# ON THE STRUCTURE OF THE MATRIX CORRESPONDING TO A FINITE TOPOLOGY

Dedicated to Professor Ryosuke Nakagawa on his 60th birthday

By

# Shōji OCHIAI

#### 1. Introduction and preliminaries

In connection with enumeration of finite topologies on an *n*-set, we introduced a number  $\alpha(K)$  which is defined on the matrix K corresponding to a finite topology on an *n*-set and investigated its properties [2]. In this paper, we shall carry on our studies on the structure of the matrix corresponding to a finite topology and show the following inequality for an arbitrary  $n \times n$  matrix K which corresponds to a  $T_0$  topology:

$$n(n+5)/2+1 \leq \alpha(K) \leq 2^{n+1}+n-1$$
.

Let  $X = \{x_1, x_2, \dots, x_n\}$  be a finite set and T a topology on X. Let  $U_i$  be the smallest open set containing  $x_i$ . Then we define an  $n \times n$ , zero-one matrix  $K = [k_{ij}]$  by

$$k_{ij} = \begin{cases} 1 & x_j \in U_i \\ 0 & x_j \notin U_i \end{cases}$$

We denote this matrix K corresponding to the topology T by M(T).

Let  $B_0 = \{0, 1\}$  be an ordered set (0 < 1) with two binary operations + and \* defined as follows: 0+0=0\*1=1\*0=0\*0=0, 1+0=0+1=1+1=1\*1=1. Let  $V_n$  be the set of all *n*-tuples  $[a_1, a_2, \dots, a_n]$  over  $B_0$ . An element of  $V_n$  is called a Boolean vector of dimension *n*. The system  $V_n$  together with the operation of componentwise addition is called the Boolean vector space of dimension *n*. A subspace of  $V_n$  is a subset which contains  $[0, 0, \dots, 0]$  and is closed under addition of vectors. The span of a set *U* of vectors is the intersection of all subspace containing *U*. The row (column) space of a matrix *K* is the span of the set of all rows (columns) of *K*. We denote the row (column) space of *K* by R(K)(C(K)) and write simply 0 for  $[0, 0, \dots, 0]$  or  ${}^{t}[0, 0, \dots, 0]$ . In this paper except Section 6, all matrices are ones over  $B_0$ , i.e. Boolean matrices

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where matrix multiplication involves Boolean operation.

The following is given by Sharp, H. J. R. [3].

THEOREM A. A reflexive  $n \times n$  matrix K corresponds to a topology on an n-set if and only if  $K^2 = K$ .

DEFINITION 1. Let K be an  $n \times n$  matrix corresponding to a topology on an *n*-set and L(K) the set of all  $(n+1)\times(n+1)$  matrices of the form  $\begin{bmatrix} 1 & A \\ B & K \end{bmatrix}$ corresponding to a topology on an (n+1)-set, where A is a  $1 \times n$  matrix and B is an  $n \times 1$  matrix. Then we define  $\alpha(K)$  by  $\alpha(K) = |L(K)|$ , the number of elements of the set L(K).

From this definition, we can directly derive the following result (see [2]).

THEOREM B. Let T(n+1) be the number of topologies on an (n+1)-set. Then we have  $T(n+1)=\sum_{K} \alpha(K)$  where K runs over all  $n \times n$  matrices, each of which corresponds to a topology.

Many other properties on  $\alpha$  are found in [2].

Throughout the rest of the paper, K denotes an  $n \times n$  matrix corresponding to a topology on an *n*-set unless the contrary is mentioned.

#### 2. Structure of matrices containing K

Let  $T_0(n+1)$  be the number of  $T_0$  topologies on an (n+1)-set. Then we have the following result.

THEOREM 1.  $T_0(n+1) = \sum_{i=0}^{n-1} (-1)^i [n]_i (\sum_{k=1}^{k(i)} \alpha(K_{n-i,k})) + (-1)^n [n]_n$ , where  $K_{n-i,k}$  runs over all matrices of order n-i corresponding to a  $T_0$  topology and  $[n]_i = n(n-1) \cdots (n-i+1)$  for  $0 < i \le n$ ,  $[n]_0 = 1$ .

PROOF. Let K' be a matrix of order n+1 of the form  $K' = \begin{bmatrix} 1 & A \\ B & K \end{bmatrix}$  corresponding to a topology where A is a  $1 \times n$  matrix, B is an  $n \times 1$  matrix and K is an  $n \times n$  matrix. If K corresponds to a non- $T_0$  topology, then K' corresponds to a non- $T_0$  topology. Suppose that K is a matrix corresponding to a  $T_0$  topology. Then K' corresponds to a non- $T_0$  topology if and only in  $A = K_{i*}$  and  $B = K_{*i}$  for some i, where  $K_{i*}(K_{*i})$  is i-th row (column) of K. Therefore, we get  $T_0(n+1) = \sum_K \alpha(K) - nT_0(n)$ , where K runs over all matrices of order n, each of which corresponds to a  $T_0$  topology.

Then we get

On the structure of the matrix corresponding

$$T_0(n+1) = \sum_{i=0}^{n-1} (-1)^i [n]_i (\sum_{k=1}^{k(i)} \alpha(K_{n-i,k})) + (-1)^n [n]_n,$$

where  $K_{n-i,k}$  runs over all matrices of order n-i, each of which corresponds to a  $T_0$  topology. This concludes the proof.

DEFINITION 2. Let  $M = [m_{ij}]$  and  $N = [n_{ij}]$  be  $n \times m$  matrices. By  $M \leq N$ , we mean that if  $m_{ij}=1$ , then  $n_{ij}=1$  for each *i* and *j*. If *M* and *N* are incomparable, that is neither  $M \leq N$  nor  $N \leq M$ , then we write  $M \parallel N$ . Define a function  $\zeta$  by  $\zeta(M, N)=1$  if  $M \leq N$  and otherwise  $\zeta(M, N)=0$ , where 0 and 1 are elements of  $B_0$ .

LEMMA 1. Let M be an  $m \times m$  reflexive matrix. Partition M into the following blocks;



where  $M_{ij}$  is an  $m_i \times m_j$  matrix for each i and j.

