# ON SELF-INJECTIVE DIMENSIONS OF ARTINIAN RINGS

#### By

## Mitsuo Hoshino

Throughout this note R stands for a left and right artinian ring unless specified otherwise. We denote by mod R (resp. mod  $R^{op}$ ) the category of all finitely generated left (resp. right) R-modules and by ()\* both the R-dual functors. For an  $X \in \text{mod } R$ , we denote by  $\varepsilon_X : X \to X^{**}$  the usual evaluation map, by E(X) its injective envelope and by [X] its image in  $K_0 \pmod{R}$ , the Grothendieck group of mod R.

In this note, we ask when inj dim  $_{R}R = inj \dim R_{R}$ . Note that if inj dim  $_{R}R < \infty$  and inj dim  $R_{R} < \infty$  then by Zaks [10, Lemma A] inj dim  $_{R}R = inj \dim R_{R}$ . So we ask when inj dim  $R_{R} < \infty$  implies inj dim  $_{R}R < \infty$ . There has not been given any example of R with inj dim  $_{R}R \neq inj \dim R_{R}$ . However, we know only a little about the question. By Eilenberg and Nakayama [5, Theorem 18],  $_{R}R$  is injective if and only if so is  $R_{R}$ . In case R is an artin algebra, we know from the theory of tilting modules that inj dim  $_{R}R \leq 1$  if and only if inj dim  $R_{R} \leq 1$  (see Bongartz [3, Theorem 2.1]). Also, if R is of finite representation type, it is well known and easily checked that inj dim  $_{R}R < \infty$  if and only if inj dim  $R_{R} < \infty$ .

Suppose inj dim  $R_R < \infty$ . Then we have a well defined linear map

 $\delta : K_0 \pmod{R^{op}} \longrightarrow K_0 \pmod{R}$ 

such that

$$\delta([M]) = \sum_{i \ge 0} (-1)^i [\operatorname{Ext}^i_R(M, R)]$$

for  $M \in \mod R^{\circ p}$ . Since R is artinian, both  $K_0 \pmod{R^{\circ p}}$  and  $K_0 \pmod{R}$  are finitely generated free abelian groups of the same rank. Also, for an  $M \in \mod R^{\circ p}$ , [M]=0 if and only if M=0. Thus inj dim  $_R R < \infty$  if (and only if) the following two conditions are satisfied:

- (a)  $\delta$  is surjective.
- (b) There is an integer  $d \ge 1$  such that  $\delta([\operatorname{Ext}_R^d(X, R)]) = 0$  for all  $X \in \operatorname{mod} R$ .

In this note, along the principle above, we will prove the following

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THEOREM A. Let  $m, n \ge 1$ . Suppose that inj dim  $R_R \le n$  and that for all  $0 \le i \le n-2$  (if  $n \ge 2$ )  $\operatorname{Ext}_R^i(\operatorname{Ext}_R^m(-, R), R)$  vanishes on mod R. Then inj dim  $_R R < \infty$ .

REMARK. Let  $0 \rightarrow R_R \rightarrow E_0 \rightarrow E_1 \rightarrow \cdots$  be a minimal injective resolution of  $R_R$ . Suppose proj dim  $E_i < m$  for all  $0 \le i \le n-2$ . Then it follows by Cartan and Eilenberg [4, Chap. VI, Proposition 5.3] that for all  $0 \le i \le n-2 \operatorname{Ext}_R^i(\operatorname{Ext}_R^m(-, R), R)$  vanishes on mod R. The converse fails. Namely, there has been given an example of R such that proj dim  $E_0 = \infty$  and  $\operatorname{Ext}_R^1(-, R)^*$  vanishes on mod R (see Hoshino [7, Example]).

Consider the case n=1 in Theorem A. Then the last assumption is empty and we get the following

COROLLARY. inj dim  $_{R}R \leq 1$  if and only if inj dim  $R_{R} \leq 1$ .

