

## ON THE EXISTENCE OF WEIERSTRASS POINTS WITH A CERTAIN SEMIGROUP GENERATED BY 4 ELEMENTS

By

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### Introduction

Let  $X$  be a smooth, proper 1-dimensional algebraic variety (of genus  $\geq 2$ ) over an algebraically closed field  $k$  of characteristic 0, and let  $P$  be a point of  $X$ . Then a positive integer  $\nu$  is called a *gap* at  $P$  if  $h^0(X, \mathcal{O}_X((\nu-1)P)) = h^0(X, \mathcal{O}_X(\nu P))$ , and  $G_P$  denotes the set of gaps at  $P$ . If we denote by  $N$  and  $H_P$  respectively the additive semigroup of non-negative integers and the complement of  $G_P$  in  $N$ , then  $H_P$  is a semigroup. A subsemigroup  $H$  of  $N$  whose complement is finite is called a *numerical semigroup*. The following problem is fundamental and is a long-standing problem.

*Is there a pair  $(X, P)$  with  $X$  a smooth, proper 1-dimensional algebraic variety over  $k$  and  $P$  its point, such that  $H = H_P$ ?*

Using the deformation theory on algebraic varieties with  $G_m$ -action, Pinkham [7] constructed a moduli space  $\mathcal{M}_H$  which classifies the set of isomorphic classes of pairs  $(X, P)$  consisting of a smooth, proper 1-dimensional algebraic variety  $X$  together with its point  $P$  such that  $H_P = H$ . But he did not claim that  $\mathcal{M}_H$  is non-empty. Using the Pinkham's construction of  $\mathcal{M}_H$ , some mathematicians showed that for some  $H$ ,  $\mathcal{M}_H$  is non-empty. To state their results we prepare some notation. Let  $M(H) = \{a_1, \dots, a_n\}$  be the minimal set of generators for the semigroup  $H$ , which is uniquely determined by  $H$ .  $I_H$  denotes the kernel of the  $k$ -algebra homomorphism  $\varphi: k[X] = k[X_1, \dots, X_n] \rightarrow k[t]$  defined by  $\varphi(X_i) = t^{a_i}$  where  $k[X]$  and  $k[t]$  are polynomial rings over  $k$ , and  $\mu(H)$  denotes the least number of generators for the ideal  $I_H$ . When we set  $C_H = \text{Spec } k[X]/I_H$ , we denote by  $T_{C_H}^1 = \bigoplus_{i \in \mathbb{Z}} T_{C_H}^1(i)$  the  $k$ -vector space of first order deformations of  $C_H$  with a natural graded structure. Moreover,  $g(H)$  and  $c(H)$  denote the cardinal number of the set  $N-H$  and the least integer  $c$  with  $c+N \subseteq H$ , respectively. Then  $\mathcal{M}_H$  is non-empty in the following cases:

- 1)  $H$  is a complete intersection, i. e.,  $\mu(H) = n-1$ ,
- 2)  $H$  is a special almost complete intersection (Waldi [10]),

3)  $H$  is negatively graded, i. e.,  $T_{C_H}^l(l)=0$  for  $l>0$  (Pinkham [7], Rim-Vitulli [8]),

4)  $H$  is generated by 4 elements and is symmetric, i. e.,  $C(H)=2g(H)$  (Buchweitz [2], Waldi [9]).

In this paper we shall give some examples of numerical semigroups  $H$  generated by 4 elements with  $\mathcal{M}_H \neq \emptyset$ , because for any numerical semigroup  $H$  generated by 2 or 3 elements, 1) and 2) imply  $\mathcal{M}_H \neq \emptyset$ . Throughout the paper, we are devoted to a numerical semigroup  $H$  of torus embedding type (see Definition 1.1), roughly speaking,  $C_H$  is the fibre of a torus embedding. For such an  $H$ , we can prove that  $\mathcal{M}_H$  is non-empty. In Section 2 we show that numerical semigroups  $H$  generated by 2 or 3 elements are of torus embedding type. When  $H$  is a neat numerical semigroup (see Definition 3.1) generated by 4 elements, we construct a torus embedding, any irreducible component of whose fibre over the origin is isomorphic to  $C_H$ , in Section 4. Moreover, if  $H$  is 1-neat (see Definition 4.10), we can show that  $H$  is of torus embedding type. Using this we can show that symmetric or almost symmetric numerical semigroups  $H$  generated by 4 elements are of torus embedding type.

### Notation

Throughout this paper we will use the following notation without further warning. We denote by  $k$  an algebraically closed field and by  $N$  the additive semigroup of non-negative integers. For elements  $a_1, \dots, a_n, m$  and  $l$  of  $N$ ,  $\langle a_1, \dots, a_n \rangle$  (resp.  $(a_1, \dots, a_n)$ , resp.  $[l, m]$ ) denotes the subsemigroup of  $N$  generated by  $a_1, \dots, a_n$  (resp. the greatest common measure of  $a_1, \dots, a_n$ , resp. the set of integers which is larger than or equal to  $l$ , and which is smaller than or equal to  $m$ ). For a weighted ring  $R$  and a homogeneous element  $f$  of  $R$ ,  $\partial(f)$  means the weight of  $f$ . Let  $H$  be a numerical semigroup, i. e., the subsemigroup of  $N$  whose complement in  $N$  is finite. Then  $\mathcal{M}_H$  denotes the moduli space, which is obtained by Pinkham, consisting of isomorphic classes of pairs  $(X, P)$  with a smooth, proper 1-dimensional algebraic variety  $X$  over  $k$  and with its point  $P$  whose gaps are  $N-H$ . Moreover, we denote by  $g(H)$  the cardinal number of the set  $N-H$ , by  $C(H)$  the least integer  $c$  with  $c+N \subseteq H$  and by  $M(H) = \{a_1, \dots, a_n\}$  the minimal set of generators for the semigroup  $H$ . We set

$$\alpha_i = \text{Min} \{ \alpha \in N - \{0\} \mid \alpha a_i \in \langle a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n \rangle \}$$

for all  $i=1, \dots, n$ . For any non-zero element  $h$  of  $H$  let

$$L_h(H) = \{0 = \omega_h(1) < \dots < \omega_h(h)\}$$

be the set of the least elements of  $H$  in respective congruence classes mod  $h$ .  $\varphi_H$  denotes the  $k$ -algebra homomorphism from  $k[X_1, \dots, X_n]$  to  $k[t]$  defined by sending  $X_i$  to  $t^{a_i}$ , hence assigning  $\partial(X_i)=a_i$  for  $1 \leq i \leq n$  and  $\partial(c)=0$  for  $c \in k^\times$ ,  $k[X_1, \dots, X_n]$  is made into a weighted  $k$ -algebra. We denote by  $I_H$  the kernel of  $\varphi_H$ , by  $\mu(H)$  the least number of generators for the ideal  $I_H$  and by  $C_H$  the affine curve  $\text{Spec } k[X_1, \dots, X_n]/I_H$ .

**1. Numerical semigroups of torus embedding type.**

In this paper we are concerned with the following numerical semigroups:

DEFINITION 1.1. A numerical semigroup  $H$  with  $M(H)=\{a_1, \dots, a_n\}$  is of torus embedding type if there exist a positive integer  $m \geq n$ , homogeneous elements  $g_i (1 \leq i \leq m)$  of  $k[X]=k[X_1, \dots, X_n]$  of weight  $>0$ , and a saturated subsemigroup  $S$  of  $\mathbb{Z}^{m+1-n}$  which is generated by  $b_1, \dots, b_m$  and which generates a subgroup of rank  $m+1-n$  of  $\mathbb{Z}^{m+1-n}$  as a group, such that the kernel of the  $k$ -algebra homomorphism

$$\pi: k[Y]=k[Y_1, \dots, Y_m] \longrightarrow k[S]=k[T^s]_{s \in S}$$

defined by  $\pi(Y_i)=T^{b_i}$ , is generated by homogeneous elements  $F_k (1 \leq k \leq u)$  with  $I_H=(F_1(g_1, \dots, g_m), \dots, F_u(g_1, \dots, g_m))$  where the weight on  $k[Y]$  is defined by  $\partial(Y_i)=\partial(g_i)$  for  $1 \leq i \leq m$  and  $\partial(c)=0$  for  $c \in k^\times$ .

A sufficient condition that a numerical semigroup is of torus embedding type, which we will use, is the following:

LEMMA 1.2. Let  $H$  be a numerical semigroup with  $M(H)=\{a_1, \dots, a_n\}$ . Assume that there exist a positive integer  $m \geq n$ , non-constant monomials  $g_i (1 \leq i \leq m)$  in  $k[X]=k[X_1, \dots, X_n]$ , and a saturated subsemigroup  $S$  of  $\mathbb{Z}^{m+1-n}$  which is generated by  $b_1, \dots, b_m$  and which generates a subgroup of rank  $m+1-n$  of  $\mathbb{Z}^{m+1-n}$  as a group, such that if we let

$$\pi: k[Y]=k[Y_1, \dots, Y_m] \longrightarrow k[T^s]_{s \in S} \quad (\text{resp. } \eta: k[Y] \rightarrow k[X])$$

be the  $k$ -algebra homomorphism defined by  $\pi(Y_i)=T^{b_i}$  (resp.  $\eta(Y_i)=g_i$ ), then the ideal  $I_H$  is generated by the elements of  $\eta(\text{Ker } \pi)$ . Then  $H$  is of torus embedding type.

PROOF. When we define a weight on  $k[Y]$  in virtue of  $\partial(Y_i)=\partial(g_i)$  for  $1 \leq i \leq m$  and  $\partial(c)=0$  for  $c \in k^\times$ , it suffices to show that there exists a set  $\{F_k\}_{1 \leq k \leq u}$  of homogeneous generators for the ideal  $\text{Ker } \pi$ , because the ideal  $I_H$  is generated

by  $\eta(F_k)$  ( $1 \leq k \leq u$ ). Now by [5] we may take generators  $F_k$  ( $1 \leq k \leq u$ ) of the ideal  $\text{Ker } \pi$  as follows :

$$F_k = \prod_{i=1}^m Y_i^{\nu_{ki}} - \prod_{i=1}^m Y_i^{\mu_{ki}}$$

where  $\nu_{ki} \cdot \mu_{ki} = 0$  for all  $1 \leq k \leq u$  and all  $1 \leq i \leq m$ . If we put  $g_i = X_1^{Y_i} \cdots X_n^{Y_i^n}$  for all  $1 \leq i \leq m$ , then we have

$$\begin{aligned} 0 = \varphi_H(\eta(F_k)) &= \varphi_H\left(\prod_{i=1}^m g_i^{\nu_{ki}} - \prod_{i=1}^m g_i^{\mu_{ki}}\right) \\ &= \sum_{i=1}^m \nu_{ki} \sum_{j=1}^n \gamma_{ij} a_j - \sum_{i=1}^m \mu_{ki} \sum_{j=1}^n \gamma_{ij} a_j, \end{aligned}$$

which implies  $\sum_{i=1}^m \nu_{ki} \sum_{j=1}^n \gamma_{ij} a_j = \sum_{i=1}^m \mu_{ki} \sum_{j=1}^n \gamma_{ij} a_j$ . Therefore  $F_k$ 's are homogeneous.

Q. E. D.

Here we give a few examples of numerical semigroups of torus embedding type.

EXAMPLE 1.3. (1)  $H = \langle 3, 7 \rangle$  is of torus embedding type. In fact, let  $a_1 = 3$  and  $a_2 = 7$ . If we set  $n = m = 2$ ,  $g_1 = X_1^3$ ,  $g_2 = X_2^7$  and  $b_1 = b_2 = 1$ , then these satisfy the assumption of Lemma 1.2. In this case  $\text{Ker } \pi$  contains a homogeneous element  $F_1 = Y_1 - Y_2$ . See Lemma 2.3 for a generalization.

(2)  $H = \langle 4, 7, 13 \rangle$  is of torus embedding type. In fact, let  $a_1 = 4$ ,  $a_2 = 7$  and  $a_3 = 13$ . If we set  $n = 3$ ,  $m = 6$ ,  $g_1 = X_1^4$ ,  $g_2 = X_2^7$ ,  $g_3 = X_3^{13}$ ,  $g_4 = X_1^3$ ,  $g_5 = X_2^2$ ,  $g_6 = X_3$ ,  $b_1 = (1, 0, 0, 0)$ ,  $b_2 = (0, 1, 0, 0)$ ,  $b_3 = (0, 0, 1, 0)$ ,  $b_4 = (-1, 1, 1, 0)$ ,  $b_5 = (0, 0, 0, 1)$  and  $b_6 = (-1, 1, 0, 1)$ , then these satisfy the assumption of Lemma 1.2. In this case we can see that  $\text{Ker } \pi$  contains homogeneous elements  $F_k$  ( $1 \leq k \leq 3$ ) as follows :

$$F_1 = Y_1 Y_4 - Y_2 Y_3, \quad F_2 = Y_2 Y_5 - Y_1 Y_6 \quad \text{and} \quad F_3 = Y_3 Y_6 - Y_4 Y_5.$$

See Proposition 2.5 for a generalization.

(3)  $H = \langle 4, 9, 14, 15 \rangle$  is of torus embedding type. In fact, let  $a_1 = 15$ ,  $a_2 = 9$ ,  $a_3 = 4$  and  $a_4 = 14$ . If we set  $n = 4$ ,  $m = 9$ ,  $g_1 = X_1$ ,  $g_2 = X_2$ ,  $g_3 = X_3^4$ ,  $g_4 = X_4$ ,  $g_5 = X_1$ ,  $g_6 = X_2$ ,  $g_7 = X_3$ ,  $g_8 = X_4$ ,  $g_9 = X_3$ ,  $b_i = e_i$  ( $1 \leq i \leq 4$ ),  $b_5 = (-1, 0, 1, 1, 0, 0)$ ,  $b_6 = e_5$ ,  $b_7 = e_6$ ,  $b_8 = (0, 1, 0, 0, 1, -1)$  and  $b_9 = (1, 1, -1, 0, 0, -1)$  where for any  $i \in [1, 6]$  we denote by  $e_i \in \mathbb{Z}^6$  the vector whose  $i$ -th component equals to 1 and whose  $j$ -th component equals to 0 if  $j \neq i$ , then these satisfy the assumption of Lemma 1.2. In this case we can see that  $\text{Ker } \pi$  contains homogeneous elements  $F_k$  ( $1 \leq k \leq 6$ ) as follows :

$$F_1 = Y_1 Y_5 - Y_3 Y_4, \quad F_2 = Y_2 Y_6 - Y_7 Y_8, \quad F_3 = Y_3 Y_7 Y_9 - Y_1 Y_2,$$

$$F_4=Y_4Y_8-Y_5Y_6Y_9, \quad F_5=Y_1Y_8-Y_3Y_6Y_9 \quad \text{and} \quad F_6=Y_2Y_4-Y_6Y_7Y_9.$$

See Theorem 4.11 for a generalization.

(4)  $H=\langle 5, 8, 9, 11 \rangle$  is of torus embedding type. In fact, let  $a_1=5, a_2=8, a_3=9$  and  $a_4=11$ . If we set  $n=4, m=9, g_i=X_i (1 \leq i \leq 4), g_5=X_1^2, g_{4+i}=X_i (2 \leq i \leq 4), g_9=X_1, b_i=e_i (1 \leq i \leq 6), b_7=(0, 1, -1, 0, 1, 0), b_8=(-1, 1, 0, 0, 0, 1)$  and  $b_9=(-1, 0, 1, 1, -1, 0)$  where  $e_i$ 's are as in (3), then these satisfy the assumption of Lemma 1.2. In this case,  $\text{Ker } \pi$  contains homogeneous elements  $F_k (1 \leq k \leq 5)$  as follows :

$$F_1=Y_1Y_5Y_9-Y_3Y_4, \quad F_2=Y_2Y_6-Y_1Y_8, \quad F_3=Y_3Y_7-Y_2Y_5, \\ F_4=Y_4Y_8-Y_6Y_7Y_9 \quad \text{and} \quad F_5=Y_1Y_7Y_9-Y_2Y_4.$$

See Theorem 4.11 for a generalization. Now we get  $g(H)=7$  and  $C(H)=13$ , which imply  $C(H)=2g(H)-1$ , i.e.,  $H$  is almost symmetric (see Theorem 6.4).

In the remains of this section we assume that  $k$  is of characteristic 0. If  $H$  is of torus embedding type, then we can show  $\mathcal{M}_H \neq \emptyset$ . For this purpose we show the following :

**PROPOSITION 1.4.** *Let  $a_1, \dots, a_n$  be positive integers and let  $k[X]=k[X_1, \dots, X_n]$  be a polynomial ring on which the weight is defined by  $\partial(X_i)=a_i$  for  $1 \leq i \leq n$  and  $\partial(c)=0$  for  $c \in k^\times$ . Let  $k[Y]=k[Y_1, \dots, Y_m]$  and  $k[Y, W]=k[Y_1, \dots, Y_m, W_1, \dots, W_l]$  be two polynomial rings. Let  $r$  be a non-negative integer with  $n-l \geq r$ , let  $J$  be an ideal in  $k[Y]$  such that  $R=k[Y]/J$  is a Cohen-Macaulay domain of dimension  $m+l+r-n$  and that the singular locus of  $\text{Spec } R$  has codimension larger than  $r$ , and let  $R[X]=R[X_1, \dots, X_n]$ . Assume that there exist homogeneous elements  $g_i (1 \leq i \leq m)$  and  $h_j (1 \leq j \leq l)$  of  $k[X]$  of weight  $> 0$  such that we have the fibre product :*

$$\begin{array}{ccc} \phi^{-1}(\text{the origin}) & \longrightarrow & \text{Spec } R[X] \\ \downarrow & & \downarrow \phi \\ \text{Spec } k & \longrightarrow & \text{Spec } k[Y, W] \end{array}$$

with  $\dim \phi^{-1}(\text{the origin})=r$ , where  $\phi$  is the morphism which is induced by the  $k$ -algebra homomorphism  $\phi^*: k[Y, W] \rightarrow R[X]$  defined by  $\phi^*(Y_i)=g_i-Y_i \text{ mod } J$  and  $\phi^*(W_j)=h_j$ , and such that the ideal  $J$  is homogeneous where the weight on  $k[Y]$  is defined by  $\partial(Y_i)=\partial(g_i)$  for  $1 \leq i \leq m$  and  $\partial(c)=0$  for  $c \in k^\times$ . Then  $\phi$  is flat and there exists a non-empty open subset  $V$  of  $\text{Spec } k[Y, W]$  such that the

restriction  $\phi^{-1}(V) \rightarrow V$  is smooth.

