

**REALIZATIONS OF INVOLUTIVE AUTOMORPHISMS  $\sigma$   
AND  $G^\sigma$  OF EXCEPTIONAL LINEAR LIE GROUPS  $G$ ,**  
**PART I,  $G = G_2, F_4$  AND  $E_6$**

By

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M. Berger [1] classified involutive automorphisms  $\sigma$  of simple Lie algebras  $g$  and determined the type of the subalgebras  $g^\sigma$  of fixed points. Now for connected exceptional universal linear Lie groups  $G$ , we shall find involutive automorphisms  $\sigma$  and realize the subgroups  $G^\sigma$  of fixed points explicitly. In this paper we consider the cases of type  $G_2, F_4$  and  $E_6$ . Our results are as follows. (Results of  $E_7$  will be soon appeared in this Journal).

$G$	$G^\sigma$	$\sigma$
$G_2^C$	$(Sp(1, C) \times Sp(1, C)) / \mathbf{Z}_2$	$\gamma$
$G_2^C$	$G_2$	$\tau$
$G_2$	$(Sp(1) \times Sp(1)) / \mathbf{Z}_2$	$\gamma$
$G_2^C$	$G_{2(2)}$	$\tau\gamma \quad \tau\gamma c$
$G_{2(2)}$	$(Sp(1) \times Sp(1)) / \mathbf{Z}_2$	$\gamma$
	$(Sp(1, R) \times Sp(1, R)) / \mathbf{Z}_2 \times 2$	$\gamma$
$F_4^C$	$(Sp(1, C) \times Sp(3, C)) / \mathbf{Z}_2$	$\gamma$
	$Spin(9, C)$	$\sigma$
$F_4^C$	$F_4$	$\tau$
$F_4$	$(Sp(1) \times Sp(3)) / \mathbf{Z}_2$	$\gamma$
	$Spin(9)$	$\sigma$
$F_4^C$	$F_{4(4)}$	$\tau\gamma \quad \tau\gamma c \quad \tau\gamma\sigma$
$F_{4(4)}$	$(Sp(1) \times Sp(3)) / \mathbf{Z}_2$	$\gamma$
	$(Sp(1, R) \times Sp(3, R)) / \mathbf{Z}_2 \times 2$	$\gamma$
	$(Sp(1) \times Sp(1, 2)) / \mathbf{Z}_2$	$\gamma$
	$spin(4, 5)$	$\sigma$
$F_4^C$	$F_{4(-20)}$	$\tau\sigma \quad \tau\sigma'$
$F_{4(-20)}$	$(Sp(1) \times Sp(1, 2)) / \mathbf{Z}_2$	$\gamma$
	$Spin(9)$	$\sigma$

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	$Spin(8, 1)$	$\sigma$
$E_6^C$	$(Sp(1, C) \times SL(6, C))/\mathbf{Z}_2$	$\gamma$
	$(C^* \times Spin(10, C))/\mathbf{Z}_4$	$\sigma$
	$F_4^C$	$\lambda$
	$Sp(4, C)/\mathbf{Z}_2$	$\lambda\gamma$
$E_6^C$	$E_6$	$\tau\lambda$
$E_6$	$(Sp(1) \times SU(6))/\mathbf{Z}_2$	$\gamma$
	$(U(1) \times Spin(10))/\mathbf{Z}_4$	$\sigma$
	$F_4$	$\lambda$
	$Sp(4)/\mathbf{Z}_2$	$\lambda\gamma$
$E_6^C$	$E_{6(6)}$	$\tau\gamma$
$E_{6(6)}$	$(Sp(1) \times SU^*(6))/\mathbf{Z}_2$	$\gamma$
	$(Sp(1, R) \times SL(6, R))/\mathbf{Z}_2 \times 2$	$\gamma$
	$(R^+ \times spin(5, 5)) \times 2$	$\sigma$
	$F_{4(4)}$	$\lambda$
	$Sp(4)/\mathbf{Z}_2$	$\lambda\gamma$
	$Sp(4, R)/\mathbf{Z}_2 \times 2$	$\lambda\gamma$
	$Sp(2, 2)/\mathbf{Z}_2 \times 2$	$\lambda\gamma$
$E_6^C$	$E_{6(2)}$	$\tau\lambda\gamma$
$E_{6(2)}$	$(Sp(1) \times SU(6))/\mathbf{Z}_2$	$\gamma$
	$(Sp(1, R) \times SU(3, 3))/\mathbf{Z}_2 \times 2$	$\gamma$
	$(Sp(1) \times SU(2, 4))/\mathbf{Z}_2$	$\gamma$
	$(U(1) \times spin(6, 4))/\mathbf{Z}_4$	$\sigma$
	$U(1) \times spin^*(10))/\mathbf{Z}_4$	$\sigma$
	$F_{4(4)}$	$\lambda$
	$Sp(1, 3)/\mathbf{Z}_2$	$\lambda\gamma$
	$Sp(4, R)/\mathbf{Z}_2 \times 2$	$\lambda\gamma$
$E_6^C$	$E_{6(-14)}$	$\tau\lambda\sigma$
$E_{6(-14)}$	$(Sp(1) \times SU(2, 4))/\mathbf{Z}_2$	$\gamma$
	$(Sp(1, R) \times SU(5, 1))/\mathbf{Z}_2$	$\gamma$
	$(U(1) \times spin(10))/\mathbf{Z}_4$	$\sigma$
	$(U(1) \times spin(8, 2))/\mathbf{Z}_4$	$\sigma$
	$(U(1) \times spin^*(10))/\mathbf{Z}_4$	$\sigma$
	$F_{4(-20)}$	$\lambda$
	$Sp(2, 2)/\mathbf{Z}_2 \times 2$	$\lambda\gamma$
$E_6^C$	$E_{6(-26)}$	$\tau$
$E_{6(-26)}$	$(Sp(1) \times SU^*(6))/\mathbf{Z}_2$	$\gamma$
	$R^+ \times Spin(9, 1)$	$\sigma$

$$\begin{array}{ll}
 F_4 & \lambda \\
 F_{4(-20)} & \lambda\sigma \\
 Sp(1, 3)/Z_2 & \lambda\gamma
 \end{array}$$

The proofs of some theorems about the complex Lie groups are somewhere obtained by the modifications of the preceding papers [4]~[7], but we give their proofs again. Notation  $\sim$  in Theorems, for example,  $(G_{2(2)})^{\gamma} \sim (\tau\gamma c)^{\gamma}$  in Theorem 1.3.5 means  $(G_{2(2)})^{\delta^{-1}\tau\delta} = ((G_2^C)^{\gamma}c)^{\gamma}$  for some  $\delta \in G_2$ . Finally the author would like to thank Takeshi Miyasaka, Toshikazu Miyashita and Osamu Shukawa for their advices and encouragements.

### 0.1. Notations and preliminaries.

Let  $R$ ,  $C=R\oplus Ri$  ( $i^2=-1$ ) and  $H=C\oplus Cj$  ( $j^2=-1$ ) be the fields of real, complex and quaternion numbers, respectively. We define  $R$ -algebras

$$\begin{aligned}
 C' &= R\oplus Ri, \quad i'^2=1, \\
 H' &= C'\oplus C'j, \quad j'^2=-1, \quad 'H=C\oplus Cj', \quad j'^2=1,
 \end{aligned}$$

called the algebras of split complex numbers and split quaternion numbers, respectively.  $H'$  and  $'H$  are isomorphic as algebras.

For a vector space  $V$  over  $R$ , its complexification  $\{u+iv \mid u, v \in V\}$  is denoted by  $V^C$ . For an  $R$ -linear transformation  $f: V \rightarrow V$ , its complexification  $f^C: V^C \rightarrow V^C$  is written by the same notation  $f$ . The complex conjugation in  $V^C$  is denoted by  $\tau$ :

$$\tau(u+iv)=u-iv, \quad u, v \in V.$$

The complexification of  $R$  is briefly denoted by  $C: C=R^C$ . The complexifications  $C^C, H^C$  of  $C, H$  have algebraic structures over  $C$ . Note that these algebras have the natural conjugations  $\bar{\phantom{a}}$ , for example,  $\overline{a+bi}=a-bi$ ,  $a+bi \in C \oplus Ci=C^C$ .

We use the following notations.

$M(n, K)$  (resp.  $M(n, m, K)$ ): all of  $n \times n$  (resp.  $n \times m$ ) matrices with entries in  $K$ ,  $K=R, C, C', H, H', 'H, C, C^C, H^C$  etc..

$E$ : the  $n \times n$  unit matrix ( $n$  is arbitrary).

$J_n=\text{diag}(J, \dots, J) \in M(2n, R)$  where  $J=\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ ,  $I_n=\text{diag}(I, \dots, I) \in M(2n, R)$  where  $I=\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  and  $J'=\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Hereafter the suffices  $n$  of  $J_n, I_n$  will be omitted (so  $I_n$  will have no confusions with the following  $I_n$ ).

$$I_1=\text{diag}(-1, 1, 1, \dots), \quad I_2=\text{diag}(-1, -1, 1, 1, \dots), \dots \in M(n, R).$$

$$I'_1=\text{diag}(i, 1, 1, \dots), \quad I'_2=\text{diag}(i, i, 1, 1, \dots), \dots \in M(n, C).$$

For a vector space  $V$  over  $K=\mathbf{R}$ ,  $C$ ,  $\text{Iso}_K(V)$  denotes all of  $K$ -linear isomorphisms of  $V$ . For a  $K$ -linear transformation  $f$  of  $V$ ,  $V_f$  denotes  $\{v \in V \mid f(v)=v\}$ . When  $V$  has the non-degenerate inner product  $(u, v)$ , for a  $K$ -linear transformation of  $f$  of  $V$ ,  ${}^t f$  denotes the transpose of  $f : ({}^t f(u), v) = (u, f(v))$ .

$Z_r$  (resp.  $Z_r$ ): the cyclic group of order  $r$ .

Let  $G$  be a group. For  $a, b \in G$ ,  $a \sim b$  means that  $a$  and  $b$  are conjugate in  $G : da = bd$  for some  $d \in G$ .

For a topological group  $G$ ,  $G_0$  denotes the identity connected component and  $G = G_0 \times 2$  means that  $G$  has two connected components. When  $G$  is a transformation group of a space  $X$ ,  $G_x$  denotes the isotropy subgroup of  $G$  at  $x \in X$ :  $G_x = \{g \in G \mid gx = x\}$ .

If two groups  $G, G'$  (resp. algebras  $A, A'$ ) are isomorphic:  $G \cong G'$  (resp.  $A \cong A'$ ), then  $G, G'$  (resp.  $A, A'$ ) are often identified:  $G = G'$  (resp.  $A = A'$ ).

We arrange here some of classical Lie groups used in this paper.

$$SL(n, K) = \{A \in M(n, K) \mid \det A = 1\}, K = \mathbf{R}, \mathbf{C}, C, C^c,$$

$$SO(n, K) = \{A \in M(n, K) \mid {}^t A A = E, \det A = 1\}, K = \mathbf{R}, C,$$

$$O(m, n-m) = \{A \in M(n, \mathbf{R}) \mid {}^t A I_m A = I_m\},$$

$$SO^*(2n) = \{A \in M(2n, C) \mid {}^t A A = E, JA = (\tau A) J, \det A = 1\},$$

$$SU(n, K) = \{A \in M(n, K) \mid A^* A = E, \det A = 1\}, K = \mathbf{C}, C', C^c,$$

$$SU(m, n-m, K) = \{A \in M(n, K) \mid A^* I_m A = I_m, \det A = 1\}, K = \mathbf{C}, C', C^c,$$

$$SU^*(2n, K) = \{A \in M(2n, K) \mid JA = \bar{A} J, \det A = 1\}, K = \mathbf{C}, C', C^c,$$

$$Sp(n, K) = \{A \in M(n, K) \mid A^* A = E\}, K = \mathbf{H}, H', 'H, H^c,$$

$$Sp(m, n-m, K) = \{A \in M(n, K) \mid A^* I_m A = I_m\}, K = \mathbf{H}, H', H^c,$$

$$Sp(n, K) = \{A \in M(2n, K) \mid {}^t A J A = J\}, K = \mathbf{R}, C$$

where  ${}^t A$  is the transposed matrix of  $A$  and  $A^* = {}^t \bar{A}$ . Usually the following notations are used.

$$SO(n) = SO(n, \mathbf{R}), \quad SU(n) = SU(n, \mathbf{C}), \quad SU(m, n-m) = SU(m, n-m, \mathbf{C}),$$

$$SU^*(2n) = SU^*(2n, \mathbf{C}), \quad Sp(n) = Sp(n, \mathbf{H}), \quad Sp(m, n-m) = Sp(m, n-m, \mathbf{H}).$$

The Lie algebra of a Lie group  $G$  is denoted by the corresponding German small letter  $\mathfrak{g}$ . For example,  $\mathfrak{su}(n)$  denotes the Lie algebra of  $SU(n)$ .

LEMMA 0.1.  $U(n, \mathbf{C}') \cong U(m, n-m, \mathbf{C}') \cong GL(n, \mathbf{R})$ .

PROOF.  $f : GL(n, \mathbf{R}) = \{A \in M(n, \mathbf{R}) \mid \det A \neq 0\} \rightarrow U(n, \mathbf{C}') = \{B \in M(n, \mathbf{C}') \mid B^*B = E\}$ ,

$$f(A) = \epsilon A + \bar{\epsilon}^t A^{-1}, \quad \epsilon = \frac{1}{2}(1+i')$$

is an isomorphism (note  $\epsilon^2 = \epsilon$ ,  $\bar{\epsilon}^2 = \bar{\epsilon}$ ,  $\epsilon\bar{\epsilon} = 0$ ,  $\epsilon + \bar{\epsilon} = 1$ ). The inverse mapping  $f^{-1} : U(n, \mathbf{C}') \rightarrow GL(n, \mathbf{R})$  of  $f$  is given by  $f^{-1}(P+Qi') = P+Q$ ,  $P, Q \in M(n, \mathbf{R})$ . Similarly,  $f : GL(n, \mathbf{R}) \rightarrow U(m, n-m, \mathbf{C}') = \{B \in M(n, \mathbf{C}') \mid B^*I_m B = I_m\}$ ,  $f(A) = \epsilon A + \bar{\epsilon} I_m^t A^{-1} I_m$ , is an isomorphism.

PROPOSITION 0.2. (1)  $SU(n, \mathbf{C}') \cong SU(m, n-m, \mathbf{C}') \cong SL(n, \mathbf{R})$ ,  $SU^*(2n, \mathbf{C}') \cong SL(2n, \mathbf{R})$ .

(2)  $SU(n, \mathbf{C}^c) \cong SU(m, n-m, \mathbf{C}^c) \cong SL(n, \mathbf{C})$ ,  $SU^*(2n, \mathbf{C}^c) \cong SL(2n, \mathbf{C})$ .

PROOF. (1) The restriction  $f : SL(n, \mathbf{R}) \rightarrow SU(n, \mathbf{C}')$  of  $f$  in Lemma 0.1 is an isomorphism. In fact, the calculations of  $\det(f(A)) = 1$ ,  $A \in SL(n, \mathbf{R})$  and  $\det(f^{-1}(B)) = 1$ ,  $B \in SU(n, \mathbf{C}')$  follow from

LEMMA 0.3. (1) For  $A, B \in M(n, \mathbf{R})$ , we have

$$\det(\epsilon A + \bar{\epsilon} B) = \epsilon \det A + \bar{\epsilon} \det B, \quad \epsilon = \frac{1}{2}(1+i').$$

(The above is also valid for  $A, B \in M(n, \mathbf{C})$  and  $\epsilon = \frac{1}{2}(1+ii)$ ).

(2) Let  $P(x_1, \dots, x_m)$  be a polynomial with integral coefficients. If  $P(p_1+q_1i', \dots, p_m+q_mi') = 1$  for  $p_i+q_ii' \in \mathbf{R} \oplus \mathbf{R}i' = \mathbf{C}'$  (resp.  $p_i+q_ii \in \mathbf{C} \oplus \mathbf{C}ii = \mathbf{C}^c$ ), then  $P(p_1+q_1, \dots, p_m+q_m) = 1$ .

Similarly,  $f : SL(n, \mathbf{R}) \rightarrow SU(m, n-m, \mathbf{C}')$ ,  $f(A) = \epsilon A + \bar{\epsilon} I_m^t A^{-1} I_m$  and  $f : SL(2n, \mathbf{R}) \rightarrow SU^*(2n, \mathbf{C}')$ ,  $f(A) = \epsilon A - \bar{\epsilon} JAJ$  where  $\epsilon = \frac{1}{2}(1+i')$ , are isomorphisms, respectively.

(2) These are corollaries of (1). In fact, for example,  $f : SL(n, \mathbf{C}) \rightarrow SU(n, \mathbf{C}^c)$ ,  $f(A) = \epsilon A + \bar{\epsilon}^t A^{-1}$  where  $\epsilon = \frac{1}{2}(1+ii)$ , is an isomorphism.

PROPOSITION 0.4. (1)  $Sp(n, \mathbf{H}') \cong Sp(m, n-m, \mathbf{H}') \cong Sp(n, \mathbf{R})$ .

(2)  $Sp(n, \mathbf{H}^c) \cong Sp(m, n-m, \mathbf{H}^c) \cong Sp(n, \mathbf{C})$ . In particular,  $Sp(1, \mathbf{H}') \cong Sp(1, \mathbf{R}) = SL(2, \mathbf{R})$ ,  $Sp(1, \mathbf{H}^c) \cong Sp(1, \mathbf{C}) = SL(2, \mathbf{C})$ .

PROOF. (1) Let  $k' : M(n, \mathbf{H}') \rightarrow \{B \in M(2n, \mathbf{C}') \mid JB = \bar{B}J\}$  be the algebraic  $\mathbf{R}$ -isomorphism defined by

$$k'((a+bj)) = \begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix} \quad a, b \in C'$$

Then  $f^{-1}k': Sp(n, H') \rightarrow Sp(n, R)$  is an isomorphism. In fact,

$$\begin{aligned} Sp(n, H') &= \{ D \in M(n, H') \mid D^*D = E \} \\ &\xrightarrow{k'} \{ B \in M(2n, C') \mid B^*B = E, JB = \bar{B}J \} \\ &= \{ B \in U(2n, C') \mid {}^t BJB = J \} \\ &\xrightarrow{f^{-1}} \{ A \in M(2n, R) \mid {}^t AJA = J \} \text{ ((Lemma 0.1)} = Sp(n, R). \end{aligned}$$

Similarly,  $Sp(m, n-m, H') = \{ D \in M(n, H') \mid D^*I_m D = I_m \} \rightarrow \{ B \in M(2n, C') \mid B^*I_{2m} B = I_{2m}, JB = \bar{B}J \} = \{ B \in M(2n, C') \mid B^*I_{2m} B = I_{2m}, {}^t BJI_{2m} B = JI_{2m} \}$  (since  $J$  and  $JI_{2m}$  are conjugate in  $O(2n): J_{m'} J = JI_{2m} J_{m'}$  where  $J_{m'} = \text{diag}(J', \dots, J, 1, \dots, 1)$ ,  $J' = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , by the correspondence  $B \rightarrow J_{m'} B J_{m'}$ )  $\cong \{ B \in M(2n, C') \mid B^*I_{2m} B = I_{2m}, {}^t BJB = J \} = \{ B \in U(2m, 2n-2m, C') \mid {}^t BJB = J \} \xrightarrow{f^{-1}} \{ A \in M(2n, R) \mid {}^t AJA = J \} = Sp(n, R)$ .

(2) These are corollaries of (1).

## 0.2. Automorphisms of a group.

Let  $G$  be a group and  $\sigma$  an automorphism of  $G$ .  $G^\sigma$  denotes  $\{g \in G \mid \sigma g = g\}$ . For  $s \in G$ ,  $\tilde{s}$  denotes the inner automorphism induced by  $s: \tilde{s}(g) = sg s^{-1}$ ,  $g \in G$ , then  $G^{\tilde{s}} = \{g \in G \mid sg = gs\}$ . Hereafter  $G^{\tilde{s}}$  will be written by  $G^s$ . Moreover when  $G$  is indicated,  $G^\sigma$ ,  $G^s$  will be written by  $\sigma$ ,  $s$ , respectively.

**LEMMA 0.5.** *Let  $\sigma_1, \sigma_2, \sigma_3$  are involutive automorphisms of a group  $G$  satisfying  $\sigma_i \sigma_j = \sigma_j \sigma_i$ , then*

$$(G^{\sigma_1})^{\sigma_2} = (G^{\sigma_2})^{\sigma_1}, \quad (G^{\sigma_1 \sigma_2})^{\sigma_1} = (G^{\sigma_2})^{\sigma_1}, \quad (G^{\sigma_1 \sigma_3})^{\sigma_2 \sigma_3} = (G^{\sigma_1 \sigma_2})^{\sigma_2 \sigma_3}.$$

By the simple representation, these are written by  $(\sigma_1)^{\sigma_2} = (\sigma_2)^{\sigma_1}$ ,  $(\sigma_1 \sigma_2)^{\sigma_1} = (\sigma_2)^{\sigma_1}$ ,  $(\sigma_1 \sigma_3)^{\sigma_2 \sigma_3} = (\sigma_1 \sigma_2)^{\sigma_2 \sigma_3}$ , respectively.

For a given group  $G$  and an involutive automorphism  $\sigma$  of  $G$ , our aim is to determine the group structure of  $G^\sigma$ . After this, for a homomorphism  $\phi: G' \rightarrow G^\sigma$  of groups, it needs often to prove that  $\phi$  is well-defined and onto. When  $G', G^\sigma$  are Lie groups, these properties can reduce to their Lie algebras, that is,

**LEMMA 0.6.** *Let  $\phi: G' \rightarrow G^\sigma$  be a homomorphism of Lie groups.*

- (1) *When  $G'$  is connected, if  $d\phi: \mathfrak{g}' \rightarrow \mathfrak{g}^\sigma$  is well-defined, then  $\phi$  is so.*
- (2) *When  $G^\sigma$  is connected, if  $d\phi: \mathfrak{g}' \rightarrow \mathfrak{g}^\sigma$  is onto, then  $\phi$  is so.*

To use Lemma 0.6. (2), the following Lemma is useful.

LEMMA 0.7 (E. Cartan-P. K. Raševskii [3]). *Let  $G$  be a simply connected Lie group and  $\sigma$  an involutive automorphism of  $G$ , then  $G^\sigma$  is connected.*

In the following we will somewhere try to give elementary proof not using Lemmas 0.6, 0.7. The author thinks that the elementary proof finds out occasionally essential properties of the group  $G^\sigma$ .