As another application of Theorem A, we will prove the following

THEOREM B. Let  $0 \rightarrow R_R \rightarrow E_0 \rightarrow E_1 \rightarrow \cdots$  be a minimal injective resolution of  $R_R$ . Suppose inj dim  $R_R \leq 2$ . Then the following statements are equivalent.

- (1) inj dim  $_{R}R < \infty$ .
- (2) proj dim  $E_0 < \infty$ .
- (3) proj dim  $E_2 < \infty$ .

The following question is raised: Does inj dim  $R_R < \infty$  imply proj dim  $E(R_R) < \infty$ ? If this is the case, it would follow from Theorem B that inj dim  $_RR \leq 2$  if and only if inj dim  $R_R \leq 2$ . At least, it would be possible to check directly that inj dim  $R_R \leq 1$  implies proj dim  $E(R_R) \leq 1$ . In connection with this, we notice that proj dim  $E(R_R) \leq 1$  does not imply proj dim  $E(R_R) < \infty$  (see Hoshino [7, Example]).

# 1. Proof of Theorem A

We may assume m > n. We claim inj dim  $_{R}R \leq m+n-2$ . Let

$$\cdots \longrightarrow P_1 \longrightarrow P_0 \longrightarrow X \longrightarrow 0$$

be an exact sequence in mod R with the  $P_i$  projective. Put  $X_i = \operatorname{Cok}(P_{i+1} \rightarrow P_i)$  for  $i \ge 0$  and  $M_i = \operatorname{Cok}(P_{i-1}^* \rightarrow P_i^*)$  for  $i \ge 1$ . As remarked in the introduction, we have only to check the following two conditions:

(a)' 
$$[X] = \delta \left( \sum_{i=0}^{m+n-3} (-1)^i [P_i^*] + (-1)^{m+n-2} [X_{m+n-2}^*] \right).$$
  
(b)'  $\operatorname{Ext}_R^i (\operatorname{Ext}_R^{m+n-1}(X, R), R) = 0 \text{ for all } i \ge 0.$ 

We will check these in several steps.

STEP 1: 
$$M_i^{**} \cong X_{i+1}^* \cong \operatorname{Ker} (P_{i+1}^* \to P_{i+2}^*)$$
 for all  $i \ge 1$ .

**PROOF.** Let  $i \ge 1$ . Since each  $P_j$  is reflexive, we have

$$\begin{split} M_i^* &\cong \operatorname{Ker} \left( P_i^{**} \longrightarrow P_{i-1}^{**} \right) \\ &\cong \operatorname{Ker} \left( P_i \longrightarrow P_{i-1} \right) \\ &\cong \operatorname{Cok} \left( P_{i+2} \longrightarrow P_{i+1} \right). \end{split}$$

Applying ()\*, the assertion follows.

STEP 2: For each  $i \ge 1$ , there is the following commutative diagram with exact rows:

PROOF. This is a consequence of Auslander [1, Proposition 6.3]. However, for the benefit of the reader, we provide a direct proof. By Step 1 we have the following commutative diagram with exact rows and columns:

$$\begin{array}{ccc}
P_{i-1}^{*} & P_{i+2}^{*} \\
\downarrow & \uparrow \\
P_{i}^{*} \longrightarrow P_{i+1}^{*} \longrightarrow M_{i+1} \longrightarrow 0 \\
\downarrow & \uparrow \\
M_{i} \stackrel{\varepsilon_{M_{i}}}{\longrightarrow} M_{i}^{**} \\
\downarrow & \uparrow \\
0 & 0.
\end{array}$$

Since the  $\operatorname{Ext}_{R}^{j}(-, R)$  are derived functors of ()\*, the assertion follows.

STEP 3:  $\operatorname{Ext}_{R}^{1}(M_{i}, R) = 0$  for all  $i \geq 2$ .

PROOF. Let  $i \ge 2$ . Note that  $X_{i-1}$  is torsionless. We have a finite presentation  $P_{i-1}* \rightarrow P_i* \rightarrow M_i \rightarrow 0$  with  $X_{i-1} \cong \operatorname{Cok}(P_i** \rightarrow P_{i-1}**)$ . Thus by Step 2  $\operatorname{Ext}_R^1(M_i, R) \cong \operatorname{Ker} \mathfrak{s}_{X_{i-1}} = 0$ .

