

# The Quandle Coloring Invariant of a Reducible Handlebody-Knot

著者	石井 敦
雑誌名	Tsukuba Journal of Mathematics
巻	35
号	1
ページ	131-141
発行年	2011
URL	<a href="http://hdl.handle.net/2241/00146560">http://hdl.handle.net/2241/00146560</a>

## THE QUANDLE COLORING INVARIANT OF A REDUCIBLE HANDLEBODY-KNOT

By

Atsushi ISHII and Kengo KISHIMOTO

**Abstract.** A handlebody-knot is a handlebody embedded in the 3-sphere. We provide methods to detect the irreducibility of a handlebody-knot by using the quandle coloring invariant.

### 1. Introduction

A *genus  $g$  handlebody-knot* [2] is a genus  $g$  handlebody embedded in the 3-sphere  $S^3$ . Two handlebody-knots are *equivalent* if one can be transformed into the other by an isotopy of  $S^3$ . A handlebody-knot  $H$  is *reducible* if there exists a 2-sphere in  $S^3$  such that the intersection of  $H$  and the 2-sphere is an essential disk properly embedded in  $H$ . A handlebody-knot is *irreducible* if it is not reducible. In [4], Moriuchi, Suzuki and the authors gave a table of genus two handlebody-knots up to six crossings, and classified them according to the crossing number and the irreducibility. In this paper, we provide methods to detect the irreducibility, which were used in [4].

Let  $B_1, B_2$  be 3-balls in  $S^3$  such that  $B_1 \cup B_2 = S^3$  and  $B_1 \cap B_2 = \partial B_1 = \partial B_2$ . Let  $H_i$  be a genus  $g_i$  handlebody-knot in  $B_i$  for  $i = 1, 2$ . When  $H_1 \cap H_2$  is one disk,  $H_1 \cup H_2$  is a genus  $g_1 + g_2$  handlebody-knot in  $S^3$ . We denote it by  $H_1 \# H_2$ , where we remark that the handlebody-knot  $H_1 \# H_2$  depends only on the handlebody-knots  $H_1, H_2$ . If a handlebody-knot  $H$  is reducible, then there exist handlebody-knots  $H_1, H_2$  such that  $H = H_1 \# H_2$ . Since the exterior of  $H$  is the boundary connected sum of those of  $H_1, H_2$ , the fundamental group of the exterior of  $H$  is the free product of those of  $H_1, H_2$ . In general, it is not easy to determine whether or not a given group is the free product of two nontrivial groups, although some results for particular groups were known (see, for example, [6, 7]).

---

2000 *Mathematics Subject Classification.* Primary 57M27; Secondary 57M15, 57M25.

*Key words and phrases.* Handlebody-knot, spatial graph, irreducibility, quandle coloring.

Received December 13, 2010.

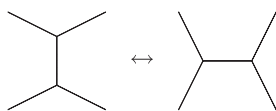


Figure 1: An IH-move

In this paper, we use the quandle coloring invariant defined in [2, 3] to detect the irreducibility. A quandle coloring for knots is a generalization of the Fox coloring. To define a quandle coloring on a handlebody-knot diagram, we need to overcome an obstacle arising from a trivalent vertex. In [2, 3], the quandle coloring invariant and the quandle cocycle invariant are defined for handlebody-knots by introducing the notion of a flow. The flow is the key to the methods we provide in this paper.

A *spatial trivalent graph* is a finite trivalent graph embedded in  $S^3$ . Two spatial trivalent graphs are *equivalent* if one can be transformed into the other by an isotopy of  $S^3$ . When a handlebody-knot  $H$  is a regular neighborhood of a spatial trivalent graph  $K$ , we say that  $H$  is represented by  $K$ . In this paper, a circle is regarded as a trivalent graph. Then any handlebody-knot can be represented by some spatial trivalent graph. Suzuki [10] introduced the notion of the neighborhood equivalence for spatial graphs. The neighborhood equivalence class of a spatial connected trivalent graph is a handlebody-knot. The  $\partial$ -irreducibility of the exterior of a spatial graph is investigated in [9, 11], where we note that a handlebody-knot is irreducible if its exterior is  $\partial$ -irreducible.

A *diagram* of a handlebody-knot is a diagram of a spatial trivalent graph which represents the handlebody-knot. An *IH-move* is a local spatial move on spatial trivalent graphs as described in Figure 1, where the replacement is applied in a disk embedded in  $S^3$ . The following enables us to study handlebody-knots through their diagrams.

