

ON COMPLEX TORI WITH MANY ENDOMORPHISMS

By

Atsushi SHIMIZU

The endomorphism ring of a complex torus T of dimension n is a free module of rank $\leq 2n^2$ as a \mathbb{Z} -module. When T is an abelian variety it is well-known that if the rank is equal to $2n^2$, T is isogenous to the direct sum of n copies of an elliptic curve with complex multiplication. We will prove a similar result in a more general form, that is, let T and T' be two complex tori of dimension n and n' respectively, and if the \mathbb{Z} -module of all homomorphisms of T into T' is of rank $2nn'$, then T and T' are isogenous to the direct sums of n and n' copies of an elliptic curve (Theorem 1-3). Next let T be a complex torus of dimension 2 and put $\text{End}^Q(T) = \text{End}(T) \otimes_{\mathbb{Z}} Q$. Then using the types of $\text{End}^Q(T)$ we will classify all T 's with a non-trivial endomorphism ring. The result is given in the last part of §4. A complex torus T of dimension 2 which is not simple is an abelian variety, if and only if T is isogenous to the direct sum of two elliptic curves. On the other hand a simple torus T of dimension 2 such that $\text{End}(T)$ is not isomorphic to \mathbb{Z} is an abelian variety if and only if $\text{End}^Q(T)$ contains some real quadratic field over Q . This is proved in §5.

NOTATIONS. We denote by \mathbb{Z} , Q , R and C , respectively, the ring of rational integers, the field of rational numbers, real numbers and complex numbers. For a ring R , $M(n \times m, R)$ denotes the R -module composed of all matrices with n rows and m columns with coefficients in R . When $n=m$, it is the R -algebra of all square matrices of size n . We simply denote it by $M(n, R)$. The group of all invertible elements of $M(n, R)$ is denoted by $GL(n, R)$.

Let T and T' be two complex tori. We denote by $\text{Hom}(T, T')$ the set of all homomorphisms of T into T' and put $\text{End}(T) = \text{Hom}(T, T)$. We put $\text{Hom}^Q(T, T') = \text{Hom}(T, T') \otimes Q$ and $\text{End}^Q(T) = \text{End}(T) \otimes Q$. $\text{End}^Q(T)$ is naturally considered as an algebra over Q . T and T' are called isogenous and denoted by $T \sim T'$ if they are of the same dimension and there exists a homomorphism λ of the one onto the other; such a λ is called an isogeny. “ \sim ” is an equivalence relation. If T_1 and T'_1 are complex tori which are isogenous T and T' respectively, then $\text{Hom}^Q(T_1, T'_1)$ is isomorphic to $\text{Hom}^Q(T, T')$ and $\text{End}^Q(T_1)$ is

isomorphic to $\text{End}^0(T)$ as a \mathbb{Q} -algebra.

Let G be a lattice subgroup of \mathbb{C}^n and (g_1, \dots, g_{2n}) its base. Then the matrix $G = (g_1, \dots, g_{2n}) \in M(n \times 2n, \mathbb{C})$ is called the period matrix of the complex torus \mathbb{C}^n/G . We shall often denote by \mathbb{C}^n/G the complex torus \mathbb{C}^n/G .

§1. Complex tori with endomorphism rings of the maximal rank.

Let T and T' be two complex tori of dimension n and n' respectively.

THEOREM 1-1. *$\text{Hom}(T, T')$ is a free abelian group whose rank is at most $2nn'$.*

PROOF. We put $T = E/G$ and $T' = E'/G'$, where E, E' are complex linear spaces and G, G' are respectively their lattice subgroups. Take a \mathbb{C} -base (g_1, \dots, g_n) of E which is also a part of a \mathbb{Z} -base of G and let H_1 the subgroup of G generated by g_1, \dots, g_n . If λ is an element of $\text{Hom}(T, T')$, λ naturally induces a linear map L_λ of E to E' . Then making correspond to λ the homomorphism of H_1 into G' which maps (g_1, \dots, g_n) to $(L_\lambda(g_1), \dots, L_\lambda(g_n))$, we get an injective homomorphism of $\text{Hom}(T, T')$ into $\text{Hom}(H_1, G')$. Since $\text{Hom}(H_1, G')$ is a free abelian group of rank $2nn'$, $\text{Hom}(T, T')$ which is isomorphic to a subgroup of $\text{Hom}(H_1, G')$ is a free abelian group whose rank is at most $2nn'$. (q. e. d.)

Let T and T' be the direct sums of r and r' complex tori T_1, \dots, T_r and $T'_1, \dots, T'_{r'}$, respectively. Then, $\text{Hom}(T, T')$ is isomorphic to the direct sum of all $\text{Hom}(T_i, T'_{i'})$'s ($i=1, 2, \dots, r$ and $i'=1, 2, \dots, r'$). If $T=T'$, they are isomorphic as rings, where for two elements $(\lambda_{ii'}), (\mu_{ii'})$ of $\bigoplus_{i, i'} \text{Hom}(T_i, T_{i'})$ ($\lambda_{ii'}$ and $\mu_{ii'}$ are elements of $\text{Hom}(T_i, T_{i'})$), we define the product of them by $(\sum_{j=1}^r \lambda_{ji'} \circ \mu_{ij}) \in \bigoplus_{i, i'} \text{Hom}(T_i, T_{i'})$. Especially when $T_1 = T_2 = \dots = T_r$, $\text{End}(T)$ is isomorphic to $M(r, \text{End}(T_1))$.

Let C be an elliptic curve with complex multiplication, that is, complex torus of dimension 1 with an endomorphism ring of rank 2, and let T and T' be complex tori which are isogenous to the direct sums of n and n' copies of C respectively. Then the rank of $\text{Hom}(T, T')$ is clearly $2nn'$. We shall prove the converse is true.

THEOREM 1-2. *Let T and T' be complex tori of dimension n and n' respectively. If the rank of $\text{Hom}(T, T')$ is $2nn'$, T and T' are respectively isogenous to the direct sums of n and n' copies of an elliptic curve C with complex multiplication.*

PROOF. Notation being as in the proof of Theorem 1-1; choose a proper \mathbf{C} -base of \mathbf{E} and a proper \mathbf{Z} -base of \mathbf{G} , and we may assume that the period matrix of \mathbf{T} is $(1_n, T)$ where 1_n is the unit matrix of size n and T is an element of $M(n, \mathbf{C})$ such that the imaginary part of T is a regular matrix. Similarly we may assume that the period matrix of \mathbf{T}' is $(1_{n'}, T')$ for some matrix \mathbf{T}' of size n' which satisfies the same condition.

Now considering $\text{Hom}(\mathbf{T}, \mathbf{T}')$ to be a subgroup of $\text{Hom}(H_1, \mathbf{G}')$, since they are of the same rank, there exists an integer λ such that $\lambda(\text{Hom}(H_1, \mathbf{G}')) \subset \text{Hom}(\mathbf{T}, \mathbf{T}')$. In other words, for any $S \in M(2n' \times n, \mathbf{Z})$ there exist $\omega \in M(n' \times n, \mathbf{C})$ and $\Omega \in M(2n' \times 2n, \mathbf{Z})$ such that

$$\omega 1_n = (1_{n'}, T') \lambda S \quad \text{and} \quad \omega (1_n, T) = (1_{n'}, T') \Omega.$$

For any $\alpha \in M(n' \times n, \mathbf{Z})$, putting $S = \begin{pmatrix} \alpha \\ 0 \end{pmatrix}$, there exists $\Omega = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ ($A, B, C, D \in M(n' \times n, \mathbf{Z})$) such that

$$\lambda \alpha (1_n, T) = (1_{n'}, T') \Omega = (A + T' C, B + T' D),$$

and especially $\lambda \alpha T = B + T' D$. If we denote by $\mathbf{Im} T$ and $\mathbf{Re} T$ the imaginary part of T and the real part of T respectively, we have i) $\lambda \alpha (\mathbf{Im} T) = (\mathbf{Im} T') D$ and ii) $\lambda \alpha (\mathbf{Re} T) = B + (\mathbf{Re} T') D$. Therefore for any element α of $M(n' \times n, \mathbf{Z})$ we have

$$\text{i')} \quad (\mathbf{Im} T')^{-1} (\lambda \alpha) (\mathbf{Im} T) \in M(n' \times n, \mathbf{Z})$$

$$\text{ii')} \quad (\lambda \alpha) (\mathbf{Re} T) - (\mathbf{Re} T') (\mathbf{Im} T')^{-1} (\lambda \alpha) (\mathbf{Im} T) \in M(n' \times n, \mathbf{Z}).$$

