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Strong coupling constant from vacuum polarization functions in three-flavor lattice QCD with dynamical overlap fermions

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We determine the strong coupling constant \( \alpha_s \) from a lattice calculation of vacuum polarization functions (VPF) in three-flavor QCD with dynamical overlap fermions. Fitting lattice data of VPF to the continuum perturbative formula including the operator product expansion, we extract the QCD scale parameter \( \Lambda_{\overline{MS}}(3) \). At the Z boson mass scale, we obtain \( \alpha_s(M_Z) = 0.1181(3)(^{+14}_{-12}) \), where the first error is statistical and the second is our estimate of various systematic uncertainties.

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I. INTRODUCTION

Strong coupling constant \( \alpha_s \) is one of the fundamental parameters in the standard model. Its precise determination from various sources provides a crucial test of quantum chromodynamics (QCD). Experimentally, it is obtained through high energy particle scatterings involving quarks, such as \( e^+e^- \rightarrow \text{hadrons} \) (see [1,2] for a summary), for which perturbative calculation of QCD is possible.

Among other measurements, the hadronic decay rate of tau lepton [3] provides one of the most precise determinations of \( \alpha_s \). The tau-lepton hadronic decay rate is written in terms of a vacuum polarization function (VPF) of weak currents. Since the perturbative QCD calculation cannot be directly applied for physical timelike momentum transfer (or the virtual W boson mass) \( q^2 \), one considers a total decay rate that involves an integral over \( q^2 \). The decay rate is then calculated using three-loop perturbative expansion and the operator product expansion (OPE) to parametrize corrections at low energies. The final error in \( \alpha_s \) contains those from the perturbative expansion and uncertainties of condensates appearing in OPE, both of which are non-negligible because the relevant energy scale is of order of \( 1 \) GeV, i.e. lower than the tau lepton mass \( m_\tau \). There is also an assumption of the quark-hadron duality, which is not trivially satisfied.

Theoretically, the perturbative calculation and the extraction of \( \alpha_s \) become more transparent if the experimental data for VPF are available at spacelike momenta. Although there is no such direct measurement, lattice QCD is able to provide a nonperturbative calculation of VPF at spacelike momenta. Since the calculation is based on the first-principles of QCD, for which the input parameters are low-lying hadron spectrum, it gives another method to extract \( \alpha_s \).

Using lattice QCD, one can calculate VPF of vector (V) and axial-vector (A) channels from two-point functions of those currents. After a Fourier transformation in four space-time dimensions, VPF can be obtained as a function of spacelike momentum squared \( Q^2 \) ranging from zero to the order of lattice cutoff squared. Our recent lattice study with two flavors of dynamical overlap fermions demonstrated that such data can indeed be used to extract \( \alpha_s \), combining with a perturbative calculation in the continuum scheme [4]. The result is consistent with other lattice calculations in two-flavor QCD [5,6].

One of the main advantages of our method is that the calculation can be done on existing gauge configurations produced for light-hadron spectrum [7]. Furthermore, unlike other methods previously applied, there is no need of multiloop perturbative calculation on the lattice, which is so complicated that one typically has to develop an automated tool dedicated for a given lattice action. Finite volume effect is negligible for VPF at relatively large \( Q^2 \), and discretization effect is carefully studied and is shown to be under control. The method therefore provides a reliable and economical way to extract the most important parameter of QCD, i.e. \( \alpha_s \). A similar idea has also been applied for the charmonium two-point function to determine \( \alpha_s \) as well as charm quark mass [8].

In this work, we extend our previous study to the case of realistic three-flavor QCD with dynamical light and strange quarks. We also improve the calculation by employing the conserved current for the overlap fermion [9], which simplifies the possible form of the two-point function.
function as it satisfies the Ward-Takahashi (WT) identity on the lattice. The extraction of VP is thus made more straightforward. The determination of the strong coupling constant uses the continuum perturbative QCD formula up to four loops and OPE up to $1/Q^4$ terms. The value of the quark condensate is calculated independently and used as an input in this work. The result is translated to the common definition, i.e. $\alpha_s^{(5)}(M_Z)$, the strong coupling constant of five-flavor QCD in the $\overline{\text{MS}}$ scheme at the $Z$ boson mass scale.

Numerical simulations of lattice QCD are carried out with 2 + 1-flavors of dynamical fermions described by the overlap fermion formulation [10,11]. We have data at a single lattice spacing with the inverse lattice spacing $a^{-1} = 1.83(1)\text{ GeV}$ estimated using the static quark potential with an input for the Sommer scale $r_0 = 0.49$ fm (the associated error for this is discussed later). The lattice volume is $16^3 \times 48$, which leads to the physical volume about $(1.8 \text{ fm})^3 \times (5.4 \text{ fm})$. The gauge configurations are generated in the course of the dynamical overlap fermion simulations by the JLQCD and TWQCD collaborations [12]. The set of up and down quark masses $m_{ud}$ covers the range of $(0.2–0.8)m_{\text{phys}}$ and the set of the strange quark mass $m_s$ covers the range of $(1.0–1.3)m_{\text{phys}}$ with $m_{\text{phys}}$ the physical strange quark mass. The valence quark mass in the calculation of VP is set equal to the up and down sea quark mass. For each combination of $m_{ud}$ and $m_s$, we use 260 configurations, each of which is separated by 100 hybrid Monte Carlo (HMC) trajectories. Global topological charge of the gauge configurations is fixed to zero, which may induce small finite size effect for long distance physical quantities [13]. We expect that this gives negligible effects on the short distance physics considered in this work.

