

Preparation of monodisperse aqueous microspheres containing high concentration of l-ascorbic acid by microchannel emulsification

著者別名	中嶋 光敏
journal or publication title	Journal of microencapsulation
volume	32
number	6
page range	570-577
year	2015-07
権利	This is an Accepted Manuscript of an article published by Taylor & Francis Group in Journal of Microencapsulation on 20 Jul 2015, available online: http://www.tandfonline.com/doi/abs/10.3109/02652048.2015.1065919 .
URL	http://hdl.handle.net/2241/00128939

doi: 10.3109/02652048.2015.1065919

1 **Preparation of monodisperse aqueous microspheres containing high concentration of L-**
2 **ascorbic acid by microchannel emulsification**

3 Nauman Khalid^{a, b}, Isao Kobayashi^{a, *}, Marcos A. Neves^{a, c}, Kunihiko Uemura^a,
4 Mitsutoshi Nakajima^{a, c} and Hiroshi Nabetani^{a, b}

5
6 ^a Food Engineering Division, National Food Research Institute, NARO, 2-1-12 Kannondai,
7 Tsukuba, Ibaraki 305-8642, Japan

8
9 ^b Graduate School of Agricultural and Life Sciences, The University of Tokyo, 1-1-1 Yayoi,
10 Bunkyo-ku, Tokyo 113-8657, Japan

11
12 ^c Graduate School of Life and Environmental Sciences, University of Tsukuba, 1-1-1 Tennoudai,
13 Tsukuba, Ibaraki 305-8572, Japan

14
15
16
17
18
19
20
21
22

* Corresponding Author. Tel.: +81-29-838-8025; Fax: +81-29-838-8122.
E-mail address: isaok@affrc.go.jp (I. Kobayashi)

23 **Abstract**

24 Monodisperse aqueous microspheres containing high concentrations of L-ascorbic acid with
25 different concentrations of sodium alginate (Na-ALG) and magnesium sulfate (MgSO_4) were
26 prepared by using microchannel emulsification (MCE). The continuous phase was water-
27 saturated decane containing a 5% (w/w) hydrophobic emulsifier. The flow rate of the continuous
28 phase was maintained at 10 mL h^{-1} , whereas the pressure applied to the disperse phase was
29 varied between 3 and 25 kPa. The disperse phase optimized for successfully generating aqueous
30 microspheres included 2% (w/w) Na-ALG and 1% (w/w) MgSO_4 . At a higher MgSO_4
31 concentration, the generated microspheres resulted in coalescence and subsequent bursting. At a
32 lower MgSO_4 concentration, unstable and polydisperse microspheres were obtained. The
33 aqueous microspheres generated from the MCs under optimized conditions had a mean particle
34 diameter (d_{av}) of 14 to 16 μm and a coefficient of variation (CV) of less than 8% at the disperse
35 phase pressures of 5 to 15 kPa.

36 **Keywords:** L-ascorbic acid, microencapsulation, microchannel emulsification, monodispersity,
37 sodium alginate, magnesium sulfate

38

39

40

41

42

43

44

45 **Introduction**

46 Encapsulation technology has attracted interest in fields including food and pharmaceutical
47 industries, and its advancement will stimulate the development of novel drugs and become a
48 driving force for drug therapy and baby food development (Reis et al., 2006). The food industry
49 has utilized controlled-release technology for food additives, sweeteners, colors, nutrients,
50 essential oils, antioxidants, and antimicrobial agents (Desai and Park, 2005). Controlled release
51 helps overcome both the ineffective utilization and the loss of food additives during processing
52 and storage (Pothakamury and Barbosa-Cánovas, 1995).

53 Techniques frequently used for microencapsulation include spray-drying, coating,
54 extrusion, liposome entrapment, coacervation, and freeze drying (Desai et al., 2006). All of these
55 techniques have numerous advantages and disadvantages. Recently, microfluidic devices have
56 surpassed these conventional techniques to produce microencapsulated products with more
57 monodispersity (Vladisavljević et al., 2012). Bioactive substances can be encapsulated using
58 carbohydrates; gums; lipids; proteins; polymers such as polylactides, polyglycolides, and
59 poly(lactide-co-glycolides); and copolymers such as poly(DL-lactide-co-glycolide). A few
60 suitable polymers have been approved for use in foods, so certain food materials can be modified
61 to increase their porosity and to alter other characteristics, thus enabling their use as coating
62 materials in microencapsulation (Stevanovic and Uskokovic, 2009).

63 Anionic polysaccharide gels like alginate (ALG) particles have numerous applications for
64 encapsulation and delivery systems. Potential applications include encapsulation of drugs
65 (Caballero et al., 2013), probiotics (Jiang et al., 2013), control flavor release (King Alan, 1988),
66 enzyme protection, and guided delivery of drugs to their target organs (Anal et al., 2003). ALGs
67 have an inert nature, high porosity, superior coverage, superior penetration rate, mild

68 encapsulation temperature, and biocompatibility with numerous bioactive substances (Capone et
69 al., 2013). Sodium alginate (Na-ALG) is a water-soluble compound that gels in the presence of
70 divalent cations (Aslani and Kennedy, 1996). Such gels can be heat-treated without melting,
71 although they may eventually degrade. Gelling depends on ion binding ($\text{Ca}^{+2} < \text{Zn}^{+2} < \text{Sr}^{+2} <$
72 Ba^{+2}), with the control of cation addition being important for producing homogeneous gels (Reis
73 et al., 2006).

74 ALG composition is an important parameter in ALG particle formation. At a Na-ALG
75 concentration below 1.0%, almost no spherical particles were formed, probably due to the lack of
76 enough carboxyl groups for gelation. Increasing Na-ALG concentration causes higher viscosity
77 of an aqueous phase, resulting in larger droplets with a wide distribution (Liu et al., 2003; Reis et
78 al., 2006). Thus, for a given application, the Na-ALG concentration must be controlled in
79 particle size, shape, and size distribution.

80 Different emulsification techniques have been adapted to produce emulsions using
81 conventional and microfluidic devices. Traditional emulsification devices include rotor-stator
82 homogenizers (e.g., colloid mills, toothed-disk dispersing machines, and stirred vessels) and
83 ultrasonic and high-pressure homogenizers (McClements, 2004). These devices incorporate
84 intense energy in the system because of vigorous external forces, resulting in a broader droplet
85 size distribution with polydispersity (Herrera, 2012). Over the last two decades, membrane
86 emulsification (ME), microchannel emulsification (MCE), and microfluidic emulsification
87 (MFE) using different types of geometries (Vladisavljević et al., 2012) have been developed to
88 produce monodisperse emulsions with narrow size distributions. The major advantages of these
89 emulsification techniques include the generation of uniform droplets, the precise control of

90 droplet size and shape, and *in situ* microscopic monitoring (unusual for ME) that enables fine
91 tuning of the process parameters during droplet generation (Vladislavljevi et al., 2012).

92 Kawakatsu et al. (1997) introduced MCE, a promising technique that is capable of
93 generating uniformly sized droplets with average diameters of 1 to 500 μm and coefficients of
94 variation (CVs) of <5% (Kobayashi et al., 2007; Kobayashi et al., 2012). MCE works on the
95 mechanism of spontaneous transformation of the liquid-liquid interface on the terrace and is
96 driven by interfacial tension (Sugiura et al., 2001). Microchannel (MC) arrays fabricated for
97 MCE are classified as grooved MC arrays (each consisting of parallel MCs with slit-like terraces
98 outside them) (Kawakatsu et al., 1997) and straight-through MC arrays (each consisting of two-
99 dimensionally positioned through-holes) (Kobayashi et al., 2005b). Droplet generation via each
100 grooved MC array can be easily judged using direct microscopic observation, whereas straight-
101 through MC arrays are advantageous for producing monodisperse emulsions at higher droplet
102 productivity. Kobayashi et al. (2012) recently produced monodisperse O/W emulsions at a
103 maximum droplet productivity of 1.4 L h^{-1} .