Put $(\mathbf{Im} T')^{-1} = (\beta_{pr})$, $\alpha = (\alpha_{rs})$, $\mathbf{Im} T = (a_{sr})$, and i') implies

$$\lambda \sum_{r=1}^{n'} \sum_{s=1}^n \beta_{pr} \alpha_{rs} a_{sq} \in \mathbf{Z}$$

for any p, q ($p=1, \dots, n', q=1, \dots, n$). If we put α to be the matrix whose (r, s) -component is 1 and the others are all 0, we have $\lambda \beta_{pr} a_{sq} \in \mathbf{Z}$ for any p, q, r, s . Especially putting $p=r=1$, we have $\lambda \beta_{11} a_{sq} \in \mathbf{Z}$ for any s, q . Therefore there exist a real number a_1 which is independent of s, q and integers a_{sq}^* ($s, q=1, 2, \dots, n$) such that $a_{sq} = a_1 a_{sq}^*$. Put $T_1 = (a_{sq}^*) \in M(n, \mathbf{Z})$, and we have $\mathbf{Im} T = a_1 T_1$, where $a_1 \neq 0$ and $\det T_1 \neq 0$. Similarly there exist $b' \in \mathbf{R}$ and $T'_0 \in M(n', \mathbf{Z})$ such that $(\mathbf{Im} T')^{-1} = b' T'_0$. Putting $a'_1 = b'^{-1} (\det T'_0)^{-1}$ and $T'_1 = (\det T'_0) T'^{-1}_0$, we have $\mathbf{Im} T' = a'_1 T'_1$ where a'_1 is a real number T'_1 is an element of $M(n', \mathbf{Z})$. Now we have $T = \mathbf{Re} T + \sqrt{-1} a_1 T_1$. Considering the isogeny whose rational representation is $\begin{pmatrix} 1_n & 0 \\ 0 & T_1^{-1} \end{pmatrix}$, we can see that \mathbf{T} is isogenous to $\mathbf{C}^n / (1_n, (\mathbf{Re} T) T_1^{-1} + \sqrt{-1} a_1 1_n)$. So we may assume that $\mathbf{Im} T = a_1 1_n$. And similarly we may assume

that $\text{Im } T' = a'_1 1_{n'}$. Put $\mu = a_1 a'_1{}^{-1} \lambda$, and we have by ii')

$$(\lambda \alpha)(\text{Re } T) - \mu(\text{Re } T') \alpha \in M(n' \times n, \mathbf{Z})$$

for any α . If we put $\text{Re } T = (c_{sq})$, $\text{Re } T' = (d_{pr})$ and $\alpha = (\alpha_{rs})$, we have

$$\lambda \sum_{s=1}^n \alpha_{ps} c_{sq} - \mu \sum_{r=1}^{n'} d_{pr} \alpha_{rq} \in \mathbf{Z}$$

for $p=1, \dots, n$, $s=1, \dots, n'$. Again putting α to be the matrix whose (r, q) -component is 1 and the others are all 0, we have A) $\lambda c_{sq} \in \mathbf{Z}$, if $s \neq q$, B) $\mu d_{pr} \in \mathbf{Z}$, if $p \neq r$, and C) $\lambda c_{ss} - \mu d_{rr} \in \mathbf{Z}$, for any p, q, r, s . Therefore we have $\lambda(c_{sq}) - \mu d_{11} 1_n \in M(n, \mathbf{Z})$ and $\mu(d_{pr}) - \lambda c_{11} 1_{n'} \in M(n', \mathbf{Z})$. Put $T_2 = \lambda(c_{sq}) - \mu d_{11} 1_n$ and $c = \mu d_{11}$, and we have $\text{Re } T = \lambda^{-1}(c 1_2 + T_2)$. So putting $z = \lambda^{-1}c + \sqrt{-1} a_1$, we have $T = z 1_n + \lambda^{-1} T_2$. Consider the isogeny whose rational representation is $\begin{pmatrix} 1_n & -\lambda^{-1} T_2 \\ 0 & 1_n \end{pmatrix}$, and we can see that T is isogenous to $C^n / (1_n, z 1_n)$ which is clearly isogenous to the direct sum of n copies of $C = C / (1, z)$. Similarly T' is isogenous to the direct sum of n' copies of some complex torus C' of dimension 1. Since $\text{Hom}(T, T')$ is isomorphic to the direct sum of nn' copies of $\text{Hom}(C, C')$, the rank of $\text{Hom}(C, C')$ is 2, hence C is an elliptic curve with complex multiplication which is isomorphic to C' . (q. e. d.)

§ 2. Period matrices of complex tori with many endomorphisms.

Let T be a complex torus whose $\text{End}^0(T)$ contains a division sub-algebra D which contains \mathbf{Q} properly. Let Z be the center of D and K one of the maximal commutative subfields of D and denote the dimensions of the vector spaces D , K and Z over \mathbf{Q} by d , e and f respectively. Then we have $d/f = (e/f)^2$, in other words $df = e^2$. On the other hand, considering a rational representation of D , the linear space \mathbf{Q}^{2n} can be regarded as a D -module. Since D is a division algebra, a D -module is always free, hence denoting by r the rank of the module over D , we have $rd = 2n$. Now the following theorem has been proved.

THEOREM 2-1. *Let D be a division algebra contained in $\text{End}^0(T)$. If we denote by d , e and f , respectively, the dimensions over \mathbf{Q} of D , one of the maximal subfield of D and the center of D , we have*

- i) $df = e^2$
- ii) $f | e | d | 2n$ (where $a | b$ means a divides b .)

COROLLARY 2-2. *Let n be a positive odd integer which is square-free, and T a complex torus of dimension n . Then any division algebra which is contained in*

$\text{End}^Q(\mathbf{T})$ is commutative.

PROOF. Notations being as in Theorem 2-1, $(e/f)^2 = d/f$ divides $2n$. Hence $e/f=1$, that is, D is commutative. (q.e.d.)

Next we shall inquire into the period matrix of \mathbf{T} .

THEOREM 2-3. Let $\mathbf{T}=\mathbf{E}/\mathbf{G}$ be a complex torus of dimension n such that $\text{End}^Q(\mathbf{T})$ contains a division algebra D which contains \mathbf{Q} properly. Take any element ϕ of D which is not contained in \mathbf{Q} . Choosing an adequate \mathbf{C} -base of \mathbf{C} -vector space \mathbf{E} , the analytic representation of ϕ is a diagonal matrix

$$\begin{pmatrix} \alpha_1 & & 0 \\ & \ddots & \\ 0 & & \alpha_n \end{pmatrix}$$

where α_i is the image of ϕ by an isomorphism of $\mathbf{Q}(\phi)$ into \mathbf{C} ($i=1, 2, \dots, n$).

And put $h=[\mathbf{Q}(\phi):\mathbf{Q}]$, $s=2n/h$ and

$$\Phi = \begin{pmatrix} 1 & \alpha_1 & \alpha_1^2 & \cdots & \alpha_1^{h-1} \\ \vdots & \vdots & \vdots & & \vdots \\ 1 & \alpha_n & \alpha_n^2 & \cdots & \alpha_n^{h-1} \end{pmatrix} \in M(n \times h, \mathbf{C}).$$

And put

$$G(g_{ij}) = \begin{pmatrix} g_{11} & & 0 \\ & \ddots & \\ 0 & & g_{1n} \end{pmatrix} \Phi \begin{pmatrix} g_{21} & & 0 \\ & \ddots & \\ 0 & & g_{2n} \end{pmatrix} \Phi \cdots \begin{pmatrix} g_{s1} & & 0 \\ & \ddots & \\ 0 & & g_{sn} \end{pmatrix} \Phi$$

where g_{ij} ($i=1, \dots, s$, $j=1, \dots, n$) are some given complex numbers. Then there exists ns complex numbers g_{ij} such that \mathbf{T} is isogenous to the complex torus $\mathbf{T}(g_{ij})$ whose period matrix is $G(g_{ij})$.

PROOF. Let ω be an analytic representation of ϕ and Ω a rational representation. Since the minimal polynomial f of Ω is also the minimal polynomial of ϕ when $\mathbf{Q}(\phi)$ is regarded as an algebraic field over \mathbf{Q} , f is irreducible. Clearly $f(\omega)=0$, so that the minimal polynomial of ω has no multiple root. Here choosing an adequate \mathbf{C} -base of \mathbf{E} ,

$$\omega = \begin{pmatrix} \alpha_1 & & 0 \\ & \ddots & \\ 0 & & \alpha_n \end{pmatrix}$$

where $\alpha_1, \dots, \alpha_n$ are roots of the algebraic equation $f(x)=0$. On the other hand the characteristic polynomial F of Ω is s -th power of f . Therefore if we consider Ω to be a linear transformation on \mathbf{Q}^{2n} , there exists an element P of $GL(2n, \mathbf{Q}) \cap M(2n, \mathbf{Z})$ such that

$$P^{-1}\Omega P = \begin{pmatrix} A_1 & 0 \\ & \ddots \\ 0 & A_s \end{pmatrix}$$

where

$$A_1 = A_2 = \cdots = A_s = \begin{pmatrix} 0 & \cdots & 0 & -a_0 \\ & \ddots & \vdots & \vdots \\ 1 & & 0 & -a_1 \\ & \ddots & \vdots & \vdots \\ 0 & & 0 & 1 \\ \vdots & & & \vdots \\ 0 & & 0 & 1 & -a_{h-1} \end{pmatrix} \in GL(h, \mathbf{Q}),$$

and $f(x) = x^h + a_{h-1}x^{h-1} + \cdots + a_0$. Considering the isogeny whose rational representation is P , we may assume that the analytic representation ω of ϕ is a diagonal matrix $\begin{pmatrix} \alpha_1 & 0 \\ & \ddots \\ 0 & \alpha_n \end{pmatrix}$ and the rational representation Ω of ϕ is $\begin{pmatrix} A_1 & 0 \\ & \ddots \\ 0 & A_s \end{pmatrix}$. Then let G be the period matrix, and we have $\omega G = G\Omega$. We only have to compare each component of ωG with the corresponding component of $G\Omega$ to complete the proof. (q.e.d.)