### Group $G_2$

#### 1.1. Cayley algebras and Lie groups of type $G_2$ .

Let  $\mathfrak{C} = \mathbf{H} \oplus \mathbf{H}e$  be the division Cayley algebra with the multiplication

$$(m+ae)(n+be) = (mn - \bar{b}a) + (a\bar{n} + b)m)e,$$

the conjugation  $\overline{m+ae} = \bar{m} - ae$  and the inner product  $(m+ae, n+be) = (m, n) + (a, b)$  ( $= \frac{1}{2}((m\bar{n} + n\bar{m}) + (a\bar{b} + b\bar{a}))$ ). Another Cayley algebra  $\mathfrak{C}' = \mathbf{H} \oplus \mathbf{H}e'$ , called the split Cayley algebra, is defined as the algebra with the multiplication

$$(m+ae')(n+be') = (mn + \bar{b}a) + (a\bar{n} + b)m)e',$$

the conjugation  $\overline{m+ae'} = \bar{m} - ae'$  and the inner product  $(m+ae', n+be') = (m, n) - (a, b)$ .

The connected linear Lie groups of type  $G_2$  are obtained as the automorphism groups of the Cayley algebras, respectively.

$$G_2^C = G_2(\mathfrak{C}^C) = \{\alpha \in \text{Iso}_C(\mathfrak{C}^C) \mid \alpha(xy) = (\alpha x)(\alpha y)\},$$

$$G_2 = G_2(\mathfrak{C}) = \{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{C}) \mid \alpha(xy) = (\alpha x)(\alpha y)\},$$

$$G_{2(2)} = G_2(\mathfrak{C}') = \{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{C}') \mid \alpha(xy) = (\alpha x)(\alpha y)\}.$$

(Similarly the group  $G_2(\mathbf{H}^C)$  is defined).  $G_2^C, G_2$  are simply connected (see Appendix).

#### 1.2. Involutions of Lie groups of type $G_2$ .

We define  $\mathbf{R}$ -linear transformations  $\gamma, \gamma_C, \gamma_H$  of  $\mathfrak{C}$  by

$$\gamma(m+ae) = m - ae, \quad m+ae \in \mathbf{H} \oplus \mathbf{H}e = \mathfrak{C},$$

$$\gamma_C(m+ae) = \gamma_C m + (\gamma_C a)e, \quad \gamma_H(m+ae) = \gamma_H m + (\gamma_H a)e$$

where  $\gamma_C, \gamma_H : \mathbf{H} \rightarrow \mathbf{H}$  are defined as  $\gamma_C(x+yj) = \bar{x} + \bar{y}j$ ,  $\gamma_H(x+yj) = x - yj$ ,  $x+yj \in C \oplus Cj = \mathbf{H}$ , respectively. Then  $\gamma, \gamma_C, \gamma_H \in G_2 \subset G_2^C$  and  $\gamma^2 = \gamma_C^2 = \gamma_H^2 = 1$ .

LEMMA 1.2.1. (1)  $(\mathbf{H}^c)_r = \mathbf{H}$ ,  $(\mathbf{H}^c)_{r_C} \cong \mathbf{H}'$ ,  $(\mathbf{H}^c)_{r_H} \cong {}' \mathbf{H}$ .

(2)  $(\mathfrak{C}^c)_r = \mathfrak{C}$ ,  $(\mathfrak{C}^c)_{r_\gamma} \cong \mathfrak{C}'$ .

PROOF. For example, the correspondence

$$(\mathfrak{C}^c)_{r_\gamma} \ni m +iae \longrightarrow m +ae' \in \mathfrak{C}' \quad (m, a \in \mathbf{H})$$

gives an isomorphism as algebras.

The semi-linear transformations  $\tau, \tau_\gamma$  of  $\mathfrak{C}^c$  induce involutive automorphisms  $\tilde{\tau}, \tilde{\tau}_\gamma$  of  $G_2^c$ :

$$\tilde{\tau}(\alpha) = \tau\alpha\tau, \quad \tilde{\tau}_\gamma(\alpha) = \tau_\gamma\alpha\tau_\gamma, \quad \alpha \in G_2^c.$$

THEOREM 1.2.2.  $(G_2^c)^r = G_2$ ,  $(G_2^c)^{r_\gamma} \cong G_{2(2)}$ .

PROOF.  $((G_2^c)^r, (G_2^c)^{r_\gamma}$  mean  $(G_2^c)^{\tilde{\tau}}$ ,  $(G_2^c)^{\tilde{\tau}_\gamma}$ , respectively). These are direct results of Lemma 1.2.1. (2).

PROPOSITION 1.2.3.  $\gamma, \gamma_C, \gamma_H, \gamma\gamma_C, \gamma\gamma_H$  are conjugate in  $G_2$  with one another (moreover  $\gamma$  is conjugate to the others under  $\delta = \delta^{-1} \in G_2$ ).

PROOF. Define four  $\mathbf{R}$ -linear isomorphisms  $\delta: \mathfrak{C} \rightarrow \mathfrak{C}$  satisfying  $\delta(1) = 1$  and

$$\begin{array}{llllllll} i \longrightarrow & e & i \longrightarrow & i & i \longrightarrow & ie & i \longrightarrow & i \\ j \longrightarrow & j & j \longrightarrow & e & j \longrightarrow & j & j \longrightarrow & je \\ k \longrightarrow & -je & k \longrightarrow & ie & k \longrightarrow & -ke & k \longrightarrow & -ke \\ e \longrightarrow & i, & e \longrightarrow & j, & e \longrightarrow & -e, & ie \longrightarrow & -e \\ ie \longrightarrow & -ie & ie \longrightarrow & k & ie \longrightarrow & i & ie \longrightarrow & -ie \\ je \longrightarrow & -k & je \longrightarrow & -je & je \longrightarrow & -je & je \longrightarrow & j \\ ke \longrightarrow & -ke & ke \longrightarrow & -ke & ke \longrightarrow & -k & ke \longrightarrow & -k \end{array}$$

where  $k = ij$ , respectively. Then  $\delta = \delta^{-1} \in G_2$  and  $\delta\gamma = \gamma_C\delta$ ,  $\delta\gamma = \gamma_H\delta$ ,  $\delta\gamma = \gamma\gamma_C\delta$ ,  $\delta\gamma = \gamma\gamma_H\delta$ , respectively.

### 1.3. Subgroups of type $C_1 \oplus C_1$ of Lie groups of type $G_2$ .

PROPOSITION 1.3.1.  $G_2(\mathbf{H}^c) \cong Sp(1, C)/\mathbf{Z}_2$ .

PROOF. We define  $\phi: Sp(1, \mathbf{H}^c) \rightarrow G_2(\mathbf{H}^c)$  by

$$\phi(q)m=qm\bar{q}, \quad m \in \mathbf{H}^c.$$

It is clear that  $\phi$  is well-defined and a homomorphism. We shall show  $\phi$  is onto. Let  $\alpha \in G_2(\mathbf{H}^c)$ . Since  $\mathbf{H}^c$  is a central simple  $C$ -algebra, by Noether-Skolem's theorem, there exists an invertible element  $q \in \mathbf{H}^c$  such that  $\alpha m = qmq^{-1}$ ,  $m \in \mathbf{H}^c$ . We may assume  $q\bar{q}=1$ , that is,  $q \in Sp(1, \mathbf{H}^c)$ . Hence  $\phi$  is onto.  $\text{Ker } \phi = \{1, -1\} = \mathbf{Z}_2$ . Thus we have  $G_2(\mathbf{H}^c) \cong Sp(1, \mathbf{H}^c)/\mathbf{Z}_2 \cong Sp(1, C)/\mathbf{Z}_2$  (Proposition 0.4).

**THEOREM 1.3.2.**  $(G_2)^r \cong (Sp(1, C) \times Sp(1, C))/\mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, 1), (-1, -1)\}$ .

**PROOF** ([5]). We define  $\phi: Sp(1, \mathbf{H}^c) \times Sp(1, \mathbf{H}^c) \rightarrow (G_2)^r$  by

$$\phi(p, q)(m+ae) = qm\bar{q} + (pa\bar{q})e, \quad m+ae \in \mathbf{H}^c \oplus \mathbf{H}^c e = \mathfrak{C}^c.$$

It is easy to verify that  $\phi$  is well-defined and a homomorphism. We shall show  $\phi$  is onto. Let  $\alpha \in (G_2)^r$ . Since  $(\mathfrak{C}^c)_r = \mathbf{H}^c$  is invariant under  $\alpha$ ,  $\alpha$  induces an automorphism of  $\mathbf{H}^c$ . Hence there exists  $q \in Sp(1, \mathbf{H}^c)$  such that

$$\alpha m = qm\bar{q}, \quad m \in \mathbf{H}^c \quad (\text{Proposition 1.3.1}).$$

Put  $\beta = \phi(1, q)^{-1}\alpha$ , then  $\beta \in (G_2)^r$  and  $\beta|_{\mathbf{H}^c} = 1$ . Since  $(\mathfrak{C}^c)_{-\gamma} = \mathbf{H}^c e$  is also invariant under  $\beta$ , we can put

$$\beta e = pe, \quad p \in \mathbf{H}^c.$$

$p \in Sp(1, \mathbf{H}^c)$  because  $-1 = \beta(ee) = (\beta e)(\beta e) = (pe)(pe) = -p\bar{p}$ , and  $\beta(m+ae) = m+a(\beta e) = m+a(pe) = m+(pa)e = \phi(p, 1)(m+ae)$ , that is,  $\beta = \phi(p, 1)$ . Hence  $\alpha = \phi(1, q)\beta = \phi(1, q)\phi(p, 1) = \phi(p, q)$ . Therefore  $\phi$  is onto.  $\text{Ker } \phi = \{(1, 1), (-1, -1)\} = \mathbf{Z}_2$ . Thus we have the required isomorphism. (Remark.  $(Sp(1, C) \times Sp(1, C))/\mathbf{Z}_2 \cong SO(4, C)$ ).

**LEMMA 1.3.3.**  $\phi: Sp(1, \mathbf{H}^c) \times Sp(1, \mathbf{H}^c) \rightarrow G_2^r$  of Theorem 1.3.2 satisfies

- (1)  $\gamma = \phi(-1, 1)$ ,  $\gamma_c = \phi(j, j)$ ,  $\gamma_H = \phi(i, i)$ .
- (2)  $\tau\phi(p, q)\tau = \phi(\tau p, \tau q)$ ,  $\gamma_c\phi(p, q)\gamma_c = \phi(\gamma_c p, \gamma_c q)$ .

**THEOREM 1.3.4.**  $(G_2)^r \cong (Sp(1) \times Sp(1))/\mathbf{Z}_2 \cong (G_{2(2)})^r$ .

**PROOF.**  $(G_2)^r = ((G_2^r)^r)^r$  (Theorem 1.2.2) =  $((G_2^r)^r)^r$  (Lemma 0.5) =  $(\phi(Sp(1, \mathbf{H}^c) \times Sp(1, \mathbf{H}^c)))^r$  (Theorem 1.3.2). Hence for  $\alpha \in (G_2)^r$  there exist  $p, q \in Sp(1, \mathbf{H}^c)$  such that  $\alpha = \phi(p, q)$ . From the condition  $\tau\alpha = \alpha\tau$ , we have  $\phi(p, q) = \alpha = \tau\alpha\tau = \tau\phi(p, q)\tau = \phi(\tau p, \tau q)$  (Lemma 1.3.3). Hence

$$\tau p = p, \quad \tau q = q \quad \text{or} \quad \tau p = -p, \quad \tau q = -q.$$

The latter case is impossible. In fact, put  $p=ip'$ ,  $p' \in \mathbf{H}$ , then  $1=p\bar{p}=(ip')(i\bar{p}')=-p'\bar{p}' \leq 0$ , a contradiction. Therefore  $p, q \in Sp(1)$ . Thus  $(G_2)^r = (\phi(Sp(1, \mathbf{H}^c) \times Sp(1, \mathbf{H}^c)))^r = \phi(Sp(1) \times Sp(1)) \cong (Sp(1) \times Sp(1))/\mathbf{Z}_2$ .  $(G_{2(2)})^r = ((G_2^c)^r)^r$  (Theorem 1.2.2)  $= ((G_2^c)^r)^r$  (Lemma 0.5)  $\cong (Sp(1) \times Sp(1))/\mathbf{Z}_2$  (as above). (This fact is written as  $(G_{2(2)})^r = (\tau\gamma)^r = (\tau)^r$ ). (REMARK.  $(Sp(1) \times Sp(1))/\mathbf{Z}_2 \cong SO(4)$ ).

**THEOREM 1.3.5.**  $(G_{2(2)})^r \sim (\tau\gamma_c)^r \cong (Sp(1, \mathbf{R}) \times Sp(1, \mathbf{R}))/\mathbf{Z}_2 \times 2$ .

**PROOF.**

$$G_{2(2)} = (G_2^c)^r \cong (G_2^c)^r c.$$

In fact, since  $\gamma$  and  $\gamma_c$  are conjugate in  $G_2$ :  $\delta\gamma=\gamma_c\delta$ ,  $\delta\tau=\tau\delta$  (Proposition 1.2.3), the correspondence  $(G_2^c)^r c \ni \alpha \rightarrow \delta\alpha\delta^{-1} \in (G_2^c)^r c$  gives an isomorphism. Now let  $\alpha \in ((G_2^c)^r c)^r$ ,  $\alpha = \phi(p, q)$ ,  $p, q \in Sp(1, \mathbf{H}^c)$  (Theorem 1.3.2). From the condition  $\tau\gamma_c\alpha = \alpha\tau\gamma_c$ , we have  $\phi(\tau\gamma_c p, \tau\gamma_c q) = \phi(p, q)$  (Lemma 1.3.3). Hence

$$\tau\gamma_c p = p, \quad \tau\gamma_c q = q \quad \text{or} \quad \tau\gamma_c p = -p, \quad \tau\gamma_c q = -q.$$

Therefore  $p, q \in Sp(1, \mathbf{H}')$  or  $p, q \in iSp(1, \mathbf{H}')$  (Lemma 1.2.1). Thus  $((G_2^c)^r c)^r \cong (Sp(1, \mathbf{H}') \times Sp(1, \mathbf{H}') \cup iSp(1, \mathbf{H}') \times iSp(1, \mathbf{H}'))/\mathbf{Z}_2 \cong (Sp(1, \mathbf{R}) \times Sp(1, \mathbf{R}))/\mathbf{Z}_2 \times 2$ . ( $\phi(i, i) = \gamma_H$ ). (REMARK. This group is isomorphic to the group  $SO(2, 2) = \{A \in M(4, \mathbf{R}) \mid {}^t A I_2 A = I_2, \det A = 1\}$ ).

### Group $F_4$

#### 2.1. Jordan algebras and Lie groups of type $F_4$ .

Let  $K$  be  $\mathbf{H}$ ,  $\mathbf{H}^c$ ,  $\mathfrak{C}$ ,  $\mathfrak{C}'$  or  $\mathfrak{C}^c$ .  $\mathfrak{J}(K)$  denotes one of the Jordan algebras

$$\mathfrak{J}(3, K) = \{X \in M(3, K) \mid X^* = X\},$$

$$\mathfrak{J}(1, 2, K) = \{X \in M(3, K) \mid I_1 X^* I_1 = X\}$$

with the Jordan multiplication  $X \circ Y$ , the inner product  $(X, Y)$  and the trilinear form  $\text{tr}(X, Y, Z)$ :

$$X \circ Y = \frac{1}{2}(XY + YX), \quad (X, Y) = \text{tr}(X \circ Y), \quad \text{tr}(X, Y, Z) = (X, Y \circ Z).$$

In  $\mathfrak{J}(K)$ , we define another multiplication  $X \times Y$ , called the Freudenthal multiplication, by

$$X \times Y = \frac{1}{2}(2X \circ Y - \text{tr}(X)Y - \text{tr}(Y)X + (\text{tr}(X)\text{tr}(Y) - (X, Y))E)$$

and the trilinear form  $(X, Y, Z)$ , the determinant  $\det X$  by

$$(X, Y, Z) = (X, Y \times Z), \quad \det X = \frac{1}{3}(X, X, X).$$

The algebra  $\mathfrak{J}(K)$  with the Freudenthal multiplication  $X \times Y$  and the inner product  $(X, Y)$  is called the Freudenthal algebra. In  $\mathfrak{J}(K)$ , we have relations

$$X \circ (X \times X) = (\det X)E, \quad (X \times X) \times (X \times X) = (\det X)X.$$

An element  $X \in \mathfrak{J}(3, \mathbb{C})$  has the form

$$X = X(\xi, x) = \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix}, \quad \xi_i \in \mathbb{R}, x_i \in \mathbb{C}.$$

We correspond such  $X \in \mathfrak{J}(3, \mathbb{C})$  to an element  $M + \mathbf{a} \in \mathfrak{J}(3, \mathbf{H}) \oplus \mathbf{H}^3$  such that

$$\begin{pmatrix} \xi_1 & m_3 & \bar{m}_2 \\ \bar{m}_3 & \xi_2 & m_1 \\ m_2 & \bar{m}_1 & \xi_3 \end{pmatrix} + (a_1, a_2, a_3)$$

where  $x_i = m_i + a_i e \in \mathbf{H} \oplus \mathbf{H}e = \mathbb{C}$ . Then  $\mathfrak{J}(3, \mathbf{H}) \oplus \mathbf{H}^3$  has the multiplication and the inner product

$$(M + \mathbf{a}) \times (N + \mathbf{b}) = \left( M \times N - \frac{1}{2}(\mathbf{a}^* \mathbf{b} + \mathbf{b}^* \mathbf{a}) \right) - \frac{1}{2}(\mathbf{a}N + \mathbf{b}M),$$

$$(M + \mathbf{a}, N + \mathbf{b}) = (M, N) + 2(\mathbf{a}, \mathbf{b})$$

where  $(\mathbf{a}, \mathbf{b}) = \frac{1}{2}(\mathbf{a}\mathbf{b}^* + \mathbf{b}\mathbf{a}^*) = \frac{1}{2}\text{tr}(\mathbf{a}^* \mathbf{b} + \mathbf{b}^* \mathbf{a})$ , corresponding those of  $\mathfrak{J}(3, \mathbb{C})$ , that is,  $\mathfrak{J}(3, \mathbb{C})$  is isomorphic to  $\mathfrak{J}(3, \mathbf{H}) \oplus \mathbf{H}^3$  as Freudenthal algebra. As for  $\mathfrak{J}^c = \mathfrak{J}(3, \mathbb{C}^c)$ , the same arguments are valid as above:  $\mathfrak{J}(3, \mathbb{C}^c) = \mathfrak{J}(3, \mathbf{H}^c) \oplus (\mathbf{H}^c)^3$ . In  $\mathfrak{J}(3, K)$  we use the following notations.

$$E_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$F_1(x) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & x \\ 0 & \bar{x} & 0 \end{pmatrix}, \quad F_2(x) = \begin{pmatrix} 0 & 0 & \bar{x} \\ 0 & 0 & 0 \\ x & 0 & 0 \end{pmatrix}, \quad F_3(x) = \begin{pmatrix} 0 & x & 0 \\ \bar{x} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

The tables of the Jordan and the Freudenthal multiplications among them are given as follows.

$$\begin{cases} E_i \circ E_i = E_i, & E_i \circ E_j = 0, \quad i \neq j, \\ E_i \circ F_i(x) = 0, & E_i \circ F_j(x) = \frac{1}{2} F_j(x), \quad i \neq j, \\ F_i(x) \circ F_i(y) = (x, y)(E_{i+1} + E_{i+2}), & F_i(x) \circ F_{i+1}(y) = \frac{1}{2} F_{i+2}(\overline{xy}), \end{cases}$$
  

$$\begin{cases} E_i \times E_i = 0, & E_i \times E_{i+1} = \frac{1}{2} E_{i+2}, \\ E_i \times F_i(x) = -\frac{1}{2} F_i(x), & E_i \times F_j(x) = 0, \quad i \neq j, \\ F_i(x) \times F_i(y) = -(x, y) E_i, & F_i(x) \times F_{i+1}(y) = \frac{1}{2} F_{i+2}(\overline{xy}) \end{cases}$$

where the indexes are considered as mod 3.

The connected linear Lie groups of type  $F_4$  are obtained as the automorphism groups of the Jordan algebras, respectively.

$$F_4^C = F_4(\mathfrak{J}(3, \mathbb{G}^C)) = \{\alpha \in \text{Iso}_C(\mathfrak{J}(3, \mathbb{G}^C)) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\},$$

$$F_4 = F_4(\mathfrak{J}(3, \mathbb{G})) = \{\alpha \in \text{Iso}_R(\mathfrak{J}(3, \mathbb{G})) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\},$$

$$F_{4(4)} = F_4(\mathfrak{J}(3, \mathbb{G}')) = \{\alpha \in \text{Iso}_R(\mathfrak{J}(3, \mathbb{G}')) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\},$$

$$F_{4(-20)} = F_4(\mathfrak{J}(1, 2, \mathbb{G})) = \{\alpha \in \text{Iso}_R(\mathfrak{J}(1, 2, \mathbb{G})) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\}.$$

(Similarly the group  $F_4(\mathfrak{J}(3, \mathbb{H}^C))$  is defined).  $F_4^C, F_4, F_{4(-20)}$  are simply connected (see Appendix). The group  $F_4^C$  naturally contains  $G_2^C$  as a subgroup, that is, for  $\alpha \in G_2^C$ , define  $\tilde{\alpha} : \mathfrak{J}^C \rightarrow \mathfrak{J}^C$  by

$$\tilde{\alpha}X(\xi, x) = X(\xi, \alpha x) \quad \text{where} \quad \alpha x = \alpha(x_1, x_2, x_3) = (\alpha x_1, \alpha x_2, \alpha x_3),$$

then  $G_2^C \cong \{\tilde{\alpha} \mid \alpha \in G_2^C\} \subset F_4^C$ . Similarly  $G_2 \subset F_4$ ,  $G_{2(2)} \subset F_{4(4)}$ ,  $G_2 \subset F_{4(-20)}$ .

LEMMA 2.1.1. *For  $\alpha \in \text{Iso}_C(\mathfrak{J}^C)$ , the following three conditions are equivalent.*

$$\det \alpha X = \det X, \quad (\alpha X, \alpha Y, \alpha Z) = (X, Y, Z), \quad \alpha X \times \alpha Y = {}^t \alpha^{-1}(X \times Y),$$

for  $X, Y, Z \in \mathfrak{J}^C$ .