104 Various food-grade materials (e.g., refined vegetable oils, a medium-chain triglyceride
105 oil, hydrophilic and hydrophilic emulsifiers, proteins, and hydrocolloids) have been examined for
106 producing monodisperse O/W, W/O, and W/O/W emulsions using MCE (Vladislavljevi et al.,
107 2012). MCE has promising potential for producing uniformly sized oil droplets containing
108 functional lipids such as β -carotene (Neves et al., 2008b), γ -oryzanol (Neves et al., 2008a), and
109 hydrophilic compounds like oleuropein (Souilem et al., 2013) and L-ascorbic acid (Khalid et al.,
110 2014). L-ascorbic acid is a powerful antioxidant because of its capacity to neutralize free
111 radicals. The chemistry, functions, metabolism, bioavailability, and effect of processing have
112 been comprehensively reviewed in a recent publication (Abbas et al., 2012). L-ascorbic acid is

113 also important in minimizing the risk of serious diseases (e.g., heart disease, cataracts, and
114 cancer) and improving the immune system. L-ascorbic acid exposed to high temperature during
115 cooking and processing, moisture, oxygen, pH, and light has decreased antioxidant activity, thus
116 resulting in the formation of toxic compounds (Gallarate et al., 1999).

117 The objective of this study was to develop monodisperse aqueous microspheres
118 containing high concentration of hydrophilic bioactive compound using MCE. We encapsulated
119 L-ascorbic acid in liquid microspheres at high concentrations (up to 30% (w/w)) along with
120 varying concentrations of Na-ALG. We also investigated optimization of the formation
121 conditions and effects of osmotic pressures and varying concentrations of L-ascorbic acid on
122 MCE.

123

124 **Experimental**

125 **Materials**

126 Na-ALG (viscosity 80 to 120 mPa s), sorbitan trioleate (Span 85), magnesium sulfate (MgSO₄),
127 *n*-hexane, L-ascorbic acid (purity 99.9%), and *n*-decane were purchased from Wako Pure
128 Chemical Industries, Ltd. (Osaka, Japan). Tetra glycerin condensed ricinoleic acid ester (TGCR,
129 CR-310) was kindly supplied by Sakamoto Yakuhin Kogyo Co., Ltd. (Osaka, Japan). 1,1,1,3,3,3-
130 Hexamethyldisilazane (LS-7150), purchased from Shin-Etsu Chemical Co., Ltd. (Tokyo, Japan),
131 was used for surface hydrophobization of the silicon MC array plate and the glass plate. Milli-Q
132 water with a resistivity of 18 M⁻¹ cm⁻¹ was used for preparing all aqueous solutions.

133 **Emulsification Setup**

134 Fig. 1a depicts a simplified schematic diagram of the experiment setup used for MCE. A
135 hydrophobized silicon MC array plate is tightly attached to a hydrophobized glass plate in the
136 emulsification module initially filled with the continuous phase. A syringe pump (Model 11,
137 Harvard Apparatus, Inc., Holliston, USA) was used to supply the continuous phase. The heating
138 system provides temperature-controlled water circulation inside the module and outside the
139 reservoir. A microscope video system was used to monitor and record droplet formation by MCE
140 (Kobayashi et al., 2009). Fig. 1b and c depict a dead-end silicon MC array plate (Model MS407,
141 EP Tech., Co. Ltd., Hitachi, Japan) consisting of 400MCs fabricated on four MC arrays. Each
142 channel has terraces outside its inlet and outlet, and wells are fabricated outside the terraces.
143 Channel and terrace dimensions are presented in Fig. 1(d), except for channel and terrace depth
144 ($7\ \mu\text{m}$).

145 The glass and silicon MC array plates were treated with LS-7150 to make their surfaces
146 hydrophobic, so that they became suitable for preparing aqueous liquid microspheres in the
147 continuous oil phase. This hydrophobic treatment was performed using slight modification of the
148 procedure by Kawakatsu et al. (2001a). Briefly, these plates were surface-oxidized in a plasma
149 reactor (PR500, Yamato Scientific Co., Ltd., Tokyo, Japan) for 15 min. The plates were then
150 dipped in LS-7150 for the MC array plate or in a mixture of LS-7150 (20% (w/w)) and hexane
151 (80% (w/w)) for the glass plate for two nights at room temperature. Finally, the unreacted
152 materials were washed away.

153 **Emulsification Procedure**

154 Na-ALG solutions at different concentrations of 0.5 to 4.0% (w/w) were prepared by dissolving
155 Na-ALG powder in Milli-Q water for at least 2 h with constant stirring using a magnetic stirrer at

156 room temperature. The solutions at 4 ± 1 °C were stored overnight to ensure complete hydration.
157 They were then maintained at 45 ± 1 °C prior to MCE. The disperse aqueous phase used for MCE
158 contains 0.5 to 4.0% (w/w) Na-ALG, 0 to 2% (w/w) MgSO_4 , and 5 to 30% (w/w) L-ascorbic
159 acid. The continuous phase is a solution of water-saturated decane containing 5% (w/w) Span 85
160 or TGCR. To prevent water diffusion from the surface of Na-ALG droplets, decane was pre-
161 treated prior to preparing the continuous phase. Decane was saturated with water by mixing at a
162 volume ratio of 9:1 (decane:water) for 30 min, after which they were separated by centrifugation
163 at $1500 \times g$ for 15 min using a table centrifuge (KN-70, Kubota Co., Tokyo, Japan). The decane
164 supernatant part was used as the continuous phase (Sugiura et al., 2008).

165 The disperse phase in a reservoir was introduced into a module filled with the continuous
166 phase by applying pressure using a pumping device (Fig. 1a). The module temperature was kept
167 at 45 ± 1 °C during MCE. Liquid microsphere generation occurred when the disperse phase was
168 forced through the MCs into the continuous phase. The resulting microspheres were then swept
169 away by the cross-flow of the continuous phase, which was set at 10 to 15 mL h^{-1} . The flows of
170 the disperse and continuous phases were controlled in real time by monitoring liquid
171 microsphere generation via MC arrays.

172 After the MCE experiments, the MC array plate was cleaned using an ultrasonic bath
173 (VS-100III, As One Co., Osaka, Japan) at a frequency of 45 kHz in the following sequence: the
174 MC plate was cleaned in Milli-Q water for the first 20 min followed by Milli-Q water containing
175 a non-ionic detergent for another 20 min, Milli-Q water containing ethanol (1:1 v/v proportion)
176 for the next 20 min, and another round of cleaning with Milli-Q water for the final 20 min. The
177 cleaned MC array plate was left to dry in an oven at 60 °C and subsequently stored in air at room
178 temperature until the next use.

179 **Determination of particle size and distribution**

180 The particle diameter of the microspheres was determined by measuring the diameter of the
181 captured images of over 200 particles using image-processing software (Winroof, Mitani Co.,
182 Fukui, Japan). The CV was calculated using the following equation:

$$183 \quad CV = \left(\frac{\delta}{d_{av}} \right) \times 100, \quad (1)$$

184 where δ is the standard deviation and d_{av} is the average particle diameter.

185 **Determination of viscosity and interfacial tension**

186 The viscosities of the dispersed and continuous phases were measured using a vibrational
187 viscometer (SV-10, A &D Company Ltd., Tokyo, Japan) at 25 °C. The vibrational viscometer
188 measures fluid viscosity by detecting the electric current necessary to resonate two sensor plates
189 (immersed in the fluid sample whose viscosity is to be determined) at a constant frequency and
190 amplitude. The driving electric current is detected as the magnitude of viscosity produced
191 between the sensor plates and the fluid sample. The fluid viscosity measured was then calculated
192 to obtain the absolute viscosity (η) using the following formula:

$$193 \quad \eta = \eta_{mea}/\rho, \quad (2)$$

194 where η_{mea} is measured fluid viscosity and ρ is fluid density.

