

CLASS GROUPS OF GROUP RINGS WHOSE COEFFICIENTS ARE ALGEBRAIC INTEGERS

By

Yumiko HIRONAKA-KOBAYASHI

Let R be the ring of integers of an algebraic number field k . Let A be an R -order in a finite dimensional semisimple k -algebra A . We mean by the class group of A the class group defined by using locally free left A -modules and denote it by $C(A)$. We define $D(A)$ to be the kernel of the natural surjection $C(A) \rightarrow C(\mathcal{O})$, where \mathcal{O} is a maximal R -order in A containing A , and denote by $d(A)$ the order of $D(A)$. $C(\mathcal{O})$ is isomorphic to a (narrow) ideal class group of the center of A , which is a product of the ideal class groups of algebraic number fields with modulus some real infinite primes. Hence, in a sense, we may concentrate on $D(A)$.

Let G be a finite group and let RG be the group ring of G with coefficients in R . Then RG can be regarded as an R -order in the semisimple k -algebra kG . We define $T(RG)$ to be the kernel of the natural surjection $C(RG) \rightarrow G(R) \oplus C(RG/(\Sigma_G))$, where $\Sigma_G = \sum_{g \in G} g \in RG$, and denote by $t(RG)$ the order of $T(RG)$. Then $T(RG) \cong \text{Ker}(D(RG) \rightarrow D(RG/(\Sigma_G)))$. Throughout this paper, C_n denotes the cyclic group of order n and p stands for a rational prime.

Much investigation has been done on $D(\mathbb{Z}G)$ and $T(\mathbb{Z}G)$ (cf. [8]), but the results seem to depend on the speciality of \mathbb{Z} .

The purpose of this paper is to study $D(RG)$ for the case where $R \neq \mathbb{Z}$. In §1 we give some basic results on $D(RG)$ and $T(RG)$. In §2~§4 we assume that R is the ring of integers in a quadratic field. We first give some results on $D(RC_{p^e})$, and next examine the structure of $D(RC_p)$.

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§ 1.

For a ring S , $U(S)$ denotes its unit group. For an abelian group A and a positive integer q , $A^{(q)}$ denotes the q -part of A and $A^{(q')}$ denotes the maximal

subgroup of A whose order is coprime to q . In the case where $G=C_n$, we denote Σ_n instead of Σ_G . Let k be an algebraic number field and let R be the ring of integers of k . Let $\Phi_n(X)$ be the cyclotomic polynomial of degree n . Write $R[X]/(\Phi_n(X))=R[\zeta_n]$ (resp. $k[X]/(\Phi_n(X))=k[\zeta_n]$) where ζ_n denotes the class of X in $R[X]/(\Phi_n(X))$ (resp. $k[X]/(\Phi_n(X))$).

PROPOSITION 1.1. $d(RC_{p^e})=|T(RC_p)^{(p^e)}|^{e \cdot p^{f(e)}} \cdot \prod_{i=1}^e d(R[\zeta_{p^i}])$ for some integer $f(e) \geq 0$.

PROOF. Let $e \geq 1$. From the pullback diagrams

$$\begin{array}{ccc} RC_{p^{e+1}} & \longrightarrow & R[X]/(\Phi_{p^{e+1}}(X))=R[\zeta_{p^{e+1}}] \\ \downarrow & & \downarrow \\ RC_{p^e} & \longrightarrow & (R/pR)C_{p^e} \end{array} \quad , \quad \begin{array}{ccc} RC_p & \longrightarrow & R[\zeta_p] \\ \downarrow & & \downarrow \\ R & \longrightarrow & R/pR, \end{array}$$

we have an exact sequence

$$0 \longrightarrow K \longrightarrow D(RC_{p^{e+1}}) \longrightarrow D(RC_{p^e}) \oplus D(R[\zeta_{p^{e+1}}]) \longrightarrow 0$$

and a commutative diagram with exact rows

$$\begin{array}{ccccccc} U(RC_{p^e}) \oplus U(R[\zeta_{p^{e+1}}]) & \longrightarrow & U((R/pR)C_{p^e}) & \longrightarrow & K & \longrightarrow & 0 \\ \downarrow \varphi' & & \downarrow \varphi & & \downarrow & & \\ U(R) \oplus U(R[\zeta_p]) & \longrightarrow & U(R/pR) & \longrightarrow & T(RC_p) & \longrightarrow & 0, \end{array}$$

where the vertical maps are induced by the norm maps. Since φ is bijective on the p' -parts and $\text{Coker } \varphi'$ is a p -group, we see that $K^{(p')} \cong T(RC_p)^{(p')}$. Hence, by induction on e , we have the equality as desired.

COROLLARY 1.2. Suppose that p is unramified in R . Then

- i) $D(RC_p)$ ($=T(RC_p)$) is a p' -group.
- ii) If $d(RC_p)=1$, then $D(RP)$ is a p -group for every p -group P .

PROOF. i) Since p is unramified in R , $U(R/pR)$ is a p' -group and $R[\zeta_{p^i}]$ is a Dedekind domain for every $i \geq 1$. The assertion follows from these facts.
 ii) If $d(RC_p)=1$, then $D(RC_{p^e})$ is a p -group by (1.1). Then, by the induction theorem of Artin ([1, § 1]), we see that $D(RP)$ is a p -group for every p -group P .

PROPOSITION 1.3. i) $T(RC_n) \cong \bigoplus_{p|n} T(RC_{p^{e_p}})$ where $p^{e_p} || n$ for each $p|n$.
 ii) There is an exact sequence

$$0 \longrightarrow P_e \longrightarrow T(RC_{p^e}) \longrightarrow T(RC_p) \longrightarrow 0,$$

where P_e is a p -group whose exponent divides p^{e-1} (resp. p^e) if p is unramified in R (resp. ramified in R).

iii) Let G be a finite group of order n . If $p \mid t(RG)$, then $p \mid n$ or $p \mid t(RC_q)$ for some prime factor q of n .

PROOF. i) Let $\tilde{\mathcal{M}} = R \oplus \mathcal{M}$ be a maximal R -order in $kC_n \cong k \oplus kC_n/(\Sigma_n)$ containing RC_n . By ([2, Theorem 1]), we have

$$D(RC_n) \cong \frac{\prod_{p \mid n} U(\tilde{\mathcal{M}}_p)}{U(\tilde{\mathcal{M}}) \prod_{p \mid n} U(R_p C_n)},$$

where $\tilde{\mathcal{M}}_p = \mathbb{Z}_p \otimes_{\mathbb{Z}} \tilde{\mathcal{M}}$ and $R_p = \mathbb{Z}_p \otimes_{\mathbb{Z}} R$. Since R_p can be embedded in \mathcal{M}_p , the map $U(\tilde{\mathcal{M}}_p) = U(R_p) \times U(\mathcal{M}_p) \rightarrow U(\mathcal{M}_p)$; $(x, y) \mapsto yx^{-1}$, induces an isomorphism

$$D(RC_n) \cong \frac{\prod_{p \mid n} U(\mathcal{M}_p)}{U(\mathcal{M}) \prod_{p \mid n} u(R_p C_n)},$$

where $u(R_p C_n) = \{x \mid (1, x) \in U(R_p C_n) \hookrightarrow U(R_p) \times U(R_p C_n/(\Sigma_n))\}$. On the other hand, we have

$$D(RC_n/(\Sigma_n)) \cong \frac{\prod_{p \mid n} U(\mathcal{M}_p)}{U(\mathcal{M}) \prod_{p \mid n} U(R_p C_n/(\Sigma_n))}.$$

Hence we get

$$T(RC_n) \cong \frac{U(\mathcal{M}) \prod_{p \mid n} U(R_p C_n/(\Sigma_n))}{U(\mathcal{M}) \prod_{p \mid n} u(R_p C_n)}.$$

For each $p \mid n$, let e_p be the integer such that $p^{e_p} \parallel n$. Since $R_p C_n/(\Sigma_n) \cong R_p C_{p^{e_p}}/(\Sigma_{p^{e_p}}) \oplus (\bigoplus_{\substack{d \mid n/p^{e_p} \\ d \neq 1}} R_p C_{p^{e_p}}[\zeta_d])$ and $R_p C_n \cong R_p C_{p^{e_p}} \oplus (\bigoplus_{\substack{d \mid n/p^{e_p} \\ d \neq 1}} R_p C_{p^{e_p}}[\zeta_d])$, we see that

$$T(RC_n) \cong \prod_{p \mid n} \frac{U(\mathcal{M}(p))U(R_p C_{p^{e_p}}/(\Sigma_{p^{e_p}}))}{U(\mathcal{M}(p))n(R_p C_{p^{e_p}})},$$

where $\mathcal{M}(p)$ is a maximal R -order in $kC_p/(\Sigma_{p^{e_p}})$ containing $RC_{p^{e_p}}/(\Sigma_{p^{e_p}})$. This shows that $T(RC_n) \cong \bigoplus_{p \mid n} T(RC_{p^{e_p}})$.