**THEOREM 1 ([2]).** *For spatial trivalent graphs  $K_1$  and  $K_2$ , the following are equivalent.*

- $K_1$  and  $K_2$  represent an equivalent handlebody-knot.
- $K_1$  and  $K_2$  are related by a finite sequence of IH-moves.
- Diagrams of  $K_1$  and  $K_2$  are related by a finite sequence of the moves depicted in Figure 2.

In Section 2, we recall the definition of the quandle coloring invariant for a handlebody-knot. In Section 3, we show some properties of the quandle coloring

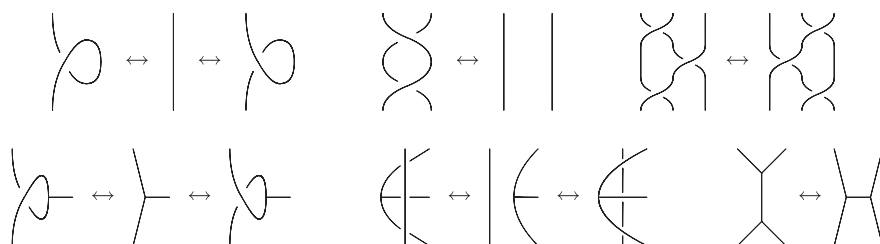


Figure 2



Figure 3

invariant of a reducible handlebody-knot. In Section 4, we show that some handlebody-knots are irreducible by using our results.

## 2. Quandle Colorings

In this section, we introduce a flow of a handlebody-knot and recall the definition of the quandle coloring invariant defined in [3].

We denote by  $G(H)$  the fundamental group of the exterior of a handlebody-knot  $H$ . We denote by  $\text{Flow}(H; A)$  the set of homomorphisms from  $G(H)$  to an abelian group  $A$ . We call an element of  $\text{Flow}(H; A)$  an  $A$ -flow of  $H$ .

Let  $D$  be a diagram of a handlebody-knot  $H$ . We denote by  $\mathcal{A}(D)$  the set of arcs of  $D$ , where an arc is a piece of a curve such that its endpoint is an undercrossing or a vertex. We denote by  $\mathcal{O}_\alpha$  the set of orientations of an arc  $\alpha \in \mathcal{A}(D)$ , which consists of two orientations. We represent an orientation  $o \in \mathcal{O}_\alpha$  by a co-orientation, which is obtained by rotating a usual orientation  $\pi/2$  counterclockwise on the diagram  $D$ . An  $A$ -flow  $\varphi$  of  $H$  assigns a pair  $(o, s) \in \mathcal{O}_\alpha \times A$  to each arc  $\alpha \in \mathcal{A}(D)$  up to the equivalence relation  $(o, s) \sim (-o, -s)$  like the Wirtinger presentation, where  $-o$  is the inverse of an orientation  $o$ . Then the assignment satisfies the conditions shown in Figure 3 at every crossing and vertex, where an element of  $A$  is represented with an underline. Conversely, an assignment satisfying the conditions gives an  $A$ -flow. For  $\varphi \in \text{Flow}(H; A)$  and  $\alpha \in \mathcal{A}(D)$ , we define a map  $\varphi_\alpha : \mathcal{O}_\alpha \rightarrow A$  so that  $(o, \varphi_\alpha(o)) \in \mathcal{O}_\alpha \times A$  is assigned to

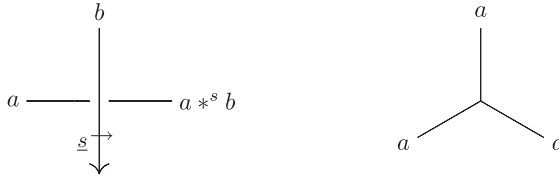


Figure 4

the arc  $\alpha$  by the  $A$ -flow  $\varphi$ . Then we have  $\varphi_\alpha(-o) = -\varphi_\alpha(o)$ . We define the  $A$ -flow  $0$  so that  $0_\alpha$  is the zero map for any arc  $\alpha \in \mathcal{A}(D)$ .

An  $A$ -flowed handlebody-knot  $(H, \varphi)$  is a pair of a handlebody-knot  $H$  and an  $A$ -flow  $\varphi \in \text{Flow}(H; A)$ . Two  $A$ -flowed handlebody-knots  $(H, \varphi)$  and  $(H', \varphi')$  are *equivalent* if  $H$  and  $H'$  are equivalent with an isotopy  $f$  of  $S^3$  satisfying  $\varphi = \varphi' \circ f_*$ , where  $f_* : G(H) \rightarrow G(H')$  is the isomorphism induced by  $f$ . Let  $D$  be a diagram of a handlebody-knot  $H$ . We denote by  $(D, \varphi)$  the diagram of an  $A$ -flowed handlebody-knot  $(H, \varphi)$ , which is obtained by adding the information of the  $A$ -flow  $\varphi$  on the diagram  $D$ .

