

NON-STANDARD REAL NUMBER SYSTEMS WITH REGULAR GAPS

By

Shizuo KAMO

The purpose of this paper is to show that if an enlargement $*M$ of the universe M is saturated, then the non-standard real number system $*R$ has a regular gap and the uniform space $(*R, E[L(1)])$ is not complete.

Our notions and terminologies follow the usual use in the model theory. Let $G = \langle G, +, \langle \rangle \rangle$ be a first order structure which satisfies

- (a) the axioms of ordered abelian groups,
- (b) the axioms of dense linear order.

(i. e. $\langle G, +, \langle \rangle \rangle$ is an ordered abelian group and $\langle G, \langle \rangle \rangle$ is a densely ordered set.) A Dedekind cut (X, Y) in G is said to be a *gap* if $\sup(X)$ ($\inf(Y)$) does not exist. A gap (X, Y) is said to be *regular* if, for all e in G_+ ($=\{g \in G; g > 0\}$), $X+e \neq X$.

THEOREM. *Suppose that G is saturated. Then, G has a regular gap. Moreover, G has 2^κ -th regular gaps, where κ is the cardinality of G .*

PROOF. Since G is saturated, the coinitality of G_+ is κ . Let $\langle g_\alpha | \alpha < \kappa \rangle$ be an enumeration of G and let $\langle e_\alpha | \alpha < \kappa \rangle$ be a strictly decreasing coinital sequence in G_+ . By the induction on $\alpha < \kappa$, we shall define a set $\{I(x_u, y_u); u \in {}^\alpha 2\}$ of open intervals in G such that

- (1) $I(x_u, y_u) \neq \emptyset$ for all u in ${}^\alpha 2$,
- (2) $y_u - x_u < e_\alpha$ for all u in ${}^\alpha 2$,
- (3) $g_\alpha \notin I(x_u, y_u)$ for all u in ${}^\alpha 2$,
- (4) $I(x_u, y_u) \cap I(x_v, y_v) = \emptyset$ for all distinct elements u, v in ${}^\alpha 2$,
- (5) for all $\beta < \alpha$, for all $v \in {}^\beta 2$ and for all $u \in {}^\alpha 2$, if $v \subset u$, then $I(x_v, y_v) \supset I(x_u, y_u)$.

The construction is as follows:

(Case 1) $\alpha = 0$.

This case is obvious.

(Case 2) $\alpha = \beta + 1$ for some β .

Received June 3, 1980.

Suppose that $\{I(x_v, y_v); v \in {}^\beta 2\}$ has been defined and satisfies (1)~(5). For each v in ${}^\beta 2$, choose z_v, z'_v, w_v and w'_v in $I(x_v, y_v)$ such that

$$\begin{aligned} I(z_v, w_v) &\neq \emptyset, \quad I(z'_v, w'_v) \neq \emptyset, \\ I(z_v, w_v) \cap I(z'_v, w'_v) &= \emptyset, \\ w_v - z_v &< e_\alpha, \quad w'_v - z'_v < e_\alpha, \\ g_\alpha &\in I(z_v, w_v) \cup I(z'_v, w'_v). \end{aligned}$$

Set

$$\begin{aligned} x_{\widehat{v}(0)} &= z_v, \\ y_{\widehat{v}(0)} &= w_v, \\ x_{\widehat{v}(1)} &= z'_v, \\ y_{\widehat{v}(1)} &= w'_v. \end{aligned}$$

Then,

$$\{I(x_{\widehat{v}(i)}, y_{\widehat{v}(i)}); v \in {}^\beta 2 \text{ and } i=0, 1\}$$

satisfies (1)~(5).

(Case 3) α is limit.

Suppose that, for all $\beta < \alpha$, $\{I(x_v, y_v); v \in {}^\beta 2\}$ has been defined and satisfies (1)~(5). Let u be in ${}^\alpha 2$. For each $\beta < \alpha$, put

$$x_\beta = x_{u1\beta} \quad \text{and} \quad y_\beta = y_{u1\beta}$$

(where $u1\beta$ denotes the restriction of u to β).

The sequence $\langle I(x_\beta, y_\beta) \mid \beta < \alpha \rangle$ satisfies that

$$\begin{aligned} I(x_\beta, y_\beta) &\neq \emptyset \quad \text{for all } \beta < \alpha, \\ I(x_\beta, y_\beta) &\subset I(x_\gamma, y_\gamma) \quad \text{for all } \gamma < \beta < \alpha. \end{aligned}$$

Since G is saturated, $\bigcap_{\beta < \alpha} I(x_\beta, y_\beta)$ contains elements x and y such that $x < y$.

Since $I(x, y) \subset \bigcap_{\beta < \alpha} I(x_\beta, y_\beta)$, we can choose x_u, y_u in $I(x, y)$ such that

$$x_u < y_u < x_u + e_\alpha \quad \text{and} \quad g_\alpha \in I(x_u, y_u).$$

Then, $\{I(x_u, y_u); u \in {}^\alpha 2\}$ satisfies (1)~(5).