Conversely suppose complex numbers $\{g_{ij}\}$ are given. Is $G(g_{ij})$ the period matrix of some complex torus? Since $\begin{pmatrix} \omega & 0 \\ 0 & \bar{\omega} \end{pmatrix} \begin{pmatrix} G \\ \bar{G} \end{pmatrix} = \begin{pmatrix} G \\ \bar{G} \end{pmatrix} \Omega$, $\alpha_1, \dots, \alpha_n$ have to satisfy the following condition (#);
 (#) the image of ϕ by any isomorphism of $\mathbf{Q}(\phi)$ into \mathbf{C} appears just s times in $\alpha_1, \dots, \alpha_n, \bar{\alpha}_1, \dots, \bar{\alpha}_n$ (where $\bar{\alpha}$ means the complex conjugate of α).

THEOREM 2-4. *We assume $\alpha_1, \dots, \alpha_n$ satisfy the condition (#). Then if g_{ij} ($i=1, \dots, s, j=1, \dots, n$) are generally given, $G(g_{ij})$ is the period matrix of some complex torus. (That is, the subset in \mathbf{C}^{sn} composed of all $\{g_{ij}\}$ such that $G(g_{ij})$ is a period matrix is open dense in \mathbf{C}^{sn} .)*

PROOF. Let X_{ij} ($i=1, \dots, s, j=1, \dots, n$) be ns variables, and we only have to prove that $\det \left(\frac{G(X_{ij})}{\bar{G}(X_{ij})} \right) = 0$ is a non-trivial equation. Let ϕ_1, \dots, ϕ_h be the images of ϕ by all the isomorphisms of $\mathbf{Q}(\phi)$ into \mathbf{C} , and put

$$\Phi = \begin{pmatrix} 1 & \phi_1 & \cdots & \phi_1^{h-1} \\ \vdots & \vdots & & \vdots \\ 1 & \phi_n & \cdots & \phi_n^{h-1} \end{pmatrix}.$$

Then we have

$$\det \left(\frac{G(X_{ij})}{\bar{G}(X_{ij})} \right) = \begin{vmatrix} X_{11}^* \Phi & \cdots & X_{1s}^* \Phi \\ \vdots & & \vdots \\ X_{s1}^* \Phi & \cdots & X_{ss}^* \Phi \end{vmatrix} = \begin{vmatrix} X_{11}^* & \cdots & X_{1s}^* \\ \vdots & & \vdots \\ X_{s1}^* & \cdots & X_{ss}^* \end{vmatrix} (\det \Phi)^s$$

where X_{ij}^* ($i, j=1, 2, \dots, s$) are diagonal matrices such that all X_{ij} and all \bar{X}_{ij} appear once and only once in their diagonal components. Since $\det \Phi \neq 0$, we

only have to prove the following lemma to complete the proof.

LEMMA 2-5. *Let $f(x_1, \dots, x_m, y_1, \dots, y_m)$ be a polynomial of $2m$ variables $x_1, \dots, x_m, y_1, \dots, y_m$ with coefficients in \mathbf{C} . If $f(z_1, \dots, z_m, \bar{z}_1, \dots, \bar{z}_m) = 0$ for any m complex numbers z_1, \dots, z_m , then $f = 0$ as a polynomial.*

PROOF. It is easily seen that we may assume $m = 1$. Put $f(x, y) = F_p(x)y^p + \dots + F_0(x)$. If $f(z, \bar{z}) = 0$, \bar{z} is a root of the algebraic equation $F_p(z)y^p + \dots + F_0(z) = 0$ with an unknown y . If $p > 0$, \bar{z} is locally a holomorphic function of z on an open subset in \mathbf{C} . That is a contradiction. Therefore $p = 0$. Then it is clear that $f = 0$ since $F_0(z) = 0$ for any z . (q.e.d.)

§ 3. Invariant subtori.

Let \mathbf{T} be a complex torus and \mathbf{T}' its subtorus. We call \mathbf{T}' invariant throughout this paper if the image of \mathbf{T}' by any endomorphism of \mathbf{T} is contained in \mathbf{T}' . Of course \mathbf{T} itself and $\{0\}$ are invariant subtori. We call each of them a trivial invariant subtorus.

THEOREM 3-1. *If a complex torus \mathbf{T} has no non-trivial invariant subtorus. Then \mathbf{T} is isogenous to the direct sum of some copies of a simple torus. (A complex torus is called simple if it has no subtorus but itself and $\{0\}$.)*

PROOF. Let \mathbf{T}' be a simple subtorus which is not $\{0\}$. The set $A = \{\lambda(\mathbf{T}') \mid \lambda \in \text{End}(\mathbf{T})\}$ is a finite set. In fact, since any $\lambda(\mathbf{T}')$ is simple, if $A' = \{\lambda_1(\mathbf{T}'), \dots, \lambda_m(\mathbf{T}')\}$ be a subset of A ($\lambda_i(\mathbf{T}') \neq \lambda_j(\mathbf{T}')$ if $i \neq j$), $T_0 = \lambda_1(\mathbf{T}') + \dots + \lambda_m(\mathbf{T}')$ is isogenous to the direct sum $\lambda_1(\mathbf{T}') \oplus \dots \oplus \lambda_m(\mathbf{T}')$ which is isogenous to the direct sum of m copies of \mathbf{T}' . So A is a finite set. Put $A' = A$ especially, and $T_0 = \lambda_1(\mathbf{T}') + \dots + \lambda_m(\mathbf{T}')$ is an invariant subtorus which is not $\{0\}$. Therefore $T_0 = \mathbf{T}$, that is, \mathbf{T} is isogenous to the direct sum of m copies of a simple subtorus \mathbf{T}' . (q.e.d.)

THEOREM 3-2. *Let \mathbf{T}' be an invariant subtorus of a complex torus \mathbf{T} . Then we have*

- i) $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}) \leq \text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}/\mathbf{T}') + \text{rank}_{\mathbf{Z}} \text{Hom}(\mathbf{T}, \mathbf{T}')$
- ii) $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}') \leq \text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}') + \text{rank}_{\mathbf{Z}} \text{Hom}(\mathbf{T}/\mathbf{T}', \mathbf{T}')$

PROOF. We define an homomorphism $\Phi: \text{End}(\mathbf{T}) \rightarrow \text{End}(\mathbf{T}')$ by the natural restriction. It is clear that the kernel of Φ can be considered to be a subset of $\text{Hom}(\mathbf{T}/\mathbf{T}', \mathbf{T})$, so ii) is proved. Considering similarly the natural homomorphism

$\Phi' : \text{End}(\mathbf{T}) \rightarrow \text{End}(\mathbf{T}/\mathbf{T}')$, we have i). (q.e.d.)

COROLLARY 3-3. *Let \mathbf{T} be a complex torus of dimension n . If $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}) > 2n^2 - 2n + 2$, there exists an integer $m > 1$ such that \mathbf{T} is isogenous to the direct sum of m copies of a simple torus.*

PROOF. Let \mathbf{T}' be an invariant subtorus and k its dimension. By ii) we have $2n^2 - 2n + 2 < \text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}) \leq \text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}') + \text{rank}_{\mathbf{Z}} \text{Hom}(\mathbf{T}/\mathbf{T}', \mathbf{T}) \leq 2k^2 + 2(n-k)n$. So we have $k=0$ or n . On the other hand if \mathbf{T} is simple, $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}) \leq 2n$. Therefore \mathbf{T} is isogenous to the direct sum of m copies of a simple torus for some $m > 1$. (q.e.d.)

