

THE IGUSA LOCAL ZETA FUNCTION ASSOCIATED WITH THE NONREGULAR IRREDUCIBLE PREHOMOGENEOUS VECTOR SPACE

By

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Introduction.

Let K be a p -adic field, i. e., a finite algebraic extension of \mathbf{Q}_p , where p is a rational prime number. We denote by O_K the maximal compact subring of K , by πO_K the ideal of nonunits of O_K and put $q = \#(O_K/\pi O_K)$. We denote by $|\cdot|_K$ the absolute value on K normalized as $|\pi|_K = q^{-1}$. We normalize the Haar measure $dX = |dx_1 \wedge \cdots \wedge dx_n|_K$ on K^n by $\text{vol}(O_K^n) = \int_{O_K^n} dX = 1$.

We denote by $O_K[x_1, \dots, x_n]$ the polynomial ring of n variables over O_K . For a polynomial $f(X) = f(x_1, \dots, x_n) \in O_K[x_1, \dots, x_n]$, J. Igusa proved that the integral

$$Z_f(s) = \int_{O_K^n} |f(X)|_K^s dX \quad (s \in \mathbf{C})$$

is a rational function of $t = q^{-s}$ (see [I-4][I-5]), and we call it the Igusa local zeta function (abbrev. I. L. zeta, in this paper) attached to $f(X)$ after J. P. Serre. When the polynomial $f(X)$ is a relative invariant of an irreducible prehomogeneous vector space, it has interesting properties, and it is explicitly calculated for some regular prehomogeneous vector spaces (see [I-3]).

We abbreviate “a prehomogeneous vector space” as a P. V. (see [S-K]). In this paper, we shall consider the nonregular case. M. Sato and T. Kimura proved the following proposition ([S-K], §4, Proposition 18).

PROPOSITION. *There is a one-to-one correspondence between the relative invariants $f(X)$ of $P = (G \times GL_n, \rho \otimes A_1, V(m) \otimes V(n)) (m > n \geq 1)$ and the relative invariants $f^*(X^*)$ of $P^* = (G \times GL_{m-n}, \rho^* \otimes A_1, V(m)^* \otimes V(m-n))$. Moreover, there exists a positive integer d for each $f(X)$ such that $\text{deg} f(X) = nd$ and $\text{deg} f^*(X^*) = (m-n)d$. If $f(X)$ is irreducible, then $f^*(X^*)$ is also irreducible. Here, ρ^* denotes the contragredient representation of ρ on the dual space $V^*(m)$ of $V(m)$.*

Here, P and P^* are called the castling transforms of each other. All non-

regular irreducible P. V.'s with relative invariants are obtained from $(GL_1 \times Sp_{2n} \times SO_3, \square \otimes A_1 \otimes A_1, V(6n))$ by a finite number of castling transformations. Moreover, J. Igusa proved the following formula (see [I-2]):

$$(1) \quad Z_{f^*}(s)/Z_f(s) = \prod_{n < j \leq m-n} (j)/(1-q^{-jt^d}) \quad \text{for } n < m-n,$$

where $Z_f(s)$ (resp. $Z_{f^*}(s)$) is the I. L. zeta attached to $f(X)$ (resp. $f^*(X^*)$) and we put $(j) = (1-q^{-j})$ for $j \in \mathbf{Z}$. Therefore, it is enough to consider the I. L. zeta associated with the nonregular irreducible P. V. $(GL_1 \times Sp_{2n} \times SO_3, \square \otimes A_1 \otimes A_1, V(6n))$, i. e., we shall consider the explicit form of the I. L. zeta $Z_P(s)$ attached to the quartic invariant $P(X)$ of our P. V. and check some conjectures on the I. L. zeta's.

§1. Computation of $Z_P(s)$.

We shall review an integration formula given in [K] for the I. L. zeta $Z_f(s)$ attached to a polynomial $f(X) \in O_K[x_1, \dots, x_n]$.

