

## IDEMPOTENT RINGS WHICH ARE EQUIVALENT TO RINGS WITH IDENTITY

By

J. L. GARCIA<sup>1</sup>

Let  $A$  be a ring such that  $A=A^2$ , but which does not necessarily have an identity element. In studying properties of the ring  $A$  through properties of its modules, it is pointless to consider the category  $A\text{-MOD}$  of all the left  $A$ -modules: for instance, every abelian group –with trivial multiplication– is in  $A\text{-MOD}$ . The natural choice for an interesting category of left  $A$ -modules seems to be the following: if a left  $A$ -module  ${}_A M$  is *unital* when  $AM=M$ , and is  *$A$ -torsionfree* when the annihilator  $\nu_M(A)$  is zero, then  $A\text{-mod}$  will be the full subcategory of  $A\text{-MOD}$  whose objects are the unital and  $A$ -torsionfree left  $A$ -modules. The category  $A\text{-mod}$  appears in a number of papers (for instance, [7-9]) and when  $A$  has local units [1, 2] or is a left  $s$ -unital ring [6, 12], then the objects of  $A\text{-mod}$  are the unital left  $A$ -modules.  $A\text{-mod}$  is a Grothendieck category and we study here the question of finding necessary and sufficient conditions on the ring  $A$  for  $A\text{-mod}$  to be equivalent to a category  $R\text{-mod}$  of modules over a ring with 1. This was already considered for rings with local units in [1], [2] or [3], and for left  $s$ -unital rings in [6]. Our situation is therefore more general.

In this paper, all rings will be associative rings, but we do not assume that they have an identity. A ring  $A$  has local units [2] when for every finite family  $a_1, \dots, a_n$  of elements of  $A$  there is an idempotent  $e \in A$  such that  $ea_j = a_j = a_j e$  for all  $j=1, \dots, n$ . A left  $A$ -module  $M$  is said to be unital if  $M$  has a spanning set (that is, if  $AM=M$ ); and  $M$  has a finite spanning set when  $M = \sum A x_i$  for a finite family of elements  $x_1, \dots, x_n$  of  $M$ . The module  ${}_A M$  will be called  $A$ -torsionfree when  $\nu_M(A) = 0$ . A ring  $A$  is said to be left nondegenerate if the left module  ${}_A A$  is  $A$ -torsionfree, and  $A$  is nondegenerate when it is both left and right nondegenerate (see [10, p. 88]). Clearly, a ring with local units is nondegenerate. The ring  $A$  will be called (left)  $s$ -unital [12] in case for each  $a \in A$  (equivalently, for every finite family  $a_1, \dots, a_n$  of elements

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of  $A$ ) there is some  $u \in A$  such that  $ua = a$  (respectively,  $ua_i = a_i$ , for all  $i$ ): see [12, Theorem 1]. Any left  $s$ -unital ring is idempotent and left nondegenerate.

We will say that a ring  $A$  is generated by the element  $a \in A$  in case  $A = AaA$ . The above mentioned results of Abrams and Ánh-Márki [1], [2], and Komatsu [6] may be stated as follows: if  $A$  has local units, then  $A\text{-mod}$  is equivalent to a category of modules over a ring with 1 if and only if  $A$  is generated by an idempotent  $e$  [2, Proposition 3.5]; if  $A$  is left  $e$ -unital and  $A\text{-mod}$  is equivalent to the category of left modules over a ring with 1, then  $A$  is generated by some element  $a$  [6, Proposition 4.7].

In the sequel, we will be dealing with left modules, and so we follow the convention of denoting the composition  $g \circ f$  of two module homomorphisms as the product  $fg$ . On the other hand, if  $R$  is a ring with 1,  ${}_R M$  is a left  $R$ -module and  $E = \text{End}({}_R M)$  is its endomorphism ring, then we will denote by  $E_0 = \{f \in E \mid f: M \rightarrow M \text{ factors through a finitely generated free module}\}$ .

We now state and prove the following result.

**THEOREM.** *Let  $A$  be an idempotent ring. Then the category  $A\text{-mod}$  is equivalent to the category  $R\text{-mod}$  of left modules over a ring  $R$  with 1 if and only if there is some integer  $n \geq 1$  such that the matrix ring  $M_n(A)$  is generated by an idempotent.*

**PROOF.** We divide the proof in several steps.

*Step 1.* For any idempotent ring  $A$ , let us put  $\text{ann}(A) = \{x \in A \mid Ax = 0\}$  and  $A' := A/\text{ann}(A)$ . Then  $A'$  is a nondegenerate idempotent ring and  $A\text{-mod}$  and  $A'\text{-mod}$  are equivalent categories.

