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Charging, aggregation, and aggregate strength of humic substances in the presence of cationic surfactants: Effects of humic substances hydrophobicity and surfactant tail length

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Conflict of interest

The authors declare that they have no conflict of interest associated with this article.

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Abstract

The binding of natural organic matters (NOM) with organic cations and resulting changes in charging and aggregation of NOMs are important in water science and technology such as the enhanced settling by flocculation and the fate control of contaminants. We measured the electrophoretic mobility and aggregate strength of humic substances (HSs), Suwannee river fulvic acid (SRFA) and Leonardite humic acid (LHA), in the presence of cationic surfactants, cetylpyridinium chloride (CPC) and dodecylpyridinium chloride (DPC), to clarify the effects of carbon content, aromaticity of HSs, and the tail length of cationic surfactants on the charging and aggregation. Both of HSs showed charge reversal in the presence of 0.1 - 0.3 mM CPC and LHA in 1 and 2 mM DPC. The iso-electric point (IEP) pH of LHA-CPC and SRFA-CPC system was higher than that of LHA-DPC system, though more DPC concentration was needed for the charge reversal of LHA in DPC. We also observed pronounced aggregation of both HSs in CPC systems around IEP pH, though LHA in higher DPC concentration showed wider range of aggregation pH including IEP pH than the range at low DPC concentration. The maximum strength of LHA aggregate was higher, around 27.6 nN in LHA-CPC system and 19.1 nN in LHA-DPC system, than that of 5.2 nN in SRFA-CPC system. This higher value of aggregate strength in LHA-CPC system than others indicates more hydrophobic interaction in LHA-CPC system. The maximum strength around IEP pH in all the systems indicates the presence of electrostatic interactions along with hydrophobic and other non-DLVO forces.

Key Words: Natural organic matter; Aromatic content; Carbon content; Aliphatic chain length; Flocculation; Floc strength

40 **1. Introduction**

41 The aggregation behavior of humic substances (HSs) along with its charging is a matter of
42 concern in recent days due to its availability and surface activity in natural environmental condition.
43 Though low concentration of these polymeric organic acids is difficult to be separated from water
44 environment [1], several coagulation techniques by using inorganic salts [2], [3], [4] are used to
45 isolate the HSs from water environment. The coagulation and removal of humic acids and natural
46 organic matter (NOM) in the presence of organic molecules and ions are also presented in many
47 literatures [5], [6], and [7]. The binding of ions and/or aggregation of humic substances were
48 proposed by many previous investigations showing different mechanisms of electrostatic and
49 hydrophobic interactions, hydrogen bonding, supramolecular associations, and intramolecular or
50 intermolecular association due to conformational changes [8], [9], [10], [11], [12]. The strength of
51 HSs aggregates and/or flocs depends on pH and shear conditions [13], [14], [15]. Some
52 investigations evaluated the aggregate strength using alum coagulant and expressed the strength
53 as force per unit area at the plane of rupture and found the maximum 0.42 Nm^{-2} [16] and 0.58 Nm^{-2}
54 [17]. They proposed charge neutralization and bridging flocculation are the possible mechanisms
55 of higher aggregate strength. Some other studies focused on the evaluation of the strength of HSs
56 aggregates using alternate shear and measured the strength factors from breakage and regrowth
57 before and after shear [15], [18], [19], and [20].

58 In recent days, the cationic surfactants especially, the *n*-hexadecyl- or cetylpyridinium
59 chloride (CPC) and *n*-dodecylpyridinium chloride (DPC) are used in daily consumer products and
60 medicine. These surfactants can be discarded to the surrounding soil and aquatic environments as
61 residual or as their by-products [21]. Several studies focused on the aggregation and/or binding of
62 different organic molecules [9], [22], [23], [24], and inorganic ions [25], [26], [27], [28] with HSs.

63 A few studies focused on the charging behavior and aggregation of HSs in the presence of cationic
64 surfactants [22], [29]. Nevertheless, these investigations did not reveal any numerical value of
65 aggregates strength and did not pay attention to the mechanism determining the strength.

66 The strength, as a withstanding force against breakup, of flocs/aggregates of polystyrene
67 micro-plastic particles was found to be around a few nN, which is comparable to the adhesion
68 force measured by atomic force microscopy (AFM) [30]. This result suggests that the aggregate
69 strength is directly related to the inter-particle/inter-molecular forces. Chemical force microscopy
70 studies revealed that the inter-molecular adhesion forces depend on the type of molecular groups.
71 The adhesion forces were 28.4 ± 9.4 nN and 4.2 ± 1 nN in -CH₃/-CH₃ (methyl-methyl) and -
72 COOH/-CH₃ (carboxyl-methyl) tip-sample pairs in water, respectively [31]. Some other
73 investigations on the adhesion force measurement by using modified AFM discuss on the
74 dominance of hydrophobic interaction [32]. These studies found that the adhesion forces of
75 methyl-methyl (CH-CH₃) tip-surface pair interaction in water depend on the length of hydrocarbon
76 chain; 60 ± 5 nN and 12.5 ± 4.4 nN for C₁₈ and C₁₂ chain length, respectively [32], [33], and [34].
77 Moreover, a recent investigation on the adhesion properties of alginate hydrogels on self-
78 assembled monolayers (SAMs) terminating with different functional groups proposed that the
79 adhesion behavior of alginate hydrogels is complex, though much higher adhesion force was
80 observed for NH₂-terminated SAMs than CH₃-terminated SAMs [35]. Additionally, they
81 explained the larger pull-off force for NH₂-terminated SAM than hydrophobic SAM is due to the
82 chemical interactions between -NH₂ (amino group) of SAM and -COOH (carboxylic group) of
83 alginate beads, which forms the hydrogen bond and local electrostatic interactions [35]. While
84 these values of adhesion forces can provide insights for the consideration in the strength of

85 aggregates composed of natural organic matters (NOMs) and other organic molecules, systematic
86 data on the strength of NOM aggregates are lacking.

87 We have focused on the strength of HSs aggregates in the presence of cationic surfactants,
88 concerning the matter that the aggregates strength affects the removal efficiency and the fate of
89 humic substances along with pollutants [13], [15]. The pH of the system of concern [14], [36] and
90 the hydrophobicity of HSs [9], [29] also affect the coagulation and/or aggregation behaviors of
91 HSs. Therefore, to examine the effect of pH and HS's hydrophobicity on humic acid aggregation,
92 we select Leonardite humic acid (LHA) and Suwannee river fulvic acid (SRFA) with different
93 aromaticity and/or hydrophobicity [9]. Two popular cationic surfactants with quaternary
94 ammonium compounds having different aliphatic tail length DPC and CPC are also selected
95 considering their recent use. This study uses a technique of laminar converging flow to a glass
96 capillary for the breakage [30], [37] of the HSs aggregates to evaluate their strength, focusing on
97 the effect of hydrophobicity of HSs and surfactants on the charging and aggregate strength of HSs
98 as a function of pH. To the best of the authors' knowledge, this is the first experimental result
99 showing aggregate strength in such a complex system of HSs-surfactants focusing the effects of
100 hydrophobicity and surfactants tail length.