PROOF. We define a weight on  $k[Y, W]$  as follows:

$$\partial(Y_i) = \partial(g_i), \quad \partial(W_j) = \partial(h_j) \quad \text{and} \quad \partial(c) = 0 \quad \text{for} \quad c \in k^\times.$$

Since the ideal  $J$  in  $k[Y]$  is homogeneous,  $\phi$  is a  $G_m$ -equivariant morphism. For any  $s \in \mathbb{Z}$ , the closed subset

$$F_s = \{x \in \text{Spec } R[X] \mid \dim_x \phi^{-1}(\phi(x)) \geq s\}$$

contains the origin if  $F_s \neq \emptyset$ , because  $\phi$  is  $G_m$ -equivariant and the weights of  $Y_i, X_k$  are positive.  $\phi$  is dominating in virtue of

$$\dim \text{Spec } R[X] - \dim \text{Spec } k[Y, W] = m + l + r - (m + l) = r$$

and

$$\dim \phi^{-1}(\text{the origin}) = r,$$

which implies  $\dim_x \phi^{-1}(\phi(x)) \geq r$  for all  $x \in \text{Spec } R[X]$ . Moreover, in virtue of  $\partial(Y_i) > 0$  and  $\partial(W_j) > 0$  the map  $\phi$  send the origin in  $\text{Spec } R[X]$  to the one in  $\text{Spec } k[Y, W]$ . Assume that  $F_{r+1} \neq \emptyset$ . Since the origin belongs to  $F_{r+1}$ , we get

$$r + 1 \leq \dim_{\substack{\phi^{-1}(\phi(\text{the origin})) \\ \text{the origin}} \phi^{-1}(\phi(\text{the origin})) = \dim_{\substack{\phi^{-1}(\phi(\text{the origin})) \\ \text{the origin}} \phi^{-1}(\phi(\text{the origin}))$$

$$\leq \dim \phi^{-1}(\text{the origin}) = r,$$

a contradiction, which implies  $F_{r+1} = \emptyset$ . Therefore we get  $\dim_x \phi^{-1}(\phi(x)) = r$  for all  $x \in \text{Spec } R[X]$ , i. e.,  $\phi$  is equidimensional. Since  $R$  is a Cohen-Macaulay domain,  $\phi$  is flat ([3]). Let  $Z_i (i \in I)$  be the irreducible components in the singular locus  $\text{Sing}(\text{Spec } R[X])$  of  $\text{Spec } R[X]$  and let  $\eta$  be the generic point of  $\text{Spec } k[Y, W]$ . Assume that  $\phi^{-1}(\eta) \cap \text{Sing}(\text{Spec } R[X]) \neq \emptyset$ , i. e., there exists  $i \in I$  such that  $\phi^{-1}(\eta) \cap Z_i \neq \emptyset$ . Since the restriction  $Z_i \subset \text{Spec } R[X] \rightarrow \text{Spec } k[Y, W]$  is dominating, we have

$$0 \leq \dim Z_i - \dim \text{Spec } k[Y, W] \leq \dim \text{Sing}(\text{Spec } R[X]) - \dim \text{Spec } k[Y, W]$$

$$< \dim \text{Spec } R[X] - r - \dim \text{Spec } k[Y, W] = 0,$$

a contradiction. Hence we get  $\phi^{-1}(\eta) \cap \text{Sing}(\text{Spec } R[X]) = \emptyset$ , which implies that the set

$$\{y \in \text{Spec } k[Y, W] \mid \phi^{-1}(y) \cap \text{Sing}(\text{Spec } R[X]) = \emptyset\}$$

contains a non-empty open subset  $U$ . Then we have

$$\phi^{-1}(U) \subseteq \text{Spec } R[X] - \text{Sing}(\text{Spec } R[X])$$

Hence there is a non-empty open subset  $V$  in  $\text{Spec } k[Y, W]$  such that the restric-

tion  $\phi^{-1}(V) \rightarrow V$  is smooth, because the restriction  $\phi^{-1}(U) \rightarrow \text{Spec } k[Y, W]$  is a morphism of varieties with smooth  $\phi^{-1}(U)$  over the algebraically closed field  $k$  of characteristic 0 ([4]). Q. E. D.

Pinkham [7] showed the following:

REMARK 1.5. Let  $H$  be a numerical semigroup with  $M(H) = \{a_1, \dots, a_n\}$ . Then we have  $\mathcal{M}_H \neq \emptyset$  if and only if there exists a flat homogeneous homomorphism  $\phi^*: A = \bigoplus_{i \in \mathbb{Z}} A_i \rightarrow B = \bigoplus_{i \in \mathbb{Z}} B_i$  of affine graded  $k$ -algebras with  $A_0 \cong k$  and  $B_0 \cong k$  such that 1)  $C_H$  is the fibre of the morphism  $\phi: \text{Spec } B \rightarrow \text{Spec } A$  associated to  $\phi^*$  over a homogeneous  $k$ -rational point on  $\text{Spec } A$ , 2)  $A$  is a domain and the generic fibre of  $\phi$  is smooth, and 3)  $A_i = 0$  for all  $i < 0$ .

Combining Proposition 1.4 with Remark 1.5, we get the following:

COROLLARY 1.6. Let  $H$  be a numerical semigroup with  $M(H) = \{a_1, \dots, a_n\}$  and let  $k[X], k[Y]$  and  $k[Y, W]$  be polynomial rings as in Proposition 1.4. Let  $J$  be an ideal in  $k[Y]$  such that  $R = k[Y]/J$  is a normal Cohen-Macaulay domain of dimension  $m+l+1-n$ . Assume that there exist homogeneous elements  $g_i (1 \leq i \leq m)$  and  $h_j (1 \leq j \leq l)$  of  $k[X]$  of weight  $> 0$  such that we have the fibre product:

$$\begin{array}{ccc}
 C_H & \longrightarrow & \text{Spec } R[X] \\
 \downarrow & & \downarrow \phi \\
 \text{Spec } k & \longrightarrow & \text{Spec } k[Y, W] \\
 [(0)] & \longleftarrow & \text{the origin}
 \end{array}$$

where  $\phi$  is the morphism induced by the  $k$ -algebra homomorphism  $\phi^*: k[Y, W] \rightarrow R[X]$  defined by  $\phi^*(Y_i) = g_i - Y_i \pmod{J}$  and  $\phi^*(W_j) = h_j$ , and such that the ideal  $J$  is homogeneous where the weight on  $k[Y]$  is defined by  $\partial(Y_i) = \partial(g_i)$  for  $1 \leq i \leq m$  and  $\partial(c) = 0$  for  $c \in k^*$ . Then we have  $\mathcal{M}_H \neq \emptyset$ .

If we apply Corollary 1.6 to numerical semigroups of torus embedding type, we see:

THEOREM 1.7. For any numerical semigroup  $H$  of torus embedding type, we have  $\mathcal{M}_H \neq \emptyset$ .

PROOF. We use the notation in Definition 1.1. Since  $S$  is a saturated sub-

semigroup of  $Z^{m+1-n}$  which is finitely generated and which generates a subgroup of rank  $m+1-n$  of  $Z^{m+1-n}$  as a group, by [6]  $\text{Spec } k[T^s]_{s \in S}$  is a normal affine equivariant embedding of  $(G_m)^{m+1-n}$  and is a Cohen-Macaulay scheme. Hence  $R = k[Y]/\text{Ker } \pi$  is a normal Cohen-Macaulay domain of dimension  $m+1-n$  and the ideal  $J = \text{Ker } \pi$  is generated by homogeneous elements  $F_k (1 \leq k \leq u)$ . Since the ideal  $I_H$  is generated by the  $F_k(g_1, \dots, g_m)$ 's, we have a fibre product:

$$\begin{array}{ccc} C_H & \longrightarrow & \text{Spec } R[X] \\ \downarrow & & \downarrow \phi \\ \text{Spec } k & \longrightarrow & \text{Spec } k[Y] \\ [(0)] & \longmapsto & \text{the origin} \end{array}$$

where  $\phi$  is the morphism induced by the  $k$ -algebra homomorphism  $\phi^*: k[Y] \rightarrow R[X]$  defined by  $\phi^*(Y_i) = g_i - Y_i \text{ mod } J$ . If we apply Corollary 1.6 to the case  $l=0$ , we obtain  $\mathcal{M}_H \neq \emptyset$ . Q. E. D.

**2. Numerical semigroups generated by 2 or 3 elements.**

In this section we will show that numerical semigroups generated by 2 or 3 elements are of torus embedding type. First we consider the following numerical semigroups:

DEFINITION 2.1. A numerical semigroup  $H$  with  $M(H) = \{a_1, \dots, a_n\}$  is called a *strictly complete intersection* if renumbering  $a_1, \dots, a_n$  the least common multiple of  $(a_1, \dots, a_{i-1})$  and  $a_i$  belongs to  $\langle a_1, \dots, a_{i-1} \rangle$  for  $2 \leq i \leq n$ . In this case by [5] a set of generators for the ideal  $I_H$  is well-known.

REMARK 2.2. For a numerical semigroup  $H$  as in Definition 2.1 we have  $\alpha_i = (a_1, \dots, a_{i-1}) / (a_1, \dots, a_i)$  for  $2 \leq i \leq n$ . If we set

$$\alpha_i a_i = \sum_{j=1}^{i-1} \alpha_{ij} a_j \quad \text{with } \alpha_{ij} \in N$$

for  $2 \leq i \leq n$ , then the ideal  $I_H$  is generated by  $f_2, \dots, f_n$  where we set  $f_i = X_i^{\alpha_i} - X_1^{\alpha_{i1}} \dots X_{i-1}^{\alpha_{i,i-1}}$ .

LEMMA 2.3. A numerical semigroup  $H$  which is a strictly complete intersection, is of torus embedding type.

PROOF. We use the notation in Remark 2.2. The set



$$U = \{(i, j) \in \mathbb{N}^2 \mid 2 \leq i \leq n \text{ and } 1 \leq j \leq i-1\}$$

is a totally ordered set, where we define  $(i, j) \leq (i', j')$  if  $i < i'$  or if  $i = i'$  and  $j \leq j'$ . If we set  $P = \{(i, j) \in U \mid \alpha_{ij} \neq 0\}$  and  $l = *P$ , then we have the isomorphism  $\xi: P \rightarrow [1, l]$  of ordered sets. Let

$$\pi: k[Y_{ij}(i, j) \in P; Z_k(2 \leq k \leq n)] \longrightarrow k[t_1, \dots, t_l]$$

be the  $k$ -algebra homomorphism of polynomial rings, defined by  $\pi(Y_{ij}) = t_{\xi(i, j)}$  and  $\pi(Z_k) = \prod_{j \in P(k)} t_{\xi(k, j)}$  where  $P(k) = \{j \in [1, k-1] \mid (k, j) \in P\}$ . We set

$$g_{\xi(i, j)} = X_j^{\alpha_{ij}} \text{ for } (i, j) \in P \text{ and } g_{l+k-1} = X_k^{\alpha_k} \text{ for } 2 \leq k \leq n.$$

Let  $\eta: k[Y_{ij}; Z_k] \rightarrow k[X] = k[X_1, \dots, X_n]$  (resp.  $\zeta: k[t_1, \dots, t_l] \rightarrow k[t]$ ) be the  $k$ -algebra homomorphism defined by  $\eta(Y_{ij}) = g_{\xi(i, j)}$  and  $\eta(Z_k) = g_{l+k-1}$  (resp.  $\zeta(t_{\xi(i, j)}) = t^{\alpha_{ij}}$ ). In virtue of  $\varphi_H \circ \eta = \zeta \circ \pi$ , we get  $\eta(\text{Ker } \pi) \subseteq \text{Ker } \varphi_H = I_H$ . If we set  $F_k = Z_k - \prod_{j \in P(k)} Y_{kj}$  for  $2 \leq k \leq n$ , then  $F_k \in \text{Ker } \pi$  and  $\eta(F_k) = f_k$ . Therefore by Remark 2.2 the ideal  $I_H$  is generated by the elements of  $\eta(\text{Ker } \pi)$ . By Lemma 1.2  $H$  is of torus embedding type. Q. E. D.

COROLLARY 2.4. 1) *Numerical semigroups with  $M(H) = \{a_1, a_2\}$  are of torus embedding type.*

2) *Symmetric numerical semigroups, i.e.,  $C(H) = 2g(H)$ , with  $M(H) = \{a_1, a_2, a_3\}$  are of torus embedding type.*

PROOF. It is trivial that numerical semigroups with  $M(H) = \{a_1, a_2\}$  are strictly complete intersections. Herzog [5] proved that numerical semigroups  $H$  with  $M(H) = \{a_1, a_2, a_3\}$  are strictly complete intersections if and only if they are symmetric. Q. E. D.

In the non-symmetric case  $H$  with  $M(H) = \{a_1, a_2, a_3\}$ ,  $H$  is also of torus embedding type in the following way: by [5] there exist positive integers  $\alpha_{ij} < \alpha_j$  such that

$$\alpha_1 a_1 = \alpha_{12} a_2 + \alpha_{13} a_3, \quad \alpha_2 a_2 = \alpha_{21} a_1 + \alpha_{23} a_3 \quad \text{and} \quad \alpha_3 a_3 = \alpha_{31} a_1 + \alpha_{32} a_2,$$

in this case

$$\alpha_1 = \alpha_{21} + \alpha_{31}, \quad \alpha_2 = \alpha_{12} + \alpha_{32} \quad \text{and} \quad \alpha_3 = \alpha_{13} + \alpha_{23}.$$

Moreover, Herzog showed that the ideal  $I_H$  is generated by

$$f_1 = X_1^{\alpha_1} - X_2^{\alpha_{12}} X_3^{\alpha_{13}}, \quad f_2 = X_2^{\alpha_2} - X_1^{\alpha_{21}} X_3^{\alpha_{23}} \quad \text{and} \quad f_3 = X_3^{\alpha_3} - X_1^{\alpha_{31}} X_2^{\alpha_{32}}.$$

Let  $S$  be the subsemigroup of  $\mathbb{Z}^3$  generated by

$$b_{21}=(1, 0, 0, 0), \quad b_{12}=(0, 1, 0, 0), \quad b_{13}=(0, 0, 1, 0), \quad b_{31}=(-1, 1, 1, 0),$$

$$b_{32}=(0, 0, 0, 1) \quad \text{and} \quad b_{23}=(-1, 1, 0, 1).$$

Then it can be easily seen that  $S = \sum \mathbf{R}_+ b_{ij} \cap \mathbf{Z}^4$  where  $\mathbf{R}_+$  is the set of non-negative real numbers. Hence  $S$  is saturated. When we let

$$\pi : k[Y_{ij}]_{1 \leq i \neq j \leq 3} \longrightarrow k[T^s]_{s \in S} \quad (\text{resp. } \eta : k[Y_{ij}] \rightarrow k[X_1, X_2, X_3])$$

be the  $k$ -algebra homomorphism defined by  $\pi(Y_{ij}) = T^{b_{ij}}$  (resp.  $\eta(Y_{ij}) = X_j^{a_{ij}}$ ), there exists a  $k$ -algebra homomorphism  $\zeta : k[T^s]_{s \in S} \rightarrow k[t]$  such that  $\varphi_H \circ \eta = \zeta \circ \pi$ , which implies  $\eta(\text{Ker } \pi) \subseteq I_H$ . Since

$$F_1 = Y_{21}Y_{31} - Y_{12}Y_{13}, \quad F_2 = Y_{12}Y_{32} - Y_{21}Y_{23} \quad \text{and} \quad F_3 = Y_{13}Y_{23} - Y_{31}Y_{32}$$

belong to  $\text{Ker } \pi$  and we have  $\eta(F_i) = f_i$  for  $1 \leq i \leq 3$ , the ideal  $I_H$  is generated by the elements of  $\eta(\text{Ker } \pi)$ , hence  $H$  is of torus embedding type. Therefore combining this with Corollary 2.4 2), we obtain the following:

**PROPOSITION 2.5.** *Numerical semigroups with  $M(H) = \{a_1, a_2, a_3\}$  are of torus embedding type.*

### 3. Neat numerical semigroups.

Hereafter we are concerned with the following numerical semigroups:

**DEFINITION 3.1.** For a numerical semigroup  $H$  with  $M(H) = \{a_1, \dots, a_n\}$ ,

$$\mathcal{R} : \begin{cases} \alpha_i a_i = \sum_{j \neq i} \alpha_{ij} a_j & \text{with } 0 \leq \alpha_{ij} < \alpha_j, \quad \text{for } 1 \leq i \leq n, \\ \sum_{i \neq j} \alpha_{ij} = \alpha_j & \text{for } 1 \leq j \leq n \end{cases}$$

is called a *neat system of relations with respect to  $H$  and  $\{a_1, \dots, a_n\}$* . When  $H$  has a neat system of relations, it is called to be *neat*.