PROPOSITION 1.1. (*Integration formula*, [K]). For $1 \leq m \leq n$, put

$$D_i = \{(x_1, \dots, x_{i-1}, \lambda_i, x_{i+1}, \dots, x_m) \in O_K^m \mid (x_1, \dots, x_{i-1}) \in \pi O_K^{i-1}\},$$

then we have

$$(2) \quad Z_f(s) = \sum_{i=1}^m \int_{D_i \times O_K^{n-m}} |f(\lambda_i x_1, \dots, \lambda_i x_{i-1}, \lambda_i, \lambda_i x_{i+1}, \dots, \lambda_i x_m, x_{m+1}, \dots, x_n)|_K^s \\ |\lambda_i^{m-1} dx_1 \wedge \dots \wedge dx_{i-1} \wedge d\lambda_i \wedge dx_{i+1} \wedge \dots \wedge dx_m \wedge \dots \wedge dx_n|_K.$$

LEMMA 1.2. For positive integers d and m , we have

$$\int_{O_K} |\lambda|_K^{ds+m-1} d\lambda = (1)/(1-q^{-mt^d}).$$

This lemma is well-known and easily proved. When the polynomial $f(X)$ is homogeneous of degree d with respect to the m variables x_1, \dots, x_m , then the integration formula (2) can be expressed as follows:

$$(3) \quad Z_f(s) = [(1)/(1-q^{-mt^d})] \sum_{i=1}^m q^{-c(i-1)} Z_{f,i}(s),$$

where we put

$$Z_{f,i}(s) = \int_{O_K^n} |f(\pi x_1, \dots, \pi x_{i-1}, 1, x_{i+1}, \dots, x_m, \dots, x_n)|_K^s dX.$$

We identify the representation space $V(6n)$ of our P. V. with the totality of $2n \times 3$ matrices $M(2n, 3)$. Then the action $\rho = \square \otimes A_1 \otimes A_1$ is given by $\rho(g)X = \alpha AX^t B$ with $g = (\alpha, A, B) \in GL_1 \times Sp_{2n} \times SO_3$ and $X \in M(2n, 3)$.

For $X \in M(2n, 3)$, put

$$P(X) = (-1/2) \text{tr} \{({}^t X J X)^2\} \quad \text{with } J = \begin{pmatrix} 0 & 1_n \\ -1_n & 0 \end{pmatrix}.$$

This quartic form $P(X)$ is a relative invariant of our P. V. In fact, we have

$$\begin{aligned} P(\rho(g)X) &= (-1/2)tr\{[{}^t(\alpha AX^tB)J(\alpha AX^tB)]^2\} \\ &= (-1/2)tr\{[\alpha^2 B^t X ({}^tAJA)XB^{-1}]^2\} \\ &= (-1/2)tr\{[\alpha^2 B ({}^tXJX)B^{-1}]^2\} \\ &= \alpha^4 P(X) \quad \text{for } g=(\alpha, A, B) \in GL_1 \times Sp_{2n} \times SO_3. \end{aligned}$$

Any relative invariant is of the form $cP(X)^m$ with some integer m and nonzero constant c . One can see easily that, for $X = \begin{pmatrix} x \\ y \end{pmatrix}$ with $x=(x_{ij})$ and $y=(y_{ij})$ in $M(2n, 3)$, we have

$$(4) \quad P(X) = \sum_{1 \leq i < j \leq 3} [\sum_{k=1}^n d(k; i, j)]^2,$$

where we put $d(k; i, j) = \det \begin{pmatrix} x_{ki} & y_{ki} \\ x_{kj} & y_{kj} \end{pmatrix}$.

Now we apply the integration formula (3) to our local zeta function $Z_P(s)$ with respect to the $3n$ variables $x=(x_{ij})$. Then we obtain

$$(5) \quad Z_P(s) = [(1)/(1-q^{-3n}t^2)] \sum_{1 \leq i \leq n, j=1,2,3} q^{-[3(i-1)+j-1]} Z_{P(i,j)}(s).$$

we introduce the notations $x_{(i,j)}$, $\bar{x}_{(i,j)}$ and $y_{(j)}$ for $1 \leq i \leq n, j=1, 2, 3$, as follows:

$x_{(i,j)}$ is an $n \times 3$ matrix of the form $x_{(i,j)} = (x_1, x_2, x_3)$ with $x_k = {}^t(\pi x_{1k}, \dots, \pi x_{i-1,k}, t_{ik}, x_{i+1,k}, \dots, x_{nk})$ where t_{ik} is πx_{ik} (resp. 1, x_{ik}) when $1 \leq k < j$ (resp. $k=j, j < k \leq 3$).