The rest of the paper is organized as follows. In Section II, we describe the details of the lattice calculation of VP, including the definition of the overlap fermion used in this work and a construction of the conserved current for the overlap fermion. Section III discusses the fit of VP to the perturbative formula. The possible systematic errors are discussed in Section IV, followed by our final results. Our conclusions are given in Section V.

II. LATTICE CALCULATION OF VACUUM POLARIZATION FUNCTIONS

In the continuum theory, transverse ($\Pi_\mu^{(1)}(Q)$) and longitudinal ($\Pi_\mu^{(0)}(Q)$) parts of VP are defined through two-point functions ($J^\mu (x)J^\nu (0)$) of either vector ($J^\mu = V^\mu$) or axial-vector ($J^\mu = A^\mu$) currents with $a, b$ the flavor indices. Namely, after a Fourier transformation to the momentum space, the two-point functions are parametrized as

$$
(J^\mu (x)J^\nu (0))(Q) = \delta^{ab}[(\delta_{\mu \nu} Q^2 - Q_\mu Q_\nu)\Pi_\mu^{(1)}(Q) - Q_\mu Q_\nu \Pi_\mu^{(0)}(Q)],
$$

where the momentum $Q_\mu$ is spacelike as we work on an Euclidean space-time lattice. Because of the WT identities, the longitudinal part of the vector channel vanishes, $\Pi_\mu^{(0)}(Q) = 0$, while the axial-vector channel is proportional to the quark mass.

On the lattice, we employ the overlap fermion formulation [10,11], whose action $S_{ov} = \sum_q \bar{q}(x)D_{ov}(x, y)q(y)$ is specified by the massive overlap-Dirac operator

$$
D_{ov}(x, y) = \left( m_0 + \frac{m}{2} \right)
+ \left( m_0 - \frac{m}{2} \right) \gamma_5 \text{sgn}[H_W(x, y; -m_0)]
$$

for a quark mass $m$. Here, $m_0$ is a parameter to define the overlap kernel $H_W(x, y; -m_0) = \gamma_5 (D_W(x, y; -m_0))$ with $D_W(x, y)$ the conventional Wilson-Dirac operator. In this study, we take $m_0 = 1.6$. (Here and in the following, we set $a = 1$, unless otherwise stated.) The overlap action has an exact symmetry under a chiral rotation defined with the modified chirality operator $\hat{\gamma}_5(x, y) \equiv \gamma_5 (\gamma_\mu y - D_{ov}(x, y)/m_0)$, so that the continuum-like axial WT identities are held on the lattice at finite lattice spacings.

The conserved vector current for this action has a complicated form, which can be written in a general form

$$
V^{\mu, \text{cv}}(x) = \sum_{w,z} \bar{q}(w)K_{\mu}(w, z|x)q(z) + \text{w/z}
$$

with a local parameter $\alpha(x)$. The derivative $\partial_r K_{\mu}$ denotes a backward derivative operator $\partial_r K_{\mu} \equiv V_{\mu}(x) - V_{\mu}(x - a \hat{r})$ on the lattice. Similarly, for flavor non-singlet transformations, we can derive flavor non-singlet conserved vector and axial-vector currents as

$$
V_{\mu}^{\text{cv}}(x) = \sum_{w,z} \bar{q}(w)\tau^a K_{\mu}(w, z|x)q(z),
$$

$$
A_{\mu}^{\text{cv}}(x) = \sum_{w,z} \bar{q}(w)\tau^a K_{\mu}(w, z|x)\hat{\gamma}_5 q(z),
$$

where $\tau^a$ denotes the generator of $SU(N_f)$ normalized as $\text{Tr} \tau^a \tau^b = \delta^{ab}/2$. For flavor SU(2), $\tau^3 = \tau^a/2$ with $\tau^2$ the Pauli matrix. In the following, we consider the flavor non-singlet currents.

In practical implementation for numerical calculations, we approximate the sign function in (2) by a rational function with Zolotarev’s optimized coefficients. In our setup, the sign function is approximated to the level of $10^{-7\text{-}8}$ with the number of pole $N = 10$. (For details, see [7], for instance.) Accordingly, the kernel $K_{\mu}(w, z|x)$ is constructed as
\[
K_{\mu}(w, z | x) = m_0 \left(1 - \frac{m}{2m_0}\right) \gamma_5 \left[ \frac{d_0}{\lambda_{\text{min}} W_\mu(h_W^2 + c_{2n})} \times \sum_{i=1}^{N} \frac{b_i}{\lambda_{\text{min}} h_W} + \frac{d_0}{\lambda_{\text{min}} h_W} \times \sum_{i=1}^{N} \left( \frac{c_{2i-1} - c_{2n}}{h_W^2 + c_{2i-1}} \right) \right] \times \left( W_\mu h_W + h_W \frac{1}{h_W^2 + c_{2i-1}} \right),
\]