**EXAMPLE 3.2.** (1)  $H = \langle 4, 7, 13 \rangle$  is neat. In fact, let  $a_1 = 4$ ,  $a_2 = 7$  and  $a_3 = 13$ . Then

$$\mathcal{R} : 5a_1 = a_2 + a_3, \quad 3a_2 = 2a_1 + a_3, \quad 2a_3 = 3a_1 + 2a_2$$

is a neat system of relations.

(2)  $H = \langle 4, 9, 14, 15 \rangle$  is neat. In fact, let  $a_1 = 15$ ,  $a_2 = 9$ ,  $a_3 = 4$  and  $a_4 = 14$ . Then

$$\mathcal{R} : 2a_1 = 4a_3 + a_4, \quad 2a_2 = a_3 + a_4, \quad 6a_3 = a_1 + a_2, \quad 2a_4 = a_1 + a_2 + a_3$$

is a neat system of relations.

(3)  $H = \langle 10, 11, 13, 14 \rangle$  is neat. In fact, let  $a_1 = 10, a_2 = 11, a_3 = 14$  and  $a_4 = 13$ . Then

$$\mathcal{R} : 4a_1 = a_3 + 2a_4, \quad 3a_2 = 2a_1 + a_4, \quad 3a_3 = 2a_1 + 2a_2, \quad 3a_4 = a_2 + 2a_3$$

is a neat system of relations.

(4)  $H = \langle 5, 7, 9, 11, 13 \rangle$  is neat. In fact, let  $a_1 = 5, a_2 = 7, a_3 = 9, a_4 = 11$  and  $a_5 = 13$ . Then

$$\mathcal{R} : 4a_1 = a_2 + a_5, \quad 2a_2 = a_1 + a_3, \quad 2a_3 = a_2 + a_4, \quad 2a_4 = a_3 + a_5, \quad 2a_5 = 3a_1 + a_4$$

is a neat system of relations.

In this section, let  $H$  be a neat numerical semigroup with  $M(H) = \{a_1, \dots, a_n\}$ , and let  $\mathcal{R}$  be a neat system of relations with respect to  $H$  and  $\{a_1, \dots, a_n\}$ . We can see easily:

REMARK 3.3. We put

$$P = P_{\mathcal{R}} = \{(i, j) \in [1, n]^2 \mid i \neq j, \alpha_{ij} \neq 0\}, \quad P^i = \{j \in [1, n] \mid (i, j) \in P\}$$

$$\text{for } 1 \leq i \leq n \text{ and } P_j = \{i \in [1, n] \mid (i, j) \in P\} \quad \text{for } 1 \leq j \leq n.$$

Then  $\#P^i \geq 2$  and  $\#P_j \geq 2$ . Hence we have  $\#P \geq 2n$ , for

$$P = \bigcup_{1 \leq i \leq n} \{(i, j) \mid j \in P^i\} = \bigcup_{1 \leq j \leq n} \{(i, j) \mid i \in P_j\}.$$

Moreover, we make  $P$  into a totally ordered set by defining an order on it as follows: for a fixed  $j \in [1, n]$  and any  $1 \leq k \leq \#P_j$  we define inductively

$$i_j(k) = \text{Min}\{i \in [1, n] \mid i \in P_j - \{i_j(1), \dots, i_j(k-1)\}\}.$$

For any  $(i, j)$  and  $(i', j') \in P$  with  $i = i_j(k)$  and  $i' = i_{j'}(k')$ , we define  $(i, j) \leq (i', j')$  if  $k < k'$  or if  $k = k'$  and  $j \leq j'$ .

DEFINITION 3.4. An element  $(i, j)$  of  $P$  has a *v-relation* (resp. an *h-relation*) if we have

$$i = \text{Max}\{i' \in [1, n] \mid i' \in P_j\} \quad \text{and} \quad P^j(i, j) = \emptyset$$

$$\text{where } P^j(i, j) = \{j' \in P^j \mid (j, j') > (i, j)\}$$

$$\text{(resp. } (i, j) = \text{Max}\{(i, j') \mid j' \in P^i\} \quad \text{and} \quad P_i(i, j) = \emptyset$$

$$\text{where } P_i(i, j) = \{i' \in P_i \mid (i', i) > (i, j)\}.$$

*v*-relations and *h*-relations have the following properties:

LEMMA 3.5. 1)  $(i_0, j_0) = \text{Max } P$  has a *v-relation* and an *h-relation*.

2) For any  $1 \leq l \leq n$ , there exists  $i \in [1, n]$  such that  $(i, l)$  has a *v-relation* or

$j \in [1, n]$  such that  $(l, j)$  has an  $h$ -relation.

3) We have  $*Q \leq n-1$  where

$$Q = \{(i, j) \in P \mid (i, j) \text{ has either a } v\text{-relation or an } h\text{-relation}\}.$$

PROOF. 1) is trivial. We set

$$i = \text{Max } P_l \text{ and } (l, j) = \text{Max}\{(l, j') \mid j' \in P^l\}.$$

Assume that  $(i, l)$  does not have a  $v$ -relation and that  $(l, j)$  does not have an  $h$ -relation. Then there exist  $j' \in P^l(i, l)$  and  $i' \in P_l(l, j)$ , which imply

$$(i, l) \geq (i', l) > (l, j) \geq (l, j') > (i, l),$$

a contradiction. This proves 2). Let  $l \in [1, n]$ . If  $(i, l)$  has a  $v$ -relation, then we define  $\zeta(l) = (i, l)$ . If  $(l, j)$  has an  $h$ -relation, then we define  $\zeta(l) = (l, j)$ . Then the map  $\zeta: [1, n] \rightarrow Q$  is well-defined. In fact, if  $(i, l)$  (resp.  $(i', l)$ ) has a  $v$ -relation, then  $i = \text{Max } P_l = i'$ . If  $(l, j)$  (resp.  $(l, j')$ ) has an  $h$ -relation, then  $(l, j) = \text{Max}\{(l, k) \mid k \in P^l\} = (l, j')$ , hence  $j = j'$ . If  $(i, l)$  (resp.  $(l, j)$ ) has a  $v$ -relation (resp. an  $h$ -relation), then we have  $(i, l) \leq (l, j) \leq (i, l)$ , hence  $l = j$ , a contradiction. To prove 3) it suffices to show that  $\zeta$  is surjective, because we have  $\zeta(i_0) = (i_0, j_0) = \zeta(j_0)$ . If  $(i, j) \in Q$  has a  $v$ -relation (resp. an  $h$ -relation), then  $\zeta(j) = (i, j)$  (resp.,  $\zeta(i) = (i, j)$ ). Hence  $\zeta$  is surjective. Q. E. D.

Finally we define the subset  $P_H$  of  $\mathcal{S}_n = \{(i, j) \in [1, n]^2 \mid i \neq j\}$  associated to a neat numerical semigroup  $H$  with  $M(H) = \{a_1, \dots, a_n\}$  as follows:

DEFINITION 3.6. We define an order on the set of subsets of  $\mathcal{S}_n$  in the following way:

1) for any  $(i, j)$  and  $(i', j') \in \mathcal{S}_n$ , we define  $(i, j) \leq (i', j')$  if  $i < i'$  or if  $i = i'$  and  $j \leq j'$ ,

2) for two subsets  $P$  and  $P'$  of  $\mathcal{S}_n$  with  $*P = *P' = *\mathcal{S}_n - r$ , we define  $P \leq P'$  if there exists  $0 \leq q \leq r$  such that

$$(i_1, j_1) = (i'_1, j'_1), \dots, (i_q, j_q) = (i'_q, j'_q) \text{ and } (i_{q+1}, j_{q+1}) < (i'_{q+1}, j'_{q+1})$$

where

$$\mathcal{S}_n - P = \{(i_1, j_1) < \dots < (i_r, j_r)\} \text{ and } \mathcal{S}_n - P' = \{(i'_1, j'_1) < \dots < (i'_r, j'_r)\},$$

3) for two subsets  $P$  and  $P'$  of  $\mathcal{S}_n$  we define  $P \leq P'$  if  $*P < *P'$  or if  $*P = *P'$  and  $P \leq P'$ .

Then the set of subsets of  $\mathcal{S}_n$  becomes a totally ordered set. Using this order, we define the subset  $P_H$  of  $\mathcal{S}_n$ :

$$P_H = \text{Min} \{ P_{H, (a_{\sigma(1)}, \dots, a_{\sigma(n)})} \mid \sigma \text{ runs over the set of permutations of } [1, n] \}$$

where

$$P_{H, (a_1, \dots, a_n)} = \text{Min} \{ P_{\mathcal{R}} \mid \mathcal{R} \text{ runs over the set of neat systems of relations with respect to } H \text{ and } \{a_1, \dots, a_n\} \}.$$

**4. Neat numerical semigroups generated by 4 elements.**

In this section, we are devoted to neat numerical semigroups  $H$  with  $M(H) = \{a_1, a_2, a_3, a_4\}$ . In the case  $*M(H) = 4$  we can explain  $v$ -relations and  $h$ -relations in detail.

LEMMA 4.1. *Let  $\mathcal{R}$  be a neat system of relations with respect to  $H$  and  $\{a_1, a_2, a_3, a_4\}$ . Then*

- 1)  $(i, j) \in P_{\mathcal{R}}$  has a  $v$ -relation and an  $h$ -relation if and only if  $(i, j) = \text{Max } P_{\mathcal{R}}$ ,
- 2) we have  $*Q = 3$  where

$$Q = \{ (i, j) \in P_{\mathcal{R}} \mid (i, j) \text{ has either a } v\text{-relation or an } h\text{-relation} \}.$$

PROOF. To check 1), by Lemma 3.5 1) it suffices to show the “only if” part. For brevity, we put  $P = P_{\mathcal{R}}$ . Let us take  $(i, j) \in P$  which has a  $v$ -relation and an  $h$ -relation. Then for any  $k \in [1, 4]$  the following hold:

- a) if  $(i, k) \in P$ , then  $(i, k) \leq (i, j)$ , b) if  $(j, k) \in P$ , then  $(j, k) < (i, j)$ , c) if  $(k, i) \in P$ , then  $(k, i) < (i, j)$ , d) if  $(k, j) \in P$ , then  $(k, j) \leq (i, j)$ .

From now on we will see that for  $(k, l) \in P$  with  $k, l \in [1, 4] - \{i, j\}$ ,  $(k, l) < (i, j)$ . The case  $i = 1$  does not occur, because  $(i, j)$  has a  $v$ -relation. Moreover, since for  $k = 1$  we have  $(k, l) < (i, j)$ , we may assume  $j = 1$  or  $l = 1$ .

(A)  $j = 1$ . Then  $i = 3$  or  $4$ , because  $i \geq i_1(2) \geq 3$ .

1)  $i = 3$ . Then  $(i_3(2), 3) < (3, 1) = (i_1(2), 1)$ , a contradiction.

2)  $i = 4$ . Then  $(k, l) = (2, 3)$  or  $(3, 2)$ . If  $(k, l) = (2, 3)$ , then

$$(k, l) \leq (i_3(2), 3) < (i_4(2), 4) < (4, 1) = (i, j).$$

If  $(k, l) = (3, 2)$ , then

$$(k, l) \leq (i_2(2), 2) < (i_4(2), 4) < (4, 1) = (i, j).$$

(B)  $l = 1$ . Then  $k = 2$  or  $3$  or  $4$ .

1)  $k = 2$ . Then  $(k, l) = (i_1(1), 1) < (i, j)$ .

2)  $k = 3$ . Then  $(k, l) \leq (i_1(2), 1) < (i_j(2), j) \leq (i, j)$ .

3)  $k = 4$ . Then  $(i, j) = (2, 3)$  or  $(3, 2)$ . If  $i = i_j(3)$ , then

$$(k, l) = (4, 1) \leq (i_1(3), 1) < (i_j(3), j) = (i, j).$$

Assume  $i=i_j(2)$ . Then

$$(i, j)=(i_j(2), j)<(i_4(2), 4)<(i, j),$$

because  $i_4(2)=2$  or  $3$ . This is a contradiction. Hence we have  $(i, j)=\text{Max } P$ .

By the proof of Lemma 3.5 3), we can define a surjective map  $\zeta: [1, 4] \rightarrow Q$  by sending  $l$  to  $(i_l, l)$  (resp.  $(l, i_l)$ ) if  $(i_l, l)$  has a  $v$ -relation (resp. if  $(l, i_l)$  has an  $h$ -relation). Let  $l$  and  $l'$  be two distinct elements of  $[1, 4]$  such that  $\zeta(l)=\zeta(l')$ . Then  $\zeta(l)=\zeta(l')$  has a  $v$ -relation and an  $h$ -relation. Hence if we set  $(i, j)=\text{Max } P$ , by 1) we get  $\{l, l'\}=\{i, j\}$ . So  $\zeta(k), \zeta(k')$  and  $\zeta(i)$  are distinct where we set  $[1, 4]=\{i, j, k, k'\}$ . Therefore we obtain  $*Q=3$ , because  $\zeta$  is surjective.

*Q. E. D.*

From now on, we will construct a torus embedding  $T_H \times A_k^4$ , any irreducible component of whose fibre over the origin of  $\text{Spec } k[Y_{ij}]_{(i,j) \in P_H}$  is isomorphic to  $C_H$ . First let  $\mathcal{R}$  be a neat system of relations with respect to  $H$  and  $\{a_1, a_2, a_3, a_4\}$ , i. e.,  $\alpha_i a_i = \sum_{j \neq i} \alpha_{ij} a_j$  for  $1 \leq i \leq 4$  and  $\alpha_j = \sum_{i \neq j} \alpha_{ij}$  for  $1 \leq j \leq 4$ , with  $0 \leq \alpha_{ij} < \alpha_j$ , and let  $Y_{ij}, (i, j) \in P_{\mathcal{R}}$ , (resp.  $t_1, \dots, t_{m-3}$ ) be independent variables over  $k$  where we put  $m=*P_{\mathcal{R}}$ .  $Q$  denotes the set of  $(i, j) \in P_{\mathcal{R}}$  which has either a  $v$ -relation or an  $h$ -relation. For brevity, we put  $P=P_{\mathcal{R}}$ , and let the order on  $Q$  (resp.  $P-Q$ ) be induced by that on  $P$  defined in Definition 3.3. Then by Lemma 4.1 2) the set  $Q$  consists of three elements

$$(i', j') < (i'', j'') < (i_0, j_0),$$

and there exists a unique isomorphism  $\xi: P-Q \rightarrow [1, m-3]$  of ordered sets. Now we will define a  $k$ -algebra homomorphism

$$\pi: k[Y_{ij}]_{(i,j) \in P} \longrightarrow k[t_1^{\pm 1}, \dots, t_{m-3}^{\pm 1}]$$

inductively as follows:

- 1)  $\pi_1: k[Y_{ij}]_{(i,j) \in P < (i', j')} \rightarrow k[t_1^{\pm 1}, \dots, t_{m-3}^{\pm 1}]$  is defined by

$$\pi_1(Y_{ij}) = t_{\xi(i,j)} \quad \text{if } (i, j) < (i', j'),$$

$$\pi_1(Y_{i'j'}) = \begin{cases} \prod_{i \in P_{j'-i'}} t_{\xi^{-1}(i,j')} \prod_{j \in P_{j'}} t_{\xi(j',j)} & \text{if } (i', j') \text{ has a } v\text{-relation,} \\ \prod_{j \in P_{i'-i'}} t_{\xi^{-1}(i',j)} \prod_{i \in P_{i'}} t_{\xi(i,i')} & \text{if } (i', j') \text{ has an } h\text{-relation,} \end{cases}$$

and

$$\pi_1(Y_{ij}) = t_{\xi(i,j)} \quad \text{if } (i', j') < (i, j) < (i'', j''),$$

- 2)  $\pi_2: k[Y_{ij}]_{(i,j) \in P < (i_0, j_0)} \rightarrow k[t_1^{\pm 1}, \dots, t_{m-3}^{\pm 1}]$  is defined by

$$\pi_2(Y_{ij}) = \pi_1(Y_{ij}) \quad \text{if } (i, j) < (i'', j''),$$

$$\pi_2(Y_{i''j''}) = \begin{cases} \prod_{i \in P_{j''-(i'')}} \pi_1(Y_{ij'})^{-1} \prod_{j \in P_{j''}} \pi_1(Y_{j'j}) & \text{if } (i'', j'') \text{ has a } v\text{-relation,} \\ \prod_{j \in P_{i''-(j'')}} \pi_1(Y_{i''j})^{-1} \prod_{i \in P_{i''}} \pi_1(Y_{ii'}) & \text{if } (i'', j'') \text{ has an } h\text{-relation,} \end{cases}$$

and

$$\pi_2(Y_{ij}) = t_{\xi(i,j)} \quad \text{if } (i'', j'') < (i, j) < (i_0, j_0),$$

3)  $\pi : k[Y_{ij}]_{(i,j) \in P} \rightarrow k[t_1^{\pm 1}, \dots, t_{m-3}^{\pm 1}]$  is defined by

$$\pi(Y_{ij}) = \pi_2(Y_{ij}) \quad \text{if } (i, j) < (i_0, j_0)$$

and

$$\pi(Y_{i_0j_0}) = \prod_{i \in P_{j_0-(i_0)}} \pi_2(Y_{ij_0})^{-1} \prod_{j \in P_{j_0}} \pi_2(Y_{j_0j}).$$

We note that

$$\prod_{i \in P_{j_0-(i_0)}} \pi_2(Y_{ij_0})^{-1} \prod_{j \in P_{j_0}} \pi_2(Y_{j_0j}) = \prod_{j \in P_{i_0-(j_0)}} \pi_2(Y_{i_0j})^{-1} \prod_{i \in P_{i_0}} \pi_2(Y_{ii_0}).$$

DEFINITION 4.2. If we canonically identify  $k[t_1^{\pm 1}, \dots, t_{m-3}^{\pm 1}]$  with the semigroup  $k$ -algebra  $k[T^b]_{b \in \mathbb{Z}^{m-3}}$ , in the above situation for any  $(i, j) \in P$  there exists a unique  $b_{ij} \in \mathbb{Z}^{m-3}$  such that  $\pi(Y_{ij}) = T^{b_{ij}}$ . Then the subsemigroup  $S$  of  $\mathbb{Z}^{m-3}$  generated by  $b_{ij} ((i, j) \in P)$  is called the *semigroup associated to  $P$*  and the surjective  $k$ -algebra homomorphism  $\pi : k[Y_{ij}]_{(i,j) \in P} \rightarrow k[T^s]_{s \in S}$  is called the *homomorphism associated to  $P$* .

