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I. INTRODUCTION

Electronic transport in quantum point contacts (QPCs) has been extensively investigated both experimentally and theoretically\(^1,2\) because QPCs are not only test systems for quantum transport but also potential candidates for basic elements of future nanoscale devices.\(^3,4\) Although there has been substantial progress for direct current transport in QPCs over the last two decades, little attention has been paid to the alternating current transport in QPCs.\(^5–9\) However, ac transport in QPCs is critical for designing and manipulating ultrafast quantum transport but also potential candidates for basic elements of future nanoscale devices.\(^10\)

Recently, Hohls \textit{et al.} measured the admittance of QPCs at frequencies up to 300 MHz.\(^6,9\) They formed a QPC in a GaAs/AlGaAs heterostructure by using a pair of metallic gate electrodes (known as the split-gate configuration). Their admittance data for the QPC exhibits four remarkable characteristic features: (i) the width of quantization plateaus seen in the change of the real part of the admittance (ac conductance) with increasing gate voltage is narrow and in most regions the ac conductance increases gradually; (ii) there is no notable difference between the ac conductance up to 300 MHz and the dc conductance; (iii) the imaginary part of the admittance (the susceptance) has a stepwise variation with respect to the gate voltage; and (iv) the height of susceptance step is proportional to the frequency of the ac-bias voltage. In other words, the susceptance is a linear function of \(\nu \) below \(\nu = 300\) MHz. In addition to these four features, the following problem remains to be resolved: (v) due to experimental difficulties (e.g., the influence of parasitic admittance), it has not been clarified whether the susceptance changes its sign from positive (i.e., inductive response) to negative (i.e., capacitive response) at a certain gate voltage.

The ac response of QPCs has also been investigated theoretically by B"uttiker and Aronov \textit{et al.}\(^5,6\) They succeeded in reproducing some of the experimental observations of features (i)–(iv). B"uttiker predicted features (ii) and (iv) using the Wentzel-Kramers-Brillouin (WKB) approximation. Aronov \textit{et al.} also showed features (ii) and (iv) by solving the Boltzmann kinetic equation for QPCs in the form of a smooth constriction whose dimension is much longer than the wavelength (the adiabatic approximation). For point (v), they argue that the inductive-capacitive transition does occur at a certain gate voltage.

Although these theoretical studies succeeded in explaining two characteristic features, (ii) and (iv), the theoretical results are inconsistent with the other two features, (i) and (iii). More specifically, the calculated conductance exhibits well-developed conductance quantization and changes steeply between plateaus in quite narrow voltage ranges. In addition, although the calculated susceptance displays a stepwise structure, it has sharp peaks at the step edges, which were not observed in the experiment by Hohls \textit{et al.} The appearance of sharp peaks in previous theoretical studies implies that the WKB approximation and the adiabatic approximation are broken at the susceptance step edges, as B"uttiker already pointed out in Ref. 5. Thus, approaches besides the WKB and adiabatic approximations are required to reproduce the susceptance without the sharp peaks at the step edges. In this study, we adopt Ando’s expression for a confinement potential formed by a split gate and the nonequilibrium Green function (NEGF) method as a numerical technique to calculate the admittance of QPCs whose dimensions are less than the electron wavelength.\(^13\) Based on these theoretical tools and keeping in mind the conditions under which the approximations used in previous studies\(^5,6\) are appropriate, we attempt to reproduce the characteristic features (i)–(iv) and to answer point (v).

This paper is organized as follows. In Sec. II, we briefly describe the effective-mass Hamiltonian of a QPC (Sec. II A) and give its discretized expression, which is required for numerical calculations (Sec. II B). Next, we briefly review the NEGF description of admittance (Sec. II C). Section III reports the simulation results for the admittance of a QPC. Finally, the paper is summarized in Sec. IV.

II. MODEL AND METHOD

A. Effective-mass theory of quantum point contacts

In this subsection, we briefly review the effective-mass theory of QPCs.\(^13\) The effective-mass Hamiltonian in two
dimensions is described by

$$H = -\frac{\hbar^2}{2m^*} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) + V(x,y),$$

where $m^*$ is the effective mass of a band electron and $V(x,y)$ represents the confinement potential of a QPC. In our simulation, we use Ando’s expression for a confinement potential (Fig. 1),

$$V(x,y) = -\frac{eV_b}{2} \left( 1 + \cos \left( \frac{2\pi x}{L_x} \right) \right) + E_F \sum_{\pm} \left( y - \frac{y_{\pm}(x)}{\Delta} \right)^2 \Theta(y^2 - y_{\pm}(x)^2),$$

with

$$y_{\pm}(x) = \pm \frac{L_y}{4} \left( 1 - \cos \left( \frac{2\pi x}{L_x} \right) \right).$$

For a fixed width $L_y$, the length $L_x$ determines the length scale of the system. The adiabatic limit used in the previous studies corresponds to $L_x \gg \lambda_F$.5,6

The split gate mainly modulates the potential barrier height $V_b$, and the curvature of the confinement potential $\Delta$. Therefore, we examine the $V_b$- and $\Delta$-dependences on the admittance of QPCs in Sec. III.