195 The interfacial tension between disperse and continuous phases was determined using the
196 pendant drop method; the density of each phase was measured using a digital density meter (DA-
197 130 N, Kyoto Electronics Manufacturing, Kyoto, Japan). The profile of the disperse-phase drop
198 formed in the continuous phase was measured using a fully automatic interfacial tensiometer

199 (PD-W, Kyowa Interface Science Co., Ltd., Saitama, Japan). Each measurement was repeated at
200 least 20 times, and the calculated mean values were used.

201 **Results and discussion**

202 **Effect of MgSO₄ concentration on preparation of liquid microspheres**

203 Salt content is a key factor affecting the interfacial properties of emulsions and their stability
204 (Binks and Rodrigues, 2005; Binks et al., 2006), so we investigated the influence of salt content
205 on the preparation of liquid microspheres using MCE and their stability. MCE experiments were
206 conducted using varying concentrations of MgSO₄ (0 to 2% (w/w)) in the disperse phase
207 containing 2% (w/w) Na-ALG and 5% (w/w) L-ascorbic acid. The disperse phase was fed into
208 the module at 15 kPa, while the flow rate of the continuous phase was set at 10 to 15 mL h⁻¹.
209 Stable microspheres were generated using the MC array at a MgSO₄ concentration of 1% (w/w).
210 The generated microspheres detached smoothly from the MC arrays and had a d_{av} of 15.5 μm
211 and a CV of 5.0%, demonstrating their monodispersity (Figs. 2a and b). Higher MgSO₄
212 concentrations produced polydisperse microspheres, and at 2% (w/w) MgSO₄ the microspheres
213 first exhibited a high degree of coalescence and then disappeared (burst). This phenomenon leads
214 to unstable microsphere generation. The microspheres obtained at 1% (w/w) MgSO₄ remained
215 stable for more than 2 h inside the module without coalescence. In contrast, the microspheres
216 generated without adding MgSO₄ (Fig. 2c) exhibited little coalescence after 30 min in the MC
217 module, indicating that a certain osmotic pressure is needed to generate microspheres by MCE.
218 The d_{av} of microspheres containing 2% (w/w) MgSO₄ increased to 21 μm with a CV of 16%.

219 The disperse phase osmotic pressure (Π_d) for the two-phase systems used in this section
220 can be calculated using the van't Hoff equation (Strathmann, 1990):

221
$$\Pi_d = iMRT \quad , \quad (3)$$

222 where i is the van't Hoff factor with a value of 1.51 for MgSO_4 and 1 for L-ascorbic acid; M is
223 the molar concentration of MgSO_4 (kmol m^{-3}), L-ascorbic acid, and Na-ALG; R is a constant
224 with a value of $8.31 \text{ kPa m}^3 \text{ K}^{-1} \text{ mol}^{-1}$; and T is the thermodynamic temperature (K). Π_d in the
225 absence of MgSO_4 was 0.17 MPa, and Π_d in the presence of MgSO_4 ranged from 0.39 to 0.50
226 MPa. Shimizu et al. (2002) and Cheng et al. (2006) reported that the use of disperse phases with
227 Π_d over a threshold value is needed to stably produce W/O emulsions with narrow droplet size
228 distributions by ME using surface-modified Shirasu Porous Glass (SPG) membranes. Similar to
229 ME, MCE also has a certain threshold Π_d necessary to generate droplets from MCs. Kobayashi
230 et al. (2009) demonstrated that Π_d exceeding a certain threshold level stably produces
231 monodisperse W/O emulsions with a CV of less than 3%. Similarly, at a higher Π_d , the transport
232 of water molecules via the water–oil interface is suppressed because of weak interaction between
233 charged hydrophilic groups and emulsifiers at the interface of W/O emulsions (Opawale and
234 Burgess, 1998). The generation stability of liquid microspheres via MC arrays in this study might
235 correspond to this mechanism.

236 The aqueous microspheres generated without adding MgSO_4 exhibited coalescence just
237 after formation, and there *in situ* stability was quite low. In contrast, the *in situ* stability of
238 microspheres generated in the presence of 0.5 to 1% (w/w) MgSO_4 was very high. The generated
239 microspheres remained stable for more than 2 h without any coalescence. It has been reported
240 that microgel particles containing ionizable groups become deswollen in an aqueous phase
241 containing salt because increased ionic strength decreases the Debye screening length on the
242 particle surface and reduces the repulsive electrostatic forces between charged groups on the

243 neighboring particles (Kratz et al., 2000; Kim and Vincent, 2005). In our study, the electrostatic
244 interactions at low MgSO_4 concentrations between the charged groups (MgSO_4 , water, and L-
245 ascorbic acid) in the liquid microspheres are in the stable range, leading to microsphere stability
246 and monodispersity. In contrast, the electrostatic repulsive force in the liquid microspheres at
247 high MgSO_4 concentrations increases due to higher osmotic pressure, which could burst the
248 microspheres and result in less monodispersity.

249 **Effect of Na-ALG concentration on preparation of liquid microspheres**

250 Fig. 3a illustrates the effect of Na-ALG concentration on the preparation of liquid
251 microspheres using MCE. The generated aqueous microspheres contained 0.5 to 4% (w/w) Na-
252 ALG, 1% (w/w) MgSO_4 , and 5% (w/w) L-ascorbic acid. The disperse phase was supplied into
253 the MC module at 15 kPa with the flow rate of the continuous phase was maintained at 10 mL h^{-1} .
254 ¹. A decrease in d_{av} of microspheres was observed with increased concentration of Na-ALG up to
255 a certain concentration (2% (w/w)), whereas further increase in the Na-ALG concentration
256 increased the d_{av} of microspheres. Microspheres with the largest d_{av} of $24.6 \mu\text{m}$ and a CV of 10%
257 were observed at a Na-ALG concentration of 4% (w/w). At a low Na-ALG concentration of
258 0.5% (w/w), a relatively broader particle size distribution occurred with a d_{av} of $20 \mu\text{m}$ and a CV
259 of 12% (Fig. 3b). The optimum condition for successful microsphere production was 2% (w/w)
260 Na-ALG, since a narrow size distribution was observed with a d_{av} of $15 \mu\text{m}$ and the smallest CV
261 of 5% at this Na-ALG concentration (Fig. 3b). As presented in Table 1, the viscosity of the
262 disperse phase increased sharply at Na-ALG concentrations exceeding 2% (w/w). Such high
263 viscosities of the disperse phase ($>100 \text{ mPa s}$) impeded crossing the narrow MCs, and only a few
264 MCs made microspheres in the whole MC array plate. The viscosity of the disperse phase

265 containing Na-ALG increased in the presence of MgSO₄ (Table 1), which plays a key role in the
266 stability of microspheres as well as in microsphere generation.

267 ALG microspheres have traditionally been produced by extruding Na-ALG solution from
268 a needle into a divalent cationic solution (Poncelet et al., 1992; Kuo and Ma, 2001). These
269 cationic solutions then induce gelation in microspheres. Gelling depends on ion binding (Ca²⁺<
270 Zn²⁺< Sr²⁺< Ba²⁺). Mg²⁺ salt is also divalent but does not completely gelatinize the solution. This
271 soft gel-like structure could modify the structure of ALG, as indicated by reduction of the
272 viscosity of the disperse phase in the presence of MgSO₄ (Table 1). Furthermore, this soft gel-
273 like structure creates weak linkage of Mg⁺² ions with the ALG structure (Fig. 4), giving
274 microspheres better stability. Improved stability in the presence of MgSO₄ was observed in our
275 study.

