

ON DEDEKIND SUMS AND ANALOGS

By

Don REDMOND, R. Sitaramachandra RAO and R. SIVARAMAKRISHANAN

Abstract The purpose of this paper is to point out a connection between an identity due to Subrahmanyam and the Peterson-Knopp identity for the classical Dedekind sum. We then consider the same connection with regard to the Apostol-Vu generalization of the Dedekind sum. We also consider some sums related to the classical Dedekind sum.

1. Introduction.

We define the function $((x))$ by

$$((x)) = \begin{cases} x - [x] - 1/2 & \text{if } x \text{ is not an integer} \\ 0 & \text{otherwise.} \end{cases}$$

Let h and k be positive integers. The Dedekind sum $S(h, k)$ is defined as

$$(1.1) \quad S(h, k) = \sum_{n \pmod{k}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{nh}{k} \right) \right).$$

In [9] H. Rademacher and E. Grosswald have given a survey of the properties of $S(h, k)$. P. Subrahmanyam in [10] has shown that

$$(1.2) \quad \sum_{b \pmod{n}} S(h+bk, nk) = \sum_{d|n} \mu(d) S(hd, k) \sigma(n/d),$$

where $\mu(n)$ is the Möbius function and $\sigma(n)$ is the sum of divisors function. In [5] M. I. Knopp proved the following identity for $S(a, h)$:

$$(1.3) \quad \sum_{\substack{a \equiv n \\ d > 0}} \sum_{b \pmod{d}} S(ah+bk, dk) \sigma(n) S(h, k).$$

This generalized an older identity of Petersson. Proofs of (1.3) have been given by Goldberg in [4] using the identity (1.2) and Parson in [7] using Hecke operators. In [8] Parson and Rosen have extended Knopp's identity to generalized Dedekind sums.

Suppose $A(x)$ and $B(x)$ are given functions which are defined on the rationals and satisfy a relation of the form

$$\sum_{b(\bmod q)} F(x+b/q) = q^{\nu(F)} F(qx),$$

for every positive integer q , every rational number x and some constant $\nu(F)$ depending only on F . In [1] Apostol and Vu define a class of functions

$$f(h, k) = \sum_{n(\bmod k)} A(n/k) B(hn/k),$$

which are called Dedekind sums of type $(\nu(A), \nu(B))$. It may be observed that the classical Dedekind sum $S(h, k)$, defined by (1.1), is of type $(0, 0)$ and is obtained by taking $A(x) = B(x) = ((x))$.

In [1] Apostol and Vu generalize (1.2) and (1.3). Let $f(h, k)$ be a Dedekind sum of type $(\nu(A), \nu(B))$. Let $\lambda = 1 - \nu(A) - \nu(B)$ and

$$\sigma_\lambda(n) = \sum_{d|n} d^\lambda.$$

They prove the following results. If k is a given integer, then

$$(1.5) \quad \sum_{b(\bmod n)} f(h+bk, nk) = n^{1-\lambda} \sum_{d|n} \mu(d) d^{-\nu(A)} f(hd, k) \sigma_\lambda(n/d)$$

and if n is a positive integer, then

$$(1.6) \quad \sum_{\substack{a \equiv n \\ d > 0}} d^{-\nu(B)} \sum_{b(\bmod d)} f(ah+bk, dk) = n^{\nu(A)} \sigma_\lambda(n) f(h, k).$$

The purpose of the paper is to point out the intrinsic connection between Subrahmanyam's identity and Knopp's identity as well as between Apostol and Vu's generalizations of these identities via a basic inversion principle. Incidentally, we derive a few analogues of Knopp's identity. In this connection, we also introduced two sums $T(h, k)$ and $S'(h, k)$ which are related to $S(h, k)$.

2. An Inversion Principle.

Let $f(m, n)$ and $g(m, n)$ be complex valued functions defined for all positive integers m and n . Define the two arithmetic functions $e_0(n)$ and $e(n)$ by $e_0(n) = [1/n]$ and $e(n) = 1$ for all positive integers n . Let $\varepsilon(n)$ and $\eta(n)$ be two arithmetic functions related by the identity

$$(2.1) \quad \sum_{d|n} \varepsilon(n/d) \eta(d) = e_0(n).$$

We say that ε and η are Dirichlet inverses of each other.

THEOREM 1. *With ε and η as above we have*

$$f(m, n) = \sum_{d|n} g(md, n/d) \varepsilon(d)$$

if and only if

$$g(m, n) = \sum_{d|n} f(md, n/d)\eta(d).$$

PROOF. We have

$$\begin{aligned} \sum_{d|n} \eta(d)f(md, n/d) &= \sum_{d|n} \eta(d) \sum_{ts=n/d} \varepsilon(t)g(mdt, s) \\ &= \sum_{dts=n} \eta(d)\varepsilon(t)g(mdt, s) \\ &= \sum_{s|n} g(mn/s, s) \sum_{dt=n/s} \eta(d)\varepsilon(t) \\ &= \sum_{s|n} g(mn/s, s)e_0(n/s) \\ &= g(m, n), \end{aligned}$$

by (2.1) and the definition of e_0 .

This proves that

$$\sum_{d|n} g(md, n/d)\varepsilon(d) = f(m, n) \quad \text{implies} \quad \sum_{d|n} f(md, n/d)\eta(d) = g(m, n)$$

The proof of the reverse implication is similar and is omitted. This completes the proof of Theorem 1.

COROLLARY 1.1. *We have*

$$f(m, n) = \sum_{d|n} g(md, n/d)$$

if and only if

$$g(m, n) = \sum_{d|n} \mu(d)f(md, n/d).$$

PROOF. The result follows immediately from Theorem 1 if we take $\varepsilon(n) = e(n) = 1$ and $\eta(u) = \mu(n)$, since it is known (see [6, Theorem 4.6]) that (2.1) is valid for this choice of ε and η .