We will use the corollary to prove the following proposition which is a special case of Theorem 1-2

PROPOSITION. *Let \mathbf{T} be complex torus of dimension n . If the rank of $\text{End}(\mathbf{T})$ is $2n^2$, \mathbf{T} is isogenous to the direct sum of n copies of an elliptic curve C with complex multiplication.*

PROOF. We may assume $n > 1$. Then since $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}) = 2n^2 > 2n^2 - 2n - 2$, \mathbf{T} is isogenous to the direct sum of some copies of a simple torus \mathbf{T}' . Let r be the dimension of \mathbf{T}' , and $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}) = \text{rank}_{\mathbf{Z}} M(n/r, \text{End}(\mathbf{T}'))$, therefore $2n^2 \leq (n/r)^2(2r) = 2n^2/r$. So $r=1$ and $\text{rank}_{\mathbf{Z}} \text{End}(\mathbf{T}') = 2$. (q.e.d.)

REMARK. Let \mathbf{T} and \mathbf{T}_1 be two complex tori and \mathbf{T}' and \mathbf{T}'_1 their subtori respectively. We call the pair $(\mathbf{T}', \mathbf{T}'_1)$ I-pair if the image of \mathbf{T}' by any homomorphism of \mathbf{T} into \mathbf{T}_1 is contained in \mathbf{T}'_1 . If \mathbf{T} and \mathbf{T}_1 have no non-trivial I-pair, \mathbf{T}_1 is isogenous to the direct sum of copies of a simple torus. And we have equations which are similar to i) and ii) in Theorem 3-2. Therefore if $\text{Hom}(\mathbf{T}, \mathbf{T}_1)$ is of the maximal rank, \mathbf{T}_1 is isogenous to the direct sum of copies of an elliptic curve. Considering dual tori, we can see that \mathbf{T} is also isogenous to the direct sum of copies of an elliptic curve. Thus Theorem 1-2 itself can be proved.

Now let \mathbf{T} be a complex torus such that a division algebra D is contained in $\text{End}^Q(\mathbf{T})$ as a subalgebra. If \mathbf{T}' is a non-trivial invariant subtorus, Φ and Φ' in the proof of Theorem 3-2 induce the following Q -algebra homomorphisms;

$$\Phi^Q : \text{End}^Q(\mathbf{T}) \rightarrow \text{End}^Q(\mathbf{T}')$$

$$\Phi'^Q : \text{End}^Q(\mathbf{T}) \rightarrow \text{End}^Q(\mathbf{T}/\mathbf{T}').$$

Φ^q is injective on D . In fact, if not, there exists an element of D such that $\Phi^q(\phi)=0$ then $\phi(T')=\{0\}$. But such a ϕ cannot be an isogeny. Similarly Φ'^q is injective on D , too. Hence we may consider D a subalgebra of $\text{End}^q(T')$ and $\text{End}^q(T/T')$.

THEOREM 3-3. *Let T be a complex torus of dimension n . If $\text{End}^q(T)$ contains a division algebra of dimension $2n$ as a \mathbf{Q} -vector space, T is isogenous to the direct sum of some copies of a simple torus.*

PROOF. If T has a non-trivial invariant subtorus T' , $\text{End}^q(T')$ contains a division algebra of dimension $2n$. But this is impossible. Hence T has no non-trivial invariant subtorus, so that, by theorem 3-1, T is isogenous to the direct sum of some copies of a simple torus. (q.e.d.)

§ 4. Complex tori of dimension 2.

Throughout this section T will denote a complex torus of dimension 2. In this section we will study the structure of $\text{End}^q(T)$.

(1) The case that T is simple.

If T is simple any endomorphism is an isogeny, so $\text{End}^q(T)$ is a division algebra. Let K be one of the maximal commutative subfields of $\text{End}^q(T)$ and d its degree over \mathbf{Q} , and d divides 4, so $d=1, 2$ or 4 . If $d=1$, $\text{End}^q(T)=\mathbf{Q}$.

a) The case of $d=4$.

In this case $\text{End}^q(T)=K$ is isomorphic to a quartic field $\mathbf{Q}[X]/(f(X))$ over \mathbf{Q} where $f(X)$ is an irreducible polynomial of degree 4. By Theorem 2-3, there exist complex numbers ζ, ξ such that $\{\zeta, \xi, \bar{\zeta}, \bar{\xi}\}$ is the set of all roots of the equation $f(X)=0$ and T is isogenous to

$$T'(\zeta, \xi) = C^2 / \begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \xi & \xi^2 & \xi^3 \end{pmatrix}.$$

Conversely let $f(X)$ be an irreducible polynomial of degree 4 and ζ, ξ two complex numbers such that $\{\zeta, \xi, \bar{\zeta}, \bar{\xi}\}$ is the set of all roots of the equation $f(X)=0$. Then $T'(\zeta, \xi)$ is a complex torus such that $\text{End}^q(T'(\zeta, \xi))$ contains a division algebra $\mathbf{Q}(\zeta)$ of dimension 4. If $T'(\zeta, \xi)$ is not simple, by Theorems 3-3, $T'(\zeta, \xi)$ is isogenous to the direct sum of two copies of an elliptic curve $C=C/(1, z)$. In other words there exist $\omega \in GL(2, C)$ and $\Omega \in GL(4, \mathbf{Q})$ such that

$$\begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \xi & \xi^2 & \xi^3 \end{pmatrix} \Omega = \omega \begin{pmatrix} 1 & z & 0 & 0 \\ 0 & 0 & 1 & z \end{pmatrix}. \quad (1)$$

Let F be the minimal Galois extension of \mathbf{Q} containing $\mathbf{Q}(\zeta)$, G^* its Galois group

and σ one of elements of G^* such that $\zeta^\sigma = \xi$. Put $\omega = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ and (1) implies that $\alpha, \beta, \alpha z$ and βz are all contained in $Q(\zeta)$ and $\gamma, \delta, \gamma z$ and δz are in $Q(\xi)$ and moreover $\alpha^\sigma = \gamma, (\alpha z)^\sigma = \gamma z, \beta^\sigma = \delta, (\beta z)^\sigma = \delta z$. So z is contained in both $Q(\zeta)$ and $Q(\xi)$, and $z^\sigma = z$. We put $K' = Q(z)$, then $Q(\zeta)$ is a quadratic extension of K' and ξ is the conjugate of ζ over K' . Therefore $Q(\zeta) = Q(\xi)$ and $Q(\bar{\zeta}) = Q(\bar{\xi})$. By the way there exist only four distinct elements in all ζ^ρ ($\rho \in G^*$), and there exist at most two elements ρ of G^* such that $\zeta^\rho = \zeta$. In fact if $\zeta^\rho = \zeta, \xi^\rho = \xi$, so $\bar{\zeta}^\rho$ must be $\bar{\zeta}$ or $\bar{\xi}$. Hence the order of G^* is 4 or 8. Making $\zeta, \xi, \bar{\zeta}, \bar{\xi}$ correspond to 1, 2, 3, 4 respectively we consider G^* to be a subgroup of the symmetric group S_4 . Then $G^* = V_4 = \{id, (12)(34), (13)(24), (14)(23)\}$ or $G^* = V_4 \cup (12)V_4 = \{id, (12), (12)(34), (34), (13)(24), (1423), (1324), (14)(23)\}$ where "id" means the unit element of the group.

Conversely if G^* is one of those subgroups, putting $z = \zeta + \xi$, it is easily seen that $T'(\zeta, \xi)$ is not simple.

b) The case of $d=2$.

In this case K is isomorphic to a quadratic field $Q(\sqrt{m})$ where m is a square-free integer. By Theorem 2-3 T is isogenous to

$$C^2 / \begin{pmatrix} a & \sqrt{m}a & b & \sqrt{m}b \\ c & \sqrt{m}c & d & \sqrt{m}d \end{pmatrix} \quad \text{or} \quad C^2 / \begin{pmatrix} a & \sqrt{m}a & b & \sqrt{m}b \\ c & -\sqrt{m}c & d & -\sqrt{m}d \end{pmatrix}$$

for some complex numbers a, b, c, d . Since T is simple, $abcd \neq 0$, so we may assume $a=c=1$. But $\begin{pmatrix} 1 & \sqrt{m} & b & \sqrt{m}b \\ 1 & \sqrt{m} & d & \sqrt{m}d \end{pmatrix}$ cannot be a period matrix of a simple torus. Hence T is isogenous to a complex torus

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

where b, d are complex numbers such that $b, d \in \mathbf{R}$ if $m > 0$ and $b \neq \bar{d}$ if $m < 0$. Conversely if such m, b, d are given, $\begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$ is certainly a period matrix of some complex torus $T_1(m; b, d)$.

LEMMA 4-1. $T_1(m; b, d)$ defined above is not simple if and only if the following condition $i^*)$ is satisfied.