LEMMA 2.1.2. *For  $\alpha \in F_4^C$ , we have  $\alpha E = E$  and  $\text{tr}(\alpha X) = \text{tr}(X)$ ,  $X \in \mathfrak{J}^C$ .*

PROOF ([4]).  $\alpha E = E$  is trivial. Next we use the identity  $X \circ (X \times X) = (\det X)E$ , that is,

$$X \circ (X \circ X) - \text{tr}(X)X^2 + \frac{1}{2}(\text{tr}(X)^2 - \text{tr}(X^2))X = (\det X)E. \quad (\text{i})$$

Apply (i) to  $\alpha X$  and then operate  $\alpha^{-1}$  on it, then

$$X \circ (X \circ X) - \text{tr}(\alpha X)X^2 + \frac{1}{2}((\text{tr}(\alpha X))^2 - \text{tr}((\alpha X)^2))X = (\det \alpha X)E. \quad (\text{ii})$$

By subtraction (i)-(ii) we have

$$\begin{aligned} & (\text{tr}(\alpha X) - \text{tr}(X))X^2 + \frac{1}{2}(\text{tr}(X)^2 - (\text{tr}(\alpha X))^2 + \text{tr}((\alpha X)^2) - \text{tr}(X^2))X \\ &= (\det X - \det(\alpha X))E. \end{aligned}$$

Note that as an additive generator of  $\mathfrak{J}^C$  we can choose  $\mathfrak{S} = \{E_i, F \in \mathfrak{J}^C \mid \text{tr}(F) = \det F = 0, \text{diag } F = 0, F^2 = E_i + E_{i+1}, i = 1, 2, 3\}$ . Now for  $F \in \mathfrak{S}$ ,

$$\text{tr}(\alpha F)(E_i + E_{i+1}) + \frac{1}{2}(-(\text{tr}(\alpha F))^2 + \text{tr}((\alpha F)^2) - 2)F = -(\det(\alpha F))E.$$

Compare each term of both sides, then we have  $\text{tr}(\alpha F) = 0 (= \text{tr}(F))$  and  $\text{tr}((\alpha F)^2) = 2$ . Hence  $\text{tr}(\alpha E_i) = \text{tr}(\alpha(E - F_i(1)^2)) = \text{tr}(E) - \text{tr}((\alpha F_i(1))^2) = 3 - 2 = 1 = \text{tr}(E_i)$ ,  $i = 1, 2, 3$ . Consequently  $\text{tr}(\alpha X) = \text{tr}(X)$  for  $X \in \mathfrak{J}^C$ .

### PROPOSITION 2.1.3.

$$F_4^C = \{\alpha \in \text{Iso}_C(\mathfrak{J}^C) \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\} \quad (1)$$

$$= \{\alpha \in \text{Iso}_C(\mathfrak{J}^C) \mid \text{tr}(\alpha X, \alpha Y, \alpha Z) = \text{tr}(X, Y, Z), (\alpha X, \alpha Y) = (X, Y)\} \quad (2)$$

$$= \{\alpha \in \text{Iso}_C(\mathfrak{J}^C) \mid \det \alpha X = \det X, (\alpha X, \alpha Y) = (X, Y)\} \quad (3)$$

$$= \{\alpha \in \text{Iso}_C(\mathfrak{J}^C) \mid \det \alpha X = \det X, \alpha E = E\} \quad (4)$$

$$= \{\alpha \in \text{Iso}_C(\mathfrak{J}^C) \mid \alpha(X \times Y) = \alpha X \times \alpha Y\}. \quad (5)$$

PROOF. (1)  $\rightarrow$  (2)  $(\alpha X, \alpha Y) = \text{tr}(\alpha X \circ \alpha Y) = \text{tr}(\alpha(X \circ Y)) = \text{tr}(X \circ Y)$  (Lemma 2.1.2)  $= (X, Y)$ .  $\text{tr}(\alpha X, \alpha Y, \alpha Z) = (\alpha X, \alpha Y \circ \alpha Z) = (\alpha X, \alpha(Y \circ Z)) = (X, Y \circ Z) = \text{tr}(X, Y, Z)$ .

(2)  $\rightarrow$  (1)  $(\alpha X \circ \alpha Y, \alpha Z) = \text{tr}(\alpha X, \alpha Y, \alpha Z) = \text{tr}(X, Y, Z) = (X \circ Y, Z) = (\alpha(X \circ Y), \alpha Z)$  holds for all  $\alpha Z$ , hence  $\alpha X \circ \alpha Y = \alpha(X \circ Y)$ .

(2)  $\rightarrow$  (3) Since we have already known (2)  $\rightarrow$  (1), we can use  $\text{tr}(\alpha X) = \text{tr}(X)$  (Lemma 2.1.2). Now  $3 \det \alpha X = \text{tr}(\alpha X, \alpha X, \alpha X) - \frac{3}{2} \text{tr}(\alpha X)(\alpha X, \alpha X) + \frac{1}{2} \text{tr}(\alpha X)^3 = \text{tr}(X, X, X) - \frac{3}{2} \text{tr}(X)(X, X) + \frac{1}{2} \text{tr}(X)^3 = 3 \det X$ .

(3)  $\rightarrow$  (5)  $(\alpha(X \times Y), \alpha Z) = (X \times Y, Z) = (X, Y, Z) = (\alpha X, \alpha Y, \alpha Z)$  (Lemma 2.1.1)  $= (\alpha X \times \alpha Y, \alpha Z)$  holds for all  $\alpha Z$ , hence  $\alpha X \times \alpha Y = \alpha(X \times Y)$ .

(5)  $\rightarrow$  (4)  $(\det \alpha X)\alpha X = (\alpha X \times \alpha X) \times (\alpha X \times \alpha X) = \alpha((X \times X) \times (X \times X)) = (\det X)\alpha X$ , hence  $\det \alpha X = \det X$ . Next, in  $\alpha X \times \alpha E = \alpha(X \times E) = \frac{1}{2}\alpha(\text{tr}(X)E - X)$ , put  $\alpha E = P = P(\rho, p)$ , then

$$\alpha X \times P = \frac{1}{2} \text{tr}(X)P - \frac{1}{2}\alpha X. \quad (\text{i})$$

Put  $X=\alpha^{-1}E_1$  in (i) and compare each term of both sides, then

$$0=\mu\rho_1-1, \quad \rho_3=\mu\rho_2, \quad \rho_2=\mu\rho_3, \quad -p_1=\mu p_1, \quad 0=\mu p_2, \quad 0=\mu p_3$$

where  $\mu=\text{tr}(\alpha^{-1}E_1)$ . Consequently we have  $p_2=p_3=0$ . Similarly  $p_1=0$ . Again put  $X=\alpha^{-1}F_1(1)$  in (i) and compare  $F_1$ -parts, then  $\rho_1=1$ . Similarly  $\rho_2=\rho_3=1$ . Thus  $\alpha E=E$ .

(4)→(2)  $\text{tr}(\alpha X)=(\alpha X, E, E)=(\alpha X, \alpha E, \alpha E)=(X, E, E)=\text{tr}(X), \frac{1}{2}(\text{tr}(X)\text{tr}(Y)-\text{tr}(X, Y))=(X, Y, E)=(\alpha X, \alpha Y, \alpha E)=(\alpha X, \alpha Y, E)=\frac{1}{2}(\text{tr}(\alpha X)\text{tr}(\alpha Y)-(\alpha X, \alpha Y))=\frac{1}{2}(\text{tr}(X)\text{tr}(Y)-(\alpha X, \alpha Y))$ . Hence  $(\alpha X, \alpha Y)=(X, Y)$ . Finally using  $(X, Y, Z)=\text{tr}(X, Y, Z)-\frac{1}{2}\text{tr}(X)(Y, Z)-\frac{1}{2}\text{tr}(Y)(Z, X)-\frac{1}{2}\text{tr}(Z)(X, Y)-\frac{1}{2}\text{tr}(X)\text{tr}(Y)\text{tr}(Z)$ , we have  $\text{tr}(\alpha X, \alpha Y, \alpha Z)=\text{tr}(X, Y, Z)$ .

The Lie algebra  $\mathfrak{f}_4^C$  of the Lie group  $F_4^C$  has the following structure.

**PROPOSITION 2.1.4 ([2]).**  $\mathfrak{f}_4^C = \mathfrak{d}_4^C \oplus (\widetilde{\mathfrak{m}}^C)^-$

where  $\mathfrak{d}_4^C = \{\delta \in \mathfrak{f}_4^C \mid \delta E_i = 0, i=1, 2, 3\}$  is the complex Lie algebra of type  $D_4$ ,  $(\mathfrak{m}^C)^- = \{A \in M(3, \mathbb{C}^C) \mid A^* = -A\}$  and for  $A \in (\mathfrak{m}^C)^-$ ,  $\tilde{A}$  is the  $C$ -linear transformation of  $\mathfrak{J}^C$  defined by  $\tilde{A}X = AX - XA$ ,  $X \in \mathfrak{J}^C$ .

## 2.2. Involutions of Lie groups of type $F_4$ .

We define  $R$ -linear transformations  $\gamma, \sigma, \sigma'$  of  $\mathfrak{J}(3, \mathbb{C})$  by

$$\begin{aligned} \gamma X &= \gamma X(\xi, x) = X(\xi, \gamma x), & X &\in \mathfrak{J}(3, \mathbb{C}), \\ \sigma X &= \begin{pmatrix} \xi_1 & -x_3 & -\bar{x}_2 \\ -\bar{x}_3 & \xi_2 & x_1 \\ -x_2 & \bar{x}_1 & \xi_3 \end{pmatrix} = I_1 XI_1, & \sigma' X &= \begin{pmatrix} \xi_1 & x_3 & -\bar{x}_2 \\ \bar{x}_3 & \xi_2 & -x_1 \\ -x_2 & -\bar{x}_1 & \xi_3 \end{pmatrix} = I_2 XI_2, \end{aligned}$$

respectively. Then  $\gamma \in G_2 \subset F_4 \subset F_4^C$ ,  $\sigma, \sigma' \in F_4 \subset F_4^C$  and  $\gamma^2 = \sigma^2 = \sigma'^2 = 1$ . Let  $\tau$  be the complex conjugation in  $\mathfrak{J}^C$  with respect to  $\mathfrak{J}(3, \mathbb{C})$ , then  $\tau, \tau\gamma, \tau\sigma$  induce involutive automorphisms  $\tilde{\tau}, \tilde{\tau\gamma}, \tilde{\tau\sigma}$  of  $F_4^C$ :

$$\tilde{\tau}(\alpha) = \tau\alpha\tau, \quad \tilde{\tau\gamma}(\alpha) = \tau\gamma\alpha\gamma\tau, \quad \tilde{\tau\sigma}(\alpha) = \tau\sigma\alpha\sigma\tau, \quad \alpha \in F_4^C.$$

**LEMMA 2.2.1.**  $(\mathfrak{J}^C)_\tau = \mathfrak{J}(3, \mathbb{C})$ ,  $(\mathfrak{J}^C)_{\tau\gamma} \simeq \mathfrak{J}(3, \mathbb{C}')$ ,  $(\mathfrak{J}^C)_{\tau\sigma} \simeq \mathfrak{J}(1, 2, \mathbb{C})$ .

**PROOF.** The first two are trivial (Lemma 1.2.1.(2)). The correspondence

$$(\mathfrak{J}^C)_{\tau\sigma} \ni \begin{pmatrix} \xi_1 & ix_3 & i\bar{x}_2 \\ i\bar{x}_3 & \xi_2 & x_1 \\ ix_2 & \bar{x}_1 & \xi_3 \end{pmatrix} \longrightarrow \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ -\bar{x}_3 & \xi_2 & x_1 \\ -x_2 & \bar{x}_1 & \xi_3 \end{pmatrix} \in \mathfrak{J}(1, 2, \mathbb{C}),$$

$\xi_i \in \mathbf{R}$ ,  $x_i \in \mathbb{C}$ , gives an isomorphism as Jordan algebras.

THEOREM 2.2.2.  $(F_4^C)^{\tau} = F_4$ ,  $(F_4^C)^{\tau\sigma} \cong F_{4(4)}$ ,  $(F_4^C)^{\tau\sigma} \cong F_{4(-20)}$ .

PROOF. These are direct results of Lemma 2.2.1.

PROPOSITION 2.2.3. (1)  $\gamma$  and  $\gamma\sigma$  are conjugate in  $F_4$ :  $\delta\gamma=\gamma\sigma\delta$  (moreover under  $\delta \in F_4$  such that  $\delta\sigma=\sigma\delta$ ).

(2)  $\sigma$  and  $\sigma'$  are conjugate in  $F_4$ :  $\delta\sigma=\sigma'\delta$  (moreover under  $\delta=\delta^{-1} \in F_4$ ).

PROOF. (1) Define  $\delta : \mathfrak{J}(3, \mathbb{C}) \rightarrow \mathfrak{J}(3, \mathbb{C})$  by

$$\delta X = \begin{pmatrix} \xi_1 & x_3 e & \bar{x}_2 e \\ -e\bar{x}_3 & \xi_2 & -ex_1 e \\ -xe_2 & -e\bar{x}_1 e & \xi_3 \end{pmatrix} = \bar{D}XD, \quad D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e & 0 \\ 0 & 0 & e \end{pmatrix}.$$

Then  $\delta \in F_4$ ,  $\delta\gamma=\gamma\sigma\delta$  and  $\delta\sigma=\sigma\delta$ .

(2) Define  $\delta : \mathfrak{J}(3, \mathbb{C}) \rightarrow \mathfrak{J}(3, \mathbb{C})$  by

$$\delta X = \begin{pmatrix} \xi_3 & \bar{x}_1 & x_2 \\ x_1 & \xi_2 & \bar{x}_3 \\ \bar{x}_2 & x_3 & \xi_1 \end{pmatrix} = DXD, \quad D = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

Then  $\delta=\delta^{-1} \in F_4$  and  $\delta\sigma=\sigma'\delta$ .

### 2.3. Subgroups of type $C_1 \oplus C_3$ of Lie groups of type $F_4$ .

LEMMA 2.3.1. Any element  $M \in \mathfrak{J}(3, \mathbf{H}^C)$  such that  $M^2=M$ ,  $\text{tr}(M)=1$  can be transformed to any  $E_i$  by a certain  $A \in Sp(3, \mathbf{H}^C)$ :  $AMA^*=E_i$  ( $i=1, 2, 3$ ).

PROOF. Since  $Sp(3, \mathbf{H}^C)$  contains the subgroup  $Sp(3)$ , we may assume

$$M = \begin{pmatrix} \mu_1 & im_3 & i\bar{m}_2 \\ i\bar{m}_3 & \mu_2 & im_1 \\ im_2 & i\bar{m}_1 & \mu_3 \end{pmatrix}, \quad \mu_i \in C, m_i \in \mathbf{H}, \quad \mu_1 + \mu_2 + \mu_3 = 1.$$

The condition  $M^2=M$  is

$$\begin{pmatrix} \mu_1^2 - m_2 \bar{m}_2 - m_3 \bar{m}_3 & -\bar{m}_2 \bar{m}_1 + i(\mu_1 + \mu_2)m_3 & * \\ * & \mu_2^2 - m_3 \bar{m}_3 - m_1 \bar{m}_1 & -\bar{m}_3 \bar{m}_2 + i(\mu_2 + \mu_3)m_1 \\ -\bar{m}_1 \bar{m}_3 + i(\mu_3 + \mu_1)m_2 & * & \mu_3^2 - m_1 \bar{m}_1 - m_2 \bar{m}_2 \end{pmatrix} = M.$$

Compare the diagonals, then each  $\mu_i$  is real. Hence we have

$$m_1 m_2 = m_2 m_3 = m_3 m_1 = 0, \quad \mu_1 m_1 = \mu_2 m_2 = \mu_3 m_3 = 0.$$

If  $m_1 = m_2 = m_3 = 0$  Lemma is clearly valid. Otherwise, for example, in the case  $m_3 \neq 0$ , we have  $m_1 = m_2 = 0$ ,  $\mu_1 + \mu_2 = 1$ ,  $\mu_3 = 0$ . Hence  $M$  has the form  $M = \begin{pmatrix} M & 0 \\ 0 & 0 \end{pmatrix}$ ,  $M = \begin{pmatrix} \mu & im \\ i\bar{m} & \nu \end{pmatrix}$ ,  $m\bar{m} = -\mu\nu$ ,  $\mu + \nu = 1$ ,  $\mu, \nu \in \mathbf{R}$ ,  $m \in \mathbf{H}$ . If  $\mu > 0$ ,  $\nu < 0$ , this  $M$  can be transformed to  $E_1$  by  $\begin{pmatrix} \bar{m}/\sqrt{-\nu} & i\sqrt{-\nu} \\ -i\bar{m}/\sqrt{\mu} & \sqrt{\mu} \end{pmatrix} \in Sp(2, \mathbf{H}^c)$ . If  $\mu < 0$ ,  $\nu > 0$ , then  $M$  can be transformed to  $E_2$ . Finally note that  $E_1, E_2, E_3$  are transformed by  $Sp(3, \mathbf{H}^c)$  with one another. Thus Lemma is proved.

PROPOSITION 2.3.2.  $F_4(\mathfrak{J}(3, \mathbf{H}^c)) \cong Sp(3, C)/\mathbf{Z}_2$ .

PROOF ([6]). We define  $\phi: Sp(3, \mathbf{H}^c) \rightarrow F_4(\mathfrak{J}(3, \mathbf{H}^c))$  by

$$\phi(A)M = AMA^*, \quad M \in \mathfrak{J}(3, \mathbf{H}^c).$$

It is clear that  $\phi$  is well-defined and a homomorphism. We shall show  $\phi$  is onto. Let  $\alpha \in F_4(\mathfrak{J}(3, \mathbf{H}^c))$ . Since  $\alpha E_i \in \mathfrak{J}(3, \mathbf{H}^c)$  satisfies  $(\alpha E_i)^2 = \alpha E_i$ ,  $\text{tr}(\alpha E_i) = 1$ , there exists  $A_i \in Sp(3, \mathbf{H}^c)$  such that

$$\alpha E_i = A_i E_i A_i^*, \quad i=1, 2, 3 \quad (\text{Lemma 2.3.1}).$$

Let  $\mathbf{a}_i$  be the  $i$ -th column vector of  $A_i$  and construct a matrix  $A = (\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)$ . Then we have  $\alpha E_i = AE_i A^*$ ,  $i=1, 2, 3$ . Hence  $AA^* = A(E_1 + E_2 + E_3)A^* = \alpha(E_1 + E_2 + E_3) = \alpha E = E$ , that is,  $A \in Sp(3, \mathbf{H}^c)$ . Put  $\beta = \phi(A)^{-1}\alpha$ , then  $\beta \in F_4(\mathfrak{J}(3, \mathbf{H}^c))$  and satisfies  $\beta E_i = E_i$ ,  $i=1, 2, 3$ .  $\beta$  induces  $C$ -linear transformations  $\beta_i$  of  $\mathbf{H}^c$  such that  $\beta F_i(m) = F_i(\beta_i m)$ ,  $m \in \mathbf{H}^c$  from  $2E_j \circ F_i(m) = F_i(m)$ ,  $j \neq i$ , moreover  $\beta_i$  are orthogonal:  $\beta_i \in O(4, C) = O(\mathbf{H}^c)$  from  $F_i(m) \circ F_i(n) = (m, n)(E_{i+1} + E_{i+2})$ . Furthermore  $\beta_1, \beta_2, \beta_3$  satisfy

$$(\beta_1 m)(\beta_2 n) = \overline{\beta_3(mn)}, \quad m, n \in \mathbf{H}^c$$

from  $2F_1(m) \circ F_2(n) = F_3(\overline{mn})$ . Put  $p = \beta_1 1$ ,  $q = \beta_2 1$ , then  $p, q \in Sp(1, \mathbf{H}^c)$  and  $\beta_3(m) = \bar{p} \beta_1(m)q$ ,  $\beta_3(m) = \overline{\beta_1(\bar{m})q}$ ,  $m \in \mathbf{H}^c$ . Again put  $\beta_1(m) = p\zeta(m)$ , then  $\zeta$  satisfies  $(\zeta m)(\zeta n) = \zeta(mn)$ ,  $m, n \in \mathbf{H}^c$ , that is,  $\zeta$  is an automorphism of  $\mathbf{H}^c$ . Hence there exists  $r \in Sp(1, \mathbf{H}^c)$  such that  $\zeta(m) = rm\bar{r}$ ,  $m \in \mathbf{H}^c$  (Proposition 1.3.1). Therefore

$$\beta_1 m = prm\bar{r}, \quad \beta_2 m = rm\bar{r}q, \quad \beta_3 m = \bar{q}rm\bar{r}\bar{p}$$

Construct a matrix  $B=\text{diag}(\bar{q}r, pr, r)\in Sp(3, \mathbf{H}^c)$ , then  $\beta M=BMB^*$ ,  $M\in \mathfrak{J}(3, \mathbf{H}^c)$ , that is,  $\beta=\phi(B)$ . Hence  $\alpha=\phi(A)\beta=\phi(A)\phi(B)=\phi(AB)$ ,  $AB\in Sp(3, \mathbf{H}^c)$ . Therefore  $\phi$  is onto.  $\text{Ker } \phi=\{E, -E\}=\mathbf{Z}_2$ . Thus  $F_4(\mathfrak{J}(3, \mathbf{H}^c))\cong Sp(3, \mathbf{H}^c)/\mathbf{Z}_2\cong Sp(3, C)/\mathbf{Z}_2$ .

**THEOREM 2.3.3.**  $(F_4^C)^r\cong(Sp(1, C)\times Sp(3, C))/\mathbf{Z}_2$ ,  $\mathbf{Z}_2=\{(1, E), (-1, -E)\}$ .

**PROOF ([6]).** We define  $\phi: Sp(1, \mathbf{H}^c)\times Sp(3, \mathbf{H}^c)\rightarrow(F_4^C)^r$  by

$$\phi(p, A)(M+a)=AMA^*+paA^*, \quad M+a\in \mathfrak{J}(3, \mathbf{H}^c)\oplus(\mathbf{H}^c)^3=\mathfrak{J}^C.$$

It is easy to verify that  $\phi$  is well-defined (Proposition 2.1.3. (5)) and a homomorphism. We shall show  $\phi$  is onto. Let  $\alpha\in(F_4^C)^r$ . Since  $(\mathfrak{J}^C)_r=\mathfrak{J}(3, \mathbf{H}^c)$  is invariant under  $\alpha$ ,  $\alpha$  induces an automorphism of  $\mathfrak{J}(3, \mathbf{H}^c)$ . Hence there exists  $A\in Sp(3, \mathbf{H}^c)$  such that

$$\alpha M=AMA^*, \quad M\in \mathfrak{J}(3, \mathbf{H}^c) \quad (\text{Proposition 2.3.2}).$$

Put  $\beta=\phi(1, A)^{-1}\alpha$ , then  $\beta|\mathfrak{J}(3, \mathbf{H}^c)=1$ , hence  $\beta\in G_2^C=\{\alpha\in F_4^C|\alpha E_i=E_i, \alpha F_i(1)=F_i(1), i=1, 2, 3\}$ , moreover  $\beta\in(G_2^C)^r$  and  $\beta|\mathbf{H}^C=1$ . By Theorem 1.3.2, there exists  $p\in Sp(1, \mathbf{H}^c)$  such that  $\beta(m+ae)=m+(pa)e$ ,  $m+ae\in\mathbf{H}^C\oplus\mathbf{H}^Ce=\mathfrak{C}^C$ , hence  $\beta(M+a)=M+pa$ ,  $M+a\in\mathfrak{J}^C$ , that is,  $\beta=\phi(p, E)$ . Hence  $\alpha=\phi(1, A)\beta=\phi(1, A)\phi(p, E)=\phi(p, A)$ . Therefore  $\phi$  is onto.  $\text{Ker } \phi=\{(1, E), (-1, -E)\}=\mathbf{Z}_2$ . Thus we have the required isomorphism.