ii) Let \mathcal{O}_i be the maximal R -order in $k[\zeta_{p^i}]$ containing $R[\zeta_{p^i}]$, $1 \leq i \leq e$. Then $\mathcal{M} = \bigoplus_{i=1}^e \mathcal{O}_i$ is a maximal R -order in $kC_{p^e}/(\Sigma_{p^e})$ containing $RC_{p^e}/(\Sigma_{p^e})$, and we have

$$T(RC_{p^e}) \cong \frac{U(\mathcal{M})U(R_p C_{p^e}/(\Sigma_{p^e}))}{U(\mathcal{M})u(R_p C_{p^e})} \quad \text{and} \quad T(RC_p) \cong \frac{U(\mathcal{O}_1)U(R[\zeta_p])}{U(\mathcal{O}_1)u(R_p C_p)}.$$

The natural surjection $C_{p^e} \rightarrow C_{p^e}/C_{p^{e-1}} \cong C_p$ induces the surjection $T(RC_{p^e}) \rightarrow T(RC_p); (x, y) \rightarrow x$ where $(x, y) \in U(\mathcal{O}_{1,p} \oplus (\bigoplus_{i=2}^e \mathcal{O}_{i,p}))$. Set $P_e = \text{Ker}(T(RC_{p^e}) \rightarrow T(RC_p))$. Each $\alpha \in P_e$ is represented by an element $(x, y) \in U(R_p C_{p^e}/(\Sigma_{p^e}))$ such that $x = uv$ for some $u \in U(\mathcal{O}_1)$ and $(1, v) \in U(R_p C_p)$. Let $f(\bar{\sigma}) = \sum_{i=0}^{p^e-2} b_i \bar{\sigma}^i = (x, y) \in U(R_p C_{p^e}/(\Sigma_{p^e}))$, where $b_i \in R_p$ and $\bar{\sigma}$ denotes the image of a generator σ of C_{p^e} in $R_p C_{p^e}/(\Sigma_{p^e})$, and let $f(\sigma) = \sum_{i=0}^{p^e-2} b_i \sigma^i \in R_p C_{p^e}$. Then $x = f(\zeta_p) \equiv \sum_{i=0}^{p^e-2} b_i \equiv u \pmod{(\zeta_p - 1)\mathcal{O}_{1,p}}$, and so we see that $f(1) = \sum_{i=0}^{p^e-2} b_i \in U(R_p)$. Hence $f(\sigma) \in U(R_p C_{p^e})$. Then $\alpha = \overline{(x, y)} = \overline{(f(1), f(1))}$, because $(x^{-1}f(1), y^{-1}f(1)) \in u(R_p C_{p^e})$. Thus we know that

$$P_e \subseteq N = \left\{ \rho_x = (x, x) \in T(RC_{p^e}) \mid \begin{array}{l} x \in U(R_p), x \equiv u \pmod{(\zeta_p - 1)\mathcal{O}_{1,p}} \\ \text{for some } u \in U(\mathcal{O}_1) \end{array} \right\}.$$

It is easily verified that

$$(*) \quad p^e R \oplus p^{e-1}(\zeta_p - 1)R[\zeta_p] \oplus p^{e-2}(\zeta_p - 1)R[\zeta_{p^2}] \oplus \cdots \oplus (\zeta_p - 1)R[\zeta_{p^e}] \subseteq RC_{p^e}.$$

Let $\rho_x \in N$ and $x \equiv u \pmod{(\zeta_p - 1)\mathcal{O}_{1,p}}$, $u \in U(\mathcal{O}_1)$. If p is unramified in R , then $\mathcal{O}_i = R[\zeta_{p^i}]$ and $u^{-1}x \in 1 + (\zeta_p - 1)\mathcal{O}_{1,p}$, and hence $(u^{-1}x)^{p^{e-1}} \in 1 + (\zeta_p - 1)p^{e-1}\mathcal{O}_{1,p}$. By force of (*), we know that $\rho_x^{p^{e-1}} = 1$ in $T(RC_{p^e})$. Thus we see that $\exp(P_e) \mid p^{e-1}$. Even if p is ramified in R , $(u^{-1}x)^p \in 1 + (\zeta_p - 1)R_p[\zeta_p]$, and so we have $\exp(P_e) \mid p^e$.

iii) By the induction theorem of Artin ([1, §1]), we have that $T(RG)^{(n')} \cong \sum_C T(RC)^{(n')}$, where C ranges over all cyclic subgroups of G . The result follows from i) and ii).

REMARK 1.4. By force of (*) above, if p is unramified in R , we can see that the exponent of $D(RC_{p^e})^{(p)}$ divides p^{e-1} . Further assume that R is the ring of integers of a real algebraic number field k and $p \geq 5$. Then $\exp(D(RC_{p^e})^{(p)}) = p^{e-1}$.

In fact, let τ denote the endomorphism of RC_{p^e} induced by $\sigma \rightarrow \sigma^{-1}$, where $C_{p^e} = \langle \sigma \rangle$. Then $D(RC_{p^e})$ can be regarded as a $\langle \tau \rangle$ -module. For every $\langle \tau \rangle$ -module M , we put $M^- = \{m \in M \mid m^\tau = m^{-1}\}$. Let V be the kernel of the natural surjection $D(RC_{p^{e+1}})^{(p)} \rightarrow D(RC_{p^e})^{(p)}$. Then, along the almost same line as in ([4]), we can show that $V^- \cong \bigoplus_{a=1}^e (\mathbf{Z}/p^a \mathbf{Z})^{v_a}$, where $v_a = (1/2)[k : \mathbf{Q}](p-1)^2 p^{e-a-1}$ for $a < e$ and $v_e = (1/2)[k : \mathbf{Q}](p-1) - g$, g is the number of prime ideals in R over p .

PROPOSITION 1.5. *Suppose that p is unramified in R . Then*

$$D(RC_{p^e})^{(p')} \cong D(RC_p)^e \quad (\text{direct sum}).$$

PROOF. Let $\mathcal{O}_i = R[\zeta_{p^i}]$, $1 \leq i \leq e$. Then \mathcal{O}_i is a Dedekind domain and $\bigoplus_{i=1}^e \mathcal{O}_i$ is a maximal R -order in $kC_{p^e}/(\Sigma_{p^e})$ containing $RC_{p^e}/(\Sigma_{p^e})$, and the product p_i of all prime ideals over p in \mathcal{O}_i equals $(1 - \zeta_{p^i})$, $1 \leq i \leq e$. Hence we get

$$\begin{aligned} D(RC_{p^e}) &\cong \prod_{i=1}^e U(\mathcal{O}_{i,p}) / \prod_{i=1}^e U(\mathcal{O}_i)u(R_p C_{p^e}) \\ &= \left[\prod_{i=1}^e \frac{U(R/pR)}{\varphi_i(U(\mathcal{O}_i))} \right] \times \left[\frac{\prod_{i=1}^e (1 + p_i \mathcal{O}_{i,p})}{\prod_{i=1}^e U^1(\mathcal{O}_i)u(R_p C_{p^e})} \right], \end{aligned}$$

where φ_i is induced by the natural surjection $\mathcal{O}_i \rightarrow \mathcal{O}_i/p_i \cong R/pR$ and $U^1(\mathcal{O}_i) = \text{Ker } \varphi_i = U(\mathcal{O}_i) \cap (1 + p_i \mathcal{O}_{i,p})$. Then it is easily seen that the former factor is isomorphic to $D(RC_{p^e})^{(p')}$. On the other hand, $|D(RC_{p^e})^{(p')}| = d(RC_p)^e$ by (1.1) and (1.2), and so we have

$$U(R/pR)/\varphi_i(U(\mathcal{O}_i)) \cong D(RC_p), \quad 1 \leq i \leq e.$$

Thus we complete the proof.

§ 2.

Hereafter, let k denote $\mathbf{Q}(\sqrt{m})$, a quadratic field, where m is a square-free integer, and R be the ring of integers of k . We write $w_m = \sqrt{m}$ (resp. $\sqrt{m} + 1/2$) if $m \not\equiv 1 \pmod{4}$ (resp. $m \equiv 1 \pmod{4}$).

Let \mathcal{O}_i be the maximal R -order in $k[\zeta_{p^i}]$ and p_i be the product of all the prime ideals over p in \mathcal{O}_i , $1 \leq i \leq e$. Then

$$\begin{aligned} D(RC_{p^e}) &\cong \prod_{i=1}^e U(\mathcal{O}_{i,p}) / \prod_{i=1}^e U(\mathcal{O}_i)u(R_p C_{p^e}) \\ &\cong \left[\prod_{i=1}^e \frac{U(\mathcal{O}_i/p_i)}{\varphi_i(U(\mathcal{O}_i))} \right] \times \left[\frac{\prod_{i=1}^e (1 + p_i \mathcal{O}_{i,p})}{\prod_{i=1}^e U^1(\mathcal{O}_i)u(R_p C_{p^e})} \right], \end{aligned}$$

where $\varphi_i: U(\mathcal{O}_i) \rightarrow U(\mathcal{O}_i/p_i)$ is the natural map and $U^1(\mathcal{O}_i) = \text{Ker } \varphi_i$, $1 \leq i \leq e$. It is easily seen that the latter factor is isomorphic to $D(RC_{p^e})^{(p)}$.

