.3) for each sequence  $\{y_n\}_{n=1}^{\infty}$  in  $Y$  such that  $\lim y_n = y$ ,  $\{f^{-1}(y_n)\}_{n=1}^{\infty}$  converges to  $f^{-1}(y)$ .

PROOF. The implication (4.1.3)  $\Rightarrow$  (4.1.2) is evident.

(4.1.1)  $\Rightarrow$  (4.1.3): Suppose  $f$  is open and let  $\{y_n\}_{n=1}^{\infty}$  be a sequence in  $Y$  such that  $\lim y_n = y$ . Since the continuity of  $f$  implies  $\limsup f^{-1}(y_n) \subset f^{-1}(y)$ , it is

sufficient to show that  $f^{-1}(y) \subset \liminf f^{-1}(y_n)$ . Let  $x \in f^{-1}(y)$  and  $U$  an open neighborhood of  $x$  in  $X$ . Since  $f(U)$  is a neighborhood of  $y$ , there is an integer  $n_0$  such that  $y_n \in f(U)$  and hence  $f^{-1}(y_n) \cap U \neq \emptyset$  for each  $n \geq n_0$ . Therefore  $x \in \liminf f^{-1}(y_n)$  and hence we have  $f^{-1}(y) \subset \liminf f^{-1}(y_n)$ .

For any collection  $U_1, U_2, \dots, U_n$  of open sets in  $X$ , let  $\langle U_1, U_2, \dots, U_n \rangle = \{A \in 2^X : A \subset \bigcup_{i=1}^n U_i \text{ and } A \cap U_i \neq \emptyset \text{ for each } i = 1, 2, \dots, n\}$ . It is known that:

LEMMA 4.2 [8]. *The collection of all subsets of  $2^X$  of the form  $\langle U_1, U_2, \dots, U_n \rangle$  is a base for the Hausdorff metric topology for  $2^X$ .*

THEOREM 4.3. *Let  $f : X \rightarrow Y$  be a mapping. Consider the following three statements:*

(4.3.1)  *$f$  is an open mapping;*

(4.3.2)  *$C(f)$  is an open mapping;*

(4.3.3)  *$2^f$  is an open mapping.*

*Then (4.3.1) and (4.3.3) are equivalent and (4.3.2) implies (4.3.1).*

PROOF. (4.3.1)  $\Rightarrow$  (4.3.3): Suppose  $f$  is open and let  $\{B_n\}_{n=1}^\infty$  be a sequence in  $2^Y$  such that  $\lim B_n = B$ . Since  $2^f$  is continuous,  $\limsup [2^f]^{-1}(B_n)$  is contained in  $[2^f]^{-1}(B)$ . Let  $A$  be an arbitrary element of  $[2^f]^{-1}(B)$  and let  $U_1, U_2, \dots, U_r$  be open sets in  $X$  such that  $A \in \langle U_1, U_2, \dots, U_r \rangle$ . Since  $A$  is compact, there are open sets  $V_1, V_2, \dots, V_r$  of  $X$  such that  $\bar{V}_i \subset U_i$  for each  $i = 1, 2, \dots, r$  and  $A \in \langle V_1, V_2, \dots, V_r \rangle$ . Since  $f$  is open,  $\langle f(V_1), f(V_2), \dots, f(V_r) \rangle$  is an open neighborhood of  $f(A) = B$  in  $2^Y$ . Therefore there is an integer  $n_0$  such that  $B_n \in \langle f(V_1), f(V_2), \dots, f(V_r) \rangle$  for each  $n \geq n_0$ . Put  $A_n = f^{-1}(B_n) \cap [\bigcup_{i=1}^r \bar{V}_i]$ . Then it is easy to see that  $A_n \in [2^f]^{-1}(B_n) \cap \langle U_1, U_2, \dots, U_r \rangle$  and hence by lemma 4.2, we have  $A \in \liminf [2^f]^{-1}(B_n)$ . It follows from lemma 4.1, that  $2^f$  is an open mapping.