with
\[
W_\mu(z, w | x) = -\frac{1}{2} \gamma_5 \left(1 - \gamma_\mu \right) U_{\mu}(z) \delta_{x+\mu, w} \delta_{x,z} - (1 + \gamma_\mu) U_{\mu}^\dagger(z - \mu) \delta_{x+\mu, w} \delta_{x,z},
\]
and \( h_W(w, z) = H_W(w, z; -m_0)/\lambda_{\text{min}} \), where \( \lambda_{\text{min}} \) is a lower limit of the eigenvalue of \( |H_W| \) to be approximated by the rational function. (In (6), the site indices of \( W_\mu \) and \( h_W \) are omitted, but they are multiplied as matrices.) The Zolotarev’s coefficients \( b_1, c_1, d_0 \) are given in [7].

In this study, we consider the two-point functions of flavor nonsinglet conserved and local currents \( \langle J_{\mu}^{a, \text{cv}}(x) J_{\nu}^{b, \text{loc}}(0) \rangle \), where \( J_{\mu}^{a, \text{cv}}(x) \) is either \( W_\mu^{a, \text{loc}}(x) = Z(x) \gamma_\mu q(x) \) or \( A_\mu^{a, \text{loc}}(x) = Z(x) \gamma_\mu \gamma_5 q(x) \). The renormalization constant \( Z \) needed for the local currents to match their continuum counterpart is nonperturbatively determined as \( Z = 1.39360(48) \) [14]. The lattice calculation of \( \langle J_{\mu}^{a, \text{cv}}(x) J_{\nu}^{b, \text{loc}}(0) \rangle \) is standard except for the complicated form of \( J_{\mu}^{a, \text{cv}}(x) \). Namely, we calculate the quark propagator originating from a fixed space-time point \( y = 0 \), and construct the two-point function with the conserved current \( J_{\mu}^{a, \text{cv}}(x) \) located at arbitrary space-time point \( x \). We then apply the Fourier transform in all four dimensions to obtain the two-point function in the momentum space.

Because of the current conservation of \( J_{\mu}^{a, \text{cv}} \), we may derive the WT identities for the two-point functions
\[
\sum_\mu \hat{\partial}_\mu \langle V_\mu^{a, \text{cv}} V_\nu^{b, \text{loc}} \rangle(Q) = 0,
\]
\[
\sum_\mu \hat{\partial}_\mu \langle A_\mu^{a, \text{cv}} A_\nu^{b, \text{loc}} \rangle(Q) - 2m_q \langle P_\mu A_\nu^{b, \text{loc}} \rangle(Q) = 0,
\]
where \( a \hat{\partial}_\mu = \sin(aQ_\mu) \) are a momentum definition corresponding to the backward derivative operator \( \partial_\mu^\dagger \). We use a convention that the two-point function after the Fourier transformation, such as \( \langle J_\mu^{a, \text{cv}}(0) \rangle \), is a function of \( aQ_\mu = 2\pi n_\mu / L_\mu \) with \( L_\mu-1 \) the extent of the lattice in the \( \mu \)-th direction. The second term in (9) represents the correlation function of the pseudoscalar density operator \( P_\mu(x) = \bar{q}(x) \gamma_\mu q(x) \) and the local axial-vector current \( A_\mu^{b, \text{loc}}(y) \). A possible term arising from the axial transformation of \( J_{\mu}^{b, \text{loc}}(y) \) (\( J = V \) or \( A \)) vanishes when we take the vacuum expectation value, since the vacuum has axis-interchange symmetry while the index \( \nu \) remains in \( J_{\nu}^{b, \text{loc}}(y) \).

The vector and axial-vector VPFRs are now given by
\[
\langle J_{\mu}^{a, \text{cv}} J_{\nu}^{b, \text{loc}} \rangle(Q) = \delta^{ab} \left[ \langle \delta_{\mu, \nu} \hat{\partial}_\mu \hat{\partial}_\nu \rangle \Pi_{J}^{(1)}(Q) - \hat{\partial}_\mu \hat{\partial}_\nu \Pi_{J}^{(0)}(Q) + \Delta_{J}(Q) \right]
\]

(10)

Here, \( \Pi_{J}^{(0)}(Q) \) vanishes because of the conservation of \( V_{\mu}^{a, \text{cv}} \), while \( \Pi_{J}^{(1)}(Q) \) represents a remnant due to partially conserved axial current (PCAC):
\[
\Pi_{J}^{(0)}(Q) = -2m_q \langle P_\mu A_\nu^{a, \text{cv}}(Q) \rangle / \langle \hat{\partial}_\mu \hat{\partial}_\nu \rangle.
\]

(11)

(Repeated indices \( a \)'s are not summed.) The transverse part \( \Pi_{J}^{(1)}(Q) \) can be extracted as
\[
\Pi_{J}^{(1)}(Q) = -2m_q \langle P_\mu A_\nu^{a, \text{cv}}(Q) \rangle / \langle \hat{\partial}_\mu \hat{\partial}_\nu \rangle.
\]