LEMMA 4.3. Let  $\eta : k[Y_{ij}]_{(i,j) \in P} \rightarrow k[X] = k[X_1, X_2, X_3, X_4]$  be the  $k$ -algebra homomorphism defined by sending  $Y_{ij}$  to  $X_1^{a_{ij}}$ . Then we have  $I_H \supseteq \eta(\text{Ker } \pi)$ .

PROOF. The  $k$ -algebra homomorphism  $\zeta' : k[T^{b_{ij}}]_{(i,j) \in P} \rightarrow k[t^h]_{h \in H}$  defined by  $\zeta'(T^{b_{ij}}) = t^{\alpha_{ij} a_j}$  extends uniquely to the  $k$ -algebra homomorphism  $\zeta : k[T^s]_{s \in S} \rightarrow k[t^h]_{h \in H}$ . Moreover,

$$\varphi_H \circ \eta(Y_{ij}) = \varphi_H(X_1^{a_{ij}}) = t^{\alpha_{ij} a_j}$$

and

$$\zeta \circ \pi(Y_{ij}) = \zeta(T^{b_{ij}}) = t^{\alpha_{ij} a_j},$$

hence  $\varphi_H \circ \eta = \zeta \circ \pi$ , which implies  $I_H = \text{Ker } \varphi_H \supseteq \eta(\text{Ker } \pi)$ .

Q. E. D.

Let us recall the definition of  $P_H$  in Definition 3.6 which is determined by a neat numerical semigroup  $H$ . In our case  $M(H) = \{a_1, a_2, a_3, a_4\}$ , elementary computations show the following:

PROPOSITION 4.4.  $P_H$  is one of the following:

- (1) the case  $*P_H = 12$ , then  $P_H = S_4 = \{(i, j) \in [1, 4]^2 \mid i \neq j\}$ ,
- (2) the case  $*P_H = 11$ , then  $P_H = S_4 - \{(1, 2)\}$ ,

(3) the case  $*P_H=10$ , then  $P_H=S_4-\{(1, 2)\} \cup G$  where  $G$  is one of the following:

a)  $\{(2, 1)\}$ , b)  $\{(2, 3)\}$ , c)  $\{(3, 4)\}$ ,

(4) the case  $*P_H=9$ , then  $P_H=S_4-\{(1, 2)\} \cup G$  where  $G$  is one of the following:

a)  $\{(2, 1), (3, 4)\}$ , b)  $\{(2, 3), (3, 1)\}$ , c)  $\{(2, 3), (3, 4)\}$ ,

(5) the case  $*P_H=8$ , then  $P_H=S_4-\{(1, 2)\} \cup G$  where  $G$  is one of the following:

a)  $\{(2, 1), (3, 4), (4, 3)\}$  and b)  $\{(2, 3), (3, 4), (4, 1)\}$ .

DEFINITION-PROPOSITION 4.5. Let  $S_H$  be the semigroup associated to  $P_H$ . Then the subsemigroup  $S_H$  of  $Z^{m-3}$  is saturated and generates  $Z^{m-3}$  as a group. Therefore  $T_H = \text{Spec } k[Y_{ij}]_{(i, j) \in P_H} / \text{Ker } \pi$ , which is isomorphic to  $\text{Spec } k[T^s]_{s \in S_H}$ , is called the *torus embedding associated to the neat numerical semigroup  $H$*  with  $M(H) = \{a_1, a_2, a_3, a_4\}$ .

PROOF. By the construction of  $S_H$ ,  $S_H$  generates  $Z^{m-3}$  as a group. For any  $i \in [1, m-3]$  we denote by  $e_i \in Z^{m-3}$  the vector whose  $i$ -th component equals to 1 and whose  $j$ -th component equals to 0 if  $j \neq i$ . Let

$$\sigma : [1, m] \longrightarrow P_H = \{(i, j) \in [1, 4]^2 \mid i \neq j, \alpha_{ij} \neq 0\}$$

be the isomorphism of ordered sets, and for brevity we set  $b_i = b_{\sigma(i)}$  for all  $i \in [1, m]$ . Let the situation be as in Proposition 4.4. Then

$$(1) \quad b_i = e_i \quad (1 \leq i \leq 8), \quad b_9 = (-1, 1, 1, 1, -1, 0, 0, 0), \quad b_{10} = (1, -1, 0, 0, 0, -1, 1, 1, 0), \\ b_{11} = e_9, \quad b_{12} = (0, 0, 1, 0, -1, -1, 1, 0, 1),$$

$$(2) \quad b_i = e_i \quad (1 \leq i \leq 7), \quad b_8 = (-1, 1, 0, 0, 0, 1, -1, 0), \quad b_9 = (-1, 0, 1, 1, -1, 0, 0, 0), \\ b_{10} = e_8, \quad b_{11} = (0, -1, 1, 0, -1, 0, 1, 1),$$

$$(3) \quad \text{a) } b_i = e_i \quad (1 \leq i \leq 4), \quad b_5 = (-1, 0, 1, 1, 0, 0, 0), \quad b_6 = e_5, \quad b_7 = e_6, \\ b_8 = (0, 1, 0, 0, 1, -1, 0), \quad b_9 = e_7, \quad b_{10} = (-1, -1, 1, 0, 0, 1, 1),$$

$$\text{b) } b_i = e_i \quad (1 \leq i \leq 7), \quad b_8 = (-1, 1, 0, 0, 0, 1, 0), \quad b_9 = (-1, 0, 1, 1, -1, 0, 0), \\ b_{10} = (0, -1, 1, 0, -1, 0, 1),$$

$$\text{c) } b_i = e_i \quad (1 \leq i \leq 7), \quad b_8 = (-1, 1, 0, 0, 0, 1, -1), \quad b_9 = (-1, 0, 1, 1, -1, 0, 0), \\ b_{10} = (0, 1, -1, 0, 1, 0, -1),$$

$$(4) \quad \text{a) } b_i = e_i \quad (1 \leq i \leq 4), \quad b_5 = (-1, 0, 1, 1, 0, 0), \quad b_6 = e_5, \quad b_7 = e_6, \\ b_8 = (0, 1, 0, 0, 1, -1), \quad b_9 = (1, 1, -1, 0, 0, -1),$$

$$\text{b) } b_i = e_i \quad (1 \leq i \leq 4), \quad b_5 = (-1, 0, 1, 1, 0, 0), \quad b_6 = e_5, \quad b_7 = e_6, \quad b_8 = (-1, 1, 0, 0, 1, 0), \\ b_9 = (0, -1, 1, 0, 0, 1),$$

$$\text{c) } b_i = e_i \quad (1 \leq i \leq 6), \quad b_7 = (0, 1, -1, 0, 1, 0), \quad b_8 = (-1, 1, 0, 0, 0, 1), \\ b_9 = (-1, 0, 1, 1, -1, 0),$$



(5) a)  $b_i=e_i$  ( $1\leq i\leq 4$ ),  $b_5=(-1, 0, 1, 1, 0)$ ,  $b_6=e_6$ ,  $b_7=(1, 1, -1, 0, 0)$ ,  
 $b_8=(-1, 0, 1, 0, 1)$ ,

b)  $b_i=e_i$  ( $1\leq i\leq 4$ ),  $b_5=(-1, 0, 1, 1, 0)$ ,  $b_6=e_6$ ,  $b_7=(-1, 1, 0, 1, 0)$ ,  
 $b_8=(-1, 1, 0, 0, 1)$ .

By computation the subsemigroups  $S_H$  of  $Z^{m-3}$  generated by  $b_1, \dots, b_m$  are saturated. For example, we check the case (4) c). It suffices to show that  $\sum_{i=1}^9 R_+ b_i \cap Z^6 \subseteq S_H$  where  $R_+$  is the set of non-negative real numbers. Let us take  $z = \sum_{i=1}^9 \lambda_i b_i \in Z^6$  with  $\lambda_i \in R_+$ , and set  $\lambda_i = m_i + \beta_i$  with  $m_i \in N$  and  $0 \leq \beta_i < 1$  for  $1 \leq i \leq 9$ . Hence it suffices to show that  $y = \sum_{i=1}^9 \beta_i b_i \in S_H$ . Now we get

$$y = (\beta_1 - \beta_8 - \beta_9, \beta_2 + \beta_7 + \beta_8, \beta_3 - \beta_7 + \beta_9, \beta_4 + \beta_9, \beta_5 + \beta_7 - \beta_9, \beta_6 + \beta_8) \in Z^6,$$

hence

$$\beta_1 - \beta_8 - \beta_9 = -1 \text{ or } 0, \beta_2 + \beta_7 + \beta_8 = 0 \text{ or } 1 \text{ or } 2, \beta_3 - \beta_7 + \beta_9 = 0 \text{ or } 1,$$

$$\beta_4 + \beta_9 = 0 \text{ or } 1, \beta_5 + \beta_7 - \beta_9 = 0 \text{ or } 1, \text{ and } \beta_6 + \beta_8 = 0 \text{ or } 1.$$

First assume  $\beta_1 - \beta_8 - \beta_9 = 0$ . Since  $e_i \in S_H$  for all  $1 \leq i \leq 6$ , we get  $y \in S_H$ . Secondly assume  $\beta_1 - \beta_8 - \beta_9 = -1$ . Then we have  $\beta_8 > 0$  and  $\beta_9 > 0$ , which imply  $\beta_2 + \beta_7 + \beta_8 = 1$  or  $2$ ,  $\beta_4 + \beta_9 = 1$  and  $\beta_6 + \beta_8 = 1$ . Then  $y \in S_H$ , because  $(-1, 1, 0, 1, 0, 1) = b_4 + b_8 \in S_H$ . Therefore  $S_H$  is saturated. The other cases work similarly.

*Q. E. D.*

For our purposes it is necessary to investigate generators of the ideal  $I_H$ . When  $H$  is a neat numerical semigroup with  $M(H) = \{a_1, a_2, a_3, a_4\}$ , the following Lemma gives us a set of generators for  $I_H$ .

LEMMA 4.6. *Let  $H$  be a numerical semigroup with  $M(H) = \{a_1, a_2, a_3, a_4\}$ , such that for any  $1 \leq i \leq 4$*

$$\alpha_i a_i = \alpha_{ij} a_j + \alpha_{ik} a_k + \alpha_{il} a_l \text{ with } \alpha_{ij} > 0, \alpha_{ik} > 0 \text{ and } \alpha_{il} \geq 0$$

where  $i, j, k$  and  $l$  are distinct. For any  $1 \leq i \leq 4$  we denote  $X_i^{\alpha_i} - X_j^{\alpha_{ij}} X_k^{\alpha_{ik}} X_l^{\alpha_{il}}$  by  $f_i$ . Set

$$A_1 = \{f_1, f_2, f_3, f_4\}, \quad A_2 = \{X_1^{\beta_1} X_2^{\beta_2} - X_3^{\beta_3} X_4^{\beta_4} \in I_H \mid 0 < \beta_i < \alpha_i\},$$

$$A_3 = \{X_1^{\beta_1} X_3^{\beta_3} - X_2^{\beta_2} X_4^{\beta_4} \in I_H \mid 0 < \beta_i < \alpha_i\}, \quad A_4 = \{X_1^{\beta_1} X_4^{\beta_4} - X_2^{\beta_2} X_3^{\beta_3} \in I_H \mid 0 < \beta_i < \alpha_i\}.$$

Moreover, for any  $2 \leq i \leq 4$  we put

$$A_i^* = \{X_1^{\beta_1} X_i^{\beta_i} - X_j^{\beta_j} X_k^{\beta_k} \in A_i \mid \text{for any } X_1^{\gamma_1} X_i^{\gamma_i} - X_j^{\gamma_j} X_k^{\gamma_k} \in A_i, \text{ different} \\ \text{from } X_1^{\beta_1} X_i^{\beta_i} - X_j^{\beta_j} X_k^{\beta_k}, \gamma_1 \leq \beta_1 \text{ and } \gamma_i \leq \beta_i \text{ do not hold}\}.$$

Then 1) the ideal  $I_H$  is generated by the elements of the set  $A_1 \cup A_2^* \cup A_3^* \cup A_4^*$ ,  
 2) if  $\alpha_i a_i \neq \alpha_j a_j$ , for  $i \neq j$ , then  $\mu(H)$  is equal to  $4 + {}^*A_2^* + {}^*A_3^* + {}^*A_4^*$ .

PROOF. 1) Let  $(A')$  (resp.  $(A)$ , resp.  $(A^*)$ ) be the ideal generated by the set

$$A' = A_1 \cup \{X_i^{\gamma_i} X_j^{\gamma_j} - X_k^{\gamma_k} X_l^{\gamma_l} \in I_H \mid \gamma_i, \gamma_j, \gamma_k, \gamma_l > 0 \text{ and } (i, j, k, l)$$

is a permutation of  $[1, 4]\}$

(resp. the set  $A = A_1 \cup A_2 \cup A_3 \cup A_4$ , resp. the set  $A^* = A_1 \cup A_2^* \cup A_3^* \cup A_4^*$ ).

First we show:  $I_H = (A')$ , that is,  $g = X_i^{\lambda_i} - X_j^{\gamma_j} X_k^{\gamma_k} X_l^{\gamma_l} \in I_H$ , with  $\lambda_i \geq \alpha_i$  and a permutation  $(i, j, k, l)$  of  $[1, 4]$ , belongs to  $(A')$ , i. e.,  $g = f + \left(\prod_{s=1}^4 X_s^{\gamma_s}\right)h$  with  $f \in (A')$  and  $\partial h < \partial g$  if  $h \neq 0$ . If we set  $\lambda_i = \alpha_i q + r$  with  $q > 0$  and  $0 \leq r < \alpha_i$ , then

$$G = g - X_i^r (X_i^{\alpha_i q} - X_j^{\gamma_j q} X_k^{\gamma_k q} X_l^{\gamma_l q}) = X_i^r X_j^{\gamma_j q} X_k^{\gamma_k q} X_l^{\gamma_l q} - X_j^{\gamma_j} X_k^{\gamma_k} X_l^{\gamma_l}.$$

Then we can write  $G = f + \left(\prod_{s=1}^4 X_s^{\gamma_s}\right)h$  with  $f \in (A')$  and  $\partial h < \partial g$  if  $h \neq 0$ .

Secondly we see:  $I_H = (A)$ , that is,  $g = X_i^{\gamma_i} X_j^{\gamma_j} - X_k^{\gamma_k} X_l^{\gamma_l} \in I_H$ , with  $\gamma_i, \gamma_j, \gamma_k, \gamma_l > 0$  and a permutation  $(i, j, k, l)$  of  $[1, 4]$ , belongs to  $(A)$ . We may assume that  $\gamma_i = \alpha_i q + r$  with  $q > 0$  and  $0 \leq r < \alpha_i$ . Hence we have

$$G = g - X_i^r X_j^{\gamma_j} (X_i^{\alpha_i q} - X_j^{\gamma_j q} X_k^{\gamma_k q} X_l^{\gamma_l q}) = X_i^r X_j^{\gamma_j + \alpha_i q} X_k^{\gamma_k q} X_l^{\gamma_l q} - X_i^r X_j^{\gamma_j} X_k^{\gamma_k} X_l^{\gamma_l}.$$

Then we can write  $G = \left(\prod_{s=1}^4 X_s^{\gamma_s}\right)h$  with  $\partial h < \partial g$  if  $h \neq 0$ .

Lastly we check:  $I_H = (A^*)$ . Let us take  $g = X_i^{\gamma_i} X_j^{\gamma_j} - X_k^{\beta_k} X_l^{\beta_l} \in A_i$  such that there exists  $g_i = X_i^{\beta_1} X_j^{\beta_2} - X_k^{\beta_3} X_l^{\beta_4} \in A_i^*$  with  $\gamma_i \geq \beta_1$ ,  $\gamma_j \geq \beta_2$  and  $(\gamma_i, \gamma_j) \neq (\beta_1, \beta_2)$ . Then

$$G = g - X_i^{\gamma_i - \beta_1} X_j^{\gamma_j - \beta_2} g_i = X_i^{\gamma_i - \beta_1} X_j^{\gamma_j - \beta_2} X_k^{\beta_3} X_l^{\beta_4} - X_j^{\gamma_j} X_k^{\beta_k} X_l^{\beta_l} = X_j^{\gamma_j} X_k^{\beta_k} \cdot h$$

with  $\partial h < \partial g$ .