Then the matrix M corresponds to a topology if and only if for each i and j with  $1 \leq i, j \leq p, M_{ik}M_{kj} \leq M_{ij}$  holds for  $k, 1 \leq k \leq p$ .

PROOF. By Theorem A, M corresponds to a topology if and only if  $M^2 = M$ , that is,

$$M_{i1}M_{1j} + M_{i2}M_{2j} + \dots + M_{ip}M_{pj} = M_{ij}$$

for each *i* and *j* with  $1 \leq i$ ,  $j \leq p$ . Further, for fixed *i* and *j*, the validity of this equation is equivalent to that  $M_{ik}M_{kj} \leq M_{ij}$  holds for each k,  $1 \leq k \leq p$ .

COROLLARY. Let A be a  $1 \times n$  matrix and B an  $n \times 1$  matrix. The matrix  $\begin{bmatrix} 1 & A \\ B & K \end{bmatrix}$  corresponds to a topology if and only if  $A \in R(K)$ ,  $B \in C(K)$  and  $BA \leq K$ .

We write simply (A, B) for a matrix  $\begin{bmatrix} 1 & A \\ B & K \end{bmatrix}$ . That is,  $L(K) = \{(A, B) | A \in R(K), B \in C(K), BA \leq K\}.$ 

The proof of the following lemma is easy and omitted. Let [n] be the set  $[n] = \{1, 2, \dots, n\}$ .

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LEMMA 2. Let  $A = [a_1, \dots, a_i, \dots, a_n]$ ,  ${}^{t}B = [b_1, \dots, b_j, \dots, b_n]$  and  $K' = (A, B) \in L(K)$ . Define subsets  $\Omega$  and  $\Psi$  of [n] by  $\Omega = \{i \mid a_i = 0\}$  and  $\Psi = \{i \mid b_i = 0\}$  respectively. If  $\Omega \neq \emptyset$  and  $\Omega \neq [n]$ , then  $k_{ij} = 0$ , for  $i \in [n] - \Omega$ ,  $j \in \Omega$  and if  $\Psi \neq \emptyset$  and  $\Psi \neq [n]$ , then  $k_{ij} = 0$ , for  $i \in \Psi$ ,  $j \in [n] - \Psi$ , where  $k_{ij}$  is an (i, j) element of K.

LEMMA 3. For any two elements (A, B), (C, D) of L(K), the following facts hold.

- (1) If either  $A \parallel C$  or  $D \parallel B$ , then CB = AD = 0,
- (2) If either A > C or D > B, then CB = 0,
- (3) If either A < C or D < B, then AD = 0.

PROOF. (1) Suppose that A and C are incomparable. If  $a_i=1$ , there exists j such that  $c_j=1$  and  $a_j=0$ . By Lemma 2, we can see  $k_{ij}=0$  in the matrix  $K=\lceil k_{ij}\rceil$ . If  $d_i=1$ , then  $DC \leq K$ , a contradiction. Thus we have  $d_i=0$ , which implies AD=0. We can similarly obtain CB=0. (2) and (3) can be proved by the same method.

THEOREM 2. Let K' be an  $(n+2)\times(n+2)$  matrix of the form

$$K' = \begin{bmatrix} 1 & e & C \\ f & 1 & A \\ D & B & K \end{bmatrix}$$

where  $e, f \in B_0$ , A and C are  $1 \times n$  matrices and B and D are  $n \times 1$  matrices. Then K' corresponds to a topology if and only if the following conditions are satisfied

- (1) (A, B) and (C, D) are elements of L(K).
- (2)  $CB \leq e \leq \zeta(A, C) * \zeta(D, B).$
- (3)  $AD \leq f \leq \zeta(C, A) * \zeta(B, D).$

PROOF. Using Lemma 1, K' corresponds to a topology if and only if the following conditions are satisfied.

- (a) AK = A and CK = C.
- (b) KB=B and KD=D.
- (c)  $BA \leq K$  and  $DC \leq K$ .
- (d)  $CB \leq e$  and  $AD \leq f$ .
- (e)  $eA \leq C$  and  $De \leq B$ .
- (f)  $fC \leq A$  and  $Bf \leq D$ .

The condition (a) is equivalent to that both A and C are elements of the

row space of K and (b) to that both B and D are elements of the column space of K. Hence (a), (b) and (c) are equivalent to that (A, B) and (C, D) are elements of L(K).

The condition (e) is equivalent to  $e \leq \zeta(A, C)$  and  $e \leq \zeta(D, B)$  and hence to  $e \leq \zeta(A, C) * \zeta(D, B)$ . By the same way, the condition (f) is equivalent to  $f \leq \zeta(C, A) * \zeta(B, D)$  and the proof is completed.

By Lemma 3, note that if (A, B) and (C, D) are elements of L(K), then  $\zeta(A, C)*\zeta(D, B)=0$  implies CB=0 and  $\zeta(C, A)*\zeta(B, D)=0$  implies AD=0.

# 3. N((A, B), (C, D), K)

DEFINITION 3. Let A and C be  $1 \times n$  matrices, and B and D,  $n \times 1$  matrices. We denote the number of  $(n+2) \times (n+2)$  matrices K' of the form  $K' = \begin{bmatrix} 1 & * & C \\ * & 1 & A \\ D & B & K \end{bmatrix}$  corresponding to a topology by N((A, B), (C, D), K).

By this definition, we get the following lemmas, which are easily proved.

LEMMA 4. For any two elements (A, B), (C, D) of L(K), N((A, B), (C, D), K) = 1, 2 or 4 and N((A, B), (C, D), K) = N((C, D), (A, B), K).

LEMMA 5. Let K' = (A, B) be an element of L(K). Then we get  $\alpha((A, B)) = \sum_{(C,D) \in L(K)} N((A, B), (C, D), K)$ . The number of terms on the righthand side is equal to  $\alpha(K)$ .

THEOREM 3. Let K' = (A, B) be an element of L(K). Then AB=1 if and only if  $A=K_{i*}$  and  $B=K_{*i}$  for some i.