A *quandle* [5, 8] is a non-empty set  $X$  with a binary operation  $* : X \times X \rightarrow X$  satisfying the following axioms.

- For any  $a \in X$ ,  $a * a = a$ .
- For any  $a \in X$ , the map  $S_a : X \rightarrow X$  defined by  $S_a(x) = x * a$  is a bijection.
- For any  $a, b, c \in X$ ,  $(a * b) * c = (a * c) * (b * c)$ .

The *type* of a quandle  $X$  is defined by

$$\text{type } X := \min\{i \in \mathbf{Z}_{>0} \mid S_a^i = \text{id}_X \text{ for any } a \in X\}.$$

We set  $\text{type } X := \infty$  if we do not have such a positive integer  $i$ . We note that the type of a finite quandle is finite. For  $i \in \mathbf{Z}$  and  $a, b \in X$ , we define  $a *^i b := S_b^i(a)$ . For a quandle  $X$ , we set

$$\mathbf{Z}_X := \begin{cases} \mathbf{Z}/(\text{type } X)\mathbf{Z} & \text{if type } X \text{ is finite,} \\ \mathbf{Z} & \text{otherwise.} \end{cases}$$

Then  $a *^i b$  is well-defined for  $i \in \mathbf{Z}_X$ .

Let  $X$  be a quandle. Let  $(D, \varphi)$  be a diagram of a  $\mathbf{Z}_X$ -flowed handlebody-knot. An  $X$ -coloring of  $(D, \varphi)$  is a map  $C : \mathcal{A}(D) \rightarrow X$  satisfying the following conditions (Figure 4):

- C<sub>1</sub>. For a crossing  $\chi$ , we have

$$C(\chi_1) *^{\varphi_\alpha(o)} C(\chi_0) = C(\chi_2),$$

where  $\chi_1, \chi_2$  are the under-arcs at the crossing  $\chi$  such that an orientation  $o$  of the over-arc  $\chi_0$  at  $\chi$  points to  $\chi_2$ .

C<sub>2</sub>. For a vertex  $\omega$ , we have

$$C(\omega_1) = C(\omega_2) = C(\omega_3),$$

where  $\omega_1, \omega_2$  and  $\omega_3$  are the arcs incident to  $\omega$ .

We note that an  $X$ -coloring  $C$  does not depend on the choice of the orientation  $o$  of each arc, since the equality in the condition C<sub>1</sub> is equivalent to the following equality

$$C(\chi_2) *^{\varphi_{\chi_0}(-o)} C(\chi_0) = C(\chi_1).$$

We denote by  $Col_X(D, \varphi)$  the set of all  $X$ -colorings of  $(D, \varphi)$ , and denote by  $\#Col_X(D, \varphi)$  the number of  $X$ -colorings in  $Col_X(D, \varphi)$ .

**THEOREM 2** ([3]). *Let  $X$  be a quandle. Let  $H$  be a handlebody-knot represented by a diagram  $D$ . For diagrams  $(D_1, \varphi)$  and  $(D_2, \varphi)$  of a  $\mathbf{Z}_X$ -flowed handlebody-knot  $(H, \varphi)$ , there exists a one-to-one correspondence between  $Col_X(D_1, \varphi)$  and  $Col_X(D_2, \varphi)$ . Then  $\#Col_X(D, \varphi)$  is an invariant of a  $\mathbf{Z}_X$ -flowed handlebody-knot  $(H, \varphi)$ . Furthermore,  $\#Col_X^\Sigma(H)$  is an invariant of a handlebody-knot  $H$ , where  $\#Col_X^\Sigma(H)$  is the multiset defined by*

$$\#Col_X^\Sigma(H) := \{\#Col_X(D, \varphi) \mid \varphi \in \text{Flow}(H; \mathbf{Z}_X)\}.$$

An  $X$ -coloring  $C$  is *trivial* if the map  $C$  is a constant map. A handlebody-knot is *trivial* if it is equivalent to a handlebody standardly embedded in  $S^3$ . If  $D$  is a diagram of a trivial handlebody-knot, then any  $X$ -coloring  $C \in Col_X(D, \varphi)$  is trivial for any  $\mathbf{Z}_X$ -flow  $\varphi$ . When there exist a  $\mathbf{Z}_X$ -flow  $\varphi \in \text{Flow}(H; \mathbf{Z}_X)$  and a nontrivial  $X$ -coloring  $C \in Col_X(D, \varphi)$ , we say that  $H$  has a nontrivial  $X$ -coloring. The property “ $H$  has a nontrivial  $X$ -coloring” is preserved under the moves depicted in Figure 2.