THEOREM 2. *Suppose $f(m, n)$ and $g(m, n)$ are related by*

$$(2.2) \quad f(m, n) = \sum_{d|n} g(md, n/d).$$

If G and H are arithmetic functions which are related by

$$(2.3) \quad G(n) = \sum_{d|n} H(d),$$

then

$$\sum_{a|n} G(a)g(ah, d) = \sum_{d|n} H(d)f(hd, n/d).$$

PROOF. By Theorem 4.7 of [6], we have, from (2.3),

$$(2.4) \quad H(n) = \sum_{d|n} \mu(d)G(n/d).$$

By (2.2) and Corollary 1.1, we have

$$\begin{aligned} \sum_{a \bar{d}=n} G(a)g(ah, d) &= \sum_{a \bar{d}=n} G(a) \sum_{st=d} \mu(s)f(ahs, t) \\ &= \sum_{ast=n} G(a)\mu(s)f(ahs, t) \\ &= \sum_{t|n} f(ht, n/t) \sum_{as=n} G(a)\mu(s) \\ &= \sum_{t|n} H(t)f(ht, n/t), \end{aligned}$$

by (2.4). This completes the proof of Theorem 2.

THEOREM 3. *The two identities (1.2) and (1.3) are equivalent.*

PROOF. We have only to appeal to Corollary 1.1 with appropriate choices of $f(m, n)$ and $g(m, n)$. We take

$$f(m, n) = \sigma(n)S(m, n)$$

and

$$g(m, n) = \sum_{b \pmod{n}} S(m+bn, mn).$$

Then (1.2) is equivalent to

$$g(m, n) = \sum_{d|n} \mu(d)f(md, n/d),$$

which, by Corollary 1.1, is equivalent to

$$f(m, n) = \sum_{d|n} g(md, n/d).$$

This establishes the equivalence and completes the proof.

THEOREM 4. *Let G and H be arithmetic functions which are related by (2.3). Then*

$$(2.5) \quad \sum_{a \bar{d}=n} G(a) \sum_{b \pmod{d}} S(ah+bk, dk) = \sum_{d|n} H(d)S(hd, k)\sigma(n/d).$$

PROOF. Denote the left hand side of (2.5) by L . Then, by (1.2), we have

$$L = \sum_{a \bar{d}=n} G(a) \sum_{c|d} \mu(c)S(ahc, k)\sigma(d/c).$$

If we let $m=ac$, we have

$$\begin{aligned} L &= \sum_{\substack{m|n \\ m \bar{d}=cn}} \sum_{c|m} G(m/c)\mu(c)S(mh, k)\sigma(n/m) \\ &= \sum_{\substack{m|n \\ m \bar{d}=cn}} S(mh, k)\sigma(n/m) \sum_{c|m} G(m/c)\mu(c) \\ &= \sum_{m|n} H(m)S(mh, k)\sigma(n/m), \end{aligned}$$

by (2.4). This completes the proof of the theorem.

COROLLARY 4.1. *We have*

$$(1) \quad \sum_{\substack{a, d = n \\ (a, m) = 1}} \sum_{b \pmod{d}} S(ah + bk, dk) = \sum_{d | (m, n)} \mu(d) S(hd, k) \sigma(n/d),$$

for any positive integer m ,

$$(2) \quad \sum_{a^2 d = n} \sum_{b \pmod{d}} S(a^2 h + bk, dk) = \sum_{d | n} \lambda(d) S(hd, k) \sigma(n/d),$$

where λ is Liouville's function,

$$(3) \quad \sum_{\substack{a, d = n \\ d | m}} a \sum_{b \pmod{d}} S(ah + bk, dk) = \sum_{d | n} c(m, d) S(hd, k) \sigma(n/d),$$

where $c(m, n)$ is Ramanujan's trigonometric sum defined by

$$(2.6) \quad c(m, n) = \sum_{\substack{k \pmod{n} \\ (k, n) = 1}} \exp(2\pi i km/n),$$

$$(4) \quad \sum_{a, d = n} a \sum_{b \pmod{d}} S(ah + bk, dk) = \sum_{d | n} \varphi(d) S(hd, k) \sigma(n/d),$$

where φ denotes Euler's quotient function, and

$$(5) \quad \sum_{a, d = n} \log a \sum_{b \pmod{d}} S(ah + bk, dk) = \sum_{d | n} A(d) S(hd, k) \sigma(n/d),$$

where A is the von-Mangoldt function defined by

$$A(n) = \begin{cases} \log p & \text{if } n \text{ is a power of the prime } p \\ 0 & \text{otherwise.} \end{cases}$$

PROOF OF (1). If we take $G(n) = e_o((m, n))$, then, by (2.4), $H(n) = \mu(n)$. The result follows from Theorem 4.

PROOF OF (2). Recall that $\lambda(n)$ is defined by $\lambda(n) = (-1)^{\Omega(n)}$, where $\Omega(n)$ counts the total number of prime factors of n . Then, we have

$$\sum_{d | n} \lambda(d) = \begin{cases} 1 & \text{if } n \text{ is a perfect square} \\ 0 & \text{otherwise.} \end{cases}$$

(see [4, p. 111]). The result follows from Theorem 4 if we take $H(n) = \lambda(n)$ and

$$G(n) = \begin{cases} 1 & \text{if } n \text{ is a perfect square} \\ 0 & \text{otherwise.} \end{cases}$$

PROOF OF (3). From (2.6) we have

$$\sum_{d | n} c(m, d) = \begin{cases} n & \text{if } n | m \\ 0 & \text{otherwise.} \end{cases}$$

Thus, if we take $H(n)=c(m, n)$ and

$$G(n)=\begin{cases} n & \text{if } n|m \\ 0 & \text{otherwise,} \end{cases}$$

then the result follows from Theorem 4.

PROOF OF (4). Here we take $H(n)=\varphi(n)$ and $G(n)=n$. Then for this choice of G and H we see that (2.3) holds by Theorem 2.17 of [4]. The result then follows from Theorem 4.

PROOF OF (5). By the definition of $A(n)$ and unique factorization we see that

$$\sum_{d|n} A(d)=\log n.$$

Thus, if we take $H(n)=A(n)$ and $G(n)=\log n$ in Theorem 4, then the result follows from Theorem 4.

This completes the proof of the corollary.

Note that (1) of Corollary 4.1 is a generalization of the Petersson-Knopp identity (1.3), which is the case $m=1$.