PROOF. To show the "only if" part, assume that  $A=[a_1, \dots, a_i, \dots, a_n]$ ,  $B={}^{i}[b_1, \dots, b_i, \dots, b_n]$  and  $a_i=b_i=1$  for some *i*. Then we get  $K'_{1*} \ge K'_{i+1*}$  and  $K'_{*1} \ge K'_{*i+1}$ . These imply  $K'_{*1} \le K'_{*i+1}$ ,  $K'_{1*} \le K'_{i+1*}$  and so  $K'_{1*} = K'_{i+1*}$ ,  $K'_{*1} = K'_{*i+1}$  [3]. These facts imply  $A=K_{i*}$  and  $B=K_{*i}$  for some *i*. The "if" part is obvious.

THEOREM 4. Let K be a matrix corresponding to a  $T_0$  topology, A a  $1 \times n$ matrix and B an  $n \times 1$  matrix. Then K' = (A, B) corresponds to a  $T_0$  topology if and only if  $K' \in L(K)$  and AB = 0.

**PROOF.** Let (A, B) be an element of L(K) such that AB=1. Then, by

using Theorem 3, we obtain  $A=K_{i*}$  and  $B=K_{*i}$  for some *i* and hence K' does not correspond to a  $T_0$  topology. Conversely, if K'=(A, B) is an element of L(K) such that AB=0, then K' corresponds to a topology and by using Theorem 3, we see  $(A, B)\neq(K_{i*}, K_{*i})$  for each *i*. Therefore K' corresponds to a  $T_0$  topology.

THEOREM 5. For any two elements (A, B), (C, D) of L(K),

(1) N((A, B), (A, B), K)=1 if and only if  $A=K_{i*}$  and  $B=K_{*i}$  for some *i*. (2) N((A, B), (C, D), K)=4 if and only if A=C, B=D and  $(A, B) \neq (K_{i*}, K_{*i})$  for each *i*.

PROOF. (1) Assume that N((A, B), (A, B), K)=1. Then a pair (e, f) is uniquely determined, so that  $\begin{bmatrix} 1 & e & A \\ f & 1 & A \\ B & B & K \end{bmatrix}$  corresponds to a topology. Apply Theorem 2 to the case A=C, B=D and we get AB=CB=AD=1. Since K'=(A, B) is the matrix corresponding to a topology, we get  $A=K_{i*}$  and  $B=K_{*i}$ for some *i* by Theorem 3. Conversely, if  $A=K_{i*}$  and  $B=K_{*i}$ , then we get e=f=1 by Theorem 2.

(2) If N((A, B), (C, D), K)=4, then we obtain  $\zeta(A, C)*\zeta(D, B)=\zeta(C, A)*\zeta(B, D)=1$  and CB=AD=0 by Theorem 2. These facts imply A=C, D=B and so AB=0. By Theorem 3, we get  $(A, B)\neq(K_{i*}, K_{*i})$  for each *i*. Conversely, if  $(A, B)\neq(K_{i*}, K_{*i})$  for each *i* and A=C, B=D, then we obtain AB=0 by Theorem 3. By assumption, we obtain CB=AB=AD=0 and  $\zeta(A, C)*\zeta(D, B)=\zeta(C, A)*\zeta(B, D)=1$ . By Theorem 2, we get N((A, B), (C, D), K)=4.

For a given matrix K, let  $\sim$  be an equivalence relation on [n] defined by  $i \sim j$  if and only if  $K_{i*} = K_{j*}$ . Choose a representative k(i),  $i=1, \dots, l$ , for each equivalence class. Then we have an  $l \times l$  matrix  $\tilde{K}$  such that  $\tilde{K} = [k_{k(i), k(j)}]$ ,  $1 \leq i, j \leq l$ . It is easily verified that the matrix  $\tilde{K}$  corresponds to a  $T_0$  topology on an *l*-set. We shall call  $\tilde{K}$  a reduced matrix of K.

LEMMA 6 ([2]). If  $\tilde{K}$  is a reduced matrix of a matrix K, then we have  $\alpha(K) = \alpha(\tilde{K})$ .

LEMMA 7. Let (A, B) be an element of L(K). Then N((A, B), (C, D), K) = 1 holds for all elements (C, D) of L(K) if and only if  $A = K_{i*}$  and  $B = K_{*i}$  for some *i*.

**PROOF.** If  $(A, B) \neq (K_{i*}, K_{*i})$  for all *i*, then by Theorem 5, we get

N((A, B), (A, B), K)=4. Conversely, if  $A=K_{i*}$  and  $B=K_{*i}$  hold for some *i*, then K is a reduced matrix of  $(K_{i*}, K_{*i})$ . By using Lemmas 5 and 6, we get

$$\alpha(K) = \alpha((K_{i*}, K_{*i})) = \sum_{(C, D) \in L(K)} N((K_{i*}, K_{*i}), (C, D), K).$$

Therefore, we conclude  $N((K_{i*}, K_{*i}), (C, D), K)=1$  for all elements (C, D) of L(K) by Lemma 4.

# 4. An inequality $\alpha(K) < \alpha(I_n)$

LEMMA 8. Let  $\begin{bmatrix} 1 & C \\ D & I_n \end{bmatrix}$  be an element of  $L(I_n)$ , where  $I_n$  is the identity matrix of order n. If  $(C, D) \neq (0, 0)$  and  $(C, D) \neq ((I_n)_{i*}, (I_n)_{*i})$  for each i, then  $N((0, 0), (C, D), I_n) = 2$ .

PROOF. Under the assumption, we shall consider three cases.

- (a)  $C=0, D\neq 0.$
- (b)  $C \neq 0$ , D=0.
- (c)  $C \neq 0$ ,  $D \neq 0$ .

But the case (c) dose not occur, because  $DC \leq I_n$ . Applying Theorem 2 to the case (a), we have

$$0 \le e \le \zeta(0, 0) * \zeta(D, 0) = 0.$$
  
$$0 \le f \le \zeta(0, 0) * \zeta(0, D) = 1.$$

In case of (b), we obtain similarly,

$$0 \le e \le \zeta(0, C) * \zeta(0, 0) = 1.$$
  
$$0 \le f \le \zeta(C, 0) * \zeta(0, 0) = 0.$$

Therefore, we conclude  $N((0, 0), (C, D), I_n)=2$ .