### 3. Colorings for Reducible Handlebody-knots

In this section, we investigate the quandle coloring invariant of a reducible handlebody-knot.

Let  $X$  be a quandle, and  $x \in X$ . Let  $D$  be a diagram of a handlebody-knot  $H$ , and  $\alpha \in \mathcal{A}(D)$ . For  $\varphi \in \text{Flow}(H; \mathbf{Z}_X)$ , we define

$$Col_X(D, \varphi)_\alpha^x := \{C \in Col_X(D, \varphi) \mid C(\alpha) = x\}.$$

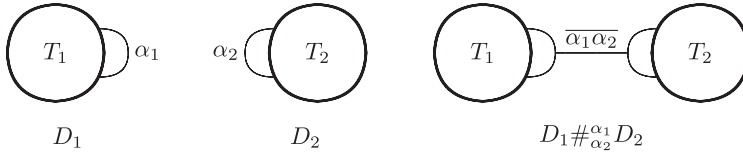


Figure 5

Then we have  $\#Col_X(D, \varphi)_\alpha^x = 1$  if  $\varphi = 0$  or if  $H$  is a trivial handlebody-knot, where  $\#S$  denotes the number of elements in a set  $S$ . The subgroup of  $\text{Aut}(X)$  generated by  $\{S_a \mid a \in X\}$  acts on a quandle  $X$  in a natural way. If the action is transitive, then  $X$  is said to be *connected*.

LEMMA 3. *Let  $X$  be a connected quandle. Let  $D$  be a diagram of a handlebody-knot  $H$ , and  $\alpha \in \mathcal{A}(D)$ . For  $\varphi \in \text{Flow}(H; \mathbf{Z}_X)$  and  $x, y \in X$ , we have*

$$\#Col_X(D, \varphi)_\alpha^x = \#Col_X(D, \varphi)_\alpha^y.$$

PROOF. Since  $X$  is a connected quandle, there exist  $a_1, \dots, a_n \in X$  such that  $((x * a_1) * a_2) * \dots * a_n = y$ . Set  $x_1 := x$  and  $x_{i+1} := x_i * a_i$  for  $i \in \{1, \dots, n\}$ . Then  $x_{n+1} = y$ . We define  $f_i : Col_X(D, \varphi)_\alpha^{x_i} \rightarrow Col_X(D, \varphi)_\alpha^{x_{i+1}}$  by the equality  $(f_i(C))(\beta) = C(\beta) * a_i$  for  $\beta \in \mathcal{A}(D)$ . The map  $f_i$  is well-defined, since we have  $(a *^s b) *^t c = (a *^t c) *^s (b *^t c)$  for  $a, b, c \in X$  and  $s, t \in \mathbf{Z}_X$ . Since the map  $S_{a_i} : X \rightarrow X$  is bijective, the map  $f_i : Col_X(D, \varphi)_\alpha^{x_i} \rightarrow Col_X(D, \varphi)_\alpha^{x_{i+1}}$  is injective, which implies  $\#Col_X(D, \varphi)_\alpha^{x_i} \leq \#Col_X(D, \varphi)_\alpha^{x_{i+1}}$ . Thus we have  $\#Col_X(D, \varphi)_\alpha^x \leq \#Col_X(D, \varphi)_\alpha^y$ . In the same way, we have  $\#Col_X(D, \varphi)_\alpha^y \leq \#Col_X(D, \varphi)_\alpha^x$ . Therefore  $\#Col_X(D, \varphi)_\alpha^x = \#Col_X(D, \varphi)_\alpha^y$ .  $\square$