2) It suffices to show that the images of elements of  $A_1 \cup A_2^* \cup A_3^* \cup A_4^*$  in  $I_H / (X_1, X_2, X_3, X_4)I_H$  are linearly independent over  $k$ . By the assumptions  $\alpha_i a_i \neq \alpha_j a_j$  and the minimality of  $\alpha_i$ , the weights of elements of  $A_1 \cup A_2 \cup A_3 \cup A_4$  are distinct. For brevity, the ideal  $(X_1, X_2, X_3, X_4)$  (resp.  $X_i^{\beta_1} X_j^{\beta_2} - X_k^{\beta_3} X_l^{\beta_4} \in A_i^*$ ) is denoted by  $(X)$  (resp.  $g_{\beta_1 \beta_2}^{(i)}$ ). Let

$$\sum_{i=1}^4 c_i f_i + \sum c_{\beta_1 \beta_2}^{(2)} g_{\beta_1 \beta_2}^{(2)} + \sum c_{\beta_1 \beta_3}^{(3)} g_{\beta_1 \beta_3}^{(3)} + \sum c_{\beta_1 \beta_4}^{(4)} g_{\beta_1 \beta_4}^{(4)} \in (X)I_H,$$

with  $c_i, c_{\beta_1 \beta_2}^{(2)}, c_{\beta_1 \beta_3}^{(3)}, c_{\beta_1 \beta_4}^{(4)} \in k$ . First assume that  $c_i \neq 0$ . Since the ideal  $(X)I_H$  is homogeneous, we get  $c_i f_i \in (X)I_H$ , which has an expression:

$$c_i f_i = \sum_{m=1}^4 h_m f_m + \sum h_{\beta_1 \beta_2}^{(2)} g_{\beta_1 \beta_2}^{(2)} + \sum h_{\beta_1 \beta_3}^{(3)} g_{\beta_1 \beta_3}^{(3)} + \sum h_{\beta_1 \beta_4}^{(4)} g_{\beta_1 \beta_4}^{(4)}$$

with  $h_m, h_{\beta_1\beta_2}^{(2)}, h_{\beta_1\beta_3}^{(3)}, h_{\beta_1\beta_4}^{(4)} \in (X)$ . If we substitute 0 for  $X_j$ , all  $j$  different from  $i$ , then we get  $c_i X_i^{\alpha_i} = c X_i^{\beta_i + \alpha_i}$  with  $c \in k$  and  $\beta > 0$ , a contradiction. Hence  $c_i = 0$  for all  $i = 1, \dots, 4$ . Secondly assume that  $c_{\beta_1\beta_i}^{(i)} \neq 0$ . Then  $c_{\beta_1\beta_i}^{(i)} g_{\beta_1\beta_i}^{(i)} \in (X)I_H$ , which has an expression :

$$c_{\beta_1\beta_i}^{(i)} g_{\beta_1\beta_i}^{(i)} = \sum h_{\beta_1\beta_2}^{(2)} g_{\beta_1\beta_2}^{(2)} + \sum h_{\beta_1\beta_3}^{(3)} g_{\beta_1\beta_3}^{(3)} + \sum h_{\beta_1\beta_4}^{(4)} g_{\beta_1\beta_4}^{(4)}$$

because of  $g_{\beta_1\beta_i}^{(i)} \in A_i$  and the minimality of  $\alpha_j$ . Substituting 0 for  $X_j$  and  $X_k$ , where  $(1, i, j, k)$  is a permutation of  $[1, 4]$ , we obtain

$$c_{\beta_1\beta_i}^{(i)} X_1^{\beta_1} X_i^{\beta_i} = \sum_{(\gamma_1, \gamma_i) \neq (\beta_1, \beta_i)} h_{\gamma_1\gamma_i}^{(i)}(X_1, 0, X_i, 0) X_1^{\gamma_1} X_i^{\gamma_i},$$

hence there exists  $(\lambda_1, \lambda_i) \in \mathbb{N}^2, \neq (0, 0)$  such that

$$\beta_1 a_1 + \beta_i a_i = (\gamma_1 + \lambda_1) a_1 + (\gamma_i + \lambda_i) a_i.$$

If  $\beta_1 \geq \gamma_1 + \lambda_1$ , in virtue of  $\alpha_1 > \beta_1$  we have  $\beta_1 = \gamma_1 + \lambda_1$  and  $\beta_i = \gamma_i + \lambda_i$ , which contradict  $g_{\beta_1\beta_i}^{(i)} \in A_i^*$ . If  $\beta_1 < \gamma_1 + \lambda_1$ , we have

$$(\beta_i - \gamma_i - \lambda_i) a_i = (\gamma_1 + \lambda_1 - \beta_1) a_1,$$

which contradicts the minimality of  $\alpha_i$ . Hence we get  $c_{\beta_1\beta_i}^{(i)} = 0$ . *Q. E. D.*

For a neat system  $\mathcal{R} : \alpha_i a_i = \sum \alpha_{ij} a_j$  for  $1 \leq i \leq 4$  and  $\alpha_j = \sum \alpha_{ij}$  for  $1 \leq j \leq 4$ , of relations with respect to  $H$  with  $M(H) = \{a_1, a_2, a_3, a_4\}$ , the following holds :

LEMMA 4.7. *We have*

$$D = \begin{vmatrix} \alpha_1 & -\alpha_{12} & -\alpha_{13} \\ -\alpha_{21} & \alpha_2 & -\alpha_{23} \\ -\alpha_{31} & -\alpha_{32} & \alpha_3 \end{vmatrix} > 0.$$

PROOF. Since we have  $\alpha_j = \sum_{i \neq j} \alpha_{ij}$  for  $1 \leq j \leq 4$ , we obtain

$$\begin{aligned} D &= \begin{vmatrix} \alpha_1 & -\alpha_{12} & -\alpha_{13} \\ -\alpha_{21} & \alpha_2 & -\alpha_{23} \\ \alpha_{41} & \alpha_{42} & \alpha_{43} \end{vmatrix} = \alpha_{41} \begin{vmatrix} -\alpha_{12} & -\alpha_{13} \\ \alpha_2 & -\alpha_{23} \end{vmatrix} - \alpha_{42} \begin{vmatrix} \alpha_1 & -\alpha_{13} \\ -\alpha_{21} & -\alpha_{23} \end{vmatrix} \\ &\quad + \alpha_{43} \begin{vmatrix} \alpha_1 & -\alpha_{12} \\ -\alpha_{21} & \alpha_2 \end{vmatrix} \\ &= \alpha_{41}(\alpha_{12}\alpha_{23} + \alpha_2\alpha_{13}) + \alpha_{42}(\alpha_1\alpha_{23} + \alpha_{21}\alpha_{13}) + \alpha_{43}\{\alpha_1(\alpha_{32} + \alpha_{42}) + (\alpha_{31} + \alpha_{41})\alpha_{12}\} \end{aligned}$$

If  $\alpha_{43} > 0$ , then  $D > 0$  because of  $\alpha_{43}\alpha_1(\alpha_{32} + \alpha_{42}) > 0$ . If  $\alpha_{43} = 0$ , then  $\alpha_{41} > 0$  and  $\alpha_{13} > 0$ , hence we get  $D > 0$ . *Q. E. D.*

Hereafter we are in the following situation, which is similar to that in Corollary 1.6: let  $P=P_H$  be as in Definition 3.6 and let  $T_H=\text{Spec } k[Y_{ij}]_{(i,j)\in P}/\text{Ker } \pi$  be the torus embedding associated to the neat numerical semigroup  $H$  with  $M(H)=\{a_1, a_2, a_3, a_4\}$ . Let us consider the fibre product:

$$\begin{array}{ccc} \phi^{-1}(O) & \longrightarrow & T_H \times A_k^4 \cong \text{Spec}(k[Y_{ij}]/J)[X_1, X_2, X_3, X_4] \\ \downarrow & & \downarrow \phi \\ \text{Spec } k & \longrightarrow & \text{Spec } k[Y_{ij}]_{(i,j)\in P} \end{array}$$

where  $O$  and  $J$  are respectively the origin of  $\text{Spec } k[Y_{ij}]$  and the ideal  $\text{Ker } \pi$ , and  $\phi$  is the morphism corresponding to the  $k$ -algebra homomorphism  $\phi^*: k[Y_{ij}] \rightarrow (k[Y_{ij}]/J)[X_1, X_2, X_3, X_4]$  by sending  $Y_{ij}$  to  $X_1^{\alpha_{ij}} - Y_{ij} \pmod J$ . If  $J_0$  is the ideal in  $k[X]=k[X_1, X_2, X_3, X_4]$  generated by the set  $\eta(J)$  where  $\eta: k[Y_{ij}] \rightarrow k[X]$  is the  $k$ -algebra homomorphism defined by  $\eta(Y_{ij})=X_1^{\alpha_{ij}}$ , then  $\phi^{-1}(O)$  is isomorphic to  $\text{Spec } k[X]/J_0$ .

PROPOSITION 4.8.  $C_H$  is an irreducible component in  $\phi^{-1}(O)=\text{Spec } k[X]/J_0$ .

PROOF. We use the notation in Lemma 4.6. Since

$$F_i = \prod_{j \in P_i} Y_{ji} - \prod_{j \in P^i} Y_{ij} \in J$$

for all  $i$  implies  $(f_1, f_2, f_3, f_4) \subseteq J_0$  and by Lemma 4.3 we have  $I_H \cong J_0$ , we will check that the ideal  $I_H$  is minimal prime over  $(f_1, f_2, f_3, f_4)$ . Let  $\mathfrak{p}$  be any prime ideal in  $k[X]$  with  $(f_1, f_2, f_3, f_4) \subseteq \mathfrak{p} \subseteq I_H$ . Let us take

$$g = X_1^{\beta_1} X_2^{\beta_2} - X_3^{\beta_3} X_4^{\beta_4} \in A_2, \quad \text{hence } \beta_1 a_1 + \beta_2 a_2 - \beta_3 a_3 = \beta_4 a_4.$$

By Lemma 4.7, there exists a positive integer  $\mu$  such that

$$\mu(\beta_1, \beta_2, -\beta_3) = \nu_1(\alpha_1, -\alpha_{12}, -\alpha_{13}) + \nu_2(-\alpha_{21}, \alpha_2, -\alpha_{23}) + \nu_3(-\alpha_{31}, -\alpha_{32}, \alpha_3)$$

with  $\nu_i \in \mathbf{Z}$ , which implies  $\mu\beta_4 = \nu_1\alpha_{14} + \nu_2\alpha_{24} + \nu_3\alpha_{34}$ . Since  $\beta_i > 0$  for  $1 \leq i \leq 4$ , this case is divided into the following:

- 1)  $\nu_1 > 0, \nu_2 > 0, \nu_3 \geq 0,$     2)  $\nu_1 > 0, \nu_2 > 0, \nu_3 < 0,$
- 3)  $\nu_1 > 0, \nu_2 < 0, \nu_3 < 0,$     4)  $\nu_1 \leq 0, \nu_2 > 0, \nu_3 < 0.$

If  $\nu_1 > 0, \nu_2 > 0$  and  $\nu_3 \geq 0$ , then

$$\begin{aligned} & X_1^{\nu_2\alpha_{21} + \nu_3\alpha_{31}} X_2^{\nu_1\alpha_{12} + \nu_3\alpha_{32}} X_3^{\nu_3\alpha_{33}} (X_1^{\mu\beta_1} X_2^{\mu\beta_2} - X_3^{\mu\beta_3} X_4^{\mu\beta_4}) \\ &= X_2^{\nu_2\alpha_2} X_3^{\nu_3\alpha_3} (X_1^{\nu_1\alpha_1} - X_2^{\nu_1\alpha_{12}} X_3^{\nu_1\alpha_{13}} X_4^{\nu_1\alpha_{14}}) \\ & \quad + X_2^{\nu_1\alpha_{12}} X_3^{\nu_1\alpha_{13} + \nu_3\alpha_{33}} X_4^{\nu_1\alpha_{14}} (X_2^{\nu_2\alpha_2} - X_1^{\nu_2\alpha_{21}} X_3^{\nu_2\alpha_{23}} X_4^{\nu_2\alpha_{24}}) \\ & \quad + X_1^{\nu_2\alpha_{21}} X_2^{\nu_1\alpha_{12}} X_3^{\nu_1\alpha_{13} + \nu_2\alpha_{23}} X_4^{\nu_1\alpha_{14} + \nu_2\alpha_{24}} (X_3^{\nu_3\alpha_{33}} - X_1^{\nu_3\alpha_{31}} X_2^{\nu_3\alpha_{32}} X_4^{\nu_3\alpha_{34}}) \\ & \in (f_1, f_2, f_3) \subseteq \mathfrak{p} \subseteq I_H. \end{aligned}$$

Since

$$X_1^{\nu_2\alpha_{21}+\nu_3\alpha_{31}}X_2^{\nu_1\alpha_{12}+\nu_3\alpha_{32}}X_3^{\nu_3\alpha_3}(X_1^{(\mu-1)\beta_1}X_2^{(\mu-1)\beta_2}+\dots+X_3^{(\mu-1)\beta_3}X_4^{(\mu-1)\beta_4})\in I_H,$$

we get  $g=X_1^{\beta_1}X_2^{\beta_2}-X_3^{\beta_3}X_4^{\beta_4}\in \mathfrak{p}$ . The other cases work similarly. For  $g\in A_3\cup A_4$ , the proof of  $g\in \mathfrak{p}$  is similar. By Lemma 4.6  $\mathfrak{p}$  coincides with  $I_H$ , hence we get our desired result. Q. E. D.

If  $\phi^{-1}(O)$  and  $C_H$  are respectively regarded as the algebraic subsets  $V(J_0)$  and  $V(I_H)$  of the affine space  $A_k^4$ , we see:

PROPOSITION 4.9. 1) For any  $x=(x_1, x_2, x_3, x_4)\in\phi^{-1}(O)$ , different from the origin, we have  $x_i\neq 0$  for any  $1\leq i\leq 4$ .

2) For any  $x=(x_1, x_2, x_3, x_4)\in\phi^{-1}(O)$ , different from the origin, we have  $x^{-1}=(x_1^{-1}, x_2^{-1}, x_3^{-1}, x_4^{-1})\in\phi^{-1}(O)$ .

3) Any irreducible component in  $\phi^{-1}(O)$  is isomorphic to  $C_H$ .

PROOF. In the proof we use the notation in Lemma 4.6.

1) If  $x_i=0$  for some  $i$ ,  $x$  must be the origin of  $A_k^4$ , because  $J_0$  contains the ideal  $(f_1, f_2, f_3, f_4)$ .

2) We may take generators  $F_k(1\leq k\leq u)$  of the ideal  $J$  as follows:

$$F_k = \prod_{(i,j)\in P} Y_{ij}^{\nu_{ij}} - \prod_{(i,j)\in P} Y_{ij}^{\mu_{ij}}$$

with  $\nu_{ij}\mu_{ij}=0$ . In virtue of  $x\in\phi^{-1}(O)=V(J_0)=V(\gamma(J))$ , we have

$$\prod x_j^{\nu_{ij}^{\alpha_{ij}}} - \prod x_j^{\mu_{ij}^{\alpha_{ij}}} = 0,$$

which implies

$$\prod (x_j^{-1})^{\nu_{ij}^{\alpha_{ij}}} - \prod (x_j^{-1})^{\mu_{ij}^{\alpha_{ij}}} = 0.$$

This means  $x^{-1}\in\phi^{-1}(O)$ .

3) For any  $x=(x_1, x_2, x_3, x_4)\in\phi^{-1}(O)$ , different from the origin, let  $\varphi_x:k[X]\rightarrow k[X]/J_0$  be the  $k$ -algebra homomorphism defined by  $\varphi_x(X_i)=x_iX_i+J_0$ . Then  $\text{Ker } \varphi_x$  contains the ideal  $J_0$ , because

$$\begin{aligned} \varphi_x(\gamma(F_k)) &= \prod (x_jX_j)^{\alpha_{ij}\nu_{ij}} - \prod (x_jX_j)^{\alpha_{ij}\mu_{ij}} + J_0 \\ &= \prod x_j^{\alpha_{ij}\nu_{ij}} (\prod (X_j)^{\alpha_{ij}\nu_{ij}} - \prod (X_j)^{\alpha_{ij}\mu_{ij}}) + J_0 \\ &= \prod x_j^{\alpha_{ij}\nu_{ij}} \gamma(F_k) + J_0 = J_0. \end{aligned}$$

Therefore  $\varphi_x$  induces the homomorphism  $\bar{\varphi}_x:k[X]/J_0\rightarrow k[X]/J_0$ , which is an isomorphism by 2). Since  $J_0$  is homogeneous,  $\phi^{-1}(O)$  has a natural  $G_m$ -action. Then we see that for any  $x\in\phi^{-1}(O)$ , different from the origin, we have

$$\phi_{x^{-1}}(\text{the closure of } G_m \cdot x) = C_H$$

where  $\phi_{x^{-1}}$  is the automorphism of  $\phi^{-1}(O)$  corresponding to  $\varphi_{x^{-1}}$ . Using Proposition 4.8 any irreducible component in  $\phi^{-1}(O)$  is isomorphic to  $C_H$ . *Q. E. D.*

Lastly, for our purpose we classify neat numerical semigroups  $H$  with  $M(H) = \{a_1, a_2, a_3, a_4\}$  as follows:

DEFINITION 4.10. In virtue of  $(a_1, a_2, a_3, a_4) = 1$  and Lemma 4.7, there exists a unique positive integer  $\nu$  such that

$$\nu a_4 = \begin{vmatrix} \alpha_1 & -\alpha_{12} & -\alpha_{13} \\ -\alpha_{21} & \alpha_2 & -\alpha_{23} \\ -\alpha_{31} & -\alpha_{32} & \alpha_3 \end{vmatrix} = D.$$

Then the numerical semigroup  $H$  is called to be  $\nu$ -neat.

