

## Ubiquitin-specific protease 8 is a novel prognostic marker in early-stage lung adenocarcinoma

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1    **Ubiquitin-specific protease 8 is a novel prognostic marker in**  
2    **early-stage lung adenocarcinoma**

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15  
16    **Running title**

17    USP8, prognostic marker in lung cancer

18  
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22

**ABSTRACT**

Alterations of epidermal growth factor **receptor** (EGFR) expression frequently occur in the early-stage lung adenocarcinoma. Ubiquitin-specific protease 8 (USP8) has been reported to stabilize EGFR protein at the plasma membrane through recycling pathway. Here, we examined the correlation between USP8 expression and the expression or mutation status of EGFR as well as the clinicopathological features of lung adenocarcinoma and patient outcome. Expression of EGFR and USP8 in surgically resected specimens of lung adenocarcinoma (82 cases) was examined by immunohistochemistry. Overexpression of EGFR was mutually correlated with that of USP8, and was also associated with clinicopathological features including pathological subtype, lymphatic permeation, and vascular invasion. Moreover, patients who had USP8-positive tumors had a significantly poorer outcome than those who were USP8-negative, not only overall but also patients who were EGFR-negative. Although EGFR was expressed in invasive adenocarcinoma but not in adenocarcinoma *in situ* (AIS), USP8 was overexpressed in not only invasive adenocarcinoma but also 38.1% of AIS cases. *In vitro*, USP8 regulated the expression and half-life of EGFR in immortalized AIS cells, and also cell proliferation. Our findings indicate that overexpression of USP8 in lung adenocarcinoma is an early event during the course of tumor progression, and is related to EGFR expression.

43 INTRODUCTION

44 Mortality due to lung cancer has been increasing rapidly worldwide.<sup>1</sup> Non-  
45 small cell lung cancer (NSCLC) accounts for about 80-85% of all lung cancers, the  
46 most common histological subtype being adenocarcinoma. The Noguchi classification  
47 of small lung adenocarcinomas (2 cm in diameter or less) is correlated with the  
48 postoperative 5-year survival rate.<sup>2</sup> Types A and B in the Noguchi classification  
49 (adenocarcinoma *in situ*, AIS) have an extremely favorable outcome with a 5-year  
50 survival rate of 100%, and show stepwise progression to type C (early but invasive  
51 adenocarcinoma), which has a relatively poorer outcome.<sup>2, 3</sup> At the advanced stage,  
52 lung adenocarcinoma harbors multiple genetic abnormalities,<sup>4, 5</sup> but interestingly, the  
53 mutation, amplification, and protein overexpression of epidermal growth factor  
54 receptor (EGFR) are often observed from the early stage. For complete cure,  
55 diagnosis and initiation of treatment at an early stage are essential. In this context,  
56 targeting of EGFR abnormality is thought to be a promising therapeutic strategy for  
57 lung adenocarcinoma.

58 Somatic mutation of EGFR is the most common driver mutation, and is  
59 particularly common in NSCLC patients. The most prominent mutations in EGFR  
60 occur in exons 18-21 of the tyrosine kinase domain, and patients harboring such  
61 mutations are responsive to treatment with tyrosine kinase inhibitors (TKIs) such as  
62 gifitinib and erlotinib.<sup>6</sup> Although initially these treatments elicit a rapid antitumor  
63 effect, patients develop resistance to TKIs after a median of 10-16 months of drug  
64 administration.<sup>7, 8</sup> Approximately 72-90% of non-Asian NSCLC patients who undergo  
65 mutation analysis have no detectable EGFR mutation, and show a lower response to  
66 TKIs. Recent studies have shown that as well as EGFR mutation status, a high copy  
67 number or expression of wild-type EGFR is also associated with tumor progression

68 and patient survival.<sup>9, 10</sup> However, no prognostic marker gene has yet emerged for  
69 lung adenocarcinoma patients with wild-type EGFR or low EGFR expression.