LEMMA 9. Let (A, B) be an  $(n+1)\times(n+1)$  non-identity matrix belonging to L(K) where A and B are  $1\times n$  and  $n\times 1$  matrices respectively. Then there exists an element (C, D) of L(K) such that  $(C, D)\neq(K_{i*}, K_{*i})$  for all i and N((A, B), (C, D), K)=1.

PROOF. The proof is divided into the following four cases.

(a) A=0, B=0.

By assumtion, K is a non-identity matrix. Hence there exists a comparable pair  $K_{i*}$ ,  $K_{j*}$  such that  $i \neq j$ . Without loss of generality, we may assume  $K_{i*} \leq K_{j*}$ . If we put  $C = K_{i*}$  and  $D = K_{*j}$ , then these Boolean vectors C, D satisfy  $DC \leq K$ . This implies  $(C, D) \in L(K)$ . We see easily  $\zeta(D, B) = \zeta(K_{*j}, 0) = 0$ ,  $\zeta(C, A) = \zeta(K_{i*}, 0) = 0$ . By Theorem 2, we can obtain e = f = 0.

(b)  $A=0, B\neq 0.$ 

Let D=0 and  $B={}^{t}[b_{1}, \dots, b_{j}, \dots, b_{n}]$  be an element of C(K) such that  $b_{j}=1$ . If we put  $C=K_{j*}$ , then  $DC=0\leq K$  and CB=1. By Theorem 2, we obtain  $CB=1\leq e\leq \zeta(0, K_{j*})*\zeta(0, B)=1$ .  $AD=0\leq f\leq \zeta(K_{j*}, 0)*\zeta(B, 0)=0$ . Then we get e=1 and f=0.

(c)  $A \neq 0, B=0.$ 

Let C=0 and D be an element of C(K) such that AD=1. By the same method as above, we get e=0 and f=1.

(d)  $A \neq 0, B \neq 0.$ 

Let C=0 and D=0. Then we similarly obtain e=f=0. These facts imply the lemma.

THEOREM 6. Let K be an  $n \times n$  non-identity matrix corresponding to a topology. Then we obtain  $\alpha(K) < \alpha(I_n)$ .

PROOF. By Lemma 6, if K corresponds to a non- $T_0$  topology, there exists a reduced  $m \times m$  (m < n) matrix  $\tilde{K}$  corresponding to  $T_0$ -topology and satisfying  $\alpha(\tilde{K}) = \alpha(K)$ . Since  $\alpha(I_n) = 2^{n+1} + n - 1$  [Lemma 6, [2]], we have  $\alpha(I_m) < \alpha(I_n)$ . Therefore, we prove this theorem for a matrix K corresponding to a  $T_0$ topology. The proof of our theorem will be done by induction on the order nof K. If n=2, then  $\alpha(K)=8$  and  $\alpha(I_2)=9$  and so this inequality is true. Assume that  $\alpha(K) < \alpha(I_k)$  holds for a non-identity matrix  $K (=K_k)$  of order k corresponding to a  $T_0$  topology ( $2 \le k$ ). Note that  $I_{k+1}$  is expressed by an element (0, 0) of  $L(I_k)$ . By Lemma 5,  $\alpha(I_{k+1}) = \alpha((0, 0)) = \sum_{(C,D) \in L(I_k)} N((0, 0), (C, D), I_k)$ . By Lemma 8 and Theorem 5, the number of (C, D) with N((0, 0), (C, D), K)=1 is k. Assume that K' is a  $(k+1) \times (k+1)$  non-identity matrix  $K_{k+1}$  of the form K'=(A, B). Then

$$\alpha(K') = \alpha((A, B)) = \sum_{(C, D) \in L(K)} N((A, B), (C, D), K).$$

By Lemma 9, the number m of (C, D) with N((A, B), (C, D), K)=1 satisfies  $k+1 \leq m$ . From these facts, we obtain  $\alpha(I_{k+1})=2\alpha(I_k)-k+2$  and  $\alpha(K_{k+1})=2\alpha(K_k)-m+2$ . Since  $\alpha(K_k) < \alpha(I_k)$  and  $k+1 \leq m$ , we obtain  $\alpha(K_{k+1}) < \alpha(I_{k+1})$ . This completes the inductive step and the proof of the theorem.

5. A lattice L(K)

Let  $A = [a_1, a_2, \dots, a_n]$ ,  $C = [c_1, c_2, \dots, c_n]$  and define  $A \cdot C$  by  $A \cdot C = [a_1 * c_1, a_2 * c_2, \dots, a_n * c_n]$ . Similarly, define  ${}^tA \cdot {}^tC$  by  ${}^tA \cdot {}^tC = {}^t(A \cdot C)$  and A + C as usual. Define an order in L(K) by  $(A, B) \leq L(A', B')$  if and only if  $A \leq A'$ 

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and  $B \ge B'$ , and a join operation  $\lor$  and a meet operation  $\land$  in L(K) by

 $(A, B) \lor (A', B') = (A + A', B \cdot B'), \quad (A, B) \land (A', B') = (A \cdot A', B + B').$ 

Then, it is easily checked that L(K) is a distributive lattice. For terminology of lattice theory refer to [4].

LEMMA 10. Let K=M(T) and J=M(T') be the matrices corresponding to topologies T and T' respectively. If two matrices K and J are isomorphic (i.e.  $K={}^{t}PJP$ , with a permutation matrix P), then L(K) and L(J) are isomorphic as lattices.

The proof is easy and so omitted. Note that T and T' are homeomorphic if and only if K and J are isomorphic [2], [3].

As a matter of convenience, we call that (C, D) satisfies a condition  $C_{A,B}$  for a fixed element (A, B) of L(K), if (C, D) satisfies the following conditions:

- (1) (C, D) is an element of L(K) and is different from (A, B).
- (2) AD = CB = 0.
- (3) (C, D) is comparable to (A, B) in L(K).

