# ON THE THEORY OF MULTIVALENT FUNCTIONS

## By

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I would like to dedicate this paper to the late Professor Shigeo Ozaki.

#### 1. Introduction.

Let A(p) be the class of functions of the form

(1) 
$$f(z) = \sum_{n=p}^{\infty} a_n z^n \qquad (a_p \neq 0; \ p \in N = \{1, 2, 3, \cdots\})$$

which are regular in |z| < 1.

A function f(z) in A(p) is said to be p-valently starlike iff

$$\operatorname{Re}\frac{zf'(z)}{f(z)} > 0 \qquad (|z| < 1).$$

We denote by S(p) the subclass of A(p) consisting of functions which are p-valently starlike in |z| < 1.

Further, a function f(z) in A(p) is said to be p-valently convex iff

$$1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} > 0$$
 (|z|<1).

Also we denote by C(p) the subclass of A(p) consisting of all p-valently convex functions in |z| < 1.

# 2. Preliminaries.

At first, we prove the following lemma by using the method of Ozaki [10].

LEMMA 1. Let  $f(z) \in A(p)$  and

(2) 
$$\operatorname{Re} \frac{zf'(z)}{f(z)} > K \quad in \quad |z| < 1$$

where K is a real bounded constant, then we have

$$f(z) \neq 0$$
 in  $0 < |z| < 1$ .

PROOF. Suppose that f(z) has a zero of order n  $(n \ge 1)$  at a point  $\alpha$  that satisfies  $0 < |\alpha| < 1$ . Then f(z) can be written as  $f(z) = (z - \alpha)^n g(z)$ ,  $g(\alpha) \neq 0$  and

Received August 11, 1986. Revised November 11, 1986.

it follows that

$$\frac{zf'(z)}{f(z)} = \frac{nz}{z-\alpha} + \frac{zg'(z)}{g(z)}$$

By a brief calculation, we have

$$\lim_{z \to a} (z - \alpha) \frac{zf'(z)}{f(z)} = \lim_{z \to a} \left( nz + (z - \alpha) \frac{zg'(z)}{g(z)} \right)$$
$$= n\alpha \neq 0$$

which result contradicts (2), because (2) shows that zf'(z)/f(z) has no pole in 0 < |z| < 1. Therefore f(z) can not have any zero in 0 < |z| < 1.

Applying the same method as the proof of Lemma 1, we have the following lemma.

LEMMA 2. Let  $f(z) \in A(p)$  and

$$1 + \operatorname{Re}\frac{zf''(z)}{f'(z)} > K \qquad in \quad |z| < 1,$$

where K is a real bounded constant, then

$$f'(z) \neq 0$$
 in  $0 < |z| < 1$ .

We owe this lemma to Ozaki [10] and we owe the following lemma to Ozaki [10, 11].

LEMMA 3. Let the function f(z) defined by (1) be in the class A(p) and  $f^{(k)}(z) \neq 0$  for  $k=0,1,2,\dots,p$  on |z|=1.

Then we have

$$\int_{|z|=1} |d \operatorname{arg} d^{j} f(z)| \leq \int_{|z|=1} |d \operatorname{arg} d^{j+1} f(z)|$$

for  $j=0, 1, 2, \dots, p-1$ , or, by a modification of the above inequalities,

$$\int_{0}^{2\pi} \left| j + \operatorname{Re} \frac{zf^{(j+1)}z)}{f^{(j)}(z)} \right| d\theta \leq \int_{0}^{2\pi} \left| j + 1 + \operatorname{Re} \frac{zf^{(j+2)}(z)}{f^{(j+1)}(z)} \right| d\theta$$

for  $j=0,1,2,\cdots,p-1$ , where  $z=e^{i\theta}$  and  $0\leq \theta \leq 2\pi$ .

LEMMA 4. Let f(z) be regular in  $|z| \leq 1$  and  $f'(z) \neq 0$  on |z|=1. If the next relation

$$\int_{0}^{2\pi} \left| 1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} \right| d\theta < 2\pi(p+1)$$

holds, then f(z) is at most p-valent in  $|z| \leq 1$ .

We owe this lemma to Umezawa [15, 17].