THEOREM 5. *The two identities (1.5) and (1.6) are equivalent.*

PROOF. Let $f(h, k)$ be a Dedekind sum of type $(\nu(A), \nu(B))$. Let

$$F(h, n)=n^{\nu(A)}\sigma_{\lambda}(n)f(h, k)$$

and

$$G(h, n)=n^{-\nu(B)}\sum_{b(\bmod n)} f(h+bk, nk),$$

where $\lambda=1-\nu(A)-\nu(B)$.

The identity (1.5) then states that

$$\begin{aligned} \sum_{b(\bmod n)} f(h+bk, nk) &= n^{1-\lambda} \sum_{d|n} \mu(d) d^{-\nu(A)} f(hd, k) \sigma_{\lambda}(n/d) \\ &= n^{\nu(A)+\nu(B)} \sum_{d|n} \mu(d) d^{-\nu(A)} f(hd, k) \sigma_{\lambda}(n/d) \\ &= n^{\mu(B)} \sum_{d|n} \mu(d) (n/d)^{\nu(A)} f(hd, k) \sigma_{\lambda}(n/d) \\ &= n^{\nu(B)} \sum_{d|n} \mu(d) F(hd, n/d) \end{aligned}$$

or

$$(2.7) \quad G(h, n) = \sum_{d|n} \mu(d) F(hd, n/d).$$

By Theorem 2, (2.7) is equivalent to

$$F(h, n) = \sum_{d|n} G(hd, n/d)$$

or

$$n^{\nu(A)}\sigma_\lambda(n)f(h, k)=\sum_{d|n}d^{-\nu(B)}\sum_{b(\bmod d)}f(hd+bk, dk),$$

which is (1.6).

Thus (1.5) implies (1.6). The reverse implication is obtained by taking the above steps in reverse order. This completes the proof of the theorem.

THEOREM 6. *Let G and H be arithmetical functions related by*

$$G(n)=n^{\nu(B)}\sum_{d|n}H(d).$$

Then

$$\sum_{a|n}G(a)\sum_{b(\bmod a)}f(ah+bk, dk)=n^{1-\lambda}\sum_{d|n}H(d)d^{-\nu(A)}f(hd, k)\sigma_\lambda(n/d),$$

where f is a Dedekind sum of type $(\nu(A), \nu(B))$ and $\lambda=1-\nu(A)-\nu(B)$.

This result generalizes (1.6). The proof is similar to that of Theorem 4 and so we omit it.

3. Analogues of Knopp's Identity.

THEOREM 7. *If $(h, k)=1$, then*

$$\sum_{a|n}\sum_{b(\bmod a)}\mu((b, d))S(ah(b, d), k)=\varphi(n)S(h, k).$$

PROOF. We have

$$\begin{aligned} \sum_{a|n}\sum_{b(\bmod a)}\mu((b, d))S(ah(b, d), k) &= \sum_{a|n}\sum_{c|d}\mu(c)S(ahc, k)\varphi(d/c) \\ &= \sum_{\substack{m|n \\ m|n \\ m|n}}S(mh, k)\varphi(n/m)\sum_{c|m}\mu(c) \\ &= \varphi(n)S(h, k), \end{aligned}$$

by Theorem 4.6 of [4]. This completes the proof of Theorem 7.

THEOREM 8. *If f is an arithmetic function, then*

$$\begin{aligned} (3.1) \quad \sum_{a(\bmod n)}f((a, n))\sum_{b(\bmod n/(a, n))}S((a, n)h+bk, nk/(a, n)) \\ = \sum_{rs=n}S(rh, k)\sigma(s)\sum_{c|r}\mu(c)\varphi(cs)f(r/c). \end{aligned}$$

PROOF. If we denote by L the left hand side of (3.1), we have, by (1.2),

$$\begin{aligned}
 L &= \sum_{d|t=n} \varphi(t)f(d) \sum_{c|t} \mu(c)S(hdc, k)\sigma(t/c). \\
 &= \sum_{\substack{m|n \\ m d = n c}} \sum_{c|m} \mu(c)S(mh, k)\sigma(n/m)f(m/c)\varphi(cn/m) \\
 &= \sum_{rs=n} S(rh, k)\sigma(s) \sum_{c|r} \mu(c)f(r/c)\varphi(cs),
 \end{aligned}$$

which gives the right hand side of (3.1) and completes the proof of Theorem 8.

COROLLARY 8.1. *If n is square-free, then*

$$\begin{aligned}
 &\sum_{a \pmod n} \mu((a, n)) \sum_{b \pmod{n/(a, n)}} S((a, n)h + bk, nk/(a, n)) \\
 &= \sum_{rs=n} r\mu(r)S(rh, k)\sigma(s)\varphi(s).
 \end{aligned}$$

PROOF. Let $f(n)=\mu(n)$ in Theorem 8. Since n is square-free and $r|n$ we see that r is square-free. Thus

$$\mu(c)\mu(r/c)=\mu(r)$$

for all $c|r$. Thus, if $f(n)=\mu(n)$, then the right hand side of (3.1) is equal to

$$(3.2) \quad \sum_{rs=n} S(rh, k)\sigma(s) \sum_{c|r} \mu(c)\mu(r/c)\varphi(cs) = \sum_{rs=n} S(rh, k)\sigma(s)\mu(r) \sum_{c|r} \varphi(cs).$$

Again n square-free and $rs=n$ implies that $(r, s)=1$. Since $c|r$ in the inner sum we see that $(c, s)=1$ and since φ is a multiplicative function [4, Theorem 2.15], we see that the inner sum in (3.2) is equal to

$$\sum_{c|r} \varphi(cs) = \varphi(s) \sum_{c|r} \varphi(c) = r\varphi(s),$$

by Theorem 2.17 of [4]. If we combine these results we get the result of the corollary and complete the proof.

Before giving our next analogue of the Petersson-Knopp identity (1.3) we prove some lemmas.