Our main result in this section is the following:

THEOREM 4.11. *1-neat numerical semigroups  $H$  are of torus embedding type, hence if the characteristic of  $k$  is 0, then we get  $\mathcal{M}_H \neq \emptyset$ .*

PROOF. Let the situation be as in Proposition 4.4. Since  $a_4 = D$ , by computation we get:

(1)  $L_{a_3}(H) = \{\beta_1 a_1 + \beta_2 a_2 + \beta_4 a_4 \mid \beta_i \in \mathcal{N} \text{ and } (\beta_1, \beta_2, \beta_4) \text{ satisfies one of the following: 1) } \beta_1 < \alpha_{21} + \alpha_{31}, \beta_2 < \alpha_{32}, \beta_4 < \alpha_4, 2) \beta_1 < \alpha_{31}, \alpha_{32} \leq \beta_2 < \alpha_2, \beta_4 < \alpha_{14} + \alpha_{34}, 3) \alpha_{21} + \alpha_{31} \leq \beta_1 < \alpha_1, \beta_2 < \alpha_{32}, \beta_4 < \alpha_{24} + \alpha_{34}, 4) \alpha_{31} \leq \beta_1 < \alpha_1, \alpha_{32} \leq \beta_2 < \alpha_{32} + \alpha_{42}, \beta_4 < \alpha_{34}, 5) \beta_1 < \alpha_{31}, \alpha_{32} \leq \beta_2 < \alpha_{12} + \alpha_{32}, \alpha_{14} + \alpha_{34} \leq \beta_4 < \alpha_4, 6) \alpha_{31} \leq \beta_1 < \alpha_{31} + \alpha_{41}, \alpha_{32} + \alpha_{42} \leq \beta_2 < \alpha_2, \beta_4 < \alpha_{34}\}$ ,

(2)  $L_{a_3}(H) = \{\beta_1 a_1 + \beta_2 a_2 + \beta_4 a_4 \mid \beta_i \in \mathcal{N} \text{ and } (\beta_1, \beta_2, \beta_4) \text{ satisfies one of the following: 1) } \beta_1 < \alpha_{21} + \alpha_{31}, \beta_2 < \alpha_{32}, \beta_4 < \alpha_4, 2) \beta_1 < \alpha_{31}, \alpha_{32} \leq \beta_2 < \alpha_2, \beta_4 < \alpha_{14} + \alpha_{34}, 3) \alpha_{21} + \alpha_{31} \leq \beta_1 < \alpha_1, \beta_2 < \alpha_{32}, \beta_4 < \alpha_{24} + \alpha_{34}, 4) \alpha_{31} \leq \beta_1 < \alpha_1, \alpha_{32} \leq \beta_2 < \alpha_2, \beta_4 < \alpha_{34}\}$ ,

(3) a)  $L_{a_3}(H) = \{\beta_1 a_1 + \beta_2 a_2 + \beta_4 a_4 \mid \beta_i \in \mathcal{N} \text{ and } (\beta_1, \beta_2, \beta_4) \text{ satisfies one of the following: 1) } \beta_1 < \alpha_1, \beta_2 < \alpha_2, \beta_4 < \alpha_{34}, 2) \beta_1 < \alpha_{31}, \beta_2 < \alpha_{32}, \alpha_{34} \leq \beta_4 < \alpha_4, 3) \alpha_{31} \leq \beta_1 < \alpha_1, \beta_2 < \alpha_{32}, \alpha_{34} \leq \beta_4 < \alpha_{24} + \alpha_{34}, 4) \beta_1 < \alpha_{31}, \alpha_{32} \leq \beta_2 < \alpha_2, \alpha_{34} \leq \beta_4 < \alpha_{14} + \alpha_{34}\}$ ,

b)  $L_{a_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid \beta_i \in \mathcal{N} \text{ and } (\beta_2, \beta_3, \beta_4) \text{ satisfies one of the following: 1) } \beta_2 < \alpha_2, \beta_3 < \alpha_3, \beta_4 < \alpha_{14}, 2) \beta_2 < \alpha_2, \beta_3 < \alpha_{13}, \alpha_{14} \leq \beta_4 < \alpha_{14} + \alpha_{34}, 3) \beta_2 < \alpha_{32}, \beta_3 < \alpha_{13}, \alpha_{14} + \alpha_{34} \leq \beta_4 < \alpha_4\}$ ,

c)  $L_{a_3}(H) = \{\beta_1 a_1 + \beta_2 a_2 + \beta_4 a_4 \mid \beta_i \in \mathcal{N} \text{ and } (\beta_1, \beta_2, \beta_4) \text{ satisfies one of the following: 1) } \beta_1 < \alpha_{21} + \alpha_{31}, \beta_2 < \alpha_{32}, \beta_4 < \alpha_4, 2) \beta_1 < \alpha_{31}, \alpha_{32} \leq \beta_2 < \alpha_2, \beta_4 < \alpha_{14}, 3) \alpha_{21} + \alpha_{31} \leq \beta_1 < \alpha_1, \beta_2 < \alpha_{32}, \beta_4 < \alpha_{24}\}$ ,

(4) a)  $L_{\alpha_3}(H) = \{\beta_1 a_1 + \beta_2 a_2 + \beta_4 a_4 \mid \beta_i \in N \text{ and } (\beta_1, \beta_2, \beta_4) \text{ satisfies one of the following: 1) } \beta_1 < \alpha_{31}, \beta_2 < \alpha_{32}, \beta_4 < \alpha_4, \text{ 2) } \beta_1 < \alpha_{31}, \alpha_{32} \leq \beta_2 < \alpha_2, \beta_4 < \alpha_{14}, \text{ 3) } \alpha_{31} \leq \beta_1 < \alpha_1, \beta_2 < \alpha_{32}, \beta_4 < \alpha_{24}\}$ ,

b)  $L_{\alpha_4}(H) = \{\beta_1 a_1 + \beta_2 a_2 + \beta_3 a_3 \mid \beta_i \in N \text{ and } (\beta_1, \beta_2, \beta_3) \text{ satisfies one of the following: 1) } \beta_1 < \alpha_1, \beta_2 < \alpha_2, \beta_3 < \alpha_{43}, \text{ 2) } \beta_1 < \alpha_1, \beta_2 < \alpha_{42}, \alpha_{43} \leq \beta_3 < \alpha_3, \text{ 3) } \beta_1 < \alpha_{41}, \alpha_{42} \leq \beta_2 < \alpha_2, \alpha_{43} \leq \beta_3 < \alpha_3\}$ ,

c)  $L_{\alpha_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid \beta_i \in N \text{ and } (\beta_2, \beta_3, \beta_4) \text{ satisfies one of the following: 1) } \beta_2 < \alpha_2, \beta_3 < \alpha_3, \beta_4 < \alpha_{14}, \text{ 2) } \beta_2 < \alpha_{32}, \beta_3 < \alpha_{13}, \alpha_{14} \leq \beta_4 < \alpha_4\}$ ,

(5) a)  $L_{\alpha_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid \beta_i \in N \text{ and } (\beta_2, \beta_3, \beta_4) \text{ satisfies one of the following: 1) } \beta_2 < \alpha_2, \beta_3 < \alpha_{13}, \beta_4 < \alpha_{14}, \text{ 2) } \beta_2 < \alpha_{42}, \alpha_{13} \leq \beta_3 < \alpha_3, \beta_4 < \alpha_{14}, \text{ 3) } \beta_2 < \alpha_{32}, \beta_3 < \alpha_{13}, \alpha_{14} \leq \beta_4 < \alpha_4\}$ ,

b)  $L_{\alpha_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid \beta_i \in N \text{ and } (\beta_2, \beta_3, \beta_4) \text{ satisfies one of the following: 1) } \beta_2 < \alpha_2, \beta_3 < \alpha_3, \beta_4 < \alpha_{14}, \text{ 2) } \beta_2 < \alpha_{32}, \beta_3 < \alpha_{13}, \alpha_{14} \leq \beta_4 < \alpha_4\}$ .

Using the above and Lemma 4.6 we get  $J_0 = I_H$ . For example, in the case (4) c) we will show that  $J_0 = I_H$ . It suffices to show that  $J_0 \supseteq I_H$ . We use the notation in Lemma 4.6. Assume that  $A_2 \neq \emptyset$ , i. e., take

$$X_1^{\beta_1} X_2^{\beta_2} - X_3^{\beta_3} X_4^{\beta_4} \in A_2, \quad \text{hence } \beta_1 a_1 + \beta_2 a_2 = \beta_3 a_3 + \beta_4 a_4.$$

Then 1) implies  $\beta_4 \geq \alpha_{14}$ , hence by 2) we get  $\beta_3 \geq \alpha_{13}$ . Therefore we have

$$\begin{aligned} \beta_1 a_1 + \beta_2 a_2 &= (\beta_3 - \alpha_{13}) a_3 + (\beta_4 - \alpha_{14}) a_4 + \alpha_{13} a_3 + \alpha_{14} a_4 \\ &= (\beta_3 - \alpha_{13}) a_3 + (\beta_4 - \alpha_{14}) a_4 + \alpha_1 a_1, \end{aligned}$$

which implies

$$\beta_2 a_2 = (\alpha_1 - \beta_1) a_1 + (\beta_3 - \alpha_{13}) a_3 + (\beta_4 - \alpha_{14}) a_4.$$

Since  $0 < \beta_2 < \alpha_2$ , this contradicts the minimality of  $\alpha_2$ , hence  $A_2 = \emptyset$ , which implies  $A_2^* = \emptyset$ . Now we have

$$g_3 = X_1^{\alpha_{21} + \alpha_{41}} X_3^{\alpha_{43}} - X_2^{\alpha_{32}} X_4^{\alpha_{14}} \in A_3.$$

Take  $X_1^{\beta_1} X_3^{\beta_3} - X_2^{\beta_2} X_4^{\beta_4} \in A_3$ , different from  $g_3$ . Then 1) implies  $\beta_4 \geq \alpha_{14}$ , hence by 2) we get  $\beta_2 \geq \alpha_{32}$ . Therefore we get

$$A_3^* = \{g_3 = X_1^{\alpha_{21} + \alpha_{41}} X_3^{\alpha_{43}} - X_2^{\alpha_{32}} X_4^{\alpha_{14}}\}.$$

Lastly 1) implies  $A_4 = \emptyset$ . Hence by Lemma 4.6 the ideal  $I_H$  is generated by  $f_1, f_2, f_3, f_4$  and  $g_3$ . Since we have

$$\pi(Y_{21} Y_{41} Y_{43} - Y_{32} Y_{14}) = t_1 t_1^{-1} t_5^{-1} t_3 t_4 t_3^{-1} t_5 t_2 - t_2 t_4 = 0$$

and

$$\gamma(Y_{21} Y_{41} Y_{43} - Y_{32} Y_{14}) = X_1^{\alpha_{21} + \alpha_{41}} X_3^{\alpha_{43}} - X_2^{\alpha_{32}} X_4^{\alpha_{14}} = g_3,$$

we get  $I_H \subseteq J_0$ . The other cases work similarly. Using Lemma 1.2,  $H$  is of torus embedding type. Q. E. D.

REMARK 4.12. 1) By calculation, any neat numerical semigroup with  $M(H) = \{a_1, a_2, a_3, a_4\}$  and  $g(H) \leq 8$  is 1-neat.

2) For a  $\nu$ -neat numerical semigroup  $H$  with  $\nu \geq 2$ ,  $\phi^{-1}(O) = \text{Spec } k[X]/J_0$  does not necessarily coincide with  $C_H = \text{Spec } k[X]/I_H$ . For example, let  $H$  be the numerical semigroup with  $M(H) = \{10, 11, 14, 13\}$ . Then  $g(H) = 16$  and  $H$  is 2-neat. Using Lemma 4.6,  $I_H$  is generated by

$$f_1 = X_1^4 - X_3 X_4^2, \quad f_2 = X_2^3 - X_1^2 X_4, \quad f_3 = X_3^3 - X_1^2 X_2^2, \quad f_4 = X_4^3 - X_2 X_3^2,$$

$$f_5 = X_1^3 X_2 - X_3^2 X_4, \quad f_6 = X_1 X_3 - X_2 X_4 \quad \text{and} \quad f_7 = X_1 X_4^2 - X_2^2 X_3,$$

hence  $\mu(H) = 7$ . But  $J_0$  is generated by  $f_1, f_2, f_3, f_4$  and  $X_1^2 X_3^2 - X_2^2 X_4^2$ . More explicitly, as an algebraic subset of  $A_4^1$  we have  $V(J_0) \not\subseteq V(I_H)$ , because  $(-1, 1, 1, 1) \in V(J_0) - V(I_H)$ .

### 5. Symmetric numerical semigroups generated by 4 elements.

In this section, we always assume that  $H$  is a numerical semigroup with  $M(H) = \{a_1, a_2, a_3, a_4\}$ . Then using Bresinsky's result [1] we will show that any symmetric  $H$  is of torus embedding type, in this case if  $H$  is not a complete intersection then it is 1-neat. In the symmetric case, a set of generators for the ideal  $I_H$  is given by the following, which is due to Bresinsky:

REMARK 5.1. Let  $H$  be symmetric, i. e.,  $2g(H) = C(H)$ .

(1) When  $H$  is a complete intersection, renumbering  $a_1, a_2, a_3, a_4$  we may assume that  $X_1^{\alpha_1} - X_2^{\alpha_2} \in I_H$ .

a) The case  $X_3^{\alpha_3} - X_4^{\alpha_4} \in I_H$ . Then  $(a_1, a_2)(a_3, a_4) \in \langle a_1, a_2 \rangle \cap \langle a_3, a_4 \rangle$ , hence we put

$$(a_1, a_2)(a_3, a_4) = \beta_1 a_1 + \beta_2 a_2 = \beta_3 a_3 + \beta_4 a_4.$$

In this case,

$$I_H = (f_1 = X_1^{\alpha_1} - X_2^{\alpha_2}, f_2 = X_3^{\alpha_3} - X_4^{\alpha_4}, f_3 = X_1^{\beta_1} X_2^{\beta_2} - X_3^{\beta_3} X_4^{\beta_4}).$$

b) The case  $X_3^{\alpha_3} - X_4^{\alpha_4} \in I_H$ . Then  $H$  is a strictly complete intersection.

(2) If  $H$  is not a complete intersection, renumbering  $a_1, a_2, a_3, a_4$  we have

$$I_H = (f_1 = X_1^{\alpha_1} - X_3^{\alpha_{13}} X_4^{\alpha_{14}}, f_2 = X_2^{\alpha_2} - X_1^{\alpha_{21}} X_4^{\alpha_{24}}, f_3 = X_3^{\alpha_3} - X_1^{\alpha_{31}} X_2^{\alpha_{32}},$$

$$f_4 = X_4^{\alpha_4} - X_2^{\alpha_{42}} X_3^{\alpha_{43}}, f_5 = X_1^{\alpha_{51}} X_3^{\alpha_{53}} - X_3^{\alpha_{32}} X_4^{\alpha_{41}})$$

where



$$0 < \alpha_{ij} < \alpha_j, \quad \alpha_1 = \alpha_{21} + \alpha_{31}, \quad \alpha_2 = \alpha_{32} + \alpha_{42}, \quad \alpha_3 = \alpha_{13} + \alpha_{43}, \quad \alpha_4 = \alpha_{14} + \alpha_{24}.$$

In this case,

$$a_1 = \alpha_2 \alpha_3 \alpha_{14} + \alpha_{32} \alpha_{13} \alpha_{24}, \quad a_2 = \alpha_{21} \alpha_3 \alpha_4 + \alpha_{31} \alpha_{43} \alpha_{24}, \quad a_3 = \alpha_1 \alpha_{32} \alpha_4 + \alpha_{31} \alpha_{42} \alpha_{14}$$

and

$$a_4 = \alpha_1 \alpha_2 \alpha_{43} + \alpha_{21} \alpha_{42} \alpha_{13},$$

hence  $H$  is 1-neat.

PROPOSITION 5.2. *Any symmetric  $H$  is of torus embedding type.*

PROOF. In virtue of Lemma 2.3 and Theorem 4.11, it suffices to show that in the case of Remark 5.1 (1) a)  $H$  is of torus embedding type. Renumbering  $a_1$  and  $a_2$  (resp.  $a_3$  and  $a_4$ ), we may assume that  $\beta_1 \neq 0$  and  $\beta_3 \neq 0$ , hence the following four cases occur:

- 1)  $\beta_2 \neq 0$  and  $\beta_4 \neq 0$ , 2)  $\beta_2 \neq 0$  and  $\beta_4 = 0$ , 3)  $\beta_2 = 0$  and  $\beta_4 \neq 0$

and

- 4)  $\beta_2 = 0$  and  $\beta_4 = 0$ .

For the case 1), let

$$\pi : k[Z, Y] = k[Z_1, \dots, Z_4, Y_1, \dots, Y_4] \longrightarrow k[t_1^{\pm 1}, \dots, t_5^{\pm 1}]$$

$$(\text{resp. } \eta : k[Z, Y] \longrightarrow k[X] = k[X_1, \dots, X_4])$$

be the  $k$ -algebra homomorphism defined by  $\pi(Z_i) = t_i$  for  $i=1, 2$ ,  $\pi(Z_j) = t_2$  for  $j=3, 4$ ,  $\pi(Y_k) = t_{2+k}$  for  $k=1, 2, 3$  and  $\pi(Y_4) = t_3 t_4 t_5^{-1}$  (resp.  $\eta(Z_i) = X_i^{q_i}$  and  $\eta(Y_i) = X_i^{q_i}$  for  $1 \leq i \leq 4$ ). Then we see easily that  $I_H \cong \eta(\text{Ker } \pi)$ . Moreover, since  $F_1 = Z_1 - Z_2$ ,  $F_2 = Z_3 - Z_4$  and  $F_3 = Y_1 Y_2 - Y_3 Y_4 \in \text{Ker } \pi$ , we have  $I_H = (\eta(F_1), \eta(F_2), \eta(F_3))$ , which is generated by the set  $\eta(\text{Ker } \pi)$ . Using Lemma 1.2,  $H$  is of torus embedding type. The other cases 2), 3), 4) work similarly. Q. E. D.

### 6. Almost symmetric numerical semigroups generated by 4 elements.

In the last section we will give another examples of 1-neat numerical semigroups, which are called to be *almost symmetric*, i. e.,  $C(H) = 2g(H) - 1$ . In this section we are devoted to proving that any almost symmetric numerical semigroup  $H$  with  $M(H) = \{a_1, a_2, a_3, a_4\}$  is 1-neat. First we investigate the properties of almost symmetric  $H$  with  $M(H) = \{a_1, \dots, a_n\}$ .