(4.3.3)  $\Rightarrow$  (4.3.1): Suppose  $2^f$  is an open mapping. Let  $U$  be an open set in  $X$  and let  $x \in U$ . Since  $\langle U \rangle$  is an open neighborhood of  $\{x\} \in 2^X$ ,  $2^f(\langle U \rangle) = \langle f(U) \rangle$  is an open neighborhood of  $\{f(x)\} \in 2^Y$ . Therefore  $f(U)$  is a neighborhood of  $f(x)$ . Since  $x$  is an arbitrary element of  $U$ ,  $f(U)$  is open in  $Y$ .

The proof of the implication (4.3.2)  $\Rightarrow$  (4.3.1) is similar.

Note that in general,  $C(f)([\langle U_1, U_2, \dots, U_n \rangle] \cap C(X))$  is not equal to  $[\langle f(U_1), f(U_2), \dots, f(U_n) \rangle] \cap C(Y)$  even though  $n = 1$ . Following is an example where  $f$  is open,  $X$  and  $Y$  are locally connected but  $C(f)$  is not open.

EXAMPLE Let  $X, Y$  be plane continua defined by

$$Y = \{(x, y) : 0 \leq x \leq 1 \text{ and } 0 \leq y \leq 1\},$$

$$X = \{(x, y) : (x, y) \in Y \text{ or } (-x, -y) \in Y\}.$$

Define  $f : X \rightarrow Y$  by

$$f(x, y) = \begin{cases} (x, y) & \text{if } (x, y) \in Y \\ (-x, -y) & \text{if } (x, y) \notin Y. \end{cases}$$

for each  $(x, y) \in X$ . Let  $K = \{(x, y) \in X : x = 0 \text{ or } y = 0\}$ . Then  $f$  is open but  $C(f)$  is not open at  $K \in C(X)$ .

### 5. OM-mappings

In [6], A. Lelek and D. R. Read had given a characterization of OM-mappings as follows:

LEMMA 5.1 [6]. *A mapping  $f : X \rightarrow Y$  is an OM-mapping if and only if for each  $y \in Y$  and each sequence  $\{y_n\}_{n=1}^{\infty}$  in  $Y$ ,  $\lim y_n = y$  implies that  $\limsup f^{-1}(y_n)$  meets each component of  $f^{-1}(y)$ .*

We always saw that the correspondence  $f \rightarrow C(f)$  does not preserve the class of open mappings. Nevertheless it preserves the class of OM-mappings.

THEOREM 5.2. *For a mapping  $f : X \rightarrow Y$ , the following three statements are equivalent:*

- (5.2.1)  $f$  is an OM-mapping;
- (5.2.2)  $C(f)$  is an OM-mapping;
- (5.2.3)  $2^f$  is an OM-mapping.

PROOF. The implication (5.2.1)  $\Rightarrow$  (5.2.3) follows from Theorems 3.2 and 4.3.

(5.2.1)  $\Rightarrow$  (5.2.2): Suppose  $f$  is an OM-mapping and  $\{L_n\}_{n=1}^{\infty}$  is a sequence in  $C(Y)$  which converges to  $L \in C(Y)$ . Let  $\mathcal{K}$  be a component of  $[C(f)]^{-1}(L)$ . We must show that  $\limsup [C(f)]^{-1}(L_n) \cap \mathcal{K} \neq \emptyset$ . Choose a point  $x \in \mathcal{K}^*$  and put  $y = f(x)$ . There is a point  $y_n \in L_n$  for each  $n = 1, 2, \dots$  such that  $\lim y_n = y$ . Let  $C$  be the component of  $f^{-1}(y)$  containing  $x$ . Since  $f$  is an OM-mapping, there is a point  $x_n \in f^{-1}(y_n)$  such that some subsequence of  $\{x_n\}_{n=1}^{\infty}$  converges to some point of  $C$ . We may assume  $\lim x_n = x_0 \in C$ . Let  $K_n$  be the component of