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103 2. Materials and Methods

104 2.1 Materials

105 We used standard Suwannee river fulvic acid (SRFA) and Leonardite humic acid (LHA)
106 from International Humic Substances Society (IHSS) in this study. The SRFA and LHA powders
107 were dissolved in KOH solution (Wako Pure Chemical Industries) that contained the base amount
108 which is equivalent or more than the amount of carboxylic acid groups of HSs. The subsequent
109 secondary standard (500 mg/L) and experimental HS solutions were prepared by dilution with
110 deionized water (Elix, Millipore) [8], [9].

111 Two cationic surfactants 1-dodecylpyridinium chloride (DPC) and hexadecylpyridinium
112 chloride monohydrate or cetylpyridinium chloride (CPC) from Tokyo Chemical Industry Co were
113 used. The critical micelle concentration (cmc) of DPC and CPC are 1.52×10^{-2} M and 6.3×10^{-4}
114 M, respectively in water at 25 °C [38]. A previous investigation mentioned the CMC of DPC as a
115 function of temperature [39]. Another investigation showed the Krafft temperature of CPC, which
116 is 11.25 °C [40]. The CMC of CPC is 9×10^{-4} M (0.9 mM) at 20 °C [40] and the CMC of DPC is
117 1.9×10^{-2} M at 20 °C [41].

118 These two surfactants were used to examine the effect of tail length and hydrophobic
119 interaction with SRFA and LHA. CO₂ free KOH solution was prepared by following the method
120 by Sipos et al. (2000) [42]. In every new preparation, KCl, KOH and HCl solutions were filtered
121 (DISMIC 25HP 0.2 µm, ADVANTEC) and degassed. Degassing of all the prepared solutions were
122 performed under reduced pressure (GCD-051X, ULVAC) to avoid the CO₂ contamination.

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126 **2.2 Methods**

127 **2.2.1 Electrophoretic mobility measurements**

128 The electrophoretic mobilities of SRFA and LHA were measured in the presence of both
129 DPC and CPC at 20 °C with a Zetasizer Nano ZS apparatus (Malvern Instruments). We carried
130 out the experiment with 0.2 mM, 1 mM, 2 mM DPC and 0.1 mM, 0.2 mM, 0.3 mM CPC in the
131 presence of 10 mM KCl as function of pH. The 1 mM and 10 mM KCl concentrations were
132 examined in 0.2 mM CPC solution and 1 mM DPC to confirm the effect of KCl concentration.
133 HCl (0.001M and 0.01 M) (JIS special grade chemicals, Wako Pure Chemical Industries) and 0.01
134 M KOH were used to control the solution pH. The measurements were reproduced in the same
135 experimental condition in some points. Before mixing of all the solutions (water, HCl/KOH, KCl,
136 DPC/CPC solutions with LHA or SRFA), the secondary solutions of LHA (500 mg/L) and SRFA
137 (500 mg/L) were sonicated once for 20 minutes. The HSs (SRFA and LHA) concentration was
138 maintained at 50 mg/L in every measurement of this experiment. A combination electrode (ELP-
139 035, TOA-DKK) was used to measure the pH of the solution.

140 **2.2.2 Macroscopic and microscopic observations of aggregation and dispersion**

141 The observation experiments of aggregation-dispersion of SRFA and LHA solutions in
142 the presence of DPC and CPC were performed in 5 mL prewashed screw-capped polystyrene
143 bottles as a function of pH. To confirm the pH range of SRFA and LHA aggregation in the selected
144 concentration of DPC and CPC, we mixed water, KCl, HCl/KOH, CPC/DPC and SRFA/LHA in
145 every case of visual observations setup. The observation experiments with naked-eyes were
146 performed in 1 mM DPC and 0.2 mM CPC at 10 mM KCl concentration as a function of pH in a
147 series of 5 mL solutions of 50 mg/L SRFA and LHA. Immediately after mixing, the suspensions
148 in the bottles were turned over from upright to normal position once and then left stand for 24

149 hours. Then the macroscopic pictures were taken to observe the range of pH for the aggregation
150 after 24 hours. The aggregated suspension was also observed through a microscope (Shimadzu
151 BA210E, Moticam 580INT) after 24 hours of the mixing. The microscopic observation was done
152 to infer the approximate size and arrangements of aggregates under different pH condition.

153 **2.2.3 Aggregate strength from breakup of aggregates in a converging flow**

154 We used the similar experimental setup as in the previous literatures [8], [30], [37], [43],
155 [44], [45] [46]. A schematic illustration of the experimental setup and instrument is shown in the
156 supporting information (Figure S1). In this experiment for the breakage of HSs aggregate, we used
157 a converging flow into a glass capillary of 0.8 mm inner diameter at 10 mL/min volumetric flow
158 rate using a syringe pump (Fusion 200, Chemyx). The aggregated suspension was taken from the
159 bottle of macroscopic study after 24 hours of observation for the breakage experiment.

160 After the flow of the aggregated suspension through the capillary, we observed the broken
161 aggregates in the capillary, which was immersed in water in the O-ring between a glass slide and
162 a glass cover to reduce the optical distortion, through a light microscope. We then captured the
163 image focusing on the maximum size of broken SRFA and LHA aggregates. We used ImageJ
164 software (ImageJ 1.51K) to calculate the major and minor axes (d_{maj} and d_{min}) of the best-fit ellipse
165 of the maximum sized aggregate from the captured images, because the aggregates behavior in the
166 flow fields can be approximated as ellipsoids [43], [44]. A room temperature was 20 °C throughout
167 the total measurements.

168 The aggregates in flow fields can be broken down when the hydrodynamic rupturing force
169 F_{hyd} acting on the aggregates exceeds the aggregate strength $F_{aggregate}$,

$$170 \quad F_{hyd} \geq F_{aggregate} . \quad (1)$$

171 So, the aggregate strength is reflected by the maximum sized aggregates after breakage and thus
 172 $F_{hyd} = F_{aggregate}$. The observation of Higashitani et al. (1991) [45] and Blaser (2000a) [43] deduced
 173 that the breakage of the flocs/aggregates caused by the extremely high elongation rate occurs at
 174 the proximity of the capillary tube during the entrance in the converging flow. The highest
 175 elongation rate of flow, $A_{c,max}$, along the centerline in the converging flow into the capillary tube
 176 with a volumetric flow rate of Q and a radius R determines the maximum aggregate size. That is,
 177 [30], [37], [47]

$$A_{c,max} = \frac{3\sqrt{3}Q}{32\pi R^3} \quad (2)$$

179 In the field of an axisymmetric straining flow with an elongation rate, A , the hydrodynamic
 180 rupturing force acting on the ellipsoidal SRFA and LHA aggregates of surface area S , can be
 181 calculated by the equation from Blaser (2002) [47].

$$F_{hyd} = C_{hyd} S \mu A / 2 \quad (3)$$

183 where μ is the viscosity of fluid, and the values of C_{hyd} , which depends on the ratio d_{maj}/d_{min} , are
 184 listed in the previous literature of Kobayashi (2005) [37]. We always focus on the maximum
 185 surface area of SRFA and LHA aggregates, S_{max} , which can be calculated by using the major and
 186 minor lengths (d_{maj} and d_{min}) of the fitted ellipses extracted from ImageJ and substituted in the
 187 following equation [48].

$$S = 2\pi \left(a^2 + \frac{ac^2}{\sqrt{c^2 - a^2}} \arccos \frac{a}{c} \right) \quad (4)$$

189 where $2a=d_{min}$, $2c=d_{maj}$.