$\bar{x}_{(i,j)}$ is an $n \times 3$ matrix of the same as $x_{(i,j)}$ except the j -th column vector which is ${}^t(0, \dots, \overset{i}{1}, \dots, 0)$, and $y_{(j)} = (y_{rs})$ with $y_{rj} = 0$ for all r . Then, with these notations, we may express

$$(6) \quad Z_{P(i,j)}(s) = \int_{O_K^{6n}} |P(x_{(i,j)} | y_{(j)})|_K^s dX.$$

LEMMA 1.3. *In the right hand side of (6), we can replace $P(x_{(i,j)} | y)$ by a simpler polynomial $P(\bar{x}_{(i,j)} | y_{(j)})$ in a smaller number of variables, i.e., we have*

$$Z_{P(i,j)}(s) = \int_{O_K^{6n}} |P(\bar{x}_{(i,j)} | y_{(j)})|_K^s dX.$$

PROOF. We put

$$A^{(i,j)} = \begin{pmatrix} A_1 & 0 \\ 0 & {}^tA_1^{-1} \end{pmatrix} \in M(2n),$$

where $A_1 = (a_1, \dots, a_i, \dots, a_n)$ with $a_k = {}^t(0, \dots, \overset{k}{1}, \dots, 0)$ for $k \neq i$, and $a_i = {}^t(-\pi x_{1j}, \dots, -\pi x_{i-1,j}, 1, -x_{i+1,j}, \dots, -x_{nj})$.

Then this $2n \times 2n$ matrix $A^{(i,j)}$ is an element of Sp_{2n} . We define a map φ from O_K^{6n} to itself by $\varphi(X) = A^{(i,j)}X$, then the map φ gives a measure-preserving analytic homeomorphism of O_K^{6n} to itself, and $\varphi(x_{(i,j)}|y)$ is of the form $(\bar{x}_{(i,j)}|y)$. Since the relative invariant $P(X)$ is invariant under the action of Sp_{2n} , we have

$$Z_{P^{(i,j)}}(s) = \int_{O_K^{6n}} |P(\bar{x}_{(i,j)}|y)|_K^s dX.$$

Similarly, we have

$$\int_{O_K^{6n}} |P(\bar{x}_{(i,j)}|y)|_K^s dX = \int_{O_K^{6n}} |P(\bar{x}_{(i,j)}|y_{(j)})|_K^s dX$$

by the action of $\bar{A}^{(i,j)} = \begin{pmatrix} 1_n & 0 \\ A_2 & 1_n \end{pmatrix} \in Sp_{2n}$, where $A_2 = (b_1, \dots, b_i, \dots, b_n)$ with

$b_k = {}^t(0, \dots, -y_{kj}, \dots, 0)$ for $k \neq i$, and $b_i = {}^t(-y_{1j}, \dots, -y_{ij}, \dots, -y_{nj})$.

Q. E. D.

Now we shall consider the partial integral $Z_{P^{(i,1)}}(s)$. Applying the integration formula (3) to $Z_{P^{(i,j)}}(s)$ with respect to the $2n$ variables $y_{n2}, y_{n3}, y_{n-1,2}, y_{n-1,3}, \dots, y_{12}, y_{13}$ in this order, we have

$$(7) \quad Z_{P^{(i,1)}}(s) = [(1)/(1-q^{-2n}t^2)] \sum_{k=1}^n q^{-2(n-k)} [Z_{P^{(i,1)}}(s)_{(k,2)} + q^{-1} Z_{P^{(i,1)}}(s)_{(k,3)}].$$