(12)

(Repeating indices \( \mu \)'s are not summed) if one ignores the additional term \( \Delta_{J}(Q) \), which reflects the violation of the current conservation of the local current \( J_{\nu}^{a, \text{loc}} \). Since the current conservation is recovered in the continuum limit, this term can be expanded in terms of small \( aQ_\mu \) as
\[
\Delta_{J}(Q) = \sum_{m,n=1} \left( \delta_{\mu, \nu} \sum_\rho \langle \hat{\partial}_\rho^2 \rangle - \langle \hat{\partial}_\mu \hat{\partial}_\nu \rangle \right) Q_\mu Q_\nu F_{mn}(Q).
\]

(13)

where \( F_{mn} \) denotes the scalar function depends on the index \( m, n, \) and momentum \( Q \). It satisfies the condition \( \sum_\mu \hat{\partial}_\mu \Delta_{J}(Q) = 0 \) coming from the WT identity for \( J_{\mu}^{a, \text{cv}} \).

In this work, we confirmed that this term is numerically negligible in the range \( \langle aQ \rangle^2 < 1 \), and ignore its contribution as we discuss later.

III. FIT WITH THE PERTURBATIVE FORMULA

Defining \( \Pi_{J}(Q) = \Pi_{J}^{(0)}(Q) + \Pi_{J}^{(1)}(Q) \), the operator product expansion (OPE) of VPFR, \( \Pi_{V+A}(Q) = \Pi_{V}(Q) + \Pi_{A}(Q) \), is given by
\[
\Pi_{V+A}(Q) = \Pi_{V}(Q) + \Pi_{A}(Q) = c + C_0(Q^2, \mu_\sigma, \alpha_\sigma) + C_0^{V+A}(Q^2, \mu_\sigma, \alpha_\sigma) \times \frac{\tilde{m}_q(Q)}{Q^2} + \sum_{a = u, d, s} C_0^{V+A}(Q^2, \mu_\sigma) \langle m_q \tilde{q} \rangle \]
\[
\times \frac{\tilde{m}_q(Q)}{Q^2} + \sum_{a = u, d, s} C_0^{V+A}(Q^2, \mu_\sigma) \langle m_q \tilde{q} \rangle \]
\[
+ C_{GG}(Q^2, \alpha_\sigma) \left( \frac{\langle \alpha_s / \pi \rangle GG}{Q^2} + O(Q^{-6}) \right)
\]

(14)

for large \( Q^2 \). The perturbative expansion of the coefficients \( C_0^{V+A}(X) = 0, \tilde{q} \), and \( GG \) is known up to two- to four-loop order in the continuum renormalization scheme, i.e. the \( \overline{\text{MS}} \) scheme, depending on the terms.

The first term \( c \) in (14) is a scheme-dependent constant, divergent in the limit of infinite ultraviolet cutoff. For the Adler function \( D(Q^2) = -Q^2 d\Pi(Q^2)/dQ^2 \), which is a
physical observable, the first term disappears and the contributions from other terms remain finite. The coefficients in the second and third terms are perturbatively calculated to four-loop order in the $\overline{\text{MS}}$ scheme [15–17]; the expression explicitly contains $\alpha_s^{(3)}(Q)$ defined in the $\overline{\text{MS}}$ scheme. (The superscript (3) stands for the number of flavors.) The third term contains the running mass $\tilde{m}(Q)$, whose anomalous dimension is known to three-loop order [18,19]. The fourth and fifth terms represent higher order effects in OPE containing dimension-four operators. Their Wilson coefficients are calculated at three-loop order [19].

In addition to the terms represented by the continuum OPE (14), there are discretization effects of $\mathcal{O}(a^2 Q^2)$ at finite lattice spacings. These can be eliminated by an extrapolation to the continuum limit, in principle. In our calculation obtained at a single lattice spacing, however, the error has to be carefully investigated. We use a lattice perturbation theory to estimate the discretization effects at large $a^2 Q^2$ regime as described below. We also note that the exact symmetries of the overlap fermion partly eliminate unphysical terms of $\mathcal{O}(a^2 Q^2)$ that violate the WT identities [4]. We therefore use (14) without including correction terms describing the discretization effects when we fit the lattice data of VPF extracted through (11) and (12). In our previous study in two-flavor QCD [4], we had to use a more complicated method to extract the physical VPFs, because of nonconserved (axial-)vector currents.