STEP 4: Suppose  $n \ge 2$ . Then  $\operatorname{Ext}_{R}^{j+1}(M_{i+j}, R) = 0$  for all  $i \ge m$  and  $n-1 \ge j \ge 1$ .

**PROOF.** Note that for all  $i \ge m$  and  $n-2 \ge j \ge 0 \operatorname{Ext}_{R}^{i}(\operatorname{Ext}_{R}^{i}(-, R), R)$  vanishes on mod R. Let  $i \ge m$ . Applying ()\* to exact sequences  $(e_{i}), \cdots, (e_{i+n-2})$  in Step 2, we get a chain of embeddings:

$$\operatorname{Ext}_{R}^{n}(M_{i+n-1}, R) \longrightarrow \cdots \longrightarrow \operatorname{Ext}_{R}^{1}(M_{i}, R)$$
.

By Step 3 the assertion follows.

STEP 5:  $\operatorname{Ext}_{R}^{j}(M_{i}, R) = 0$  for all  $i \ge m + n - 1$  and  $j \ge 1$ .

PROOF. Note that for all  $j \ge n+1 \operatorname{Ext}_{R}^{i}(-, R)$  vanishes on mod  $R^{op}$ . By Steps 3 and 4 the assertion follows.

STEP 6:  $X_i$  is reflexive for all  $i \ge m + n - 2$ .

PROOF. Let  $i \ge m+n-2$ . Since  $m+n-2 \ge 1$ ,  $X_i$  is torsionless. Also, as in the proof of Step 3, we have  $\operatorname{Cok} \varepsilon_{X_i} \cong \operatorname{Ext}^2_R(M_{i+1}, R)$ . By Step 5 the assertion follows.

STEP 7: Ext<sub>R</sub>( $X_i^*$ , R)=0 for all  $i \ge m+n-2$  and  $j \ge 1$ .

**PROOF.** Note that  $X_i^*$  is a second syzygy of  $M_{i+1}$ . By Step 5 the assertion follows.

STEP 8: 
$$[X] = \delta \Big( \sum_{j=0}^{i-1} (-1)^j [P_j^*] + (-1)^i [X_i^*] \Big) \Big)$$
 for all  $i \ge m + n - 2$ .

**PROOF.** Let  $i \ge m + n - 2$ . By Steps 6 and 7 we have

$$\begin{split} \llbracket X \rrbracket &= \sum_{j=0}^{i-1} (-1)^{j} \llbracket P_{j} \rrbracket + (-1)^{i} \llbracket X_{i} \rrbracket \\ &= \sum_{j=0}^{i-1} (-1)^{j} \llbracket P_{j} * * \rrbracket + (-1)^{i} \llbracket X_{i} * * \rrbracket \\ &= \sum_{j=0}^{i-1} (-1)^{j} \delta(\llbracket P_{j} * \rrbracket) + (-1)^{i} \delta(\llbracket X_{i} * \rrbracket) \\ &= \delta \Big( \sum_{j=0}^{i-1} (-1)^{j} \llbracket P_{j} * \rrbracket + (-1)^{i} \llbracket X_{i} * \rrbracket \Big) \,. \end{split}$$

STEP 9:  $\operatorname{Ext}_{R}^{i}(\operatorname{Ext}_{R}^{i}(X, R), R) = 0$  for all  $i \ge m + n - 1$  and  $j \ge 0$ .

PROOF. Let  $i \ge m+n-1$ . Observe the commutative diagram in Step 2. It is not difficult to see that  $\phi_i^*$  is epic. Thus, by applying ()\* to the exact sequence  $(e_i)$ , the assertion follows by Step 5.

This finishes the proof of Theorem A.

#### 2. Proof of Theorem B

We will use a result of Cartan and Eilenberg [4, Chap. VI, Proposition 5.3] without any reference.