70 In addition to a high EGFR gene copy number and mutation, ligand-dependent  
71 activation as well as recycling back to the plasma membrane via the endocytosis-  
72 related pathway has been reported to play an important role in the early stage of lung  
73 cancer.<sup>11</sup> Ubiquitin-specific protease 8 (USP8) is known to stabilize EGFR protein at  
74 the plasma membrane through cleavage of poly-ubiquitin from EGFR, a process  
75 known as deubiquitination, which is reversible by ubiquitination and can lead to  
76 lysosomal degradation.

77 USP8 belongs to a ubiquitin-specific family of **deubiquitination proteases**  
78 **(DUB)** and is involved in endocytosis at endosomes.<sup>12</sup> USP8 has an important  
79 physiological function in cell growth,<sup>13</sup> and deletion of USP8 causes embryonic  
80 lethality in mice,<sup>14</sup> similarly to deletion of EGFR.<sup>15</sup> However, the relationship of  
81 USP8 to the expression or mutation status of EGFR in lung adenocarcinoma is still  
82 poorly understood.

83 Here, we demonstrated that USP8 is correlated with the expression or  
84 mutation status of EGFR, as well as with the clinicopathological features of lung  
85 adenocarcinoma. USP8 showed overexpression in the early stage of lung  
86 adenocarcinoma and was significantly associated with shorter disease-free survival in  
87 patients overall, and also in those who were negative for EGFR expression. These  
88 findings suggest that USP8 might be a novel diagnostic and therapeutic target in  
89 early-stage lung adenocarcinoma.

90 **METHODS AND MATERIALS**

91 **Sample collection**

92       Specimens of lung adenocarcinomas that had been surgically resected at the  
93       University of Tsukuba Hospital (Ibaraki, Japan) between 1999 and 2014 were used  
94       for immunohistochemistry (IHC). We randomly collected 82 cases in which EGFR  
95       mutation had already been analyzed **in order to validate chemotherapeutic options**  
96       (LSI Medience Corporation. Tokyo, Japan). Follow-up information for all of the  
97       corresponding patients was obtainable from the medical records, and all of the  
98       patients provided informed consent for use of their materials. **The study was**  
99       **approved by the Institutional Ethics Review Committee** and the lung  
100       adenocarcinoma cases were classified according to the UICC TNM classification of  
101       malignant tumors (seventh edition) and the World Health Organization (WHO)  
102       classification of malignant tumors (fourth edition).<sup>16, 17</sup>

104 **Immunohistochemistry (IHC)**

105       Sections 4 µm thick were cut from formalin-fixed paraffin-embedded (FFPE)  
106       tissue blocks. The sections were deparaffinized and rehydrated, followed by blocking  
107       of endogenous peroxidase using 3% H<sub>2</sub>O<sub>2</sub> for 30 min. Subsequently, antigen retrieval  
108       was performed using an autoclave with 10 mM Tris-EDTA buffer (pH 9.0) at 105°C  
109       for 10 min. Immunostaining was performed using a Dako Autostainer Link 48  
110       (Agilent Technologies, Santa Clara, CA) with the appropriate primary antibody and  
111       REAL Envision HRP rabbit/mouse (Agilent Technologies) as a secondary antibody.  
112       The immunoreactivity was detected with DAB (Dako REAL Envision Detection  
113       System; Agilent Technologies), and counterstaining was performed with hematoxylin  
114       for 1 min. Evaluation of USP8 and EGFR expression was based on the intensity of

115 cytoplasmic staining. The staining was judged to be positive when the cytoplasm of  
116 the tumor cells was stained more strongly than that of the alveolar epithelium. Rabbit  
117 polyclonal anti-USP8 antibody (Bethyl Laboratories, Montgomery, TX) and mouse  
118 monoclonal anti-EGFR antibody (Agilent Technologies, Clone DAK-H1-WT) were  
119 used as the primary antibodies. The evaluation of immunoreactivity was used two-tier  
120 grading as negative with non-stained and positive with diffusely positive.