FIG. 1 (color online). $(aQ)^2$ dependence of VPF, $\Pi_{V+A}(Q)$, at all valence quark masses: $m_q = 0.015$ (circle), 0.025 (square), 0.035 (diamond), and 0.050 (triangle). Top half is a result at $m_s = 0.08$ while the bottom is at $m_s = 0.10$. Solid curves show a fit function at each quark masses. Filled symbols are the points for which each momentum component is equal to or smaller than $2 \pi/16$ in the lattice unit.
We now discuss a fit of the lattice VPF data to the OPE formula. In this analysis, the renormalization scale is set to \( \mu = 2 \) GeV when necessary, though the final result should not depend on \( \mu \) up to higher order perturbative corrections. The gluon condensate \( \langle \alpha_s/\pi \rangle_{\text{GG}} \) is defined only through the perturbative expression like (14) because of the renormalon ambiguity [20], hence we treat \( \langle \alpha_s/\pi \rangle_{\text{GG}} \) as a free parameter to describe associated \( 1/Q^4 \) corrections. On the other hand, the quark condensate \( \langle \bar{q}q \rangle \) is well-defined in the massless limit, as there is no mixing with lower dimensional operators because of the exact chiral symmetry of the overlap fermion. Thus, the quark mass dependence of \( \Pi_{V+A}|_{\text{OPE}} (Q^2, \alpha_s) \), which comes from the third and fourth terms in (14), is given only as a function of \( \alpha_s \), once the quark condensate is determined elsewhere.

The running quark mass \( \tilde{m}(Q) \) is set to the value corresponding to the quark mass used in the lattice calculation. First, we obtain the value at 2 GeV using the nonperturbatively calculated \( Z \)-factor as \( \tilde{m}(2 \text{ GeV}) = Z_m (2 \text{ GeV}) m_\mu \) with \( Z_m (2 \text{ GeV}) = 0.806(12)(24)(^{+0}_{-11}) \) [21]. Then, it is evolved to \( Q^2 \) using a three-loop running formula [18,19].

For the quark condensate of up and down quarks, we use the value obtained in the recent analysis of the spectral density [22], i.e. \( \langle \bar{q}q \rangle = -[0.242(04)(^{+19}_{-18}) \text{ GeV}]^2 \) which is defined in the \( \overline{\text{MS}} \) scheme at 2 GeV. The strange quark condensate \( \langle \bar{s}s \rangle \) appears only as a contribution from sea quark and the associated coefficient \( C_{3s}^{V+A} (Q^2, \alpha_s) \) starts from \( O(\alpha_s) \). For the value of \( \langle \bar{s}s \rangle \), we use the same value as the one of up and down quarks.

In the fit of VPF using (14), there are three unknown parameters, \( \alpha_s, c, \) and \( \langle \alpha_s/\pi \rangle_{\text{GG}} \). The QCD scale \( \Lambda^{(3)}_{\overline{\text{MS}}} \) controls the running coupling constant \( \alpha_s^{(3)}(Q) \), which is evaluated using the four-loop formula [23,24].

Figure 1 shows a \( (aQ)^2 \) dependence of \( \Pi_{V+A}(Q) \) in a window \( 0.4 \leq (aQ)^2 \leq 1.0 \). Fit curves shown in this plot are those of (14) with the value of parameters extracted from the fit in the range \( 0.463 \leq (aQ)^2 \leq 0.994 \). The upper limit of the range is chosen to avoid significant lattice artifact, which is estimated by a difference of the lattice momentum \( aQ_\mu \) from the other definition \( aQ_\mu^{\text{MS}} \). In fact, the result is unchanged within 1\( \sigma \) level when we use these different definitions of the momentum as Fig. 2 shows, as far as \( (aQ)^2 \) is lower than 1.0. Beyond this value we observe significant deviations between the different definitions. We also impose a constraint \( aQ_\mu < \pi/4 \) for each momentum component to avoid large lattice artifacts.

In order to determine the lower limit, we investigate the stability of the fit results. Figure 3 shows the dependence of fit parameters on the value of the lower limit \( (aQ)^2_{\text{min}} \). We observe that around \( (aQ)^2_{\text{min}} = 0.4-0.5 \) all the parameters are stable.

It is interesting to see where the \( 1/Q^4 \) term becomes significant. In Fig. 3, the fit results without the \( 1/Q^4 \) terms are also shown by filled symbols. It turned out that the value of \( \Lambda^{(3)}_{\overline{\text{MS}}} \) is consistent with the \( 1/Q^4 \) fits when \( (aQ)^2_{\min} \) is greater than 0.5, which means that the \( 1/Q^4 \) terms become relevant below this value. In fact, if we extend this fit including the data points slightly below \( (aQ)^2 = 0.5 \), the value of \( \Lambda^{(3)}_{\overline{\text{MS}}} \) becomes significantly lower; the \( \chi^2/\text{dof} \) of the fit becomes too large (\( \sim 3.0 \)), which suggests that the fit is no longer valid. Strictly speaking, \( \chi^2/\text{dof} \) does not have a statistical meaning as the correlation among the data at different \( Q^2 \) is ignored in the fit used here. We discuss the statistical correlations among the data points in the next section.

The limitation of the OPE formula including up to \( 1/Q^4 \) terms can be investigated by looking at its departure from...
the strong coupling constant is obtained as

\( a_{Q^2 < 4\pi^2/16} \) in order to use as much information from the lattice data as possible with the uncorrelated fit. In particular, we can take a wider range of \( Q^2 \) with this choice, that improves the stability of the fit. In other words, with the limited data points \( (\mathcal{Q}_\mu \approx 2\pi/16) \), the \( \chi^2 \) fit is sometimes trapped in a local minimum depending on the initial values for the fit parameters.