LEMMA 6.1. *Let  $H$  be a numerical semigroup with  $M(H) = \{a_1, \dots, a_n\}$  and  $h$  be its element.*

0) For any  $1 \leq i \leq h$  there exists a unique  $1 \leq h_i \leq h$  such that  $\omega_n(h) - \omega_n(i) \equiv \omega_n(h_i) \pmod{h}$ .

1)  $H$  is almost symmetric if and only if there exists a unique  $i_0 \in [2, h-1]$  such that  $2\omega_n(i_0) = \omega_n(h) + h$  and that  $\omega_n(i) + \omega_n(h_i) = \omega_n(h)$  for all  $i \neq i_0$ .

PROOF. The definition of  $L_n(H) = \{\omega_n(1) < \dots < \omega_n(h)\}$  means 0). We see easily :

$$g(H) = \sum_{i=1}^h [\omega_n(i)/h] \quad \text{and} \quad C(H) - g(H) = \sum_{i=1}^h [(\omega_n(h) - \omega_n(i))/h]$$

where  $[ ]$  is the Gauss symbol. For any  $1 \leq i \leq h$  there exists a unique  $n_i \in N$  such that  $\omega_n(h) - \omega_n(i) = \omega_n(h_i) - n_i h$ . Hence  $H$  is almost symmetric if and only if  $\sum_{i=1}^h n_i = 1$ . This implies 1). Q. E. D.

PROPOSITION 6.2. Let  $H$  be an almost symmetric numerical semigroup with  $M(H) = \{a_1, \dots, a_n\}$ , and let  $j, k$  be two distinct element of  $[1, n]$  such that  $\alpha_j a_j = \sum_{l \neq j} \alpha_{jl} a_l$  with  $\alpha_{jk} \geq 1$ .

- 1) If  $\alpha_{jk} \geq 2$ , then  $\omega_{a_k}(a_k) - (\alpha_j - 1)a_j \in L_{a_k}(H)$ .
- 2) We have

$$\omega_{a_k}(a_k) = \begin{cases} \sum_{l \in [1, n] - \{k, j\}} \beta_l a_l + (\alpha_j - 1)a_j & \text{if } \omega_{a_k}(a_k) - (\alpha_j - 1)a_j \in L_{a_k}(H). \\ \sum_{l \in [1, n] - \{k, j\}} \alpha_{jl} a_l + (\alpha_j - 2)a_j & \text{otherwise.} \end{cases}$$

PROOF. 1) Since  $(\alpha_j - 1)a_j \in L_{a_k}(H)$ , by Lemma 6.1 it suffices to show that

$$(\alpha_j - 1)a_j \neq \omega_{a_k}(i_0) \quad \text{where} \quad 2\omega_{a_k}(i_0) = \omega_{a_k}(a_k) + a_k.$$

Assume  $(\alpha_j - 1)a_j = \omega_{a_k}(i_0)$ . Then

$$\omega_{a_k}(a_k) + a_k = 2(\alpha_j - 1)a_j = (\alpha_j - 2)a_j + \sum_{l \neq j} \alpha_{jl} a_l.$$

Hence we have

$$\omega_{a_k}(a_k) - a_k = (\alpha_j - 2)a_j + (\alpha_{jk} - 2)a_k + \sum_{l \in [1, n] - \{j, k\}} \alpha_{jl} a_l.$$

This contradicts  $\omega_{a_k}(a_k) - a_k \notin H$ .

- 2) In view of  $\alpha_{jk} \geq 1$ , if  $\omega_{a_k}(a_k) - (\alpha_j - 1)a_j \in L_{a_k}(H)$ , then

$$\omega_{a_k}(a_k) = \sum_{l \in [1, n] - \{k, j\}} \beta_l a_l + (\alpha_j - 1)a_j.$$

If  $\omega_{a_k}(a_k) - (\alpha_j - 1)a_j \in L_{a_k}(H)$ , we have

$$2(\alpha_j - 1)a_j = 2\omega_{a_k}(i_0) = \omega_{a_k}(a_k) + a_k,$$

hence

$$\begin{aligned} \omega_{\alpha_k}(a_k) &= \alpha_j a_j + (\alpha_j - 2)a_j - a_k = \sum_{l \in [1, n] - \{j, k\}} \alpha_{jl} a_l + (\alpha_j - 2)a_j + (\alpha_{jk} - 1)a_k \\ &= \sum_{l \in [1, n] - \{j, k\}} \alpha_{jl} a_l + (\alpha_j - 2)a_j. \end{aligned} \quad Q. E. D.$$

For the remainder of this section we assume that  $H$  is a numerical semi-group with  $M(H) = \{a_1, a_2, a_3, a_4\}$ .

PROPOSITION 6.3. *Let  $H$  be almost symmetric and let  $k \in [1, 4]$  such that for any  $j \in [1, 4]$ , different from  $k$ , we have  $\alpha_j a_j = \sum_{l \neq j} \alpha_{jl} a_l$  with  $\alpha_{jk} \geq 1$ .*

1) *For any  $j \in [1, 4]$ , different from  $k$ , the following are equivalent:*

- a)  $\omega_{\alpha_k}(a_k) = \sum_{l \in [1, 4] - \{k, j\}} \beta_l a_l + (\alpha_j - 2)a_j$ ,
- b)  $\omega_{\alpha_k}(a_k) - (\alpha_j - 1)a_j \in L_{\alpha_k}(H)$ .

*In this case,  $\alpha_{jk} = 1$  and  $\beta_l = \alpha_{jl}$  for  $l \in [1, 4] - \{k, j\}$ .*

2) *We have*

$$\omega_{\alpha_k}(a_k) = (\alpha_i - 1)a_i + (\alpha_l - 1)a_l + (\alpha_j - 2)a_j$$

and

$$L_{\alpha_k}(H) = \{\beta_i a_i + \beta_l a_l + \beta_j a_j \mid 0 \leq \beta_i < \alpha_i, 0 \leq \beta_l < \alpha_l, 0 \leq \beta_j < \alpha_j - 1\} \cup \{(\alpha_j - 1)a_j\}$$

for some permutation  $(k, i, l, j)$  of  $[1, 4]$ .

PROOF. 1) Proposition 6.2 2) implies b)  $\Rightarrow$  a). By the assumption we have  $\beta_l < \alpha_l$  for  $l \in [1, 4] - \{k, j\}$ , which induces  $\beta_l = \alpha_{jl}$ . Assume that  $\omega_{\alpha_k}(a_k) - (\alpha_j - 1)a_j \in L_{\alpha_k}(H)$ . Then we have

$$\sum_{l \in [1, 4] - \{k, j\}} \beta_l a_l + (\alpha_j - 2)a_j = \sum_{l \in [1, 4] - \{k, j\}} \beta'_l a_l + (\alpha_j - 1)a_j.$$

This is a contradiction.

2) Renumbering  $a_1, \dots, a_4$ , we may assume  $k = 1$ . Now assume  $\omega_{\alpha_1}(a_1) - (\alpha_j - 1)a_j \in L_{\alpha_1}(H)$  for all  $j \in [2, 4]$ . Then by Proposition 6.2 and the assumption, we get

$$\omega_{\alpha_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_4 - 1)a_4,$$

which implies

$$L_{\alpha_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid 0 \leq \beta_i < \alpha_i\}.$$

This contradicts Lemma 6.1 1). Hence there exists a unique  $j \in [2, 4]$  such that  $2(\alpha_j - 1)a_j = \omega_{\alpha_1}(a_1) + a_1$ , which implies

$$\omega_{\alpha_1}(a_1) = \sum_{l \in [2, 4] - \{j\}} \beta_l a_l + (\alpha_j - 2)a_j.$$

Therefore we get

$$\omega_{a_1}(a_1) = (\alpha_i - 1)a_i + (\alpha_l - 1)a_l + (\alpha_j - 2)a_j$$

for some permutation  $(i, l, j)$  or  $(2, 3, 4)$ . Hence we have

$$L_{a_1}(H) \supseteq \{\beta_i a_i + \beta_l a_l + \beta_j a_j \mid 0 \leq \beta_i < \alpha_i, 0 \leq \beta_l < \alpha_l, 0 \leq \beta_j < \alpha_j - 1\} \cup \{(\alpha_j - 1)a_j\}.$$

Assume  $z = \gamma_i a_i + \gamma_l a_l + (\alpha_j - 1)a_j \in L_{a_1}(H)$  with  $(\gamma_i, \gamma_l) \neq (0, 0)$ . Since  $\omega_{a_1}(a_1) - z \in L_{a_1}(H)$ , we put

$$\omega_{a_1}(a_1) - z = \nu_i a_i + \nu_l a_l + \nu_j a_j$$

where  $\nu_i < \alpha_i, \nu_l < \alpha_l$  and  $\nu_j < \alpha_j$ , hence

$$(\alpha_i - 1 - \gamma_i)a_i + (\alpha_l - 1 - \gamma_l)a_l - a_j = \nu_i a_i + \nu_l a_l + \nu_j a_j,$$

which implies  $\nu_j + 1 = 0$ , a contradiction.

*Q. E. D.*

By tedious computations using Proposition 6.3 we can give generators of the ideal  $I_H$  in the case of almost symmetric  $H$ .

**THEOREM 6.4.** *Let  $H$  be almost symmetric. Then renumbering  $a_1, a_2, a_3, a_4$  the ideal  $I_H$  is generated by*

$$\begin{aligned} f_1 &= X_1^{\alpha_1} - X_3^{\alpha_{13}} X_4^{\alpha_{14}}, \quad f_2 = X_2^{\alpha_2} - X_1^{\alpha_{21}} X_4^{\alpha_{24}}, \quad f_3 = X_3^{\alpha_3} - X_1^{\alpha_{31}} X_2^{\alpha_{32}}, \\ f_4 &= X_4^{\alpha_4} - X_1^{\alpha_{41}} X_2^{\alpha_{42}} X_3^{\alpha_{43}} \quad \text{and} \quad g = X_1^{\alpha_{21} + \alpha_{41}} X_3^{\alpha_{43}} - X_2^{\alpha_{32}} X_4^{\alpha_{14}} \end{aligned}$$

where  $0 < \alpha_{ij} < \alpha_j, \alpha_1 = \alpha_{21} + \alpha_{31} + \alpha_{41}, \alpha_2 = \alpha_{32} + \alpha_{42}, \alpha_3 = \alpha_{13} + \alpha_{43}$  and  $\alpha_4 = \alpha_{14} + \alpha_{24}$ , which imply  $\mu(H) = 5$ . More explicitly we obtain  $\alpha_{13} = 1, \alpha_{14} = \alpha_4 - 1, \alpha_{24} = 1, \alpha_{31} = \alpha_1 - \alpha_{21} - 1, \alpha_{32} = 1, \alpha_{41} = 1, \alpha_{42} = \alpha_2 - 1$  and  $\alpha_{43} = \alpha_3 - 1$ . Hence using Proposition 6.3 2). We can show that  $H$  is 1-neat.

**PROOF.** For any  $i \in [1, 4]$ , let  $f_i \in I_H$  be a polynomial of the type  $X_i^{\alpha_i} - \prod_{j \in [1, 4] - \{i\}} X_j^{\alpha_{ij}}$ . First, assume that there exist two distinct  $i, j \in [1, 4]$  with  $X_i^{\alpha_i} - X_j^{\alpha_j} \in I_H$ . Then renumbering  $a_1, \dots, a_4$  we may assume  $i = 1$  and  $j = 2$ . They are divided into the four cases:

- 1)  $X_1^{\alpha_1} - X_2^{\alpha_2} \in I_H$  for all  $\{i, j \mid i \neq j\} \subseteq \{1, 2\}$ ,
- 2)  $X_1^{\alpha_1} - X_3^{\alpha_3} \in I_H$  and  $X_1^{\alpha_1} - X_4^{\alpha_4} \in I_H$ ,
- 3)  $X_3^{\alpha_3} - X_4^{\alpha_4} \in I_H$  and  $X_1^{\alpha_1} - X_3^{\alpha_3} \in I_H$ ,
- 4)  $X_1^{\alpha_1} - X_3^{\alpha_3} \in I_H$  and  $X_1^{\alpha_1} - X_4^{\alpha_4} \in I_H$ .

The case 1). Then  $f_3 = X_3^{\alpha_3} - X_1^{\alpha_{31}} X_2^{\alpha_{32}} X_4^{\alpha_{34}}$  and  $f_4 = X_4^{\alpha_4} - X_1^{\alpha_{41}} X_2^{\alpha_{42}} X_3^{\alpha_{43}}$ . These are divided into the following:

- a)  $\alpha_{31} > 0, \alpha_{32} > 0, \alpha_{41} > 0, \alpha_{42} > 0,$     b)  $\alpha_{31} > 0, \alpha_{32} > 0, \alpha_{41} > 0, \alpha_{42} = 0,$   
 c)  $\alpha_{31} > 0, \alpha_{32} = 0, \alpha_{41} > 0, \alpha_{42} = 0,$     d)  $\alpha_{31} > 0, \alpha_{32} = 0, \alpha_{41} = 0, \alpha_{42} > 0.$

a) Then we have

$$\begin{aligned} \omega_{a_1}(a_1) &= (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4 = \alpha_{42}a_2 + \alpha_{43}a_3 + (\alpha_4 - 2)a_4, \\ \omega_{a_2}(a_2) &= (\alpha_1 - 1)a_1 + (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4 = \alpha_{41}a_1 + \alpha_{43}a_3 + (\alpha_4 - 2)a_4, \end{aligned}$$

which imply  $\alpha_1 = \alpha_2 = 2$ , hence  $a_1 = a_2$ , a contradiction.

- b) Similarly, we get  $a_1 = a_2$ , a contradiction.  
 c) We have

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_i - 1)a_i + (\alpha_j - 2)a_j$$

with  $\{i, j\} = \{3, 4\}$ . This is a contradiction.

d) We get

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + \beta_4 a_4, \quad \omega_{a_2}(a_2) = (\alpha_1 - 1)a_1 + (\alpha_4 - 1)a_4 + \beta_3 a_3,$$

which implies  $\beta_4 = \alpha_4 - 1$ . Hence we have

$$L_{a_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid 0 \leq \beta_i < \alpha_i\},$$

which implies  $C(H) = 2g(H)$ , a contradiction.

The case 2). Then  $f_4 = X_1^{\alpha_4} - X_1^{\alpha_{41}} X_2^{\alpha_{42}} X_3^{\alpha_{43}}$ , where we may assume  $\alpha_{41} > 0$ . In the similar manner to 1) a), we get  $a_1 = a_2$ , a contradiction.

The case 3). We have  $\omega_{a_3}(a_3) = \gamma_1 a_1 + \gamma_2 a_2 + (\alpha_4 - 1)a_4$ . Set  $d = (a_3, a_4)$  and  $H' = \langle d, a_1, a_2 \rangle$ . Then  $L_d(H') \subseteq L_{a_3}(H)$ . If  $\nu_1 a_1 + \nu_2 a_2 + \nu_4 a_4 = \mu_1 a_1 + \mu_2 a_2 + \mu_4 a_4$  with  $\nu_4 < \alpha_4$  and  $\mu_4 < \alpha_4$ , then  $\nu_4 = \mu_4$ . Using this, for any  $\omega' \in \langle a_1, a_2 \rangle$  with  $\omega_{a_3}(a_3) - \omega' \in L_{a_3}(H)$  we have

$$\omega_{a_3}(a_3) - \omega' = \mu_1 a_1 + \mu_2 a_2 + (\alpha_4 - 1)a_4$$

with  $\mu_1, \mu_2 \in \mathbb{N}$ . Hence if  $\omega' \in L_d(H')$  with  $\omega_{a_3}(a_3) - \omega' \in L_{a_3}(H)$ , then for any  $\nu_4 \in [0, \alpha_4 - 1]$  we get  $\omega' + \nu_4 a_4 \in L_{a_3}(H)$ . Therefore we can see:

$$L_{a_3}(H) = \{\omega' + \nu_4 a_4 \mid \omega' \in L_d(H'), 0 \leq \nu_4 < \alpha_4\} \quad \text{and} \quad \omega_{a_3}(a_3) = \omega_d(d) + (\alpha_4 - 1)a_4.$$

Since we have  $\omega_d(d) - \omega' \in L_d(H')$  for any  $\omega' \in L_d(H')$ , we get  $\omega_{a_3}(a_3) - \omega \in L_{a_3}(H)$  for any  $\omega \in L_{a_3}(H)$ , i. e.,  $C(H) = 2g(H)$ , a contradiction.

The case 4). Then  $H$  is a complete intersection ([1]), which implies  $C(H) = 2g(H)$ , a contradiction.

Secondly, assume: each  $f_i$  contains at least three variables and there exists  $j \in [1, 4]$  such that the variable  $X_j$  appears only in the  $f_j$ . Then we may assume that

$$f_1 = X_1^{\alpha_1} - X_2^{\alpha_{12}} X_3^{\alpha_{13}} X_4^{\alpha_{14}}, \quad f_2 = X_2^{\alpha_2} - X_3^{\alpha_{23}} X_4^{\alpha_{24}}, \quad f_3 = X_3^{\alpha_3} - X_2^{\alpha_{32}} X_4^{\alpha_{34}},$$

and

$$f_4 = X_4^{\alpha_4} - X_2^{\alpha_{42}} X_3^{\alpha_{43}}$$

with  $\alpha_{13} > 0$ ,  $\alpha_{14} > 0$ . Hence we get

$$\omega_{a_3}(a_3) = (\alpha_2 - 1)a_2 + (\alpha_4 - 1)a_4 + (\alpha_1 - 2)a_1,$$

$$\omega_{a_4}(a_4) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_1 - 2)a_1,$$

which imply  $\alpha_4 a_4 = \alpha_3 a_3 = \alpha_{32} a_2 + \alpha_{34} a_4$ , a contradiction.