LEMMA 5. If F(z) and G(z) are regular in |z| < 1, F(0) = G(0) = 0, G(z)maps |z| < 1 onto a many-sheeted region which is starlike with respect to the origin, and  $\operatorname{Re}(F'(z)/G'(z)) > 0$  in |z| < 1, then

$$\operatorname{Re}(F(z)/G(z)) > 0$$
 in  $|z| < 1$ 

We owe the above lemma to Sakaguchi [12] and Libera [4, Lemma 1].

Applying the same method as the proof of [4, Lemma 2], we can prove the following lemma.

LEMMA 6. Let 
$$f(z) \in S(p)$$
. Then

$$F(z) = \int_0^z f(t) dt \in S(p+1)$$

or

$$\operatorname{Re}\frac{zF'(z)}{F(z)} > 0 \qquad in \ |z| < 1.$$

PROOF. Put D(z) = zF'(z) = zf(z) and N(z) = F(z), then D(z) is (p+1)-valently starlike with respect to the origin, since

$$\operatorname{Re} \frac{zD'(z)}{D(z)} = 1 + \operatorname{Re} \frac{zf'(z)}{f(z)} > 1 > 0$$
 in  $|z| < 1$ .

By an easy calculation, we can have

$$\operatorname{Re} \frac{D'(z)}{N'(z)} = 1 + \operatorname{Re} \frac{zf'(z)}{f(z)} > 0$$
 in  $|z| < 1$ .

Therefore we have

$$\operatorname{Re}rac{N'(z)}{D'(z)} \! > \! 0 \qquad ext{ in } |z| \! < \! 1.$$

Applying Lemma 5, we have

$${
m Re}rac{N(z)}{D(z)}{
m >}0$$
 in  $|z|{<}1$ 

or

$$\operatorname{Re} \frac{D(z)}{N(z)} > 0$$
 in  $|z| < 1$ .

This shows that

$$\operatorname{Re} \frac{zF'(z)}{F(z)} > 0$$
 in  $|z| < 1$ .

This complets our proof.

LEMMA 7. If  $f(z) \in S(p)$ , then f(z) is p-valent in |z| < 1.

**PROOF.** From the definition of S(p) and Lemma 1, we have

$$f(z) \neq 0$$
 in  $0 < |z| < 1$ .

Therefore we have

$$\int_{0}^{2\pi} \operatorname{Re} \frac{re^{i\theta} f'(re^{i\theta})}{f(re^{i\theta})} d\theta = 2p\pi$$

for an arbitrary r, 0 < r < 1.

This shows that f(z) is p-valent in |z| < 1 [1, p. 212].

From the definition of C(p), Lemma 2 and [1, p. 211], we have the following lemma.

LEMMA 8. If 
$$f(z) \in C(p)$$
, then  $f(z)$  is p-valent in  $|z| < 1$ .

REMARK 1. Let  $f(z) \in A(p)$ . Then we can easily confirm that f(z) is p-valently convex if and only if zf'(z) is p-valently starlike.

LEMMA 9. Let  $f(z) \in A(p)$  and suppose there exists a positive integer j for which

$$j + \operatorname{Re} \frac{z f^{(j+1)}(z)}{f^{(j)}(z)} > 0$$
 in  $|z| < 1$ 

where  $1 \leq j \leq p$ .

Then we have

$$j-1+\operatorname{Re}\frac{zf^{(j)}(z)}{f^{(j-1)}(z)}>0$$
 in  $|z|<1$ .

PROOF. For the case p=1, from [5, 14] it is clear. Therefor we assume  $p \ge 2$ . Put

$$g(z) = \frac{f^{(j-1)}(z)}{p(p-1)\cdots(p-j+2)a_p} = z^{p-j+1} + \cdots.$$

Then we have

$$1 + \operatorname{Re}\frac{zg''(z)}{g'(z)} = 1 + \operatorname{Re}\frac{zf^{(j+1)}(z)}{f^{(j)}(z)} > 1 - j \quad \text{in} \quad |z| < 1.$$

From Lemma 2, we have

(3) 
$$g'(z) = \frac{f^{(j)}(z)}{p(p-1)\cdots(p-j+2)a_p} \neq 0$$
 in  $0 < |z| < 1.$ 