121

## 122 **Cell culture and conditions**

123 The PL16T cell line was established in our laboratory from a surgically  
124 resected AIS of the lung.<sup>18</sup> PL16T was maintained in MCDB153HAA (Wako, Osaka,  
125 Japan) supplemented with 2% FBS (Sigma-Aldrich, St. Louis, MO), 0.5 ng/ml human  
126 EGF (Toyobo, Tokyo, Japan), 5 µg/ml **human** insulin (Wako), 72 ng/ml  
127 hydrocortisone (Wako), 40 µg/ml **human** transferrin (Sigma-Aldrich), and 20 ng/ml  
128 sodium selenate (Sigma-Aldrich). The cells were cultured in a 5% CO<sub>2</sub> incubator at  
129 37°C and passaged every 3-4 days.

130

## 131 **Plasmid and siRNA transfection**

132 Flag-USP8 plasmid was purchased from Addgene (Cambridge, MA). The day  
133 before transfection, PL16T cells were plated to obtain 80% confluence on the day of  
134 transfection. Fugene HD (Promega, Madison, WI) was used for plasmid transfection.  
135 USP8-specific siRNA (forward, GGACAACCAGAAAGUGGAAUUCUAA and  
136 reverse, UUAGAAUCCACUUUCUGGUUGUCC) from Thermo Fisher Scientific  
137 and lipofectamine RNAiMAX (Thermo Fisher Scientific), were used for siRNA  
138 transfection. The final siRNA concentration used for PL16T cells was 5 nM.  
139 Transfections were performed in accordance with the manufacturer's protocol. The

140 cells were incubated at 37°C in a 5% CO<sub>2</sub> incubator for 24 or 48 h and then further  
141 analyzed.

142

### 143 **Quantitative real-time PCR analysis**

144 To confirm the transfection efficiency of the Flag-USP8 plasmid or siUSP8,  
145 PL16T cells were evaluated using quantitative real-time RT-PCR. Total RNA was  
146 extracted from siUSP8-transfected PL16T cells using an RNeasy Mini Plus Kit  
147 (QIAGEN) and the quality was evaluated using an Agilent 2100 Bioanalyzer (Thermo  
148 Fisher Scientific). One microgram of total RNA per 20 µl of the reaction mixture was  
149 converted to cDNA using a High Capacity cDNA Reverse Transcription Kit (Thermo  
150 Fisher Scientific). Quantitative real-time PCR was performed with SYBR Premix Ex  
151 Taq™ (Perfect Real Time; Takara Bio, Shiga, Japan) on a GeneAmp 7300 Sequence  
152 Detection System (Thermo Fisher Scientific) in accordance with the manufacturer's  
153 protocol.

154

### 155 **Western blot analysis**

156 Total protein from the cells was prepared on ice using Mammalian Protein  
157 Extraction Reagent (M-PER; Thermo Fisher Scientific) containing a Halt protease  
158 and phosphatase inhibitor cocktail (Thermo Fisher Scientific). The total protein in the  
159 lysates was measured using a BCA protein assay kit (Thermo Fisher Scientific). Total  
160 protein aliquots (20 µg) were mixed with 5x sample loading buffer supplemented with  
161 DTT, denatured at 95°C for 5 min, and electrophoresed on 10% Mini-PROTEAN  
162 TGX Precast Gels (Bio-Rad Laboratories, Hercules, CA). Proteins were transferred to  
163 polyvinylidene difluoride membranes using an iBlot gel transfer system (Thermo  
164 Fisher Scientific). The blots were then blocked and probed with various antibodies



165 obtained from the following commercial sources: USP8 from Cell Signaling  
166 Technology (Denver, MA); EGFR from Medical & Biological Laboratories (Aichi,  
167 Japan); Flag and  $\beta$ -actin from Sigma-Aldrich. After extensive washing,  
168 immunoreactivity was detected with specific secondary antibodies conjugated to  
169 horseradish peroxidase (Thermo Fisher Scientific). Protein bands were visualized  
170 using SuperSignal West Femto Maximum sensitivity substrate (Thermo Fisher  
171 Scientific) and images were captured on a ChemiDoc Touch Imaging System (Bio-  
172 Rad Laboratories).