$i^*)$ There exist rational numbers x, y and an element z of $Q(\sqrt{m})$ with are not all zero and satisfy

$$(\dagger) \quad 2xbd + zb + z^\sigma d + 2y = 0 \quad (\text{where } z^\sigma \text{ means the conjugate of } z).$$

$$(\dagger\dagger) \quad N(z/2) + xy \in N(Q(\sqrt{m})) \quad (\text{where } N(z) = zz^\sigma \text{ for } z \in Q(\sqrt{m})).$$

PROOF. Let $x, y, z_1, z_2, b_1, b_2, b_3, b_4$ are given rational numbers such that

$(x, y, z_1, z_2) \neq (0, 0, 0, 0)$ and $(b_1, b_2, b_3, b_4) \neq (0, 0, 0, 0)$ and consider simultaneous equations with unknowns X_1, X_2, X_3, X_4 ,

$$(1) \quad \begin{cases} x = b_3 X_4 - b_4 X_3 \\ y = b_1 X_2 - b_2 X_1 \\ z_1 = b_1 X_4 - b_2 X_3 - b_4 X_1 + b_3 X_2 \\ z_2 = b_1 X_3 - m b_2 X_4 - b_3 X_1 + m b_4 X_2, \end{cases}$$

that is,

$$\begin{pmatrix} 0 & 0 & -b_4 & b_3 \\ -b_2 & b_1 & 0 & 0 \\ -b_4 & b_3 & -b_2 & b_1 \\ -b_3 & m b_4 & b_1 & -m b_2 \end{pmatrix} \begin{pmatrix} X_1 \\ X_2 \\ X_3 \\ X_4 \end{pmatrix} = \begin{pmatrix} x \\ y \\ z_1 \\ z_2 \end{pmatrix}.$$

Put $z = z_1 + \sqrt{m}^{-1} z_2$. If x, y, z satisfy (†) and (1) has a solution $X_i = a_i$ ($i=1, 2, 3, 4$), $T_1(m; b, d)$ is not simple. In fact let Ω be an element of $GL(4, \mathbb{Q})$ such that

$$\Omega = \begin{pmatrix} a_1 & b_1 & & \\ a_2 & b_2 & & \\ a_3 & b_3 & * & \\ a_4 & b_4 & & \end{pmatrix}$$

and ω an element of $GL(2, \mathbb{C})$ such that

$$\omega = \begin{pmatrix} -\alpha & \beta \\ * & * \end{pmatrix}$$

where $\alpha = b_1 - b_2 \sqrt{m} + b_3 d - b_4 d \sqrt{m}$, $\beta = b_1 + b_2 \sqrt{m} + b_3 b + b_4 b \sqrt{m}$. Then we have by (1) and (†)

$$\omega \begin{pmatrix} 1 & \sqrt{m} & b & b \sqrt{m} \\ 1 & -\sqrt{m} & d & -d \sqrt{m} \end{pmatrix} \Omega = \begin{pmatrix} 0 & 0 & * & * \\ * & * & * & * \end{pmatrix}.$$

Conversely if $T_1(m; b, d)$ is not simple, there exist such an ω and an Ω . Therefore there exist x, y, z which satisfy (†) and b_1, b_2, b_3, b_4 such that (1) has a solution.

On the other hand (1) has a solution if and only if

$$\text{rank} \begin{pmatrix} 0 & 0 & -b_4 & b_3 & x \\ -b_2 & b_1 & 0 & 0 & y \\ -b_4 & b_3 & -b_2 & b_1 & z_1 \\ -b_3 & m b_4 & b_1 & -m b_2 & z_2 \end{pmatrix} = \text{rank} \begin{pmatrix} 0 & 0 & -b_4 & b_3 \\ -b_2 & b_1 & 0 & 0 \\ -b_4 & b_3 & -b_2 & b_1 \\ -b_3 & m b_4 & b_1 & -m b_2 \end{pmatrix}.$$

It is easily seen that this equation is equivalent to the following equation (2);

$$(2) \quad x(b_1^2 - mb_2^2) + y(b_3^2 - mb_4^2) + z_2(b_1b_4 - b_2b_3) - z_1(b_1b_3 - mb_2b_4) = 0.$$

Put $\varepsilon = b_1 + \sqrt{m}b_2$ and $\eta = b_3 + \sqrt{m}b_4$, and (2) implies

$$(3) \quad \varepsilon\varepsilon^\sigma x + \eta\eta^\sigma y - (\varepsilon\eta^\sigma z + \varepsilon^\sigma\eta z^\sigma)/2 = 0.$$

There exist ε and η which are not both zero and satisfy (3) if and only if (††) is satisfied. In fact, put $\nu = 2y\eta - z\varepsilon$, and (3) implies

$$(N(z/2) - xy)\varepsilon\varepsilon^\sigma = \nu\nu^\sigma/4 \in N(Q(\sqrt{m})).$$

Hence the proof is completed.

Let R be a commutative ring and α, β elements of R . We denote by $(\alpha, \beta)_R$ the quaternion over R which is generated as a R -module by $\{1, e_1, e_2, e_3\}$ where 1 is the unit and $e_1^2 = \alpha, e_2^2 = \beta, e_1e_2 = -e_2e_1 = e_3$.

We will call a complex torus of dimension 2 which is isogenous to $T_1(m; b, d)$ such that there exist x, y, z which satisfy (†) but there exist no x, y, z which satisfy both (†) and (††) of a quaternion type. By the above lemma a complex torus of a quaternion type is simple.

THEOREM 4-2. *Let T be a simple complex torus of dimension 2. $\text{End}(T)$ is a non-commutative ring of rank 4 if and only if T is of a quaternion type. In this case, T is isogenous to $T_1(m; b, d)$ such that $bd = q$ is a rational number and $\text{End}^q(T)$ is isomorphic to $(m, q)_Q$.*

PROOF. First assume that T is of a quaternion type. Then we may assume that $T = T_1(m; b, d)$ and there exist x, y, z such that $2xbd + zb + z^\sigma d + 2y = 0$. Since (††) is not satisfied, $xy \neq 0$ and we may assume $x = 1$. If we put $b' = b - z^\sigma, d' = d - z$ and $q = zz^\sigma - y \in Q$, then $b'd' = q$ and $T = T_1(m; b, d)$ is isogenous to $T_1(m; b', d')$ by an isogeny the rational representation of which is

$$M \begin{pmatrix} 1 & 0 & -z_1 & mz_2 \\ 0 & 1 & z_2 & -z_1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

where $z = z_1 + z_2\sqrt{m}$ and M is an integer which is large enough to make coefficients integral. It can be easily seen that $\text{End}^q(T_1(m; b', d'))$ is a quaternion generated as a Q -module by four elements whose analytic representations are

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} \sqrt{m} & 0 \\ 0 & -\sqrt{m} \end{pmatrix}, \begin{pmatrix} 0 & b' \\ d' & 0 \end{pmatrix}, \begin{pmatrix} 0 & \sqrt{m}b' \\ -\sqrt{m}d' & 0 \end{pmatrix}.$$

That implies the “if” part of the theorem, so we next prove the “only if” part of the theorem. If $\text{End}(\mathbf{T})$ is a non-commutative ring of rank 4, \mathbf{T} is clearly isogenous to $\mathbf{T}_1(m; b, d)$ for some complex numbers b, d , and we may assume that $\mathbf{T} = \mathbf{T}_1(m; b, d)$. We denote by ϕ the endomorphism whose analytic representation is $\begin{pmatrix} \sqrt{m} & 0 \\ 0 & -\sqrt{m} \end{pmatrix}$. Let ψ be an endomorphism which is not commutative with ϕ and $\begin{pmatrix} s & u \\ v & t \end{pmatrix}$ its analytic representation. Since

$$\begin{pmatrix} \sqrt{m} & 0 \\ 0 & -\sqrt{m} \end{pmatrix} \begin{pmatrix} s & u \\ v & t \end{pmatrix} \begin{pmatrix} \sqrt{m} & 0 \\ 0 & -\sqrt{m} \end{pmatrix}^{-1} - \begin{pmatrix} s & u \\ v & t \end{pmatrix} = \begin{pmatrix} 0 & -2u \\ -2v & 0 \end{pmatrix},$$

There exists an endomorphism ϕ' whose rational representation is $\begin{pmatrix} 0 & u' \\ v' & 0 \end{pmatrix}$ for some u', v' . Since $\text{End}(\mathbf{T})$ is not commutative, the degree of ϕ' over Q is 2, so there exist rational numbers a_1, a_2 such that $\phi'^2 + a_1\phi' + a_2 = 0$. Hence

$$\begin{pmatrix} u'v' & 0 \\ 0 & u'v' \end{pmatrix} + a_1 \begin{pmatrix} 0 & u' \\ v' & 0 \end{pmatrix} + a_2 = 0$$