 $(1) \Rightarrow (2)$  and (3). See Iwanaga [8, Proposition 1].

 $(2) \Rightarrow (1)$ . Let  $m \ge 1$  and  $X \in \text{mod } R$ . Suppose projdim  $E_0 < m$ . Then  $\text{Hom}_R(\text{Ext}_R^m(X, R), E_0) \cong \text{Tor}_m^R(E_0, X) = 0$  and thus  $\text{Ext}_R^m(X, R)^* = 0$ . Hence Theorem A applies.

(3)  $\Rightarrow$  (1). Let  $m \ge 2$  and suppose proj dim  $E_2 < m$ . We claim that  $\text{Ext}_R^m(-, R)^*$  vanishes on mod R. Let

$$\cdots \longrightarrow P_1 \longrightarrow P_0 \longrightarrow X \longrightarrow 0$$

be an exact sequence in mod R with the  $P_i$  projective and put  $M=\operatorname{Cok}(P_{m-1}^* \to P_m^*)$ . Note first that for all  $i \ge m$ , since  $\operatorname{Hom}_R(\operatorname{Ext}^i_R(X, R), E_2) \cong \operatorname{Tor}^R_i(E_2, X) = 0$ ,  $\operatorname{Ext}^2_R(\operatorname{Ext}^i_R(X, R), R) = 0$ . By Step 2 of Section 1 we have an exact sequence

$$0 \longrightarrow \operatorname{Ext}_{R}^{m}(X, R) \longrightarrow M \xrightarrow{\varepsilon_{M}} M^{**} \longrightarrow \operatorname{Ext}_{R}^{m+1}(X, R) \longrightarrow 0.$$

Note that by Step 3 of Section 1  $\operatorname{Ext}_{R}^{i}(M, R)=0$ , that since  $M^{**}$  is a second syzygy,  $\operatorname{Ext}_{R}^{i}(M^{**}, R)=0$  for all  $i\geq 1$ , and that  $\varepsilon_{M}^{*}$  is epic. Applying ()\* to the above exact sequence, we get

$$\operatorname{Ext}_{R}^{m}(X, R)^{*} \cong \operatorname{Ext}_{R}^{1}(\operatorname{Im} \varepsilon_{M}, R)$$
$$\cong \operatorname{Ext}_{R}^{2}(\operatorname{Ext}_{R}^{m+1}(X, R), R)$$
$$= 0,$$

as required.

## 3. Remarks

In this and the next sections, we will make some remarks on our subject.

PROPOSITION 1. Let  $\dots \to P_1 \to P_0 \to X \to 0$  be an exact sequence in mod R with the  $P_i$  projective. Put  $X_i = \operatorname{Cok}(P_{i+1} \to P_i)$  for  $i \ge 0$ . Then for each  $n \ge 1$  the following equality holds in  $K_0 \pmod{R^{op}}$ :

$$\sum_{i=0}^{n} (-1)^{i} [Ext_{R}^{i}(X, R)] = \sum_{i=0}^{n-1} (-1)^{i} [P_{i}^{*}] + (-1)^{n} [X_{n}^{*}].$$

PROOF. By direct calculation.

PROPOSITION 2. Suppose inj dim  $R_R \leq 2$  and proj dim  $E(R) \leq 1$ . Then inj dim  $R \leq 2$ .

PROOF. By Hoshino [7, Proposition D]  $\operatorname{Ext}_{R}^{1}(-, R)^{*}$  vanishes on mod R. Thus by Theorem A and Zaks [10, Lemma A] the assertion follows.

**PROPOSITION 3.** The following statements are equivalent.

- (1)  $\operatorname{inj} \dim_{R} R \leq 1$ .
- (2) inj dim  $R_R \leq 1$ .
- (3) Every  $X \in \text{mod } R$  with  $\text{Ext}_{R}^{1}(X, R) = 0$  is torsionless.
- (4) Every  $M \in \mod R^{op}$  with  $\operatorname{Ext}^{1}_{R}(M, R) = 0$  is torsionless.