190 The aggregate strength calculation was done by following the methods described in the
191 previous literature [30], [37]. Kobayashi (2004) [30] deduced the following equation to calculate
192 the strength of aggregates considering that the flow along the streamlines is subjected to the higher
193 stress

$$194 \quad F_{aggregate} = (C_{hyd} S)_{max} \mu A_{c,max} / 2 \quad (5)$$

195 where, $F_{aggregate}$ aggregate strength, S_{max} maximum surface area of the aggregate as an ellipsoid,
196 $A_{c,max}$ highest elongation rate of flow along the centerline of the converging flow.

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200 **3. Results and Discussion**

201 **3.1 Electrophoretic mobility of humic substances (SRFA and LHA) in the presence of CPC** 202 **and DPC**

203 **3.1.1 Electrophoretic mobility in CPC solutions**

204 We measured the electrophoretic mobility of SRFA and LHA in the presence of CPC in
205 KCl solution as a function of pH. The electrophoretic mobility of SRFA and LHA at 0.1 mM – 0.3
206 mM of CPC are presented in Figures 1 and 2. 10 mM KCl solution was used in every concentration
207 of CPC solution in SRFA and LHA solutions, with mobility data at 1 mM KCl and 0.2 mM CPC
208 concentration. The SRFA and LHA show charge reversal in all the experimented concentrations
209 of CPC at 10 mM and 1 mM of KCl. The iso-electric point (IEP) pH of SRFA and LHA shifts
210 towards a higher pH value with the increase of CPC concentration from 0.1 mM to 0.3 mM. There
211 is a gradual decrease of the absolute positive electrophoretic mobility to charge neutralization with
212 the increase of pH. With the increase of CPC concentration, the charge reversal can be observed
213 within a wide pH range. This indicates a possibility of more adsorption and binding with the
214 increase of CPC concentration.

215 The electrophoretic mobility of SRFA and LHA shows no noticeable difference at 1 mM
216 and 10 mM of KCl in 0.2 mM CPC solutions, though IEP shifts toward a higher pH value in LHA
217 than that of SRFA. In comparison between the SRFA-CPC and LHA-CPC systems, the IEP pHs
218 are around 5.3 and 6.4 in 0.2 mM CPC at 10 mM KCl for SRFA and LHA, respectively. We also
219 find the higher magnitude of electrophoretic mobility in the charge reversed pH for LHA than that
220 of SRFA at pH around 3 in 0.2 mM and 0.3 mM of CPC solutions. These higher absolute positive

221 values of electrophoretic mobility at low pH and shifting of IEP towards a higher pH for LHA
222 indicate the effect of more hydrophobic interaction of LHA-CPC than that of SRFA-CPC system.

223 The hydrophobic interactions and its effect on the charging behaviour of humic substances
224 were discussed in many previous literatures [9], [29], [22]. That is, the more interaction and
225 binding of CPC with LHA than that of SRFA with CPC. The SRFA has less carbon and aromatic
226 groups [9] than that of LHA, indicating the more aromatic and/or hydrophobic groups interact in
227 LHA-CPC system. The imperceptible effect of KCl in this investigation is comparable with the
228 proton binding behaviour of humic substances in KCl solution [23]. That is, the effect of KCl in
229 LHA-CPC and SRFA-CPC systems is not obvious. These phenomena can be explained by the
230 more susceptibility of CPC to LHA and SRFA than that of KCl. Hydrophobic interaction
231 predominates in both system than that of double layer screening by KCl. The trifling effect of KCl
232 solutions on electrophoretic mobility can be explained by the porous and permeable structure of
233 HSs [49]. That is in these systems there is a possibility of the K^+ entrapment and inclusion [23],
234 [49] in the humic acid and has imperceptible effect on mobility.

235 **3.1.2 Electrophoretic mobility in DPC solutions**

236 The Electrophoretic mobility of LHA was also measured in the presence of 0.2 mM to 2
237 mM DPC in KCl solution (Fig. 3). The LHA shows no charge reversal in 0.2 mM of DPC, though
238 the charge reversal happens at higher concentration of DPC at 1 mM and 2 mM. We observe no
239 notable effect of 1 mM and 10 mM KCl solution at 1 mM of DPC solution in the electrophoretic
240 mobility of LHA. The IEP pH of LHA solution shifts toward higher pH by increasing DPC
241 concentration from 1 mM to 2 mM. The IEPs of LHA are around pH 3.9 and pH 5.8 in 1 mM and
242 2 mM DPC at 10 mM KCl solution, respectively. This phenomenon of IEP shifting to higher pH

243 values is due to more adsorption and hydrophobic interaction of LHA and DPC. Similar shift was
244 found in the presence of other hydrophobic ions and molecules with humic acid [9], [22], [29].
245 The degree of charge reversal and absolute value of electrophoretic mobility at pH 3 are higher in
246 the case of SRFA-CPC and LHA-CPC than that of LHA- DPC system. But the higher IEP pHs of
247 the LHA-CPC system (pH around 6.4) than that of LHA-DPC system (pH around 3.9) indicates
248 more hydrophobic interaction in LHA-CPC system than that of LHA-DPC system, respectively.
249 This higher CPC binding and interaction with LHA can be also explained by the lower solubility
250 of CPC than that of DPC. Although the charge reversal occurs in the higher concentration of DPC
251 in LHA-DPC system, 1 mM DPC needed to induce charge reversal is much higher than that for
252 SRFA-CPC and LHA-CPC systems. The IEP pH in LHA-CPC system is higher than that of IEP
253 pH around 3.9 of LHA-DPC system in 1 mM DPC at 10 mM KCl solution. At pH around 4, the
254 LHA possesses a charge amount around 2.08 meq/g calculated from IHSS data. This 2.08 meq/g
255 of LHA charge is equivalent to 0.1 mM ($2.08 \text{ mmol/g} \times 50 \times 10^{-3} \text{ g/L}$) for 50 mg/L of LHA at pH 4.
256 This charge amount is lower than the experimental concentration of 1 mM DPC. This indicates
257 that a part of added DPC are adsorbed to LHA and free DPC molecules remain unbound if the IEP
258 is induced by charge neutralization. The lower magnitude of charge reversal at 1 mM of DPC could
259 also be explained by the higher water solubility of DPC. This higher solubility of DPC and/or
260 shorter alkyl tail length render weaker attraction of DPC to LHA. This solubility related weak
261 attraction was also explained in the previous literature [50].