We therefore decided to use the uncorrelated fit for the enlarged data points \( (\mathcal{Q}_\mu < 4\pi/16) \) to obtain the fit parameters, and then to check the value of \( \chi^2/\text{dof} \) for the limited data points \( (\mathcal{Q}_\mu \approx 2\pi/16) \) taking account of the correlation. Since the value of \( \chi^2/\text{dof} \) is 1.7, we do not expect the bias due to this procedure to be larger than 1 standard deviation, assuming that the full correlated fit should give \( \chi^2/\text{dof} \approx 1 \). Thus, we conservatively assign a systematic error \( \pm 0.003 \) for \( a_{Q^2_{\mu}}(M_Z) \), which is equal to the size of the statistical error of 1 standard deviation.

This procedure can be avoided if the lattice data are obtained at finer lattice spacings so that one can cover the same physical range of \( Q^2 \) with smaller lattice momenta.

### B. Discretization effect

As described above, the discretization effect is significant in our lattice data, especially when we try to cover large enough \( Q^2 \) range. We estimate the associated error using lattice perturbation theory.

Since the discretization effect is most important in the large momentum region, the perturbation theory can be used to estimate its size. We calculate the one-loop diagram of VPF, \( \Pi_{V+A}(Q) \), with local and conserved currents in lattice perturbation theory, and compare them with the continuum perturbation theory. This provides an estimate of the discretization effect at the zeroth order of \( \alpha_s \). Because the discretization error itself is a small effect, its calculation at the leading order gives a reasonably precise estimate.

Figure 5 shows the result as a function of \( aQ^2 \). Since the lattice regularization violates rotational invariance, the result is not a completely smooth function of \( aQ^2 \), as shown by squares in the plot, which correspond to representative points of \( aQ^2 \) in our lattice calculation.

The perturbative result may be parametrized as \( \Pi_{V+A}(Q^2)_{\text{pert}} = c - 1/(2\pi^2) \ln(aQ^2) + 0.0062(40) \times (aQ^2)^2 \) for small \( a \). The logarithmic term is the same as in the continuum perturbation theory and \( c \) is the scheme-dependent constant as already noticed. The term \( +0.0062(40)(aQ^2)^2 \) comes from the discretization effect. The error includes a fluctuation of numerical integral as well as the nonsmooth behavior due to higher order discretization effects. The nonsmooth behavior appears because of different assignments of momentum components \( a_{Q^2_{\mu}} \). In the plots, we took several values of \( (aQ^2)^2 \) (and so \( a_{Q^2_{\mu}} \)) that also appear in the lattice calculation. We observe
that the pattern of nonsmooth behavior in the one-loop calculation actually well reproduces that occurring in the numerical simulation. It suggests that our estimate of the discretization effect is reasonably realistic. As the plot shows, this error band is taken so that the result of the lattice perturbation theory calculation is covered.

By subtracting this estimate of the $O((aQ)^2)$ effect from the lattice data, the final result for $\alpha_s^{(5)}(M_Z)$ changes by $+0.0002(1)$. We therefore take $+0.0003$ as our estimate of the systematic error from this source, without changing the central value to be conservative. The estimated error in the negative direction is thus taken to be zero. Although the perturbative calculation is done only at the one-loop level, we expect that the higher order effects are suppressed by an additional factor of $\alpha_s$ and thus well below $\pm 0.0001$.

**C. Nonconserved current**

The discretization effect may also come from the nonconserved local current $J^{\text{loc}}_{\mu}$ in (13), which is represented by the term $\Delta_{\mu}^{\text{loc}}(Q)$ in (10).

We estimate its leading contribution $\Delta_{\mu}^{\text{loc}}(Q) = (\delta_{\mu\nu}Q^2 - \hat{Q}_\mu\hat{Q}_\nu)Q^2F^{\text{mes}}_{1}(Q)$ in the expansion (13) in terms of small $aQ_\mu$, by solving linear Eq. (10) for different sets of $\mu$ and $\nu$. We find that the maximum magnitude of $(\delta_{\mu\nu}Q^2 - \hat{Q}_\mu\hat{Q}_\nu)Q^2F^{\text{mes}}_{1}(Q)$ is less than 1% of $\Pi_{\nu, A}(Q)$ in the fit range $0.463 \leq (aQ)^2 \leq 0.994$.

The contribution of $\Delta_{\mu}^{\text{loc}}(Q)$ may also be estimated by looking at a difference between VPFs obtained with $\mu = \nu$ (diag), and with $\mu \neq \nu$ (offd) components, i.e.

$$\Pi^{\text{diag}}_j(Q) = \langle J^\nu_{\mu} J^{\text{loc}}_{\mu\nu}(Q)/(\hat{Q}^2 - \hat{Q}_\mu\hat{Q}_\mu),$$

$$\Pi^{\text{offd}}_j(Q) = \langle J^\nu_{\mu} J^{\text{loc}}_{\mu\nu}(Q)/(-\hat{Q}_\mu\hat{Q}_\nu).$$

**D. Quark condensate and $Z_m$**

The uncertainty due to the quark condensate is estimated as $\pm 0.0001$ for $\alpha_s^{(5)}(M_Z)$ by varying the input value from $[0.220 \text{ GeV}]^3$ to $[0.265 \text{ GeV}]^3$, which corresponds to the lower and upper limits of the estimate of $\langle \bar{q}q \rangle$ in [22].