173

#### 174 **Immunofluorescence**

175 PL16T cells were plated on collagen-coated cover slips (Iwaki Biosciences,  
176 Tokyo, Japan) and fixed with 10% neutral buffered formalin. They were then  
177 incubated with anti-EGFR conjugated with Alexa Fluor 488 antibody (Cell Signaling  
178 Technology) for 1 h at room temperature, and analyzed using a fluorescence  
179 microscope (Bioevo BZ-9000; Keyence, Osaka, Japan).

180

#### 181 **Pulse chase assay**

182 Pulse-chase assay was performed followed by the protocol reported previously  
183 with some modification.<sup>19</sup> After transfection with siUSP8 for 48 h, the cells were  
184 washed with PBS and incubated with prewarmed DMEM medium without Met/Cys  
185 for 30 min at 37°C in a 5% CO<sub>2</sub> incubator. The cells were labeled with <sup>35</sup>S-Met/Cys  
186 (10  $\mu$ Ci/ml) as the pulse radioisotope in DMEM medium without Met/Cys for 30 min  
187 at 37°C in a 5% CO<sub>2</sub> incubator. For chasing of the labeled protein, the isotope-labeled  
188 cells were washed 3 times with culture medium and incubated with the culture  
189 medium for 0, 2, 5, and 10 h. After chasing, total protein was extracted from the cells

190 using IP Lysis Buffer (Thermo Fisher Scientific) containing a Halt protease and  
191 phosphatase inhibitor cocktail (Thermo Fisher Scientific). The labeled proteins were  
192 isolated from other cellular proteins by immunoprecipitation with EGFR antibody and  
193 subjected to Western blot analysis. For quantitative determination of the proteins, the  
194 membrane containing the metabolically labeled EGFR was subjected to  $\beta$ -ray  
195 scanning using a Typhoon FLA7000 (GE Healthcare, Chicago, IL) image analysis  
196 system.

197

### 198 **Proliferation assay**

199 For analysis of cellular proliferation activity, a Cell Counting Kit-8 (WST-8)  
200 (Dojindo Laboratories, Kumamoto, Japan) was used in accordance with the  
201 manufacturer's protocol after plasmid or siRNA transfection.

202

### 203 **Statistical analysis**

204 Group results are expressed as mean  $\pm$  SD. Data were compared between  
205 groups using the *t* test for 2-tailed distributions and the paired *t* test. Differences at *P*  
206  $* < 0.05$ ,  $** < 0.01$ , and  $*** < 0.001$  were considered significant. SPSS 22 statistical  
207 software (SPSS, Chicago, IL) was used for IHC data analysis as follows. Correlations  
208 of clinicopathological features with the expression and mutation status of EGFR or  
209 expression of USP8 were analyzed using the chi-squared test. Disease-free survival  
210 was examined using the Kaplan-Meier method, and the significance of differences  
211 between survival curves was evaluated using log-rank test. Univariate and  
212 multivariate analysis was conducted using the Cox proportional hazards model.

## RESULTS

### Overexpression of EGFR and correlation with clinicopathological features

We examined EGFR expression in both normal lung tissue and tumor tissue (Fig. S1a, b). EGFR expression in tumor tissue was higher than that in normal tissue, and staining was strong in the cytoplasm and on the cell membrane of tumor cells. EGFR expression was detected in 26.8% (22/82) of the cases and was significantly correlated with pathological subtype, pathological stage, lymphatic permeation, and vascular invasion (Table 1, left).

### EGFR mutation status and correlation with clinicopathological features

Next, we investigated the mutation status of EGFR in the same cases. Similarly to previous reports, mutant EGFR containing the E746-A750 deletion in exon 19 and L858R in exon 21 was detected in 35.4% (29/82) of the cases and was significantly correlated with patient gender, the Noguchi classification, pathological subtype, pathological stage, lymphatic permeation, and vascular invasion (Table 1, right). The frequency of EGFR mutation was significantly higher in women (75.9%, 22/29) than in men. Acinar adenocarcinoma was the most common dominant histological subtype with mutant EGFR (12/29; 41.4% of all mutant cases, 12/18; 66.7% of cases with an acinar pattern). Moreover, EGFR mutation status was correlated with EGFR expression; mutation was detected in 63.6% (14/22) of cases that were EGFR-positive (Table S1).