262 We also calculated the ratios of added concentrations of CPC and DPC to CMCs of CPC
263 and DPC ($C_{\text{CPC,DPC}}/\text{CMC}_{\text{CPC,DPC}}$) and the ratios of added amounts of CPC and DPC to HSs charge
264 amounts ($C_{\text{CPC,DPC}}/\text{charge of HSs}$) at pH around 6 (Figure S3). These normalized ratios of
265 $C_{\text{CPC,DPC}}/\text{CMC}_{\text{CPC,DPC}}$ and $C_{\text{CPC,DPC}}/\text{charge of HSs}$ show no noticeable difference for SRFA and

266 LHA in CPC (Figure S3 A, B) at pH around 6 at any concentration to charge ratios. Although the
267 charge amount of SRFA and LHA differ at pH around 6, the normalized concentration to CMC
268 shows similar effect. This result indicates that the CPC interacts greater amount with HSs due to
269 CPC hydrophobicity or least solubility than DPC.

270 **3.2 Aggregation-dispersion of Suwannee river fulvic acid (SRFA) and Leonardite humic acid** 271 **(LHA) in CPC and DPC solutions**

272 We conducted the naked eye observation and microscopic observation of aggregation and
273 dispersion of Suwannee river fulvic acid (SRFA) and Leonardite humic acid (LHA) in the presence
274 of CPC and DPC system in KCl solution. We carried out this experiment under different pH
275 condition (3-10) in the 5 mL polystyrene plastic bottle. The result of macroscopic aggregation
276 dispersion is shown in the pictures of the supporting information (Figure S2). The naked eye
277 observation in the SRFA-CPC and LHA-CPC systems showed that the aggregation is more
278 pronounced in and around IEP pH. The aggregates size and pH range of aggregation in LHA-CPC
279 (pH around 3.9-7.6) and SRFA-CPC (pH around 4.3- 6.8) systems show a clear difference (Figure
280 S2). The pH range of aggregation and size of macroscopic aggregates are larger in LHA-CPC
281 system than that of SRFA-CPC system. The macroscopic pictures show that the LHA-CPC
282 aggregates in 10 mM KCl are darker and more interconnected than SRFA-CPC system in 10 mM
283 KCl solution. The aggregates at designed pH were also investigated under the microscope to
284 compare any structural variability and arrangement depending on pH and humic substances (HSs)
285 with different aromaticity and hydrophobicity. The microscopic pictures of LHA-CPC and SRFA-
286 CPC show the clear comparison of aggregates size and their structural arrangement in different pH
287 (3-10) (Figure 4). In the both SRFA-CPC and LHA-CPC, more pronounced and larger aggregates
288 appear at pH near around IEP than other pH (Figures 4, 5, and S2). Thus, the charge neutralization

289 is required to form larger aggregates composed of humic substances and cationic surfactants. In
290 addition, the HS aggregation is much pronounced for more hydrophobic LHA, demonstrating that
291 hydrophobic moieties of HSs determine the degree of HSs aggregation. Some of the studies
292 demonstrated the poorly formed vesicles type structure formation of SRFA in the presence of
293 cationic surfactants [10]. This type of poorly formed structure resembles our investigation where
294 poor aggregation regime is confirmed beyond the IEP pH (Figure 4 A, C, and D, F). This poorly
295 formed aggregates showing sphere-shaped aggregates and/or particles were also confirmed in soil
296 humic acid and cationic detergents interaction [51]. These previous findings clearly support our
297 outcome of the microscopic observation demonstrated in the Figure 4 A, C, D and F.

298 The macroscopic pictures of aggregation-dispersion of LHA-DPC system are shown in the
299 supporting information (Figure S2). The pH range of aggregation in LHA-DPC system at 10 mM
300 KCl solution increases with the increase of DPC concentration from 0.2 mM to 1 mM (Figure S1).
301 The aggregation is more pronounced at low pH for low concentration of 0.2 mM DPC at 10 mM
302 KCl, whereas with the increase of DPC concentration to 1 mM at 10 mM KCl solution, wider pH
303 range of aggregation from low pH including the IEP pH until pH around 7 (Figure S2). This
304 macroscopic aggregation is supported by microscopic observation of aggregates at different pH
305 (Figure 5). The larger aggregates are formed at low pH, whereas at high pH no more large
306 aggregates are observed except some tiny particulates. This low pH aggregation can be explained
307 by higher hydrophobicity and/ or aromaticity due to low charge of HSs at low pH (Table S2),
308 which induce strong hydrophobic interaction along with some hydrogen bonding and patch
309 attraction. The intermolecular hydrogen bonding originating from the carboxyl hydrogen could be
310 responsible for this low pH aggregation [12]. The HSs do not have smooth surface for uniform
311 charge distribution, rather the charge heterogeneity could be responsible for the charge-patch

312 attraction trigger the aggregation. This charge-patch attraction was discussed in many previous
313 studies of colloidal aggregation [52], [53].

314 The increasing concentration of DPC influences the pH range of aggregation in
315 microscopic and macroscopic organization (Figure S2 and Figure 5). The increasing concentration
316 of DPC in LHA shows a wider aggregation pH range with the network like structure manifesting
317 a wider surface availability for aggregation. This phenomenon is clearly supported by the previous
318 investigation that manifested the interaction of colloidal particles and humic substances with
319 cationic detergents [51]. This previous study also manifested the sphere-shaped particles at lower
320 concentration of cationic detergents which disappeared with the increase of concentration. This
321 sphere type tiny particulates are also observed at the lower concentration of DPC for a wide pH
322 range (Figure 5 B and C).

323 **3.3 Suwannee river fulvic acid (SRFA) and Leonardite humic acid (LHA) aggregate strength** 324 **in CPC and DPC solutions**

325 We obtained the aggregate strength of SRFA and LHA in the presence of CPC and DPC
326 in 10 mM KCl solution (Figure 6). We see the effect of hydrophobicity of humic substances on
327 the aggregate strength from the quantitative comparison of the strength of SRFA and LHA
328 aggregates with CPC at 10 mM KCl inferred in Figure 4 A, C. The aggregate strength of LHA in
329 DPC solution demonstrates the effect of surfactant tail length compared with CPC in LHA (Figure
330 4).