Thirdly, assume: each  $f_i$  contains at least three variables and there exists  $j \in [1, 4]$  such that the variable  $X_j$  appears twice in the  $f_i$ 's. Then we may assume that

$$f_1 = X_1^{\alpha_1} - X_2^{\alpha_{12}} X_3^{\alpha_{13}} X_4^{\alpha_{14}}, \quad f_2 = X_2^{\alpha_2} - X_1^{\alpha_{21}} X_3^{\alpha_{23}} X_4^{\alpha_{24}}, \quad f_3 = X_3^{\alpha_3} - X_2^{\alpha_{32}} X_4^{\alpha_{34}}$$

and

$$f_4 = X_4^{\alpha_4} - X_2^{\alpha_{42}} X_3^{\alpha_{43}}.$$

The case  $\alpha_{12} > 0$ . Then we have

$$\omega_{a_2}(a_2) = (\alpha_3 - 1)a_3 + (\alpha_4 - 1)a_4 + (\alpha_1 - 2)a_1,$$

$$\omega_{a_3}(a_3) = (\alpha_4 - 1)a_4 + (\alpha_i - 1)a_i + (\alpha_j - 2)a_j,$$

$$\omega_{a_4}(a_4) = (\alpha_3 - 1)a_3 + (\alpha_j - 1)a_j + (\alpha_i - 2)a_i,$$

with  $\{i, j\} = \{1, 2\}$ . If  $j=1$  (resp. 2), then  $(\alpha_4 - \alpha_{34})a_4 = a_1 + (\alpha_{32} - 1)a_2$  (resp.  $(\alpha_3 - \alpha_{43})a_3 = a_1 + (\alpha_{42} - 1)a_2$ ), a contradiction. The case  $\alpha_{12} = 0$ . We have

$$\omega_{a_3}(a_3) = (\alpha_1 - 1)a_1 + (\alpha_4 - 1)a_4 + (\alpha_2 - 2)a_2,$$

$$\omega_{a_4}(a_4) = (\alpha_1 - 1)a_1 + (\alpha_3 - 1)a_3 + (\alpha_2 - 2)a_2,$$

which implies  $\alpha_4 a_4 = \alpha_3 a_3$ , a contradiction.

Lastly, assume: each  $f_i$  contains at least three variables and all the variables  $X_j$  appear at least three times in the  $f_i$ 's. Renumbering  $a_1, \dots, a_4$ , these are divided into the 10 cases in Proposition 4.4.

The case (1). Then we may assume:

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4,$$

$$\omega_{a_4}(a_4) = (\alpha_i - 1)a_i + (\alpha_j - 1)a_j + (\alpha_k - 2)a_k.$$

Using  $\omega_{a_1}(a_1) - a_1 = \omega_{a_4}(a_4) - a_4$ , this is a contradiction.

The case (2). We have

$$\omega_{a_3}(a_3) = (\alpha_1 - 1)a_1 + (\alpha_i - 1)a_i + (\alpha_j - 2)a_j,$$

$$\omega_{a_4}(a_4) = (\alpha_k - 1)a_k + (\alpha_l - 1)a_l + (\alpha_m - 2)a_m.$$

This is a contradiction.

The case (3) a). We have

$$\omega_{a_3}(a_3) = (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + (\alpha_4 - 2)a_4,$$

$$\omega_{a_4}(a_4) = (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + (\alpha_3 - 2)a_3.$$

Then  $(\alpha_4 - 1)a_4 = (\alpha_3 - 1)a_3$ , a contradiction.

The case (3) b). We have

$$\omega_{a_4}(a_4) = (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + (\alpha_3 - 2)a_3,$$

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4.$$

Moreover,

$$\omega_{a_3}(a_3) = (\alpha_1 - 1)a_1 + \gamma_2 a_2 + \gamma_4 a_4 \quad \text{or} \quad (\alpha_1 - 2)a_1 + \alpha_{14} a_4.$$

Using  $\omega_{a_4}(a_4) - a_4 = \omega_{a_3}(a_3) - a_3 = \omega_{a_1}(a_1) - a_1$ , this is a contradiction.

The case (3) c). We have

$$\omega_{a_1}(a_1) = (\alpha_3 - 1)a_3 + (\alpha_i - 1)a_i + (\alpha_j - 2)a_j,$$

$$\omega_{a_3}(a_3) = (\alpha_1 - 1)a_1 + (\alpha_j - 1)a_j + (\alpha_i - 2)a_i$$

This is a contradiction.

The case (4) a). We have

$$\omega_{a_3}(a_3) = (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + (\alpha_4 - 2)a_4$$

and

$$\omega_{a_1}(a_1) = (\alpha_3 - 1)a_3 + \gamma_2 a_2 + \gamma_4 a_4 \quad \text{or} \quad (\alpha_3 - 2)a_3 + \alpha_{32} a_2.$$

This is a contradiction.

The case (4) b).  $\omega_{a_4}(a_4) = (\alpha_i - 1)a_i + (\alpha_j - 1)a_j + (\alpha_l - 2)a_l$ , a contradiction.

The case (5) a). We have

$$\omega_{a_1}(a_1) = (\alpha_3 - 1)a_3 + \gamma_2 a_2 + \gamma_4 a_4 \quad \text{or} \quad (\alpha_3 - 2)a_3 + \alpha_{32} a_2$$

Moreover,

$$\omega_{a_1}(a_1) = (\alpha_4 - 1)a_4 + \beta_2 a_2 + \beta_3 a_3 \quad \text{or} \quad (\alpha_4 - 2)a_4 + \alpha_{42} a_2$$

This is a contradiction.

The case (5) b). We have

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + \gamma_4 a_4 \quad \text{or} \quad (\alpha_2 - 1)a_2 + (\alpha_3 - 2)a_3,$$

$$\omega_{a_2}(a_2) = (\alpha_3 - 1)a_3 + (\alpha_4 - 1)a_4 + \gamma_1 a_1 \quad \text{or} \quad (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4,$$

$$\omega_{a_3}(a_3) = (\alpha_4 - 1)a_4 + (\alpha_1 - 1)a_1 + \gamma_2 a_2 \quad \text{or} \quad (\alpha_4 - 1)a_4 + (\alpha_1 - 2)a_1$$

and

$$\omega_{a_4}(a_4) = (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + \gamma_3 a_3 \quad \text{or} \quad (\alpha_1 - 1)a_1 + (\alpha_2 - 2)a_2.$$

If we renumber  $a_1, \dots, a_4$ , each latter case is reduced to the case (4) c). For example, let  $\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 2)a_3$ . If  $\omega_{a_2}(a_2) = (\alpha_3 - 1)a_3 + (\alpha_4 - 1)a_4 + \gamma_1 a_1$ , then  $\alpha_2 a_2 = (\gamma_1 + 1)a_1 + a_3 + (\alpha_4 - 1)a_4$ , whose case is reduced to (4) c). If  $\omega_{a_2}(a_2) = (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4$ , then  $\alpha_2 a_2 = a_1 + a_3 + (\alpha_4 - 2)a_4$ . If  $\alpha_4 = 2$ , we replace  $f_2$  by  $X_2^2 - X_1 X_3$ , which is reduced to the third case, a contradiction. Hence we have  $\alpha_4 \geq 3$ , whose case is reduced to (4) c). Therefore for any  $i \in [1, 4]$ ,  $\omega_{a_i}(a_i)$  is equal to the former case. Then we see:

$$\alpha_{21} + \alpha_{31} = \alpha_1, \quad \alpha_{32} + \alpha_{42} = \alpha_2, \quad \alpha_{13} + \alpha_{43} = \alpha_3 \quad \text{and} \quad \alpha_{14} + \alpha_{24} = \alpha_4.$$

Using  $\omega_{a_1}(a_1) - a_1 = \omega_{a_4}(a_4) - a_4$  we obtain

$$\begin{aligned} \omega_{a_1}(a_1) &= (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_{14} - 1)a_4 \\ &= (\alpha_{32} - 1)a_2 + (\alpha_{13} - 1)a_3 + (\alpha_4 + \alpha_{14} - 1)a_4, \end{aligned}$$

which implies

$L_{a_1}(H) \supseteq \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid \beta_i \in \mathbb{N} \text{ and } (\beta_2, \beta_3, \beta_4) \text{ satisfies one of the following:}$

$$1) \beta_2 < \alpha_2, \beta_3 < \alpha_3, \beta_4 < \alpha_{14}, \quad 2) \beta_2 < \alpha_{32}, \beta_3 < \alpha_{13}, \alpha_{14} \leq \beta_4 < \alpha_4\}.$$

Since there exists a positive integer  $\nu$  such that

$$a_1 = \nu^{-1}(\alpha_2 \alpha_3 \alpha_{14} + \alpha_{32} \alpha_{13} \alpha_{24}),$$

the above inclusion becomes the equality, hence for any  $\omega \in L_{a_1}(H)$  we have  $\omega_{a_1}(a_1) - \omega \in L_{a_1}(H)$ , i. e.,  $C(H) = 2g(H)$ , a contradiction.

Therefore, if  $H$  is almost symmetric, renumbering  $a_1, \dots, a_4$  it is reduced to the case (4) c), i. e.,

$$f_1 = X_1^{\alpha_1} - X_3^{\alpha_{13}} X_4^{\alpha_{14}}, \quad f_2 = X_2^{\alpha_2} - X_1^{\alpha_{21}} X_4^{\alpha_{24}}, \quad f_3 = X_3^{\alpha_3} - X_1^{\alpha_{31}} X_2^{\alpha_{32}}$$

and

$$f_4 = X_4^{\alpha_4} - X_1^{\alpha_{41}} X_2^{\alpha_{42}} X_3^{\alpha_{43}}.$$

Moreover,

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4 = \alpha_{42}a_2 + \alpha_{43}a_3 + (\alpha_4 - 2)a_4,$$

which implies  $\alpha_{41} = 1$ ,  $\alpha_{42} = \alpha_2 - 1$  and  $\alpha_{43} = \alpha_3 - 1$ . Now

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1 - \alpha_{13})a_3 + (\alpha_4 - 2 - \alpha_{14})a_4 + \alpha_1 a_1,$$

which implies  $\alpha_{14} = \alpha_4 - 1$ . Moreover, we get

$$\begin{aligned} \omega_{a_4}(a_4) &= (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + (\alpha_3 - 1 - \alpha_{13})a_3 \\ &= (\alpha_1 - 1 - \alpha_{21} - \alpha_{31} - \alpha_{41})a_1 + (\alpha_2 - 1 - \alpha_{42} + \alpha_2 - \alpha_{32})a_2 \\ &\quad + (\alpha_3 - \alpha_{43})a_3 + (\alpha_4 - \alpha_{24})a_4. \end{aligned}$$



If  $\alpha_2 \geq \alpha_{32}$ , then  $\alpha_1 \leq \alpha_{21} + \alpha_{31} + \alpha_{41}$ . If  $\alpha_2 < \alpha_{32}$ , then we have

$$\begin{aligned} \omega_{a_4}(a_4) &= (\alpha_1 - 1)a_1 + (\alpha_2 - 1)a_2 + (\alpha_3 - 2)a_3 \\ &= (\alpha_{21} + \alpha_{31})a_1 + (\alpha_{32} - \alpha_2)a_2 + (\alpha_3 - 2)a_3, \end{aligned}$$

which implies  $\alpha_{21} + \alpha_{31} = \alpha_1 - 1$ , hence  $\alpha_1 \leq \alpha_{21} + \alpha_{31} + \alpha_{41}$ . Since

$$\begin{aligned} \alpha_1 a_1 + \alpha_2 a_2 + \alpha_3 a_3 + \alpha_4 a_4 &= (\alpha_{21} + \alpha_{31} + \alpha_{41})a_1 + (\alpha_{32} + \alpha_{42})a_2 \\ &\quad + (\alpha_{13} + \alpha_{43})a_3 + (\alpha_{14} + \alpha_{34})a_4, \end{aligned}$$

we have

$$\alpha_1 = \alpha_{21} + \alpha_{31} + \alpha_{41}, \quad \alpha_2 = \alpha_{32} + \alpha_{42}, \quad \alpha_3 = \alpha_{13} + \alpha_{43} \quad \text{and} \quad \alpha_4 = \alpha_{14} + \alpha_{34},$$

which imply

$$\begin{aligned} \alpha_{41} &= 1, \quad \alpha_{31} = \alpha_1 - \alpha_{21} - 1, \quad \alpha_{32} = 1, \quad \alpha_{42} = \alpha_2 - 1, \\ \alpha_{13} &= 1, \quad \alpha_{43} = \alpha_3 - 1, \quad \alpha_{14} = \alpha_4 - 1, \quad \alpha_{34} = 1. \end{aligned}$$

Since we have

$$L_{a_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid 0 \leq \beta_2 < \alpha_2, 0 \leq \beta_3 < \alpha_3, 0 \leq \beta_4 < \alpha_4 - 1\} \cup \{(\alpha_4 - 1)a_4\},$$

$H$  is 1-neat.

*Q. E. D.*

Conversely, by simple calculations we get the following:

**THEOREM 6.5.** *Let  $\alpha_i > 1$  for  $1 \leq i \leq 4$  and let  $0 < \alpha_{21} < \alpha_1 - 1$ . If  $a_1 = \alpha_2 \alpha_3 (\alpha_4 - 1) + 1$ ,  $a_2 = \alpha_{21} \alpha_3 \alpha_4 + (\alpha_1 - \alpha_{21} - 1)(\alpha_3 - 1) + \alpha_3$ ,  $a_3 = \alpha_1 \alpha_4 + (\alpha_1 - \alpha_{21} - 1)(\alpha_2 - 1)(\alpha_4 - 1) - \alpha_4 + 1$ ,  $a_4 = \alpha_1 \alpha_2 (\alpha_3 - 1) + \alpha_{21}(\alpha_2 - 1) + \alpha_2$  and  $(a_1, a_2, a_3, a_4) = 1$ , then  $H = \langle a_1, a_2, a_3, a_4 \rangle$  is an almost symmetric numerical semigroup with  $M(H) = \{a_1, a_2, a_3, a_4\}$  and the ideal  $I_H$  is generated by*

$$\begin{aligned} f_1 &= X_1^{\alpha_1} - X_3 X_4^{\alpha_4 - 1}, \quad f_2 = X_2^{\alpha_2} - X_1^{\alpha_{21}} X_4, \quad f_3 = X_3^{\alpha_3} - X_1^{\alpha_1 - \alpha_{21} - 1} X_2, \\ f_4 &= X_4^{\alpha_4} - X_1 X_2^{\alpha_2 - 1} X_3^{\alpha_3 - 1} \quad \text{and} \quad g = X_1^{\alpha_{21} + 1} X_3^{\alpha_3 - 1} - X_2 X_4^{\alpha_4 - 1}. \end{aligned}$$

**PROOF.** By the assumption, we have

$$\alpha_1 a_1 = a_3 + (\alpha_4 - 1)a_4, \quad \alpha_2 a_2 = \alpha_{21} a_1 + a_4 \quad \text{and} \quad \alpha_3 a_3 = (\alpha_1 - \alpha_{21} - 1)a_1 + a_2,$$

which imply  $\alpha_4 a_4 = a_1 + (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3$ . Using the relations, we get

$$L_{a_1}(H) = \{\beta_2 a_2 + \beta_3 a_3 + \beta_4 a_4 \mid 0 \leq \beta_2 < \alpha_2, 0 \leq \beta_3 < \alpha_3, 0 \leq \beta_4 < \alpha_4 - 1\} \cup \{(\alpha_4 - 1)a_4\}$$

and

$$\omega_{a_1}(a_1) = (\alpha_2 - 1)a_2 + (\alpha_3 - 1)a_3 + (\alpha_4 - 2)a_4,$$

which show that  $H$  is almost symmetric. Moreover, since we have

$$\begin{aligned}
L_{a_4}(H) = & \{ \beta_1 a_1 + \beta_2 a_2 + \beta_3 a_3 \mid 0 \leq \beta_1 < \alpha_1, 0 \leq \beta_2 < \alpha_2, 0 \leq \beta_3 < \alpha_3 - 1 \} \\
& \cup \{ \beta_1 a_1 + \beta_2 a_2 + (\alpha_3 - 1) a_3 \mid 0 \leq \beta_1 \leq \alpha_{21}, 0 \leq \beta_2 < \alpha_2 - 1 \} \\
& \cup \{ (\alpha_2 - 1) a_2 + (\alpha_3 - 1) a_3 \},
\end{aligned}$$

we get  $a_1 \in \langle a_2, a_3, a_4 \rangle$ ,  $a_2 \in \langle a_1, a_3, a_4 \rangle$ ,  $a_3 \in \langle a_1, a_2, a_4 \rangle$ ,  $a_4 \in \langle a_1, a_2, a_3 \rangle$ . Using the above relations, we get

$$\begin{aligned}
L_{a_2}(H) = & \{ \beta_1 a_1 + \beta_3 a_3 + \beta_4 a_4 \mid 0 \leq \beta_1 < \alpha_{21}, 0 \leq \beta_3 < \alpha_3, 0 \leq \beta_4 < \alpha_4 \} \\
& \cup \{ \beta_1 a_1 + \beta_3 a_3 \mid \alpha_{21} \leq \beta_1 < \alpha_1, 0 \leq \beta_3 < \alpha_3 - 1 \} \cup \{ \alpha_{21} a_1 + (\alpha_3 - 1) a_3 \}.
\end{aligned}$$

The complete descriptions of  $L_{a_1}(H)$ ,  $L_{a_2}(H)$  and  $L_{a_4}(H)$  show that the above relations are minimal. Q. E. D.

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