**LEMMA 2.3.4.**  $\phi: Sp(1, \mathbf{H}^c)\times Sp(1, \mathbf{H}^c)\rightarrow F_4^C$  of Theorem 2.3.3 satisfies

- (1)  $\gamma=\phi(-1, E)$ ,  $\gamma_c=\phi(j, jE)$ ,  $\gamma_{ii}=\phi(i, iE)$ ,  $\sigma=\phi(-1, I_1)$ .
- (2)  $\tau\phi(p, A)\tau=\phi(\tau p, \tau A)$ ,  $\gamma_c\phi(p, A)\gamma_c=\phi(\gamma_c p, \gamma_c A)$ ,  $\sigma\phi(p, A)\sigma=\phi(p, I_1 A I_1)$ .

**THEOREM 2.3.5.** (1)  $(F_4)^r\cong(Sp(1)\times Sp(3))/\mathbf{Z}_2\cong(F_{4(4)})^r$ .

(2)  $(F_{4(4)})^r\sim(\tau\gamma_c)^r\cong(Sp(1, \mathbf{R})\times Sp(3, \mathbf{R}))/\mathbf{Z}_2\times 2$ .

(3)  $(F_{4(-20)})^r\cong(Sp(1)\times Sp(1, 2))/\mathbf{Z}_2\cong(\tau\gamma\sigma)^r\sim(F_{4(4)})^r$ .

**PROOF.** (1) Let  $\alpha\in(F_4)^r=((F_4^C)^r)^r=((F_4^C)^r)\cap(F_4^C)^r$ . By Theorem 2.3.3, there exist  $p\in Sp(1, \mathbf{H}^c)$ ,  $A\in Sp(3, \mathbf{H}^c)$  such that  $\alpha=\phi(p, A)$ . From the condition  $\tau\alpha=\alpha\tau$ , we have  $\phi(\tau p, \tau A)=\phi(p, A)$  (Lemma 2.3.4). Hence

$$\tau p=p, \quad \tau A=A \quad \text{or} \quad \tau p=-p, \quad \tau A=-A.$$

The latter case is impossible (cf. Theorem 1.3.4). Therefore  $p\in Sp(1)$ ,  $A\in Sp(3)$ . Thus  $(F_4)^r\cong\phi(Sp(1)\times Sp(3))\cong(Sp(1)\times Sp(3))/\mathbf{Z}_2$ .  $(F_{4(4)})^r=(\tau\gamma)^r=(\tau)^r$ .

$$(2) \quad F_{4(4)}=(F_4^C)^r\cong(F_4^C)^r\gamma_c.$$

In fact, since  $\gamma$  and  $\gamma_c$  are conjugate in  $G_2 \subset F_4 : \delta\gamma = \gamma_c\delta$ ,  $\delta\tau = \tau\delta$  (Proposition 1.2.3),  $(F_4^C)^\gamma \ni \alpha \rightarrow \delta\alpha\delta^{-1} \in (F_4^C)^{\gamma_c}$  gives an isomorphism. Let  $\alpha \in ((F_4^C)^{\gamma_c})^\gamma = (\tau\gamma_c)^\gamma$ ,  $\alpha = \phi(p, A)$ ,  $p \in Sp(1, H^C)$ ,  $A \in Sp(3, H^C)$ . From  $\tau\gamma_c\alpha = \alpha\tau\gamma_c$ , we have  $\phi(\tau\gamma_c p, \tau\gamma_c A) = \phi(p, A)$ . Hence  $(\tau\gamma_c)^\gamma \cong (Sp(1, H') \times Sp(3, H') \cup iSp(1, H') \times (iE)Sp(3, H'))/Z_2$  (cf. Theorem 1.3.5)  $\cong (Sp(1, R) \times Sp(3, R))/Z_2 \times 2$ . ( $\phi(i, iE) = \gamma_H$ ).

(3) Define  $\phi : Sp(1, H^C) \times Sp(1, 2, H^C) \rightarrow (F_4^C)^\gamma$  by  $\phi(p, A) = \phi(p, \Gamma_1 A \Gamma_1^{-1})$ . Let  $\alpha \in (F_{4(-20)})^\gamma = (\tau\sigma)^\gamma$ ,  $\alpha = \phi(p, A)$ ,  $p \in Sp(1, H^C)$ ,  $A \in Sp(1, 2, H^C)$ . From  $\tau\sigma\alpha = \alpha\tau\sigma$ , we have  $\phi(\tau p, \tau A) = \phi(p, A)$ . Thus, as in (1),  $(F_{4(-20)})^\gamma \cong (Sp(1) \times Sp(1, 2))/Z_2$ .

$$F_{4(4)} = (F_4^C)^\gamma \cong (F_4^C)^{\gamma\tau\sigma}$$

because  $\gamma \sim \gamma\tau\sigma$  under  $\delta \in F_4 : \delta\gamma = \gamma\delta$ ,  $\delta\tau = \tau\delta$  (Proposition 2.2.3). Now  $(F_{4(4)})^\gamma \sim (\tau\gamma\sigma)^\gamma = (\tau\sigma)^\gamma$ .

#### 2.4. Subgroups of type $B_4$ of Lie groups of type $F_4$ .

Hereafter we use the following  $C$ -vector subspaces of  $\mathfrak{J}^C$ .

$$\mathfrak{J}(2, \mathfrak{G}^C) = \{X \in \mathfrak{J}^C \mid E_1 \circ X = 0\} = \{X \in \mathfrak{J}^C \mid 4E_1 \times (E_1 \times X) = X\}$$

$$= \left\{ \begin{pmatrix} 0 & 0 & 0 \\ 0 & \xi_2 & x \\ 0 & \bar{x} & \xi_3 \end{pmatrix} \middle| \begin{matrix} \text{identify} \\ \xi_2, \xi_3 \in C, x \in \mathfrak{G}^C \end{matrix} \right\},$$

$$\mathfrak{G}_1^C = \{\xi E_1 \mid \xi \in C\},$$

$$(\mathfrak{J}^C)_\sigma = \{X \in \mathfrak{J}^C \mid \sigma X = X\} = \mathfrak{J}(2, \mathfrak{G}^C) \oplus \mathfrak{G}_1^C,$$

$$(\mathfrak{J}^C)_{-\sigma} = \{X \in \mathfrak{J}^C \mid \sigma X = -X\} = \{X \in \mathfrak{J}^C \mid 2E_1 \circ X = X\}$$

$$= \{X \in \mathfrak{J}^C \mid E_1 \times X = 0, (E_1, X) = 0\}$$

$$= \left\{ \begin{pmatrix} 0 & x_3 & \bar{x}_2 \\ \bar{x}_3 & 0 & 0 \\ x_2 & 0 & 0 \end{pmatrix} \middle| x_2, x_3 \in \mathfrak{G}^C \right\}$$

and  $(\mathfrak{J}^C)_0 = \{X \in \mathfrak{J}^C \mid \text{tr}(X) = 0\}$ ,  $\mathfrak{J}(2, \mathfrak{G}^C)_0 = \{X \in \mathfrak{J}(2, \mathfrak{G}^C) \mid \text{tr}(X) = 0\}$ .  $(\mathfrak{J}^C)_\sigma$ ,  $(\mathfrak{J}^C)_{-\sigma}$  are invariant under  $\alpha \in (F_4^C)^\sigma$ .

LEMMA 2.4.1.  $(F_4^C)^\sigma = (F_4^C)_{E_1}$ .

PROOF. Let  $\alpha \in (F_4^C)^\sigma$ . Then  $\alpha E_2 \in \mathfrak{J}(2, \mathfrak{G}^C)$ . In fact,  $\alpha E_2 = \alpha(-F_2(1) \times F_2(1)) = -\alpha F_2(1) \times \alpha F_2(1) = -(F_2(x_2) + F_3(x_3))^{\times 2} = x_2 \bar{x}_2 E_2 + x_3 \bar{x}_3 E_3 - F_1(\bar{x}_2 \bar{x}_3) \in \mathfrak{J}(2, \mathfrak{G}^C)$ . Similarly  $\alpha E_3 \in \mathfrak{J}(2, \mathfrak{G}^C)$ . Therefore  $\alpha E_1 = E - \alpha E_2 - \alpha E_3$  has the form

$E_1 + \xi_2 E_2 + \xi_3 E_3 + F_1(x)$ . Then  $0 = \alpha(E_1 \times E_1) = \alpha E_1 \times \alpha E_1 = (E_1 + \xi_2 E_2 + \xi_3 E_3 + F_1(x))^{\times 2} = (\xi_2 \xi_3 - x \bar{x}) E_1 + \xi_2 E_2 + \xi_3 E_3 - F_1(x)$ . This implies  $\xi_2 = \xi_3 = x = 0$ . Thus we have  $\alpha E_1 = E_1$ . Conversely let  $\alpha \in (F_4^C)_{E_1}$ . Since  $\mathfrak{J}^C = (\mathfrak{J}^C)_\sigma \oplus (\mathfrak{J}^C)_{-\sigma}$  and  $(\mathfrak{J}^C)_\sigma = \{X \in \mathfrak{J}^C \mid E_1 \circ X = 0\} \oplus \{\xi E_1 \mid \xi \in C\}$ ,  $(\mathfrak{J}^C)_{-\sigma} = \{X \in \mathfrak{J}^C \mid 2E_1 \circ X = X\}$  are invariant under  $\alpha$ ,  $\alpha \sigma X = \alpha \sigma(X_1 + X_2) = \alpha(X_1 - X_2) = \alpha X_1 - \alpha X_2 = \sigma(\alpha X_1) + \sigma(\alpha X_2) = \sigma \alpha(X_1 + X_2) = \sigma \alpha X$  for  $X = X_1 + X_2$ ,  $X_1 \in (\mathfrak{J}^C)_\sigma$ ,  $X_2 \in (\mathfrak{J}^C)_{-\sigma}$ . Hence  $\alpha \sigma = \sigma \alpha$ , that is,  $\alpha \in (F_4^C)^\sigma$ .

LEMMA 2.4.2.  $(F_4^C)^\sigma / \text{Spin}(8, C) \simeq (S^C)^8$ . In particular, the group  $(F_4^C)^\sigma$  is connected.

PROOF. We define a complex 8-dimensional sphere  $(S^C)^8$  by

$$\begin{aligned} (S^C)^8 &= \{X \in \mathfrak{J}^C \mid E_1 \circ X = 0, \text{tr}(X) = 0, (X, X) = 2\} \\ &= \left\{ \begin{pmatrix} \xi & x \\ \bar{x} & -\xi \end{pmatrix} \mid \xi^2 + \bar{x}x = 1, \xi \in C, x \in \mathbb{C}^C \right\}. \end{aligned}$$

The group  $(F_4^C)^\sigma = (F_4^C)_{E_1}$  acts on  $(S^C)^8$  (Lemma 2.1.2, Proposition 2.1.3.(3)). We shall show that this action is transitive. To show this we prepare some elements of  $(F_4^C)^\sigma$ .

For  $a \in \mathbb{C}^C$  such that  $a \bar{a} \neq 0$ , define a  $C$ -linear transformation  $\alpha(a)$  of  $\mathfrak{J}^C$ ,  $\alpha(a)X(\xi, x) = Y(\eta, y)$ , by

$$\begin{cases} \eta_1 = \xi_1, \\ \eta_2 = \frac{1}{2}(\xi_2 + \xi_3) + \frac{1}{2}(\xi_2 - \xi_3) \cos 2\nu + (a, x_1) \frac{\sin 2\nu}{\nu}, \\ \eta_3 = \frac{1}{2}(\xi_2 + \xi_3) - \frac{1}{2}(\xi_2 - \xi_3) \cos 2\nu - (a, x_1) \frac{\sin 2\nu}{\nu}, \\ y_1 = x_1 - \frac{1}{2}(\xi_2 - \xi_3)a \frac{\sin 2\nu}{\nu} - 2(a, x_1)a \frac{\sin^2 \nu}{\nu^2}, \\ y_2 = x_2 \cos \nu - x_3 a \frac{\sin \nu}{\nu}, \\ y_3 = x_3 \cos \nu + a x_2 \frac{\sin \nu}{\nu} \end{cases}$$

where  $\nu \in C$ ,  $\nu^2 = a \bar{a}$ . Then  $\alpha(a) = \exp \tilde{A}(a)$  where  $A(a) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & a \\ 0 & -\bar{a} & 0 \end{pmatrix} \in ((\mathfrak{m}^C)^-)_{E_1}$   $= \{A \in (\mathfrak{m}^C)^- \mid \tilde{A} E_1 = 0\}$ , hence  $\tilde{A}(a) \in (\mathfrak{f}_4^C)^\sigma = \mathfrak{d}_4^C \oplus (\tilde{\mathfrak{m}}^C)^-|_{E_1}$  (Proposition 2.1.4). Therefore  $\alpha(a) \in (F_4^C)^\sigma$ .

Now let  $X = \begin{pmatrix} \xi & x \\ \bar{x} & -\xi \end{pmatrix} \in (S^C)^8$ . Choose  $a \in \mathbb{C}^C$  such that  $(a, x) = 0$  and  $a \bar{a} =$

$(\pi/4)^2$ , then  $\alpha(a)X=X_1=\begin{pmatrix} 0 & x_1 \\ \bar{x}_1 & 0 \end{pmatrix}$ ,  $x_1\bar{x}_1=1$ . And then  $\alpha((\pi/4)x_1)X_1=E_2-E_3$ .

This shows the transitivity. The isotropy subgroup of  $(F_4^C)^\sigma$  at  $E_2-E_3$  is  $(F_4^C)_{E_1, E_2, E_3}=\{\alpha \in F_4^C \mid \alpha E_i = E_i, i=1, 2, 3\}$  and we know that it is isomorphic to  $Spin(8, C)$  as the universal covering group of  $SO(8, C)=SO(\mathfrak{G}^C)$  (cf. Principle of triality [8]). Thus we have the homeomorphism  $(F_4^C)^\sigma/Spin(8, C) \cong (S^C)^8$ .

**THEOREM 2.4.3.**  $(F_4^C)^\sigma \cong Spin(9, C)$ .

**PROOF.** Since the group  $(F_4^C)^\sigma$  is connected (Lemma 2.4.2), we can define a homomorphism  $\pi : (F_4^C)^\sigma \rightarrow SO(9, C)=SO(V^C)^g$  by  $\pi(\alpha)=\alpha|_{(V^C)^g}$  where

$$(V^C)^g = \mathfrak{J}(2, \mathfrak{G}^C)_0 = \left\{ X = \begin{pmatrix} \xi & x \\ \bar{x} & -\xi \end{pmatrix} \mid \xi \in C, x \in \mathfrak{G}^C \right\}$$

with the norm  $(X, X)/2=\xi^2+x\bar{x}$ .  $\text{Ker } \pi=\{1, \sigma\}=Z_2$  (cf. Principle of triality [8]). Hence  $\pi$  induces a monomorphism  $d\pi : (\mathfrak{f}_4^C)^\sigma \rightarrow \mathfrak{so}(9, C)$ . Since  $\dim_C(\mathfrak{f}_4^C)^\sigma = \dim_C(\mathfrak{d}_4^C \oplus ((\tilde{\mathfrak{m}}^C)^-)_{E_1}) = 28+8=36 = \dim_C \mathfrak{so}(9, C)$ ,  $d\pi$  is onto, hence  $\pi$  is also onto (Lemma 0.6). Thus  $(F_4^C)^\sigma/Z_2 \cong SO(9, C)$ . Therefore  $(F_4^C)^\sigma$  is isomorphic to  $Spin(9, C)$  as the universal covering group of  $SO(9, C)$ .

**THEOREM 2.4.4.** (1)  $(F_4)^\sigma \cong Spin(9) \cong (F_{4(-20)})^\sigma$ .

(2)  $(F_{4(4)})^\sigma \cong spin(4, 5)$ .

(3)  $(F_{4(-20)})^\sigma \sim (\tau\sigma')^\sigma \cong Spin(8, 1)$ .

**PROOF.** (1)  $(F_4)^\sigma=((F_4^C)^\tau)^\sigma=((F_4^C)^\sigma)^\tau$  is connected (Lemma 0.7) because  $(F_4^C)^\sigma=Spin(9, C)$  (Theorem 2.4.3) is simply connected. Since  $(F_4^C)^\sigma$  acts on  $(V^C)^g$ , the group  $(F_4)^\sigma=((F_4^C)^\sigma)^\tau$  acts on

$$V^g = (\mathfrak{J}(2, \mathfrak{G}^C)_0)_\tau = \left\{ X = \begin{pmatrix} \xi & x \\ \bar{x} & -\xi \end{pmatrix} \mid \xi \in \mathbb{R}, x \in \mathfrak{G} \right\}$$

with the norm  $(X, X)/2=\xi^2+x\bar{x}$ . We can define a homomorphism  $\pi : (F_4)^\sigma \rightarrow SO(9)=SO(V^g)$  by  $\pi(\alpha)=\alpha|_{V^g}$ .  $\text{Ker } \pi=\{1, \sigma\}=Z_2$ . Since  $\dim(\mathfrak{f}_4)^\sigma=36=\dim \mathfrak{so}(9)$ ,  $\pi$  is onto. Thus  $(F_4)^\sigma/Z_2 \cong SO(9)$ . Therefore  $(F_4)^\sigma$  is isomorphic to  $Spin(9)$  as the universal covering group of  $SO(9)$ .  $(F_{4(-20)})^\sigma=(\tau\sigma')^\sigma=(\tau)^\sigma$ .

**(REMARK.** In the proof of Lemma 2.4.3, if we know that  $F_4^C$  is simply connected, the connectedness of  $(F_4^C)^\sigma$  is trivial (Lemma 0.7). But the simply connectedness of  $F_4^C$  is usually follows from the simply connectedness of  $F_4$  and the fact that  $(F_4)^\sigma=Spin(9)$  ([8]). To avoid a circular argument we took the way like Lemma 2.4.2, Theorem 2.4.3).

(2) As in (1),  $(F_{4(4)})^\sigma = ((F_4^C)^\sigma)^{\tau\gamma}$  is connected. The group  $(F_{4(4)})^\sigma$  acts on

$$V^{4,5} = (\mathfrak{J}(2, \mathfrak{G}^C)_0)_{\tau\gamma} = \left\{ X = \begin{pmatrix} \xi & x' \\ \bar{x}' & -\bar{\xi} \end{pmatrix} \mid \xi \in \mathbf{R}, x' \in (\mathfrak{G}^C)_{\tau\gamma} = \mathfrak{G}' \right\}$$

with the norm  $(X, X)/2 = \xi^2 + x'\bar{x}'$ . We can define a homomorphism  $\pi : (F_{4(4)})^\sigma \rightarrow O(4, 5)_0 = O(V^{4,5})_0$  by  $\pi(\alpha) = \alpha|V^{4,5}$ .  $\text{Ker } \pi = \{1, \sigma\} = Z_2$ . As similar to (1),  $(F_{4(4)})^\sigma / Z_2 \cong O(4, 5)_0$ . Therefore  $(F_{4(4)})^\sigma$  is denoted by  $Spin(4, 5)$  (not simply connected) as a double covering group of  $O(4, 5)_0$ .

$$(3) \quad F_{4(-20)} = (F_4^C)^{\tau\sigma} \cong (F_4^C)^{\tau\sigma'}$$

because  $\sigma \sim \sigma'$  under  $\delta \in F_4 : \delta\sigma = \sigma'\delta$ ,  $\delta\tau = \tau\delta$  (Proposition 2.2.3). As in (1),  $((F_4^C)^{\tau\sigma'})^\sigma = (\tau\sigma')^\sigma$  is connected. The group  $(\tau\sigma')^\sigma$  acts on

$$V^{8,1} = (\mathfrak{J}(2, \mathfrak{G}^C)_0)_{\tau\sigma'} = \left\{ X = \begin{pmatrix} \xi & ix \\ i\bar{x} & -\bar{\xi} \end{pmatrix} \mid \xi \in \mathbf{R}, x \in \mathfrak{G} \right\}$$

with the norm  $(X, X)/2 = \xi^2 - x\bar{x}$ . We can define a homomorphism  $\pi : (\tau\sigma')^\sigma \rightarrow O(8, 1)_0 = O(V^{8,1})_0$  by  $\pi(\alpha) = \alpha|V^{8,1}$ . As similar to (1),  $(\tau\sigma')^\sigma / Z_2 \cong O(8, 1)_0$ . Therefore  $(\tau\sigma')^\sigma$  is isomorphic to  $Spin(8, 1)$  as the universal covering group of  $O(8, 1)_0$ .

## Group $E_6$

### 3.1. Lie groups of type $E_6$ .

The universal connected linear Lie groups of type  $E_6$  are obtained as

$$\begin{aligned} E_6^C &= E_6(\mathfrak{J}(3, \mathfrak{G}^C)) = \{\alpha \in \text{Iso}_C(\mathfrak{J}(3, \mathfrak{G}^C)) \mid \det \alpha X = \det X\}, \\ E_6 &= \{\alpha \in \text{Iso}_C(\mathfrak{J}(3, \mathfrak{G}^C)) \mid \det \alpha X = \det X, \langle \alpha X, \alpha Y \rangle = \langle X, Y \rangle\}, \\ E_{6(6)} &= E_6(\mathfrak{J}(3, \mathfrak{G}')) = \{\alpha \in \text{Iso}_R(\mathfrak{J}(3, \mathfrak{G}')) \mid \det \alpha X = \det X\}, \\ E_{6(2)} &= \{\alpha \in \text{Iso}_C(\mathfrak{J}(3, \mathfrak{G}^C)) \mid \det \alpha X = \det X, \langle \alpha X, \alpha Y \rangle_\gamma = \langle X, Y \rangle_\gamma\}, \\ E_{6(-14)} &= \{\alpha \in \text{Iso}_C(\mathfrak{J}(3, \mathfrak{G}^C)) \mid \det \alpha X = \det X, \langle \alpha X, \alpha Y \rangle_\sigma = \langle X, Y \rangle_\sigma\}, \\ E_{6(-26)} &= E_6(\mathfrak{J}(3, \mathfrak{G})) = \{\alpha \in \text{Iso}_R(\mathfrak{J}(3, \mathfrak{G})) \mid \det \alpha X = \det X\} \end{aligned}$$

where  $\langle X, Y \rangle = (\tau X, Y)$ ,  $\langle X, Y \rangle_\gamma = (\tau\gamma X, Y)$  and  $\langle X, Y \rangle_\sigma = (\tau\sigma X, Y)$ . (Similarly the group  $E_6(\mathfrak{J}(3, H^C))$  is defined).  $E_6^C$ ,  $E_6$ ,  $E_{6(-26)}$  are simply connected (see Appendix).