331 The aggregate strength of SRFA in 0.2 mM CPC and 10 mM KCl solution shows a
332 maximum strength 5.2 nN at near around IEP pH 6.2. This maximum strength pH shows a shifting
333 toward higher pH value than that of IEP pH. The aggregate strength of SRFA with CPC ranges
334 from around 0.16 nN to 5.2 nN. Meanwhile, the aggregate strength of LHA with 1 mM and 0.2

335 mM DPC in 10 mM KCl shows higher aggregate strength than that of SRFA-CPC system. The
336 maximum aggregate strength of LHA in 1 mM DPC and 10 mM KCl solution is around 19.1 nN
337 at pH around 3.7. This pH at the maximum strength is around IEP pH 3.9 from electrophoretic
338 mobility (Figure 3 B). On the other hand, the aggregate strength of LHA in 0.2 mM DPC and 10
339 mM KCl is also higher than that of SRFA-CPC system. This higher aggregate strength of LHA-
340 DPC system is due to the higher hydrophobicity of LHA, indicated from its higher content of
341 carbon and aromaticity, than that of SRFA (Table S2). Additionally, in both LHA-DPC and SRFA-
342 CPC systems, the maximum aggregate strengths are near around IEP pH, indicating that at around
343 IEP pH the electrostatic attraction causes charge neutralization along with other non-DLVO
344 interactions such as charge patch attraction. The effect of HSs hydrophobicity on the aggregation
345 and charging [9] in the presence of monovalent hydrophobic ion clearly distinguished the effect of
346 humic substances hydrophobicity. However other studies evaluate the importance of hydrophobic
347 and electrostatic interactions focusing the effect of humic substances hydrophobicity on the
348 aggregation and charging in different system of humic acid-protein complexation [23], and HSs in
349 the presence of cationic surfactants [22], [29].

350 The LHA in the presence of 0.2 mM CPC in 10 mM KCl solution shows the maximum
351 aggregate strength around 27.6 nN. This maximum aggregate strength occurs near around IEP pH
352 around 6.2, whereas in the charge reversal pH (pH around 4 to 5) the aggregate strength ranges
353 from around 5.2 nN to 16.2 nN. On the other hand, in the pH around 7.2, where electrophoretic
354 mobility is negative (absolute value > 0.5), the aggregate strength is much lower and around 3.6
355 nN to 6.2 nN. This condition of aggregate strength demonstrates that the maximum aggregate
356 strength is around IEP. But beyond the IEP, the values of aggregate strength was higher in the
357 range of charge reversed pH than the pH of negative electrophoretic mobility. This maximum

358 aggregate strength around IEP could be explained by the charge neutralization. Additionally, the
359 higher strength at the charge reversed pH is also because of electrostatic attraction combined with
360 hydrophobic interaction and charge patch attraction.

361 The values of maximum aggregate strength are also increasing from SRFA-CPC < LHA-
362 DPC < LHA-CPC. This increasing trend of maximum strength is due to the increase of
363 hydrophobic interaction and humic substances hydrophobicity. On the other hand, the increase in
364 aggregate strength LHA-DPC < LHA-CPC can be explained by longer tail length of CPC than that
365 of DPC. The longer tail length of CPC has a higher rate of adsorption on the LHA than that of
366 DPC on LHA.

367 The foregoing section of this investigation clearly explores the effect of humic substances
368 hydrophobicity and surfactant tail length on the aggregation, charging and aggregate strength of
369 humic substances. Nevertheless, the effect of the aromaticity and/or hydrophobicity was also
370 noticed in an investigation mentioning about the adsorption of natural organic matters (NOM) on
371 hydrophobic carbon nanotubes, showing the strongest effect of aromatic carbon content of NOM
372 in the adsorption behavior [54]. Hakim and Kobayashi (2018) [9] also showed the clear evidence
373 of hydrophobic interaction and the effect of humic substances hydrophobicity on HSs aggregation.
374 Even though the LHA has lower number of chargeable groups than that of SRFA (Table S2) [9],
375 the strength of LHA-CPC aggregates is higher than that of SRFA-CPC aggregates in any pH range.
376 This higher strength of aggregates in LHA-CPC system obviously shows the dominance of
377 hydrophobic interactions or the effect of humic substances hydrophobicity over the electrostatic
378 interaction. The higher strength of aggregate in this system of concern can be also explained by
379 the supramolecular association [55] and/or intramolecular or intermolecular association of humic
380 substances due to conformation changes [11]. The higher aggregate strength in the case of higher

381 aromatic and/or hydrophobic LHA accompanied with lower charge is also supported by the study
382 Hakim et al. (2016) [56] and Sugimoto et al. (2017) [57], which indicate the weak charge prevail
383 with the strong hydrophobic interaction. Kobayashi (2005) [37] explored the strength of natural
384 soil aggregates and found the maximum strength 4 nN. In that study Kobayashi (2005) [37]
385 explained this maximum strength is due to the hetero-coagulation caused by attractive electric
386 double layer interactions [58], [59]. But in our investigation, the LHA aggregates in CPC and DPC
387 systems show around 6.9 times and 4.8 times higher strength near around IEP. This higher strength
388 indicates a strong attractive interaction of hydrophobic ions on hydrophobic surfaces. The
389 dominance of hydrophobic effects of HSs charging and aggregation was investigated by Hakim
390 and Kobayashi (2018) [9], who also explained that the increase of humic substances aggregates
391 size is influenced by the hydrophobicity of humic substances itself. Meanwhile, the effect of
392 hydrophobic interaction on the charge reversal of sulfate and carboxylic latex particles and strong
393 adsorption of monovalent hydrophobic cation were also investigated [56], [57]. These
394 investigations drive the possible causes of higher strength of LHA aggregates and obviously
395 explored the effect of humic substances hydrophobicity and hydrophobic interaction in LHA-CPC
396 system. The adhesive forces in different chemical systems and strength of flocs/aggregates are
397 summarized in Table S1. The range of 0.3-60 nN is comparable to the results found in the present
398 study.

399 As we discuss the adhesion force measurement using AFM, the adhesion force of thiol
400 monolayer with C₁₈ is 60 ± 5 nN and higher than 12.5 ± 4.4 nN of C₁₂ chain length in methyl-
401 methyl (CH₃-CH₃) tip-surface pair interaction [32], [33], [34]. Some other investigations showed
402 that the size of hydrophobic side groups triggered the surfactant ion binding manifested by
403 measuring the binding constant and Gibbs energy of binding [60], [61]. These previous

404 investigations made our study fruitful and generate the possible causes of higher strength in LHA-
405 CPC system than LHA-DPC system.

406

407 **4. Conclusion**

408 The charging and aggregation behaviors along with the aggregate strength of two humic
409 substances (HSs) namely Suwannee river fulvic acid (SRFA) and Leonardite humic acid (LHA)
410 were investigated in the presence of cationic surfactants cetylpyridinium chloride (CPC) and
411 dodecylpyridinium chloride (DPC) as a function of pH.