PROPOSITION 2.1. *Let p be unramified in R , i. e. $p \nmid m$ if $p \neq 2$ and $m \equiv 1 \pmod{4}$ if $p = 2$. Then*

$$\exp(D(RC_{p^e})^{(p)}) | p^{e-1} \quad \text{and} \quad D(RC_{p^e})^{(p')} \cong D(RC_p)^e.$$

PROOF. This is a special case of (1.4) and (1.5).

We write $p^* = (-1)^{p-1/2}p$.

PROPOSITION 2.2. *Let $p|m$, and $m \equiv p^*$ if $p \neq 2$, and let $m \equiv 1 \pmod{4}$ and $m \equiv -1, \pm 2$ if $p=2$. Then*

i) *The exponent of $D(RC_{pe})^{(p)}$ divides*

$$\begin{cases} 2^{e+1} & \text{if } p=2, m \equiv 2 \pmod{4} \text{ and } e > 1, \text{ or} \\ p^e & \text{otherwise.} \end{cases}$$

ii) *For the case $p \neq 2$ and $m = np^*$,*

$$D(RC_{pe})^{(p')} \cong D(R'C_p)^e \quad \text{where } R' = \mathbf{Z}[w_n].$$

iii) *For the case $p=2$ and $m = -n$ where $n \equiv 1 \pmod{4}$,*

$$D(RC_{2e})^{(2')} \cong D(R'C_2)^{e-1} \quad \text{where } R' = \mathbf{Z}[w_n].$$

iv) *For the case $p=2$ and $m=2n$ or $-2n$ where $n \equiv 1 \pmod{4}$,*

$$D(RC_{2e})^{(2')} \cong \begin{cases} 0 & \text{if } e=1, 2 \\ D(R'C_2)^{e-2} & \text{if } e \geq 3, \end{cases}$$

where $R' = \mathbf{Z}[w_n]$.

PROOF. If $p \neq 2$ and $m = np^*$, then we see that $\mathcal{O}_i = \mathbf{Z}[w_n, \zeta_{pi}]$, $p_i = (1 - \zeta_{pi})$ and $p\mathcal{O}_i \subseteq R[\zeta_{pi}]$, $1 \leq i \leq e$. Hence we get that $\exp(D(RC_{pe})^{(p)}) | p^e$ and $D(RC_{pe})^{(p')} \cong D(R'C_p)^e \cong D(R'C_p)^e$, where $R' = \mathbf{Z}[w_n]$.

If $p=2$, $m = -n$ and $n \equiv 1 \pmod{4}$, then we see that $\mathcal{O}_1 = R$ and $\mathcal{O}_i = \mathbf{Z}[w_n, \zeta_{2i}]$ for $i \geq 2$. Then, it is easy to see that $\exp(D(RC_{2e})^{(2)}) | 2^e$ and $D(RC_2) = 0$. For $e \geq 2$, we have

$$D(RC_{2e})^{(2')} \oplus D(R'C_2) \cong D(R'C_{2e})^{(2')},$$

where $R' = \mathbf{Z}[w_n]$, and so, by (2.1),

$$D(RC_{2e})^{(2')} \cong D(R'C_2)^{e-1}.$$

If $p=2$, $m=2n$ or $-2n$ and $n \equiv 1 \pmod{4}$, then $\mathcal{O}_1 = R$, $\mathcal{O}_2 = \mathbf{Z}[\sqrt{m}, \sqrt{-1}, \sqrt{m} + \sqrt{-1}/2]$ and $\mathcal{O}_i = \mathbf{Z}[w_n, \zeta_{2i}]$ for $i \geq 3$. The assertion can be shown similarly for this case.

PROPOSITION 2.3. *Let $m = p^*$ if $p \neq 2$ and let $m = -1, \pm 2$ if $p=2$. Then the exponent of $D(RC_{pe})$ divides p^e . Especially, it divides p^{e-1} if $p=3, 5$ or $p=2$ and $m = -1$.*

PROOF. Put $\mathcal{O}_i = \mathbf{Z}[\zeta_{pi}]$, $1 \leq i \leq e$. If $p \neq 2$, then $R \oplus \bigoplus_{i=1}^e (\mathcal{O}_i \oplus \mathcal{O}_i)$ is a maximal R -order in kC_{pe} containing RC_{pe} , and so we have

$$\begin{aligned}
 D(RC_{p^e}) &\cong \frac{\prod_{i=1}^e U(\mathcal{O}_{i,p} \oplus \mathcal{O}_{i,p})}{\prod_{i=1}^e U(\mathcal{O}_i \oplus \mathcal{O}_i) u(R_p C_{p^e})} \\
 &\cong \frac{\prod_{i=1}^e \{(1 + \pi_i \mathcal{O}_{i,p}) \times (1 + \pi_i \mathcal{O}_{i,p})\}}{\{\prod_{i=1}^e U^1(\mathcal{O}_i) \times U^1(\mathcal{O}_i)\} u(R_p C_{p^e})},
 \end{aligned}$$

where $\pi_i = \zeta_{p^i} - 1$ is a prime element of $\mathcal{O}_{i,p}$ and $U^1(\mathcal{O}_i) = U(\mathcal{O}_i) \cap (1 + \pi_i \mathcal{O}_{i,p})$. Hence $D(RC_{p^e})$ is a p -group. It is easy to see that $u(R_p C_{p^e})$ contains $\prod_{i=1}^e \{(1 + \pi_i \mathcal{O}_{i,p}) \times (1 + \pi_i \mathcal{O}_{i,p})\}$, where $\pi = \pi_1$ and $t_i = 1 + (p-1/2) + (p-1)(e-i)$. The assertion follows from the facts that $U^1(\mathcal{O}_i) \ni \zeta_{p^i} = 1 + \pi_i$ for each p^i and $U(\mathcal{O}_i) \ni 3 + (\zeta_{p^i} + \zeta_{p^i}^{-1} - 2)$ unless $p=3$ and that $(1 + \pi_i^k \mathcal{O}_{i,p})^{p^{i-1} p^m} \subseteq 1 + \pi^{(p-1)m+k} \mathcal{O}_{i,p}$ for every $1 \leq k \leq p-1$, $m \geq 1$ and $i \geq 1$. The assertion for $p=2$ can be similarly shown.

§ 3.

Let R be the ring of integers of $k = \mathbb{Q}(\sqrt{m})$. In the case $m > 0$, we denote a fundamental unit of R by ϵ_m . ϵ_m can be written as $a + b\sqrt{m}$, $a, b \in \mathbb{Z}$ or $(a + b\sqrt{m})/2$, $a, b \in \mathbb{Z}$, $2 \nmid ab$.

Here we investigate $D(RC_p)$ more precisely.

There is an exact sequence

$$0 \longrightarrow D(RC_2) \longrightarrow C(RC_2) \longrightarrow C(R) \oplus C(R) \longrightarrow 0$$

and $T(RC_2) = D(RC_2)$. Further we have easily

PROPOSITION 3.1.

| $D(RC_2)$ | $m < 0$ | $m > 0$ |
|--------------------------|---|--|
| $\mathbb{Z}/3\mathbb{Z}$ | $m \equiv 5 \pmod{8}$ and $m < -3$ | $m \equiv 5 \pmod{8}$ and $\epsilon_m \in \mathbb{Z}[\sqrt{m}]$ |
| $\mathbb{Z}/2\mathbb{Z}$ | $m \equiv 2$ or $3 \pmod{4}$ and $m < -1$ | $m \equiv 2$ or $3 \pmod{4}$ and $2 \mid b$ |
| 0 | $m \equiv 1 \pmod{8}$, $m = -1$ or -3 | $m \equiv 1 \pmod{8}$, $m \equiv 5 \pmod{8}$ and $\epsilon_m \notin \mathbb{Z}[\sqrt{m}]$ or $m \equiv 2$ or $3 \pmod{4}$ and $2 \nmid b$ |

From now on, p is assumed to be an odd prime. From the pullback diagram

$$\begin{array}{ccc}
 RC_p & \longrightarrow & R \\
 \downarrow & & \downarrow \\
 R[\bar{\sigma}] = RC_p / (\Sigma_p) & \xrightarrow{\tilde{\phi}} & R/pR = \mathbf{F}_p[\sqrt{m}], \quad \text{where } C_p = \langle \sigma \rangle,
 \end{array}$$

we have exact sequences

$$\begin{array}{ccccccc}
 U(R[\bar{\sigma}]) & \xrightarrow{\phi} & U(\mathbf{F}_p[\sqrt{m}]) & \xrightarrow{\xi} & T(RC_p) & \longrightarrow & 0 \\
 0 & \longrightarrow & T(RC_p) & \longrightarrow & D(RC_p) & \longrightarrow & D(R[\bar{\sigma}]) \longrightarrow 0.
 \end{array}$$

Here ϕ is the restriction of the canonical surjection $\tilde{\phi}: R[\bar{\sigma}] \rightarrow R[\bar{\sigma}]/(\bar{\sigma}-1)$, $\xi(\tilde{\phi}(x)) =$ the class of the ideal (x, Σ_p) and

$$\mathbf{F}_p[\sqrt{m}] = \begin{cases} \mathbf{F}_p \oplus \mathbf{F}_p & \text{if } \left(\frac{m}{p}\right) = 1 \\ \mathbf{F}_{p^2} & \text{if } \left(\frac{m}{p}\right) = -1, \end{cases}$$

where $\left(\frac{m}{p}\right)$ is the quadratic residue symbol.