On the other hand, if  $f^{(j-1)}(z)$  has such a zero as  $z=\alpha$  of multiplicity  $l(l\geq 1)$ in 0 < |z| < 1, then we can choose  $\rho$  such that  $0 < |\alpha| < \rho < 1$  and so

$$f^{(j-1)}(z) \neq 0 \qquad \text{on} \quad |z| = \rho,$$

because if this reasoning is impossible, then from elementary analytic function theory (for emample [2, Theorem 8.1.3, p. 198], we have

$$f^{(j-1)}(z) \equiv 0$$
 in  $|\alpha| < |z| < 1$ ,

which contradicts

$$f^{(j-1)}(z) \neq \text{constant.}$$

Applying the principle of the argument, Lemma 3, (3) and the assumption of Lemma 9, we have the following inequalities:

$$\begin{split} 2\pi(p+l) &\leq \int_{0}^{2\pi} \left(j - 1 + \operatorname{Re} \frac{z f^{(j)}(z)}{f^{(j-1)}(z)}\right) d\theta \\ &\leq \int_{0}^{2\pi} \left|j - 1 + \operatorname{Re} \frac{z f^{(j)}(z)}{f^{(j-1)}(z)}\right| d\theta \\ &= \int_{|z|=r} |d \arg d^{j-1}f(z)| \\ &\leq \int_{|z|=r} |d \arg d^{j}f(z)| \\ &= \int_{0}^{2\pi} \left|j + \operatorname{Re} \frac{z f^{(j+1)}(z)}{f^{(j)}(z)}\right| d\theta \\ &= \int_{0}^{2\pi} \left(j + \operatorname{Re} \frac{z f^{(j+1)}(z)}{f^{(j)}(z)}\right) d\theta \\ &= 2p\pi \end{split}$$

where  $z = \rho e^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

But this result contradicts  $2p\pi < 2\pi(p+l)$ .

This shows that  $f^{(j-1)}(z) \neq 0$  in  $0 < |z| < 1(f^{(j-1)}(z)$  has a zero z=0 of order p-j+1).

Therefore we have

$$2p\pi = \int_{0}^{2\pi} \left( j - 1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \right) d\theta$$
$$= \int_{0}^{2\pi} \left| j - 1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \right| d\theta$$
$$= 2p\pi$$

for an arbitrary r, 0 < r < 1,  $z = re^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

This shows

(4) 
$$j-1+\operatorname{Re}\frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \ge 0$$
 in  $|z| < 1$ .

But if there is a point  $z_0$  satisfying  $|z_0| < 1$  and

$$j-1+\operatorname{Re}\frac{z_0f^{(j)}(z_0)}{f^{(j-1)}(z_0)}=0,$$

then we can choose a point z in some neighborhood of  $z_0$  in |z| < 1 such that

$$j-1+\operatorname{Re}\frac{zf^{(j)}(z)}{f^{(j-1)}(z)}<0.$$

This contradicts (4). Therefore we have

$$j-1+\operatorname{Re}\frac{zf^{(j)}(z)}{f^{(j-1)}(z)}>0$$
 in  $|z|<1$ .

# 3. Statement of results.

THEOREM 1. Let  $f(z) \in A(p)$  and suppose

(5) 
$$p + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} > 0$$
 in  $|z| < 1$ .

Then f(z) is p-valent in |z| < 1 and

$$k + \operatorname{Re} \frac{z f^{(k+1)}(z)}{f^{(k)}(z)} > 0$$
 in  $|z| < 1$ 

for  $k=0, 1, 2, \dots, p-1$ .

This shows that  $f(z) \in C(p)$  and  $f(z) \in S(p)$ .

PROOF. From Lemma 9 and (5), we easily have

$$k + \operatorname{Re} \frac{z f^{(k+1)}(z)}{f^{(k)}(z)} > 0$$
 in  $|z| < 1$ 

for  $k = 0, 1, 2, \dots, p-1$ .

This shows that f(z) is p-valent in |z| < 1,  $f(z) \in C(p)$  and  $f(z) \in S(p)$ .

THEOREM 2. Let  $f(z) \in A(p)$  and

$$p + \operatorname{Re} \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} > -\frac{1}{2}$$
 in  $|z| < 1$ .

Then f(z) is p-valent in |z| < 1.