That implies $a_1 = 0$ and $u'v'$ is a rational number. Let $\Omega = (\Omega_{ij})$ be the rational representation of ϕ' , and

$$\begin{pmatrix} 0 & u' \\ v' & 0 \end{pmatrix} \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix} = \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix} \begin{pmatrix} \Omega_{11} & \Omega_{12} & \Omega_{13} & \Omega_{14} \\ \Omega_{21} & \Omega_{22} & \Omega_{23} & \Omega_{24} \\ \Omega_{31} & \Omega_{32} & \Omega_{33} & \Omega_{34} \\ \Omega_{41} & \Omega_{42} & \Omega_{43} & \Omega_{44} \end{pmatrix}.$$

Put $\alpha_1 = \Omega_{11} + \sqrt{m}\Omega_{21}$ and $\alpha_2 = \Omega_{31} + \sqrt{m}\Omega_{41}$, and $u' = \alpha_1 + b\alpha_2$ and $v' = \alpha_1^g + d\alpha_2^g$ where α_1 and α_2 are not both zero. Since $u'v'$ is a rational number, putting $x = \alpha_2\alpha_2^g/2$, $y = (\alpha_1\alpha_1^g - u'v')/2$ and $z = \alpha_2\alpha_2^g$, the equation (*) is satisfied. In fact

$$0 = (\alpha_1 + b\alpha_2)(\alpha_1^g + d\alpha_2^g) - u'v' = \alpha_2\alpha_2^g bd + \alpha_2\alpha_1^g b + \alpha_2^g\alpha_1 d + \alpha_1\alpha_1^g - u'v'. \quad (\text{q.e.d.})$$

(2) The case that \mathbf{T} is not simple nor isogenous to the direct sum of two elliptic curves.

If \mathbf{T} has a subtorus of dimension 1, we may assume the period matrix of \mathbf{T} is

$$\begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix}$$

for some complex numbers z_1, z_2, w .

LEMMA 4-3. *The complex torus $\mathbf{T} = \mathbb{C}^2 / \begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix}$ is isogenous to the direct sum of two elliptic curves if and only if $w = q_0 + q_1z_1 + q_2z_2 + q_3z_1z_2$ for some rational*

numbers q_0, q_1, q_2, q_3 .

PROOF. If $w = q_0 + q_1 z_1 + q_2 z_2 + q_3 z_1 z_2$, it is easy to transform $\begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix}$ by some isogeny into $\begin{pmatrix} 1 & z_1 & 0 & 0 \\ 0 & 0 & 1 & z_2 \end{pmatrix}$. Conversely if T is isogenous to the direct sum of elliptic curves, there exist an element $\omega = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ of $GL(2, C)$ and an element $\Omega = (a_{ij})$ of $GL(4, Q)$ and complex numbers x, y such that

$$\omega \begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix} = \begin{pmatrix} 1 & x & 0 & 0 \\ 0 & 0 & 1 & y \end{pmatrix} \Omega,$$

that is,

$$\begin{pmatrix} a & az_1 & b & aw + bz_2 \\ c & cz_1 & d & cw + dz_2 \end{pmatrix} = \begin{pmatrix} a_{11} + a_{21}x & a_{12} + a_{22}x & a_{13} + a_{23}x & a_{14} + a_{24}x \\ a_{31} + a_{41}y & a_{32} + a_{42}y & a_{33} + a_{43}y & a_{34} + a_{44}y \end{pmatrix}.$$

Eliminating x from the equation of the first line, we have

$$(a_{11}a_{22} - a_{21}a_{12})w = (a_{22}a_{14} - a_{24}a_{11}) + (a_{24}a_{11} - a_{12}a_{21})z_1 + (a_{12}a_{23} - a_{22}a_{13})z_2 \\ + (a_{21}a_{13} - a_{23}a_{11})z_1z_2.$$

Considering the second line, if necessary, we may assume $a = a_{11} + a_{21}x \neq 0$. Since z_1 is not a rational number, $a = a_{11} + a_{21}x$ and $az_1 = a_{12} + a_{22}x$ are linearly independent over Q , hence $a_{11}a_{22} - a_{21}a_{12} \neq 0$. Therefore w is a linear combination of 1, z_1, z_2, z_1z_2 with coefficients in Q . (q.e.d.)

LEMMA 4-4. Let T be a complex torus which is not simple nor isogenous to the direct sum of two elliptic curves. Then T has the unique subtorus T' of dimension 1, which is invariant. If $\text{End}^q(T) \neq Q$, T' is isogenous to the factor torus T/T' . Therefore T is isogenous to a complex torus of the following type;

$$T_2(z; w) = C^2 / \begin{pmatrix} 1 & z & 0 & w \\ 0 & 0 & 1 & z \end{pmatrix}.$$

PROOF. Of course T has a subtorus T' of dimension 1. If there exists another subtorus T'' of dimension 1, T is isogenous to $T' \oplus T''$. Hence T' is the unique subtorus of dimension 1. Now assume that $\text{End}^q(T) \neq Q$. If there exists an endomorphism ϕ such that $\phi(T) = T'$, T' is contained in the kernel of ϕ , so ϕ induces an isogeny of T/T' to T' . If there does not exist such a ϕ , $\text{End}^q(T)$ is division algebra. We have seen in §3 that $\text{End}^q(T)$ is considered to be a subalgebra of $\text{End}^q(T')$ and of $\text{End}^q(T/T')$. Since $\text{End}^q(T) \neq Q$, we have $\text{End}^q(T') \cong \text{End}^q(T) \cong \text{End}^q(T/T')$. So T' is isogenous to T/T' . (q.e.d.)

Now to study the endomorphism ring of $T_2(z; w)$ we prepare a lemma.

LEMMA 4-5. Let $T=E/G$ be a complex torus of dimension n and T' an invariant subtorus of dimension r . If $(1_r \ T')$ and $(1_s \ T'')$ are the period matrices of T' and T/T' respectively where $r+s=n$, then we can choose a C -base of E and a Z -base of G such that the period matrix is of the following type;

$$\begin{pmatrix} 1_r & 0 & T' & * \\ 0 & 1_s & 0 & T'' \end{pmatrix}.$$

Then the analytic representation ω and the rational representation Ω of any element of $\text{End}^q(T)$ are matrices of the following types;

$$\omega = \begin{pmatrix} \overleftarrow{r} \rightarrow & \overleftarrow{s} \rightarrow \\ * & * \\ 0 & * \end{pmatrix} \begin{matrix} \uparrow r \\ \downarrow s \end{matrix} \quad \Omega = \begin{pmatrix} \overleftarrow{r} \rightarrow & \overleftarrow{s} \rightarrow & \overleftarrow{r} \rightarrow & \overleftarrow{s} \rightarrow \\ * & * & * & * \\ 0 & * & 0 & * \\ * & * & * & * \\ 0 & * & 0 & * \end{pmatrix} \begin{matrix} \uparrow r \\ \downarrow s \\ \uparrow r \\ \downarrow s \end{matrix}.$$

PROOF. Putting $T=E/G$, $T'=E'/G'$ ($E \subset E'$), E' is invariant by the linear extension of any endomorphism. The lemma follows immediately.

We now pass on to the consideration on a complex torus

$$T_2 = T_2(z; w) = C^2 / \begin{pmatrix} 1 & 0 & z & w \\ 0 & 1 & 0 & z \end{pmatrix}$$

and $\text{End}^q(T_2)$. Let

$$\omega = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \quad \text{and} \quad \Omega = \begin{pmatrix} a_{11} & a_{12} & b_{11} & b_{12} \\ a_{21} & a_{22} & b_{21} & b_{22} \\ c_{11} & c_{12} & d_{11} & d_{12} \\ c_{21} & c_{22} & d_{21} & d_{22} \end{pmatrix}$$

be the analytic representation and the rational representation of an endomorphism of T_2 . $\gamma = a_{21} = b_{21} = c_{21} = d_{21} = 0$ by lemma 4-5. Since

$$\omega \begin{pmatrix} 1 & 0 & z & w \\ 0 & 1 & 0 & z \end{pmatrix} = \begin{pmatrix} 1 & 0 & z & w \\ 0 & 1 & 0 & z \end{pmatrix} \Omega,$$

we have

- i) $c_{11}z^2 + (a_{11} - d_{11})z - b_{11} = 0$
- ii) $c_{22}z^2 + (a_{22} - d_{22})z - b_{22} = 0$
- iii) $\{(a_{11} - d_{22}) + (c_{11} + c_{22})z\}w = b_{12} + (d_{12} - a_{12})z - c_{12}z^2.$

a) The case of $[Q(z):Q] \geq 3$.