Let $p \nmid m$ and let r be an element of $R[\bar{\sigma}] = R[\zeta_p]$ such that

i) if $\left(\frac{m}{p}\right) = 1$, then $\phi(r) = (a, 1) \in U(\mathbf{F}_p) \oplus U(\mathbf{F}_p)$, where a is a generator of $U(\mathbf{F}_p)$,

ii) if $\left(\frac{m}{p}\right) = -1$, then $\phi(r)$ is a generator of $U(\mathbf{F}_{p^2})$.

Noticing that $\phi(U(\mathbf{Z}[\zeta_p])) = U(\mathbf{F}_p)$, we have

LEMMA 3.2. *In the case $p \nmid m$, $D(RC_p)$ ($=T(RC_p)$) is a cyclic group generated by the class of (r, Σ_p) , where r is given as above. Its order divides $p-1$ (resp. $p+1$) if $\left(\frac{m}{p}\right) = 1$ (resp. $\left(\frac{m}{p}\right) = -1$).*

For an imaginary abelian field K , let K_0 be the maximal real subfield of K . Denote by U (resp. U_0) the group of units in the ring of integers of K (resp. K_0) and denote by W the group of roots of unity contained in K . Then the unit index Q_K of K is defined by the index $[U:WU_0]$. It is known that $Q_K = 1$ or 2. (cf. [3, §20-26])

Assume that $p \nmid m$ and $m < 0$. Let $K = \mathbf{Q}(\zeta_p, \sqrt{m})$ and $K_1 = \mathbf{Q}(\zeta_p + \zeta_p^{-1})$. Let $\text{Gal}(K/\mathbf{Q}) = \langle \sigma, \tau \mid \sigma^{p-1} = \tau^2 = 1, \sigma\tau = \tau\sigma \rangle$, $\text{Gal}(K/K_0) = \langle \sigma^{p-1/2}\tau \rangle$ and $\text{Gal}(K/K_1) = \langle \sigma^{p-1/2}, \tau \rangle$. The characters of K are given as follows:

i) the characters of K/K_0 ;

$$\begin{cases} \sigma \mapsto \zeta_{p-1}^i \\ \tau \mapsto 1, & 1 \leq i \leq p-1 \text{ and } 2 \nmid i. \end{cases}$$

$$\begin{cases} \sigma \mapsto \zeta_{p-1/2}^j \\ \tau \mapsto -1, & 1 \leq j \leq \frac{p-1}{2}. \end{cases}$$

ii) the characters of K_0 ;

$$\begin{cases} \sigma \mapsto \zeta_{p-1}^i \\ \tau \mapsto -1, & 1 \leq i \leq p-1 \text{ and } 2 \nmid i. \end{cases}$$

$$\begin{cases} \sigma \mapsto \zeta_{p-1/2}^j \\ \tau \mapsto 1, & 1 \leq j \leq \frac{p-1}{2}. \end{cases}$$

Then we see that K/K_0 is unramified at p . Since we can compute the absolute discriminants of K_1 and K_0 , we see that the discriminant $d_{K_0/K_1} = (\pi^2 m^*)$, where $\pi = \zeta_p - \zeta_p^{-1}$ and $m^* = \begin{cases} m & \text{if } m \equiv 1 \pmod{4} \\ 4m & \text{otherwise} \end{cases}$. Thus, (p) is totally ramified in K_0/Q , and so there is a unique prime ideal \mathcal{P} over (p) in K_0 . It is easy to see that $\mathcal{P} = (\pi^2, \pi\sqrt{m})$.

PROPOSITION 3.3. Assume that $p \nmid m$ and $m < 0$. Let $K = \mathbf{Q}(\zeta_p, \sqrt{m})$ and let \mathcal{O} be the ring of integers of K . Then the following conditions are equivalent.

- i) $Q_K = 2$.
- ii) \mathcal{P} is a principal ideal in K_0 .
- iii) There exists a unit of \mathcal{O} of the form $(\pi x + \sqrt{m}y)/2$, where $x, y \in \mathbf{Z}[\zeta_p + \zeta_p^{-1}]$.

PROOF. It is easy to see that i) is equivalent to

i') $K = K_0(\sqrt{\varepsilon})$ for some unit ε of the ring \mathcal{O}_0 of integers of K_0 .

On the other hand, we have

$$K = K_0(\zeta_p) = K_0(\sqrt{(\zeta_p - \zeta_p^{-1})^2}) \quad \text{and}$$

$$((\zeta_p - \zeta_p^{-1})^2) = \mathcal{P}^2 \quad \text{as ideals in } \mathcal{O}_0.$$

Thus we get the equivalence between i) and ii). Let $K_1 = \mathbf{Q}(\zeta_p + \zeta_p^{-1})$ and \mathcal{O}_1 be the ring of integers of K_1 . Then $K_0 = K_1(\pi\sqrt{m^*})$, and so \mathcal{O}_1 has an integral basis with respect to \mathcal{O}_0 , since $d_{K_0/K_1} = (\pi^2 m^*)$ ([6]). As the discriminant of $\pi\sqrt{m}$ with respect to K_0/K_1 is equal to $4\pi^2 m$, we see that $[\mathcal{O}_0 : \mathcal{O}_1[\pi\sqrt{m}]] = 1$ or 2. Thus every element of \mathcal{O}_0 can be written uniquely with the form

$(x + \pi\sqrt{m}y)/2$, $x, y \in \mathcal{O}_1$. Assume the condition ii). Let $\alpha = (x' + \pi\sqrt{m}y)/2$, $x', y \in \mathcal{O}_1$, be a generator of \mathcal{P} . Since $\mathcal{P}\mathcal{O} = \pi\mathcal{O}$, π must divide x' , and so we have $\pi^2 | x'$. Thus α can be written as $(\pi^2x + \pi\sqrt{m}y)/2$. Then it is clear that $(\pi x + \sqrt{m}y)/2$ is a unit of \mathcal{O} , hence we have iii). Conversely, if there exists such a unit ε in \mathcal{O} , then $\pi\varepsilon$ is an element of K_0 and generates \mathcal{P} . This completes the proof.

THEOREM 3.4. Assume that $p \nmid m$ and $m < 0$. Let $K = \mathbf{Q}(\zeta_p, \sqrt{m})$. Then

i) The case where $m \neq -1, -3$:

$$d(RC_p) = \begin{cases} p-1 & \text{if } \left(\frac{m}{p}\right) = 1 \text{ and } Q_K = 1 \\ \frac{p-1}{2} & \text{if } \left(\frac{m}{p}\right) = 1 \text{ and } Q_K = 2 \\ p+1 & \text{if } \left(\frac{m}{p}\right) = -1 \text{ and } Q_K = 1 \\ \frac{p+1}{2} & \text{if } \left(\frac{m}{p}\right) = -1 \text{ and } Q_K = 2. \end{cases}$$

ii) The case where $m = -1$:

$$d(RC_p) = \begin{cases} \frac{p-1}{4} & \text{if } p \equiv 1 \pmod{4} \\ \frac{p+1}{4} & \text{if } p \equiv 3 \pmod{4}. \end{cases}$$

iii) The case where $m = -3$:

$$d(RC_p) = \begin{cases} \frac{p-1}{6} & \text{if } p \equiv 1 \pmod{3} \\ \frac{p+1}{6} & \text{if } p \equiv -1 \pmod{3}. \end{cases}$$

PROOF. There is an exact sequence

$$U(\mathcal{O}) \xrightarrow{\phi} U(\mathbf{F}_p[\sqrt{m}]) \longrightarrow D(RC_p) \longrightarrow 0.$$