The fact that  $A'$  is nondegenerate is easy to verify. On the other hand, if  $\varepsilon: A \rightarrow A'$  is the canonical projection, then one may see that the restriction of scalars functor  $\varepsilon_*$  gives indeed a functor from  $A'\text{-mod}$  to  $A\text{-mod}$ . Now, if  ${}_A M$  belongs to  $A\text{-mod}$  and  $a \in \text{ann}(A)$ , then  $AaM = AaAM = 0$ , so that  $aM \subseteq \varepsilon_M(A)$ , and  $aM = 0$ , because  $M$  is  $A$ -torsionfree. As a consequence, there is a functor  $F: A\text{-mod} \rightarrow A'\text{-mod}$  which views each  ${}_A M$  of  $A\text{-mod}$  as a left  $A'$ -module. Then  $F$  and  $\varepsilon_*$  are inverse equivalences and hence  $A\text{-mod}$  and  $A'\text{-mod}$  are equivalent categories.

*Step 2.* For each  $n \geq 1$ , let  $\Delta$  be the matrix ring  $M_n(A)$ . Then  $A\text{-mod}$  and  $\Delta\text{-mod}$  are also equivalent categories.

To see this, consider the bimodules  ${}_A(A^n)_\Delta$  and  ${}_\Delta(A^n)_A$ , and the natural mappings  $\Phi: A^n \otimes_A A^n \rightarrow \Delta$ ,  $\Psi: A^n \otimes_\Delta A^n \rightarrow A$ . It is clear that they are bimodule homomorphisms which give a Morita context between  $A$  and  $\Delta$  (if we represent

elements in  ${}_A(A^n)_A$  in row form, and elements of  ${}_A(A^n)_A$  in column form, then  $\Phi$  and  $\Psi$  are induced by products of matrices). Also, the fact that  $A$  is idempotent allows us to deduce that  $\Phi$  and  $\Psi$  are surjective. Then, by [7, Theorem],  $A\text{-mod}$  and  $\Delta\text{-mod}$  are equivalent categories.

*Step 3.* We prove now the sufficiency of the condition of the Theorem. Assume that  $\Delta = M_n(A)$  is generated by an idempotent. By step 1,  $\Delta$  is equivalent to  $\Delta' = \Delta/\text{ann}(\Delta)$ . But  $\Delta = \Delta e \Delta$  for the idempotent  $e$  implies that  $\Delta' = \Delta' e' \Delta'$  for the idempotent  $e' = e + \text{ann}(\Delta)$ ; so, we can assume that  $\Delta$  is a nondegenerate ring. Then  $\Delta$  belongs to the category  $\Delta\text{-mod}$  and is a generator of this category. But  ${}_A(\Delta e)$  generates  $\Delta$ , so that it is also a generator of  $\Delta\text{-mod}$ .  $\Delta e$ , being finitely spanned, is clearly a finitely generated object of  $\Delta\text{-mod}$  [11, p. 121]. Finally, let  $p: Y \rightarrow X$  be an epimorphism in  $\Delta\text{-mod}$ , and put  $U = \text{Im } p$ ,  $V = X/U$ ,  $W = V/{}_V(A)$ . Then  $W$  belongs to  $\Delta\text{-mod}$  and hence the canonical projection from  $X$  to  $W$  must be 0; thus,  $\Delta V = 0$  and  $X = U$ , so that  $p$  is a surjective homomorphism. If  $f: \Delta e \rightarrow X$  is now a homomorphism, then  $f(e) = ea$  for some  $a \in X$ , and  $\alpha(e) := ey$ , with  $y$  such that  $p(y) = ea$ , gives a morphism  $\alpha$  with  $f = \alpha \cdot p$ . This shows that  $\Delta e$  is projective. It follows that  $\Delta\text{-mod}$  is equivalent to the category of left modules over the ring  $\text{End}_J(\Delta e) \cong e \Delta e$ . By step 2,  $A$  is equivalent to a ring with 1.

*Step 4.* Let us now suppose that  $A$  is an idempotent and left nondegenerate ring and that there is an equivalence  $F: A\text{-mod} \rightarrow R\text{-mod}$ ,  $R$  being a ring with 1. We are to show that  $M_n(A)$  is generated by an idempotent, for some  $n \geq 1$ .