LEMMA 11. Let (A, B) and (C, D) be two elements of L(K). Then N((A, B), (C, D), K)=2 holds if and only if (C, D) satisfies  $C_{A,B}$ .

**PROOF.** Assume that (C, D) satisfies  $C_{A,B}$ . Then, one of two equations  $\zeta(A, C)*\zeta(D, B)=1$  and  $\zeta(C, A)*\zeta(B, D)=1$  is true. Hence, under the assumption CB=AD=0, we obtain N((A, B), (C, D), K)=2 by Theorem 2. Conversely, if N((A, B), (C, D), K)=2 holds, then the following four cases can be considered.

- (a)  $1 = CB = \zeta(A, C) * \zeta(D, B) = 1,$  $0 = AD \leq \zeta(C, A) * \zeta(B, D) = 1.$
- (b)  $0=CB \leq \zeta(A, C) * \zeta(D, B)=1$ ,
  - $1 = AD = \zeta(C, A) * \zeta(B, D) = 1.$
- (c)  $0 = CB = \zeta(A, C) * \zeta(D, B) = 0,$  $0 = AD \leq \zeta(C, A) * \zeta(B, D) = 1.$
- (d)  $0 = CB \leq \zeta(A, C) * \zeta(D, B) = 1,$  $0 = AD = \zeta(C, A) * \zeta(B, D) = 0.$

In cases of (a) and (b), we can conclude (A, B)=(C, D). But, these cases occur only if N((A, B), (C, D), K)=1 or 4. In case (c), we get  $(A, B)\neq(C, D)$ ,  $C \leq A, B \leq D$  and so  $(C, D) \leq_L (A, B)$ , that is, (C, D) satisfies  $\mathcal{C}_{A,B}$ . In case (d),

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we get the same conclusion.

LEMMA 12. Let K be a matrix corresponding to  $T_0$  topology. If  $(A, B) \neq (K_{i*}, K_{*i})$  for each i, then the subset of L(K) defined by  $\{(C, D) | AD = CB = 0$  and (C, D) is comparable to (A, B) in L(K) contains (A, B) and is a sublattice of L(K).

PROOF. Since  $(A, B) \neq (K_{i*}, K_{*i})$  for each *i*, the above subset contains (A, B) by Theorem 4. The remaining part of the lemma can be proved by easy computations.

DEFINITION 4. Let v be a Boolean vector of dimension n. We define a complement  $v^c$  of v by a vector such that  $v_i^c=1$  if and only if  $v_i=0$ , where  $v_i$   $v_i^c$  denote the *i*-th component of v and  $v^c$  respectively.

THEOREM 7. Let K be an  $n \times n$  matrix corresponding to a  $T_0$  topology,  $A = [a_1, \dots, a_i, \dots, a_n]$ ,  $B = {}^t [b_1, \dots, b_i, \dots, b_n]$  and  $S = \{i | a_i = b_i = 0\}$ , s = |S|. Suppose that  $(A, B) \in L(K)$  and  $(A, B) \neq (K_{i*}, K_{*i})$  for each i. Then there exists a chain in L(K) satisfying the following conditions.

(a) The chain contains (A, B) and its length is n+s.

(b) Each element (C, D) of the chain, which is different from (A, B), satisfies  $C_{A,B}$ .

(c) The maximal element of the chain is  $(({}^{t}B)^{c}, 0)$  and the minimal element of the chain is  $(0, ({}^{t}A)^{c})$ .

PROOF. Since K corresponds to a  $T_0$  topology, K is isomorphic to a triangular matrix [3]. Therefore, by Lemma 10, we may assume that K is an upper triangular matrix.

If  $(A, B) = ([1, 1, \dots, 1], 0), (0, [1, 1, \dots, 1]), or (0, 0),$  there exists clearly a chain satisfying the conditions of the theorem. Therefore, we may assume  $(A, B) \neq ([1, 1, \dots, 1], 0), (0, [1, 1, \dots, 1]), (0, 0).$  Let  $R = \{i \mid a_i = 0, b_i = 1\}, r =$  $|R|, T = \{i \mid a_i = 1, b_i = 0\}, t = |T|, u : [r] \rightarrow R, v : [s] \rightarrow S, w : [t] \rightarrow T$  be strictly order reversing maps, where each order of these sets is natural one, and let

$$R(m) = \{u(m+1), u(m+2), \dots, u(r)\},\$$
  

$$S(m) = \{v(m+1), v(m+2), \dots, v(s)\},\$$
  

$$T(m) = \{w(m+1), w(m+2), \dots, w(t)\}.$$

Now we shall construct a chain  $(A_0, B_0)$ ,  $(A_1, B_1)$ ,  $\cdots$ ,  $(A_{n+s}, B_{n+s})$  as follows.

First define the following Boolean vectors.  $A_0 = A_1 = \cdots = A_s = 0$ ,  $A_{s+t+1} = \cdots$ 

 $=A_{n}=A, B_{0}={}^{t}[b_{1}^{0}, \cdots, b_{n}^{0}] \text{ with } b_{i}^{0}=a_{i}^{c}, i=1, \cdots, n, B_{s+1}=\cdots=B_{s+t}=B, B_{n+1}=\cdots=B_{n+s}=0.$ 

Note that  $(A_0, B_0)$  satisfies  $\mathcal{C}_{A,B}$  by the corollary of Lemma 1 and Lemma 2. (1). If  $S = \emptyset$ , then go to the next step (2). If  $S \neq \emptyset$ , then we shall define the Boolean vector  $B_1$  by replacing the v(1)-th component  $b_{v(1)}^0 = 1$  of  $B_0$  by 0. Then  $(A_1, B_1)$  covers  $(A_0, B_0)$  (denoted by  $(A_0, B_0) < \cdot (A_1, B_1)$ ) and  $(A_1, B_1)$  satisfies  $\mathcal{C}_{A,B}$ . Assume that a saturated chain  $(A_0, B_0) < \cdot (A_1, B_1) < \cdots < \cdot (A_m, B_m)$  is constructed and each  $(A_i, B_i)$  satisfies  $\mathcal{C}_{A,B}$ ,  $1 \leq i \leq m$ . If  $S(m) = \emptyset$ , go to the next step (2). If  $S(m) \neq \emptyset$ , then we shall define the Boolean vector  $B_{m+1}$  by replacing the v(m+1)-th component  $b_{v(m+1)}^{(m)} = 1$  of  $B_m = [b_1^{(m)}, b_2^{(m)}, \cdots, b_n^{(m)}]$  by 0. Then  $(A_m, B_m) < \cdot (A_{m+1}, B_{m+1})$  and  $(A_{m+1}, B_{m+1})$  satisfies  $\mathcal{C}_{A,B}$ . This completes the inductive step and so we obtain  $(A_0, B_0) < \cdot (A_1, B_1) < \cdots < \cdot (A_s, B_s) = (0, B)$  and each element  $(A_i, B_i)$  satisfies  $\mathcal{C}_{A,B}$ ,  $0 \leq i \leq s$ .