$f^{-1}(L_n)$  containing  $x_n$  for each  $n = 1, 2, \dots$ . Since OM-mappings are confluent, we have  $K_n \in [C(f)]^{-1}(L_n)$ . We may assume that  $\{K_n\}_{n=1}^\infty$  converges to  $K_0$  for some  $K_0 \in C(X)$ . It is easy to see that  $K_0$  and  $K_0 \cup C$  are elements of  $C(X)$  contained in the same component of  $[C(f)]^{-1}(L)$ . Let  $K$  be an element of  $\mathcal{K}$  such that  $x \in K$ . Then  $K$  and  $K_0 \cup C$  are in the same component of  $[C(f)]^{-1}(L)$ . Thus  $K_0 \in \mathcal{K}$  and hence we have  $\limsup [C(f)]^{-1}(L_n) \cap \mathcal{K} \neq \emptyset$ . Therefore by lemma 5.1,  $C(f)$  is an OM-mapping.

(5.2.2)  $\Rightarrow$  (5.2.1): Suppose  $C(f)$  is an OM-mapping and  $\{y_n\}_{n=1}^\infty$  is a sequence in  $Y$  which converges to  $y \in Y$ . Clearly the sequence  $\{\{y_n\}_{n=1}^\infty\}_{n=1}^\infty$  considered as a sequence in  $C(Y)$ , converges to  $\{y\} \in C(Y)$ . Let  $K$  be a component of  $f^{-1}(y)$ . Then  $C(K)$ , considered as a subset of  $C(X)$ , is a component of  $[C(f)]^{-1}(\{y\})$ . By the assumption and lemma 5.1, there is  $K_n \in [C(f)]^{-1}(\{y_n\})$  for each  $n$  such that some subsequence of  $\{K_n\}_{n=1}^\infty$  converges to an element of  $C(K)$ . Since  $K_n \subset f^{-1}(y_n)$ , this implies that  $\limsup f^{-1}(y_n) \cap K \neq \emptyset$ . Therefore applying lemma 5.1 again, we have that  $f$  is an OM-mapping.

The implication (5.2.3)  $\Rightarrow$  (5.2.1) is similarly proved.

**THEOREM 5.3.** *If  $f : X \rightarrow Y$  is an MO-mapping, then  $2^f$  is also an MO-mapping.*

This follows directly from Theorems 3.2 and 4.3.

### 6. Confluent mappings

First we prove a special case.

**LEMMA 6.1.** *Let  $f : X \rightarrow Y$  be a confluent mapping.*

(6.1.1) *If  $\mathcal{L}$  is an arc in  $C(Y)$ , then each component of  $[C(f)]^{-1}(\mathcal{L})$  is mapped by  $C(f)$  onto  $\mathcal{L}$ .*

(6.1.2) *If  $\mathcal{L}$  is an arc in  $2^Y$ , then each component of  $[2^f]^{-1}(\mathcal{L})$  is mapped by  $2^f$  onto  $\mathcal{L}$ .*

**PROOF.** We only prove (6.1.2) since (6.1.1) is more simple. Let  $\mathcal{L}$  be an arc in  $2^Y$  and  $\alpha : [0, 1] \rightarrow \mathcal{L}$  a homeomorphism. Let  $\mathcal{K}$  be a component of  $[2^f]^{-1}(\mathcal{L})$ . Without loss of generality, we may assume  $\alpha(0) \in 2^f(\mathcal{K})$ . It is sufficient to show that  $\alpha(1) \in 2^f(\mathcal{K})$ . On the contrary, suppose that  $\alpha(1) \notin 2^f(\mathcal{K})$ . Then by lemma 2.3, there is a separation  $[2^f]^{-1}(\mathcal{L}) = \mathcal{K}_0 \cup \mathcal{K}_1$