Let  $X$  be a quandle. Let  $D_i$  be a diagram of a handlebody-knot  $H_i$ , and  $\alpha_i$  one of the outermost arcs of  $D_i$  for  $i = 1, 2$ . We denote by  $D_1 \#_{\alpha_2}^{\alpha_1} D_2$  the diagram obtained from  $D_1$  and  $D_2$  by attaching an arc  $\overline{\alpha_1 \alpha_2}$  between  $\alpha_1$  and  $\alpha_2$  as shown in Figure 5. Then  $D_1 \#_{\alpha_2}^{\alpha_1} D_2$  represents the reducible handlebody-knot  $H_1 \# H_2$ . For  $\varphi_1 \in \text{Flow}(H_1; \mathbf{Z}_X)$  and  $\varphi_2 \in \text{Flow}(H_2; \mathbf{Z}_X)$ , we define the  $\mathbf{Z}_X$ -flow  $\varphi_1 \# \varphi_2$  of  $H_1 \# H_2$  by the equalities

$$(\varphi_1 \# \varphi_2)_{\overline{\alpha_1 \alpha_2}} = 0 \quad \text{and} \quad (\varphi_1 \# \varphi_2)_\alpha = (\varphi_i)_\alpha$$

for  $\alpha \in \mathcal{A}(D_i)$  and  $i \in \{1, 2\}$ . Then we have the bijection

$$\text{Flow}(H_1; \mathbf{Z}_X) \times \text{Flow}(H_2; \mathbf{Z}_X) \rightarrow \text{Flow}(H_1 \# H_2; \mathbf{Z}_X)$$

which sends  $(\varphi_1, \varphi_2)$  to  $\varphi_1 \# \varphi_2$ . Let  $x \in X$ . For  $C_1 \in \text{Col}_X(D_1, \varphi_1)_{\alpha_1}^x$  and  $C_2 \in \text{Col}_X(D_2, \varphi_2)_{\alpha_2}^x$ , we define the  $X$ -coloring  $C_1 \# C_2$  of  $(D_1 \#_{\alpha_2}^{\alpha_1} D_2, \varphi_1 \# \varphi_2)$  by the equalities

$$(C_1 \# C_2)(\overline{\alpha_1 \alpha_2}) = x \quad \text{and} \quad (C_1 \# C_2)(\alpha) = C_i(\alpha)$$

for  $\alpha \in \mathcal{A}(D_i)$  and  $i \in \{1, 2\}$ . Then we have the bijection

$$\text{Col}_X(D_1, \varphi_1)_{\alpha_1}^x \times \text{Col}_X(D_2, \varphi_2)_{\alpha_2}^x \rightarrow \text{Col}_X(D_1 \# D_2, \varphi_1 \# \varphi_2)_{\alpha_1 \alpha_2}^x$$

which sends  $(C_1, C_2)$  to  $C_1 \# C_2$ . By the equalities

$$\begin{aligned} \# \text{Col}_X^\Sigma(H_1 \# H_2) &= \{ \# \text{Col}_X(D_1 \#_{\alpha_2}^{\alpha_1} D_2, \varphi) \mid \varphi \in \text{Flow}(H_1 \# H_2; \mathbf{Z}_X) \} \\ &= \left\{ \sum_{x \in X} \# \text{Col}_X(D_1 \#_{\alpha_2}^{\alpha_1} D_2, \varphi)_{\alpha_1 \alpha_2}^x \mid \varphi \in \text{Flow}(H_1 \# H_2; \mathbf{Z}_X) \right\} \\ &= \left\{ \sum_{x \in X} \# \text{Col}_X(D_1, \varphi_1)_{\alpha_1}^x \# \text{Col}_X(D_2, \varphi_2)_{\alpha_2}^x \mid \varphi_i \in \text{Flow}(H_i; \mathbf{Z}_X) \right\}, \end{aligned}$$

we have the following lemma.

LEMMA 4. *Let  $X$  be a quandle. Let  $D_i$  be a diagram of a handlebody-knot  $H_i$ , and  $\alpha_i$  one of the outermost arcs of  $D_i$  for  $i = 1, 2$ . We have*

$$\# \text{Col}_X^\Sigma(H_1 \# H_2) = \left\{ \sum_{x \in X} \prod_{i=1}^2 \# \text{Col}_X(D_i, \varphi_i)_{\alpha_i}^x \mid \varphi_i \in \text{Flow}(H_i; \mathbf{Z}_X) \right\}.$$

THEOREM 5. *Let  $H = H_1 \# H_2$  be a reducible handlebody-knot, where  $H_i$  is a genus  $g_i$  handlebody-knot. Let  $X$  be a finite quandle. Put  $n_i := (\text{type } X)^{g_i}$  for  $i = 1, 2$ .*

(1) *If  $X$  is a connected quandle, then we have*

$$\# \text{Col}_X^\Sigma(H) = \{ a \cdot b \cdot \# X \mid a \in A_1, b \in A_2 \}$$