(2). If  $T=\emptyset$ , go to the next step (3). If  $T\neq\emptyset$ , then we define the Boolean vector  $A_{s+1}=[a_1^{(s+1)}, \cdots, a_i^{(s+1)}, \cdots, a_n^{(s+1)}]$  by w(1)-th component  $a_{w(1)}^{(s+1)}=1$ ,  $a_i^{(s+1)}=0$ ,  $i\neq w(1)$ . Then we obtain  $(A_s, B_s)<\cdot(A_{s+1}, B_{s+1})$  and  $(A_{s+1}, B_{s+1})$  satisfies  $C_{A,B}$ . Assume that a saturated chain  $(A_s, B_s)<\cdot(A_{s+1}, B_{s+1})<\cdots<\cdot(A_{s+m}, B_{s+m})$  is constructed and each  $(A_i, B_i)$  satisfies  $C_{A,B}$ ,  $s+1\leq i\leq s+m$ . If  $T(m)=\emptyset$ , go to the next step (3). If  $T(m)\neq\emptyset$ , then we define the Boolean vector  $A_{s+m+1}$  by replacing the w(m+1)-th component  $a_{w(m+1)}^{(s+m)}=0$  of  $A_{s+m}=[a_1^{(s+m)}, \cdots, a_i^{(s+m)}, \cdots, a_i^{(s+m)}]$  by 1. Then  $(A_{s+m}, B_{s+m})<\cdot(A_{s+m+1}, B_{s+m+1})$  holds and  $(A_{s+m+1}, B_{s+m+1})$  satisfies  $C_{A,B}$ . This completes the inductive step and we obtain the chain

$$(A_s, B_s) < (A_{s+1}, B_{s+1}) < \cdots < (A_{s+t}, B_{s+t}) = (A, B)$$

and each  $(A_{s+i}, B_{s+i})$  satisfies  $C_{A,B}$ ,  $1 \leq i \leq t-1$ .

(3). If  $R = \emptyset$ , go to the next step (4). If  $R \neq \emptyset$ , then we define the Boolean vector  $B_{s+t+1}$  by replacing the u(1)-th component  $b_{u(1)}=1$  of  $B = [b_1, \dots, b_i, \dots, b_n]$  by 0. Then  $(A_{s+t}, B_{s+t}) < (A_{s+t+1}, B_{s+t+1})$  holds and  $(A_{s+t+1}, B_{s+t+1})$  satisfies  $C_{A,B}$ . Now, suppose that the saturated chain  $(A_{s+t}, B_{s+t}) < (A_{s+t+1}, B_{s+t+1}) < \dots < (A_{s+t+m}, B_{s+t+m})$  is constructed and each element  $(A_{s+t+i}, B_{s+t+i})$  satisfies  $C_{A,B}$ ,  $1 \le i \le m$ . If  $R(m) = \emptyset$ , go to the next step (4). If  $R(m) \ne \emptyset$ , then we define the Boolean vector  $B_{s+t+m+1}$  by replacing the u(m+1)-th component  $b_{u(m+1)}^{(s+t+m)} = 1$  of  $B_{s+t+m} = [b_1^{(s+t+m)}, \dots, b_i^{(s+t+m)}, \dots, b_n^{(s+t+m)}]$  by 0.

Then we see  $(A_{s+t+m}, B_{s+t+m}) \leq (A_{s+t+m+1}, B_{s+t+m+1})$  and  $(A_{s+t+m+1}, B_{s+t+m+1})$ satisfies  $C_{A,B}$ . Then we obtain the saturated chain

$$(A, B) = (A_{s+t}, B_{s+t}) < (A_{s+t+1}, B_{s+t+1}) < \cdots < (A_n, B_n) = (A, 0)$$

and each  $(A_{s+t+i}, B_{s+t+i})$  satisfies  $\mathcal{C}_{A,B}$ ,  $1 \leq i \leq r$ . Note that n=s+t+r.

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(4). If  $S=\emptyset$ , then the construction of the chain concludes. If  $S\neq\emptyset$ , then we define the Boolean vector  $A_{n+1}$  by replacing the v(1)-th component  $a_{v(1)}=0$ of  $A=[a_1, \dots, a_i, \dots, a_n]$  by (1) and then we obtain  $(A_n, B_n) < \cdot (A_{n+1}, B_{n+1})$  and  $(A_{n+1}, B_{n+1})$  satisfies  $\mathcal{C}_{A,B}$ . Now suppose that the saturated chain  $(A_n, B_n) < \cdot$  $(A_{n+1}, B_{n+1}) < \cdots < \cdot (A_{n+m}, B_{n+m})$  is constructed and each  $(A_{n+i}, B_{n+i})$  satisfies  $\mathcal{C}_{A,B}$ ,  $1 \le i \le m$ . If  $S(m)=\emptyset$ , then concludes the construction of the chain. If  $S(m) \ne \emptyset$ , then we define the Boolean vector  $A_{n+m+1}$  by replacing the v(m+1)-th component  $a_{v(m+1)}^{(n+m)}=0$  of  $A_{n+m}=[a_1^{(n+m)}, a_2^{(n+m)}, \dots, a_n^{(n+m)}]$  by replacing 1. Then we see  $(A_{n+m}, B_{n+m}) < \cdot (A_{n+m+1}, B_{n+m+1})$  and  $(A_{n+m+1}, B_{n+m+1})$  satisfies  $\mathcal{C}_{A,B}$ . This completes the inductive step and we have a chain  $(A_n, B_n) < \cdot (A_{n+1}, B_{n+1})$  $< \cdots < \cdot (A_{n+s}, B_{n+s}) = [t(B^c), 0).$ 