### Overexpression of USP8 and correlation with clinicopathological features

USP8 showed higher expression in tumor tissue than in normal lung tissue (Fig. S1c, d) and was stained mainly in the cytoplasm. USP8 expression was observed

238 in 65.9% (54/82) of the cases and was correlated with the Noguchi classification,  
239 pathological subtype, lymphatic permeation, and vascular invasion (Table 2).  
240 Overexpression of USP8 was detected in not only invasive adenocarcinoma (44/57,  
241 77.2%) but also AIS (8/21, 38.1%).

242

243 **Correlation between expressions of USP8 and the expression and mutation status**  
244 **of EGFR**

245 Next, we analyzed the correlation between expressions of USP8 and mutation  
246 status of EGFR. We found that all cases showing EGFR overexpression also had  
247 USP8 overexpression, the two being significantly correlated with each other (Table 3,  
248 upper). Fig.1 shows representative cases in which expression of EGFR was consistent  
249 with that of USP8. Moreover, we confirmed that USP8 expression was in correlation  
250 with EGFR mutation status (Table 3, lower). Similarly to EGFR expression, USP8  
251 expression and EGFR mutation status were significantly correlated, and 86.2% (25/29)  
252 of cases with EGFR mutation showed USP8 overexpression.

253

254 **Analysis of EGFR and USP8 expression in relation to survival**

255 To examine the prognostic implications of EGFR mutation status and  
256 expression of EGFR or USP8, we analyzed the disease-free survival of the patients.  
257 The Kaplan-Meier curves indicated that patients with positive expression of EGFR or  
258 USP8 had a significantly poorer outcome than those lacking such expression (Fig. 2a,  
259 b). However, the mutation status of EGFR did not show any association with patient  
260 outcome (Fig. 2c).

261 Additionally, multivariate analysis of the variables shown to be significant by  
262 univariate analysis revealed that vascular invasion, lymphatic permeation, and

263 pathological stage were independently associated with disease-free survival, whereas  
264 EGFR or USP8 expression was not (Table S2).

265 Since our IHC results showed that USP8 overexpression was present even in  
266 AIS, we speculated that USP8 overexpression might be an earlier event than the  
267 appearance of EGFR abnormalities and possibly related to prognosis, even in patients  
268 who had no EGFR abnormalities including overexpression or mutation. To explore  
269 this possibility, we selected EGFR-negative or EGFR wild-type cases and analyzed  
270 patient outcome using the Kaplan-Meier curves obtained. Interestingly, in the EGFR-  
271 negative or EGFR wild-type population, patients with USP8 overexpression had  
272 significantly poorer outcome than those without it (Fig. 2d, e), indicating that USP8  
273 might be a useful prognostic marker for patients with no EGFR abnormalities.

274

#### 275 **Regulation of EGFR expression by USP8 in immortalized AIS cells**

276 Our IHC results had indicated that USP8 was overexpressed in lung  
277 adenocarcinoma from an early stage, such as AIS or **minimally invasive**  
278 **adenocarcinoma (MIA)**. Therefore, we employed an immortalized AIS cell line,  
279 PL16T, for analysis of USP8 function in relation to EGFR expression. To examine the  
280 effects of USP8 overexpression or knockdown on EGFR expression in PL16T, we  
281 transfected the cells with Flag-USP8 or siUSP8. To confirm the transfection  
282 efficiency, we examined the mRNA and protein of USP8 (Fig. 3a, b). Overexpression  
283 of USP8 led to up-regulation of EGFR expression, whereas knockdown of USP8 led  
284 to down-regulation of total EGFR, not only on the cell surface but also in the  
285 cytoplasm (Fig. 3b, c). In addition, knockdown of USP8 shortened the half-life of  
286 EGFR relative to the control, indicating that USP8 helps to stabilize EGFR by  
287 inhibiting its degradation (Fig. 3d). Furthermore, cellular proliferation was reduced

288 after USP8 knockdown, and accelerated after USP8 overexpression, relative to the  
289 control (Fig. 3e). These changes in cellular proliferation are thought to result from  
290 regulation of EGFR expression by USP8. Thus, our *in vitro* results suggested that  
291 USP8 controls the expression of EGFR, thus possibly affecting the clinical outcome.