276 Factors controlling microsphere production include the MC geometry, the composition
277 of two liquid phases, and the type of emulsifiers (Tong et al., 2000; Saito et al., 2005). The
278 viscosity ratio of the dispersed phase to the continuous phase was indicated as an important
279 factor affecting the size of emulsion droplets generated by MCE (Kawakatsu et al., 2001b). In
280 our study, the viscosity of the disperse phase containing Na-ALG increased with increased Na-
281 ALG concentration, while interfacial tension remained almost unchanged at the Na-ALG
282 concentrations applied (Table 1). In our study, d_{av} decreased with increasing Na-ALG
283 concentrations (0.5 to 2% (w/w)) and increased with increasing Na-ALG concentrations (2 to 5%
284 (w/w)). These results at higher Na-ALG concentrations deviate from the previous study of Chuah
285 et al. (2009), who found size reduction in the resultant emulsion droplets with increasing Na-
286 ALG concentration. However, these emulsion droplets do not contain any hydrophilic bioactive
287 substance. The result presented in Fig. 3a correlated well with the previous MCE study of

288 Kobayashi et al. (2005a), who reported that a decrease in the d_{av} of oil-in-water (O/W) emulsion
289 droplets stabilized using sodium dodecyl sulfate is influenced by increased viscosity of the
290 disperse phase (silicone oil).

291 **Effect of pressure of the dispersed phase on preparation of liquid microspheres**

292 In order to investigate the effect of the hydraulic pressure of the disperse phase on the size and
293 size distribution of the resultant microspheres, the disperse phase pressure was varied from 3 to
294 25 kPa at a fixed continuous flow rate of 10 mL h⁻¹. It should be noted that the flow rate of the
295 continuous phase hardly affected the d_{av} and CV of the generated microspheres (Fig. 5a), which
296 is advantageous for stable preparation of monodisperse liquid microspheres. The disperse phase
297 used here contained 1 to 4% (w/w) Na-ALG, 1% (w/w) MgSO₄, and 5% (w/w) L-ascorbic acid in
298 Milli-Q water.

299 Fig. 5b illustrates the effect of the disperse phase pressure on the d_{av} and CV of the
300 microspheres prepared at different Na-ALG concentrations. The breakthrough pressure ranged
301 from 3 to 7 kPa with increasing concentration of Na-ALG. The d_{av} of the microsphere decreased
302 with increasing disperse phase pressure. A higher disperse phase pressure produced more active
303 MCs generating microspheres. Monodisperse liquid microspheres with d_{av} of 15 to 18 μm and
304 CV of 4.5 to 9.5% were prepared at disperse phase pressures of 10 to 15 kPa, regardless of Na-
305 ALG concentration. There was a slight effect on their d_{av} and CV at disperse phase pressures
306 exceeding 15 kPa at Na-ALG concentrations of 2 and 3% (w/w). At a certain disperse phase
307 pressure, d_{av} depended on the Na-ALG concentration. The d_{av} of microspheres increased with
308 increasing concentration of Na-ALG. The microspheres prepared with 4% (w/w) Na-ALG and
309 generated at a disperse phase pressure of 25 kPa had a d_{av} of 26 μm and a CV of 10%. The

310 results obtained from this part of the study confirmed the existence of a range of optimum
311 disperse phase pressures for successfully preparing monodisperse liquid microspheres.

312 **Effect of L-ascorbic acid concentration on preparation of liquid microspheres**

313 Fig. 6 illustrates the effect of L-ascorbic acid concentration on the d_{av} and CV of liquid
314 microspheres prepared using MCE. Different concentrations (5 to 30% (w/w)) of L-ascorbic acid
315 were dissolved in Milli-Q water solution containing 2% (w/w) Na-ALG and 1% (w/w) MgSO₄.
316 CV and d_{av} of the resultant microspheres increased slightly with increasing L-ascorbic acid
317 concentration. Smooth and stable generation of microspheres was observed with increasing L-
318 ascorbic acid concentration. The d_{av} ranged from 14.4 to 15.5 μm , and the CV ranged from 6 to
319 10%. In our previous studies using a rotor-stator homogenizer, L-ascorbic acid of a high
320 concentration (up to 30% (w/w)) was encapsulated in W/O and W/O/W emulsions with similar
321 compositions in the absence of Na-ALG (Khalid et al., 2013a; Khalid et al., 2013b; Khalid et al.,
322 2014). **The encapsulation efficiency of freshly prepared microspheres encapsulating 20% (w/w)**
323 **L-ascorbic acid was determined using straight-through MCE. The freshly prepared aqueous**
324 **microspheres had an initial concentration of 2.7 mg mL⁻¹ (total emulsion volume) and exhibited**
325 **encapsulation efficiency exceeding 70% (data not shown) during the 10 days of storage at 40°C**
326 **(Khalid et al., 2015).** These results indicate that MCE has the ability to encapsulate a high
327 concentration of L-ascorbic acid and other bioactive compounds into liquid microspheres with
328 more monodispersity than conventional emulsification devices.

329 L-ascorbic acid encapsulated within a polymeric matrix such as poly(DL-lactide-*co*-
330 glycolide) and D,L-lactide-*co*-glycolide has significantly higher efficiency (above 90%) than
331 traditional simple conventional emulsions (Stevanovi et al., 2007; Stevanovi et al., 2007). In

332 order to overcome chemical instability of L-ascorbic acid, a considerable amount of research has
333 focused on its encapsulation or immobilization (Stevanovi et al., 2007; Feczko et al., 2008).
334 Stevanovi et al. (2007) prepared poly(lactide-*co*-glycolide) (PLGA) particles using
335 physicochemical methods and centrifugal processing. L-ascorbic acid was encapsulated in the
336 polymer matrix using homogenization of aqueous and organic phases. The mean size of
337 nanoparticles containing PLGA/L-ascorbic acid ranged from 130 to 200 nm. Desai et al. (2006)
338 encapsulated L-ascorbic acid in tripolyphosphate–chitosan microspheres. The obtained
339 microspheres were relatively polydisperse with d_{av} of 3 to 6 μm . The present methodology
340 encapsulated 30% (w/w) L-ascorbic acid in aqueous microspheres without any significant
341 increase in particle size diameter and is more stable than other formulation techniques.

342 **Conclusions**

343 The findings obtained from this study provide the foundation for preparing monodisperse
344 aqueous microspheres loaded with L-ascorbic acid using MCE, which is an extremely mild
345 emulsification technique. The methodology presented in this study enables producing
346 encapsulated products containing high concentrations of L-ascorbic acid with potential
347 applications in food, pharmaceutical and cosmetic industries. Appropriate control of Na-ALG
348 and MgSO_4 concentrations, compositions of the dispersed and continuous phases, and operating
349 conditions are needed to prepare stable monodisperse aqueous microspheres containing high
350 concentrations of L-ascorbic acid via MC arrays. The successful composition includes 1% (w/w)
351 MgSO_4 , 2% (w/w) Na-ALG, and a maximum L-ascorbic acid concentration of 30% (w/w). The
352 results also indicated that partial linkage of Mg^{2+} ions with Na-ALG could develop a soft gel-like
353 structure, resulting in smooth detachment and generation of microspheres from MC arrays.
354 Uniformly sized aqueous microspheres generated under mild processing conditions with MCE

355 could increase the encapsulation efficiency and storage stability of L-ascorbic acid in different
356 food and pharmaceutical products.

357

358 **Declaration of interest**

359 The authors report no declarations of interest. The authors alone are responsible for the content
360 and writing of the article

361

362 **Figures and Table caption**

363 **Fig. 1.** (a) Simplified schematic of microchannel emulsification (MCE) setup. (b) Top and cross-
364 section views of the MC array plate (model MS407). (c) Schematic diagram of part of an MC
365 array. (d) Optical micrograph and dimensions of part of an MC array.