*for some multisets  $A_1, A_2$  such that  $A_i$  consists of  $n_i$  positive integers including 1 for  $i = 1, 2$ .*

(2) *If  $H_1$  is a trivial handlebody-knot, then the multiplicity of every element of the multiset  $\# \text{Col}_X^\Sigma(H)$  is divisible by  $n_1$ .*

PROOF. Let  $D_i$  be a diagram of the handlebody-knot  $H_i$ , and  $\alpha_i$  one of the outermost arcs of  $D_i$  for  $i = 1, 2$ . Then  $D_1 \#_{\alpha_2}^{\alpha_1} D_2$  is a diagram of the handlebody-knot  $H$ . We note that  $\# \text{Flow}(H_i; \mathbf{Z}_X) = (\# \mathbf{Z}_X)^{g_i} = (\text{type } X)^{g_i} = n_i$  for  $i = 1, 2$ .



- (1) Fix  $x_0 \in X$ . Put  $A_i := \{\#Col_X(D_i, \varphi_i)_{\alpha_i}^{x_0} \mid \varphi_i \in \text{Flow}(H_i; \mathbf{Z}_X)\}$  for  $i = 1, 2$ . By the equality  $\#Col_X(D_i, 0)_{\alpha_i}^{x_0} = 1$ , we have  $1 \in A_i$  for  $i = 1, 2$ . By Lemmas 3 and 4, we have

$$\begin{aligned} \#Col_X^\Sigma(H) &= \left\{ \sum_{x \in X} \prod_{i=1}^2 \#Col_X(D_i, \varphi_i)_{\alpha_i}^x \mid \varphi_i \in \text{Flow}(H_i; \mathbf{Z}_X) \right\} \\ &= \left\{ \sum_{x \in X} \prod_{i=1}^2 \#Col_X(D_i, \varphi_i)_{\alpha_i}^{x_0} \mid \varphi_i \in \text{Flow}(H_i; \mathbf{Z}_X) \right\} \\ &= \left\{ \sum_{x \in X} a \cdot b \mid a \in A_1, b \in A_2 \right\} \\ &= \{a \cdot b \cdot \#X \mid a \in A_1, b \in A_2\}. \end{aligned}$$

- (2) Since  $H_1$  is a trivial handlebody-knot, we have  $\#Col_X(D_1, \varphi_1)_{\alpha_1}^x = 1$  for any  $\varphi_1 \in \text{Flow}(H_1; \mathbf{Z}_X)$  and  $x \in X$ . By Lemma 4, we have

$$\begin{aligned} \#Col_X^\Sigma(H) &= \left\{ \sum_{x \in X} \prod_{i=1}^2 \#Col_X(D_i, \varphi_i)_{\alpha_i}^x \mid \varphi_i \in \text{Flow}(H_i; \mathbf{Z}_X) \right\} \\ &= \left\{ \sum_{x \in X} \#Col_X(D_2, \varphi_2)_{\alpha_2}^x \mid \varphi_1 \in \text{Flow}(H_1; \mathbf{Z}_X), \varphi_2 \in \text{Flow}(H_2; \mathbf{Z}_X) \right\} \\ &= \left\{ \#Col_X(D_2, \varphi_2) \mid \varphi_1 \in \text{Flow}(H_1; \mathbf{Z}_X), \varphi_2 \in \text{Flow}(H_2; \mathbf{Z}_X) \right\}. \end{aligned}$$

Hence the multiplicity of every element of the multiset  $\#Col_X^\Sigma(H)$  is divisible by  $n_1$ .  $\square$

The *tunnel number*  $t(H)$  of a handlebody-knot  $H$  is the minimum number of mutually disjoint 1-handles embedded in the exterior of  $H$  such that a trivial handlebody-knot is obtained from  $H$  by attaching the 1-handles. The tunnel number  $t(H)$  of a genus  $g$  handlebody-knot  $H$  coincides with the Heegaard genus of the exterior of  $H$  minus  $g$ . Let  $H = H_1 \# H_2$  be a reducible genus  $g$  handlebody-knot, where  $H_i$  is a genus  $g_i$  handlebody-knot for  $i = 1, 2$ . Then  $g = g_1 + g_2$ . The exterior of the handlebody-knot  $H$  is the boundary connected sum of those of  $H_1, H_2$ . Since the Heegaard genus is additive under the boundary connected sum [1], we have  $t(H) = t(H_1) + t(H_2)$ .