The Lie algebra  $\mathfrak{e}_6^C$  of the Lie group  $E_6^C$  has the following structure.

PROPOSITION 3.1.1 ([2]).  $\mathfrak{e}_6^C = \mathfrak{f}_4^C \oplus \tilde{\mathfrak{J}}(3, \mathfrak{G}^C)_0$

where  $\mathfrak{J}(3, \mathbb{C}^C)_0 = \{T \in \mathfrak{J}(3, \mathbb{C}^C) \mid \text{tr}(T) = 0\}$  and for  $T \in \mathfrak{J}(3, \mathbb{C}^C)_0$ ,  $\tilde{T}$  is the  $C$ -linear transformation of  $\mathfrak{J}^C$  defined by  $\tilde{T}X = T \circ X$ .

### 3.2. Involutions of Lie groups of type $E_6$ .

LEMMA 3.2.1. If  $\alpha \in E_6^C$  then  ${}^t\alpha^{-1} \in E_6^C$ .

PROOF. ([4]).  ${}^t\alpha^{-1}(Y \times Y) \times {}^t\alpha^{-1}(Y \times Y) = (\alpha Y \times \alpha Y) \times (\alpha Y \times \alpha Y)$  (Lemma 2.1).  $1 = (\det \alpha Y)\alpha Y = (\det Y)\alpha Y = \alpha((\det Y)Y) = \alpha((Y \times Y) \times (Y \times Y))$ ,  $Y \in \mathfrak{J}^C$ . Put  $Y = X \times X$ ,  $X \in \mathfrak{J}^C$ , then  ${}^t\alpha^{-1}((\det X)X) \times {}^t\alpha^{-1}((\det X)X) = \alpha((\det X)X \times (\det X)X)$ .

(1) Case  $\det X \neq 0$ . We have  ${}^t\alpha^{-1}X \times {}^t\alpha^{-1}X = \alpha(X \times X)$ . Hence  $3 \det {}^t\alpha^{-1}X = ({}^t\alpha^{-1}X, {}^t\alpha^{-1}X \times {}^t\alpha^{-1}X) = ({}^t\alpha^{-1}X, \alpha(X \times X)) = (X, X \times X) = 3 \det X$ . Consider  $\alpha^{-1}$  instead of  $\alpha$ , then we have also  $\det {}^t\alpha X = \det X$ .

(2) Case  $\det X = 0$ . If  $\det {}^t\alpha^{-1}X \neq 0$ , we can use the result of (1).  $0 = \det X = \det {}^t\alpha({}^t\alpha^{-1}X) = \det {}^t\alpha^{-1}X$  (result of (1))  $\neq 0$ , a contradiction. Thus  $\det {}^t\alpha^{-1}X = 0$ , hence  $\det {}^t\alpha^{-1}X = \det X$  is also valid.

We define an involutive automorphism  $\lambda$  of  $E_6^C$  by

$$\lambda(\alpha) = {}^t\alpha^{-1}, \quad \alpha \in E_6^C \quad (\text{Lemma 3.2.1}).$$

Note that  $\lambda$  induces involutive automorphisms of  $E_6$ ,  $E_{6(6)}$ ,  $E_{6(2)}$ ,  $E_{6(-14)}$ ,  $E_{6(-26)}$  and  $E_6(\mathfrak{J}(3, H^C))$ . As in  $F_4^C$ ,  $E_6^C$  has involutive automorphisms  $\tilde{\tau}$ ,  $\tilde{\tau\gamma}$ , and  $\tilde{\tau\sigma}$ .

THEOREM 3.2.2.  $(E_6^C)^{\tau\lambda} = E_6$ ,  $(E_6^C)^{\tau\gamma} \cong E_{6(6)}$ ,  $(E_6^C)^{\tau\lambda\gamma} = E_{6(2)}$ ,  $(E_6^C)^{\tau\lambda\sigma} = E_{6(-14)}$ ,  $(E_6^C)^{\tau} = E_{6(-26)}$ .

PROOF. As for  $E_{6(6)}$ ,  $E_{6(-26)}$ , these are direct results of Lemma 1.2.1.(2).  $E_6$ ,  $E_{6(2)}$ ,  $E_{6(-14)}$  are nothing but their definitions.

The Lie algebras of the Lie groups of type  $E_6$  are as follows.

- PROPOSITION 3.2.3. (1)  $e_6 = \{\phi \in e_6^C \mid -\tau^t\phi\tau = \phi\} = \mathfrak{f}_4 \oplus i\tilde{\mathfrak{J}}(3, \mathbb{C})_0$ ,  
 (2)  $e_{6(6)} = \{\phi \in e_6^C \mid \tau\gamma\phi\gamma\tau = \phi\} = \mathfrak{f}_{4(4)} \oplus \tilde{\mathfrak{J}}(3, \mathbb{C}')_0$ .  
 (3)  $e_{6(2)} = \{\phi \in e_6^C \mid -\tau\gamma^t\phi\gamma\tau = \phi\} = \mathfrak{f}_{4(4)} \oplus i\tilde{\mathfrak{J}}(3, \mathbb{C})_0$ .  
 (4)  $e_{6(-14)} = \{\phi \in e_6^C \mid -\tau\sigma^t\phi\sigma\tau = \phi\} = \mathfrak{f}_{4(-20)} \oplus i\tilde{\mathfrak{J}}(1, 2, \mathbb{C})_0$ .  
 (5)  $e_{6(-26)} = \{\phi \in e_6^C \mid \tau\phi\tau = \phi\} = \mathfrak{f}_4 \oplus \tilde{\mathfrak{J}}(3, \mathbb{C})_0$ .

PROOF. The involutive automorphisms of  $e_6^C$  induced by  $\gamma$ ,  $\sigma$ ,  $\lambda$ ,  $\tau$  are

$$\gamma\phi\gamma = \gamma\delta\gamma + \tilde{T}, \quad \sigma\phi\sigma = \sigma\delta\sigma + \tilde{\sigma T}, \quad \lambda(\phi) = \delta - \tilde{T}, \quad \tau\phi\tau = \tau\delta\tau + \tilde{\tau T}$$

for  $\delta + \tilde{T} \in \mathfrak{f}_4^C \oplus \tilde{\mathfrak{J}}(3, \mathfrak{G}^C)_0 = \mathfrak{e}_6^C$ . From this, Proposition is clear (Lemma 2.2.1).

In addition to  $\gamma, \gamma_C, \gamma_H \in G_2 \subset F_4 \subset E_6$ ,  $\sigma, \sigma' \in F_4 \subset E_6$ , we define one more involutive element  $\rho \in E_6$ ,  $\rho: \mathfrak{J}^C \rightarrow \mathfrak{J}^C$  by

$$\rho X = \begin{pmatrix} -\xi_1 & ix_3i & -i\bar{x}_2 \\ i\bar{x}_3i & -\xi_2 & -ix_1 \\ ix_2i & i\bar{x}_1i & \xi_3 \end{pmatrix} = \bar{P}XP, \quad P = \begin{pmatrix} ii & 0 & 0 \\ 0 & ii & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

PROPOSITION 3.2.3. (1)  $\gamma$  and  $\rho$  are conjugate in  $E_6$ :  $\delta\gamma = \rho\delta$ ,  $\delta \in E_6$ .

(2)  $\sigma$  and  $\gamma\rho$  are conjugate in  $E_6$ :  $\delta\sigma = \gamma\rho\delta$ ,  $\delta \in E_6$ .

(3)  $\sigma$  and  $\gamma_H\rho$  are conjugate in  $E_6$ :  $\delta\sigma = \gamma_H\rho\delta$ ,  $\delta \in E_6$ .

PROOF will be given in 3.5.12.

### 3.3. Subgroups of type $F_4$ of Lie groups of type $E_6$ .

THEOREM 3.3.1. (1)  $(E_6^C)^\lambda = F_4^C$ .

(2)  $(E_{6(-26)})^\lambda = F_4 = (E_6)^\lambda$ .

(3)  $(E_{6(6)})^\lambda = F_{4(4)} = (E_{6(2)})^\lambda$ .

(4)  $(E_{6(-14)})^\lambda = F_{4(-20)} \cong (E_{6(-26)})^{\lambda\sigma}$ .

PROOF. (1) It is results of Proposition 2.1.3.(1)–(3).

(2)  $(E_{6(-26)})^\lambda = (\tau)^\lambda = (\lambda)^r = (F_4^C)^r$  (result of (1)) =  $F_4$  (Theorem 2.2.2).  $(E_6)^\lambda = (\tau\lambda)^\lambda = (\tau)^\lambda = (\lambda)^r$ .

(3)  $(E_{6(6)})^\lambda = (\tau\gamma)^\lambda = (\lambda)^{rr} = (F_4^C)^{rr} = F_{4(4)}$  (Theorem 2.2.2).  $(E_{6(2)})^\lambda = (\tau\lambda\gamma)^\lambda = (\tau\gamma)^\lambda = (\lambda)^{rr}$ .

(4)  $(E_{6(-14)})^\lambda = (\tau\lambda\sigma)^\lambda = (\tau\sigma)^\lambda = (\lambda)^{rs} = (F_4^C)^{rs} = F_{4(-20)}$  (Theorem 2.2.2).

$$(E_{6(-26)})^{\lambda\sigma} = (\tau)^{\lambda\sigma} \cong (\tau\sigma)^\lambda.$$

To prove this, define  $\delta: \mathfrak{J}^C \rightarrow \mathfrak{J}^C$  by

$$\delta X = \begin{pmatrix} \xi_1 & ix_3 & i\bar{x}_2 \\ i\bar{x}_3 & -\xi_2 & -x_1 \\ ix_2 & -\bar{x}_1 & -\xi_3 \end{pmatrix} = DXD, \quad D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & i & 0 \\ 0 & 0 & i \end{pmatrix}$$

(see Proposition 3.6.5), then  $\delta \in E_6$ ,  $\delta^2 = \sigma$ ,  $\delta\sigma = \sigma\delta$ ,  $\delta\tau = \tau\delta^{-1}$ ,  ${}^t\delta = \delta$ . (Hereafter, this  $\delta$  will be denoted by  $\sqrt{\sigma}$ ). Now  $(\tau)^{\lambda\sigma} \ni \alpha \rightarrow \delta^{-1}\alpha\delta \in (\tau\sigma)^\lambda$  gives an isomorphism.

### 3.4. Subgroups of type $C_4$ of Lie groups of type $E_6$ .

We consider the Jordan algebra  $\mathfrak{J}(4, \mathbf{H}^c) = \{P \in M(4, \mathbf{H}^c) \mid P^* = P\}$  with the Jordan multiplication  $P \circ Q = (PQ + QP)/2$  and the inner product  $(P, Q) = \text{tr}(P \circ Q)$ . We define  $g : \mathfrak{J}^c = \mathfrak{J}(3, \mathbf{H}^c) \oplus (\mathbf{H}^c)^3 \rightarrow \mathfrak{J}(4, \mathbf{H}^c)_0 = \{P \in \mathfrak{J}(4, \mathbf{H}^c) \mid \text{tr}(P) = 0\}$  by

$$g(M+\mathbf{a}) = \begin{pmatrix} \frac{1}{2}\text{tr}(M) & i\mathbf{a} \\ i\mathbf{a}^* & M - \frac{1}{2}\text{tr}(M)E \end{pmatrix}, \quad M+\mathbf{a} \in \mathfrak{J}^c.$$

LEMMA 3.4.1.  $g : \mathfrak{J}^c \rightarrow \mathfrak{J}(4, \mathbf{H}^c)_0$  is a  $C$ -linear isomorphism and satisfies

$$\begin{aligned} gX \circ gY &= g(\gamma(X \times Y)) + \frac{1}{4}(\gamma X, Y)E, \\ (gX, gY) &= (\gamma X, Y), \end{aligned} \quad X, Y \in \mathfrak{J}^c.$$

PROOF.  $g(\gamma((M+\mathbf{a}) \times (N+\mathbf{b}))) = g((M-\mathbf{a}) \times (N-\mathbf{b}))$

$$\begin{aligned} &= g((M \times N - \frac{1}{2}(\mathbf{a}^*\mathbf{b} + \mathbf{b}^*\mathbf{a})) + \frac{1}{2}(\mathbf{a}N + \mathbf{b}M)) \\ &= \begin{pmatrix} \frac{1}{2}\text{tr}(M \times N) - \frac{1}{2}(\mathbf{a}, \mathbf{b}) & \frac{i}{2}(\mathbf{a}N + \mathbf{b}M) \\ \frac{i}{2}(\mathbf{a}N + \mathbf{b}M)^* & M \times N - \frac{1}{2}(\mathbf{a}^*\mathbf{b} + \mathbf{b}^*\mathbf{a}) - \frac{1}{2}(\text{tr}(M \times N) - (\mathbf{a}, \mathbf{b}))E \end{pmatrix} \\ &= g(M+\mathbf{a}) \circ g(N+\mathbf{b}) - \left( \frac{1}{4}(M, N) - \frac{1}{2}(\mathbf{a}, \mathbf{b}) \right) E \\ &= g(M+\mathbf{a}) \circ g(N+\mathbf{b}) - \frac{1}{4}(\gamma(M+\mathbf{a}), N+\mathbf{b})E. \end{aligned}$$

Thus the first formula is shown. Take the trace of both sides, then we have the second formula.

THEOREM 3.4.2.  $(E_6^C)^{\lambda\gamma} \cong Sp(4, C)/\mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{E, -E\}$ .

PROOF ([4]). We define  $\phi : Sp(4, \mathbf{H}^c) \rightarrow (E_6^C)^{\lambda\gamma}$  by

$$\phi(A)X = g^{-1}(A(gX)A^*), \quad X \in \mathfrak{J}^c.$$

We have to prove  $\phi(A) \in (E_6^C)^{\lambda\gamma}$ . Denote  $\alpha = \phi(A)$  and put  $Z = \alpha X$ .

$$3\det\alpha X = 3\det Z = (Z \times Z, Z) = (g(\gamma(Z \times Z)), gZ)$$

$$= \left( gZ \circ gZ - \frac{1}{4}(\gamma Z, Z)E, gZ \right) = \left( gZ \circ gZ - \frac{1}{4}(gZ, gZ)E, gZ \right)$$

$$\begin{aligned}
&= \left( A(gX)A^*, A(gX)A^* - \frac{1}{4}(A(gX)A^*, A(gX)A^*)E, A(gX)A^* \right) \\
&= \left( gX \circ gX - \frac{1}{4}(gX, gX)E, gX \right) = \left( gX \circ gX - \frac{1}{4}(\gamma X, X)E, gX \right) \\
&= (g(\gamma(X \times X)), gX) = (X \times X, X) = 3 \det X, \\
(\gamma\alpha X, \alpha Y) &= (g(\alpha X), g(\alpha Y)) = (A(gX)A^*, A(gY)A^*) = (gX, gY) = (\gamma X, Y) \\
&= (^t\alpha^{-1}\gamma X, \alpha Y), \quad \text{hence } \gamma\alpha = ^t\alpha^{-1}\gamma.
\end{aligned}$$

Thus  $\alpha \in (E_6^C)^{\lambda\gamma}$ . We shall show  $\phi$  is onto. To show this we prepare

LEMMA 3.4.3. Any element  $P \in \mathfrak{S}(4, \mathbf{H}^C)$  such that  $P^2 = P$ ,  $\text{tr}(P) = 1$  can be transformed to  $E_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in \mathfrak{S}(4, \mathbf{H}^C)$  by a certain  $A \in Sp(4, \mathbf{H}^C)$ :  $APA^* = E_1$ .

PROOF is similar to Lemma 2.3.1.

Now, for  $\alpha \in (E_6^C)^{\lambda\gamma}$ ,  $(g(\alpha E))^2 = g(\alpha E) + \frac{3}{4}E$ . In fact,  $(g(\alpha E))^2 = g(\gamma(\alpha E \times \alpha E)) + \frac{1}{4}(\gamma\alpha E, \alpha E)E = g(\gamma^t\alpha^{-1}(E \times E)) + \frac{1}{4}(^t\alpha^{-1}\gamma E, \alpha E)E = g(\alpha\gamma E) + \frac{1}{4}(\gamma E, E)E = g(\alpha E) + \frac{3}{4}E$ . Put  $P = \frac{1}{4}(2g(\alpha E) + E)$ . Then  $P \in \mathfrak{S}(4, \mathbf{H}^C)$ ,  $P^2 = \frac{1}{16}(4(g(\alpha E))^2 + 4g(\alpha E) + E) = \frac{1}{4}(2g(\alpha E) + E) = P$  and  $\text{tr}(P) = 1$ . Hence there exists  $A \in Sp(4, \mathbf{H}^C)$  such that

$$P = AE_1A^* \quad (\text{Lemma 3.4.3}).$$

Then  $\phi(A)E = g^{-1}(A(gE)A^*) = g^{-1}\left(A\left(2E_1 - \frac{1}{2}E\right)A^*\right) = g^{-1}\left(2P - \frac{1}{2}E\right) = g^{-1}(g(\alpha E)) = \alpha E$ . Put  $\beta = \phi(A)^{-1}\alpha$ , then  $\beta E = E$ , hence  $\beta \in F_4^C$  (Proposition 2.1.3.(4)), moreover  $\beta \in (F_4^C)^{\gamma}$ . By Theorem 2.3.3, there exist  $p \in Sp(1, \mathbf{H}^C)$ ,  $D \in Sp(3, \mathbf{H}^C)$  such that

$$\beta(M + \mathbf{a}) = DMD^* + p\mathbf{a}D^*, \quad M + \mathbf{a} \in \mathfrak{S}^C.$$

Put  $B = \text{diag}(p, D) \in Sp(4, \mathbf{H}^C)$ , then  $\beta = \phi(B)$ . In fact,

$$\begin{aligned}
\phi(B)(M + \mathbf{a}) &= g^{-1}(B(g(M + \mathbf{a}))B^*) \\
&= g^{-1}\left(\begin{pmatrix} p & 0 \\ 0 & D \end{pmatrix} \begin{pmatrix} \frac{1}{2}\text{tr}(M) & i\mathbf{a} \\ i\mathbf{a}^* & M - \frac{1}{2}\text{tr}(M)E \end{pmatrix} \begin{pmatrix} \bar{p} & 0 \\ 0 & D^* \end{pmatrix}\right) \\
&= g^{-1}\begin{pmatrix} \frac{1}{2}\text{tr}(M) & ip\mathbf{a}D^* \\ iD\mathbf{a}^*\bar{p} & DMD^* - \frac{1}{2}\text{tr}(M)E \end{pmatrix} = DMD^* + p\mathbf{a}D^* = \beta(M + \mathbf{a}).
\end{aligned}$$

Hence  $\alpha = \phi(A)\beta = \phi(A)\phi(B) = \phi(AB)$ ,  $AB \in Sp(4, \mathbf{H}^c)$ . Therefore  $\phi$  is onto.  $\text{Ker } \phi = \{E, -E\} = \mathbf{Z}_2$ . Thus we have the required isomorphism.

LEMMA 3.4.4.  $\phi: Sp(4, \mathbf{H}^c) \rightarrow E_6^c$  of Theorem 3.4.2 satisfies

- (1)  $\gamma = \phi(I_1)$ ,  $\gamma_c = \phi(jE)$ ,  $\gamma_H = \phi(iE)$ ,  $\sigma = \phi(I_2)$ .
- (2)  $\tau\phi(A)\tau = \gamma\phi(\tau A)\gamma = \phi(I_1(\tau A)I_1)$ ,  $\phi(A)^{-1} = \gamma\phi(A)\gamma = \phi(I_1AI_1)$ ,  $\gamma_c\phi(A)\gamma_c = \phi(\gamma_c A)$ ,  $\sigma\phi(A)\sigma = \phi(I_2AI_2)$ .

PROOF. It follows from  $\tau g(\tau X) = g(\gamma X) = I_1(gA)I_1$ ,  $g(\gamma_c X) = \gamma_c(gX) = j(gX)\bar{j}$ ,  $g(\gamma_H X) = \gamma_H(gX) = i(gX)\bar{i}$ ,  $g(\sigma X) = I_2(gX)I_2$ ,  $X \in \mathfrak{J}^c$ .

THEOREM 3.4.5. (1)  $(E_6)^{\lambda\gamma} \cong Sp(4)/\mathbf{Z}_2 \cong (E_{6(6)})^{\lambda\gamma}$ .

(2)  $(E_{6(6)})^{\lambda\gamma} \sim (\tau\gamma\gamma_c)^{\lambda\gamma} \cong Sp(4, \mathbf{R})/\mathbf{Z}_2 \times 2 \cong (\tau\lambda\gamma_c)^{\lambda\gamma} \sim (E_{6(2)})^{\lambda\gamma}$ .

(3)  $(E_{6(-26)})^{\lambda\gamma} \cong Sp(1, 3)/\mathbf{Z}_2 \cong (E_{6(2)})^{\lambda\gamma}$ .

(4)  $(E_{6(-14)})^{\lambda\gamma} \cong Sp(2, 2)/\mathbf{Z}_2 \times 2 \cong (\tau\gamma\sigma)^{\lambda\gamma} \sim (E_{6(6)})^{\lambda\gamma}$ .

PROOF. (1) Let  $\alpha \in (E_6)^{\lambda\gamma} = ((E_6^c)^{\tau\lambda})^{\lambda\gamma}$ ,  $\alpha = \phi(A)$ ,  $A \in Sp(4, \mathbf{H}^c)$  (Theorem 3.4.2). From  $\gamma^t\alpha^{-1}\gamma = \alpha$ , we have  $\phi(\tau A) = \phi(A)$  (Lemma 3.4.4). Hence  $\tau A = A$  or  $\tau A = -A$ . The latter case is impossible. In fact, put  $A = iB$ , then  $BB^* = -E$ ,  $B \in M(4, \mathbf{H})$ , a contradiction. Therefore  $A \in Sp(4)$ . Thus  $(E_6)^{\lambda\gamma} \cong Sp(4)/\mathbf{Z}_2$ .  $(E_{6(6)})^{\lambda\gamma} = (\tau\gamma)^{\lambda\gamma} = (\tau\lambda)^{\lambda\gamma}$ .

