

## POLYNOMIAL INVARIANTS OF EUCLIDEAN LIE ALGEBRAS

By

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### 1. Introduction.

Let  $\Delta$  be a root system in the sense of Bourbaki [1] and  $W$  be its Weyl group.  $\Delta$  spans an  $l$  dimensional real vector space  $V$  on which  $W$  acts as a finite linear group. By extension of the transpose action,  $W$  acts on the symmetric algebra  $S(V)$  of the dual space  $V^*$ . There is a well known theorem of Chevalley [2], that is, the ring of  $W$ -invariant elements of  $S(V)$  is generated by  $l$  algebraically independent homogeneous polynomials.

How will the situation change when  $\Delta$  is an infinite root system and  $W$  is an infinite group acting in  $V$  defined by a generalized Cartan matrix of non-finite type? With regard to this, there is a work of Moody [4], that the indefinite quadratic form by itself generates the entire ring of invariants, when  $\Delta$  is defined by a generalized Cartan matrix of hyperbolic type.

In this paper, we study the ring of polynomial invariants of the Weyl group of a Euclidean Lie algebra. In this case,  $V$  is not isomorphic to  $V^*$  as  $W$ -module. So we have to consider both the ring of invariants of  $S(V)$  and of  $S(V^*)$ , the symmetric algebra of  $V^*$ .

It becomes clear that the unique invariant vector, called null root, by itself generates the entire ring of invariants of  $S(V^*)$  (*Theorem 1*) while the ring of invariants of  $S(V)$  is isomorphic to that of corresponding finite type, which is generated by algebraically independent homogeneous elements (*Theorem 2*). This indicates that the polynomial invariants of Euclidean Lie algebras are critically situated between those of finite types and those of hyperbolic types.

When  $\Delta$  is a root system in the sense of Bourbaki [1], this subject has some relation with classical Harish-Chandra's theorem, which states that the center of the universal enveloping algebra of corresponding finite dimensional complex simple Lie algebra isomorphic to the ring of  $W$ -invariants of  $S(V^*)$ . This theorem cannot be extended when  $\Delta$  is an infinite root system. For example, we cannot use a number of propositions with respect to even the Casimir operator in this case. We hope to discuss this case in near future.

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## 2. Preliminary.

A *generalized Cartan matrix*  $A=(a_{ij})$  is a square matrix of integers satisfying  $a_{ii}=2$ ,  $a_{ij} \leq 0$  if  $i \neq j$ ,  $a_{ij}=0$  if and only if  $a_{ji}=0$ . For any generalized Cartan matrix  $A=(a_{ij})$  of size  $l \times l$  and for any field  $F$  of characteristic zero,  $\mathfrak{G}=\mathfrak{G}_F(A)$  denotes the Lie algebra over  $F$  generated by  $3l$  generators  $e_1, \dots, e_l, h_1, \dots, h_l, f_1, \dots, f_l$  with the defining relations  $[h_i, h_j]=0$ ,  $[e_i, f_j]=\delta_{ij}h_i$ ,  $[h, e_j]=a_{ij}e_j$ ,  $[h_i, f_j]=-a_{ij}f_j$  for all  $i, j$ , and  $(ad e_i)^{-a_{ij+1}}e_j=0$ ,  $(ad f_i)^{-a_{ij+1}}f_j=0$  for distinct  $i, j$ . We call this algebra  $\mathfrak{G}$  the Kac-Moody Lie algebra over  $F$  associated with  $A$ . Let  $\Gamma$  be a free  $\mathbf{Z}$ -module of rank  $l$ , and choose a free basis  $\{\alpha_1, \dots, \alpha_l\}$  of  $\Gamma$ . By defining  $\deg(e_i)=\alpha_i$ ,  $\deg(h_i)=0$ ,  $\deg(f_i)=-\alpha_i$  for all  $i$ , we can view  $\mathfrak{G}$  as a  $\Gamma$ -graded Lie algebra  $\mathfrak{G}=\bigoplus_{\alpha \in \Gamma} \mathfrak{G}_\alpha$ , where  $\mathfrak{G}_\alpha$  is the subspace of  $\mathfrak{G}$  corresponding to  $\alpha$ . Put  $\Delta=\{\alpha \in \Gamma \mid \mathfrak{G}_\alpha \neq 0\}$ , called *the root system* of  $\mathfrak{G}$ . We call  $\Pi=\{\alpha_1, \dots, \alpha_l\}$  a *fundamental root system* of  $\Delta$ . Let  $w_i$  be a  $\mathbf{Z}$ -module automorphism of  $\Gamma$  defined by  $w_i(\alpha_j)=\alpha_j - a_{ij}\alpha_i$ , and let  $W$  be the subgroup of  $GL(\Gamma)$  generated by  $w_i$  for all  $i$ . We call  $W$  the *Weyl group* of  $A$ . Then  $\Delta$  is  $W$ -stable. Set  $\Delta^{re}=\{w(\alpha_i) \mid w \in W, \text{ for all } i\}$  *real roots*, and  $\Delta^{im}=\Delta - \Delta^{re}$ , *imaginary roots*.