B. Discretized expression for the effective-mass Hamiltonian

To perform numerical calculations for the admittance of the QPC, we discretize the effective-mass Hamiltonian in Eq. (1) on a square lattice with a lattice constant $a$. Discrete lattice points are located at $x = na$ and $y = ma$, where $n = -(N_x - 1)/2$, $-(N_y - 3)/2$, $\cdots$, $(N_x - 1)/2(L_x/2a)$ and $m = -(N_y - 1)/2$, $-(N_y - 3)/2$, $\cdots$, $(N_y - 1)/2(L_y/2a)$, respectively. Thus, the effective-mass Hamiltonian in Eq. (1) is mapped onto a nearest-neighbor tight-binding Hamiltonian as

$$H = \sum_{n,m} \epsilon_{nm} |n,m\rangle \langle n,m| - \sum_{\langle n,m \pm n',m' \rangle} t(|n,m\rangle \langle n',m'| + H.c.),$$

where $t = h^2/2m^*a^2$ is the hopping energy and $\epsilon_{nm} = 4t + V_{nm}$ is the onsite energy. The confinement potential in Eq. (2) is also discretized as

$$V_{nm} = -\frac{eV_b}{2} \left( 1 + \cos \left( \frac{2\pi n}{N_x} \right) \right) + E_F \sum_{\pm} \left( \frac{m - y_{\pm}(n)}{\Delta/a} \right)^2 \Theta(m^2 - y_{\pm,n}^2),$$

with

$$y_{\pm,n} = \pm \frac{N_y}{4} \left( 1 - \cos \left( \frac{2\pi n}{N_x} \right) \right).$$

In this paper, we focus on the QPC formed in a GaAs/AlGaAs heterostructure with electron density $n_e = 5 \times 10^{11}$ cm$^{-2}$. The lattice constant is chosen to be $a = 2.5$ nm

and $L_y = 30a$ ($N_y = 31$), both fixed throughout this study. The hopping parameter is estimated to be $t \approx 90$ meV using a typical effective mass of an electron in AlGaAs heterostructures, $m^* = 0.067m_e$, where $m_e$ is the free electron mass. A typical Fermi wavelength (Fermi energy) of electrons in AlGaAs heterostructures is given by $\lambda_F = 35$ nm ($E_F = 20$ meV). Thus, $\lambda_F$ is much smaller than the channel length $L_x$ in our simulation system ($L_x \ll \lambda_F$). This is opposite to previous theoretical studies.5,6

C. Nonequilibrium Green function method

In this subsection, we briefly describe the NEGF method for ac transport. It has been successfully applied to various mesoscopic15,16 and nanoscale systems.17-21 Within the linear response approximation with respect to the amplitude of the ac-bias voltage $V_b$, the electric current flowing from the QPC to the left ($a = L$) or right ($a = R$) lead is expressed by

$$I_a(t) = \text{Re}[I_a(\omega)e^{-i\omega t}]$$
Here, $\omega$ is the ac-bias frequency and $Y_{\alpha\beta}(\omega)$ is the admittance between the $\alpha$- and $\beta$-leads; the admittance consists of two parts associated with the conduction current $Y_{\alpha\beta}^c(\omega)$ and the displacement current $P_\alpha Y_{\alpha\beta}^d(\omega)$:

$$Y_{\alpha\beta}(\omega) = Y_{\alpha\beta}^c(\omega) + P_\alpha Y_{\alpha\beta}^d(\omega),$$

where $P_\alpha$ are the partition coefficients. These coefficients should satisfy the conditions $\sum_\alpha P_\alpha = 1$ and $P_\alpha = -\sum_\gamma Y_{\alpha\gamma}^c(\omega)/\sum_\gamma Y_{\alpha\gamma}^c(\omega)$, which are derived from current conservation [$\sum_\alpha Y_{\alpha\alpha}(\omega) = 0$] and gauge invariance [$\sum_\alpha Y_{\alpha\alpha}(\omega) = 0$], respectively.\(^2\)\(^2\)\(^3\) These two requirements give a relation between the admittance elements:

$$Y(\omega) \equiv Y_{LL}(\omega) = Y_{RL}(\omega) = -Y_{LR}(\omega) = -Y_{RR}(\omega).$$

The conductance [the real part of $Y(\omega)$] is an even function with respect to $\omega$, and it is always positive, whereas the susceptance [the imaginary part of $Y(\omega)$] is an odd function with respect to $\omega$ and it can be both positive and negative. In our convention for the sign of the phase difference between the current and the ac-bias voltage, positive and negative susceptances, respectively, indicate inductive and capacitive susceptances. Note that this convention is opposite to that commonly employed in electric circuit theory.