such that  $\mathcal{K} \subset \mathcal{K}_0$  and  $[2^f]^{-1}(\alpha(1)) \subset \mathcal{K}_1$ . Put  $t_0 = \sup\{t : \alpha(t) \in 2^f(\mathcal{K}_0)\}$ . Then by compactness of  $\mathcal{K}_0$ ,  $t_0 < 1$  and there is  $K \in \mathcal{K}_0$  such that  $2^f(K) = \alpha(t_0)$ . Let  $M$  be the union of all components  $C$  of  $f^{-1}(\alpha(t_0))$  such that  $C \cap K \neq \emptyset$ . Note that  $M \in \mathcal{K}_0$  since  $K$  and  $M$  are joined by a segment in  $[2^f]^{-1}(\alpha(t_0))$ . Let  $M_t$  be the union of all components of  $f^{-1}(\alpha([t_0, t])^*)$  intersecting  $M$  for each  $t \in [t_0, 1]$ . Choose a sequence  $t_1, t_2, \dots$  in  $[t_0, 1]$  such that  $1 > t_1 > t_2 > \dots$ , and  $\lim t_n = t_0$ . For each  $n = 1, 2, \dots$ , put  $K_n = f^{-1}(\alpha(t_n)) \cap M_{t_n}$ . Since  $f$  is confluent, each component of  $M_{t_n}$  is mapped by  $f$  onto a component of  $\alpha([t_0, t_n])^*$ . Therefore, by lemma 3.1, it is not so difficult to see that  $K_n \in [2^f]^{-1}(\alpha(t_n))$  and each component of  $M_{t_n}$  intersects  $K_n$ . We may assume that  $\lim K_n = K_0$  for some  $K_0 \in 2^X$ . Then  $K_0 \subset \bigcap_{n=1}^{\infty} M_{t_n} = M$  and each component of  $M$  intersects  $K_0$ . Therefore by lemma 2.1, there is a segment from  $K_0$  to  $M$  whose image is clearly contained in  $[2^f]^{-1}(\alpha(t_0))$ . Therefore  $K_0 \in \mathcal{K}_0$ . On the other hand,  $K_n \in \mathcal{K}_1$  for each  $n = 1, 2, \dots$ . Hence we have a contradiction since  $H_d(\mathcal{K}_0, \mathcal{K}_1) > 0$ .

**COROLLARY 6.2.** *Let  $f : X \rightarrow Y$  be a confluent mapping.*

(6.2.1) *If  $\mathcal{L}$  is an arcwise connected subcontinuum of  $C(Y)$ , then each component of  $[C(f)]^{-1}(\mathcal{L})$  is mapped by  $C(f)$  onto  $\mathcal{L}$ .*

(6.2.2) *If  $\mathcal{L}$  is an arcwise connected subcontinuum of  $2^Y$ , then each component of  $[2^f]^{-1}(\mathcal{L})$  is mapped by  $2^f$  onto  $\mathcal{L}$ .*

**PROOF.** Let  $\mathcal{L}$  be an arcwise connected subcontinuum of  $C(Y)$  and let  $\mathcal{K}$  be a component of  $[C(f)]^{-1}(\mathcal{L})$ . Choose an element  $K \in \mathcal{K}$ . Then for any  $L \in \mathcal{L} - \{f(K)\}$ , there is an arc  $\mathcal{B}$  in  $\mathcal{L}$  with the end points  $f(K)$  and  $L$ . Let  $\mathcal{A}$  be the component of  $[C(f)]^{-1}(\mathcal{B})$  containing  $K$ . Then clearly  $\mathcal{A} \subset \mathcal{K}$ , lemma 6.1 implies  $L \in C(f)(\mathcal{K})$ . (6.2.2) is similarly proved.