A generalized Cartan matrix  $A$  is called *of finite type* if  $\mathfrak{G}_F(A)$  is of finite dimension. A generalized Cartan matrix  $A$  is called *of Euclidean type* if  $A$  is singular and possesses the property that removal of any row and the corresponding column leaves a Cartan matrix of finite type, in which case  $\mathfrak{G}_F(A)$  is called *a Euclidean Lie algebra*. Generalized Cartan matrices of Euclidean type are classified (cf. [5]).

A generalized Cartan matrix  $A$  is called *symmetrizable* if there is a positive rational diagonal matrix  $D$  such that  $B=(b_{ij})=DA$  is a symmetric matrix. Generalized Cartan matrices of finite type and of Euclidean type are symmetrizable. Usually  $D$  is normalized by the properties that  $b_{ij}$  is a half-integer for distinct  $i, j$ , and that  $b_{ii}$  is an integer and the greatest common divisor of  $\{b_{ii}\}$  for all  $i$  is 1 (cf. [3]). Then we can define a symmetric bilinear form on  $\Gamma$  by  $(\alpha_i, \alpha_j)=b_{ij}$ , and we can see easily that this form is  $W$ -invariant and  $2(\alpha_i, \alpha_j)/(\alpha_i, \alpha_i)=a_{ij}$ .

## 3. Ring of invariants of $S(V^*)$ .

From now on we assume that  $A=(a_{ij})$  is a generalized Cartan matrix of

Euclidean type of size  $(l+1) \times (l+1)$ . According to the classification (cf. [3]),  $A$  is expressed as  $\begin{pmatrix} A_0 & * \\ * & 2 \end{pmatrix}$ , where  $A_0$  is of type  $X_l$  (resp.  $B_l, C_l, F_4, G_2, B_l$ ) where  $A$  is of type  $X_l^{(1)}$  (resp.  $D_{l+1}^{(2)}, A_{2l-1}^{(2)}, E_6^{(2)}, D_4^{(3)}, A_2^{(2)}$ ). We call  $A_0$  the corresponding finite type of  $A$ . The set  $\mathcal{A}^{im}$  is a free  $\mathbf{Z}$ -module of rank 1 and a nonzero element of  $\mathcal{A}^{im}$  is called a null root. Let  $\nu$  be the generator of  $\mathcal{A}^{im}$  with the coefficient of  $\alpha_{l+1}=1$  and  $V$  be the  $\mathbf{R}$ -span of  $\mathcal{A}$ , then we obtain :

**THEOREM 1.** *The ring of  $W$ -invariants of the symmetric algebra  $S(V^*)$  of  $V$  is generated by  $\nu$ .*

**PROOF.** Let  $V_0$  be the subspace of  $V$  generated by  $\alpha_1, \dots, \alpha_l$ . Then  $V = V_0 + \mathbf{R}\nu$  and  $\mathcal{A}_0 = \mathcal{A} \cap V_0$  is the root system of the corresponding finite type of  $A$ . The root  $\nu$  is expressed as  $\alpha_{l+1} + \phi$ , where  $\phi$  is the highest long root of  $\mathcal{A}_0$  when  $A$  is of type  $X_l^{(1)}$ , highest short root when  $A$  is of type  $D_{l+1}^{(2)}, A_{2l-1}^{(2)}, E_6^{(2)}$  and  $D_4^{(3)}$  and  $2 \times$  highest short root when  $A$  is of type  $A_2^{(2)}$ . The restriction of  $(,)$  to  $V_0$  is equal to the Killing form on  $\mathfrak{G}_C(A_0)$ . Considering that  $\nu$  is  $W$ -invariant and  $2(\alpha_{l+1}, \alpha_j) / (\alpha_{l+1}, \alpha_{l+1}) = a_{l+1j}$ , we deduce  $w_{l+1}(\alpha_j) = w_\phi(\alpha_j) - a_{l+1j}\nu$ ,  $w_{l+1}(\nu) = \nu$ , where  $w_\phi$  is the reflection with respect to  $\phi$  in  $V_0$  and  $j=1, \dots, l$ . We can see easily that there exists an element  $w$  of  $W$  such that  $w(\alpha_j) = \alpha_j - a_{l+1j}\nu$ ,  $w(\nu) = \nu$  ( $j=1, \dots, l$ ).

Let  $f$  be a  $W$ -invariant of  $S(V^*)$ , expressed by a polynomial of  $\alpha_1, \dots, \alpha_l, \nu$ , then  $f(\alpha_1, \dots, \alpha_l, \nu) = f(\alpha_1 - a_{l+11}\nu, \dots, \alpha_l - a_{l+1l}\nu, \nu)$ . Differentiating the both sides with respect to  $\nu$ , we deduce  $\sum_{j=1}^l a_{l+1j} (\partial f / \partial \alpha_j)(\alpha_1, \dots, \alpha_l, 0) = 0$ . If  $A \neq A_l^{(1)}$ , using the classification of Euclidean type, we can see easily that there exists a unique  $j$  ( $1 \leq j \leq l$ ) such that  $a_{l+1j} \neq 0$ . This indicates  $(\partial f / \partial \alpha_j)(\alpha_1, \dots, \alpha_l, 0) = 0$ . As  $f$  is  $W$ -invariant, we can prove  $(\partial f / \partial \alpha_j)(\alpha_1, \dots, \alpha_l, 0) = 0$  for all  $j$  ( $1 \leq j \leq l$ ). This indicates  $f(\alpha_1, \dots, \alpha_l, 0)$  is constant and  $f \in \mathbf{R}[\nu]$ .