The conduction current admittance $Y_{\alpha\beta}^c(\omega)$ and the displacement current admittance $Y_{\alpha\beta}^d(\omega)$ are expressed within the NEGF formulation under the wide-band limit, where the energy-dependent self-energy is replaced with the energy-independent self-energy: $\Sigma_\alpha(\epsilon) \rightarrow \Sigma_\alpha(\epsilon_F)$.\(^1\)\(^2\)\(^3\) The conduction-current admittance $Y_{\alpha\beta}^c(\omega)$ is expressed by

$$Y_{\alpha\beta}^c(\omega) = \frac{2e^2}{h} \int_{-\infty}^{\infty} d\epsilon \frac{f_\alpha(\epsilon) - f_\beta(\epsilon + \hbar \omega)}{\hbar \omega} T_{\alpha\beta}(\epsilon,\omega),$$

where $f_\alpha(\epsilon)$ is the Fermi-Dirac distribution function of the $\alpha$ lead, and the factor 2 in Eq. (11) is the spin degrees of freedom. $T_{\alpha\beta}(\epsilon,\omega)$ is the effective transmission function under an ac-bias voltage with frequency $\omega$, which is given by

$$T_{\alpha\beta}(\epsilon,\omega) = \text{Tr}[G(\epsilon + \hbar \omega)\Gamma_\alpha G^1(\epsilon)\Gamma_\beta] - i\delta_{\alpha\beta} \text{Tr}[G(\epsilon + \hbar \omega)\Gamma_\alpha - \Gamma_\alpha G^1(\epsilon)].$$

Here, $G(\epsilon) = [(\epsilon + i\eta)I - \hat{H} - \sum_\alpha \Sigma_\alpha]^{-1}$ is the retarded Green function, where $\eta$ is a positive infinitesimal and $I$ is the identity matrix. $H$ is the matrix representation for the discretized effective-mass Hamiltonian (4) in the confinement region of a QPC ($|x| \leq L_x/2, |y| \leq L_y/2$). The self-energy due to the $\alpha$ lead can be analytically expressed as\(^1\)\(^3\)

$$\Sigma_\alpha(\epsilon_F) = \sum_{l=1}^{N_y} \frac{E_F - \epsilon_l - \sqrt{(E_F - \epsilon_l)^2 - 4\epsilon_l^2} - u_l u_l^*}{2}.$$

Here, $\epsilon_l$ and $u_l$ are, respectively, given by

$$\epsilon_l = -2t \cos \frac{\pi l}{N_y} + 4t.$$

and

$$u_{1,m} = \sqrt{\frac{2}{N_y + 1}} \cos \frac{\pi l}{N_y} + 4t.$$
The ac-bias frequency dependence of the susceptance for susceptance edges, as Böttiker et al. and Aronov et al. observed,

\[ \nu = \frac{\omega}{2\pi} \]

whereas the WKB approximation and adiabatic approximations are unsuitable for describing the behavior of susceptance edges, as Böttiker states in Ref. 5.

Another important phenomenon is dependence of the susceptance on the ac-bias frequency \( \nu \), which is in sharp contrast with the conductance in Fig. 2(a). The inset shows the \( \nu \)-dependence of the susceptance at \( V_b = 10 \text{ mV} \) (circles) and \(-10 \text{ mV} \) (triangles). The susceptance at \( V_b = 10 \text{ mV} \) increases linearly with \( \nu \), whereas at \( V_b = -10 \text{ mV} \) decreases linearly with \( \nu \). The linear dependence of the susceptance on \( \nu \) implies that 300 MHz is sufficiently low to neglect higher-order components of the susceptance with respect to \( \nu \). The linear dependence of the susceptance on \( \nu \) implies that 300 MHz is sufficiently low to neglect higher-order components of the susceptance with respect to \( \nu \). This coefficient corresponds to the emittance \( E \), which was originally defined in terms of the partial density of states. This is also consistent with previous experimental observations. The difference between the signs of the susceptances at \( V_b = \pm 10 \text{ mV} \) is important in connection with point (v) mentioned in the introduction. Thus, our answer to the problem (v) is the same as the theoretical arguments given by Böttiker and Aronov et al. As a result of our work, we found from Fig. 2(b) that the inductive-capacitive transition point is independent of the ac-bias frequency \( \nu \). The question now arises: what does the IC transition point depend on? In the next subsection, we show that it depends on the curvature of the confinement potential.