The uncertainty due to $Z_m$ is also estimated as $\pm 0.0001$ for $\alpha_s^{(5)}(M_Z)$ by varying $Z_m$ within its estimated error (from 0.777 to 0.832).

**E. Perturbative expansion**

The truncation effect of the perturbative expansion can be estimated by comparing the results with different orders of the perturbative expansion. Fortunately, the four-loop calculation is known for $C_0(Q^2, \mu^2, \alpha_s)$ [25,26], and we can explicitly estimate the effect of $O(\alpha_s^5)$.

A comparison of two-, three- and four-loop calculations of $C_0(Q^2, \mu^2, \alpha_s)$ is shown in Fig. 7. They correspond to $O(\alpha_s)$, $O(\alpha_s^2)$, and $O(\alpha_s^3)$ calculations, respectively. We observe that the difference between three-loop and four-loop is of order of 0.0001 for $\Pi_{\nu, A}(Q)$, which is much smaller than other systematic effect.

Strictly speaking, the smallness of the four-loop contribution does not guarantee that the unknown higher orders are even smaller. We therefore attempted to fit the data with...
This can only be reduced by including the data at higher from the truncation of the perturbation series as quark mass dependence is mild. On the other hand, numerical calculation is very precise and also because its sea sum values when $m_c$ analysis. The input values $m_c$ are shown. The logarithm at the leading order is subtracted in Fig. 4). This is less than the lower end of the fitting range $\sqrt[4]{0.001}$ for terms in the OPE formula is at most 0.001 for $\alpha_s(m_Z)^{\text{ff}}$ values, which needs finer lattice spacings. Since each determination has its own advantage and disadvantage, we decided to take $r_0$ as our central value and others ($f_\pi$ and $M_\Omega$) to estimate the systematic uncertainties. The shift of $\alpha_s(m_Z)$ due to the choice of $f_\pi$ and $M_\Omega$ is $+0.0013$ and $-0.0010$, respectively, which we quote as the systematic error from the scale setting. This uncertainty also affects the matching points $m_c$ and $m_b$, which is included in the above error band.

**H. Lattice spacing**

The uncertainty of the lattice spacing is the largest source of the systematic error.

Our main result is quoted with the Sommer scale $r_0 = 0.49$ fm as an input, with which we obtain $\alpha_s^{-1} = 1.83(1)$ GeV. This quantity is convenient because its numerical calculation is very precise and also because its sea quark mass dependence is mild. On the other hand, $r_0$ does not have a direct relation to any physical observables and one has to resort to some model to fix the central value. For this reason, one prefers other physical quantities to set the scale.

One possible candidate is the pion decay constant $f_\pi$, which is also precisely measurable on the lattice at unphysical values of sea quark masses. The problem for this quantity is that it may have rather nontrivial sea quark mass dependence as predicted by chiral perturbation theory (ChPT). Using the next-to-next-to-leading order formula in ChPT, we obtain $\alpha_s^{-1} = 1.97(4)$ GeV [27]. In this analysis, we observed a rather large dependence on the sea quark mass and, more importantly, a strong curvature that bends the extrapolation to the lower value of $a f_\pi$, thus the higher value of $\alpha_s^{-1}$. We therefore need more careful analysis on possible systematic errors in this determination.

One of the most popular quantities to set the scale in recent lattice calculations is the $\Omega$ baryon mass. Since the $\Omega$ baryon is made of three strange quarks, the dependence on up and down quark masses only comes from quark loop effect, which is expected to be small. A possible problem is that its determination has to be combined with the strange quark mass determination, which is nontrivial. In addition, the finite volume effect could be more important for baryons. Our result is $\alpha_s^{-1} = 1.76(8)^{+0.5}_{-0.4}$ GeV, with the second error being our estimate of the finite volume effect, that is set by calculations on a larger volume lattice $(24^3 \times 48)$ but at limited values of sea quark mass.

Since each determination has its own advantage and disadvantage, we decided to take $r_0$ as our central value and others ($f_\pi$ and $M_\Omega$) to estimate the systematic uncertainties. The shift of $\alpha_s(m_Z)$ due to the choice of $f_\pi$ and $M_\Omega$ is $+0.0013$ and $-0.0010$, respectively, which we quote as the systematic error from the scale setting.

This uncertainty also affects the matching points $m_c$ and $m_b$, which is included in the above error band.

**I. Final result**

Table I shows a summary of our estimate of the systematic errors in our determination of $\alpha_s(M_Z)$. We quote final

![Graph](image-url)

**FIG. 7 (color online).** $C_{ij}(Q^2, m^2, \alpha_s) = c + 1/(2\pi^2) \ln(aQ)^2$ as a function of $(aQ)^2$. The perturbative results at two-loop (solid), three-loop (dashed), and four-loop (dotted) calculations are shown. The logarithm at the leading order is subtracted in order to enhance their small differences.

a formula including unknown $O(\alpha_s^4)$ term $c_4^{(4)}(Q)$. The fit gives $c_4^{(4)} = O(10)$ with a shift of resulting $\alpha_s(5)(M_Z)$ by $+0.0003$. We therefore put a conservative systematic error from the truncation of the perturbation series as $\pm 0.0003$. This can only be reduced by including the data at higher $Q^2$ values, which needs finer lattice spacings.