Since (p) is totally ramified in K_0/\mathbf{Q} , we see that $\phi(U(\mathcal{O}_0)) \subseteq U(\mathbf{F}_p)$. On the other hand, we have $\phi(U(\mathcal{O}_1)) = U(\mathbf{F}_p)$. Let $m \neq -1, -3$. If $Q_K = 1$, then $U(\mathcal{O}) = \langle \zeta_p \rangle U(\mathcal{O}_0)$. Thus we have

$$d(RC_p) = \begin{cases} p-1 & \text{if } \left(\frac{m}{p}\right) = 1 \\ p+1 & \text{if } \left(\frac{m}{p}\right) = -1. \end{cases}$$

If $Q_K=2$, then $U(\mathcal{O})=\langle \zeta_p, \varepsilon=(\pi x+my)/2 \rangle U(\mathcal{O}_0)$, for some $\varepsilon \in U(\mathcal{O})$ by (3.3). $\phi(\varepsilon) \in U(\mathbf{F}_p)$ and $\varepsilon^2 \in \langle \zeta_p \rangle U(\mathcal{O}_0)$, hence we see that

$$d(RC_p)=\begin{cases} \frac{p-1}{2} & \text{if } \left(\frac{m}{p}\right)=1 \\ \frac{p+1}{2} & \text{if } \left(\frac{m}{p}\right)=-1. \end{cases}$$

Let $m=-1$. Then $K=\mathbf{Q}(\zeta_p, \zeta_4)$ and $U(\mathcal{O})=\langle \zeta_p, \sqrt{-1}, \varepsilon=1-\sqrt{-1}\zeta_p \rangle U(\mathcal{O}_0)$. $\phi(\varepsilon)$ is of order 4 in $U(\mathbf{F}_p[\sqrt{-1}])/U(\mathbf{F}_p)$. Thus we see that

$$d(RC_p)=\begin{cases} \frac{p-1}{4} & \text{if } p \equiv 1 \pmod{4} \\ \frac{p+1}{4} & \text{if } p \equiv 3 \pmod{4}. \end{cases}$$

Let $m=-3$. Then $K=\mathbf{Q}(\zeta_p, \zeta_3)$ and $U(\mathcal{O})=\langle \zeta_p, \zeta_3, \varepsilon=1-\zeta_3\zeta_p \rangle U(\mathcal{O}_0)$. $\phi(\varepsilon)$ is of order 6 in $U(\mathbf{F}_p[\sqrt{-3}])/U(\mathbf{F}_p)$. Since $\left(\frac{-3}{p}\right)=\left(\frac{p}{3}\right)$, we see that

$$d(RC_p)=\begin{cases} \frac{p-1}{6} & \text{if } p \equiv 1 \pmod{3} \\ \frac{p+1}{6} & \text{if } p \equiv -1 \pmod{3}. \end{cases}$$

REMARK 3.5. 1) Assume that $p \equiv 3 \pmod{4}$ and $m \neq -1, -3$. Let $M=\mathbf{Q}(\sqrt{-p}, \sqrt{m})$ and let $\varepsilon > 0$ be a fundamental unit of $M_0=\mathbf{Q}(\sqrt{-m\bar{p}})$. Then the following conditions are equivalent.

$$\text{i) } Q_K=2 \quad \text{ii) } Q_M=2 \quad \text{iii) } \sqrt{-\varepsilon} \in M.$$

2) Assume that $p \equiv 1 \pmod{4}$ and $m=-q$, where q is a prime and $q \equiv 3 \pmod{4}$. Then $Q_K=2$.

PROOF. 1) The equivalence between ii) and iii) is clear. By [3, Satz 29], ii) implies i). Let p be the unique prime ideal over (p) in M_0 . Then it is easy to see that ii) is equivalent to the condition that p is principal. If $Q_K=2$, then we can take a generator α of \mathcal{P} . Since $N_{K_0/M_0}(\mathcal{P})=p$, we see that $N_{K_0/M_0}(\alpha)$ generates p . This establishes 1). 2) We may assume that $q \neq 3$. Let b be a primitive root modulo q , and let $\varepsilon = \prod_{i=0}^{q-3/2} (1 - \zeta_q^{b^{2i}} \zeta_p)$. Then $\varepsilon \in U(\mathcal{O})$ and $\phi(\varepsilon) = \tilde{\phi}(\prod_{i=0}^{q-3/2} (1 - \zeta_q^{b^{2i}})) = \tilde{\phi}(\pm \sqrt{-q}) \in U(\mathbf{F}_p)$. Hence $\varepsilon \in \langle \zeta_p \rangle U(\mathcal{O}_0)$, and so $Q_K=2$.

The next result is a special case of [3, Satz 22]. We give a direct proof based on the idea of T. Miyata ([7, (2.6)]).

LEMMA 3.6. Suppose that $m > 0$ and $w_m \in Z[\zeta_p]$. Then $U(Z[w_m, \zeta_p]) = \langle \zeta_p \rangle U(Z[w_m, \zeta_p + \zeta_p^{-1}])$.

PROOF. Let τ be the complex conjugation. For every $u \in U(Z[w_m, \zeta_p])$, $(u^\tau/u)(u^\tau/u)^\tau = 1$. So we see that u^τ/u is a root of unity in $U(Z[w_m, \zeta_p])$. Since u^τ/u is mapped to 1 by the map $\phi: U(Z[w_m, \zeta_p]) \rightarrow U(F_p[w_m])$, $u^\tau/u = \zeta_p^i$ for some i . Then there is an integer j such that $(\zeta_p^j u)^\tau = \zeta_p^j u$. Hence we see that $U(Z[w_m, \zeta_p]) = \langle \zeta_p \rangle U(Z[w_m, \zeta_p + \zeta_p^{-1}])$.

Let $p \nmid m$, $m > 0$, $N_{Q(\sqrt{m})/Q}(\varepsilon_m) = -1$ and $p \equiv 1 \pmod{4}$. Then a system of fundamental units of $Z[w_p, w_m]$ is given as one of the following three types ([5, Satz 11]):

- (a) $\varepsilon_p, \varepsilon_m$ and ε_{pm} ,
- (b) $\varepsilon_p, \varepsilon_m$ and $\sqrt{\varepsilon_{pm}}$ (in this case, $N_{Q(\sqrt{pm})/Q}(\varepsilon_{pm}) = 1$), or
- (c) $\varepsilon_p, \varepsilon_m$ and $\sqrt{\varepsilon_p \varepsilon_m \varepsilon_{pm}}$ (in this case, $N_{Q(\sqrt{pm})/Q}(\varepsilon_{pm}) = -1$).

THEOREM 3.7. Suppose that $p \nmid m$ and $m > 0$. Then

- i) If $N_{Q(\sqrt{m})/Q}(\varepsilon_m) = 1$, then $D(RC_p)^{(2)} \neq 0$.
- ii) If $p \equiv 3 \pmod{4}$ and $N_{Q(\sqrt{m})/Q}(\varepsilon_m) = -1$, then $D(RC_p)^{(2)} = 0$.
- iii) If $p \equiv 1 \pmod{4}$ and $N_{Q(\sqrt{m})/Q}(\varepsilon_m) = -1$, then $D(RC_p)^{(2)} \neq 0$

when the type of fundamental units of $Z[w_p, w_m]$ is (a) or (b), and $D(RC_p)^{(2)} = 0$ when the type of fundamental units of $Z[w_p, w_m]$ is (c) and $p \equiv 5 \pmod{8}$.

PROOF. Let $\varphi: U(Z[w_m, \zeta_p + \zeta_p^{-1}]) \rightarrow U(F_p[\sqrt{m}])$ be the restriction of Ψ to $U(Z[w_m, \zeta_p + \zeta_p^{-1}])$. Then, by force of (3.5), $D(RC_p) \cong \text{Coker } \varphi$. There is a commutative diagram with surjective vertical maps

$$\begin{array}{ccc}
 U(Z[w_m, \zeta_p + \zeta_p^{-1}]) & \xrightarrow{\varphi} & U(F_p[\sqrt{m}]) \\
 N_1 \downarrow & & \downarrow N'_1 \\
 U(Z[w_m]) \cong \text{Im } N_1 & \xrightarrow{\varphi'} & U(F_p[\sqrt{m}])^{p-1/2} \\
 N_2 \downarrow & & \downarrow N'_2 \\
 U(Z) \cong \text{Im } N_2 & \xrightarrow{\varphi''} & U(F_p)^{p-1/2},
 \end{array}$$

where $N_1 = N_{Q(\sqrt{m}, \zeta_p + \zeta_p^{-1})/Q(\sqrt{m})}$, $N_2 = N_{Q(\sqrt{m})/Q}$, $N'_1(x) = x^{p-1/2}$, $N'_2 = N_{F_p[\sqrt{m}]/F_p}$ and φ' and φ'' are the restrictions of φ to $\text{Im } N_1$ and $\text{Im } N_2$ respectively. If $N_2(\varepsilon_m) = 1$, then $\text{Im } N_2 \circ N_1 = \{1\}$, and so $2 \mid |\text{Coker } \varphi|$. For the case where $N_2(\varepsilon_m) = -1$, $p \equiv 3 \pmod{4}$ and $\left(\frac{m}{p}\right) = 1$, $\varphi' \circ N_1(\varepsilon_m) = (\bar{1}, -\bar{1})$ or $(-\bar{1}, \bar{1})$ in $U(F_p[\sqrt{m}]) \cong U(F_p) \times U(F_p)$. Since $|U(F_p[\sqrt{m}])^{(2)}| = 4$, this shows that $(\text{Coker } \varphi)^{(2)} = 0$. For the case where $N_2(\varepsilon_m) = -1$, $p \equiv 3 \pmod{4}$ and $\left(\frac{m}{p}\right) = -1$, $U(F_p[\sqrt{m}])^{(2)} = \langle \varphi(\varepsilon_m) \rangle^{(2)}$,

because $\varepsilon_m^{p+1} \equiv -1 \pmod{p}$, and therefore we see that $(\text{Coker } \varphi)^{(2)} = 0$.