366 **Fig. 2.** (a) Effect of the MgSO_4 concentration on the average particle diameter (d_{av}) (—●—) and
367 CV (—○—) of the resultant liquid microspheres. (b, c) Optical micrographs of monodisperse liquid
368 microspheres of different MgSO_4 concentrations.

369 **Fig. 3.** (a) Effect of Na-ALG concentration on d_{av} (—●—) and CV (—○—) of the resultant liquid
370 microspheres. (b) Droplet size distributions of the liquid microspheres of different Na-ALG
371 concentrations.

372 **Fig. 4.** Potential mechanism representing the soft gel-like structure of Na-ALG in the presence of
373 MgSO_4 .

374 **Fig. 5.** (a) Effect of the flow rate of the continuous phase (decane containing 5% (w/w) TCGR)
375 on d_{av} (—●—) and (—○—) of the resultant liquid microspheres containing 2% (w/w) Na-ALG. (b)
376 Effect of the hydraulic pressure of the disperse phase on the d_{av} and CV of the liquid
377 microspheres of different Na-ALG concentrations. Na-ALG concentrations are denoted as (—●—)
378 for 1% (w/w), (—▲—) for 2% (w/w), (—■—) for 3% (w/w), and (—◆—) for 4% (w/w), while similar
379 open keys represents CVs of microspheres at different Na-ALG concentrations.

380 **Fig. 6.** Effect of L-ascorbic acid concentration on d_{av} (—●—) and CV (—○—) of the resultant liquid
381 microspheres of different L-ascorbic acid concentrations.

382 **Table 1.** Viscosity and interfacial tension data for the liquid phases used for this study.

383

384

385

386

387

388

389

390 **References**

- 391 Abbas S, Da Wei C, Hayat K, Xiaoming Z. Ascorbic Acid: Microencapsulation Techniques and
392 Trends—A Review. *Food Rev Int*, 2012;28:343-74.
- 393 Anal AK, Bhopatkar D, Tokura S, Tamura H, Stevens WF. Chitosan-alginate multilayer beads
394 for gastric passage and controlled intestinal release of protein. *Drug Develop Ind Pharm*,
395 2003;29:713-24.
- 396 Aslani P, Kennedy RA. Studies on diffusion in alginate gels. I. Effect of cross-linking with
397 calcium or zinc ions on diffusion of acetaminophen. *J Cont Release*, 1996;42:75-82.
- 398 Binks BP, Murakami R, Armes SP, Fujii S. Effects of pH and salt concentration on oil-in-water
399 emulsions stabilized solely by nanocomposite microgel particles. *Langmuir*
400 2006;22:2050-7.
- 401 Binks BP, Rodrigues JA. Inversion of emulsions stabilized solely by ionizable nanoparticles.
402 *Ange Chem Inter Ed*, 2005;44:441-4.
- 403 Caballero F, Foradada M, Minarro M, et al. Characterization of alginate beads loaded with
404 ibuprofen lysine salt and optimization of the preparation method. *Int J Pharmaceutics*,
405 2013.
- 406 Capone SH, Dufresne M, Rechel M, et al. Impact of Alginate Composition: From Bead
407 Mechanical Properties to Encapsulated HepG2/C3A Cell Activities for *In Vivo*
408 Implantation. *PLoS ONE* 2013;8:e62032.
- 409 Cheng CJ, Chu LY, Xie R. Preparation of highly monodisperse W/O emulsions with
410 hydrophobically modified SPG membranes. *J Colloid Interface Sci*, 2006;300:375-82.

411 Chuah AM, Kuroiwa T, Kobayashi I, Zhang X, Nakajima M. Preparation of uniformly sized
412 alginate microspheres using the novel combined methods of microchannel emulsification
413 and external gelation. *Colloids and Surfaces A*, 2009;351:9-17.

414 Desai KG, Liu C, Park HJ. Characteristics of vitamin C encapsulated tripolyphosphate-chitosan
415 microspheres as affected by chitosan molecular weight. *J Microencapsul* 2006;23:79-90.

416 Desai KG, Park HJ. Encapsulation of vitamin C in tripolyphosphate cross-linked chitosan
417 microspheres by spray drying. *J Microencapsul* 2005;22:179-92.

418 Feczko T, Tóth J, Gyenis J. Comparison of the preparation of PLGA-BSA nano- and
419 microparticles by PVA, poloxamer and PVP. *Colloids and Surfaces A*, 2008;319:188-95.

420 Gallarate M, Carlotti M, Trotta M, Bovo S. On the stability of ascorbic acid in emulsified
421 systems for topical and cosmetic use. *Int J Pharmaceutics*, 1999;188:233-41.

422 Herrera M. Nano and Micro Food Emulsions. In: *Analytical Techniques for Studying the*
423 *Physical Properties of Lipid Emulsions*: Springer US; 2012:7-14.

424 Jiang T, Kim YK, Singh B, Kang SK, Choi YJ, Chol CS. Effect of microencapsulation of
425 *Lactobacillus plantarum* 25 into alginate/chitosan/alginate microcapsules on viability and
426 cytokine induction. *J Nanosci Nanotechnol*, 2013;13:5291-5.

427 Kawakatsu T, Kikuchi Y, Nakajima M. Regular-sized cell creation in microchannel
428 emulsification by visual microprocessing method. *J Am Oil Chem Soc*, 1997;74:317-21.

429 Kawakatsu T, Tragardh G, Tragardh C. Production of W/O/W emulsions and S/O/W pectin
430 microcapsules by microchannel emulsification. *Colloids and Surfaces A*, 189:257-64.

431 Kawakatsu T, Tragardh G, Tragardh C, Nakajima M, Oda N, Yonemoto T. The effect of the
432 hydrophobicity of microchannels and components in water and oil phases on droplet

433 formation in microchannel water-in-oil emulsification. *Colloids and Surfaces A*,
434 2001b;179:29-37.

435 Khalid N, Kobayashi I, Neves MA, Uemura K, Nakajima M. Preparation and characterization of
436 water-in-oil-in-water emulsions containing a high concentration of L-ascorbic acid.
437 *Bioscience, Biotechnol Biochem*, 2013a;77:1171-8.

438 Khalid N, Kobayashi I, Neves MA, Uemura K, Nakajima M. Preparation and characterization of
439 water-in-oil emulsions loaded with high concentration of l-ascorbic acid. *LWT - Food Sci*
440 *Technol*, 2013b;51:448-54.

441 Khalid N, Kobayashi I, Neves MA, Uemura K, Nakajima M, Nabetani H. Monodisperse W/O/W
442 emulsions encapsulating l-ascorbic acid: Insights on their formulation using
443 microchannel emulsification and stability studies. *Colloids and Surfaces A*, 2014;458:69-
444 77.

445 Khalid N, Kobayashi I, Neves MA, Uemura K, Nakajima M. Monodisperse aqueous
446 microspheres encapsulating high concentration of L-ascorbic acid: Insights of preparation
447 and stability evaluation from straight-through microchannel emulsification. *Bioscience,*
448 *Biotechnol Biochem*, 2015. In Press.

449 Kim K, Vincent B. pH and temperature-sensitive behaviour of poly(4-vinylpyridine-co-N-
450 isopropylacrylamide) microgels. *Korean Polymer J*, 2005;37:565-70.

451 King Alan H. Flavor Encapsulation with Alginates. In: *Flavor Encapsulation: American*
452 *Chemical Society*; 1988:122-5.

453 Kobayashi I, Mukataka S, Nakajima M. Novel asymmetric through-hole array microfabricated
454 on a silicon plate for formulating monodisperse emulsions. *Langmuir* 2005a;21:7629-32.

455 Kobayashi I, Mukataka S, Nakajima M. Production of monodisperse oil-in-water emulsions
456 using a large silicon straight-through microchannel plate. *Ind Eng Chem Res*,
457 2005b;44:5852-6.