LEMMA 9.1. *If x is any real number, we have*

$$\sum_{\substack{n \pmod k \\ (n, k)=1}} \left(\left(\frac{n}{k} + x \right) \right) = \mu(d)((kx/d)).$$

PROOF. We have

$$\begin{aligned}
 \sum_{\substack{n \pmod k \\ (n, k)=1}} \left(\left(\frac{n}{k} + x \right) \right) &= \sum_{n \pmod k} \left(\left(\frac{n}{k} + x \right) \right) \sum_{\substack{d|n \\ d|k}} \mu(d) \\
 &= \sum_{d|k} \mu(d) \sum_{\substack{n \pmod k \\ d|n}} \left(\left(\frac{n}{k} + x \right) \right)
 \end{aligned}$$

$$\begin{aligned}
 &= \sum_{d|k} \mu(d) \sum_{m(\bmod k/d)} \left(\left(\frac{m}{kd} + x \right) \right) \\
 &= \sum_{d|k} \mu(d) ((kx/d)),
 \end{aligned}$$

by Lemma 1 of [9]. This completes the proof.

LEMMA 9.2. *If x is any real number, then*

$$\sum_{m(\bmod k)} \left(\left(x + \frac{am}{k} \right) \right) = (a, k) \left(\left(\frac{kx}{(k, a)} \right) \right).$$

PROOF. Let $g=(a, k)$ and define a' and k' by $k'=k/g$ and $a'=a/g$. It follows from the definition of $((x))$ that it is periodic of period 1. Thus, for any integer n , we have $((x+n))=((x))$. Thus

$$\begin{aligned}
 \sum_{m(\bmod k)} \left(\left(x + \frac{am}{k} \right) \right) &= \sum_{m(\bmod gk')} \left(\left(x + \frac{a'm}{k'} \right) \right) \\
 &= \sum_{n=0}^{k'-1} \sum_{m=0}^{g-1} \left(\left(x + \frac{a'(k'm+n)}{k'} \right) \right) \\
 &= \sum_{n=0}^{k'-1} \sum_{m=0}^{g-1} \left(\left(x + a'm + \frac{a'n}{k'} \right) \right) \\
 &= g \sum_{n(\bmod k')} \left(\left(x + a'm + \frac{a'n}{k'} \right) \right) \\
 &= g \sum_{n(\bmod k')} \left(\left(x + \frac{a'n}{k'} \right) \right) \\
 &= g \sum_{m(\bmod k')} \left(\left(x + \frac{m}{k'} \right) \right) \\
 &= g((k'x)),
 \end{aligned}$$

by Lemma 1 of [9] and the fact that since $(a', k')=1$ as n runs through a complete residue system modulo k' so does $a'n$. This completes the proof.

LEMMA 9.3. *For any real number x and integers a and k we have*

$$\sum_{\substack{n(\bmod k) \\ (n, k)=1}} \left(\left(x + \frac{an}{k} \right) \right) = \sum_{d|k} \frac{\mu(d)}{d} (k, ad) \left(\left(\frac{kx}{(k, ad)} \right) \right).$$

PROOF. By Lemma 9.2, we have

$$\begin{aligned}
 \sum_{\substack{n(\bmod k) \\ (n, k)=1}} \left(\left(x + \frac{an}{k} \right) \right) &= \sum_{n(\bmod k)} \left(\left(x + \frac{an}{k} \right) \right) \sum_{d|n} \mu(d) \\
 &= \sum_{d|k} \mu(d) \sum_{n(\bmod k/d)} \left(\left(x + \frac{am}{k/d} \right) \right)
 \end{aligned}$$

$$\begin{aligned}
 &= \sum_{d|k} \mu(d)(a, k/d) \left(\left(\frac{kx/d}{(a, k/d)} \right) \right) \\
 &= \sum_{d|k} \frac{\mu(d)}{d} (k, ad) \left(\left(\frac{kx}{(k, ad)} \right) \right),
 \end{aligned}$$

which proves the result.

THEOREM 9. *We have*

$$\sum_{\substack{b \pmod{n} \\ (b, n)=1}} S(h+bk, nk) = \sum_{d|n} \frac{\mu(d)}{d} \sum_{rs|n} \mu(s)rS(hs, k(rs, d)),$$

PROOF. By Lemma 9.3, we have

$$\begin{aligned}
 \sum_{\substack{b \pmod{n} \\ (b, n)=1}} S(h+bk, nk) &= \sum_{\substack{b \pmod{n} \\ (b, n)=1}} \sum_{m \pmod{nk}} \left(\left(\frac{m}{nk} \right) \right) \left(\left(\frac{(h+bk)m}{nk} \right) \right) \\
 &= \sum_{m \pmod{nk}} \left(\left(\frac{m}{nk} \right) \right) \sum_{\substack{b \pmod{n} \\ (b, n)=1}} \left(\left(\frac{mh}{nk} + \frac{bm}{n} \right) \right) \\
 &= \sum_{m \pmod{nk}} \left(\left(\frac{m}{nk} \right) \right) \sum_{d|n} \frac{\mu(d)}{d} (n, md) \left(\left(\frac{mh}{k(n, md)} \right) \right) \\
 &= \sum_{d|n} \frac{\mu(d)}{d} \sum_{m \pmod{nk}} \left(\left(\frac{m}{nk} \right) \right) (n, md) \left(\left(\frac{mh}{k(n, md)} \right) \right) \\
 &= \sum_{d|n} \frac{\mu(d)}{d} \sum_{r|n} r \sum_{\substack{m \pmod{nk} \\ (n, md)=r}} \left(\left(\frac{m}{nk} \right) \right) \left(\left(\frac{mh}{kr} \right) \right) \\
 &= \sum_{d|n} \frac{\mu(d)}{d} \sum_{rst=n} \mu(s)rS(hst, k(rs, d)t) \\
 &= \sum_{d|n} \frac{\mu(d)}{d} \sum_{rs|n} \mu(s)rS(hs, k(rs, d)),
 \end{aligned}$$

since by (5) of [1], we have

$$(3.3) \quad S(qh, qk) = S(h, k)$$

for any positive integer q , since the classical Dedekind sum is a Dedekind sum of type of $(0, 0)$. This completes the proof.