To prove iii), we form the following commutative diagram with surjective vertical maps:

$$\begin{array}{ccc}
 U(\mathbb{Z}[w_m, \zeta_p + \zeta_p^{-1}]) & \xrightarrow{\varphi} & U(\mathbb{F}_p[\sqrt{m}]) \\
 N_1 \downarrow & & \downarrow \\
 U(\mathbb{Z}[w_m, w_p]) \cong \text{Im } N_1 & \xrightarrow{\quad} & U(\mathbb{F}_p[\sqrt{m}])^{p-1/4} \\
 N_2 \downarrow & & \downarrow \\
 U(\mathbb{Z}[w_{pm}]) \cong \text{Im } N_2 & \xrightarrow{\quad} & U(\mathbb{F}_p)^{p-1/4} \\
 N_3 \downarrow & & \downarrow \\
 U(\mathbb{Z}) \cong \text{Im } N_3 & \xrightarrow{\varphi'} & U(\mathbb{F}_p)^{p-1/2},
 \end{array}$$

where N_i , $i=1, 2$ and 3 , are the norm maps and the other maps are natural. For the case of type (a), $\text{Im } N_2 \subseteq \langle -1, \varepsilon_{pm}^2 \rangle$, and for the case of type (b), $\text{Im } N_2 \subseteq \langle -1, \varepsilon_{pm} \rangle$ and $N_3(\varepsilon_{pm}) = 1$. Hence, for either case, $\text{Im } \varphi' \circ N_3 \circ N_2 \circ N_1 = \{1\}$, and so $2 \mid |\text{Coker } \varphi|$. If $p \equiv 5 \pmod{8}$, $U(\mathbb{F}_p[\sqrt{m}])^{(2)} = (U(\mathbb{F}_p[\sqrt{m}])^{p-1/4})^{(2)}$. Now consider the case of type (c). If $\left(\frac{m}{p}\right) = 1$, $U(\mathbb{F}_p[\sqrt{m}])^{p-1/4} = \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$. Since $\varphi' \circ N_3 \circ N_2 \circ N_1(\sqrt{\varepsilon_p \varepsilon_m \varepsilon_{pm}}) = \varphi' \circ N_3 \circ N_2(\sqrt{\varepsilon_p \varepsilon_m \varepsilon_{pm}^{p-1/4}}) = \varphi' \circ N_3(\pm \varepsilon_{pm}^{p-1/4}) = \varphi'(-1) = -1$, $\varphi(\sqrt{\varepsilon_p \varepsilon_m \varepsilon_{pm}^{p-1/4}})$ is of type $(\pm 1, c)$ or $(c, \pm 1)$ in $U(\mathbb{F}_p) \times U(\mathbb{F}_p)$, where c is of order 4 in $U(\mathbb{F}_p)$. Hence $(\text{Coker } \varphi)^{(2)} = 0$, because $\text{Im } \varphi \cong \{(a, a) \mid a \in U(\mathbb{F}_p)\}$. If $\left(\frac{m}{p}\right) = -1$, $U(\mathbb{F}_p[\sqrt{m}])^{p-1/4} \cong \mathbb{Z}/4(p+1)\mathbb{Z}$. We see that the order of $(\sqrt{\varepsilon_p \varepsilon_m \varepsilon_{pm}^{p+1/2}})$ is 8, because $\varepsilon_m^{p+1} \equiv -1 \pmod{p}$. This shows that $(\text{Coker } \varphi)^{(2)} = 0$, and thus the proof is completed.

REMARK 3.8. For the case where the type of fundamental units of $\mathbb{Z}[w_p, w_m]$ is (c) and $p \equiv 1 \pmod{8}$, we do not know whether $D(\text{RC}_p)^{(2)} = 0$ or not.

PROPOSITION 3.9. Suppose that $p \mid m$ and write $m = np^*$. Then

- i) $D(\text{RC}_p) \cong T(\text{RC}_p) \oplus D(\text{RC}_p / (\Sigma_p))$.
- ii) $T(\text{RC}_p) \cong \begin{cases} \mathbb{Z}/p\mathbb{Z} & \text{if } m < -3 \text{ or } m > 0 \text{ and } p \mid b \\ 0 & \text{otherwise.} \end{cases}$
- iii) $D(\text{RC}_p / (\Sigma_p))^{(p)}$ is an elementary p -group of rank $\leq (p-3)/2$. Especially, if $n=1$, then $D(\text{RC}_p / (\Sigma_p))$ is an elementary p -group of rank $\leq \max(0, (p-7)/2)$.

PROOF. ii) There is a commutative diagram

$$\begin{array}{ccccccc}
 U(R[\bar{\sigma}]) & \xrightarrow{\phi} & U(F_p[\sqrt{m}]) \cong \mathbf{Z}/p(p-1)\mathbf{Z} & \longrightarrow & T(RC_p) & \longrightarrow & 0 \\
 N \downarrow & & \downarrow N' & & & & \\
 U(R) \cong \text{Im } N & \xrightarrow{\phi'} & U(F_p[\sqrt{m}])^{p-1} \cong \mathbf{Z}/p\mathbf{Z}, & & & &
 \end{array}$$

where $N(f(\bar{\sigma})) = \prod_{i=1}^{p-1} f(\bar{\sigma}^i)$ for every $f(\bar{\sigma}) \in U(R[\bar{\sigma}])$, $N'(x) = x^{p-1}$ for every $x \in U(F_p[\sqrt{m}])$ and ϕ' is the restriction of ϕ to $\text{Im } N$. Then $\text{Coker } \phi \cong \mathbf{Z}/p\mathbf{Z}$ or 0 , and $\text{Coker } \phi \cong \mathbf{Z}/p\mathbf{Z}$ if and only if $\text{Coker } \phi' \cong \mathbf{Z}/p\mathbf{Z}$. If $m > 0$, then $\phi' \cdot N(\varepsilon_m) = \phi'(\varepsilon_m^{p-1}) = \bar{1}$ if and only if $p|b$. If $m < -3$, then $U(R) = \{\pm 1\}$, and hence $\text{Coker } \phi' \cong \mathbf{Z}/p\mathbf{Z}$. For $m = -3$, we can compute directly that ϕ is surjective.

i) The conclusion follows from ii) and (2.2 i).

iii) Let $n \neq 1$. Then we can write as

$$D(RC_p/(\Sigma_p))^{(p)} \cong \frac{1 + p\bar{S}_p}{U^1(\bar{S})(1 + pS_p)},$$

where $S = \mathbf{Z}[w_{np^*}, \zeta_p]$, $\bar{S} = \mathbf{Z}[w_n, \zeta_p]$, p is the unique prime ideal over p in S and $\bar{p} = p\bar{S}$. Then the conclusion follows from (2.2 i) and the fact that $\left| \frac{1 + p\bar{S}_p}{1 + pS_p} \right| = p^{p-3/2}$. Next assume that $n = 1$. By force of (2.3), we may assume that $p \geq 7$. Then

$$D(RC_p/(\Sigma_p)) \cong \frac{(1 + \pi\mathcal{O}_p) \times (1 + \pi\mathcal{O}_p)}{\{U^1(\mathcal{O}) \times U^1(\mathcal{O})\} \{U(R_p C_p/(\Sigma_p)) \cap ((1 + \pi\mathcal{O}_p) \times (1 + \pi\mathcal{O}_p))\}},$$

where $\pi = \zeta_p - 1$ and $\mathcal{O} = \mathbf{Z}[\zeta_p]$. The map $U(R_p C_p/(\Sigma_p)) \cap ((1 + \pi\mathcal{O}_p) \times (1 + \pi\mathcal{O}_p)) \hookrightarrow (1 + \pi\mathcal{O}_p) \times (1 + \pi\mathcal{O}_p) \xrightarrow{\varphi} (1 + \pi\mathcal{O}_p)$ is surjective where $\varphi(x, y) = x$. Since $U^1(\mathcal{O})$ contains $1 + \pi$ and $1 + \pi^2 - \pi^3\zeta_p^{-1}$, each element of $D(RC_p/(\Sigma_p))$ has a representative of the form $(1, 1 + \pi^3x) \in (1 + \pi\mathcal{O}_p) \times (1 + \pi\mathcal{O}_p)$, $x \in \mathcal{O}_p$. The conclusion follows from this, because $u(R_p C_p/(\Sigma_p)) \cong \{1\} \times (1 + \pi^{p-1/2}\mathcal{O}_p)$.