458 Kobayashi I, Murayama Y, Kuroiwa T, Uemura K, Nakajima M. Production of monodisperse
459 water-in-oil emulsions consisting of highly uniform droplets using asymmetric straight-
460 through microchannel arrays. *Microfluid Nanofluid* 2009;7:107-19.

461 Kobayashi I, Uemura K, Nakajima M. Formulation of monodisperse emulsions using submicron-
462 channel arrays. *Colloids and Surfaces A*, 2007;296:285-9.

463 Kobayashi I, Wada Y, Hori Y, Neves MA, Uemura K, Nakajima M. Microchannel
464 Emulsification Using Stainless-Steel Chips: Oil Droplet Generation Characteristics.
465 *Chem Eng Technol*, 2012;35:1865-71.

466 Kratz K, Hellweg T, Eimer W. Influence of charge density on the swelling of colloidal poly(N-
467 isopropylacrylamide-co-acrylic acid) microgels. *Colloids and Surfaces A*, 2000;170:137-
468 49.

469 Kuo CK, Ma PX. Ionically crosslinked alginate hydrogels as scaffolds for tissue engineering:
470 part 1. Structure, gelation rate and mechanical properties. *Biomaterials* 2001;22:511-21.

471 Liu XD, Bao DC, Xue WM, et al. Preparation of uniform calcium alginate gel beads by
472 membrane emulsification coupled with internal gelation. *J Appl Poly Sci*, 2003;87:848-
473 52.

474 McClements D, ed. *Food Emulsions: Principles, Practice and Techniques*. Boca Raton, Florida:
475 CRC Press; 2004.

476 Neves MA, Ribeiro HS, Fujiu KB, Kobayashi I, Nakajima M. Formulation of controlled size
477 PUFA-loaded oil-in-water emulsions by microchannel emulsification using beta-
478 carotene-rich palm oil. *Ind Eng Chem Res*, 2008a;47:6405-11.

479 Neves MA, Ribeiro HS, Kobayashi I, Nakajima M. Encapsulation of lipophilic bioactive
480 molecules by microchannel emulsification. *Food Biophys*, 2008b;3:126-31.

481 Opawale FO, Burgess DJ. Influence of Interfacial Properties of Lipophilic Surfactants on Water-
482 in-Oil Emulsion Stability. *J Colloid Interface Sci* 1998;197:142-50.

483 Poncelet D, Lencki R, Beaulieu C, Halle JP, Neufeld RJ, Fournier A. Production of alginate
484 beads by emulsification/internal gelation. I. Methodology. *Applied Microbiol Biotechnol*,
485 1992;38:39-45.

486 Pothakamury UR, Barbosa-Cánovas GV. Fundamental aspects of controlled release in foods.
487 *Trends in Food Sci Technol*,1995;6:397-406.

488 Reis CP, Neufeld RJ, Vilela S, Ribeiro AJ, Veiga F. Review and current status of
489 emulsion/dispersion technology using an internal gelation process for the design of
490 alginate particles. *J Microencapsul*,2006;23:245-57.

491 Saito M, Yin LJ, Kobayashi I, Nakajima M. Preparation characteristics of monodispersed oil-in-
492 water emulsions with large particles stabilized by proteins in straight-through
493 microchannel emulsification. *Food Hydrocolloid*, 2005;19:745-51.

494 Shimizu M, Nakashima T, Kukizaki M. Preparation of W/O emulsion by membrane
495 emulsification and optimum conditions for its monodispersity. *Kagaku Kogaku*
496 *Ronbunshu* 2002;28:310-6.

497 Souilem S, Kobayahi I, Neves MA, Sayadi S, Ichikawa S, Nakajima M. Preparation of
498 monodisperse food grade oleuropein-loaded W/O/W emulsions using microchannel

499 emulsification and evaluation of their storage stability. Food Bioprocess Technol2013;In
500 Press:DOI:10.1007/s11947-013-1182-9.

501 Stevanovic M, Savic J, Jordovic B, Uskokovic D. Fabrication, in vitro degradation and the
502 release behaviours of poly(DL-lactide-co-glycolide) nanospheres containing ascorbic
503 acid. Colloids and surfaces B, 2007;59:215-23.

504 Stevanovic M, Uskokovic D. Poly(lactide-co-glycolide)-based Micro and Nanoparticles for the
505 Controlled Drug Delivery of Vitamins. Curr Nanosci, 2009;5:1-14.

506 Stevanovi MM, Jordovi B, Uskokovi DP. Preparation and characterization of poly (D, L-
507 lactide-co-glycolide) nanoparticles containing ascorbic acid. BioMed Res Int, 2007;2007.

508 Strathmann H. Membrane processes. Von R. Rautenbach und R. Albrecht. Übersetzt von V.
509 Cottrell, John Wiley & Sons, Chicester – New York, 1989, X, 459 S., zahlr. Abb. u. Tab.,
510 US-\$ 155,25. Chemie Ingenieur Technik 1990;62:261-.

511 Sugiura S, Kuroiwa T, Kagota T, et al. Novel method for obtaining homogeneous giant vesicles
512 from a monodisperse water-in-oil emulsion prepared with a microfluidic device.
513 Langmuir 2008;24:4581-8.

514 Sugiura S, Nakajima M, Iwamoto S, Seki M. Interfacial tension driven monodispersed droplet
515 formation from microfabricated channel array. Langmuir 2001;17:5562-6.

516 Tong JH, Nakajima M, Nabetani H, Kikuchi Y. Surfactant effect on production of
517 monodispersed microspheres by microchannel emulsification method. J Surf Deterg,
518 2000;3:285-93.

519 Vladislavljevi GT, Kobayashi I, Nakajima M. Production of uniform droplets using membrane,
520 microchannel and microfluidic emulsification devices. Microfluid Nanofluid,
521 2012;13:151-78.

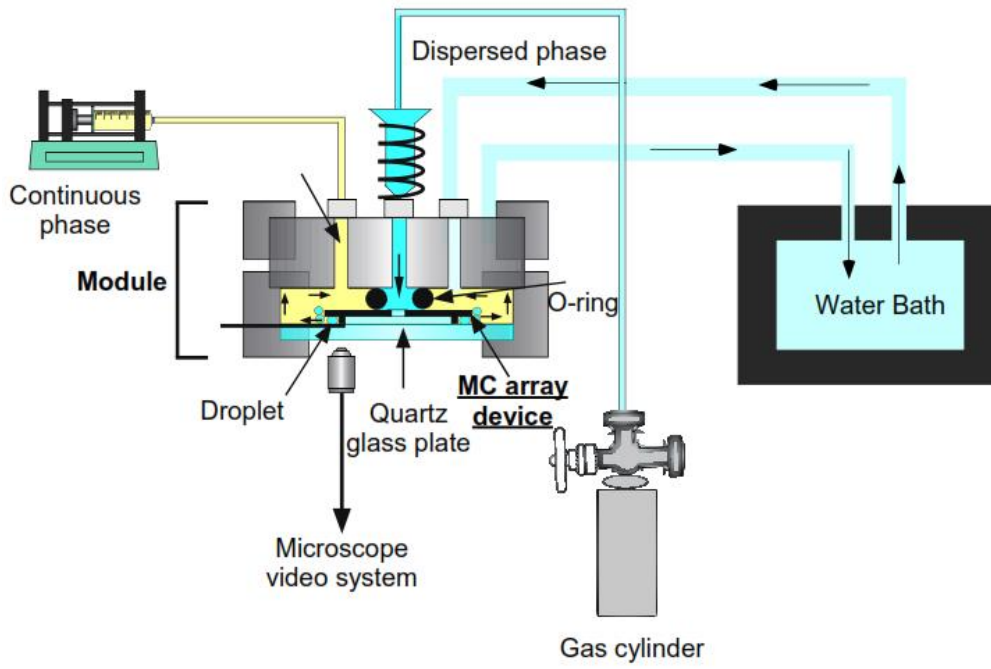
522

523

524

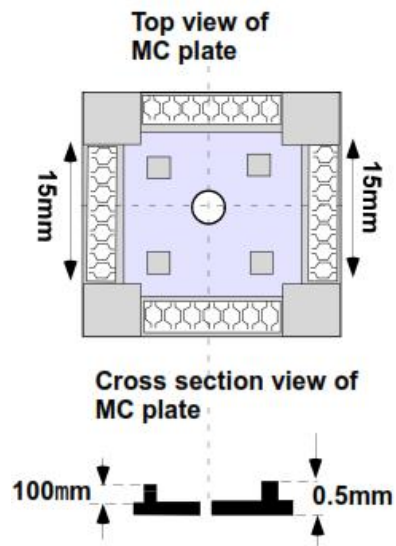
525

(a)