4. Carlitz's sum $b_r(h, k)$.

Let $B_r(x)$ denote the r th Bernoulli polynomial and let $\bar{B}_r(x) = B_r(x - [x])$. In [2] Carlitz defined, for $(h, k) = 1$,

$$(4.1) \quad C_r(h, k) = \sum_{n \pmod{k}} \bar{B}_{p+1-r}(n/k) \bar{B}_r(hn/k).$$

In [1] it is stated that $C_r(h, k)$ is a Dedekind sum of type $(r-p, 1-r)$. Thus, by Theorem 1 of [1], we have

$$(4.2) \quad \sum_{b \pmod{d}} C_r(h+bk, dk) = d^{1-p} \sum_{t|d} \mu(t) t^{p-r} C_r(ht, k) \sigma_p(d/t).$$

Further, in [2], Carlitz defines the sums

$$(4.3) \quad b_r(h, k) = \sum_{s=0}^r (-1)^{r-s} \binom{r}{s} h^{r-s} C_s(h, k).$$

In [2] Carlitz proves (4.2) in the case when d is a prime q and then remarks that it does not seem possible a similar result for the sums in (4.3). We now state such a result, which is an analog of Subrahmanyam's identity.

THEOREM 10. *We have*

$$\sum_{m \pmod{n}} b_r(h+mk, nk) = n^{1-p} \sum_{d|n} d^{p-r} \mu(d) \sigma_p(u/d) b_r(hd, k).$$

PROOF. This follows immediately from (4.2) and (4.3) and so we omit the details.

We can also give an analog of the Petersson-Knopp identity.

THEOREM 11. *We have*

$$\sum_{\substack{d|n \\ d>0}} d^{r-1} \sum_{m \pmod{d}} b_r(ah+mk, dk) = n^{r-p} \sigma_p(n) b_r(n, k).$$

PROOF. If in Corollary 1.1 we take

$$f(m, n) = n^{r-p} \sigma_p(n) b_r(m, n) \quad \text{and} \quad g(m, n) = n^{r-1} \sum_{b \pmod{n}} b_r(m+bk, nk),$$

then the result follows immediately.

5. The Sum $T(h, k)$.

For $x \geq 0$ we define the fractional part of x by

$$\{x\} = x - [x].$$

It is known [3] that

$$\sum_{b \pmod{d}} \left\{ x + \frac{b}{d} \right\} = \frac{1}{2}(d-1) + \{qx\}.$$

Thus, if $q > 1$, then

$$\sum_{b \pmod{d}} \left\{ x + \frac{n}{d} \right\} \neq \{qx\}$$

for all x . Therefore, the sum defined for relatively prime integers h and k by

$$(5.1) \quad T(h, k) = \sum_{n \pmod{k}} \left\{ \frac{n}{k} \right\} \left\{ \frac{nh}{k} \right\}$$

is not a sum of Dedekind type. However, $T(h, k)$ and $S(h, k)$ are closely related

to one another.

THEOREM 12. *We have*

$$(5.2) \quad T(h, k) = S(h, k) + k/4.$$

PROOF. From [9] we have

$$\sum_{n \pmod k} \left(\left(\frac{n}{k} \right) \right) = 0$$

and if $(h, k) = 1$, then

$$\sum_{n \pmod k} \left(\left(\frac{nh}{k} \right) \right) = 0.$$

Thus

$$\begin{aligned} T(h, k) &= \sum_{n \pmod k} \left(\left(\left(\frac{n}{k} \right) + \frac{1}{2} \right) \left(\left(\frac{nh}{k} \right) + \frac{1}{2} \right) \right) \\ &= \sum_{n \pmod k} \left(\left(\frac{n}{k} \right) \left(\frac{nh}{k} \right) \right) + \frac{1}{2} \sum_{n \pmod k} \left(\left(\frac{n}{k} \right) \right) \\ &\quad + \frac{1}{2} \sum_{n \pmod k} \left(\left(\frac{n}{k} \right) \right) + \frac{k}{4} \\ &= \sum_{n \pmod k} \left(\left(\frac{n}{k} \right) \left(\frac{nh}{k} \right) \right) + \frac{k}{4}, \end{aligned}$$

which completes the proof.

THEOREM 13. *If $(h, k) = 1$, then*

$$(5.3) \quad T(h, k) + T(k, h) = \frac{1}{4}(h+k-1) + \frac{1}{12} \left(\frac{h}{k} + \frac{k}{h} + \frac{1}{hk} \right).$$

PROOF. The reciprocity law for $S(h, k)$ is given by

$$(5.4) \quad S(h, k) + S(k, h) = -\frac{1}{4} (1/2) \left(h/k + k/h + \frac{1}{hk} \right)$$

(see [9]). Thus

$$T(h, k) + T(k, h) = S(h, k) + S(k, h) + (h+k)/4$$

and the result follows from (5.4) and completes the proof.

6. The Sum $S'(n, k)$.

We define the sum

$$(6.1) \quad S'(h, k) = \sum_{\substack{n \pmod k \\ (n, k) = 1}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{nh}{k} \right) \right).$$

Our first result is a relationship between the two sums $S(h, k)$ and $S'(h, k)$,

which, unfortunately, is not symmetric in h and k .

THEOREM 14. *We have*

$$S'(h, k) = \sum_{d|k} \mu(d) S(h, k/d).$$

PROOF. We have

$$\begin{aligned} S'(h, k) &= \sum_{n \pmod k} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{nh}{k} \right) \right) \sum_{d|n} \mu(d) \\ &= \sum_{d|k} \mu(d) \sum_{\substack{n \pmod k \\ d|n}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{nh}{k} \right) \right) \\ &= \sum_{d|k} \mu(d) \sum_{n \pmod{k/d}} \left(\left(\frac{n}{k/d} \right) \right) \left(\left(\frac{hn}{k/d} \right) \right) \\ &= \sum_{d|k} \mu(d) S(h, k/d), \end{aligned}$$

which proves the result.

The next theorem gives three results that are the exact analogues of the corresponding results for the classical Dedekind sum.