**PROOF.** (1) $\Leftrightarrow$ (2). By Corollary to Theorem A. (1) $\Leftrightarrow$ (4) and (2) $\Leftrightarrow$ (3). See Hoshino [6, Remark].

## 4. Appendix

In this section, as an appendix, we deal with the case of R being noe-therian.

We remarked in [6] that for a left and right noetherian ring R, injdim  $_{R}R \leq 1$  if and only if every  $M \in \mod R^{op}$  with  $\operatorname{Ext}_{R}^{1}(M, R) = 0$  is torsionless. Compare this with the following

**PROPOSITION 4.** Let R be a left and right noetherian ring. Then the following statements are equivalent.

- (1) proj dim  $X \leq 1$  for every  $X \in \text{mod } R$  with proj dim  $X < \infty$ .
- (2)  $M^* \neq 0$  for every nonzero  $M \in \text{mod } R^{\text{op}}$  with  $\text{Ext}_R^1(M, R) = 0$ .

PROOF. (1) $\Rightarrow$ (2). Let  $M \in \mod R^{op}$  with  $\operatorname{Ext}_{R}^{1}(M, R) = 0 = M^{*}$ . We claim M=0. Let  $\cdots \to P_{1} \to P_{0} \to M \to 0$  be a projective resolution in  $\operatorname{mod} R^{op}$  and put  $X = \operatorname{Cok} (P_{1}^{*} \to P_{2}^{*})$ . Then we have a projective resolution  $0 \to P_{0}^{*} \to P_{1}^{*} \to P_{2}^{*} \to X$  $\to 0$  in  $\operatorname{mod} R$ . Since proj dim  $X < \infty$ , we get proj dim  $X \leq 1$ . Thus, since each  $P_{i}$  is reflexive,  $M \cong \operatorname{Cok} (P_{1}^{**} \to P_{0}^{**}) \cong \operatorname{Ext}_{R}^{2}(X, R) = 0$ .

(2) $\Rightarrow$ (1). Suppose to the contrary that there is a torsionless  $X \in \mod R$  with

proj dim X=1. Let  $0 \rightarrow P_1 \rightarrow P_0 \rightarrow X \rightarrow 0$  be a projective resolution in mod R and put  $M=\operatorname{Cok}(P_0^* \rightarrow P_1^*)$ . Note that  $M \neq 0$ . By Auslander [1, Proposition 6.3]  $\operatorname{Ext}_R^1(M, R) \cong \operatorname{Ker} \varepsilon_X = 0$ . On the other hand, since each  $P_i$  is reflexive,  $M^* \cong \operatorname{Ker}(P_1 \rightarrow P_0) = 0$ , a contradiction.

PROPOSITION 5. Let R be a left and right noetherian ring with injdim  $R_R \leq 2$ . Suppose there is an integer  $m \geq 1$  such that  $\operatorname{Ext}_R^m(-, R)^*$  vanishes on mod R. Then the following statements are equivalent.

(1)  $\operatorname{inj} \dim_{R} R < \infty$ .

(2) There is an integer  $n \ge 0$  such that proj dim  $X \le n$  for every  $X \in \mod R$  with proj dim  $X < \infty$ .

(3) For an  $M \in \text{mod } R^{\text{op}}$ ,  $\text{Ext}_R^i(M, R) = 0$  for all  $i \ge 0$  implies M = 0.

**PROOF.** (1) $\Rightarrow$ (2). See Bass [2, Proposition 4.3].

(2) $\Rightarrow$ (3). Let  $M \in \mod R^{\circ p}$  with  $\operatorname{Ext}_{R}^{i}(M, R) = 0$  for all  $i \geq 0$ . Then by the same argument as in the proof of (1) $\Rightarrow$ (2) in Proposition 4 it follows that M=0.