From above facts, we constructed the chain satisfying the desired condition of the theorem;

$$(0, {}^{t}(A^{c})) = (A_{0}, B_{0}) < \cdot (A_{1}, B_{1}) < \cdots < \cdot (A_{n+s}, B_{n+s}) = ({}^{t}(B^{c}), 0) .$$

COROLLARY. Let  $(A, B) \neq (K_{i*}, K_{*i})$  be a fixed element of L(K), where K is an  $n \times n$  matrix corresponding to  $T_0$  topology. In the multiset  $\{N((A, B), (C, D), K) | DC \leq K, C \in R(K), D \in C(K)\}$ , the number of 2's is equal or greater than n+s, where the number s is mentioned above.

**PROOF.** By Theorem 7, at least n+s elements of L(K) satisfy the conditions of Lemma 11. This implies the corollary.

The following lemma is easily proved by using Lemma 11 and the proof is omitted.

LEMMA 13. Let  $L_n$  be the upper triangular matrix of order n defined as follows;

$$L_{n} = \begin{bmatrix} 1 & 1 & \dots & 1 \\ 0 & 1 & \dots & 1 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & 0 & 1 \end{bmatrix}$$

and A an n-dimensional Boolean vector where each component of A is 1. Then  $N((A, 0), (C, D), L_n)=2$  holds if and only if  $(C, D)=(C_i, 0)$  holds where  $C_i$  is the n-dimensional Boolean vector such that  $C_i=[c_1^i, \dots, c_n^i]$  such that  $c_j^i=0$  for  $j\leq i$  and  $c_j^i=1$  for j>i for some  $i, 1\leq i\leq n$ .

This lemma implies that in the multiset  $\{N((A, 0), (C, D), L_n | (C, D) \in L(L_n)\}$ , the number of 2's is *n*, the number of 1's is  $\alpha(L_n) - (n+1)$  and remaining ele-

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ment is 4

THEOREM 8. Let K be an  $n \times n$  matrix corresponding to a  $T_0$  topology. Then

 $\alpha(L_n) \leq \alpha(K)$ .

PROOF. The proof is carried out by induction on the order of K. If n=2, then  $\alpha(L_2)=8$ ,  $\alpha(K)=8$  or 9 and so theorem is true. Assume that  $\alpha(L_k) \leq \alpha(K)$ ,  $(2 \leq k)$  holds for a  $k \times k$  matrix K corresponding to a  $T_0$  topology. Let K' be a  $(k+1)\times(k+1)$  matrix of the form (A, B) corresponding to a  $T_0$  topology. By using Lemma 5, we obtain  $\alpha(K')=\alpha((A, B))=\sum_{(C,D)\in L(K)} N((A, B), (C, D), K)$ . By using the corollary of Theorem 7, on the righthand side of this equation, the number of 2's is equal or greater than k+s. If we denote this number of 2's by m, the number of 1's is  $\alpha(K)-(m+1)$  and the remaining term is 4. Therefore,  $\alpha(K')=\alpha(K)+m+3$ . Since  $\alpha(L_{k+1})=\alpha(L_k)+k+3$  and  $\alpha(L_k)\leq \alpha(K)$  hold, we obtain  $\alpha(L_{k+1})\leq \alpha(K)$ . This completes the inductive step and concludes the proof.

THEOREM 9. For an arbitrary matrix K of order n which corresponds to a  $T_0$  topology, we have

$$n(n+5)/2+1 \le \alpha(K) \le 2^{n+1}+n-1$$
.

PROOF. It is known that  $\alpha(L_n)=n(n+5)/2+1$  and  $\alpha(I_n)=2^{n+1}+n-1$  [2]. By Theorems 6 and 8, we get the conclusion.

# 6. Table of N((A, B), (C, D), K)

In this section, we state the results of computations of N((A, B), (C, D), K) for several matrices K by using previous results.

They are expressed by matrices, whose elements are positive integers, and rows and columns are indexed by L(K). For each K, we give a matrix  $[m_{\lambda\mu}]$  defined by

$$m_{\lambda\mu} = N((A, B), (C, D), K)$$
 for  $\lambda = (A, B), \mu = (C, D)$ .

This is symmetric by Lemma 4. In the following tables, each index  $\lambda = (A, B)$  is expressed by  $\iota_B^A$  and the numbers on the left side or the upper side of  $\lambda = \iota_B^A$  are  $\alpha \left( \begin{bmatrix} 1 & A \\ B & K \end{bmatrix} \right)$ .

$$K = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

			1 0				5			(	)
			10			1	J			•	
			0 0	00	1 1	0 0	0 0	10	0 1	01	10
			0 0		0 0	<u> </u>	1 0	0 0	0 0	<u>v 1</u>	
1	8	00	4	2	2	2	2	2	2	1	1
		00	2	4	1	2	2	1	1	1	1
	5	11	2	1	4	1	1	2	2	1	1
		00	2	2	1	4	1	2	1	1	1
		00	2	2	1	1	4	1	2	1	1
		1000	2	1	2	2	1	4	1	1	1
		01	2	1	2	1	2	1	4	1	1
	•••••	01	1	1	1	1	1	1	1	1	1
	9	10 10	1	1	1	1	1	1	1	1	1

Table 1.

 $K = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$ 

			15			13	8			
		00	0100	0010	11 00	01	0011	01 11	11 10	
	00	4	2	2	2	1	2	1	1	
15	0100	2	4	2	2	2	1	1	1	
	00	2	2	4	1	2	2	1	1	
	0 0	2	2	1	4	1	1	1	1	
13	0 1 1 0	1	2	2	1	4	1	1	1	
	11	2	1	2	1	1	4	1	1	
8	11	1	1	1	1	1	1	1	1	
	10	1	1	1	1	1	1	1	1	

Table 2.