In the right hand of (7), we put

$$Z_{P^{(i,1)}}(s)_{(k,h)} = \int_{O_K^{6n}} |A_{k,h}(y) + B_{k,h}(x, y)|_K^s dX,$$

where we put

$$A_{k,h}(y) \begin{cases} = y_{i2}^2 + y_{i3}^2 & (i < k \leq n) \\ = 1 + y_{i3}^2 & (k=i, h=2), 1 + \pi^2 y_{i2}^2 & (k=i, h=3) \\ = \pi^2 y_{i2}^2 + \pi^2 y_{i3}^2 & (1 \leq k < i, h=2, 3) \end{cases}$$

and

$$B_{k,h}(x, y) = \pi \sum_{l=i}^{k-1} d(l; 2, 3) + \sum_{l=i}^{k-1} d(l; 2, 3) \\ + d(k; 2, 3)_h + \pi \sum_{l=k+1}^n d(l; 2, 3) \quad (i < k \leq n, h=2, 3)$$

$$B_{k,h}(x, y) = \pi \sum_{l=i}^{k-1} d(l; 2, 3) \\ + d(k; 2, 3)_h + \pi \sum_{l=k+1}^n d(l; 2, 3) \quad (k=i, h=2, 3)$$

$$B_{k,h}(x, y) = \pi \sum_{l=i}^{k-1} d(l; 2, 3) + \pi d(k; 2, 3)_h + \pi \sum_{l=h-i}^n d(l; 2, 3) \quad (1 \leq k < i).$$

Here we define

$$d(k; 2, 3)_2 = \det \begin{pmatrix} x_{k2} & 1 \\ x_{k3} & y_{k3} \end{pmatrix} \quad \text{and} \quad d(k; 2, 3)_3 = \det \begin{pmatrix} x_{k2} & \pi y_{k2} \\ x_{k3} & 1 \end{pmatrix}.$$

Now we put

$$Z_1(s) = \int_{\mathcal{O}_K^3} |x^2 + y^2 + z^2|_K^s |dx \wedge dy \wedge dz|_K,$$

$$Z_2(s) = \int_{\mathcal{O}_K^2} |1 + x^2 + y^2|_K^s |dx \wedge dy|_K,$$

and

$$Z_3(s) = \int_{\mathcal{O}_K^2} |1 + x^2 + \pi^2 y^2|_K^s |dx \wedge dy|_K.$$

Then, by a suitable change of variables, we have

$$Z_{P(i,1)}(s)_{(k,l)} = Z_1(s) \quad (i+1 \leq k \leq n, l=2, 3),$$

$$Z_{P(i,1)}(s)_{(i,2)} = Z_2(s), \quad Z_{P(i,1)}(s)_{(i,3)} = Z_3(s),$$

and

$$Z_{P(i,1)}(s)_{(k,l)} = t^2 Z_1(s) \quad (1 \leq k \leq i-1, l=2, 3).$$

For example, we put

$$\tilde{x}_{k3} = B_K(y) = -x_{k3} + \dots, \quad \text{for } i+1 \leq k \leq n,$$

then we have

$$\begin{aligned} Z_{P(i,1)}(s)_{(k,2)} &= \int_{\mathcal{O}_K^3} |y_{i2}^2 + y_{i3}^2 + \tilde{x}_{k3}^2|_K^s |dy_{i2} \wedge dy_{i3} \wedge d\tilde{x}_{k3}|_K \\ &= Z_1(s). \end{aligned}$$

Therefore, we have, from the formula (7),

$$(8) \quad Z_{P(i,1)}(s) = [(1)/(1-q^{-2n}t^2)] \times [A \cdot Z_1(s) + q^{-2(n-j)}(Z_2(s) + q^{-1}Z_3(s))]$$

where we put

$$A = [(2n-2i) + (2i-2)q^{-2s-2(n-i+1)}]/(1).$$

Similarly, we have

$$(9) \quad Z_{P(i,2)}(s) = [(1)/(1-q^{-2n}t^2)] \times [A \cdot Z_1(s) + q^{-2(n-i)}(Z_2(s) + q^{-1})].$$

$$(10) \quad Z_{P(i,3)}(s) = [(1)/(1-q^{-2n}t^2)] \times [A \cdot Z_1(s) + q^{-2(n-i)}(Z_3(s) + q^{-1})].$$

Therefore, by the formulas (5), (8), (9) and (10), we have

$$(11) \quad Z_P(s) = [(1)^2/(1-q^{-2n}t^2)(1-q^{-3n}t^2)] \times [B \cdot Z_1(s) + q^{-2(n-1)} \cdot C \cdot (Z_2(s) + q^{-1}Z_3(s) + q^{-2})],$$

where we put

$$B = \{[(1) - (3)q^{-2(n-1)} + (2)q^{-3n+2}] + [(2)q^{-1} - (3)q^{-n} + (1)q^{-3n}]q^{-2nt^2}\} / (1)^3$$

and $C = (1 + q^{-1})(n) / (1)$.