PROPOSITION 6. *Let  $H$  be a reducible handlebody-knot with  $t(H) = 1$ . Let  $X$  be a finite quandle. Then the multiplicity of every element of the multiset  $\#Col_X^\Sigma(H)$  is divisible by type  $X$ .*

PROOF. Let  $H_1, H_2$  be handlebody-knots such that  $H = H_1 \# H_2$ . By the equalities  $t(H_1) + t(H_2) = t(H) = 1$ , we have  $t(H_1) = 0$  or  $t(H_2) = 0$ , which implies that  $H_1$  or  $H_2$  is a trivial genus  $g$  handlebody-knot. Since  $g$  is a positive integer, by Theorem 5 (2), the multiplicity of every element of the multiset  $\#Col_X^\Sigma(H)$  is divisible by type  $X$ .  $\square$

LEMMA 7. *Let  $H = H_1 \# H_2$  be a reducible handlebody-knot. Let  $X$  be a quandle. If  $H_1$  has a nontrivial  $X$ -coloring, then  $H$  has a nontrivial  $X$ -coloring.*

PROOF. Let  $D_i$  be a diagram of the handlebody-knot  $H_i$ , and  $\alpha_i$  one of the outermost arcs of  $D_i$  for  $i = 1, 2$ . Then  $D_1 \#_{\alpha_2}^{\alpha_1} D_2$  is a diagram of the handlebody-knot  $H$ . Since  $H_1$  has a nontrivial  $X$ -coloring, there exists a nontrivial  $X$ -coloring  $C_1 \in Col_X(D_1, \varphi_1)$  for some  $\varphi_1 \in \text{Flow}(H_1; \mathbf{Z}_X)$ . Let  $C_2 \in Col_X(D_2, 0)$  be the trivial  $X$ -coloring defined by  $C_2(\alpha_2) = C_1(\alpha_1)$ . Since  $C_1 \# C_2$  is a nontrivial  $X$ -coloring of  $(D_1 \#_{\alpha_2}^{\alpha_1} D_2, \varphi_1 \# 0)$ ,  $H$  has a nontrivial  $X$ -coloring.  $\square$

THEOREM 8. *Let  $H = H_1 \# H_2$  be a reducible handlebody-knot. If  $H_1$  is a nontrivial genus one handlebody-knot, then there exists a quandle  $X$  such that  $H$  has a nontrivial  $X$ -coloring.*

PROOF. Let  $K$  be the nontrivial knot which represents the nontrivial genus one handlebody-knot  $H_1$ . Let  $X$  be the fundamental quandle of  $K$ . Since every nontrivial knot has a nontrivial coloring by its fundamental quandle [5, 8],  $H_1$  has a nontrivial  $X$ -coloring. Hence, by Lemma 7,  $H$  has a nontrivial  $X$ -coloring.  $\square$

If  $H = H_1 \# H_2$  is a nontrivial reducible genus two handlebody-knot, then  $H_1$  or  $H_2$  is a nontrivial genus one handlebody-knot. Hence, by Theorem 8, we have the following corollary.

COROLLARY 9. *Let  $H$  be a nontrivial reducible genus two handlebody-knot. Then there exists a quandle  $X$  such that  $H$  has a nontrivial  $X$ -coloring.*

#### 4. Applications

In this section, we show that some handlebody-knots are irreducible by using the results in the previous section.

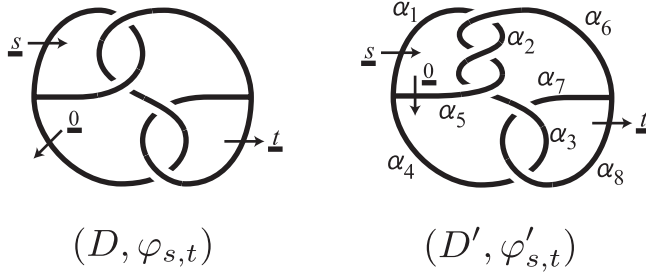


Figure 6

EXAMPLE 10. Let  $R_p$  be the dihedral quandle, which is the quandle consisting of the set  $\mathbf{Z}/p\mathbf{Z}$  with the binary operation defined by  $a * b = 2b - a$ . The type of the dihedral quandle  $R_p$  is 2. Let  $(H, \varphi_{s,t})$  be the  $\mathbf{Z}/2\mathbf{Z}$ -flowed handlebody-knot represented by the diagram  $(D, \varphi_{s,t})$  depicted in Figure 6. Then we have

$$\begin{aligned} \#Col_{R_3}^{\Sigma}(H) &= \{\#Col_{R_3}(D, \varphi) \mid \varphi \in \text{Flow}(H; \mathbf{Z}/2\mathbf{Z})\} \\ &= \{\#Col_{R_3}(D, \varphi_{s,t}) \mid s, t \in \mathbf{Z}/2\mathbf{Z}\} \\ &= \{9, 3, 3, 3\}, \end{aligned}$$

where we note that

$$\#Col_{R_3}(D, \varphi_{s,t}) = \begin{cases} 9 & \text{if } s = t = 1, \\ 3 & \text{otherwise.} \end{cases}$$