**B. Effects of confinement-potential curvature on ac response**

The curvature of the confinement potential is expressed by the parameter \( \Delta \) in Eq. (2). Figures 3(a) and 3(b), respectively, display the conductance and susceptance for \( \Delta = L_x/4 \) (solid curve), \( L_x/5 \) (dotted curve), and \( L_x/6 \) (dashed curve) for \( \nu = 300 \text{ MHz} \), where \( L_x = L_y = 30a \) with \( a = 2.5 \text{ nm} \).

As Fig. 3(a) shows, the conductances decrease monotonically with decreasing \( \Delta \). This means that the electron transmission decreases with decreasing contact width. This can be understood from the local density of states (LDOS) at the center (\( x = 0 \)) of the QPC. It is intuitively obvious that the LDOS decreases with decreasing contact width, as shown in the inset of Fig. 3(a). Due to the reduction in the LDOS, the electron transmission decreases as \( \Delta \) decreases.

Next, we focus on the \( \Delta \) dependence of the susceptance, particularly of the IC transition point. The emittance increases with decreasing \( \Delta \) for a fixed barrier height \( V_b \), i.e., the split gate voltage adds a capacitive contribution to the emittance even when the geometrical capacitance is not taken into account. If the geometrical capacitance is taken into account, a stronger capacitive contribution to the emittance is expected with decreasing \( \Delta \).

The IC transition point moves toward higher \( V_b \) as \( \Delta \) decreases. However, the IC transition occurs immediately after the conductance exceeds \( 2G_0 \) for any value of \( \Delta \). This critical conductance value corresponds to half the number of energy steps of the ac-bias frequency dependence of the susceptance for susceptance edges. The unphysical susceptance peaks disappear due to the wavelength of an electron flowing through the QPC being much longer than the channel length of the QPC. The unphysical susceptance peaks disappear due to the wavelength of an electron flowing through the QPC being much longer than the channel length of the QPC.
bands of the electrode crossing the Fermi level $E_F = 20$ meV.

In the present models, the conduction channels are almost mutually independent, and the left and the right leads are connected symmetrically. Therefore, we can consider the IC transition to be similar to that in a double-barrier quantum well. Since the emittance $E$ is expected to behave as $E \propto R - T$, we can find that the critical conductance value at the IC transition is $2G_0$ irrespective of $\Delta$ and $E_F$ in the present models. It should be noted, however, that in general the critical conductance value depends on the Fermi level and the electrode structure.

Finally, we show the dependence of admittance on the height of the potential barrier for QPCs with $L_x = 30a$ (solid curve) and $L_x = 50a$ (dotted curve), respectively. The left and right axes in (b) are shown on the susceptance in the case of $L_x = 30a$ and of $L_x = 50a$.

Hove singularities. It is worth noting that the behavior of the susceptance for $L_x = 50a$ is much closer to the one obtained in previous theoretical studies, than that for $L_x = 30a$. This is because the QPC length of $L_x = 50a$ is comparable to the Fermi wavelength, and therefore the approaches of the previous studies are valid.

**IV. CONCLUSION**

We performed numerical simulations of the ac response of a QPC using the NEGF method combined with a discretized effective-mass Hamiltonian. We describe QPCs using the confinement potential first proposed by Ando. We found that the geometry of the QPC strongly influences both the dc- and ac-response behaviors. In contrast to the ac-response behavior of quantum wires with lengths longer than a typical electron wavelength, the susceptance of QPCs increases stepwise while the conductance changes gradually between the quantization plateaus with changes in gate voltage, and the region of this gradual change is broader than the plateau region. Moreover, the susceptances calculated in this study did not exhibit sharp peaks at the step edges corresponding to van Hove singularities of the energy band structure of the electrodes, in contrast with the sharp peaks observed in previous studies. We also found that the QPCs undergo a transition from capacitive
susceptance to inductive susceptance with increasing barrier height of the confinement potential. The inductive-capacitive transition point is independent of the ac-bias frequency, but it is sensitive to the curvature of the confinement potential. Thus, we succeeded in explaining characteristic features (i)–(iv) of recent experimental data for a QPC formed in a GaAs/AlGaAs heterostructure,8,9 and we predicted the IC transition of QPCs. In addition, the simulation method used in this paper can be widely applied to calculate the ac characteristics of various mesoscopic and nanoscale systems in addition to a QPC formed in a GaAs/AlGaAs heterostructure.

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29The Fermi wavelength $\lambda_F \sim 35$ nm is almost equal to a quarter of the length of the QPC, $L_x = 50 \nu \sim 125$ nm, which is the distance between the center of the QPC and the position with a half of the QPC width ($y_+ - y_- = L_y/2$).