(2)

$$E_{6(6)} = (E_6^c)^{\tau\gamma} \cong (E^{9c})^{\tau\gamma\gamma_c}$$

because  $\gamma \sim \gamma\gamma_c$  under  $\delta \in G_2 \subset F_4 \subset E_6$ :  $\delta\gamma = \gamma\gamma_c\delta$ ,  $\delta\tau = \tau\delta$  (Proposition 1.2.3). Let  $\alpha \in ((E_6^c)^{\tau\gamma\gamma_c})^{\lambda\gamma} = (\tau\gamma\gamma_c)^{\lambda\gamma}$ ,  $\alpha = \phi(A)$ ,  $A \in Sp(4, \mathbf{H}^c)$ . From  $\tau\gamma\gamma_c^t\alpha^{-1}\gamma_c\gamma\tau = \alpha$ , we have  $\phi(\tau\gamma_c A) = \phi(A)$ . Thus  $(\tau\gamma\gamma_c)^{\lambda\gamma} = (Sp(4, \mathbf{H}') \cup (iE)Sp(4, \mathbf{H}'))/\mathbf{Z}_2$  (cf. Theorem 1.3.5)  $\cong Sp(4, \mathbf{R})/\mathbf{Z}_2 \times 2$ . ( $\phi(iE) = \gamma_H$ ).

$$E_{6(2)} = (E_6^c)^{\tau\lambda\gamma} = (E_6^c)^{\tau\lambda\gamma_c}$$

because  $\gamma \sim \gamma_c$  under  $\delta \in G_2 \subset F_4 \subset E_6$ :  $\delta\gamma = \gamma_c\delta$ ,  $\delta\tau\lambda = \tau\lambda\gamma$  (Proposition 1.2.3). Now  $(E_{6(2)})^{\lambda\gamma} \sim (\tau\lambda\gamma_c)^{\lambda\gamma} = (\tau\gamma\gamma_c)^{\lambda\gamma}$ .

(3) Define  $\phi: Sp(1, 3, \mathbf{H}^c) \rightarrow (E_6^c)^{\lambda\gamma}$  by  $\phi(A) = \phi(\Gamma_1 A \Gamma_1^{-1})$ . Let  $\alpha \in (E_{6(-26)})^{\lambda\gamma} = (\tau\gamma)^{\lambda\gamma}$ ,  $\alpha = \phi(A)$ ,  $A \in Sp(1, 3, \mathbf{H}^c)$ . From  $\tau\alpha = \alpha\tau$ , we have  $\phi(\tau A) = \phi(A)$ . Hence  $\tau A = A$  or  $\tau A = -A$ . The latter case is impossible. In fact, there exists no  $A \in M(4, \mathbf{H})$  such that  $A^*I_1A = -I_1$  because the signature of both sides are different. Therefore  $A \in Sp(1, 3)$ . Thus  $(E_{6(-26)})^{\lambda\gamma} \cong Sp(1, 3)/\mathbf{Z}_2$ .  $(E_{6(2)})^{\lambda\gamma} = (\tau\lambda\gamma)^{\lambda\gamma} = (\tau)^{\lambda\gamma}$ .

(4) Define  $\phi: Sp(2, 2, \mathbf{H}^c) \rightarrow (E_6^c)^{\lambda\gamma}$  by  $\phi(A) = \phi(\Gamma_2 A \Gamma_2^{-1})$ . Let  $\alpha \in (E_{6(-14)})^{\lambda\gamma} = (\tau\lambda\sigma)^{\lambda\gamma}$ ,  $\alpha = \phi(A)$ ,  $A \in Sp(2, 2, \mathbf{H}^c)$ . From  $\tau\sigma^t\alpha^{-1}\sigma\tau = \alpha$ , we have  $\phi(\tau A) = \phi(A)$ .

Hence  $(E_{6(-14)})^{\lambda\tau} \cong (Sp(2, 2) \cup i \begin{pmatrix} 0 & J' \\ J' & 0 \end{pmatrix} Sp(2, 2)) / \mathbf{Z}_2 = Sp(2, 2) / \mathbf{Z}_2 \times 2$ . (The explicit from of  $\rho_e = \phi \left( i \begin{pmatrix} 0 & J' \\ J' & 0 \end{pmatrix} \right)$ :  $\mathfrak{J}^C \rightarrow \mathfrak{J}^C$  is

$$\rho_e X = \begin{pmatrix} -\xi_1 & ex_3e & -ie\bar{x}_1 \\ e\bar{x}_3e & -\xi_1 & -iex_1 \\ ix_1e & i\bar{x}_1e & \xi_3 \end{pmatrix} = \bar{P}_e X P_e, \quad P_e = \begin{pmatrix} ie & 0 & 0 \\ 0 & ie & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

$$E_{6(6)} = (E_6{}^C)^{\tau\sigma} \cong (E_6{}^C)^{\tau\sigma}$$

because  $\gamma \sim \gamma\sigma$  under  $\delta \in F_4 \subset E_6$ :  $\delta\gamma = \gamma\sigma\delta$ ,  $\delta\tau = \tau\delta$  (Proposition 2.3.3). Now  $(E_{6(6)})^{\lambda\tau} \sim (\tau\gamma\sigma)^{\lambda\tau} = (\tau\lambda\sigma)^{\lambda\tau}$ .

### 3.5. Subgroups of type $C_1 \oplus A_5$ of Lie groups of type $E_6$ .

Let  $k: M(3, \mathbf{H}^C) \rightarrow \{P \in M(6, \mathbf{H}^C) \mid JP = \bar{P}J\}$  be the algebraic  $C$ -isomorphism (resp.  $k: (\mathbf{H}^C)^3 \rightarrow \{P \in M(2, 6, \mathbf{H}^C) \mid JP = \bar{P}J\}$  be the  $C$ -linear isomorphism) defined by

$$k((a+bj)) = \begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix}, \quad a, b \in \mathbf{C}^C$$

and denote the inverse  $k^{-1}$  of  $k$  by  $h$ .

LEMMA 3.5.1.  $\det(kM) = (\det M)^2$ ,  $M \in \mathfrak{J}(3, \mathbf{H}^C)$ .

PROOF. Since we know that the determinant of a skew-symmetric matrix  $S$  is square of a polynomial with respect to its components  $s_{ij}$ , we can easily calculate as

$$\det \begin{pmatrix} 0 & s_{13} & s_{13} & s_{14} & s_{15} & s_{16} \\ -s_{12} & 0 & s_{23} & s_{24} & s_{25} & s_{26} \\ -s_{13} & -s_{23} & 0 & s_{34} & s_{35} & s_{36} \\ -s_{14} & -s_{24} & -s_{34} & 0 & s_{45} & s_{46} \\ -s_{15} & -s_{25} & -s_{35} & -s_{45} & 0 & s_{56} \\ -s_{16} & -s_{26} & -s_{36} & -s_{46} & -s_{56} & 0 \end{pmatrix} = (s_{12}s_{34}s_{56} - s_{11}s_{35}s_{46} + s_{12}s_{36}s_{45} - s_{13}s_{24}s_{56} + s_{13}s_{25}s_{46} - s_{13}s_{26}s_{45} + s_{14}s_{23}s_{56} - s_{14}s_{25}s_{36} + s_{14}s_{26}s_{35} - s_{15}s_{23}s_{46} + s_{15}s_{24}s_{36} - s_{15}s_{26}s_{35} + s_{16}s_{23}s_{45} - s_{16}s_{24}s_{35} + s_{16}s_{25}s_{34})^2$$

Note that  $(kM)J \in M(6, \mathbf{C}^C)$  is skew-symmetric and use the above formula, then

$$\det(kM) = \det((kM)J) \quad (m_i, n_i \in \mathbf{C}^C)$$

$$=\det \begin{pmatrix} 0 & \xi_1 & -n_3 & m_3 & n_2 & \bar{m}_2 \\ -\xi_1 & 0 & -\bar{m}_3 & -\bar{n}_3 & -m_2 & -\bar{n}_2 \\ n_3 & \bar{m}_3 & 0 & \xi_2 & n_1 & m_1 \\ -m_3 & \bar{n}_3 & -\xi_2 & 0 & -\bar{m}_1 & -\bar{n}_1 \\ -n_2 & m_2 & n_1 & \bar{m}_1 & 0 & \xi_3 \\ -\bar{m}_2 & -\bar{n}_2 & -m_1 & -\bar{n}_1 & -\xi_2 & 0 \end{pmatrix} = \begin{aligned} & (\xi_1 \xi_2 \xi_3 - \xi_1 n_1 \bar{n}_1 - \xi_1 m_1 \bar{m}_1) \\ & - n_3 \bar{n}_3 \xi_3 - n_3 m_2 \bar{n}_1 - n_3 \bar{n}_2 \bar{m}_1 \\ & - m_3 \bar{m}_3 \xi_3 + m_3 m_2 m_1 - m_3 \bar{n}_2 n_1 \\ & - n_2 \bar{m}_3 \bar{n}_1 - n_2 \bar{n}_3 m_1 - n_2 \bar{n}_2 \xi_2 \\ & + \bar{m}_2 \bar{m}_3 \bar{m}_1 - \bar{m}_2 \bar{n}_3 n_1 - \bar{m}_2 m_2 \xi_2)^2 \end{aligned}$$

On the other hand,  $\det M$  is

$$\det \begin{pmatrix} \xi_1 & m_3 + n_3 j & \overline{m_2 + n_2 j} \\ \overline{m_3 + n_3 j} & \xi_2 & m_1 + n_1 j \\ m_2 + n_2 j & \overline{m_1 + n_1 j} & \xi_3 \end{pmatrix} = \begin{aligned} & \xi_3 \xi_2 \xi_3 + (m_1 + n_1 j)(m_2 + n_2 j)(m_3 + n_3 j) \\ & + (m_1 + n_1 j)(m_2 + n_2 j)(m_3 + n_3 j) \\ & - \sum_{i=1}^3 \xi_i (m_i + n_i j) \overline{(m_i + n_i j)} \end{aligned}$$

=the interior part of the above bracket.

LEMMA 3.5.2. *The group  $E_6(\mathfrak{J}(3, \mathbf{H}^C))$  is connected.*

PROOF. The group  $(E_6(\mathfrak{J}(3, \mathbf{H}^C)))^{r^2} = \{\alpha \in E_6(\mathfrak{J}(3, \mathbf{H}^C)) \mid \langle \alpha M, \alpha N \rangle = \langle M, N \rangle\}$  is connected. The outline of the proof is as follows (see [7]). In the homogeneous space  $(E_6(\mathfrak{J}(3, \mathbf{H}^C)))^{r^2}/F_4(\mathfrak{J}(3, \mathbf{H})) \cong EIV_H = \{X \in \mathfrak{J}(3, \mathbf{H}^C) \mid \det M = 1, \langle M, M \rangle = 3\}$ ,  $F_4(\mathfrak{J}(3, \mathbf{H})) = Sp(3)/\mathbf{Z}_2$  and  $EIV_H$  are connected, hence  $(E_6(\mathfrak{J}(3, \mathbf{H}^C)))^{r^2}$  is also connected. (In reality,  $(E_6(\mathfrak{J}(3, \mathbf{H}^C)))^{r^2} = SU(6)/\mathbf{Z}_2$ ). And  $(E_6(\mathfrak{J}(3, \mathbf{H}^C)))^{r^2}$  is a maximal compact subgroup of  $E_6(\mathfrak{J}(3, \mathbf{H}^C))$ . Therefore the group  $E_6(\mathfrak{J}(3, \mathbf{H}^C))$  is connected.

PROPOSITION 3.5.3.  $E_6(\mathfrak{J}(3, \mathbf{H}^C)) \cong SU^*(6, \mathbf{C}^C)/\mathbf{Z}_2$ .

PROOF. We define  $\phi: SU^*(6, \mathbf{H}^C) \rightarrow E_6(\mathfrak{J}(3, \mathbf{H}^C))$  by

$$\phi(A)M = k^{-1}(A(kM)A^*) = (hA)M(hA)^*, \quad M \in \mathfrak{J}(3, \mathbf{H}^C).$$

We have to prove  $\phi(A) \in E_6(\mathfrak{J}(3, \mathbf{H}^C))$ . In fact,  $(\det(\phi(A)M))^2 = \det(k(\phi(A)M))$  (Lemma 3.5.1)  $= \det(A(kM)A^*) = \det(kM) = (\det M)^2$  (Lemma 3.5.1). Therefore  $\det(\phi(A)M) = \pm \det M$ . Since  $SU^*(6, \mathbf{C}^C)$  is connected (Proposition 0.2), the sign of  $\det(\phi(A)M)$  is constant with respect to  $A$ . Hence  $\det(\phi(A)M) = \det M$ , that is,  $\phi$  is well-defined.  $\text{Ker } \phi = \{E, -E\} = \mathbf{Z}_2$ . Hence  $\phi$  induces a monomorphism  $d\phi: \mathfrak{su}^*(6, \mathbf{C}^C) \rightarrow \mathfrak{e}_6(\mathfrak{J}(3, \mathbf{H}^C))$ . Since the Lie algebra  $\mathfrak{e}_6(\mathfrak{J}(3, \mathbf{H}^C))$  has the structure  $\mathfrak{e}_6(\mathfrak{J}(3, \mathbf{H}^C)) = \mathfrak{f}_4(\mathfrak{J}(3, \mathbf{H}^C)) \oplus \tilde{\mathfrak{J}}(3, \mathbf{H}^C)_0$  (cf. Proposition 3.1.1) and  $\dim_C \mathfrak{e}_6(\mathfrak{J}(3, \mathbf{H}^C)) = 21 + 14 = 35 = \dim_C \mathfrak{su}^*(6, \mathbf{H}^C)$ ,  $d\phi$  is onto, hence  $\phi$  is also onto (Lemma 0.6) because  $E_6(\mathfrak{J}(3, \mathbf{H}^C))$  is connected (Lemma 3.5.2). Thus we have the required

isomorphism.

PROPOSITION 3.5.4.  $(E_6^C)\gamma \cong (Sp(1, C) \times SU^*(6, C^C))/\mathbf{Z}_2$ .

PROOF. We define  $\phi: Sp(1, H^C) \times SU^*(6, C^C) \rightarrow (E_6^C)\gamma$  by

$$\begin{aligned} \phi(p, A)(M+\mathbf{a}) &= k^{-1}(A(kM)A^*) + p k^{-1}((k\mathbf{a})A^{-1}) \\ &= (hA)M(hA)^* + p\mathbf{a}(hA)^{-1}, \quad M+\mathbf{a} \in \mathfrak{J}(3, H^C) \oplus (H^C)^{\mathfrak{s}} = \mathfrak{J}^C. \end{aligned}$$

We have to prove  $\phi(p, A) \in (E_6^C)\gamma$ .

ASSERTION 3.5.5.  ${}^t\phi(p, A)^{-1} = \phi(p, A^{*-1})$ .

PROOF.  $2({}^t\phi(p, A)(M+\mathbf{a}), N+\mathbf{b}) = M+\mathbf{a}, N+\mathbf{b} \in \mathfrak{J}^C$

$$= 2(M+\mathbf{a}, \phi(p, A)(N+\mathbf{b})) = (k(M+\mathbf{a}), k(\phi(p, A)(N+\mathbf{b})))$$

(where the inner product  $(X, Y)$  in  $M(6, C^C)$  (resp.  $M(2, 6, C^C)$ ) is defined by  $\frac{1}{2} \text{tr}(X^*Y + Y^*X)$ )

$$\begin{aligned} &= (kM+k\mathbf{a}, A(kN)A^* + (k(p\mathbf{b}))A^{-1}) = (kM, A(kN)A^*) + 2(k\mathbf{a}, (k(p\mathbf{b}))A^{-1}) \\ &= (A^*(kM)A, kN) + 2((k(\bar{p}\mathbf{a}))A^{*-1}, k\mathbf{b}) = (A^*(kM)A + (k(\bar{p}\mathbf{a}))A^{*-1}, kN + k\mathbf{b}) \\ &= (k(\phi(\bar{p}, A^*)(M+\mathbf{a})), k(N+\mathbf{b})) = 2(\phi(\bar{p}, A^*)(M+\mathbf{a}), N+\mathbf{b}). \end{aligned}$$

This shows  ${}^t\phi(p, A) = \phi(\bar{p}, A^*)$ , hence  ${}^t\phi(p, A)^{-1} = \phi(p, A^{*-1})$ .

ASSERTION 3.5.6.  $\phi(p, A) \in (E_6^C)\gamma$ .

PROOF. Put  $\alpha = \phi(p, A)$  and we shall show  ${}^t\alpha^{-1}(X \times Y) = \alpha X, \alpha Y, X, Y \in \mathfrak{J}^C$ .

Recall

$$(M+\mathbf{a}) \times (N+\mathbf{b}) = \left( M \times N - \frac{1}{2}(\mathbf{a}^*\mathbf{b} + \mathbf{b}^*\mathbf{a}) \right) - \frac{1}{2}(\mathbf{a}N + \mathbf{b}M)$$

Now  ${}^t\alpha^{-1}(M \times N) = \alpha M \times \alpha N$  is nothing but  $\det \alpha M = \det M$  (Lemma 2.1.2, Proposition 3.5.3).

$$\begin{aligned} (\alpha\mathbf{a})^*(\alpha\mathbf{b}) &= (p\mathbf{a}(hA)^{-1})^*(p\mathbf{b}(hA)^{-1}) = (hA)^{-1}\mathbf{a}^*\mathbf{b}(hA)^{-1} = \phi(p, A^{*-1})(\mathbf{a}^*\mathbf{b}) \\ &= {}^t\phi(p, A)^{-1}(\mathbf{a}^*\mathbf{b}) \text{ (Assertion 3.5.5)} = {}^t\alpha^{-1}(\mathbf{a}^*\mathbf{b}), \\ (\alpha\mathbf{a})(\alpha N) &= (p\mathbf{a}(hA)^{-1})((hA)N(hA)^*) = p\mathbf{a}N(hA)^* = \phi(p, A^{*-1})(\mathbf{a}N) \\ &= {}^t\phi(p, A)^{-1}(\mathbf{a}N) \text{ (Assertion 3.5.5)} = {}^t\alpha^{-1}(\mathbf{a}N). \end{aligned}$$

This shows  $\alpha \in E_6^C$ . Clearly  $\gamma\phi(p, A) = \phi(p, A)\gamma$ . Thus Assertion 3.5.6 is shown.

We return to the proof of Proposition 3.5.4. Obviously  $\phi$  is a homomor-

phism. We shall show  $\phi$  is onto. Let  $\alpha \in (E_6^C)^\gamma$ . Since the restriction of  $\alpha$  to  $(\mathfrak{J}^C)_r = \mathfrak{J}(3, \mathbf{H}^C)$  belongs to  $E_6(\mathfrak{J}(3, \mathbf{H}^C))$ , there exists  $A \in SU^*(6, \mathbf{C}^C)$  such that

$$\alpha M = k^{-1}(A(kM)A^*), \quad M \in \mathfrak{J}(3, \mathbf{H}^C) \quad (\text{Proposition 3.5.3}).$$

Put  $\beta = \phi(1, A)^{-1}\alpha$ , then  $\beta|_{\mathfrak{J}(3, \mathbf{H}^C)} = 1$ . Hence  $\beta \in (G_2^C)^\gamma$  and  $\beta|_{\mathbf{H}^C} = 1$ . By Theorem 1.3.2, there exists  $p \in Sp(1, \mathbf{H}^C)$  such that  $\beta = \phi(p, E)$ . Hence  $\alpha = \phi(1, A)\beta = \phi(1, A)\phi(p, E) = \phi(p, A)$ . Therefore  $\phi$  is onto.  $\text{Ker } \phi = \{(1, E), (-1, -E)\} = \mathbf{Z}_2$ . Thus we have the required isomorphism.

LEMMA 3.5.7.  $\phi: Sp(1, \mathbf{H}^C) \times SU^*(6, \mathbf{C}^C) \rightarrow (E_6^C)$  of Proposition 3.5.4 satisfies

- (1)  $\gamma = \phi(-1, E)$ ,  $\gamma_c = \phi(j, J)$ ,  $\gamma_H = \phi(i, iI)$ ,  $\sigma = \phi(-1, I_2)$ .
- (2)  $\tau\phi(p, A)\tau = \phi(\tau p, \tau A)$ ,  $\gamma_c\phi(p, A)\gamma_c = \phi(\gamma_c p, -JAJ)$ .

THEOREM 3.5.8.  $(E_{6(-26)})^\gamma \cong (Sp(1) \times SU^*(6))/\mathbf{Z}_2 \cong (E_{6(6)})^\gamma$ .

PROOF. Let  $\alpha \in (E_{6(-26)})^\gamma = (\tau)^\gamma$ ,  $\alpha = \phi(p, A)$ ,  $p \in Sp(1, \mathbf{H}^C)$ ,  $A \in SU^*(6, \mathbf{C}^C)$  (Proposition 3.5.4). From  $\tau\alpha = \alpha\tau$ , we have  $\phi(\tau p, \tau A) = \phi(p, A)$  (Lemma 3.5.7). Hence  $(E_{6(6)})^\gamma \cong (Sp(1) \cong (SU^*(6))/\mathbf{Z}_2$  (cf. Theorem 1.3.4).  $(E_{6(6)})^\gamma = (\tau\gamma)^\gamma = (\tau)^\gamma$ .

THEOREM 3.5.9. (1)  $(E_6^C)^\gamma \cong (Sp(1, C) \times SL(6, C))/\mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$ .

(2)  $(E_{6(6)})^\gamma \sim (\tau\gamma_c)^\gamma \cong (Sp(1, \mathbf{R}) \times SL(6, \mathbf{R}))/\mathbf{Z}_2 \times 2$ .

PROOF. (1) Since  $f': SL(6, C) \rightarrow SU^*(6, \mathbf{C}^C)$ ,  $f'(A) = \varepsilon A - \bar{\varepsilon} JAJ$  where  $\varepsilon = \frac{1}{2}(1+ii)$ , is an isomorphism (Proposition 0.2),  $\phi': Sp(1, \mathbf{H}^C) \times SL(6, C) \rightarrow (E_6^C)^\gamma$ ,  $\phi'(p, A) = \phi(p, f'A)$  induces the required isomorphism (Proposition 3.5.4).

$$(3) \quad E_{6(6)} = (E_6^C)^\gamma \cong (E_6^C)^\gamma c$$

because  $\gamma \sim \gamma_c$  under  $\delta \in G_2 \subset F_4 \subset E_6$ :  $\delta\gamma = \gamma_c\delta$ ,  $\delta\tau\lambda = \tau\lambda\delta$  (Proposition 1.2.3). Let  $\alpha \in (\tau\gamma_c)^\gamma$ ,  $\alpha = \phi'(p, A)$ ,  $p \in Sp(1, \mathbf{H}^C)$ ,  $A \in SL(6, C)$ . From  $\tau\gamma_c\alpha = \alpha\tau\gamma_c$ , we have  $\phi'(\tau\gamma_c p, \tau A) = \phi'(p, A)$  ( $\tau(f'A) = f'(-J(\tau A)J)$  and Lemma 3.5.7). Hence  $(E_{6(6)})^\gamma \sim (\tau\gamma_c)^\gamma \cong (Sp(1, \mathbf{H}') \times SL(6, \mathbf{R}) \cup iSp(1, \mathbf{H}') \times (-iI)SL(6, \mathbf{R}))/\mathbf{Z}_2$  (cf. Theorem 1.3.5)  $\cong (Sp(1, \mathbf{R}) \times SL(6, \mathbf{R}))/\mathbf{Z}_2 \times 2$ . ( $\phi'(i, -iI) = \gamma_H$ ).