412 We observed the charge reversal of SRFA and LHA in all experimental conditions from
413 0.1 mM to 0.3 mM CPC concentration, whereas the DPC with shorter aliphatic tail length showed
414 no charge reversal of LHA at 0.2 mM of DPC concentration. The IEPs of both HSs (SRFA and
415 LHA) moved toward higher pH value with the increase of CPC concentration and aromaticity
416 and/or hydrophobicity of humic substances (SRFA < LHA). At higher DPC concentration around
417 1 mM and 2 mM, LHA showed charge reversal, and the IEP shifted to a higher pH value from
418 around 4 at 1 mM DPC to around 5.5 at 2 mM DPC. We also observed the effect of KCl
419 concentration (1 mM and 10 mM) in charging at the experimental conditions of 0.2 mM CPC and
420 1 mM DPC and found no notable effect in both LHA and SRFA solutions.

421 The aggregate strength of LHA with CPC and LHA with DPC showed the maximum values
422 around 27.6 nN and 19.1 nN around IEPs (IEP pH were around 6.4 and 3.9), pH 6.2 and 3.7,
423 respectively in the experimental conditions. On the one hand, the maximum aggregate strength of
424 SRFA with CPC was around 5.2 nN around IEP (IEP pH was around 5.3) pH 6.2, though this pH
425 was a little shifted toward higher pH from IEP. The LHA aggregates with CPC and DPC showed

426 higher strength than that of SRFA aggregates with CPC because of the difference in
427 hydrophobicity and/ or aromaticity of HSs (LHA > SRFA). The maximum and around maximum
428 values of aggregate strength of LHA with CPC differs significantly ($p < 0.05$) from SRFA with
429 CPC. Comparing the effect of the aliphatic tail length of surfactant (CPC > DPC), we found the
430 higher aggregate strength of LHA with CPC than that of LHA with DPC. The maximum strengths
431 of LHA and SRFA with CPC, DPC, and CPC, respectively obtained around IEP pH differ
432 significantly from each other ($p < 0.05$). Further, the effect of DPC concentration on LHA
433 aggregate strengths was also noticed and the effect was significant at $p < 0.001$. In this
434 investigation, the tail length of cationic surfactant shows a clear effect on the aggregate strength.
435 The increase of tail length induces the strong attractive forces due to the hydrophobic interaction
436 and hydrophobicity of humic substances.

437 The findings of this investigation could be partly able to dissect the mechanisms of
438 maximum aggregate strength, the possible effects of the humic substances hydrophobicity,
439 hydrophobic interactions, and the net charge of HSs-surfactant aggregate. This investigation gives
440 a clear idea of how the hydrophobicity and charge of organic pollutants and dyes affect the removal
441 efficiency of humic substances from water environment and in waste water treatment plants.

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448 **References**

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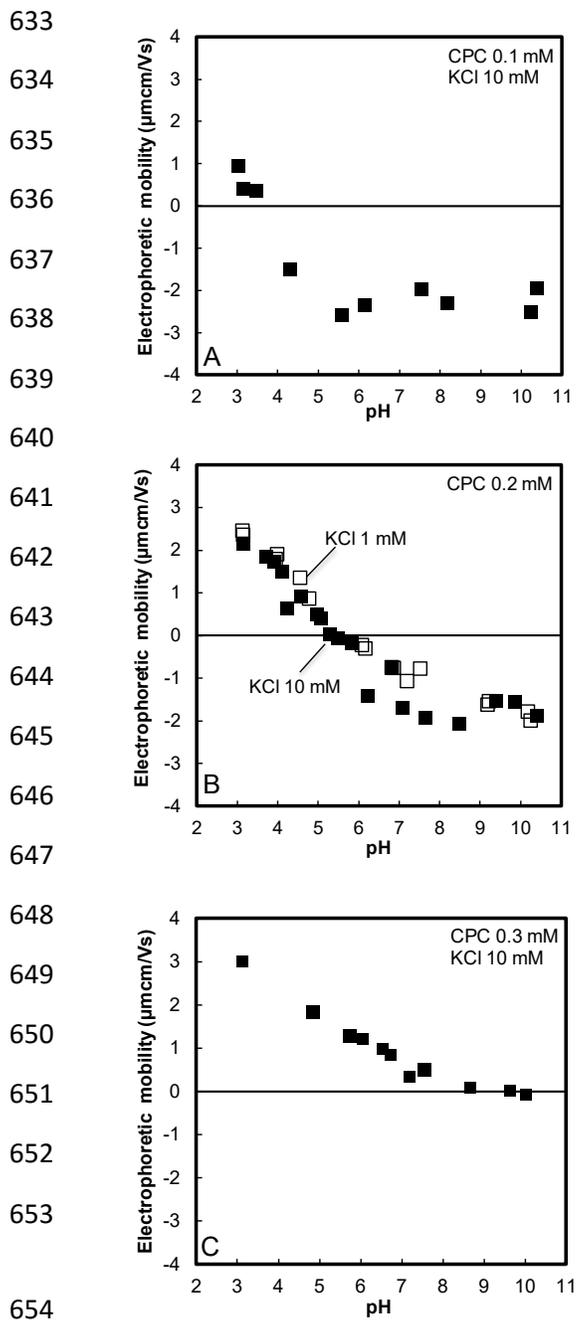
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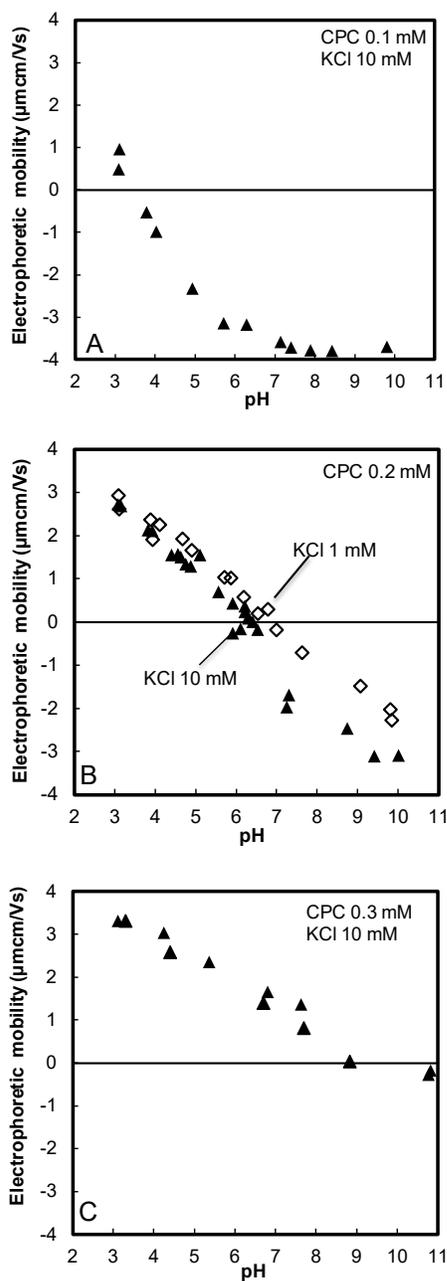
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655 Figure 1. Electrophoretic mobility of Suwannee river fulvic acid (SRFA) at 0.1 mM (A), 0.2 mM
 656 (B), and 0.3 mM (C) CPC (cetylpyridinium chloride) as a function of pH. Concentration of SRFA
 657 is 50 mg/L.