**THEOREM 6.3.** *Let  $f : X \rightarrow Y$  be a mapping. Consider the following three statements:*

(6.3.1)  *$f$  is a confluent mapping;*

(6.3.2)  *$C(f)$  is a confluent mapping;*

(6.3.3)  *$2^f$  is a confluent mapping.*

*Then the implications (6.3.2)  $\Rightarrow$  (6.3.1) and (6.3.3)  $\Rightarrow$  (6.3.1) hold. If  $Y$  is locally connected, then they are equivalent.*

**PROOF.** (6.3.3)  $\Rightarrow$  (6.3.1): Let  $L$  be a subcontinuum of  $Y$  and  $K$  a component of  $f^{-1}(L)$ . Let  $\mathcal{L}$  and  $\mathcal{K}$  be subcontinua of  $2^Y$  and  $2^X$  respectively



defined by  $\mathcal{L} = \{\{y\} : y \in L\}$ ,  $\mathcal{K} = \{\{x\} : x \in K\}$ . Let  $\mathcal{M}$  be a component of  $[2^f]^{-1}(\mathcal{L})$  such that  $\mathcal{K} \cap \mathcal{M} \neq \emptyset$ . Then it is clear that  $\mathcal{M}^* = K$ . Since  $2^f(\mathcal{M}) = \mathcal{L}$ , we have  $f(K) = L$ .

The implication (6.3.2)  $\Rightarrow$  (6.3.1) is similarly proved.

Now suppose that  $f$  is confluent and  $Y$  is locally connected. We shall only prove that  $2^f$  is confluent and omit the proof for  $C(f)$  to be confluent. Let  $\mathcal{L}$  be a subcontinuum of  $2^X$  and  $\mathcal{K}$  a component of  $[2^f]^{-1}(\mathcal{L})$ . Since  $2^Y$  is locally connected ([1] or [8]), there are locally connected subcontinua  $\mathcal{L}_1, \mathcal{L}_2, \dots$  of  $2^Y$  such that  $\mathcal{L}_1 \supset \mathcal{L}_2 \supset \dots$  and  $\bigcap_{n=1}^\infty \mathcal{L}_n = \mathcal{L}$  (see [5], p.260). Let  $\mathcal{K}_n$  be the component of  $[2^f]^{-1}(\mathcal{L}_n)$  containing  $\mathcal{K}$  for each  $n = 1, 2, \dots$ . It follows evidently that  $\mathcal{K}_1 \supset \mathcal{K}_2 \supset \dots$  and  $\bigcap_{n=1}^\infty \mathcal{K}_n = \mathcal{K}$ . Since by corollary 6.2 and continuity of  $2^f$ ,  $2^f(\mathcal{K}) = 2^f(\bigcap_{n=1}^\infty \mathcal{K}_n) = \bigcap_{n=1}^\infty 2^f(\mathcal{K}_n) = \bigcap_{n=1}^\infty \mathcal{L}_n = \mathcal{L}$ .

The following example shows that there is a confluent mapping  $f$  such that neither  $C(f)$  nor  $2^f$  is weakly confluent.

**EXAMPLE.** In the Euclidean plane with polar coordinates  $(r, \theta)$ , let  $S$  be the unite circle  $S = \{(r, \theta) : r = 1 \text{ and } 0 \leq \theta < 2\pi\}$  and let  $A_1, A_2, B_1, B_2$  be spaces each homeomorphic to the half open interval  $[0, 1)$ , defined by

$$\begin{aligned}
 A_1 &= \left\{ (r, \theta) : \theta = \frac{\pi}{2} \sin \frac{1}{1-r}, 1 < r \leq 2 \right\}, \\
 A_2 &= \left\{ (r, \theta) : \theta = \frac{\pi}{2} \left( 2 + \sin \frac{1}{1-r} \right), \frac{1}{2} \leq r < 1 \right\}, \\
 B_1 &= \left\{ (r, \theta) : \theta = \pi \sin \frac{1}{1-r}, 1 < r \leq 2 \right\}, \\
 B_2 &= \left\{ (r, \theta) : \theta = \pi \left( 2 + \sin \frac{1}{1-r} \right), \frac{1}{2} \leq r < 1 \right\}.
 \end{aligned}$$