**F. 1/Q^2 expansion**

As previously discussed, the size of neglected $1/Q^6$ terms in the OPE formula is at most 0.001 for $\Pi_f(Q)$ at the lower end of the fitting range $(aQ)^2_{\text{min}} = 0.463$ (see Fig. 4). This is less than $1/5$ of the estimated discretization effect discussed above. We therefore expect that the impact on $\alpha_s(5)(M_Z)$ is smaller than 0.0001.

**G. Charm and bottom quark mass**

The uncertainty of charm and bottom quark masses, $m_c$ and $m_b$, used in a perturbative matching of $\alpha_s(3)$ onto $\alpha_s(5)$ is $+0.0001$ and $-0.0003$, which are the maximum and minimum values when $m_{b,c}$ are changed within $1\sigma$ in the analysis. The input values $\bar{m}_c(\bar{m}_c) = 1.27(0.07)$ GeV and $\bar{m}_b(\bar{m}_b) = 4.20(0.21)$ GeV are taken from [2].

**TABLE I. Summary of systematic errors in $\alpha_s(5)(M_Z)$.

<table>
<thead>
<tr>
<th>Sources</th>
<th>Estimated error in $\alpha_s(5)(M_Z)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uncorrelated fit</td>
<td>$\pm 0.0003$</td>
</tr>
<tr>
<td>Lattice artifact ($O(a^2)$ effect)</td>
<td>$+0.0003$</td>
</tr>
<tr>
<td>$\Delta\mu^+\Delta$</td>
<td>$\pm 0.0002$</td>
</tr>
<tr>
<td>Quark condensate</td>
<td>$\pm 0.0001$</td>
</tr>
<tr>
<td>$Z_m$</td>
<td>$\pm 0.0001$</td>
</tr>
<tr>
<td>Perturbative expansion</td>
<td>$\pm 0.0003$</td>
</tr>
<tr>
<td>$1/Q^2$ expansion</td>
<td>$&lt; 0.0001$</td>
</tr>
<tr>
<td>$m_{c,b}$</td>
<td>$+0.0001$</td>
</tr>
<tr>
<td>Lattice spacing</td>
<td>$+0.0013$</td>
</tr>
<tr>
<td>Total (in quadrature)</td>
<td>$+0.0014$</td>
</tr>
</tbody>
</table>
result of the strong coupling constant at the $Z$ boson mass scale as

$$\alpha_s(52) = 0.1181(3)(^{+14}_{-12}) \quad (17)$$

Here, the first error is statistical error and the second is a sum of the various systematic errors in quadrature.

This result is consistent with other recent lattice QCD results, 0.1174(12) [8], 0.1183(8) [28], 0.1192(11) [29], 0.1205(8)(5) [30], and with the world average 0.1184(7), including various high-energy experiments [1,2] (updated online in 2010).

V. CONCLUSIONS

Determination of the strong coupling constant $\alpha_s(\mu)$ may be achieved through a perturbative expansion of any physical quantity in terms of $\alpha_s(\mu)$ at a given scale $\mu$. Experimental determination typically uses a perturbative amplitude of quarks at high energy. Comparison with the lattice QCD calculation provides a highly nontrivial test of QCD, as lattice uses low-energy hadron spectrum or matrix elements to set the scale.

In the lattice calculation, there are a variety of choices for the quantity to be expanded in $\alpha_s(\mu)$. In order to achieve good enough accuracy, the perturbative expansion must be known to higher orders or evaluated at very high energies. The latter may be achieved by calculating the scaling towards the high-energy regime nonperturbatively using the so-called step-scaling technique (see, e.g. [5,30]). The former is numerically less intensive but requires analytic perturbative calculations beyond one-loop level.

This work demonstrates that the vacuum polarization function can be used for the precise determination of $\alpha_s$. The important points are (i) the perturbative expansion can be done in the continuum theory and is known as $O(\alpha_s^3)$; and, (ii) the nonperturbative lattice calculation with controlled systematic errors is possible. The discretization error was a concern as the large $Q^2$ points have to be calculated, but it turned out to be under control with currently available lattice setups by careful estimates of systematic effects.

The use of the overlap fermion is certainly desirable as the massless limit of quarks is uniquely defined and the use of the continuum OPE is justified. With the lattice fermions that violate chiral symmetry, one expects dangerous terms such as $ma^{-3}/Q^4$, whose numerical impact has to be carefully studied.

Extension of this work is straightforward. Since the largest uncertainty comes from the scale determination, a consistent determination of the lattice scale with various low-energy inputs is necessary in order to significantly improve the accuracy. This requires extensive simulations at larger volumes, smaller quark masses and smaller lattice spacings. The discretization effect in VPF considered in this work will also be significantly reduced by going to finer lattice that will become available within a few years.

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