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681 Figure 2. Electrophoretic mobility of Leonardite humic acid (LHA) at 0.1 mM, 0.2 mM, and 0.3
682 mM CPC (cetylpyridinium chloride) as a function of pH. Concentration of LHA is 50 mg/L.

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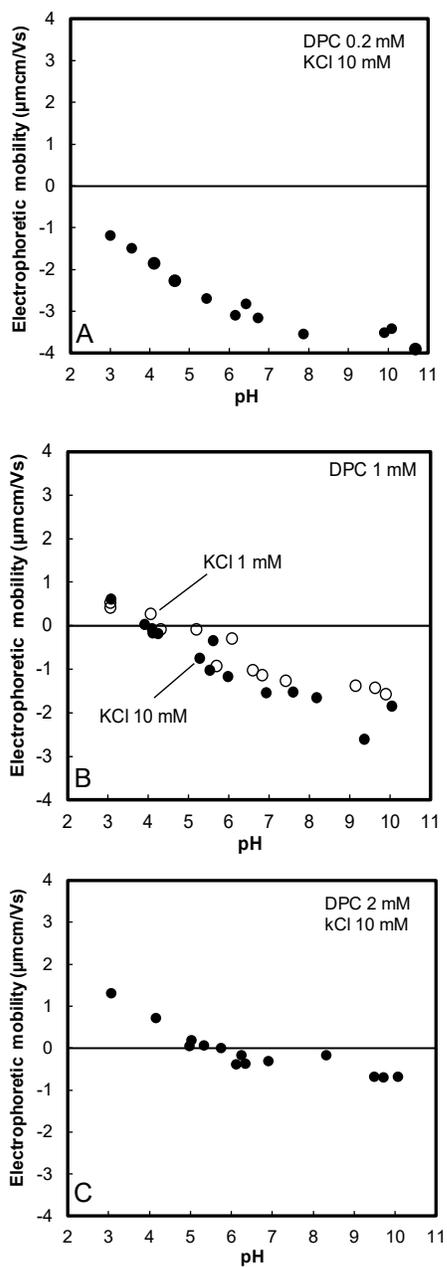
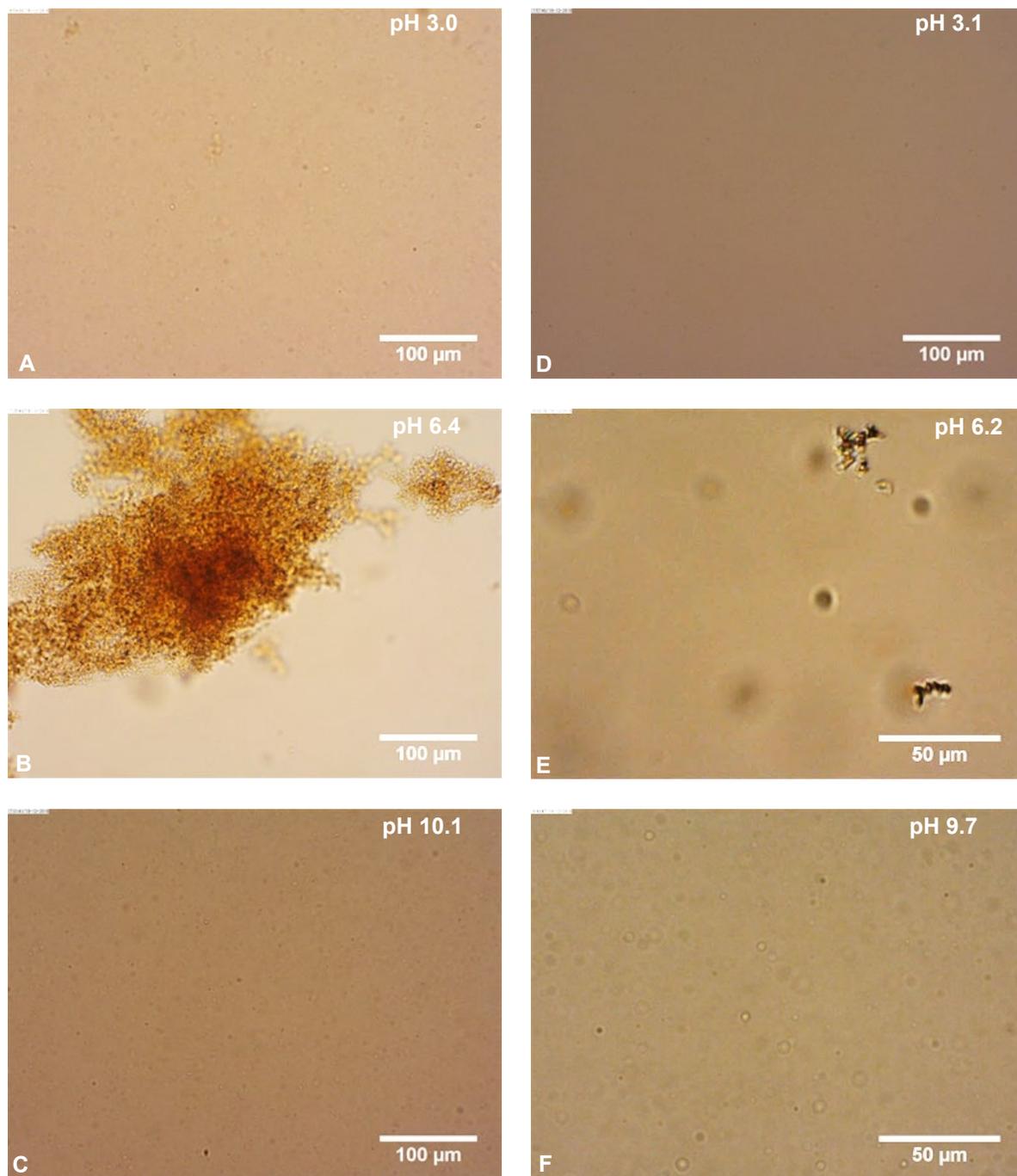


Figure 3. Electrophoretic mobility of Leonardite humic acid (LHA) at 0.2 mM (A), 1 mM (B), and 2 mM (C) DPC (dodecylpyridinium chloride) as a function of pH. Concentration of LHA is 50 mg/L.



712 Figure 4. Microscopic images of Leonardite humic acid (LHA) in 0.2 mM CPC and KCl 10 mM
713 solution at pH 3 (A), 6.4 (B) and 10.1 (C) and Suwannee river fulvic acid (SRFA) in 0.2 mM CPC
714 and 10 mM KCl solution at pH 3.1, 6.2, and 9.7 (D, E, and F). Concentration of LHA and SRFA
715 is 50 mg/L. Brightness and contrast were corrected.