THEOREM 15. (1) *If $h' \equiv \pm h \pmod k$, then $S'(h', k) = \pm S'(h, k)$.*

(2) *If $h\bar{h} \equiv \pm 1 \pmod k$, then $S'(\bar{h}, k) = \pm S'(h, k)$.*

(3) *If $h^2 + 1 \equiv 0 \pmod k$, then $S'(h, k) = 0$.*

PROOF OF (1). By Theorem 4.1 of [6] we see that $((x))$ satisfies $((\pm x)) = \pm((x))$ and recall that $((x))$ is periodic of period 1 so that for all integers m, n and q we have

$$\left(\left(\frac{m+qn}{n} \right) \right) = \left(\left(\frac{m}{n} \right) \right).$$

Thus

$$\begin{aligned} S'(h', k) &= \sum_{\substack{n \pmod k \\ (n, k) = 1}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{h'n}{k} \right) \right) \\ &= \sum_{\substack{n \pmod k \\ (n, k) = 1}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\pm \frac{hn}{k} \right) \right) \\ &= \pm \sum_{\substack{n \pmod k \\ (n, k) = 1}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{hn}{k} \right) \right) \\ &= \pm S'(h, k). \end{aligned}$$

PROOF OF (2). By part (1), we need only prove the case $h\bar{h} \equiv 1 \pmod k$ since $h\bar{h} \equiv -1 \pmod k$ implies $h(-\bar{h}) \equiv 1 \pmod k$. Also $h\bar{h} \equiv 1 \pmod k$ implies that $(h, k) = 1$. Thus hn covers a reduced residue system modulo k if n does.

Thus, by part (1),

$$\begin{aligned} S'(\bar{h}, k) &= \sum_{\substack{n \pmod k \\ (n, k)=1}} \left(\left(\frac{n}{k} \right) \right) \left(\left(\frac{\bar{h}n}{k} \right) \right) \\ &= \sum_{\substack{n \pmod k \\ (n, k)=1}} \left(\left(\frac{hn}{k} \right) \right) \left(\left(\frac{\bar{h}hn}{k} \right) \right) \\ &= \sum_{\substack{n \pmod k \\ (n, k)=1}} \left(\left(\frac{nh}{k} \right) \right) \left(\left(\frac{n}{k} \right) \right) \\ &= S'(h, k). \end{aligned}$$

PROOF OF (3). If $h^2+1 \equiv 0 \pmod k$, then $hh \equiv -1 \pmod k$. Thus, by part (2), $S'(h, k) = -S'(h, k)$ or $S'(h, k) = 0$.

This completes the proof.

THEOREM 16. If $\omega(k)$ counts the number of distinct prime factors of k and $\gamma(k)$ equals their product, then

$$S'(1, k) = \frac{\varphi(k)}{12} + \frac{(-1)^{\omega(k)}}{6k^2} \varphi(k)\gamma(k).$$

PROOF. We have

$$\begin{aligned} (6.2) \quad S'(1, k) &= \sum_{\substack{n=1 \\ (n, k)=1}}^k \left(\left(\frac{n}{k} \right) \right)^2 \\ &= \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \left(\frac{n}{k} - \frac{1}{2} \right)^2 \\ &= \frac{1}{k^2} \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} n^2 - \frac{1}{k} \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} n + \frac{1}{4} \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} 1 \\ &= \frac{1}{k^2} S_2(k) - \frac{1}{k} S_1(k) + \frac{1}{4} S_0(k), \end{aligned}$$

say. By definition we see that $S_0(k) = \varphi(k)$. The values of $S_1(k)$ and $S_2(k)$ are reasonably well-known (see [6, pp. 51 and 114]). For future reference we give them explicitly:

$$(6.3) \quad S_1(k) = \frac{k\varphi(k)}{2}$$

and

$$(6.4) \quad S_2(k) = \frac{k^2\varphi(k)}{3} + (-1)^{\omega(k)} \frac{\varphi(k)\gamma(k)}{6}.$$

If we combine (6.2), (6.3) and (6.4) we obtain the result of the theorem and complete the proof.

THEOREM 17. If $\zeta = \exp(2\pi i/k)$, then

$$S'(h, k) = \frac{1}{4k^2} \sum_{m=1}^{k-1} \frac{1+\zeta^m}{1-\zeta^m} \sum_{n=1}^{k-1} \frac{1+\zeta^n}{1-\zeta^n} c(hm+n, k),$$

where $c(m, n)$ is Ramanujan's sum (2.6).

PROOF. On p. 114 of [9] the following identity is given

$$(6.5) \quad \left(\left(\frac{n}{k}\right)\right) = \frac{1}{k} \sum_{m=1}^{k-1} \left(\frac{\zeta^m}{1-\zeta^m} + \frac{1}{2}\right) \zeta^{mn} \\ = \frac{1}{2k} \sum_{m=1}^{k-1} \frac{1+\zeta^m}{1-\zeta^m} \zeta^{mn}.$$

Thus, by (6.1) and (6.5), we have

$$S'(h, k) = \sum_{\substack{n \pmod{k} \\ (n, k)=1}} \frac{1}{2k} \sum_{r=1}^{k-1} \frac{1+\zeta^r}{1-\zeta^r} \frac{1}{2k} \sum_{s=1}^{k-1} \frac{1+\zeta^s}{1-\zeta^s} \zeta^{nr+hsn} \\ = \frac{1}{4k^2} \sum_{r=1}^{k-1} \frac{1+\zeta^r}{1-\zeta^r} \sum_{s=1}^{k-1} \frac{1+\zeta^s}{1-\zeta^s} \sum_{\substack{n \pmod{k} \\ (n, k)=1}} \zeta^{n(r+hs)} \\ = \frac{1}{4k^2} \sum_{r=1}^{k-1} \frac{1+\zeta^r}{1-\zeta^r} \sum_{s=1}^{k-1} \frac{1+\zeta^s}{1-\zeta^s} c(r+hs, k),$$

which proves the result.

COROLLARY 17.1. *We have*

$$S'(h, k) = \frac{1}{4k^2} \sum_{r=1}^{k-1} \sum_{s=1}^{k-1} \cot\left(\frac{\pi r}{k}\right) \cot\left(\frac{\pi s}{k}\right) c(r+hs, k).$$

PROOF. This follows immediately from Theorem 17 since

$$\cot\left(\frac{\pi r}{k}\right) = \frac{\zeta^r+1}{\zeta^r-1} \quad \text{and} \quad \cot\left(\frac{\pi s}{k}\right) = \frac{\zeta^s+1}{\zeta^s-1}.$$

The original aim in deriving the identities of Theorems 16 and 17 was to follow along the lines of various proofs of the reciprocity theorem for $S(h, k)$, (5.4), to prove a reciprocity theorem for $S'(h, k)$. Unfortunately, we have not succeeded in this goal. As seems to be indicated by the above results on $S'(h, k)$, as well as those that follow, the results for $S'(h, k)$ correspond closely to those for $S(h, k)$. Thus, a reciprocity theorem like (5.4) does not seem totally out of the question.