By [4, Theorem 2.4], there exists a generator  ${}_R M$  of  $R\text{-mod}$  with the property that, if  $E = \text{End}({}_R M)$ , and  $E_0 = f \text{End}({}_R M)$ , then  $A$  is isomorphic to some right ideal  $T$  of  $E_0$  such that  $E_0 T = E_0$ .

We now point out that we can further assume that there is an epimorphism of left  $R$ -modules  $\pi: M \rightarrow R$ . Indeed, this is true for some  $M^k$ , and we put  $S := \text{End}({}_R M^k)$ ,  $S_0 := f \text{End}({}_R M^k)$ , so that there is an isomorphism  $S \cong M_k(E)$ . We assert that, in this isomorphism,  $S_0 \cong M_k(E_0)$ ; in fact, the inclusion  $S_0 \subseteq M_k(E_0)$  is obvious, and the inclusion  $M_k(E_0) \subseteq S_0$  depends on the easily verified fact that morphisms  $M^r \rightarrow M$  or  $M \rightarrow M^s$  factor through free modules of finite type whenever they are induced by endomorphisms of  ${}_R M$  belonging to  $E_0$ . By substituting  $M^k$ ,  $S$  and  $S_0$  for  $M$ ,  $E$  and  $E_0$ , we have that the matrix ring  $M_k(A)$  is still (isomorphic to) a right ideal of  $S_0$  in such a way that -assuming the obvious identification-  $S_0 \cdot M_k(A) = S_0$ . So, by replacing  $A$  by  $M_k(A)$  if necessary (note that  $M_k(A)$  is again idempotent and left nondegenerate), we may indeed assume that  $\pi: M \rightarrow R$  is an epimorphism.

Let  $x \in M$  be such that  $\pi(x) = 1$ . Since  $E_0 A = E_0$  and  $\sum_{\sigma \in E_0} \text{Im } \sigma = M$  we

deduce that  $\sum_{\sigma \in A} \text{Im } \sigma = M$ . Therefore there exists a homomorphism  $\alpha: M^n \rightarrow M$  such that  $x \in \text{Im } \alpha$ ; and each component  $\alpha_j := \mu_j \cdot \alpha$ , with  $\mu_j: M \rightarrow M^n$  being the canonical inclusion, satisfies  $\alpha_j \in A$ . So we have that  $\alpha \cdot \pi: M^n \rightarrow R$  is an epimorphism and hence there is  $g: R \rightarrow M^n$  with  $g\alpha\pi = 1_R$  and  $\alpha\pi g = e$  an idempotent in the ring  $\text{End}({}_R M^n) \cong M_n(E)$ . Moreover, each of the components of  $e$ , when considered as a matrix, consists of  $\mu_j \alpha \pi g p_k = \alpha_j (\pi g p_k) \in \alpha_j E \subseteq A$  (where the  $p_k$  are the canonical projections  $M^n \rightarrow M$ ). This means that  $e \in M_n(A)$ .

As before, we may put  $S := \text{End}({}_R M^n) \cong M_n(E)$ ,  $S_0 := f \text{End}({}_R M^n) \cong M_n(E_0)$  so that  $M_n(A)$  is an idempotent right ideal in  $S_0$  which satisfies  $S_0 M_n(A) = S_0$ . Thus,  $e$  is an idempotent element in  $M_n(A) \subseteq S_0$  and is an endomorphism of  $M^n$  such that  $\text{Im } e$  is a direct summand of  $M^n$  isomorphic to  $R$ . Consequently,  $\text{Im } e$  generates  $M^n$  and hence, if we let  $t$  range over all the elements in  $eS_0$ , we have  $\sum_t \text{Im } t = M^n$ . This shows that  $eS_0$  is a right ideal of  $S$  which satisfies  $M^n \cdot (eS_0) = M^n$ . If we apply now [5, Proposition 2.5], we see that this implies  $S_0 e S_0 = S_0$ .

Since  $A = A^2$ ,  $M_n(A) \cdot S_0 = M_n(A)$  and so we have:  $M_n(A) \cdot e \cdot M_n(A) = M_n(A) \cdot S_0 e \cdot S_0 = M_n(A) \cdot S_0 = M_n(A)$ . This proves that  $M_n(A)$  is generated by an idempotent element.