PROOF. For the case p=1, this is due to Umezawa [15, 17]. If we put

$$g(z) = \frac{f^{(p-1)}}{p(p-1)\cdots 3\cdot 2\cdot a_p} = z + \cdots, \qquad p \ge 2,$$

then we have

$$1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} > \frac{1}{2} - p$$
 in  $|z| < 1$ .

From Lemma 2, we have

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$$f^{(p)}(z) = p(p-1)\cdots 3 \cdot 2 \cdot a_p g'(z) \neq 0$$
 in  $|z| < 1$ .

On the other hand, if  $f^{(p-1)}(z)$  has a zero  $z=\alpha$  of multiplicity  $l(l\geq 1)$  in 0<|z|<1, then we can choose r satisfying  $0<|\alpha|< r<1$  such that

$$f^{(p-1)}(z) \neq 0$$
 on  $|z| = r$ ,

because if this supposition is impossible, then from elementary analytic function theory (for example [2, Theorem 8.1.3, p. 198]), we have

$$f^{(p-1)}(z) \equiv 0$$
 in  $|\alpha| < |z| < 1$ .

This contradicts

$$f^{(p-1)}(z) \equiv \text{constant}$$
 in  $|\alpha| < |z| < 1$ .

Applying the principle of the argument and Lemma 3, we have the following inequalities:

$$(6) \qquad 2\pi(p+l) \leq \int_{0}^{2\pi} \left(p-1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)}\right) d\theta$$
$$\leq \int_{0}^{2\pi} \left|p-1 + \operatorname{Re} \frac{zf^{(p-1)}(z)}{f^{(p-1)}(z)}\right| d\theta$$
$$= \int_{|z|=r} |d \arg d^{p-1}f(z)|$$
$$\leq \int_{|z|=r} |d \arg d^{p}f(z)|$$
$$= \int_{0}^{2\pi} \left|p + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)}\right| d\theta$$
$$= \int_{0}^{2\pi} \left|p + \frac{1}{2} + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} - \frac{1}{2}\right| d\theta$$
$$< \int_{0}^{2\pi} \left|p + \frac{1}{2} + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)}\right| d\theta + \pi$$
$$= \int_{0}^{2\pi} \left(p + \frac{1}{2} + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)}\right) d\theta + \pi$$
$$= 2\pi(p+1),$$

where  $z = re^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

But this result contradicts  $2\pi(p+1) \leq 2\pi(p+l)$ . Thus it is not possible for  $f^{(p-1)}(z)$  to vanish in 0 < |z| < 1.

From (6) we have

(7) 
$$\int_{0}^{2\pi} \left| p - 1 + \operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} \right| d\theta$$
$$= \int_{|z|=r} |d \arg d^{p-1} f(z)| < 2\pi (p+1)$$

for an arbitrary r, 0 < r < 1, and  $z = re^{i\theta}$ .

Repeating the same method as the above, we have  $f^{(p-2)}(z)$ ,  $f^{(p-3)}(z)$ , ..., f''(z), that do not vanish in 0 < |z| < 1 and for an arbitrary r, 0 < r < 1,

(8) 
$$\int_{|z|=r} |d \arg df(z)| = \int_{0}^{2\pi} \left| 1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} \right| d\theta < 2\pi(p+1).$$

From Lemma 4, (8) shows that f(z) is p-valent in |z| < 1.

This is a generalization of the theorem in [11, 15].

Applying the same method as the proof of Theorem 2 and Lemma 4, we have the following theorems.

THEOREM 3. Let  $f(z) \in A(p)$  and suppose

$$\int_{0}^{2\pi} \left| p + \operatorname{Re} \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta < 2\pi (p+1)$$

for an arbitary r, 0 < r < 1, and  $z = re^{i\theta}$ . Then f(z) is p-valent in |z| < 1. This is a generalization of [10, 15, 16, 17].

THEOREM 4. Let  $f(z) \in A(p)$  and

$$\int_{0}^{2\pi} \left| 1 + \operatorname{Re} \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta \! < \! 4\pi$$

for an arbitrary r, 0 < r < 1, and  $z = re^{i\theta}$ . Then f(z) is p-valent in |z| < 1.

THEOREM 5. Let  $f(z) \in A(p)$  and suppose

$$\operatorname{Re}\frac{zf^{(p)}(z)}{f^{(p-1)}(z)} > 0 \qquad in \quad |z| < 1.$$

Then we have

$$\operatorname{Re}\frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \qquad in \quad |z| < 1$$

or

$$f^{(p-k)}(z) \in S(k)$$

for  $k=1, 2, 3, \dots, p$ .