LEMMA 1.4. *We have the following formulas.*

$$(a) \quad Z_1(s) = (1)(1 - q^{-3}t) / (1 - q^{-1}t)(1 - q^{-3}t^2).$$

$$(b) \quad Z_2(s) + q^{-1}Z_3(s) + q^{-3} = (1 - q^{-3}t) / (1 - q^{-1}t).$$

PROOF. (a) This is a classical result. (b) Applying the integration formula (3) to $Z_1(s)$ with respect to all variables x , y and z , we have

$$Z_1(s) = [(1) / (1 - q^{-3}t^2)] \times [Z_2(s) + q^{-1}Z_3(s) + q^{-2}].$$

Q. E. D.

Combining the formula (11) with Lemma 1.4., we obtain the following theorem.

THEOREM. *Let $Z_P(s)$ be the I. L. zeta associated with the (reduced) nonregular irreducible P. V.*

$$(GL_1 \times Sp_{2n} \times SO_3, \square \otimes A_1 \otimes A_1, V(6n)),$$

then we have

$$Z_P(s) = [(1)(1 - q^{-3}t) / (1 - q^{-1}t)(1 - q^{-3}t^2)] \times (2n) / (1 - q^{-2}t^2).$$

§ 2. Some Remarks on $Z_P(s)$.

We shall give some remarks on $Z_P(s)$.

A. A factor $(1)(1 - q^{-3}t) / (1 - q^{-1}t)(1 - q^{-3}t^2)$ of $Z_P(s)$ is the I. L. zeta $Z_1(s)$ associated with $(GL_1 \times SO_3, \square \otimes A_1, V(3))$.

B. The I. L. zeta $Z_f(s)$ can be defined for any p -adic field K , and the I. L. zeta $Z_f(s)$ depends on the choice of the p -adic fields K . If there exists a rational function $Z_f(u, v) \in \mathbf{Q}(u, v)$ satisfying

$$Z_f(s) = Z_f(q^{-1}, q^{-s}),$$

which is independent of the choice of the p -adic fields K , then we call it "the universal p -adic zeta function" for the polynomial $f(X)$ (see [I-1]). J. Igusa proved that the universal p -adic zeta function $Z_f(u, v)$ for the relative invariant $f(X)$ of some irreducible P. V.'s satisfies the functional equation:

$$Z_f(u^{-1}, v^{-1}) = v^{deg f} Z_f(u, v).$$

In [I-1], J. Igusa also conjectured that if the universal p -adic zeta function $Z_f(u, v)$ exists for a homogeneous polynomial $f(X)$ with coefficients in a number field, then $Z_f(u, v)$ satisfies the above functional equation.

Our calculation of $Z_P(s)$ shows that Igusa's conjecture holds for the nonregular irreducible case. In fact, if we put

$$Z_P(u, v) = [(1-u)(1-u^3v)/(1-uv)(1-u^3v^2)] \times [(1-u^{2n})/(1-u^{2n}v^2)],$$

then this rational function $Z_f(u, v)$ is the universal p -adic zeta function for $P(X)$, and satisfies the functional equation:

$$Z_P(u^{-1}, v^{-1}) = v^4 Z_P(u, v).$$

C. T. Kimura, F. Sato and X. Zhu have proved that any real poles of the I. L. zeta associated with an irreducible reduced regular P. V. is a special root of the b -function $b(s)$ (see [K-S-Z], §2, Main theorem 2.1.). In the nonregular case, the b -function (in the sense of the Bernstein polynomial) associated with $(GL_1 \times Sp_{2n} \times SO_3, \square \otimes A_1 \otimes A_1, V(6n))$ is

$$b(s) = (s+1)(s+3/2)(s+2n/2)(s+(2n+1)/2).$$

Therefore, all poles $\{1, 3/2, 2n/2\}$ of $Z_P(s)$ are roots of the b -function $b(s)$.

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