Define  $X, Y$  and  $f : X \rightarrow Y$  by  $X = S \cup A_1 \cup A_2$ ,  $Y = S \cup B_1 \cup B_2$  and  $f(r, \theta) = (r, 2\theta)$  for all  $(r, \theta) \in X$ . Then  $f$  is cofluent and weakly monotone. Let  $K_t = \{(r, \theta) : r = 1 \text{ and } (\pi/2)(t-1) \leq \theta \leq (\pi/2)(2t-1)\}$  for  $t \in [0, 1]$  and  $L_t = \{(r, \theta) : r = 1 \text{ and } (\pi/2)(t+1) \leq \theta \leq (\pi/2)(2t+1)\}$  for  $t \in [0, 1]$ . The sets  $\mathcal{K} = \{K_t : t \in [0, 1]\}$  and  $\mathcal{L} = \{L_t : t \in [0, 1]\}$  are disjoint arcs in  $C(X)$  such that  $C(f)(\mathcal{K}) = C(f)(\mathcal{L})$ . There exist subsets  $\mathcal{M}, \mathcal{N}$  of  $C(X)$  such that  $\mathcal{M}$  and  $\mathcal{N}$  are both homeomorphic to the half open interval, each element of  $\mathcal{M}$  (resp.  $\mathcal{N}$ ) is contained in  $A_1$  (resp.  $A_2$ ),  $\overline{\mathcal{M}} - \mathcal{M} = \mathcal{K}$  and  $\overline{\mathcal{N}} - \mathcal{N} = \mathcal{L}$ . To see this, let  $g : S \cup A_1 \rightarrow S$  be the retraction defined by  $g(r, \theta) = (1, \theta)$  for each  $(r, \theta) \in S \cup A_1$ . We consider  $C(X)$  and  $C(S \cup A_1)$  as subsets of  $C(X)$ . Put  $\mathcal{M}_0 = [C(g)]^{-1}(\mathcal{K})$ .

Note that  $[C(g)]^{-1}(K_1) - \{K_1\}$  is a disjoint union of countably many arcs in  $C(S \cup A_1)$  and  $[C(g)]^{-1}(K_t)$  is a countable set with one limit element  $K_t$  for  $0 \leq t < 1$ . Define  $\mathcal{M} = \mathcal{M}_0 - \mathcal{K}$ . Similarly we can find a described set  $\mathcal{N}$ . Put  $\mathcal{A}_1 = \mathcal{M} \cup \mathcal{K}$ ,  $\mathcal{A}_2 = \mathcal{N} \cup \mathcal{L}$  and  $\mathcal{B} = C(f)(\mathcal{A}_1 \cup \mathcal{A}_2)$ . Then  $\mathcal{B}$  is a subcontinuum of  $C(Y)$  and  $[C(f)]^{-1}(\mathcal{B})$  has just two components  $\mathcal{A}_1$  and  $\mathcal{A}_2$ . But neither of them is mapped by  $C(f)$  onto  $\mathcal{B}$ .

## 7. Quasi-monotone and Weakly monotone mappings

**LEMMA 7.1.** *If  $f : X \rightarrow Y$  is weakly monotone and  $Y$  is locally connected, then  $f$  is confluent.*

**PROOF.** Let  $L$  be a subcontinuum of  $Y$  and  $K$  a component of  $f^{-1}(L)$ . Since  $Y$  is locally connected, there are subcontinua  $L_n (n = 1, 2, \dots)$  of  $Y$  such that  $L_1 \supset L_2 \supset L_3 \supset \dots$ ,  $\bigcap_{n=1}^{\infty} L_n = L$  and  $\text{int } L_n \neq \phi$  for each  $n = 1, 2, \dots$  (see [5] or [10]). Let  $K_n$  be a component of  $f^{-1}(L_n)$  containing  $K$  for each  $n = 1, 2, \dots$ . Then clearly  $K = \bigcap_{n=1}^{\infty} K_n$  and hence  $f(K) = \bigcap_{n=1}^{\infty} f(K_n) = \bigcap_{n=1}^{\infty} L_n = L$ .