As another indication of how closely related $S(h, k)$ and $S'(h, k)$ we give the following congruence satisfied by the sum $S'(h, k)$.

THEOREM 18. *If $k \geq 3$ and $(h, k)=1$, then*

$$(6.6) \quad 6kS'(h, k) \equiv 2hk\varphi(k) + (-1)^{\omega(k)} h\varphi(\gamma(k)) - 3k\varphi(k)/2 \pmod{6}.$$

PROOF. We have

$$\begin{aligned} S'(h, k) &= \sum_{\substack{n \pmod k \\ (n, k)=1}} \left(\left(\frac{n}{k}\right)\right) \left(\left(\frac{nh}{k}\right)\right) \\ &= \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \left(\left(\frac{n}{k}\right)\right) \left(\left(\frac{nh}{k}\right)\right) \\ &= \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \left(\left(\frac{n}{k} - \frac{1}{2}\right)\right) \left(\left(\frac{nh}{k}\right)\right) \\ &= \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \frac{n}{k} \left(\left(\frac{nh}{k}\right)\right) - \frac{1}{2} \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \left(\left(\frac{nh}{k}\right)\right). \end{aligned}$$

Since $((x))$ is periodic of period 1 and also an odd function and since $(n, k)=1$ if and only if $(k-n, k)=1$ we see that

$$\sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \left(\left(\frac{nh}{k}\right)\right) = 0.$$

Thus

$$\begin{aligned} S'(h, k) &= \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \frac{n}{k} \left(\frac{hn}{k} - \left(\frac{hn}{k}\right) - \frac{1}{2}\right) \\ &= h \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \frac{n^2}{k^2} - \frac{1}{2} \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \frac{n}{k} \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} \frac{n}{k} \left(\frac{hn}{k}\right), \end{aligned}$$

and so, using the notation above,

$$6k^2 S'(h, k) = 6hS_2(k) - 3kS_1(k) - 6k \sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} n \left(\frac{nh}{k}\right).$$

Since

$$\sum_{\substack{n=1 \\ (n, k)=1}}^{k-1} n \left(\frac{hk}{k}\right)$$

is an integer we see that

$$6k^2 S'(h, k) \equiv 6hS_2(k) - 3kS_1(k) \pmod{6k}.$$

Thus, by (6.3) and (6.4), we have

$$(6.7) \quad 6k^2 S'(h, k) \equiv 2hk^2\varphi(k) + (-1)^{\omega(k)} h\varphi(k)\gamma(k) - 3k^2\varphi(k)/2 \pmod{6k}.$$

Note that

$$(6.8) \quad \varphi(k)\gamma(k) = k \prod_{p|k} \left(1 - \frac{1}{p}\right) \prod_{p|k} p = k \prod_{p|k} (p-1) = k\varphi(\gamma(k)).$$

Thus, by (6.7) and (6.8), we have

$$6k^2 S'(h, k) \equiv 2hk^2\varphi(k) + (-1)^{\omega(k)} kh\varphi(\gamma(k)) - 3k^2\varphi(k)/2 \pmod{6k},$$

or, dividing by k ,

$$6k S'(h, k) \equiv 2hk\varphi(k) + (-1)^{\omega(k)} h\varphi(\gamma(k)) - 3k\varphi(k)/2 \pmod{6},$$

which proves our result.

COROLLARY 18.1. *If $k \geq 3$ and $(h, k) = 1$, then*

- 1) $6kS'(h, k)$ is an integer, and
- 2) if $3|h$, then $6kS'(h, k) \equiv 0 \pmod{3}$, and so $2kS'(h, k)$ is an integer.

PROOF. 1) Since $k \geq 3$ implies that $\varphi(k)$ is an even integer we see that the right hand side of (6.6) is an integer and then so is the left hand side, which is $6kS'(h, k)$.

2) If $3|h$, then 3 divides the right hand side of (6.6) and so 3 divides the left hand side of (6.6). Thus, since the left hand side of (6.6) is 3 times some integer we see that we must have that $2kS'(h, k)$ is an integer.

This completes the proof of the corollary.

As a final indication of the close correspondence between the two sums $S(h, k)$ and $S'(h, k)$ we give the S' -analogues for the identities (1.2) and (1.3).

We begin with the analogue of Subrahmanyam's identity. First we prove a lemma about the classical Dedekind sum.

LEMMA 19.1. *If l is a positive integer, then*

$$\sum_{b \pmod{d}} S(h + blk, dk) = \sum_{rs \equiv 1 \pmod{d}} r \mu(s) S(hs, k(l, sr)),$$

PROOF. We have, by Lemma 9.2,

$$\begin{aligned} \sum_{b \pmod{d}} S(h + blk, dk) &= \sum_{b \pmod{d}} \sum_{n \pmod{dk}} \left(\left(\frac{n}{dk} \right) \right) \left(\left(\frac{h + blk}{dk} n \right) \right) \\ &= \sum_{n \pmod{dk}} \left(\left(\frac{n}{dk} \right) \right) \sum_{b \pmod{d}} \left(\left(\frac{hn}{dk} + \frac{nlb}{d} \right) \right) \\ &= \sum_{n \pmod{dk}} \left(\left(\frac{n}{dk} \right) \right) (d, nl) \left(\left(\frac{d}{(d, nl)} \cdot \frac{nh}{dk} \right) \right) \\ &= \sum_{r \mid d} r \sum_{\substack{n \pmod{dk} \\ (d, nl) = r}} \left(\left(\frac{n}{dk} \right) \right) \left(\left(\frac{nh}{zk} \right) \right) \\ &= \sum_{r \mid d} \sum_{n \pmod{dk}} \left(\left(\frac{n}{dk} \right) \right) \left(\left(\frac{nh}{rk} \right) \right) \sum_{\substack{rst = d \\ sr \equiv 1 \pmod{l}}} \mu(s) \\ &= \sum_{rst = d} r \mu(s) \sum_{\substack{n \pmod{dk} \\ sr \equiv 1 \pmod{l}, sr \mid n}} \left(\left(\frac{n}{dk} \right) \right) \left(\left(\frac{nh}{rk} \right) \right) \\ &= \sum_{rst = d} r \mu(s) \sum_{vrs \equiv 1 \pmod{(l, rs)} \pmod{rstk}} \left(\left(\frac{v}{(l, sr)tk} \right) \right) \left(\left(\frac{ush}{(l, rs)k} \right) \right) \\ &= \sum_{rst = d} r \mu(s) \sum_{v \pmod{tk(l, rs)}} \left(\left(\frac{v}{tk(l, sr)} \right) \right) \left(\left(\frac{sthv}{tk(l, sr)} \right) \right) \end{aligned}$$