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## DISCUSSION

In this study, we demonstrated that expression of EGFR and USP8 in lung adenocarcinoma was higher in tumor tissue than in normal lung tissue, and was associated with clinicopathological features such as the pathological subtype, lymphatic permeation, and vascular invasion (Tables 1, 2). Moreover, the expression and mutation status of EGFR were mutually correlated.<sup>20</sup> Since EGFR mutation accelerates tumor cell proliferation and results in gene amplification,<sup>7, 10, 21</sup> EGFR abnormalities such as mutation, amplification, and overexpression might occur sequentially in tandem with the stepwise progression of lung adenocarcinoma, particularly at the early stage such as AIS.<sup>3, 7</sup> Additionally, consistent with a previous report,<sup>22</sup> the frequency of EGFR mutation was found to be associated with histological phenotype.

Although many researchers have investigated the association between EGFR expression and amplification, the results have not been consistent; Lee *et al.* and Sasaki *et al.* found a significant correlation between them,<sup>10, 23</sup> whereas Tang *et al.* did not.<sup>11</sup> This discrepancy suggests that not only genetic alteration but also various regulatory mechanisms occurring at the protein level might influence EGFR expression. USP8 is one of the EGFR-regulating factors that induce EGFR protein recycling through deubiquitination.<sup>24</sup> In this study, we showed that the expression of USP8 was significantly associated with that of EGFR. **Overexpression of USP8 showed 38.1% of AIS cases (Table 2), suggesting that alteration of USP8 might be an early event similar to overexpression of EGFR.** Based on these findings, we suggest that these alterations occur sequentially and are closely related to the stepwise progression of lung adenocarcinoma.

316 Overexpression of USP8 was detected in more than half of the cases of lung  
317 adenocarcinoma (Table 2). Chiara *et al.* screened alteration of DUBs in human  
318 cancers including those of the breast, colon-rectum, lung, stomach, kidney, prostate,  
319 non-Hodgkin's lymphoma, and melanoma, and found that USP9X, USP10, USP11,  
320 USP22, and USP24, but not USP8, were overexpressed in lung cancer.<sup>25</sup> The  
321 observed discrepancy of USP8 positivity might be attributable to differences in the  
322 antibody or methodology used for IHC, and the freshness of the specimens employed.

323 Moreover, in IHC, the number of cases positive for USP8 was higher than that  
324 of cases positive for EGFR. We selected 60 cases that lacked EGFR expression and  
325 examined the association between USP8 expression and patient outcome.  
326 Interestingly, patients whose cancers were positive for USP8 had a significantly  
327 poorer outcome than those whose cancers were USP8-negative (Fig. 1, 2c),  
328 suggesting that USP8 might be a novel prognostic marker even in patients with  
329 EGFR-negative cancers.

330 Because we collected the samples in which EGFR mutation had already  
331 been analyzed, it can be easily envisaged that they might include high number of  
332 recurrence cases. Indeed, recurrence rate of our tested sample (36/82 cases,  
333 43.9 %) was higher than overall lung adenocarcinoma cases (156/652 cases,  
334 23.9%) between 1999 and 2014 at university of Tsukuba Hospital. Therefore, in  
335 order to understand our result more correctly, we are planning additional large  
336 scale examination for expression of USP8 and EGFR as well as mutation status of  
337 EGFR.

338 Additionally, our *in vitro* experiments using immortalized AIS cells revealed that  
339 USP8 regulates EGFR expression at the cell membrane and in the cytoplasm, as well  
340 as its half-life, and cellular proliferation (Fig. 3). Therefore, our results imply that



341 overexpression of USP8 might stabilize EGFR expression by inducing  
342 deubiquitination of EGFR from the early stage of lung adenocarcinoma such as AIS  
343 which does not show invasiveness.