By Theorem 5 (1), if  $H$  is reducible, then  $\#Col_{R_3}^{\Sigma}(H) = \{3, 3a, 3b, 3ab\}$  for some positive integers  $a, b$ . Thus the handlebody-knot  $H$  is irreducible. Proposition 6 also implies that  $H$  is irreducible, since the tunnel number of the handlebody-knot  $H$  is 1.

EXAMPLE 11. Let  $X$  be a quandle. Let  $(H', \varphi'_{s,t})$  be the  $\mathbf{Z}_X$ -flowed handlebody-knot represented by the diagram  $(D', \varphi'_{s,t})$  depicted in Figure 6, where  $\alpha_1, \dots, \alpha_8$  indicate the arcs of  $D'$ . For an  $X$ -coloring  $C$  of  $(D', \varphi'_{s,t})$ , we set  $c_i := C(\alpha_i) \in X$  for  $i = 1, \dots, 8$ . From the coloring conditions for  $(D', \varphi'_{s,t})$ , we have the following equalities.

$$\begin{aligned} c_1 *^0 c_6 &= c_2, & c_5 *^s c_2 &= c_6, & c_2 *^0 c_5 &= c_3, & c_3 *^t c_8 &= c_4, \\ c_7 *^s c_3 &= c_8, & c_1 &= c_4 = c_5, & c_6 &= c_7 = c_8. \end{aligned}$$

By the first and third equalities, we have  $c_1 = c_2 = c_3$ . Then, by the second equality, we have  $c_1 = c_6$ . Thus we have  $c_1 = \cdots = c_8$ , which implies that  $H'$  has no nontrivial  $X$ -coloring. Therefore, by Corollary 9,  $H'$  is irreducible.

### Acknowledgments

The authors would like to thank Masahide Iwakiri, Kazuhiro Kawamura, Makoto Ozawa and Takao Satoh for their helpful comments.

### References

- [1] A. Casson and C. Gordon, Reducing Heegaard splittings, *Topology Appl.* **27** (1987), 275–283.
- [2] A. Ishii, Moves and invariants for knotted handlebodies, *Algebr. Geom. Topol.* **8** (2008), 1403–1418.
- [3] A. Ishii and M. Iwakiri, Quandle cocycle invariants for spatial graphs and knotted handlebodies, to appear in *Canad. J. Math.*
- [4] A. Ishii, K. Kishimoto, H. Moriuchi and M. Suzuki, A table of genus two handlebody-knots up to six crossings, to appear in *J. Knot Theory Ramifications*.
- [5] D. Joyce, A classifying invariant of knots, the knot quandle, *J. Pure Appl. Alg.* **23** (1982), 37–65.
- [6] R. Lyndon and P. Schupp, *Combinatorial group theory*, Springer, Berlin, Heidelberg, New York, (1977).
- [7] W. Magnus, A. Karrass and D. Solitar, *Combinatorial group theory*, Wiley, New York, (1966).
- [8] S. V. Matveev, Distributive groupoids in knot theory, *Mat. Sb. (N.S.)* **119(161)** (1982), 78–88.
- [9] M. Ozawa and Y. Tsutsumi, Minimally knotted spatial graphs are totally knotted, *Tokyo J. Math.* **26** (2003), 413–421.
- [10] S. Suzuki, On linear graphs in 3-sphere, *Osaka J. Math.* **7** (1970), 375–396.
- [11] K. Taniyama, Irreducibility of spatial graphs, *J. Knot Theory and its Ramifications*, **11** (2002), 121–124.

Institute of Mathematics, University of Tsukuba  
1-1-1 Tennodai, Tsukuba, Ibaraki 305-8571, Japan  
E-mail address: aishii@math.tsukuba.ac.jp

Osaka Institute of Technology,  
5-16-1 Omiya, Asahi-ku, Osaka 535-8585 Japan  
E-mail address: kishimoto@ge.oit.ac.jp