By Theorem 6.3, we have:

**COROLLARY 7.2.** *If  $f : X \rightarrow Y$  is weakly monotone and  $Y$  is locally connected, then both of the mappings  $C(f)$  and  $2^f$  are confluent.*

**THEOREM 7.3.** *Let  $f : X \rightarrow Y$  be a mapping. Consider the following three statements:*

(7.3.1)  *$f$  is a quasi-monotone (resp. a weakly monotone) mapping;*

(7.3.2)  *$C(f)$  is a quasi-monotone (resp. a weakly monotone) mapping;*

(7.3.3)  *$2^f$  is a quasi-monotone (resp. a weakly monotone) mapping.*

*Then one of (7.3.2) and (7.3.3) implies (7.3.1). If  $Y$  is locally connected, then they are equivalent.*

**PROOF.** We shall only prove for the class of quasi-monotone mappings. The proof of the implication that (7.3.2) or (7.3.3) implies (7.3.1) is similar as the proof of Theorem 4.3.

Now suppose  $f$  is quasi-monotone and  $Y$  is locally connected. Let  $\mathcal{L}$  be a subcontinuum of  $C(Y)$  such that  $\text{Int } \mathcal{L} \neq \phi$ . Choose  $L_0 \in \text{Int } \mathcal{L}$  and  $y \in L_0$ . Since  $L_0 \in \text{Int } \mathcal{L}$  and  $Y$  is locally connected, there is a small closed connected neighborhood  $V$  of  $y$  in  $Y$  such that  $L = V \cup L_0 \in \mathcal{L}$ . Since  $f$  is quasi-monotone and  $\text{int } L \neq \phi$ ,  $f^{-1}(L)$  has a finite number of components, say  $K_1, K_2, \dots, K_r$ ,

each of them is mapped by  $f$  onto  $L$ . Since quasi-monotone mappings are weakly monotone, corollary 7.2 implies that  $C(f)$  is confluent. Let  $\mathcal{K}_0$  be a component of  $[C(f)]^{-1}(\mathcal{L})$ . Then  $C(f)(\mathcal{K}_0) = \mathcal{L}$ . Thus there is  $K \in \mathcal{K}_0$  such that  $C(f)(K) = L$ . Therefore  $K \subset K_i$  for some  $i \in \{1, 2, \dots, r\}$ . Then by lemma 2.1, it is easy to see that  $K_i \in \mathcal{K}_0$ . Therefore the number of components of  $[C(f)]^{-1}(\mathcal{L})$  is at most  $r$ . This and corollary 7.2 implies that  $C(f)$  is quasi-monotone.

Next, suppose that  $f : X \rightarrow Y$  is quasi-monotone and  $Y$  is locally connected (the case for weakly monotone mappings are follows from corollary 7.2). By Theorem 6.3,  $2^f$  is confluent. Let  $\mathcal{B}$  be a subcontinuum of  $2^Y$  with a nonempty interior and  $B \in \text{Int } \mathcal{B}$ . There is a positive number  $\varepsilon$  such that if  $L \in 2^Y$  and  $H_d(B, L) < \varepsilon$ , then  $L \in \mathcal{B}$ . Since  $Y$  is uniformly locally connected, there are  $\delta > 0$  and  $M \in 2^Y$  such that  $V_\delta(B) \subset M \subset V_\varepsilon(B)$  and each component of  $M$  intersects  $B$ , where  $V_\gamma(B)$  is the  $\gamma$ -neighborhood of  $B$  in  $Y$  for each  $\gamma > 0$  (see [10], pp. 20–22). The number of components of  $M$  is finite because let  $\{M_\alpha : \alpha \in \Omega\}$  be the set of components of  $M$ , choose a point  $y_\alpha \in M_\alpha \cap B$  for each  $\alpha \in \Omega$ , then the set  $\{y_\alpha : \alpha \in \omega\}$  is discrete and hence a finite set. Let  $M_1, M_2, \dots, M_r$  be the components of  $M$ . Since  $f$  is quasi-monotone and  $\text{int } M_i \neq \emptyset$ ,  $f^{-1}(M_i)$  has finitely many, say  $n(i)$ , components for each  $i = 1, 2, \dots, r$ . Then, as the proof of (7.3.1)  $\Rightarrow$  (7.3.2), the number of components of  $[2^f]^{-1}(\mathcal{B})$  is at most  $n(1) \cdot n(2) \dots n(r)$ .