*Step 5.* Now we complete the proof of the Theorem. Let  $A$  be an idempotent ring (but not necessarily left nondegenerate), and assume that there is an equivalence of categories between  $A\text{-mod}$  and  $R\text{-mod}$  for  $R$  a ring with 1. Put  $\iota_A(A) = \{a \in A \mid Aa = 0\}$ , and  $A^* = A/\iota_A(A)$ . In a way analogous to that of Step 1, we may show that  $A$  and  $A^*$  are equivalent rings, so that we can deduce from step 4, that for some  $n \geq 1$ , the matrix ring  $M_n(A^*)$  is generated by an idempotent. Thus, all that is left to show is that this property can be lifted from  $M_n(A^*)$  to  $M_n(A)$ . But we have that  $M_n(A^*) = M_n(A/\iota_A(A)) \cong (M_n(A))/ (M_n(\iota_A(A)))$ , and this last quotient is nothing else than  $M_n(A)/\iota_{M_n(A)}(M_n(A))$ , that is,  $(M_n(A))^*$ . Therefore, it will suffice to prove that if a ring of the form  $A^* = A/\iota_A(A)$  is generated by an idempotent, then so is the ring  $A$ .

So, let us assume that  $A^* = A^* \cdot e \cdot A^*$  for some idempotent  $e$ . There is  $u \in A$  with  $u + \iota_A(A) = e$ , and then  $u^2 - u \in \iota_A(A)$ , from which we see that  $u^3 = u^2 = u^4$ . Therefore,  $w = u^2$  is an idempotent of  $A$  such that  $w + \iota_A(A) = e$ . Now, let  $a, b \in A$ ; by hypothesis,  $b + \iota_A(A) = \sum \alpha_j \cdot e \cdot \beta_j$  in the ring  $A^*$ , so that  $b - \sum \alpha_j \cdot w \cdot \beta_j \in \iota_A(A)$ , for some  $\alpha_j$  and  $\beta_j$  in  $A$ . Then  $ab = \sum \alpha_j a \beta_j$  and  $ab \in AwA$ . But since  $A$  is idempotent, we have finally that  $A = AwA$  and  $A$  is generated by an idempotent.

REMARKS. 1) It follows from the Theorem that an idempotent ring  $A$

which is equivalent to a ring with 1 must be finitely generated as a bimodule over  $A$ : the coordinates of the idempotent matrix  $e$  in the adequate  $M_n(A)$  give the family of generators. When  $A$  is left  $s$ -unital this gives as a consequence the already mentioned result of Komatsu [6, Proposition 4.7]. If  $A$  has local units, we get [2, Proposition 3.5].

2) However, the condition that  $A$  be finitely generated as a bimodule over itself is not sufficient for  $A$  to be equivalent to a ring with 1. To see this, take a ring  $A$  such that  $A=A^2$ ,  $A$  is finitely generated as an  $A$ - $A$ -bimodule, is nondegenerate and coincides with its Jacobson radical (Sasiada's example [10, p. 314] of a simple radical ring fulfills these requirements). It is not difficult to show that the Jacobson radical of such a ring is the intersection of all the subobjects of  $A$  in  $A$ -mod which give a simple quotient of  $A$  in  $A$ -mod, so that  $A$  has no simple quotients in  $A$ -mod. Suppose that the category  $A$ -mod were equivalent to  $R$ -mod for  $R$  a ring with 1. Then, if  ${}_R M$  corresponds to  $A$  in this equivalence, we would have that  ${}_R M$  is a generator of  $R$ -mod without simple quotients. But this is absurd, since  $R$  is isomorphic to a summand of some  $M^k$ .

3) It may happen that  $A$  be an idempotent ring such that  $A$ -mod is equivalent to a category  $R$ -mod for a ring  $R$  with 1 but, nevertheless,  $A$  is not generated by an idempotent. For instance, let  $R$  be a simple domain which is not a division ring and let  $I$  be a right ideal of  $R$  such that  $I \neq 0$ ,  $I \neq R$ . Then  $RI=R$ ,  $I=IR=I^2$  and  $I$  is a faithful right ideal of  $R$ , so that we can view  $I$  as a left nondegenerate and idempotent ring contained in  $R = f \text{End}({}_R R)$ . By [4, Theorem 2.4], we see that  $I$ -mod is equivalent to the category  $R$ -mod. But  $I$  contains no idempotent other than 0, so that  $I$  is not generated by an idempotent.

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Departamento de Matemáticas  
Universidad de Murcia  
30001 Murcia, Spain