$$\begin{aligned}
 &= \sum_{rst=d} r\mu(s)S(hts, tk(l, sr)) \\
 &= \sum_{rs|d} r\mu(s)S(hs, k(l, sr)),
 \end{aligned}$$

by (3.3). This completes the proof.

THEOREM 19. *If d is square-free and $(d, k)=1$, then*

$$\sum_{b(\bmod d)} S'(h+bk, dk) = \sum_{t|dk} \mu(t) \sum_{s|d} \mu(s) S(hst, k) \sigma(d/s),$$

PROOF. We have, by Theorem 14 and (3.3),

$$\begin{aligned}
 \sum_{b(\bmod d)} S'(h+bk, dk) &= \sum_{b(\bmod d)} \sum_{m_1 dk} \mu(m) S(h+bk, dk/m) \\
 &= \sum_{m_1 dk} \mu(m) \sum_{b(\bmod d)} S(hm+bmk, dk) \\
 &= \sum_{m_1 dk} \mu(m) \sum_{sr|d} r\mu(s) S(hms, k(m, sr)),
 \end{aligned}$$

by Lemma 19.1. If $m|dk$, we see that since $(d, k)=1$ we can write $m=m_1m_2$, where $m_1|d$ and $m_2|k$. Then $(m, sr)=(m_1m_2, sr)=(m_1, sr)$ since $sr|d$ and $(m_2, d)=1$. Since d is square free we have $(m_1, sr)=1$. Thus

$$\begin{aligned}
 \sum_{b(\bmod d)} S'(h+bk, dk) &= \sum_{m_1m_2 dk} \mu(m_1m_2) \sum_{sr|d} r\mu(s) S(hsm_1m_2, k) \\
 &= \sum_{m_1 dk} \mu(m) \sum_{s|d} \mu(s) S(hs, mk) \sigma(d/s),
 \end{aligned}$$

which completes the proof.

We now give the S' -analogue of the Petersson-Knopp identity (1.3).

THEOREM 20. *If n is square-free and $(n, k)=1$, then*

$$\sum_{\substack{a \frac{d}{d} = n \\ d > 0}} \sum_{b(\bmod d)} S'(ah+bk, dk) = \sigma(n) S'(nh, nk).$$

PROOF. We have, by Theorem 19,

$$\sum_{\substack{a \frac{d}{d} = n \\ d > 0}} \sum_{b(\bmod d)} S'(ah+bk, dk) = \sum_{\substack{a \frac{d}{d} = n \\ d > 0}} \sum_{t|dk} \mu(t) \sum_{s|d} \mu(s) S(ahst, k) \sigma(d/s).$$

If we let $m=as$, then we have

$$\begin{aligned}
 (6.9) \quad \sum_{\substack{a \frac{d}{d} = n \\ d > 0}} \sum_{b(\bmod d)} S'(ah+bk, dk) &= \sum_{\substack{m|n \\ m \frac{d}{d} = n s}} \sum_{t|dk} \mu(t) \sum_{s|m} \mu(s) S(mht, k) \sigma(n/m) \\
 &= \sum_{\substack{m|n \\ m \frac{d}{d} = n s \\ m > 0}} \sum_{s|dk} \mu(t) S(mht, k) \sigma(n/m) \sum_{s|m} \mu(s) \\
 &= \sum_{t|nk} \mu(t) S(ht, k) \sigma(n),
 \end{aligned}$$

by Theorem 4.6 of [6].

By (3.3) we have

$$(6.10) \quad \sum_{t|nk} \mu(t)S(ht, k) = \sum_{t|nk} \mu(t)S(nh, nk/t) \\ = S'(nh, nk),$$

by Theorem 14. If we combine (6.9) and (6.10) we obtain the result and complete the proof of the theorem.

References

- [1] Apostol, T.M, and Vu, T.H., Identities for sums of Dedekind type, *J. Number Theory* **14** (1982), 319-396.
- [2] Carlitz, C., Some theorems on generalized Dedekind sums, *Pac. J. Math.* **3** (1953), 513-522.
- [3] Gandhi, J.M. and Williams, K.S., On certain sums of fractional parts, *Arch. Math.* **25** (1974), 41-44.
- [4] Goldberg, L.A., An elementary proof of Knopp's theorem on Dedekind sums, *J. Number Theory* **12** (1980), 541-542.
- [5] Knopp, M.I., Hecke operators and an identity for Dedekind sums, *J. Number Theory* **12** (1980), 2-9.
- [6] Niven, I. and Zuckerman, H.S., *An Introduction to the Theory of Numbers*, 4th ed., Wiley, New York, 1980.
- [7] Parson, L.A., Dedekind sums and Hecke operators, *Math. Proc. Cambridge Phil. Soc.* **88** (1980), 11-14.
- [8] Parson, L.A. and Rosen, K., Hecke operators and Lambert series, *Math. Scand.* **49** (1981), 5-14.
- [9] Rademacher, H. and Grosswald, E., *Dedekind Sums*, Math. Assn. America, 1971.
- [10] Subrahmanyam, P., On sums involving the integer part of x , *Math. Student* **45** (1977), 8-12.

Don Redmond	R. Sitaramachandra Rao
Southern Ill. Univ.	Univ. of Toledo
Carbondale, IL 62901	Toledo, OH 43606
USA	USA

R. Sivaramakrishnan
 Univ. of Calicut
 Calicut 673635
 INDIA