REMARK 3.10. If $p = 5$ and $n > 1$, then $D(RC_5/(\Sigma_5))^{(5)} \cong \mathbf{Z}/5\mathbf{Z}$. In fact, since $U(\bar{S}) = \langle \zeta_5 \rangle U(\mathbf{Z}[w_n, w_5])$, it is easy to see that $U^1(\bar{S}) = U^1(S) \subseteq 1 + pS_p$. On the other hand, there are examples of n for which $D(RC_5/(\Sigma_5))^{(5)} = 0$, e. g. $n = -1, -3, -7$ or -11 .

§ 4.

In this section, we shall determine completely the structure of $D(RC_3)$.

LEMMA 4.1. Let $m > 0$ and $3 \nmid m$. Put $\varepsilon_m = (a + b\sqrt{-m})/2$. Then

- i) $3 \nmid a$ or $3 \nmid b$.
- ii) If $m \equiv 1 \pmod{3}$, then $3 \mid ab$.
- iii) $N_{k/Q}(\varepsilon_m) = 1$ if and only if $m \equiv 1 \pmod{3}$ and $3 \nmid a$ or $m \equiv -1 \pmod{3}$ and $3 \mid ab$.

PROOF. The results follow from the facts that $N_{k/Q}(\varepsilon_m) \equiv a^2 - b^2 \pmod{3}$ if $m \equiv 1 \pmod{3}$ and that $N_{k/Q}(\varepsilon_m) \equiv a^2 + b^2 \pmod{3}$ if $m \equiv -1 \pmod{3}$.

We can refine (3.4) and (3.7) as follows.

THEOREM 4.2. Suppose that $3 \nmid m$. Then (\sqrt{m}, Σ_3) (resp. $(-1 + \sqrt{m}, \Sigma_3)$) is a Representative of a generator of $D(RC_3)$ if $(m/p) = 1$ (resp. $(m/p) = -1$), and

| $D(RC_3)$ | $m < 0$ | $m > 0$ |
|--------------------------|--|---|
| 0 | $m \equiv 1 \pmod{3}$ and (A), or $m \equiv -1$ | $N_{k/Q}(\varepsilon_m) = -1$ |
| $\mathbb{Z}/2\mathbb{Z}$ | $m \equiv 1 \pmod{3}$ and not (A), or $m \equiv -1 \pmod{3}$, $m \neq -1$ and (A) | $m \equiv 1 \pmod{3}$ and $3 \mid b$, or $m \equiv -1 \pmod{3}$, $3 \mid a$ |
| $\mathbb{Z}/4\mathbb{Z}$ | $m \equiv -1 \pmod{3}$ and not (A) | $m \equiv -1 \pmod{3}$ and $3 \mid b$ |

where, for $m < 0$, (A) means the property that $\sqrt{-\varepsilon_{-3m}} \in U(\mathbb{Z}[w_m, \zeta_3])$.

PROOF. For the case $m < 0$, the result follows from (3.4), since the condition (A) is equivalent to the condition $Q_K = 2$, where $K = Q(\zeta_3, \sqrt{m})$. For the case $m > 0$, we see that $D(RC_3) = U(\mathbb{F}_3[\sqrt{m}]) / \varphi(U(\mathbb{Z}[w_m]))$ by (3.6), and so $D(RC_3)$ is a 2-group. Therefore the result follows from (3.7 ii) and (4.1).

For the case $m = -3n$, we have, by (3.9) and (2.2),

$$D(RC_3) \cong T(RC_3) \oplus D(RC_3 / (\Sigma_3)) \text{ and}$$

$$D(RC_3 / (\Sigma_3)) \cong \begin{cases} 0 & \text{if } n = 1 \\ D(R'C_3) & \text{if } n \neq 1, \text{ where } R' = \mathbb{Z}[w_m]. \end{cases}$$

Hence we have

THEOREM 4.3. Suppose that $3 \mid m$ and write $m = -3n$. Then

| $D(RC_3)$ | $n < 0$ | $n > 0$ |
|--|---|--|
| 0 | $n \equiv 1 \pmod{3}$, (A) and $3 \nmid d$, or $n = -1$ | $n = 1$ |
| $\mathbb{Z}/2\mathbb{Z}$ | $n \equiv 1 \pmod{3}$, not (A) and $3 \nmid d$, or $n \equiv -1 \pmod{3}$, $n \neq -1$, (A) and $3 \nmid d$ | |
| $\mathbb{Z}/3\mathbb{Z}$ | $n \equiv 1 \pmod{3}$, (A) and $3 \mid d$ | $n \neq 1$ and $N(\varepsilon_n) = -1$ |
| $\mathbb{Z}/4\mathbb{Z}$ | $n \equiv -1 \pmod{3}$, not (A) and $3 \mid d$ | |
| $\mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ | $n \equiv 1 \pmod{3}$, not (A) and $3 \mid d$, or $n \equiv -1 \pmod{3}$, $n \neq -1$, not (A) and $3 \mid d$ | $n \equiv 1 \pmod{3}$, $n \neq 1$ and $3 \mid b$, or $n \equiv -1 \pmod{3}$, and $3 \mid a$ |
| $\mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$ | $n \equiv -1 \pmod{3}$, not (A) and $3 \mid d$ | $n \equiv -1 \pmod{3}$, $3 \mid b$ |

where $\varepsilon_n = (a + b\sqrt{n})/2$ if $n > 1$, $\varepsilon_m = (c + d\sqrt{m})/2$ if $m > 0$, (A) means the property that $\sqrt{-\varepsilon_m} \in U(\mathbb{Z}[w_n, \zeta_3])$ if $m > 0$, and $N = N_{\mathbb{Q}(\sqrt{n})/\mathbb{Q}}$.

Next we want to know representatives of generators of $D(RC_3)$ in the case of $3 \mid m$. Since $T(RC_3)$ is generated by the class of $(1 + \sqrt{m}, \Sigma_3)$, we have only to consider $D(RC_3/(\Sigma_3))$. Write $m = -3n$ assume that $n \neq \pm 1$. Let $R = \mathbb{Z}[w_{-3n}]$, $S = R[\zeta_3]$ and $\bar{S} = \mathbb{Z}[w_n, \zeta_3]$. Then we see that \bar{S} is the integral closure of S . Put $p = (\sqrt{-3}, \sqrt{-3n})$ (resp. $(\sqrt{-3}, 1 + (1 + \sqrt{-3n})/2)$) if $n \not\equiv 1 \pmod{4}$ (resp. $n \equiv 1 \pmod{4}$). Then we see that p is a unique prime ideal of S which contains $p^2 = (\sqrt{-3})p$ and $p\bar{S} = (\sqrt{-3})$. First we note

LEMMA 4.4. *An invertible ideal \mathcal{C} of S such that $\mathcal{C}\bar{S}$ is principal in \bar{S} is isomorphic to some p -primary invertible ideal of S not contained in p^2 .*

PROOF. Let \mathcal{C}' be an invertible ideal of S such that $\mathcal{C}' \cong \mathcal{C}^{-1}$. Since p is a unique non-invertible prime ideal of S , we have $S[1/3] = \bar{S}[1/3]$. Hence, there is $c' \in \mathcal{C}'$ such that $\mathcal{C}'S[1/3] = (c')$ in $S[1/3]$, and so there is a p -primary invertible ideal g of S such that $(c') = \mathcal{C}'g$ in S . Since $p^2 = (\sqrt{-3})p$, g is isomorphic to a p -primary invertible not contained in p^2 . Since $\mathcal{C} \cong \mathcal{C}'^{-1} \cong g$, this completes the proof.

Put $a = (3, \sqrt{-3n})$ (resp. $(3, 1 + (1 + \sqrt{-3n})/2)$) if $n \not\equiv 1 \pmod{4}$ (resp. $n \equiv 1 \pmod{4}$). Then $a\bar{S} = (\sqrt{-3})$ and $a^2 = (3)$.