Now, $\{I(x_u, y_u); u \in \bigcup_{\alpha < \kappa} {}^\alpha 2\}$ is a set which satisfies (1)~(5). For each f in ${}^\alpha 2$, define subsets X_f and Y_f of G by

$$\begin{aligned} X_f &= \{g \in G; \exists \alpha < \kappa (g < x_{f1\alpha})\}, \\ Y_f &= \{g \in G; \exists \alpha < \kappa (y_{f1\alpha} < g)\}. \end{aligned}$$

By (3) and (5), (X_f, Y_f) is a cut in G . By (4), if f, h are distinct elements in *2 , then $(X_f, Y_f) \neq (X_h, Y_h)$. To complete the proof of our theorem, it suffices to show that (X_f, Y_f) is regular. Let e be any element in G_+ . Since $\langle e_\alpha | \alpha < \kappa \rangle$ is coinital in G_+ , there exists some $\alpha < \kappa$ such that $e_\alpha \leq e$. By (2),

$$y_{f1\alpha} < x_{f1\alpha} + e_\alpha \leq x_{f1\alpha} + e.$$

Since $y_{f1\alpha}$ is in Y_f , $x_{f1\alpha} + e$ is in Y . Thus $X_f + e \neq X_f$. #

Let \mathbf{R} be the set of real numbers, let \mathbf{M} be a universe with $\mathbf{R} \in \mathbf{M}$, let ${}^*\mathbf{M}$ be an enlargement of \mathbf{M} and let ${}^*\mathbf{R}$ be the scope of \mathbf{R} . We shall regard ${}^*\mathbf{R}$ as an ordered group $\langle {}^*\mathbf{R}, +, \langle \rangle \rangle$. (${}^*\mathbf{R}$ may be of the form $\langle {}^*\mathbf{R}, *+, *\langle \rangle \rangle$. But we shall omit asterisks in $*+$ and $*\langle \rangle$, because there is no danger of confusion.)

COROLLARY 1. *Suppose that ${}^*\mathbf{M}$ is saturated. Then, ${}^*\mathbf{R}$ has a regular gap.*

PROOF. Since ${}^*\mathbf{M}$ is saturated, ${}^*\mathbf{R}$ is saturated. So, this follows from Theorem. #

For each r in ${}^*\mathbf{R}_+$, define $E(r)$ by

$$E(r) = \{(s, t) \in {}^*\mathbf{R} \times {}^*\mathbf{R}; |s - t| < r\}.$$

Define $L(1)$ and $E[L(1)]$ by

$$L(1) = \{r \in {}^*\mathbf{R}; \forall r' \in \mathbf{R}(r' < r)\},$$

$$E[L(1)] = \{E(r); r \in L(1)\}.$$

$E[L(1)]$ is the base of some uniform topology on ${}^*\mathbf{R}$. This uniform space is denoted by $({}^*\mathbf{R}, E[L(1)])$ (see [6]). Define $\bar{\mathbf{R}}$ by

$$\bar{\mathbf{R}} = \{r \in {}^*\mathbf{R}; \exists r' \in \mathbf{R}(|r| < r')\}.$$

$\bar{\mathbf{R}}$ is a convex subgroup of ${}^*\mathbf{R}$. So, the quotient group ${}^*\mathbf{R}/\bar{\mathbf{R}}$ becomes an ordered group.

LEMMA. *$({}^*\mathbf{R}, E[L(1)])$ is complete if and only if ${}^*\mathbf{R}/\bar{\mathbf{R}}$ does not have a regular gap.*

PROOF. It is easy from simple calculations. #

COROLLARY 2. *Suppose that ${}^*\mathbf{M}$ is saturated. Then, $({}^*\mathbf{R}, E[L(1)])$ is not complete.*

PROOF. From Theorem and Lemma. #

Assume GCH. There exists an enlargement $*M$ which is saturated (see [4, Proposition 5.1.5(ii)]). Therefore, from Corollaries 1 and 2, there exists an enlargement $*M$ such that

- (1) $*R$ has a regular gap,
- (2) $(*R, E[L(1)])$ is not complete.

This is another proof of Theorems 4.5 and 4.2 in my paper [6].

References

- [1] Robinson, A., Non-standard Analysis (Studies in Logic and the Foundations of Mathematics). North-Holland, Amsterdam, 1966.
- [2] Zakon, E., Remark on the Nonstandard Real Axis, in: Applications of Model Theory to Algebra, Analysis, and Probability, ed. W. A. J. LUXEMBURG (Holt, Rinehart and Winston), pp. 195-227.
- [3] Machover M. and Hirschfeld, J., Lecture in Non-standard Analysis (Springer Verlag), 1969.
- [4] Chang, C. C. and Keisler, H. J., Model Theory, North-Holland, Amsterdam, 1973.
- [5] Sacks, G., Saturated Model Theory, Reading, Mass., Benjamin, 1972.
- [6] Kamo, S., Non-standard natural number systems and non-standard models, to appear in J. of Symb. Logic.

Department of Mathematics
University of Osaka Prefecture
Sakai, Osaka, Japan