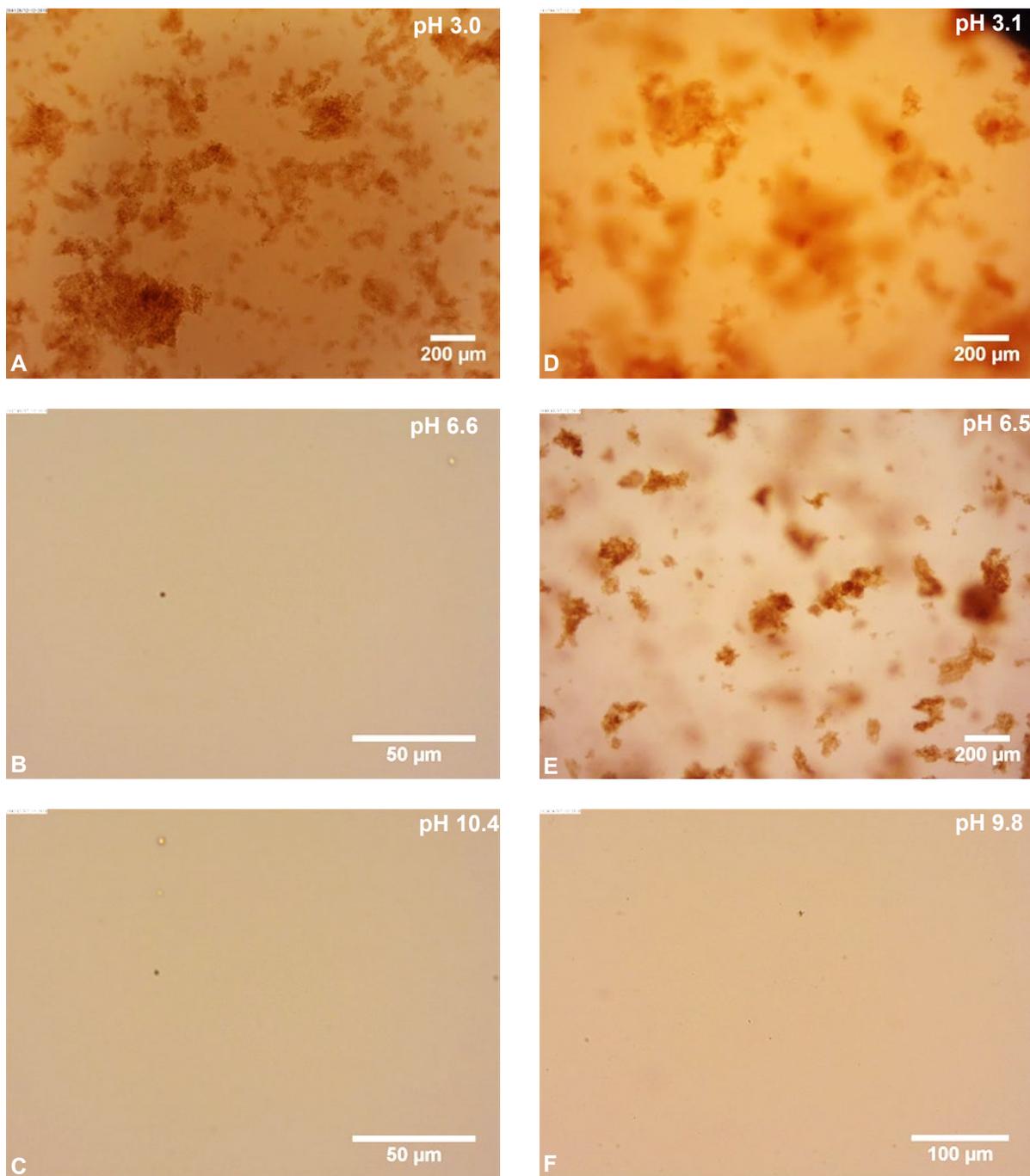


Figure 5. Microscopic images of Leonardite humic acid (LHA) in 0.2 mM DPC and KCl 10 mM solution at pH 3, 6.6 and 10.4 (A, B, and C) and 1 mM DPC at 10 mM KCl solution at pH 3.1, 6.5, and 9.8 (D, E, and F). Concentration of LHA is 50 mg/L. Brightness and contrast were corrected.

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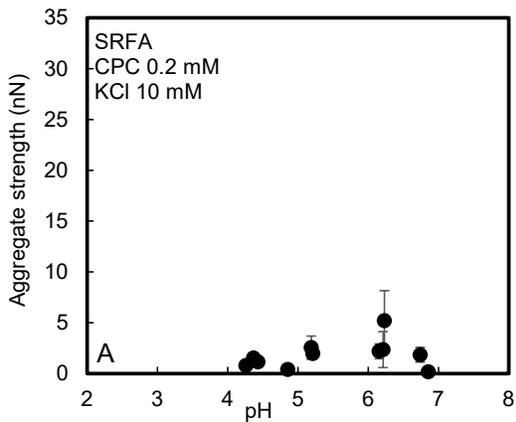
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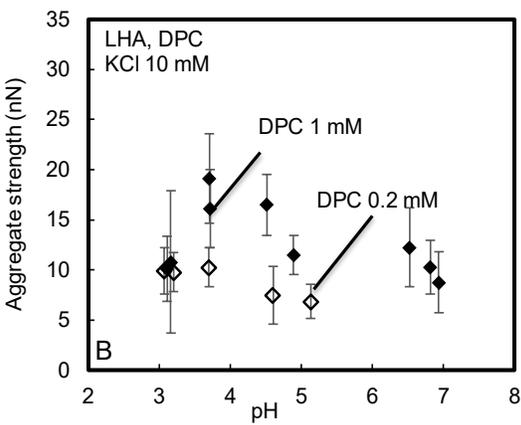
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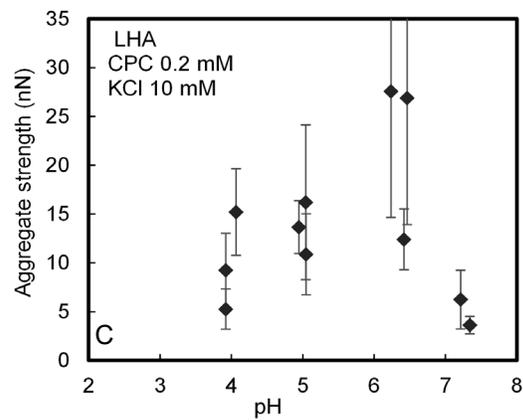
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742 Figure 6. Aggregate strength of SRFA in 0.2 mM CPC at 10 mM KCl solution (A), LHA in 0.2

743 mM DPC and 1 mM DPC at 10 mM KCl solution (B) and LHA in 0.2 mM CPC at 10 mM KCl

744 solution (C) as a function of pH. Concentration of LHA and SRFA are 50 mg/L.