LEMMA 4.5. *The following statements are equivalent:*

- i) *a is non-principal in S.*
- ii) *In the case where $n < 0$, $U(\mathbb{Z}[w_n, \zeta_3]) = \langle -1, \zeta_3, \varepsilon_{-3n} \rangle$, and in the case where $n > 0$, $\varepsilon_n = (a + b\sqrt{n})/2$, $3 \nmid a$, $3 \mid b$.*

PROOF. Let $n \not\equiv 1 \pmod{4}$. If a is principal, then we can write as $a = (3x + y\sqrt{-3n})$ for some $x, y \in \mathbb{Z}[\zeta_3]$. Further we see that $\sqrt{-3} \nmid y$ and $(x, y) = (1)$. Since $3 \in a$,

$$(v' + z\sqrt{-3n})(3x + y\sqrt{-3n}) = 3 \quad \text{for some } v', z \in \mathbb{Z}[\zeta_3].$$

Hence we have that $3xz + yv' = 0$, and so $v' = 3v$ for some $v \in \mathbb{Z}[\zeta_3]$. Then the equality $xz + yz = 0$ implies that $v = ux$ and $z = -uy$ for some $u \in \mathbb{Z}[\zeta_3]$. Thus $u(3x - y\sqrt{-3n})(3x + y\sqrt{-3n}) = 3$, and so $u(x\sqrt{-3} + y\sqrt{n})(x\sqrt{-3} - y\sqrt{n}) = -1$ in \bar{S} . Hence there is a unit of type $x\sqrt{-3} + y\sqrt{n}$ ($x, y \in \mathbb{Z}[\zeta_3]$) in \bar{S} . Conversely, if there is a unit in \bar{S} of the above type, we see that $a = (3x + y\sqrt{-3n})$. If $n < 0$, then there is a unit of the above type when and only when the unit index of $Q(\zeta_3, w_n)$ is 2, i.e. $U(\bar{S}) = \langle -1, \zeta_3, \sqrt{-\varepsilon_{-3n}} \rangle$. If $n > 0$, then $U(\bar{S}) = \langle -1, \zeta_3, \varepsilon_n \rangle$ by (3.6), $\varepsilon_n = a + b\sqrt{n}$, and there is a unit in \bar{S} of the above when and only when $3 \mid a$ and $3 \nmid b$. We can similarly prove the assertion for the case where $n \equiv 1 \pmod{4}$.

LEMMA 4.6. *Assume that $n \equiv -1 \pmod{3}$. Put $g = (3, \sqrt{-3n} + \sqrt{-3})$ (resp. $(3, \sqrt{-3} + (3 + \sqrt{-3n}))$) if $n \not\equiv 1 \pmod{4}$ (resp. $n \equiv 1 \pmod{4}$). Then g is a p -primary invertible ideal in S such that $g^2 = (\sqrt{-3})a$ and $g \nsubseteq p^2$. Further, g is principal in S if and only if $n > 0$ and $3 \nmid ab$, where $\varepsilon_n = (a + b\sqrt{n})/2$.*

PROOF. Let $n \not\equiv 1 \pmod{4}$. The first statement is obvious, so we have only to show the second one. If g is principal in S , then $g = (3(x + y\sqrt{-3n}) + (z + v\sqrt{-3n})(\sqrt{-3} + \sqrt{-3n}))$ for some $x, y, z, v \in \mathbb{Z}[\zeta_3]$, and so $g = (s\sqrt{-3} + t\sqrt{-3n})$, where $s = -\sqrt{-3}x + z + \sqrt{-3}nv$ and $t = 3y + z + \sqrt{-3}v$. Since $g \nsubseteq p^2$, we have $\sqrt{-3} \nmid z$, and hence $\sqrt{-3} \nmid st$ and $(s, t) = (1)$. Since $3 \in g$, $3 = u(s\sqrt{-3} + t\sqrt{-3n})(s\sqrt{-3} - t\sqrt{-3n})$ for some $u \in \mathbb{Z}[\zeta_3]$. Hence $u(s + t\sqrt{n})x(s - t\sqrt{n}) = -1$ in \bar{S} and so

$$(*) \quad s + t\sqrt{n} \in U(\mathbb{Z}[\sqrt{n}, \zeta_3]) \quad \text{where } s, t \in \mathbb{Z}[\zeta_3] \text{ and } \sqrt{-3} \nmid st.$$

If $n < 0$, then $U(\mathbb{Z}[\sqrt{n}, \zeta_3]) = \langle -1, \zeta_3, \varepsilon_{-3n} \rangle$ or $\langle -1, \zeta_3, \sqrt{-\varepsilon_{-3n}} \rangle$, where $\sqrt{-\varepsilon_{-3n}} = x\sqrt{-3} + y\sqrt{n}$ for some $x, y \neq 0$. Therefore (*) is impossible, and hence g is non-principal. If $n > 0$, then $U(\mathbb{Z}[\sqrt{n}, \zeta_3]) = \langle -1, \zeta_3, \varepsilon_n \rangle$ where $\varepsilon_n = a + b\sqrt{n}$. This shows that (*) is possible if and only if $3 \nmid ab$. We can similarly prove the assertion for the case where $n \equiv 1 \pmod{4}$.

Combining (4.3), (4.5) and (4.6), we have

THEOREM 4.7. *Suppose that $3|m$ and $m \neq \pm 3$. Let*

$$a = \begin{cases} (3, \sqrt{m}) & \text{if } m \not\equiv 1 \pmod{4} \\ \left(3, 1 + \frac{1 + \sqrt{m}}{2}\right) & \text{if } m \equiv 1 \pmod{4}, \end{cases}$$

and

$$g = \begin{cases} (3, \sqrt{-3} + \sqrt{m}) & \text{if } m \not\equiv 1 \pmod{4} \\ \left(3, \sqrt{-3} + \frac{3 + \sqrt{m}}{2}\right) & \text{if } m \equiv 1 \pmod{4}. \end{cases}$$

Then $D(RC_3/(\Sigma_3))$ is generated by the class of a (resp. g) if $m/3 \equiv -1 \pmod{3}$ (resp. $m/3 \equiv 1 \pmod{3}$).

REMARK 4.8. We can also determine the structure of $D(RC_k)$ for the case that k is a real quadratic field. Let $R = \mathbf{Z}[w_m]$, $S = \mathbf{Z}[w_m, w_{5m}]$, where $5 \nmid m > 0$, and $\varepsilon_m = (a + b\sqrt{m})/2$. Then a system of fundamental units of S is given as one of the following:

- (a) $\varepsilon_5, \varepsilon_m, \varepsilon_{5m}$,
- (b) $\varepsilon_5, \varepsilon_m, \sqrt{\varepsilon_{5m}}$ (in this case $N(\varepsilon_{5m})=1$ and $\left(\frac{m}{5}\right)=1$),
- (c) $\varepsilon_5, \varepsilon_m, \sqrt{\varepsilon_5 \varepsilon_m \varepsilon_{5m}}$ (in this case $N(\varepsilon_m)=N(\varepsilon_{5m})=-1$, or $N(\varepsilon_m)=1, \left(\frac{m}{5}\right)=-1$ and $5 \nmid b$), or
- (d) $\varepsilon_5, \varepsilon_m, \sqrt{\varepsilon_m \varepsilon_{5m}}$ (in this case $N(\varepsilon_m)=N(\varepsilon_{5m})=1, \left(\frac{m}{5}\right)=-1$ and $5 \nmid b$),

where, for a square-free positive integer d , $N(\varepsilon_d) = N_{\mathbf{Q}(\sqrt{d})/\mathbf{Q}}(\varepsilon_d)$.

We have a following table:

| $D(RC_5)$ | $\left(\frac{m}{5}\right)=1$ | $\left(\frac{m}{5}\right)=-1$ |
|--------------------------|-------------------------------|--|
| 0 | $N(\varepsilon_m)=-1$ and (c) | $N(\varepsilon_m)=-1$, (c) and $5 \nmid b$ |
| $\mathbf{Z}/2\mathbf{Z}$ | (a) and $5 \nmid b$, or (b) | (a) and $5 \nmid b$, or $N(\varepsilon_m)=1$ and (c) or (d) |
| $\mathbf{Z}/3\mathbf{Z}$ | | $N(\varepsilon_m)=-1$, (c) and $5 b$ |
| $\mathbf{Z}/4\mathbf{Z}$ | (a) and $5 b$ | |
| $\mathbf{Z}/6\mathbf{Z}$ | | (a) and $5 b$ |

where (a) means that the type of fundamental units of S is (a).

Further, let $R' = \mathbf{Z}[w_{5m}]$ and $\varepsilon_{5m} = (c + d\sqrt{5m})/2$. Then

$$D(R'C_6) \cong D(RC_6) \oplus \mathbf{Z}/5\mathbf{Z} \oplus T(R'C_6)$$

and

$$T(R'C_6) \cong \begin{cases} 0 & \text{if } 5 \nmid d \\ \mathbf{Z}/5\mathbf{Z} & \text{if } 5 \mid d. \end{cases}$$

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University of Tsukuba

Present Adress

Department of Mathematics

Faculty of Science

Shinshu University

Matsumoto, 390 Japan.