LEMMA 3.5.10. Since  $f: SU(6, \mathbf{C}^C) \rightarrow SU^*(6, \mathbf{C}^C)$ ,  $f(A) = \varepsilon A - \bar{\varepsilon} J\bar{A}J$  where  $\varepsilon = \frac{1}{2}(1+ii)$ , is an isomorphism (Proposition 0.2),  $\phi: Sp(1, \mathbf{H}^C) \times SU(6, \mathbf{C}^C) \rightarrow (E_6^C)^\gamma$ ,  $\phi(p, A) = \phi(p, fA)$  is also an isomorphism. Now this  $\phi$  satisfies

- (1)  $\gamma = \phi(-1, E)$ ,  $\gamma_c = \phi(j, J)$ ,  $\gamma_H = \phi(i, iI)$ ,  $\rho = \phi(1, I_2')$  where  $I_2' = \text{diag}(-1, 1, -1, 1, 1, 1)$ ,  $\sigma = \phi(-1, I_2)$ .

$$(2) \quad \tau\phi(p, A)\tau = \phi(\tau p, -J\bar{A}J), \quad {}^t\phi(p, A)^{-1} = \phi(p, -JAJ), \quad \gamma_c\phi(p, A)\gamma_c = \phi(\gamma_c p, -JAJ), \quad \gamma_H\phi(p, A)\gamma_H = \phi(\gamma_H p, IAI), \quad \sigma\phi(p, A)\sigma = \phi(p, I_2AI_2).$$

PROOF. It is clear from  $\tau f(A) = f(-J\bar{A}J)$ ,  $(fA)^* = f(-JA^*J)$ ,  $f(J) = J$ ,  $f(I_2) = I_2$  and Lemma 3.5.7.  $\rho = \phi(1, I_2')$  is obtained by the direct calculation.

**THEOREM 3.5.11.** (1)  $(E_6)^\gamma \cong (Sp(1) \times SU(6))/\mathbf{Z}_2 \cong (E_{6(2)})^\gamma$ .

(2)  $(E_{6(-14)})^\gamma \cong (Sp(1) \times SU(2, 4))/\mathbf{Z}_2 \cong (\tau\lambda\gamma\sigma)^\gamma \sim (E_{6(2)})^\gamma$ .

(3)  $(E_{6(2)})^\gamma \sim (\tau\lambda\gamma_H)^\gamma \cong (Sp(1, \mathbf{R}) \times SU(3, 3))/\mathbf{Z}_2 \times 2$ .

PROOF. (1) Let  $\alpha \in (E_6)^\gamma = (\tau\lambda)^\gamma$ ,  $\alpha = \phi(p, A)$ ,  $p \in Sp(1, \mathbf{H}^c)$ ,  $A \in SU(6, \mathbf{C}^c)$ . From  $\tau\lambda\alpha = \alpha\tau\lambda$ , we have  $\phi(\tau p, \tau A) = \phi(p, A)$  (Lemma 3.5.10). Thus  $(E_6)^\gamma \cong (Sp(1) \times SU(6))/\mathbf{Z}_2$  (cf. Theorem 1.3.4).  $(E_{6(2)})^\gamma = (\tau\lambda\gamma)^\gamma = (\tau\lambda)^\gamma$ .

(2) Define  $\varphi : Sp(1, \mathbf{H}^c) \times SU(2, 4, \mathbf{C}^c) \rightarrow (E_6)^\gamma$  by  $\varphi(p, A) = \phi(p, \Gamma_2 A \Gamma_2^{-1})$ . Let  $\alpha \in (E_{6(-14)})^\gamma = (\tau\lambda\sigma)^\gamma$ ,  $\alpha = \varphi(p, A)$ ,  $p \in Sp(1, \mathbf{H}^c)$ ,  $A \in SU(2, 4, \mathbf{C}^c)$ . From  $\tau\sigma{}^t\alpha^{-1}\sigma\tau = \alpha$ , we have  $\varphi(\tau p, \tau A) = \varphi(p, A)$ . Thus  $(E_{6(-14)})^\gamma \cong (Sp(1) \times SU(2, 4))/\mathbf{Z}_2$  (cf. Theorem 1.3.4).

$$E_{6(2)} = (E_6)^\gamma \cong (E_6)^\gamma \cong (E_6)^\gamma$$

because  $\gamma \sim \gamma\sigma$  under  $\delta \in F_4 \subset E_6 : \delta\gamma = \gamma\sigma\delta$ ,  $\delta\tau\lambda = \tau\lambda\delta$  (Proposition 2.2.3). Now  $(E_{6(2)})^\gamma \sim (\tau\lambda\gamma\sigma)^\gamma = (\tau\lambda\sigma)^\gamma$ .

$$(3) \quad E_{6(2)} = (E_6)^\gamma \cong (E_6)^\gamma \cong (E_6)^\gamma$$

because  $\gamma \sim \gamma_H$  under  $\delta \in G_2 \subset F_4 \subset E_6 : \delta\gamma = \gamma_H\delta$ ,  $\delta\tau\lambda = \tau\lambda\delta$  (Proposition 1.2.3). Let  $SU(3, 3, K) = \{A \in M(6, K) | A^*IA = I, \det A = 1\}$ ,  $I = \text{diag}(1, -1, 1, -1, 1, -1)$ ,  $K = \mathbf{C}$ ,  $\mathbf{C}^c$  and define  $\varphi : Sp(1, \mathbf{H}^c) \times SU(3, 3, \mathbf{C}^c) \rightarrow (E_6)^\gamma$  by  $\varphi(p, A) = \phi(p, \Gamma_3' A \Gamma_3'^{-1})$  where  $\Gamma_3' = \text{diag}(1, i, 1, i, 1, i)$ . Let  $\alpha \in (\tau\lambda\gamma_H)^\gamma$ ,  $\alpha = \varphi(p, A)$ ,  $p \in Sp(1, \mathbf{H}^c)$ ,  $A \in SU(3, 3, \mathbf{C}^c)$ . From  $\tau\gamma_H{}^t\alpha^{-1}\gamma_H\tau = \alpha$ , we have  $\varphi(\tau\gamma_H p, \tau A) = \varphi(p, A)$ . Thus  $(E_{6(2)})^\gamma \sim (\tau\lambda\gamma_H)^\gamma \cong (Sp(1, \mathbf{H}) \times SU(3, 3) \cup jSp(1, \mathbf{H}) \times (iJ')SU(3, 3))/\mathbf{Z}_2 \cong (Sp(1, \mathbf{R}) \times SU(3, 3))/\mathbf{Z}_2 \times 2$  (cf. Theorem 1.3.5).  $(\varphi(j, iJ') = \gamma_C)$ .

**3.5.12. PROPOSITION 3.2.3.** (1)  $\gamma \sim \rho$ . (2)  $\sigma \sim \gamma\rho$ . (3)  $\sigma \sim \gamma_H\rho$ .

PROOF. (1) Since  $I_2' \sim I_2$  under a certain  $D_1 \in SU(6)$ ,  $\rho = \phi(1, I_2') \sim \gamma\sigma = \phi(1, I_2)$  under  $\delta_1 = \phi(1, D_1) \in (E_6)^\gamma$  (Theorem 3.5.11.(1)). Furthermore  $\gamma\sigma \sim \gamma$  in  $F_4 \subset E_6$  (Proposition 2.2.3.(1)). Consequently  $\rho \sim \gamma$  in  $E_6$ .

(2) As is shown in (1),  $\rho \sim \gamma\sigma$  under  $\delta_1 \in (E_6)^\gamma$ , hence  $\gamma\rho \sim \gamma\gamma\sigma = \sigma$  in  $E_6$ .

(3)  $\gamma_H \sim \gamma$  under  $\delta \in G_2 \subset F_4 \subset E_6$  (Proposition 1.2.3). This  $\delta$  satisfies  $\delta(i) = i$ , hence  $\delta\rho = \rho\delta$ . Therefore  $\gamma_H\rho \sim \gamma\rho$  under  $\delta \in E_6$ . Thus  $\gamma_H\rho \sim \gamma\rho \sim \gamma$  (result of (1)) in  $E_6$ .

**THEOREM 3.5.13.**  $(E_{6(-14)})^{\gamma} \sim (\tau \lambda \gamma_H \rho)^{\gamma} \cong (Sp(1, \mathbf{R}) \times SU(5, 1)) / \mathbf{Z}_2$ .

PROOF.

$$E_{6(-14)} = (E_6^C)^{\tau \lambda \sigma} \cong (E_6^C)^{\tau \lambda \gamma_H \rho}$$

because  $\sigma \sim \gamma_H \rho$  under  $\delta \in E_6 : \delta \sigma = \gamma_H \rho \delta$ ,  $\delta \tau \lambda = \tau \lambda \delta$  (Proposition 3.2.3). Put  $I_5' = I_2'I = \text{diag}(-1, -1, -1, -1, 1, -1)$  and  $SU(5, 1, K) = \{A \in M(6, K) | A^* I_5' A = I_5'\}$ ,  $\det A = 1\}$ ,  $K = C$ ,  $C^c$ . Define  $\varphi : Sp(1, H^c) \times SU(5, 1, C^c) \rightarrow (E_6^C)^{\gamma}$  by  $\varphi(p, A) = \phi(p, \Gamma_5' A \Gamma_5'^{-1})$  where  $\Gamma_5' = \text{diag}(i, i, i, i, 1, i)$ . Let  $\alpha \in (\tau \lambda \gamma_H \rho)^{\gamma}$ ,  $\alpha = \varphi(p, A)$ ,  $p \in Sp(1, H^c)$ ,  $A \in SU(5, 1, C^c)$ . From  $\gamma_H \rho \tau^t \alpha^{-1} \tau \rho \gamma_H = \alpha$ , we have  $\varphi(\tau \gamma_H p, \tau A) = \varphi(p, A)$ . Thus  $(E_{6(-14)})^{\gamma} \sim (\tau \lambda \gamma_H \rho)^{\gamma} \cong (Sp(1, H) \times SU(5, 1)) / \mathbf{Z}_2$  (cf. Theorem 3.4.5.(3))  $\cong (Sp(1, \mathbf{R}) \times SU(5, 1)) / \mathbf{Z}_2$ .

### 3.6. Subgroups of type $C \oplus D_5$ of Lie groups of type $E_6$ .

**LEMMA 3.6.1.** For  $\alpha \in (E_6^C)^{\sigma}$ , there exists  $\xi \in C^* = C - \{0\}$  such that  $\alpha E_1 = \xi E_1$ .

PROOF. Note that for  $\alpha \in (E_6^C)^{\sigma}$  we have  ${}^t \alpha, {}^t \alpha^{-1} \in (E_6^C)^{\sigma}$ . As in Section 2.4,  $(\mathfrak{J}^C)_\sigma, (\mathfrak{J}^C)_{-\sigma}$  are invariant under  $\alpha \in (E_6^C)^{\sigma}$ , hence  $\alpha E_2, {}^t \alpha E_2, \alpha E_3, {}^t \alpha E_3, {}^t \alpha^{-1} E_3 \in \mathfrak{J}(2, \mathfrak{C}^c)$  as in Lemma 2.4.1. Suppose that  $\alpha E_1$  and  ${}^t \alpha^{-1} E_1 \in \mathfrak{J}(2, \mathfrak{C}^c)$ . Then  $\alpha E$  and  ${}^t \alpha^{-1} E \in \mathfrak{J}(2, \mathfrak{C}^c)$ , and  $\xi_2 E_2 + \xi_3 E_3 + F_1(x) = \alpha E = \alpha(E \times E) = {}^t \alpha^{-1} E \times {}^t \alpha^{-1} E = (\eta_2 E_2 + \eta_3 E_3 + F_1(y))^{\times 2} = (\eta_2 \eta_3 - y \bar{x}) E_1$  for some  $\xi_i, \eta_i \in C$ ,  $x, y \in \mathfrak{C}^c$ . This implies  $\xi_2 = \xi_3 = x = 0$ . Hence  $\alpha E = 0$ , a contradiction. Therefore  $\alpha E_1 \notin \mathfrak{J}(2, \mathfrak{C}^c)$  or  ${}^t \alpha^{-1} E_1 \notin \mathfrak{J}(2, \mathfrak{C}^c)$ .

(1) Case  $\alpha E_1 \notin \mathfrak{J}(2, \mathfrak{C}^c)$ . We can put  $\alpha E_1 = \xi E_1 + \xi_2 E_2 + \xi_3 E_3 + F_1(X)$ ,  $\xi \neq 0$ . Then  $0 = {}^t \alpha^{-1}(E_1 \times E_1) = \alpha E_1 \times \alpha E_1 = (\xi E_1 + \xi_2 E_2 + \xi_3 E_3 + F_1(x))^{\times 2} = (\xi_2 \xi_3 - x \bar{x}) E_1 + \xi \xi_3 E_2 - \xi \xi_2 E_3 - \xi F_1(x)$ . This implies  $\xi_2 = \xi_3 = x = 0$ . Hence  $\alpha E_1 = \xi E_1$ ,  $\xi \neq 0$ .

(2) Case  ${}^t \alpha^{-1} E_1 \notin \mathfrak{J}(2, \mathfrak{C}^c)$ . Similarly as above, there exists  $\eta \in C^*$  such that  ${}^t \alpha^{-1} E_1 = \eta E_1$ . Then  ${}^t \alpha E_1 = \eta^{-1} E_1$  (put  $\xi = \eta^{-1}$ ).

$$(\alpha E_1, E_1) = (E_1, {}^t \alpha E_1) = (E_1, \xi E_1) = \xi,$$

$$(\alpha E_1, E_i) = (E_1, {}^t \alpha E_i) = 0 \quad (\text{because } {}^t \alpha E_i \in \mathfrak{J}(2, \mathfrak{C}^c)), \quad i = 2, 3.$$

Hence  $\alpha E_1$  has the form  $\xi E_1 + F_1(x)$ ,  $\xi \neq 0$ . From  $0 = {}^t \alpha^{-1}(E_1 \times E_1) = \alpha E_1 \times \alpha E_1 = (\xi E_1 + F_1(x))^{\times 2} = -x \bar{x} E_1 - \xi F_1(x)$ , we have  $x = 0$ . Hence  $\alpha E_1 = \xi E_1$ . Thus the proof of Lemma is completed.

**LEMMA 3.6.2.** If  $\alpha \in ((E_6^C)^{\sigma})_{E_1}$  then  ${}^t \alpha, {}^t \alpha^{-1} \in ((E_6^C)^{\sigma})_{E_1}$ .

PROOF. Put  ${}^t \alpha E_1 = \xi E_1$ ,  $\xi \in C^*$  (Lemma 3.6.1). Then  $\xi = (\xi E_1, E_1) = ({}^t \alpha E_1, E_1)$

$$=(E_1, \alpha E_1)=(E_1, E_1)=1.$$

LEMMA 3.6.3.  $((E_6^C)^\sigma)_{E_1}/Spin(9, C) \simeq (S^C)^g$ . In particular, the group  $((E_6^C)^\sigma)_{E_1}$  is connected.

PROOF. We define a complex 9-dimensional sphere  $(S^C)^g$  by

$$(S^C)^g = \{X \in \mathfrak{J}^C \mid 4E_1 \times (E_1 \times X) = X, (E_1, X, X) = 1\}$$

$$= \left\{ \begin{pmatrix} \xi & x \\ \bar{x} & \eta \end{pmatrix} \mid \xi\eta - x\bar{x} = 1, \xi, \eta \in C, x \in \mathfrak{C}^C \right\}.$$

The group  $((E_6^C)^\sigma)_{E_1}$  acts on  $(S^C)^g$  (Lemma 3.6.2). We shall show that this action is transitive. To show this we prepare some elements of  $((E_6^C)^\sigma)_{E_1}$ .

(1) For  $d \in \mathfrak{C}^C$ , put  $D_{32} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & d & 1 \end{pmatrix}$  and define a  $C$ -linear transformation

$\delta_{32}(d)$  of  $\mathfrak{J}^C$  by  $\delta_{32}(d)X = D_{32}XD_{32}^*$ ,  $X = X(\xi, x) \in \mathfrak{J}^C$ , explicitly

$$\delta_{32}(d)X = \begin{pmatrix} \xi_1 & x_3 & x_3\bar{d} + \bar{x}_2 \\ \bar{x}_3 & \xi_2 & \xi_2\bar{d} + x_1 \\ d\bar{x}_3 + x_2 & \xi_2d + \bar{x}_1 & \xi_2d\bar{d} + 2(d, \bar{x}_1) + \xi_3 \end{pmatrix}.$$

Then  $\delta_{32}(d) \in ((E_6^C)^\sigma)_{E_1}$ . Similarly  $\delta_{32}(d) \in ((E_6^C)^\sigma)_{E_1}$  can be defined.

(2) For  $\theta \in C^*$ , define a  $C$ -linear transformation  $\delta(\theta)$  of  $\mathfrak{J}^C$  by

$$\delta(\theta)X = \begin{pmatrix} \xi_1 & \theta x_3 & \theta^{-1}\bar{x}_2 \\ \theta\bar{x}_3 & \theta^2\xi_2 & x_1 \\ \theta^{-1}x_2 & \bar{x}_1 & \theta^{-2}\xi_3 \end{pmatrix} = D_\theta XD_\theta, \quad D_\theta = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \theta & 0 \\ 0 & 0 & \theta^{-1} \end{pmatrix}.$$

Then  $\delta(\theta) \in ((E_6^C)^\sigma)_{E_1}$ .

Now let  $X = \begin{pmatrix} \xi & x \\ \bar{x} & \eta \end{pmatrix} \in (S^C)^g$ . If  $\xi \neq 0$  (resp.  $\eta \neq 0$ ), operate  $\delta_{32}(-\bar{x}/\xi)$  (resp.  $\delta_{23}(-x/\eta)$ ) on  $X$ , then  $X$  is transformed to a diagonal form. In the case of  $\xi = \eta = 0$ , choose  $d \in \mathfrak{C}^C$  such that  $(d, \bar{x}) \neq 0$ , then  $\delta_{32}(d)\begin{pmatrix} 0 & x \\ \bar{x} & 0 \end{pmatrix} = \begin{pmatrix} 0 & x \\ \bar{x} & 2(d, \bar{x}) \end{pmatrix}$ , hence it is reduced to the first case. Thus  $X$  is transformed to a diagonal form  $\xi E_2 + \eta E_3$ ,  $\xi\eta = 1$ . Moreover choose  $\theta \in C$  such that  $\theta^2 = \eta$  and operate  $\delta(\theta)$ , then it can be transformed to  $E_2 + E_3$ . This shows the transitivity. The isotropy subgroup of  $((E_6^C)^\sigma)_{E_1}$  at  $E_2 + E_3$  is  $((E_6^C)^\sigma)_E = (F_4^C)^\sigma$  (Proposition 2.1.3.(4)) =  $Spin(9, C)$  (Theorem 2.4.3). Thus we have the homeomorphism  $((E_6^C)^\sigma)_{E_1}/Spin(9, C) \simeq (S^C)^g$ .

PROPOSITION 3.6.4.  $((E_6^C)^\sigma)_{E_1} \cong Spin(10, C)$ .

PROOF. Since the group  $((E_6^C)^\sigma)_{E_1}$  is connected (Lemma 3.6.3), we can define a homomorphism  $\pi: ((E_6^C)^\sigma)_{E_1} \rightarrow SO(10, C) = SO((V^C)^{10})$  by  $\pi(\alpha) = \alpha|_{(V^C)^{10}}$  where

$$(V^C)^{10} = \mathfrak{J}(2, \mathfrak{G}^C) = \{X \in \mathfrak{J}(3, \mathfrak{G}^C) \mid 4E_1 \times (E_1 \times X) = X\}$$

with the norm  $(E_1, X, X)$ .  $\text{Ker } \pi = \{1, \sigma\} = Z_2$ . Hence  $\pi$  induces a monomorphism  $d\pi: ((E_6^C)^\sigma)_{E_1} \rightarrow \mathfrak{so}(10, C)$ . Since  $((\mathfrak{e}_6^C)^\sigma)_{E_1} = (\mathfrak{f}_4^C \oplus \widetilde{\mathfrak{J}}^C)_0 = (\mathfrak{f}_4^C)_{E_1} \oplus \widetilde{\mathfrak{J}}(2, \mathfrak{G}^C)$  (Proposition 3.1.1) and  $\dim_C((\mathfrak{e}_6^C)^\sigma)_{E_1} = 36 + 9$  (Theorem 2.4.3) = 45 =  $\dim_C \mathfrak{so}(10, C)$ ,  $d\pi$  is onto, hence  $\pi$  is onto. Thus  $((E_6^C)^\sigma)_{E_1}/Z_2 \cong SO(10, C)$ . Therefore  $((E_6^C)^\sigma)_{E_1}$  is isomorphic to  $Spin(10, C)$  as the universal covering group of  $SO(10, C)$ .

PROPOSITION 3.6.5.  $(E_6^C)^\sigma$  has a subgroup  $\phi(C^*)$  which is isomorphic to the group  $C^*$ . Where  $\phi(\theta)$ ,  $\theta \in C^*$ , is the  $C$ -linear transformation of  $\mathfrak{J}^C$  defined by

$$\phi(\theta)X = \begin{pmatrix} \theta^4 \xi_1 & \theta x_3 & \theta \bar{x}_2 \\ \theta \bar{x}_3 & \theta^{-2} \xi_2 & \theta^{-2} x_1 \\ \theta x_2 & \theta^{-2} \bar{x}_1 & \theta^{-2} \xi_3 \end{pmatrix} = S_\theta X S_\theta, \quad S_\theta = \begin{pmatrix} \theta^2 & 0 & 0 \\ 0 & \theta^{-1} & 0 \\ 0 & 0 & \theta^{-1} \end{pmatrix}.$$

LEMMA 3.6.6. The groups  $\phi(C^*)$  and  $Spin(10, C)$  commute in  $(E_6^C)^\sigma$  elementwisely.