(3) $\Rightarrow$ (1). Let  $M \in \mod R^{\circ p}$  with  $\operatorname{Ext}_{R}^{i}(M, R) = 0$  for all  $i \ge 1$ . We claim that M is reflexive. We show first that such an M is torsionless. Let  $\cdots \to P_{1} \to P_{0} \to M \to 0$  be a projective resolution in  $\operatorname{mod} R^{\circ p}$  and put  $X = \operatorname{Cok} (P_{m-1}^{*} \to P_{m}^{*})$ . Then we have an exact sequence  $P_{0}^{*} \to \cdots \to P_{m}^{*} \to X \to 0$  in  $\operatorname{mod} R$  with the  $P_{i}^{*}$  projective. Since  $M \cong \operatorname{Cok} (P_{1}^{**} \to P_{0}^{**})$ , as in Step 2 of Section 1, Ker  $\varepsilon_{M} \cong \operatorname{Ext}_{R}^{m}(X, R)$ . Thus  $(\operatorname{Ker} \varepsilon_{M})^{*} = 0$ . Also, since  $\operatorname{Im} \varepsilon_{M}$  is torsionless, the exact sequence  $0 \to \operatorname{Ker} \varepsilon_{M} \to M \to \operatorname{Im} \varepsilon_{M} \to 0$  yields  $\operatorname{Ext}_{R}^{i}(\operatorname{Ker} \varepsilon_{M}, R) \cong \operatorname{Ext}_{R}^{i+1}(\operatorname{Im} \varepsilon_{M}, R) = 0$  for all  $i \ge 1$ . Thus  $\operatorname{Ker} \varepsilon_{M} = 0$ . Next, let  $\alpha : P \to M^{*}$  be epic in  $\operatorname{mod} R$  with P projective. Put  $\beta = \alpha^{*} \circ \varepsilon_{M} : M \to P^{*}$  and  $N = \operatorname{Cok} \beta$ . Then  $\beta$  is monic and  $\beta^{*}$  is epic. Thus the exact sequence  $0 \to M \to P^{*} \to N \to 0$  yields  $\operatorname{Ext}_{R}^{i}(N, R) = 0$  for all  $i \ge 1$ . Hence  $\operatorname{Ker} \varepsilon_{N} = 0$ . Since  $P^{*}$  is reflexive, the exact sequence just above yields also that  $\operatorname{Cok} \varepsilon_{M} \cong \operatorname{Ker} \varepsilon_{N}$ . Therefore M is reflexive and by Hoshino [6, Proposition 2.2] the assertion follows.

According to Bass [2, Proposition 4.3], a result of Jensen [9, Proposition 6] would imply the following

PROPOSITION 6. Let R be a left noetherian ring with injdim  $_{R}R=m<\infty$ . Then proj dim  $X \leq m$  for every left R-module X with weak dim  $X<\infty$ .

PROOF. Let X be a left R-module with weak dim  $X < \infty$ . According to Bass [2, Proposition 4.3], we have only to prove that proj dim  $X < \infty$ . Let

$$\cdots \longrightarrow F_1 \longrightarrow F_0 \longrightarrow X \longrightarrow 0$$

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be a free resolution of X and put  $X_i = \operatorname{Cok}(F_{i+1} \to F_i)$  for  $i \ge 1$ . Let  $n = \max\{m+1, \operatorname{weak} \dim X\}$ . We claim that  $X_n$  is projective. It suffices to show that  $\operatorname{Ext}_R^n(X, X_n) = 0$ . Note that  $X_n$  is flat. Let  $\cdots \to P_1 \to P_0 \to Y \to 0$  be an exact sequence in mod R with the  $P_i$  projective. Since  $\operatorname{Hom}_R(P_i, R) \otimes_R X_n \cong \operatorname{Hom}_R(P_i, X_n)$  for all  $i \ge 0$ , and since the functor  $- \bigotimes_R X_n$  is exact, it follows that  $\operatorname{Ext}_R^i(Y, R) \otimes_R X_n \cong \operatorname{Ext}_R^i(Y, X_n)$  for all  $i \ge 0$ . Thus inj dim  $X_n \le \operatorname{inj} \dim_R R$ = m < n and  $\operatorname{Ext}_R^n(X, X_n) = 0$ .

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Institute of Mathematics University of Tsukuba Ibaraki, 305, Japan