PROOF. For the case p=1, the theorem is trivial, so we assume  $p \ge 2$ . Put

$$g(z) = \frac{f^{(p-1)}(z)}{p(p-1)\cdots 3\cdot 2\cdot a_p} = z + \cdots.$$

Then we have

$$\operatorname{Re}_{-\frac{zg'(z)}{g(z)}} = \operatorname{Re}_{-\frac{zf^{(p)}(z)}{f^{(p-1)}(z)}} > 0 \quad \text{in } |z| < 1.$$

This shows that g(z) is univalently starlike in |z| < 1. An application of Lemma 6 shows that

$$\int_{0}^{z} g(t)dt = \frac{f^{(p-2)}(z)}{p(p-1)\cdots 3 \cdot 2 \cdot a_{p}} \in S(2)$$

or

$$\operatorname{Re} \frac{z f^{(p-1)}(z)}{f^{(p-2)}(z)} > 0$$
 in  $|z| < 1$ .

Applying the same method as the above over again, we have

$$f^{(p-k)}(z) \in S(k)$$

or

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0$$
 in  $|z| < 1$ .

for  $k=1, 2, 3, \dots, p$ . This completes our proof.

THEOREM 6. Let  $f(z) \in A(p)$  and if there exists a positive integer  $q(1 \le q \le p)$  that satisfies

$$\int_{0}^{2\pi} \left| \operatorname{Re}_{\frac{zf^{(q)}(z)}{f^{(q-1)}(z)}} \right| d\theta \leq 2\pi (p+1-q)$$

for an arbitrary r, 0 < r < 1, and  $z = re^{i\theta}$ , then we have

$$\operatorname{Re} \frac{z f^{(k)}(z)}{f^{(k-1)}(z)} > 0$$
 in  $|z| < 1$ 

or

$$f^{(k-1)}(z) \in S(p+1-k)$$

for  $k = 1, 2, 3, \dots, q$ .

PROOF. From the principle of the argument and the assumption, we have

$$2\pi(p+1-q) \leq \int_{0}^{2\pi} \operatorname{Re} \frac{zf^{(q)}(z)}{f^{(p-1)}(z)} d\theta$$
$$\leq \int_{0}^{2\pi} \left| \operatorname{Re} \frac{zf^{(q)}(z)}{f^{(q-1)}(z)} \right| d\theta \leq 2\pi(p+1-q)$$

for an arbitrary r, 0 < r < 1, and  $z = re^{i\theta}$ .

Therefore we must have

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$$\operatorname{Re} \frac{z f^{(q)}(z)}{f^{(q-1)}(z)} \ge 0$$
 in  $|z| < 1$ .

Applying the same method as the proof of Theorem 1, we can show

$$\operatorname{Re} \frac{z f^{(q)}(z)}{f^{(q-1)}(z)} > 0$$
 in  $|z| < 1$ 

or

 $f^{(q-1)}(z) \in S(p+1-q).$ 

Integrating  $f^{(q-1)}(z)$ , then from Lemma 6, we have

 $f^{(p-2)}(z) \in S(p+2-q).$ 

Repeating the same method as the above, we can complete the proof of Theorem 6.

Applying the same method as the proof of Theorem 1 and 2, we can easily prove

THEOREM 7. Suppose  $f(z) \in C(p)$ . Then we have  $f(z) \in S(p)$ .

REMARK 2. For the case p=1, C(p) and S(p) are the subclasses of classical univalent functions which are convex and starlike respectively, and  $S(1) \supset C(1)$ .

It is worth noting that for  $p \ge 2$ , then  $S(p) \oplus C(p)$ , if f(z) is not normalized such that  $f(z) = \sum_{\substack{n=p\\ n=p}}^{\infty} a_n z^n$ ,  $(a_p \ne 0)$ .

A. W. Goodman noticed Remark 2 [1, p. 212].

THEOREM 8. Let  $f(z) \in A(p)$  and if there exists a (p-k+1)-valent starlike function  $g(z) = \sum_{n=p-k+1}^{\infty} b_n z^n$ ,  $(b_{p-k+1} \neq 0)$  that satisfies

(9) 
$$\operatorname{Re} \frac{zf^{(k)}(z)}{g(z)} > 0 \qquad in \quad |z| < 1,$$

then f(z) is p-valent in |z| < 1.