PROOF. The restrictions of  $\phi(\theta)$ ,  $\theta \in C^*$ , to  $\mathfrak{E}_1^C$ ,  $\mathfrak{J}(2, \mathfrak{G}^C)$ ,  $(\mathfrak{J}^C)_{-\sigma}$  are constant mappings and  $\beta \in Spin(10, C)$  leaves invariant these spaces. From this we see that  $\phi(\theta)$  and  $\beta$  are commutative.

THEOREM 3.6.7.  $(E_6^C)^\sigma \cong (C^* \times Spin(10, C))/\mathbf{Z}_4$ ,  $\mathbf{Z}_4 = \{(1, 1), (-1, \sigma), (i, \sigma\sqrt{\sigma}), (-i, \sqrt{\sigma})\}$ .

PROOF ([7]). We define  $\psi: C^* \times Spin(10, C) \rightarrow (E_6^C)^\sigma$  by

$$\psi(\theta, \beta) = \phi(\theta)\beta.$$

Then  $\psi$  is a homomorphism (Lemma 3.6.6). We shall show  $\psi$  is onto. For  $\alpha \in (E_6^C)^\sigma$ , there exists  $\theta \in C^*$  such that

$$\alpha E_1 = \theta^4 E_1 \quad (\text{Lemma 3.6.1}).$$

Put  $\beta = \phi(\theta)^{-1}\alpha$ , then  $\beta E_1 = E_1$ , hence  $\beta \in Spin(10, C)$  (Proposition 3.6.4). Therefore  $\alpha = \phi(\theta)\beta = \phi(\theta, \beta)$ , that is,  $\psi$  is onto.  $\text{Ker } \psi = \{(1, 1), (-1, \phi(-1)), (i, \phi(-i)), (-i, \phi(i))\} = \mathbf{Z}_4$ . ( $\phi(-1) = \sigma$ ,  $\phi(i) = \sqrt{\sigma}$  (Proposition 3.2.3.(1)). In fact, let  $\phi(\theta)\beta = 1$ ,  $\theta \in C^*$ ,  $\beta \in Spin(10, C)$ . Operate on  $E_1$ , then  $\theta^4 = 1$ , hence  $\theta = \pm 1, \pm i$ .

Therefore  $\text{Ker } \phi = Z_4$ . Thus we have the required isomorphism.

**THEOREM 3.6.8.** (1)  $(E_{6(-26)})^\sigma \cong R^+ \times Spin(9, 1)$ .

(2)  $(E_{6(6)})^\sigma \cong (R^+ \times spin(5, 5)) \times 2$ .

**PROOF.** (1) For  $\alpha \in (E_{6(-26)})^\sigma$  there exists  $\xi \in R^+ = \{\xi \in R \mid \xi > 0\}$  such that  $\alpha E_1 = \xi E_1$ . In fact,  $\xi E = \alpha_1 E_1 (\xi \in C^* \text{ (Lemma 3.6.1)}) = \tau \alpha \tau E_1 = \tau \xi E_1$ , hence  $\tau \xi = \xi$ , that is,  $\xi \in R^* = R - \{0\}$ . Moreover  $\xi > 0$ . (Although it follows from the connectedness of  $(E_{6(-26)})^\sigma$  (Lemma 0.7) we will give here a direct proof). As in Lemma 2.4.1 we have

$$\alpha E_2 = \eta_2 E_2 + \eta_3 E_3 + F_1(y), \quad \eta_2, \eta_3 \geq 0, y \in \mathbb{C},$$

$${}^t \alpha^{-1} E_3 = \zeta_2 E_2 + \zeta_3 E_3 + F_1(z), \quad \zeta_2, \zeta_3 \geq 0, z \in \mathbb{C}.$$

Suppose  $\xi < 0$ . Then from  $\zeta_2 E_2 + \zeta_3 E_3 + F_1(z) = {}^t \alpha^{-1} E_3 = 2 {}^t \alpha^{-1} (E_1 \times E_2) = 2 \alpha E_1 \times \alpha E_2 = 2 \xi E_1 \times (\eta_2 E_2 + \eta_3 E_3 + F_1(y)) = \xi \eta_3 E_2 + \xi \eta_2 E_3 - \xi F_1(y)$  we have  $\eta_2 = \eta_3 = 0$ . Hence  $\alpha E_2 = F_1(y)$ . Again from  $0 = {}^t \alpha^{-1} (E_2 \times E_2) = \alpha E_2 \times \alpha E_2 = F_1(y) \times F_1(y) = -y \bar{y} E_1$  we have  $y = 0$ , a contradiction.

Now  $((E_{6(-26)})^\sigma)_{E_1} = (((E_6^C)^r)_{E_1})^\sigma = (((E_6^C)^\sigma)_{E_1})^r$  is connected (Lemma 0.7) because  $((E_6^C)^\sigma)_{E_1} \cong Spin(10, C)$  (Proposition 3.6.4) is simply connected. The group  $((E_{6(-26)})^\sigma)_{E_1}$  acts on

$$V^{9,1} = (\mathfrak{J}(2, \mathbb{C}^C))_{\tau} = \left\{ X = \begin{pmatrix} \xi & x \\ \bar{x} & \eta \end{pmatrix} \mid \xi, \eta \in R, x \in \mathbb{C} \right\}$$

with the norm  $(E_1, X, X) = \xi \eta - x \bar{x}$ . We can define a homomorphism  $\pi: ((E_{6(-26)})^\sigma)_{E_1} \rightarrow O(9, 1)_0 = O(V^{9,1})_0$ .  $\text{Ker } \pi = \{1, \sigma\} = Z_2$ . As similar to Proposition 3.6.4,  $\pi$  is onto. Thus  $((E_{6(-26)})^\sigma)_{E_1}/Z_2 \cong O(9, 1)_0$ . Therefore  $((E_{6(-26)})^\sigma)_{E_1}$  is isomorphic to  $Spin(9, 1)$  as the universal covering group of  $O(9, 1)_0$ . Let  $\phi: R^+ \rightarrow (E_{6(-26)})^\sigma$  be the restriction of  $\phi: C^* \rightarrow (E_6^C)^\sigma$  defined in Lemma 3.6.5. Now  $\phi: R^+ \times Spin(9, 1) \rightarrow (E_{6(-26)})^\sigma$ ,  $\phi(\theta, \beta) = \phi(\theta)\beta$ , gives the required isomorphism (cf. Theorem 3.6.7).

(2) As in (1), for  $\alpha \in (E_{6(6)})^\sigma$ ,  $\alpha E_1 = \xi E_1$ ,  $\xi \in R^*$ . In this case there exists surely  $\alpha \in (E_{6(6)})^\sigma$  such that  $\alpha E_1 = -E_1$ . In fact,  $\rho_e$  in Theorem 3.4.5.(4) is the required one. Now the connected group  $((E_{6(6)})^\sigma)_{E_1}$ , as in (1), acts on

$$V^{5,5} = (\mathfrak{J}(3, \mathbb{C}^C))_{\tau} = \left\{ X = \begin{pmatrix} \xi & x' \\ \bar{x}' & \eta \end{pmatrix} \mid \xi, \eta \in R, x' \in (\mathbb{C}^C)_{\tau}, \bar{x}' = \xi \right\}$$

with the norm  $(E_1, X, X) = \xi \eta - x' \bar{x}'$ . We can define a homomorphism  $\pi: ((E_{6(6)})^\sigma)_{E_1} \rightarrow O(5, 5)_0 = O(V^{5,5})_0$ .  $\text{Ker } \pi = \{1, \sigma\} = Z_2$ . As similar to (1) we have  $((E_{6(6)})^\sigma)_{E_1}/Z_2 \cong O(5, 5)_0$ . Therefore  $((E_{6(6)})^\sigma)_{E_1}$  is denoted by  $spin(5, 5)$  (not simply

connected) as a double covering group of  $O(5, 5)_0$ . Put  $((E_{6(6)})^\sigma)_0 = \{\alpha \in (E_{6(6)})^\sigma \mid \alpha E_1 = \xi E_1, \xi > 0\}$ . By the use of  $\phi$  in (1), we see that  $\phi: R^+ \times \text{spin}(5, 5) \rightarrow ((E_{6(6)})^\sigma)_0$ ,  $\phi(\theta, \beta) = \phi(\theta)\beta$ , is an isomorphism (cf. Theorem 3.6.7). Thus  $(E_{6(6)})^\sigma = ((E_{6(6)})^\sigma)_0 \cup \rho_e((E_{6(6)})^\sigma)_0 \cong (R^+ \times \text{spin}(5, 5)) \times 2$ .

**THEOREM 3.6.9.** (1)  $(E_6)^\sigma \cong (U(1) \times \text{Spin}(10))/Z_4 \cong (E_{6(-14)})^\sigma$ .

(2)  $(E_{6(2)})^\sigma \cong (U(1) \times \text{spin}(6, 4))/Z_4$ .

(3)  $(E_{6(-14)})^\sigma \sim (\tau\lambda\sigma')^\sigma \cong (U(1) \times \text{spin}(8, 2))/Z_4$ .

**PROOF.** (1) For  $\alpha \in (E_6)^\sigma$ ,  $\xi E_1 = \alpha E_1$  ( $\xi \in C^*$  (Lemma 3.6.1))  $= \tau^\ell \alpha^{-1} \tau E_1 = (\tau\xi)^{-1} E_1$ , hence  $\xi(\tau\xi) = 1$ , that is,  $\xi \in U(1) = \{\xi \in C \mid \xi(\tau\xi) = 1\}$ .  $((E_6)^\sigma)_{E_1} = (((E_6^C)^\sigma)_{E_1})^{\tau^\lambda}$  is connected as in Theorem 3.6.8.(1). The group  $((E_6)^\sigma)_{E_1}$  acts on

$$V^{10} = \{X \in \mathfrak{J}^C \mid 2E_1 \times X = -\tau X\} = \left\{ \begin{pmatrix} \xi & x \\ \bar{x} & -\tau\xi \end{pmatrix} \mid \xi \in C, x \in \mathfrak{G} \right\}$$

with the norm  $\langle X, X \rangle / 2 = \xi(\tau\xi) + x\bar{x}$ . We can define a homomorphism  $\pi: ((E_6)^\sigma)_{E_1} \rightarrow SO(10) = SO(V^{10})$ .  $\text{Ker } \pi = \{1, \sigma\} = Z_2$ . Since  $(\mathfrak{e}_6)^\sigma = (\mathfrak{f}_4)^\sigma \oplus i(\tilde{\mathfrak{J}}(3, \mathfrak{C}))^\sigma$  (Proposition 3.1.1) and  $((\mathfrak{e}_6)^\sigma)_{E_1} = (\mathfrak{f}_4)^\sigma \oplus i\tilde{\mathfrak{J}}(2, \mathfrak{C})_0$ ,  $\dim ((\mathfrak{e}_6)^\sigma)_{E_1} = 36 + 9 = 45 = \dim \mathfrak{so}(10)$ , hence  $\pi$  is onto. Thus  $((E_6)^\sigma)_{E_1}/Z_2 \cong SO(10)$ . Therefore  $((E_6)^\sigma)_{E_1}$  is isomorphic to  $\text{Spin}(10)$  as the universal covering group of  $SO(10)$ . (In reality  $((E_6)^\sigma)_{E_1} = (E_6|_{E_1})$ ). Thus  $\phi: U(1) \times \text{Spin}(10) \rightarrow (E_6)^\sigma$ ,  $\phi(\theta, \beta) = \phi(\theta)\beta$  where  $\phi(\theta)$  is one defined in Lemma 3.6.5, induces the required isomorphism.  $(E_{6(-14)})^\sigma = (\tau\lambda\sigma')^\sigma = (\tau\lambda)^\sigma$ .

(2) For  $\alpha \in (E_{6(2)})^\sigma = (\tau\lambda\gamma)^\sigma$ ,  $\alpha E_1 = \xi E_1$ ,  $\xi \in U(1)$ . The connected group  $((E_{6(2)})^\sigma)_{E_1}$ , as in Theorem 3.6.8.(1), acts on

$$V^{6,4} = \{X \in \mathfrak{J}^C \mid 2E_1 \times X = -\tau\gamma X\} = \left\{ \begin{pmatrix} \xi & x' \\ \bar{x}' & -\tau\xi \end{pmatrix} \mid \xi \in C, x' \in (\mathfrak{G}^C)_{\gamma}, \gamma = \mathfrak{G}' \right\}$$

with the norm  $\langle X, X \rangle_\gamma / 2 = \xi(\tau\xi) + x'\bar{x}'$ . As in (1), we have  $((E_{6(2)})^\sigma)_{E_1}/Z_2 \cong O(6, 4)_0 = O(V^{6,4})_0$ . Therefore  $((E_{6(2)})^\sigma)_{E_1}$  is denoted by  $\text{spin}(6, 4)$  (not simply connected) as a double covering group of  $O(6, 4)_0$ . Thus  $\phi: U(1) \times \text{spin}(6, 4) \rightarrow (E_{6(2)})^\sigma$ ,  $\phi(\theta, \beta) = \phi(\theta)\beta$ , induces the required isomorphism.

$$(3) \quad E_{6(-14)} = (E_6^C)^{\tau^\lambda\sigma} \cong (E_6^C)^{\tau^\lambda\sigma'}$$

because  $\sigma \sim \sigma'$  under  $\delta \in F_4 \subset E_6: \delta\sigma = \sigma'\delta$ ,  $\delta\tau\lambda = \tau\lambda\delta$  (Proposition 2.2.3). For  $\alpha \in ((E_6^C)^{\tau^\lambda\sigma'})^\sigma = (\tau\lambda\sigma')^\sigma$ ,  $\alpha E_1 = \xi E_1$ ,  $\xi \in U(1)$ . The connected group  $((\tau\lambda\sigma')^\sigma)_{E_1}$  acts on

$$V^{8,2} = \{X \in \mathfrak{J}^C \mid 2E_1 \times X = \tau\sigma' X\} = \left\{ \begin{pmatrix} \xi & x \\ \bar{x} & \tau\xi \end{pmatrix} \mid \xi \in C, x \in \mathfrak{G} \right\}$$

with the norm  $\langle X, X \rangle_{\sigma'} / 2 = \xi(\tau\xi) - x\bar{x}$ . As in (1), we have  $((\tau\lambda\sigma')^\sigma)_{E_1}/Z_2 \cong$

$O(8, 2)_0 = O(V^{8,2})_0$ . Therefore  $((\tau\lambda\sigma')^\sigma)_{E_1}$  is denoted by  $spin(8, 2)$  (not simply connected) as a double covering group of  $O(8, 2)_0$ . Thus  $\phi: U(1) \times spin(8, 2) \rightarrow (\tau\lambda\sigma')^\sigma$ ,  $\phi(\theta, \beta) = \phi(\theta)\beta$ , induces the required isomorphism.

THEOREM 3.6.10.  $(E_{6(2)})^\sigma \sim (\tau\lambda\rho)^\sigma \cong (U(1) \times spin^*(10))/Z_4 \cong (\tau\lambda\gamma\rho)^\sigma \sim (E_{6(-14)})^\sigma$ .

PROOF.

$$E_{6(2)} = (E_6^C)^{\tau\lambda\gamma} \cong (E_6^C)^{\tau\lambda\rho}$$

because  $\gamma \sim \rho$  under  $\delta \in E_6: \delta\gamma = \rho\delta$ ,  $\delta\tau\lambda = \tau\lambda\delta$  (Proposition 3.2.3). As in Theorem 3.6.9, for  $\alpha \in ((E_6^C)^{\tau\lambda\rho})^\sigma = (\tau\lambda\rho)^\sigma$ ,  $\alpha E_1 = \xi E_1$ ,  $\xi \in U(1)$  and the group  $((\tau\lambda\rho)^\sigma)_{E_1}$  is connected. The group  $((\tau\lambda\rho)^\sigma)_{E_1}$  acts on

$$(V^C)^{10} = \mathfrak{J}(2, \mathbb{C}^C) = \left\{ X = \begin{pmatrix} \xi_2 & x \\ \bar{x} & \xi_3 \end{pmatrix} \mid \xi_2, \xi_3 \in C, x \in \mathbb{C}^c \right\}$$

with the norm  $(E_1, X, X) = \xi_2\xi_3 - x\bar{x}$  and the inner product  $\langle X, Y \rangle_\rho$ . Here

$$\begin{aligned} \langle X, Y \rangle_\rho &= \left\langle \begin{pmatrix} \xi_2 & x \\ \bar{x} & \xi_3 \end{pmatrix}, \begin{pmatrix} \eta_2 & y \\ \bar{y} & \eta_3 \end{pmatrix} \right\rangle_\rho = \left( \tau \begin{pmatrix} -\xi_2 & -iix \\ i\bar{x}i & \xi_3 \end{pmatrix}, \begin{pmatrix} \eta_2 & y \\ \bar{y} & \eta_3 \end{pmatrix} \right) \\ &= -(\tau\xi_2)\eta_2 + (\tau\xi_3)\eta_3 + 2(i\tau x, y) = (\tau\xi, \tau x)S \begin{pmatrix} \eta \\ y \end{pmatrix} \end{aligned}$$

where  $\xi = (\xi_2, \xi_3)$ ,  $\eta = (\eta_2, \eta_3)$  and  $S = \text{diag}(-1, 1, 2iJ, 2iJ, 2iJ, 2iJ) \in M(10, C)$ . By the following coordinate transformation

$$\xi_2 = is_2 + s_3, \quad \xi_3 = is_2 - s_3, \quad \eta_2 = it_2 + t_3, \quad \eta_3 = it_2 - t_3,$$

we have  $\xi_2\xi_3 = -s_2^2 - s_3^2$ ,  $-(\tau\xi_2)\eta_2 + (\tau\xi_3)\eta_3 = 2i(-(\tau s_3)t_2 + (\tau s_2)t_3)$ . Hence  $(E_1, X, X) = -(s, x)E \begin{pmatrix} s \\ x \end{pmatrix}$  and  $\langle X, Y \rangle_\rho = (\tau s, \tau t)(2iJ) \begin{pmatrix} t \\ y \end{pmatrix}$  where  $s = (s_2, s_3)$ ,  $t = (t_2, t_3)$ . This shows that we have an isomorphism

$$\{\alpha \in \text{Iso}_C((V^C)^{10}) \mid (E_1, \alpha X, \alpha X) = (E_1, X, X), \langle \alpha X, \alpha Y \rangle_\rho = \langle X, Y \rangle_\rho\}$$

$$\cong \{A \in M(10, C) \mid {}^t A A = E, J A = (\tau A) J = O^*(10) = O^*((V^C)^{10})\}.$$

Thus we can define a homomorphism  $\pi: ((\tau\lambda\rho)^\sigma)_{E_1} \rightarrow SO^*(10) = (O^*(10))_0$  by  $\pi(\alpha) = \alpha|_{(V^C)^{10}}$ .  $\text{Ker } \pi = \{1, \sigma\} = Z_2$ . As similar to Theorem 3.6.9  $((\tau\lambda\rho)^\sigma)_{E_1}/Z_2 \cong SO^*(10)$ . Therefore  $((\tau\lambda\rho)^\sigma)_{E_1}$  is denoted by  $spin^*(10)$  (not simply connected) as a double covering group of  $SO^*(10)$ . And  $\phi: U(1) \times spin^*(10) \rightarrow (\tau\lambda\rho)^\sigma$ ,  $\phi(\theta, \beta) = \eta(\theta)\beta$ , induces the required isomorphism as in Theorem 3.6.9.

$$E_{6(-14)} = (E_6^C)^{\tau\lambda\sigma} \cong (E_6^C)^{\tau\lambda\gamma\rho}$$

because  $\sigma \sim \gamma\rho$  under  $\delta \in E_6: \delta\sigma = \gamma\rho\delta$ ,  $\delta\tau\lambda = \tau\lambda\delta$  (Proposition 3.2.3). To determine the group  $((E_6^C)^{\tau\lambda\gamma\rho})^\sigma = ((\tau\lambda\gamma\rho)^\sigma)_{E_1}$ , consider the space  $(V^C)^{10} = \mathfrak{J}(2, \mathbb{C}^C)$  with

the norm  $(E_1, X, X) = \xi_2 \xi_3 - x \bar{x}$  and the inner product  $\langle X, Y \rangle_{\tau\rho} = (\tau\gamma\rho X, Y) = (\tau\xi, \tau\gamma x) S \begin{pmatrix} \eta \\ y \end{pmatrix} = (\tau\xi, \tau x) S' \begin{pmatrix} \eta \\ y \end{pmatrix}$  as above, where  $S' = \text{diag}(-1, 1, 2iJ, 2iJ, -2iJ, -2iJ) \in M(10, C)$ . Since  $J$  and  $-J$  are conjugate in  $O(2)$  (see Proposition 0.4), by a suitable coordinate transformation, we have  $\langle X, Y \rangle_{\tau\rho} = (\tau s, \tau x')(2iJ) \begin{pmatrix} t \\ y' \end{pmatrix}$ . This shows

$$\{\alpha \in \text{Iso}_C((V^C)^{10}) | (E_1, \alpha X, \alpha X) = (E_1, X, X), \langle \alpha X, \alpha Y \rangle_{\tau\rho} = \langle X, Y \rangle_{\tau\rho}\} \cong O^*(10).$$

Hence by the same arguments just as before we have the isomorphism  $(\tau\lambda\gamma\rho)^\sigma \cong (U(1) \times \text{Spin}^*(10)) / \mathbf{Z}_4$ .

## Appendix

The Cartan decompositions of the exceptional universal linear Lie groups of type  $G_2$ ,  $F_4$  and  $E_6$  are given as follows.

- $G_2$ : simply connected compact Lie group of type  $G_2$ ,  
 $G_2^C \cong G_2 \times \mathbf{R}^{14}$ ,  
 $G_{2(2)} \cong (Sp(1) \times Sp(1)) / \mathbf{Z}_2 \times \mathbf{R}^8$ ,
- $F_4$ : simply connected compact Lie group of type  $F_4$ ,  
 $F_4^C \cong F_4 \times \mathbf{R}^{52}$ ,  
 $F_{4(4)} \cong (Sp(1) \times Sp(3)) / \mathbf{Z}_2 \times \mathbf{R}^{28}$ ,  
 $F_{4(-20)} \cong \text{Spin}(9) \times \mathbf{R}^{16}$ ,
- $E_6$ : simply connected compact Lie group of type  $E_6$ ,  
 $E_6^C \cong E_6 \times \mathbf{R}^{78}$ ,  
 $E_{6(6)} \cong Sp(4) / \mathbf{Z}_2 \times \mathbf{R}^{42}$ ,  
 $E_{6(2)} \cong (Sp(1) \times SU(6)) / \mathbf{Z}_2 \times \mathbf{R}^{40}$ ,  
 $E_{6(-14)} \cong (U(1) \times \text{Spin}(10)) / \mathbf{Z}_4 \times \mathbf{R}^{32}$ ,  
 $E_{6(-26)} \cong F_4 \times \mathbf{R}^{26}$ .

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