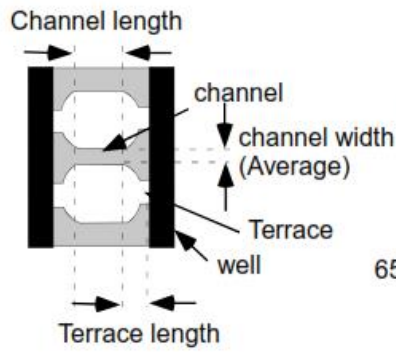


1

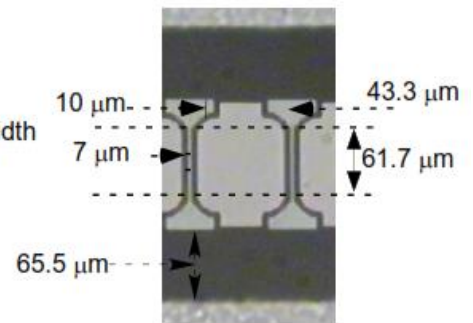
(b)



(c)



(d)



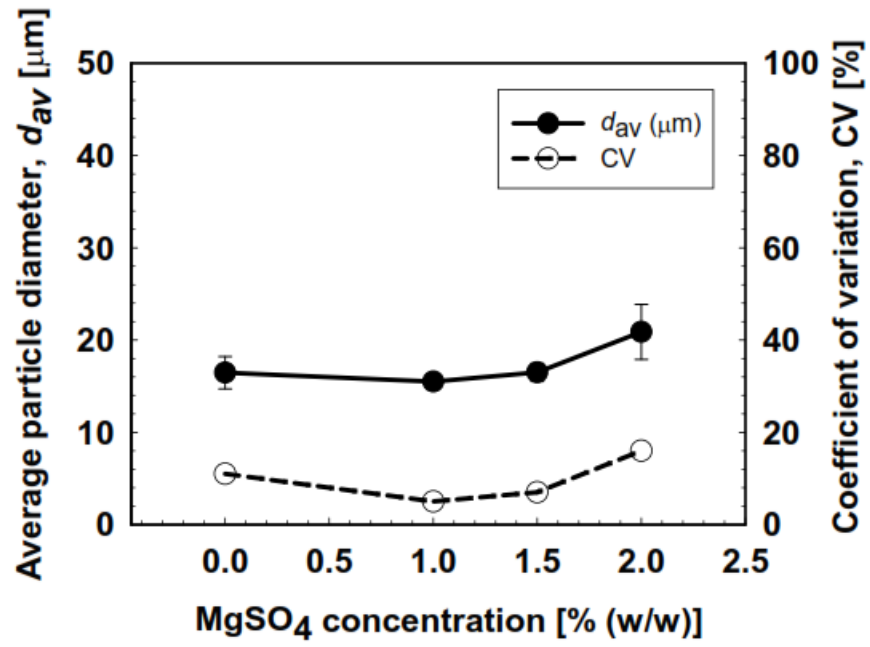
2

3

4 **Figure 1**

5

6 (a)

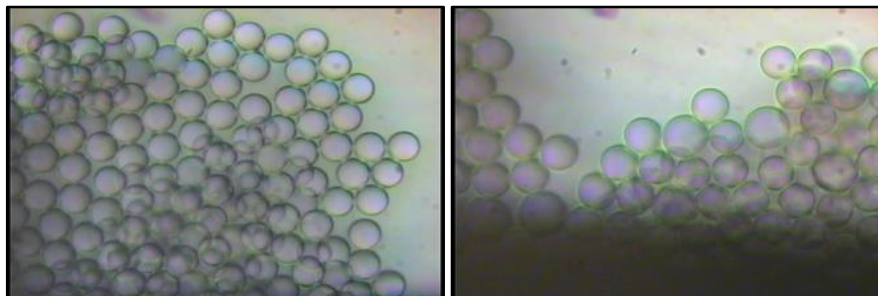


7

8

(b) 1% (w/w)

(c) 0% (w/w)



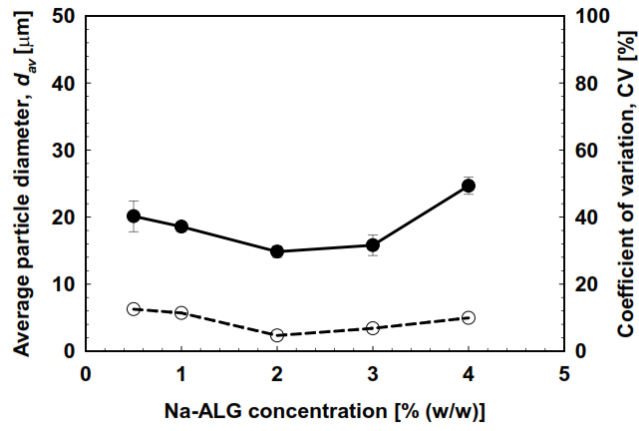
50 μm

9

10 **Figure 2**

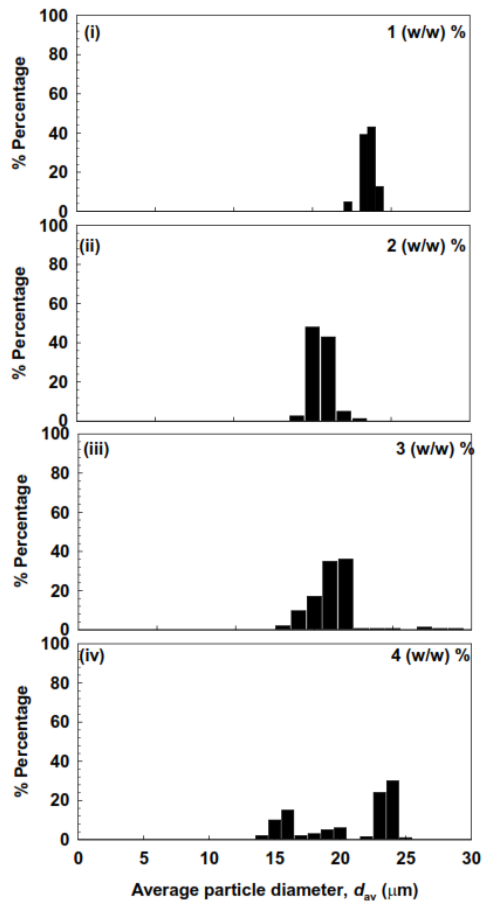
11

12 (a)



13

14 (b)