### 8. Problems

There is an open mapping  $f$  such that  $C(f)$  is not open (the example in section 4).

1. Is there an open mapping  $f : X \rightarrow Y$  such that  $C(f)$  is open but  $C(C(f))$  is not open?

2. Does the correspondence  $f \rightarrow C(f)$  preserve or reverse the class of MO-mappings? If  $f$  is open, then is  $C(f)$  an MO-mapping? If  $2^f$  is an MO-mapping, then is  $f$  an MO-mapping?

3. For a confluent mapping  $f : X \rightarrow Y$ , is it true that if  $2^f$  is confluent, then  $C(f)$  is confluent?

A continuum  $X$  is said to have property [K] if for any  $\varepsilon > 0$ , there exists  $\delta = \delta(\varepsilon) > 0$  such that if  $a, b \in X$ ,  $d(a, b) < \delta$  and  $a \in A \in C(X)$ , then there exists  $B \in C(X)$  such that  $b \in B$  and  $H_d(A, B) < \varepsilon$ .

It is easy to see that if  $\mathcal{A}$  is a subcontinuum of  $2^X$  and  $\text{Int } \mathcal{A} \neq \emptyset$ , then  $\text{int } \mathcal{A}^* \neq \emptyset$ . If  $X$  has property [K], then for a subcontinuum  $\mathcal{K}$  of  $C(X)$ ,

$\text{Int } \mathcal{K} \neq \phi$  implies  $\text{int } \mathcal{K}^* \neq \phi$ . But if  $X$  does not have property [K],  $\text{int } \mathcal{K}^*$  may be empty.

EXAMPLE. In the Euclidean plan, let us denote  $xy$  the straight line segment with the end points  $x, y$ . Let  $p = (1, 0)$ ,  $q = (-1, 0)$  and  $a_n = (0, 1/n)$  for each  $n = 1, 2, \dots$ . Let  $A_n = a_{2n}p$ ,  $B_n = a_{2n+1}q$  for  $n = 1, 2, \dots$  and  $C = pq$ . Let  $X = C \cup [\bigcup_{n=1}^{\infty} A_n] \cup [\bigcup_{n=1}^{\infty} B_n]$  and  $\mathcal{K} = \{p_s p_t : s - t = 1 \text{ and } 1/3 \leq t \leq 2/3\}$ , where  $p_s = (s, 0) \in X$ , then  $\mathcal{K}$  is a subcontinuum of  $C(X)$  such that  $\text{Int } \mathcal{K} \neq \phi$  but  $\text{int } \mathcal{K}^* = \phi$ .

4. In Theorem 6.3, can the condition “ $Y$  is locally connected” be weakend?

*Added in proof* H. Kato announced me that by adding countably many disjoint half open lines on the continua of the example in section 6 of this paper, it is possible to construct continua having property [K] and a confluent mapping between them whose induced mappings are not weakly confluent.

Recently A. Illanes answered Problem 1 affirmatively. He showed that if  $C(C(f))$  is open, then  $f$  is a homeomorphism.

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