**PROOF.** For the case p=1, it is well-known in [3]. So we assume  $p \ge 2$ .

If we put  $g(z) = z\varphi'(z)$ , then from Remark 1,  $\varphi(z)$  is a (p-k+1)-valently convex function. From Theorem 7,  $\varphi(z)$  is (p-k+1)-valently starlike in |z| < 1 and from (9) we can have

$$\operatorname{Re} \frac{f^{(k)}(z)}{\varphi'(z)} > 0$$
 in  $|z| < 1$ .

Applying Lemma 5 repeatedly, we have

$$\operatorname{Re}rac{f'(z)}{\phi(z)} > 0$$
 in  $|z| < 1$ 

where  $\phi^{(k-2)}(z) = \varphi(z), \ \phi(0) = \phi'(0) = \phi''(0) = \dots = \phi^{(k-2)}(0) = 0.$ 

Then from Lemma 6,  $\phi(z)$  is a (p-1)-valently starlike function.

On the other hand, if we put  $G(z) = z\phi(z)$ , then we have

$$\operatorname{Re} \frac{zG'(z)}{G(z)} = \frac{d \arg G(z)}{d\theta} = \frac{d \arg z\phi(z)}{d\theta}$$
$$= 1 + \frac{d \arg \phi(z)}{d\theta} > 1$$

for an arbitrary r, 0 < r < 1,  $z = re^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ , and furthermore we have

$$\int_{0}^{2\pi} \operatorname{Re} \frac{zG'(z)}{G(z)} d\theta = \int_{0}^{2\pi} \left(1 + \frac{d \arg \phi(z)}{d\theta}\right) d\theta$$
$$= 2p\pi.$$

It shows that G(z) is *p*-valently starlike in |z| < 1.

Therefore we have

$$\operatorname{Re}\frac{zf'(z)}{\phi(z)} = \operatorname{Re}\frac{zf'(z)}{G(z)} > 0 \quad \text{in} \quad |z| < 1$$

where G(z) is a *p*-valently starlike function.

From [6, 18], f(z) is p-valent in |z| < 1. This completes our proof.

Let  $f(z) \in A(p)$  and let  $\alpha$  be a real number. Then f(z) is said to be p-valently  $\alpha$ -convex in |z| < 1 iff

(10) 
$$\operatorname{Re}\left[(1-\alpha)\frac{zf^{(p)}(z)}{f^{(p-1)}(z)} + \alpha\left(1 + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)}\right)\right] > 0$$

holds in |z| < 1.

This is a generalization of  $\alpha$ -convex functions [7, 8, 9].

THEOREM 9. Let f(z) defined by (1) be p-valently  $\alpha$ -convex in |z| < 1 and let  $(\alpha - 1)$  not be a positive integer.

Then we have that f(z) is p-valent in |z| < 1 and

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0$$
 in  $|z| < 1$ 

for  $k=1, 2, 3, \dots, p$ .

**PROOF.** For the case  $\alpha = 1$ , from the assumption we have

(11) 
$$1 + \operatorname{Re} \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} > 0$$
 in  $|z| < 1$ .

If we put

$$g(z) = \frac{f^{(p-1)}(z)}{p(p-1)\cdots 3\cdot 2\cdot a_p} = z + \cdots,$$

then from (11) we have

$$1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} > 0$$
 in  $|z| < 1$ ,

and so  $g(z) \in C(1)$ .

By Marx-Strohhäcker's theorem [5, 14], we have

$$\operatorname{Re}\frac{zg'(z)}{g(z)} = \operatorname{Re}\frac{zf^{(p)}(z)}{f^{(p-1)}(z)} > \frac{1}{2} > 0 \quad \text{in} \quad |z| < 1$$

Then, from Theorem 5, we have

$$\operatorname{Re} \frac{z f^{(k)}(z)}{f^{(k-1)}(z)} > 0$$
 in  $|z| < 1$ 

for  $k = 1, 2, 3, \dots, p$ .