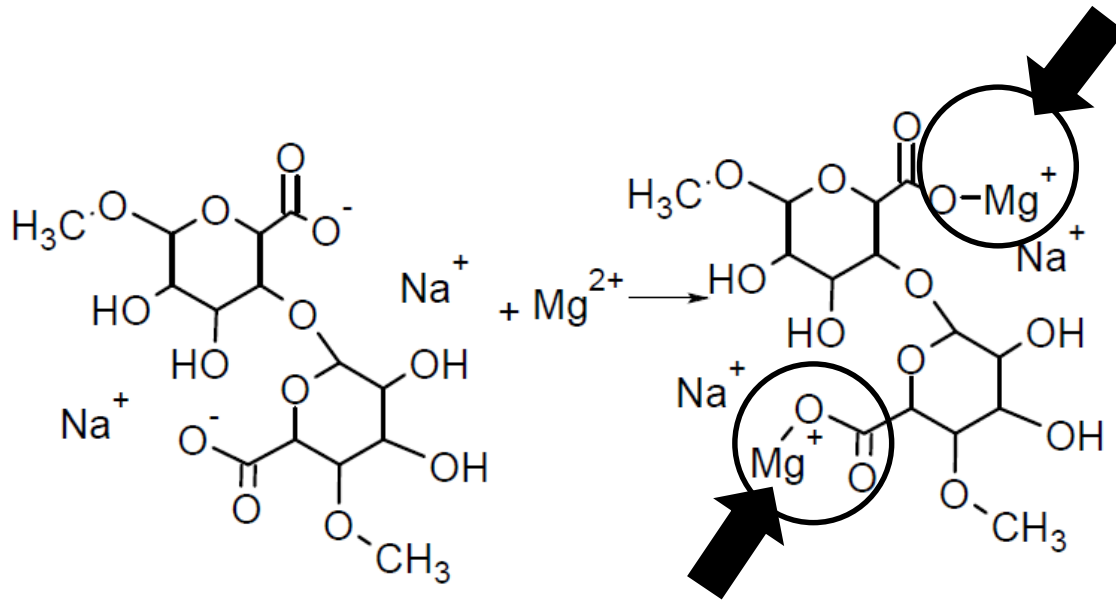


15

16 **Figure 3**

17

18



19

20

21 **Figure 4**

22

23

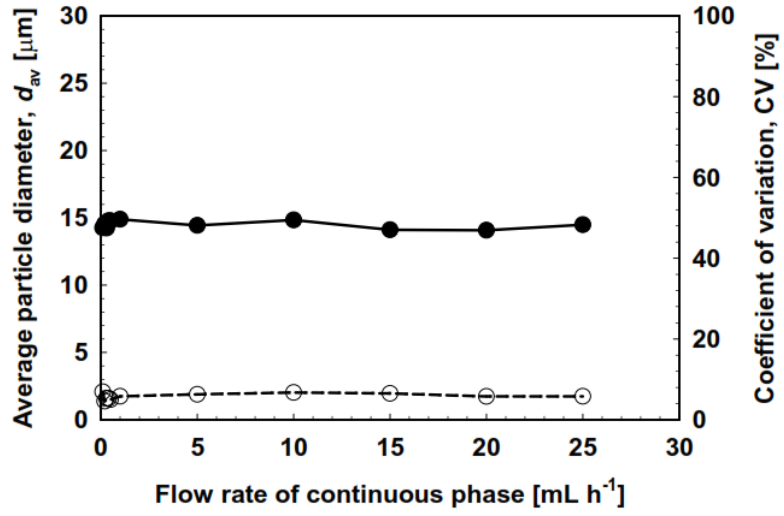
24

25

26

27

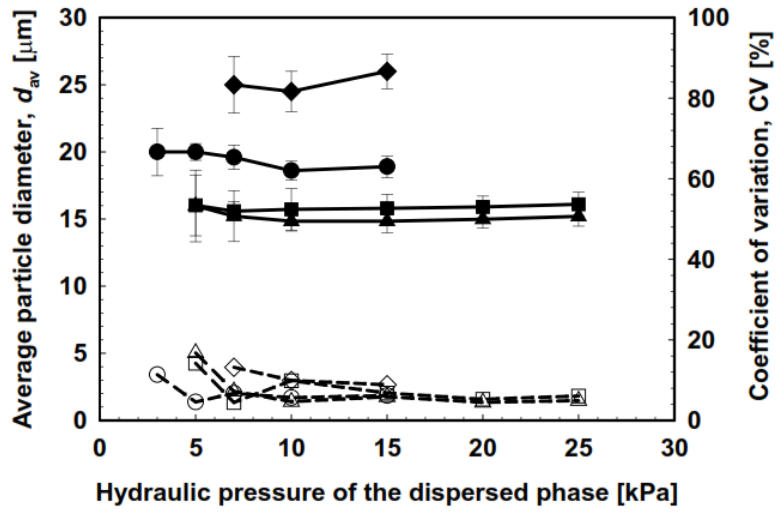
28 (a)



29

30

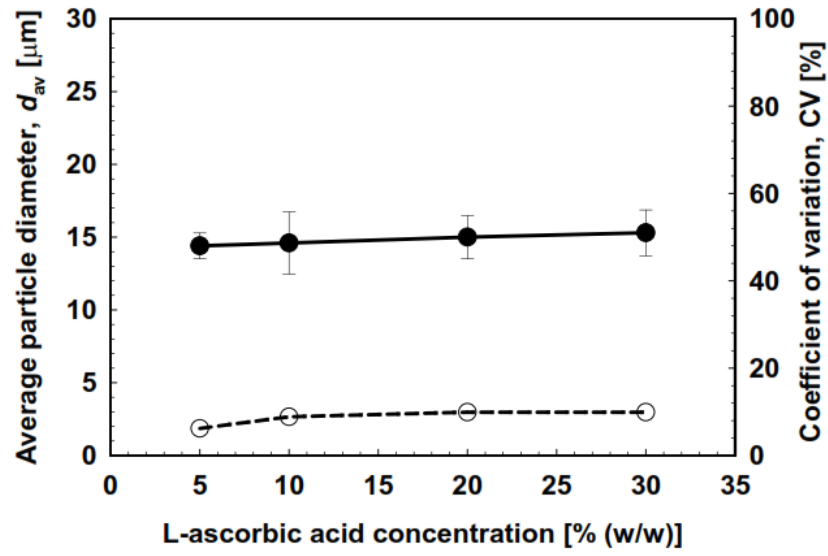
31 (b)



32

33

34 Figure 5



35

36 **Figure 6**

37

38

39

40

41

42

43

44

45

46

47 **Table 1**

concentration % (w/w)	Viscosity, η^a (mPas)	Viscosity, η^b (mPas)	Interfacial tension, γ^c (mN/m)	Interfacial tension, γ^d (mN/m)
DP composition*				
0.5	1.67±0.02	4.11±0.01	6.5±0.1	6.9±0.2
1	3.75±0.05	8.85±0.05	6.7±0.5	6.6±0.4
2	34.9±3.51	59.4±1.80	6.9±0.1	6.7±0.1
3	112±2.11	334±3.51	7.0±0.5	6.8±0.3
4	731±6.50	777±8.50	6.2±0.7	6.4±0.6
DP with L-AA**				
(5-30% (w/w))				
10	40.2±0.40	62.50±1.50	6.9±0.3	6.7±0.3
20	45.7±0.88	69.21±4.01	7.0±0.2	6.9±0.1
30	50.6±3.06	73.72±6.32	7.0±0.4	6.8±0.3
CP composition***				
Decane+Span 85	0.80±0.005	-	-	-
Decane+TGCR	0.84±0.004	-	-	-

48 *Dispersed phase composition

49 **L-ascorbic acid

50 **Continuous phase composition

51 ^a Viscosity of dispersed phase in presence of 1% (w/w) MgSO₄52 ^b Viscosity of dispersed phase in presence of 0% (w/w) MgSO₄53 ^c Interfacial tension in presence of 1% (w/w) MgSO₄54 ^d Interfacial tension in presence of 0% (w/w) MgSO₄

55