Then i) and ii) imply that $a_{11}=d_{11}$, $a_{22}=d_{22}$, $c_{11}=b_{11}=c_{22}=b_{22}=0$, and hence iii) implies

$$(a_{11}-d_{22})w=b_{12}+(d_{12}-a_{12})z-c_{12}z^2.$$

If $a_{11} \neq d_{22}$, T_2 is isogenous to the direct sum of two elliptic curves. Therefore $a_{11}=d_{22}$ and $b_{12}=c_{12}=0$, $d_{12}=a_{12}$. Hence the rational representation of $\text{End}^q(T_2)$ is

$$\left\{ \begin{pmatrix} a & b & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & a & b \\ 0 & 0 & 0 & a \end{pmatrix} \mid a, b \in Q \right\}.$$

The dimension of $\text{End}^q(T_2)$ over Q is 2, and the analytic representation of a base is

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

$\text{End}^q(T_2)$ is isomorphic to $Q[X]/(X^2)$.

b) The case of $[Q(z):Q]=2$.

Then we may assume that $z=\sqrt{m}$ where m is a square-free integer. i) and ii) imply $a_{11}=d_{11}$, $mc_{11}=b_{11}$, $a_{22}=d_{22}$, $mc_{22}=b_{22}$. If $(a_{11}-d_{22})+(c_{11}+c_{22})z \neq 0$, w is an element of $Q(z)$ and hence T_2 is isogenous to the direct sum of two elliptic curves. Therefore $(a_{11}-d_{22})+(c_{11}+c_{22})z=0$. This equation implies $a_{11}=d_{22}$, $c_{11}+c_{22}=0$ and $b_{12}=mc_{12}$, $d_{12}=a_{12}$. It follows that the rational representation of $\text{End}^q(T_2)$ is

$$\left\{ \begin{pmatrix} a & b & mc & d \\ 0 & a & 0 & -mc \\ c & d & a & b \\ 0 & -c & 0 & a \end{pmatrix} \mid a, b, c, d \in Q \right\}.$$

The dimension of $\text{End}^q(T)$ over Q is 4 and the analytic representation of a base is

$$1_2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad e_1 = \begin{pmatrix} \sqrt{m} & -w \\ 0 & -\sqrt{m} \end{pmatrix}, \quad e_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad e_3 = \begin{pmatrix} 0 & \sqrt{m} \\ 0 & 0 \end{pmatrix}.$$

There are the following equation among those four elements;

$$e_1 1_2 = e_1, \quad e_2 1_2 = e_2, \quad e_1^2 = m 1_2, \quad e_2^2 = 0, \quad e_1 e_2 = -e_2 e_1 = e_3.$$

Hence $\text{End}^q(T)$ is isomorphic to $(m, 0)_Q$.

(3) The case that T is isogenous to the direct sum of two elliptic curves.

There is no difficulty in this case. We may assume that $T = T' \oplus T''$ for some elliptic curves T' and T'' . If T' is isogenous to T'' , $\text{End}^q(T) \cong M(2, \text{End}^q(T'))$. And if T' is not isogenous to T'' , $\text{End}^q(T) \cong \text{End}^q(T') \oplus \text{End}^q(T'')$.

Now we will summarize the facts we have seen in this section. Let m, m' be integers which are square-free and z, z' complex numbers which are not contained in \mathbf{R} nor any quadratic field over \mathbf{Q} . Consider complex tori of the following types.

I)

$$T'(\zeta, \xi) = C^2 / \begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \xi & \xi^2 & \xi^3 \end{pmatrix}$$

where ζ, ξ are algebraic numbers of degree 4 over \mathbf{Q} such that $\{\zeta, \xi, \bar{\zeta}, \bar{\xi}\}$ is the set of all conjugates of ζ over \mathbf{Q} . Moreover if we consider the Galois group G^* of $F = \mathbf{Q}(\zeta, \xi, \bar{\zeta}, \bar{\xi})$ to be a subgroup of S_4 by the correspondence $1 \longleftrightarrow \zeta$, $2 \longleftrightarrow \xi$, $3 \longleftrightarrow \bar{\zeta}$, $4 \longleftrightarrow \bar{\xi}$, G is not V_4 nor $V_4 \cup (12)V_4$.

II) (complex tori of quaternion types)

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

where b, d are complex numbers which are not contained in $\mathbf{Q}(\sqrt{m})$, and $bd = q$ is a rational number which is not contained in $N(\mathbf{Q}(\sqrt{m}))$. And there is no element α of $\mathbf{Q}(\sqrt{m})$ but zero such that $\alpha b + \alpha^q d$ is a rational number. Moreover if $m > 0$, b, d are not real number, and if $m < 0$, $b \neq \bar{d}$.

III) Simple complex tori of the following type

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

which are not isogenous to any complex torus of the type (I) nor the type (II). If $m > 0$, b, d are not contained in \mathbf{R} , and if $m < 0$, $b \neq \bar{d}$.

IV)

$$T_2(\sqrt{m}; w) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & 0 & w \\ 0 & 0 & 1 & \sqrt{m} \end{pmatrix}$$

where $m < 0$, and w is not contained in $\mathbf{Q}(\sqrt{m})$.

V)

$$T_2(z; w) = C^2 / \begin{pmatrix} 1 & z & 0 & w \\ 0 & 0 & 1 & z \end{pmatrix}$$

where w is not contained in $\mathbf{Q} + \mathbf{Q}z + \mathbf{Q}z^2$.

VI)

$$T_3(\sqrt{m}, \sqrt{m}) = C / (1 \sqrt{m}) \oplus C / (1 \sqrt{m})$$

where $m < 0$.

$$\text{VII)} \quad T_3(\sqrt{m}, \sqrt{m'}) = C/(1 \ \sqrt{m}) \oplus C/(1 \ \sqrt{m'})$$

where $m, m' < 0$ and $m \neq m'$.

$$\text{VIII)} \quad T_3(\sqrt{m}, z) = C/(1 \ \sqrt{m}) \oplus C/(1 \ z)$$

where $m < 0$.

$$\text{IX)} \quad T_3(z, z) = C/(1 \ z) \oplus C/(1 \ z).$$

$$\text{X)} \quad T_3(z, z') = C/(1 \ z) \oplus C/(1 \ z')$$

where $z' \notin Q(z)$.

Then a complex torus T of dimension 2 is isogenous to a complex torus of one of the above types if and only if $\text{End}^Q(T)$ is isomorphic to a Q -algebra of the following corresponding type.

- I) Algebraic fields $Q(\zeta)$ of degree 4 over Q .
- II) Quaternions $(m, q)_Q$ such that q is not contained in $N(Q(\sqrt{m}))$.
- III) Quadratic fields $Q(\sqrt{m})$.
- IV) Quaternions $(m, 0)_Q$.
- V) $Q[X]/(X^2)$.
- VI) $M(2, Q(\sqrt{m}))$ where $m < 0$.
- VII) $Q(\sqrt{m}) \oplus Q(\sqrt{m'})$ where $m, m' < 0$, $m \neq m'$.
- VIII) $Q(\sqrt{m}) \oplus Q$ where $m < 0$.
- IX) $M(2, Q)$.
- X) $Q \oplus Q$.

§ 5. Abelian varieties of dimension 2.

A complex torus T is called an abelian variety if T can be embedded in some projective space, in other words, if there exists an ample Riemann form on T . A complex torus of dimension 2 of the type VI), VII), VIII), IX) or X) is an abelian variety. And a complex torus of the type IV) or V) is not an abelian variety. Then we will study complex tori of types I), II) and III), that is, simple tori.

Let $T = E/G$ be a complex torus of dimension n where E is C -vector space and G is its lattice subgroup. Fix bases of E and G , and let G be the period matrix of T with respect to those bases. Put $(C \ \bar{C}) = \left(\frac{G}{\bar{G}} \right)^{-1}$ where $C \in M(2n \times n, C)$. There exists a one-to-one correspondence between the set of hermitian forms on T (namely the set of hermitian forms H on $E \times E$ such

that $H(g, g')$ is integral for any $g, g' \in G$ and the set of skew-symmetric matrices M of degree $2n$ with coefficients in \mathbb{Z} which satisfy

$$(1) \quad {}^t C M C = 0.$$

In this correspondence an ample Riemann form on T corresponds to an M which satisfies (1) and

$$(2) \quad \sqrt{-1} {}^t \bar{C} M C > 0 \quad (\text{namely } \sqrt{-1} {}^t \bar{C} M C \text{ is positive definite.})$$