If  $A = A_l^{(1)}$  ( $l \geq 3$ ), we can easily see

$$(\partial f / \partial \alpha_1)(\alpha_1, \dots, \alpha_l, 0) + (\partial f / \partial \alpha_l)(\alpha_1, \dots, \alpha_l, 0) = 0$$

when  $A$  is expressed as

$$\begin{pmatrix} 2 & -1 & & & -1 \\ -1 & 2 & & & \\ & & \ddots & & \\ & & & 2 & -1 \\ -1 & & & -1 & 2 \end{pmatrix}$$

As  $f$  is  $W$ -invariant, we can prove

$$-(\partial f/\partial\alpha_1)(\alpha_1, \dots, \alpha_l, 0) + (\partial f/\partial\alpha_1)(\alpha_1, \dots, \alpha_l, 0) = 0.$$

This indicates  $(\partial f/\partial\alpha_1)(\alpha_1, \dots, \alpha_l, 0) = 0$  and  $f(\alpha_1, \dots, \alpha_l, 0)$  is constant.

If  $A = A_2^{(n)}$ , we can deduce

$$(\partial f/\partial\alpha_1)(\alpha_1, \alpha_2, 0) + (\partial f/\partial\alpha_2)(\alpha_1, \alpha_2, 0) = 0,$$

$$-(\partial f/\partial\alpha_1)(\alpha_1, \alpha_2, 0) + (\partial f/\partial(\alpha_1 + \alpha_2))(\alpha_1, \alpha_2, 0) = 0$$

and

$$(\partial f/\partial(\alpha_1 + \alpha_2))(\alpha_1, \alpha_2, 0) - (\partial f/\partial\alpha_2)(\alpha_1, \alpha_2, 0) = 0.$$

This indicates  $(\partial f/\partial\alpha_1)(\alpha_1, \alpha_2, 0) = (\partial f/\partial\alpha_2)(\alpha_1, \alpha_2, 0) = 0$ .

G. E. D.

**4. Ring of invariants of  $S(V)$ .**

Let  $W_0$  be the subgroup of  $W$  generated by  $w_1, \dots, w_l$ , then  $W_0$  acts on  $V_0$  as the Weyl group of  $A_0$ . This induces the decomposition of  $V$  as  $W_0$ -module,  $V = V_0 + R\nu$  and  $S(V_0)$  can be considered as a subalgebra of  $S(V)$  by using the inclusion from  $V_0^*$  to  $V^*$  with respect to this decomposition. Then we obtain :

**THEOREM 2.** *The ring of  $W$ -invariants of  $S(V)$  is equal to the ring of  $W_0$ -invariants of  $S(V_0)$ . Consequently the ring of  $W_0$ -invariants of  $S(V)$  is generated by  $l$  algebraically independent homogeneous polynomials.*

**PROOF.** Let  $\{\alpha_1^*, \dots, \alpha_l^*, \nu^*\}$  be the dual basis of  $\{\alpha_1, \dots, \alpha_l, \nu\}$ . Easy calculations show that  $w_{l+1}(\alpha_j^*) = w_j(\alpha_j^*)$  ( $j=1, \dots, l$ ),  $w_{l+1}(\nu^*) = \nu^* - \sum_{j=1}^l a_{l+1,j} \alpha_j^*$ . It is clear that  $S(V)^{W_0}$ , the ring of  $W_0$ -invariants of  $S(V_0)$ , is a subalgebra of the ring of  $W$ -invariants of  $S(V)$  and the ring of  $W_0$ -invariants of  $S(V)$  is  $S(V_0)^{W_0}[\nu^*]$ , the polynomial ring of the commutative algebra  $S(V_0)^{W_0}$  with the indeterminate  $\nu^*$ .

Clearly the ring of  $W$ -invariants of  $S(V)$  is a subalgebra of the ring of  $W_0$ -invariants of  $S(V)$ . Let  $f$  be any element of the ring of  $W$ -invariants of  $S(V)$ . Then  $f$  can be expressed by a polynomial of  $\nu^*$  with coefficients in  $S(V_0)^{W_0}$  and satisfying the equation  $f(\nu^*) = f\left(\nu^* - \sum_{j=1}^l a_{l+1,j} \alpha_j^*\right)$ , where  $\sum_{j=1}^l a_{l+1,j} \alpha_j^*$  is non-zero from the classification. Then the following lemma completes the proof of the theorem.

Q. E. D.

**LEMMA.** *Let  $R$  be a commutative algebra over a field of characteristic zero and  $f(X)$  be any element of  $R[X]$ . If  $f(X)$  satisfies the equation  $f(X) = f(X+c)$  where  $c$  is not a zero divisor of  $R$ , then  $\deg f = 0$ .*

**References**

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