			15			13	8			
		1 0 0 0	00	00	11 00	1 0 0 1	0011	11	10 11	
	1 0 0 0	4	2	2	2	2	1	1	1	
15	0 0 0 1	2	4	2	1	2	2	1	1	
	00	2	2	4	2	1	2	1	1	
	1 1 0 0	2	1	2	4	1	1	1	1	
13	1 0 0 1	2	2	1	1	4	1	1	1	
 	00	1	2	2	1	1	4	1	1	
8	1101	1	1	1	1	1	1	1	1	
	10	1	1	1	1	1	1	1	1	

$$K = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$K = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

			28	2	6	2	4		2	3			22				
			000	000	110	010	100	000	000	010	100	000	110	111	111	010	100
2	8	000	4	2	2	2	2	2	2	2	2	2	2	1	1	1	1
,	c	000	2	4	2	2	2	2	2	1	1	2	1	2	1	1	1
6		110	2	2	4	2	2	1	1	2	2	1	2	2	1	1	1
2	A	010	2	2	2	4	1	1	2	2	1	1	1	2	1	1	1
	•••••	100	2	2	2	1	4	2	1	1	2	1	1	2	1	1	1
		000	2	2	1	1	2	4	1	1	2	2	1	1	1	1	1
2	2	000	2	2	1	2	1	1	4	2	1	2	1	1	1	1	1
	0	010	2	1	2	2	1	1	2	4	1	1	2	1	1	1	1
		100	2	1	2	1	2	2	1	1	4	1	2	1	1	1	1
		000	2	2	1	1	1	2	2	1	1	4	1	1	1	1	1
2 2	2	110	2	1	2	1	1	1	1	2	2	1	4	1	1	1	1
		111	1	2	2	2	2	1	1	1	1	1	1	4	1	1	1
		111	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
15	5	010	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
		100	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1

Table 4.

$$K = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

			28	2	6	2	4		2	3			22			15	
			000	000	010	000	110	000	000 111	100	111	000	011	010	011	010	100
2	8	000	4	2	2	2	2	2	2	2	2	2	2	1	1	1	1
26	000	2	4	2	2	2	1	2	2	1	2	1	2	1	1	1	
	010	2	2	4	2	2	2	1	1	2	1	2	2	1	1	1	
		000	2	2	2	4	1	2	2	1	1	1	1	2	1	1	1
24	4	110	2	2	2	1	4	1	1	2	2	1	1	2	1	1	1
		000	2	1	2	2	1	4	2	1	1	1	2	1	1	1	1
		000	2	2	1	2	1	2	4	1	1	2	1	1	1	1	1
	3	100	2	2	1	1	2	1	1	4	2	2	1	1	1	1	1
		111	2	1	2	1	2	1	1	2	4	1	2	1	1	1	1
		000	2	2	1	1	1	1	2	2	1	4	1	1	1	1	1
2	2	011	2	1	2	1	1	2	1	1	2	1	4	1	1	1	1
		010	1	2	2	2	2	1	1	1	1	1	1	4	1	1	1
		011	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1	5	010 011	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	100	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	

Table 5.

K =	$\begin{bmatrix} 1\\ 0\\ 0 \end{bmatrix}$	$egin{array}{c} 0 \ 1 \ 0 \end{array}$	$\begin{bmatrix} 0\\0\\1 \end{bmatrix}$
	L0	•	~ _

-			r												~			-	1 0	
			35				2	8						2	ь				10	
			000	00	0 0 0 0	000	000	001	010	100	111	000	000	000	011	101	110	001	010	100
			000	0.0	010	100	111	000	000	000	000	011	101	110	000	000	000			100
3	5	8 U U 8 0 0	4	2	2	2	2	2	2	2	2	2	2	2	2	2	2	1	1	
		000	2	4	1	1	2	1	2	2	1	2	2	1	1	1	2	1	1	1
	1	900 810	2	1	4	1	2	2	1	2	1	2	1	2	1	2	1	1	1	1
		800	2	1	1	4	2	2	2	1	1	1	2	2	2	1	1	1	1	1
		6 0 0 1 1 1	2	2	2	2	4	1	1	1	1	2	2	2	1	1	1	1	1	1
2	8	001	2	1	2	2	1	4	1	1	2	1	1	2	2	2	1	1	1	1
		010	2	2	1	2	1	1	4	1	2	1	2	1	2	1	2	1	1	1
		100	2	2	2	1	1	1	1	4	2	2	1	1	1	2	2	1	1	1
		111	2	1	1	1	1	2	2	2	4	1	1	1	2	2	2	1	1	1
1		000	2	2	2	1	2	1	1	2	1	4	1	1	1	1	1	1	1	1
		000	2	2	1	2	2	1	2	1	1	1	4	1	1	1	1	1	1	1
		000	2	1	2	2	2	2	1	1	1	1	1	4	1	1	1	1	1	1
2	6	011	2	1	1	2	1	2	2	1	2	1	1	1	4	1	1	1	1	1
		101	2	1	2	1	1	2	1	2	2	1	1	1	1	4	1	1	1	1
		110	2	2	1	1	1	1	2	2	2	1	1	1	1	1	4	1	1	1
		001	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1	8	010	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
		100	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1

REMARK. From these numerical results, the following conjectures seem to be true. For each matrix K corresponding to a topology and positive numbers *i* and *j*, define a multiset  $\{m_{\lambda\mu}\}$ , where  $\lambda$  runs over all  $\lambda$  satisfying  $\alpha(\lambda)=i$  and  $\mu$  runs over all  $\mu$  satisfying  $\alpha(\mu)=j$ .

CONJECTURE 1: This multiset  $\{m_{\lambda\mu}\}$  is uniquely determined by  $\alpha(K)$ , *i* and *j*.

CONJECTURE 2: Let M and M' be matrices constructed from matrices K and K' respectively as above. If  $\alpha(K) = \alpha(K')$ , then M and M' are isomorphic (that is  $M' = {}^{t}PMP$ , with a permutation matrix P).

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Department of Mathematics Utsunomiya University Mine-machi Utsunomiya 321 Japan