T is an abelian variety if and only if there exists a skew-symmetric matrix M which satisfies (1) and (2). If $G = (1_n \ T)$, $C = \begin{pmatrix} -\bar{T} \\ 1_n \end{pmatrix} (T - \bar{T})^{-1}$. Put $M = \begin{pmatrix} A & B \\ {}^t B & D \end{pmatrix}$ where $A, B, D \in M(n, \mathbb{Z})$ and ${}^t A = -A$, ${}^t D = -D$. Then (1), (2) imply respectively

$$(1') \quad {}^t T A T - {}^t T B + {}^t B T + D = 0,$$

$$(2') \quad \sqrt{-1} ({}^t T A \bar{T} - {}^t T B + {}^t B \bar{T} + D) > 0.$$

When (1') is satisfied, (2') is equivalent to the following condition;

$$(2'') \quad \sqrt{-1} ({}^t T A + {}^t B) (\bar{T} - T) > 0.$$

When $n=2$, put $T = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$, $A = \begin{pmatrix} 0 & x \\ -x & 0 \end{pmatrix}$, $B = \begin{pmatrix} p & q \\ r & s \end{pmatrix}$ and $D = \begin{pmatrix} 0 & y \\ -y & 0 \end{pmatrix}$, and (1') implies

$$i) \quad x(\alpha\bar{\delta} - \gamma\bar{\beta}) - (q\alpha + s\gamma) + (p\beta + r\delta) + y = 0$$

and (2'') implies

$$\sqrt{-1} \begin{pmatrix} p-x\gamma & r+x\alpha \\ q-x\delta & s+x\beta \end{pmatrix} \begin{pmatrix} \bar{\alpha}-\alpha & \bar{\beta}-\beta \\ \bar{\gamma}-\gamma & \bar{\delta}-\delta \end{pmatrix} > 0,$$

which is equivalent to the following two conditions;

$$a) \quad \sqrt{-1} \{p(\bar{\alpha}-\alpha) + q(\bar{\gamma}-\gamma) + x(\alpha\bar{\gamma} - \bar{\alpha}\gamma)\} > 0,$$

$$b) \quad (-1) \{(p-x\gamma)(s+x\beta) - (r+x\alpha)(q-x\delta)\} \{(\bar{\alpha}-\alpha)(\bar{\delta}-\delta) - (\bar{\gamma}-\gamma)(\bar{\beta}-\beta)\} > 0.$$

When i) is satisfied b) is equivalent to the following;

$$c) \quad \{-xy + (ps - rq)\} \{(\bar{\alpha}-\alpha)(\bar{\delta}-\delta) - (\bar{\gamma}-\gamma)(\bar{\beta}-\beta)\} < 0.$$

Now let T be a simple torus of dimension 2 with non-trivial endomorphisms. First we prove that if T is an abelian variety $\text{End}^q(T)$ contains some quadratic field over \mathbb{Q} . In fact, if it does not, T is isogenous to a complex torus of the type

$$C^2 / \begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ & \xi & \xi^2 & \xi^3 \end{pmatrix}$$

where the Galois group G^* of $\mathbf{Q}(\zeta, \xi, \bar{\zeta}, \bar{\xi})$ over \mathbf{Q} is isomorphic to the alternative group A_4 or the symmetric group S_4 . T is isogenous to

$$T' = C^2 / \begin{pmatrix} 1 & 0 & -\xi\zeta & -\xi\zeta(\xi+\zeta) \\ 0 & 1 & \xi+\zeta & \xi^2+\xi\zeta+\zeta^2 \end{pmatrix}.$$

If T is an abelian variety, so is T' , hence there exist integers x, y, p, q, r, s which are not all zero and satisfy i), that is,

$$\begin{aligned} 0 &= x(\zeta^2\xi^2) - \{q(-\xi\zeta) + s(\zeta + \xi)\} + \{p(-\xi\zeta(\zeta + \xi)) + r(\xi^2 + \xi\zeta + \zeta^2)\} + y \\ &= (x\xi^2 - p\xi + r)\zeta^2 + (-p\xi^2 + q\xi + r\xi - s)\zeta + (r\xi^2 - s\xi + y). \end{aligned}$$

But if $G^* = A_4$ or S_4 , this is impossible. Therefore if T is an abelian variety, $\text{End}^q(T)$ contains a quadratic field $\mathbf{Q}(\sqrt{m})$. Then T is isogenous to a complex torus

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

for some complex numbers b, d . Since this is isomorphism to

$$T'_1 = C^2 / \begin{pmatrix} 1 & 0 & u & mv \\ 0 & 1 & v & u \end{pmatrix}$$

where $u = (b+d)/2$ and $v = (b-d)/2\sqrt{m}$, T is an abelian variety if and only if there exist integers x, y, p, q, r, s which satisfy the following i'), a') and c').

$$\text{i')} \quad bdx + zb + z^2d + y = 0 \quad (\text{where } z = z_1 + z_2/\sqrt{m}, \quad z_1 = (r-q)/2 \text{ and } z = (pm-s)/2.)$$

$$\text{a')} \quad \sqrt{-1} \{p(u - \bar{u}) + q(v - \bar{v}) + x(u\bar{v} - v\bar{u})\} > 0$$

$$\text{c')} \quad \{-xy + (ps - rq)\} F(b, d) < 0 \quad \left(\text{where } F(b, d) = \begin{cases} (b - \bar{b})(d - \bar{d}) & \text{if } m > 0 \\ (b - \bar{d})(d - \bar{b}) & \text{if } m < 0. \end{cases} \right)$$

LEMMA 5-1. *If $m > 0$, there exist x, y, p, q, r, s which satisfy i') and a'), c'). Therefore T is an abelian variety.*

PROOF. Put $x = y = 0$, $r = q$, $s = mp$, and i') is of course satisfied and a'), c') imply

$$\text{a'')} \quad \sqrt{-1} \{ (p + q/\sqrt{m})(b - \bar{b}) + (p - q/\sqrt{m})(d - \bar{d}) \} > 0$$

$$\text{c'')} \quad (mp^2 - q^2)(b - \bar{b})(d - \bar{d}) < 0.$$

Put $X = (p + q/\sqrt{m})\sqrt{-1}(b - \bar{b})$, $Y = (p - q/\sqrt{m})\sqrt{-1}(d - \bar{d})$, and a''), c'') imply $X + Y > 0$ and $XY > 0$. We only have to take p, q which make X and Y positive. (q. e. d.)

LEMMA 5-2. *If $m < 0$ and T is not of a quaternion type, T is not an abelian*

variety.

PROOF. Since T is not quaternion type, x, y, z which satisfy i') are all zero, so $x=y=0$, $mp=s$, $r=q$. Then if $m<0$, c') implies

$$(mp^2 - q^2)(b - \bar{d})(d - \bar{b}) = -(mp^2 - q^2)|b - \bar{d}|^2 < 0.$$

But since $m<0$, this is impossible. Hence T cannot be an abelian variety. (q. e. d.)

Now we assume that T is of a quaternion type. There exist an integer q_0 which is not contained in $N(Q(\sqrt{m}))$ such that T is isogenous to

$$T'' = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

where $bd=q_0$. If $m>0$ or $q_0>0$, T'' is an abelian variety by Lemma 5-1. So we assume $m<0$ and $q_0<0$. If there exists an element z of $Q(\sqrt{m})$ such that $zb + z^\sigma d$ is a rational number r_0 , putting $x=0$, $y=-r_0$, the condition i*) of Lemma 4-1 is satisfied. Therefore since $bd=q_0$ is a rational number, there exists no z but zero which satisfies i') with some x, y . Hence if T' is an abelian variety, $y=-x_0$, $r=q$, $s=pm$ and

$$-(x^2 q_0 + mp^2 - q^2)|b - \bar{d}|^2 < 0.$$

But this is impossible. Therefore we have proved the following lemma.

LEMMA 5-3. Let T be a complex torus of a quaternion type such that $\text{End}^q(T) \cong (m, q)_Q$. If $m>0$ or $q>0$, T is an abelian variety. If $m<0$ and $q<0$, T is not abelian variety.

And the following theorem has been proved.

THEOREM 5-4. Let T be a simple complex torus of dimension 2 with non-trivial endomorphisms. Then T is an abelian variety if and only if $\text{End}^q(T)$ contains a real quadratic field over Q as a sub- Q -algebra.

REMARK. Let $\rho(T)$ be the rank of the additive group of all hermitian forms on T , which is equal to the Picard number of T . When T is a simple torus of dimension 2 such that $\text{End}(T) \neq Z$, we have seen above that if $\text{End}^q(T)$ contains no quadratic field over Q , $\rho(T)=0$, if $\text{End}^q(T)$ contains a quadratic field but T is not of a quaternion type, $\rho(T)=2$, and if T is of a quaternion type, $\rho(T)=3$.

References

- [1] Albert, A. A., A solution of the principal problem in the theory of Riemann matrices.
Ann. Math., **35** (1934), 500-515.
- [2] ———, On the construction of Riemann matrices, I, II, Ann. Math., **35** (1934),
1-28, **36** (1935), 376-394.
- [3] Koizumi S., Theta function (in Japanese), Kinokuniya, Tokyo (1982).
- [4] Mumford, D., Abelian varieties, Tata Inst. Studies in Math., Oxford Univ. press,
London and New York (1961).
- [5] Shimura, G. & Taniyama, Y., Complex multiplication of abelian varieties, Math. Soc.
Japan (1961).
- [6] Yoshihara, H., Structure of complex thri with the endomorphisms of maximal degree,
Tsukuba J. Math. **4** (1980), 303-311.