Next, we assume that  $\alpha$  is not a positive integer. Applying the same method as the proof of [13, Theorem 2] (It is the same idea as the proof of Lemma 1), we can prove that  $f^{(p-1)}(z) \neq 0$  in 0 < |z| < 1 and  $f^{(p)}(z) \neq 0$  in 0 < |z| < 1. Because, if  $f^{(p-1)}(z)$  has a zero of order n  $(n \ge 1)$  at a point  $\beta$  such that  $0 < |\beta| < 1$ , then  $f^{(p-1)}(z)$  may be put

$$f^{(p-1)}(z) = (z-\beta)^n g(z), \qquad g(\beta) \neq 0.$$

Then by an easy calculation, we can have

$$\begin{split} \lim_{z \to \beta} (z - \beta) \Big\{ (1 - \alpha) \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} + \alpha \Big( 1 + \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} \Big) \Big\} \\ &= \beta (n - \alpha) \neq 0 \end{split}$$

But this is a contradiction to (10), because

$$(1-\alpha)\frac{zf^{(p)}(z)}{f^{(p-1)}(z)} + \alpha \left(1 + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)}\right)$$

has no zero in |z| < 1. Therefore  $f^{(p-1)}(z)$  can not have any zero in 0 < |z| < 1. Then from the assumption (10),  $f^{(p)}(z)$  has no zero in 0 < |z| < 1 either.

Hence we have that  $f^{(p-1)}(z) \neq 0$  in 0 < |z| < 1 and  $f^{(p)}(z) \neq 0$  in 0 < |z| < 1. Therefore, if we put  $p(z) = zf^{(p)}(z)/f^{(p-1)}(z)$  in (10), then we can obtain

$$\operatorname{Re}[p(z) - i\alpha - \frac{\partial}{\partial \theta} \log p(z)] > 0$$

for an arbitrary r, 0 < r < 1 and  $z = re^{i\theta}$ .

Applying the same method as the proof of [7], we can have

$$\operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} > 0$$
 in  $|z| < 1$ .

From Theorem 5, it follows that

$$\operatorname{Re} \frac{z f^{(k)}(z)}{f^{(k-1)}(z)} > 0$$
 in  $|z| < 1$ 

for  $k = 1, 2, 3, \dots, p$ .

This completes our proof.

Applying the same method as the proof of [13, Theorem 2] and Theorem 5, we can prove

THEOREM 10. Let  $f(z) \in A(p)$  and suppose

$$\operatorname{Re}\left(1 + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} - \frac{zf^{(p)}(z)}{f^{(p-1)}(z)}\right) > -\frac{1}{2} \qquad in \quad |z| < 1$$

Then we have

$$\operatorname{Re}\frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0$$
 in  $|z| < 1$ 

for  $k = 1, 2, 3, \dots, p$ .

THEOREM 11. Let  $f(z) \in A(p)$  and if f(z) satisfies the following condition

$$\int_{0}^{2\pi} \left| 1 + \operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} \right| d\theta \leq 4\pi$$

for an arbitrary r, 0 < r < 1 and  $z = re^{i\theta}$ , then  $f^{(k-1)}(z) \in S(p+1-k)$ for  $k=1, 2, 3, \dots, p-1$ .

PROOF. From the principle of the argument and assumption, we have

(12) 
$$4\pi \leq \int_{0}^{2\pi} \left(1 + \operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)}\right) d\theta$$
$$\leq \int_{0}^{2\pi} \left|1 + \operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)}\right| d\theta \leq 4\pi$$

for an arbitrary r, 0 < r < 1 and  $z = re^{i\theta}$ .

Applying the same reason as in the proof of Theorem 1 and from (12), we can have

$$1 + \operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} > 0$$
 in  $|z| < 1$ .

From the definition of the class C(p), this shows  $f^{(p-2)}(z) \in C(2)$ . Then from Theorem 7, we have  $f^{(p-2)}(z) \in S(2)$ . Applying Theorem 5, we have

$$f^{(k-1)}(z) \!\in\! S(p\!+\!1\!-\!k)$$

for  $k=1, 2, 3, \dots, p-1$ . This completes our proof.

The author is indebted to the referee for helpful comments and suggestions.

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Acknowledgement. I would like to thank Prof. W. M. Causey for much help during the preparation of this paper at the University of Mississippi.

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