344 USP8 activity is tightly controlled by scaffold proteins such as 14-3-3  
345 proteins<sup>26</sup> or post-translational modification such as phosphorylation<sup>27</sup>. Most of  
346 DUBs undergo phosphorylation by protein kinases that can switch their activity  
347 into on or off<sup>27</sup>. In case of USP8, its stability and phosphorylation are regulated  
348 by AKT<sup>28</sup> and Src<sup>29</sup>, which are representative oncogenic signaling factors located  
349 in the downstream EGFR. In addition, USP19 was reported to have auto-  
350 deubiquitination function, removing ubiquitin moieties from USP19 protein  
351 itself<sup>30</sup>. USP8 might also have similar function to control its own stability. Based  
352 on these facts, we expect that oncogenic signaling such as AKT and Src and the  
353 auto deubiquitination activity of USP8 may contribute overexpression of USP8 in  
354 lung adenocarcinoma.

355 Similarly to USP8, heat shock protein 90 (HSP90) acts as a chaperone  
356 protein that is known to stabilize not only wild-type but also mutant EGFR by  
357 regulation of its degradation after chemotherapy and radiotherapy<sup>31, 32</sup>.  
358 Moreover, HSP90 inhibitor such as AUY922, potential agents for cancer  
359 treatment, effectively decreased cellular proliferation in lung adenocarcinoma  
360 cells harboring mutant EGFR by downregulation of EGFR and MET expression,  
361 which subsequently led to reduction of AKT-pathway<sup>33</sup> likewise USP8 inhibitor  
362 effect on RTKs<sup>34</sup>. However, recent clinical study of AUY922 in EGFR mutated  
363 patients of lung adenocarcinoma observed partial responses of this treatment  
364 but the dose and duration of the combination treatment with AUY922 and  
365 erlotinib to avoid rapid tumor development was limited by toxicities<sup>35</sup>. Similarly

366 to HSP90, USP8 might be also worth verifying its diagnostic or therapeutic  
367 usefulness.

368 Unlike the current treatment strategy for advanced adenocarcinoma, no  
369 therapeutic approach for early-stage lung adenocarcinomas such as AIS has yet been  
370 established, except for surgical resection.<sup>36</sup> Based on our findings, we believe that  
371 USP8 could be an attractive therapeutic target for early-stage lung adenocarcinoma.  
372 Additionally, small-molecule inhibitors targeting USP8 have been developed, and are  
373 very selective. Therefore, our finding would seem to justify the development of a  
374 USP8 inhibitor for treatment of lung adenocarcinoma.

375 In conclusion, based on our findings, we believe that USP8 appears to be a  
376 suitable protein for use as a prognostic marker in early-stage lung adenocarcinoma,  
377 and might also be a promising therapeutic target.

378

379

#### DISCLOSURE STATEMENT

380 None declared.

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Table 1  
Expression of epidermal growth factor receptor (EGFR) and its mutation status in relation to  
clinicopathological features of patients with lung adenocarcinoma.

Clinicopathological features	EGFR Expression		Total patients	P-value	EGFR mutation status		Total patients	P-value
	Negative	Positive			Wild-type	Mutant		
<b>Age (yr)</b>				0.285				0.684
≤60	18	4	22		15	7	22	
>60	42	18	60		38	22	60	
<b>Gender</b>				0.465				0.002
Female	30	13	43		21	22	43	**
Male	30	9	39		32	7	39	
<b>Noguchi classification</b>				0.066				<0.001
Type A	8	0	8		8	0	8	***
Type B	12	1	13		13	0	13	
Type C'	2	0	2		2	0	2	
Type C	4	3	7		2	5	7	
Type D	1	0	1		1	0	1	
Total	27	4	31		26	5	31	
<b>Pathological subtype</b>				0.021				0.001
AIS	20	1	21	*	21	0	21	***
MIA	2	0	2		2	0	2	
Invasive adenocarcinoma								
Lepidic	10	2	12		5	7	12	
Acinar	9	9	18		6	12	18	
Papillary	9	3	12		5	7	12	
Micropapillary	1	0	1		1	0	1	
Solid	7	7	14		11	3	14	
IMA	2	0	2		2	0	2	
<b>Pathological stage<sup>†</sup></b>				0.001				0.001
Stage I	37	5	42	***	33	9	42	***
Stage II	11	5	16		9	7	16	
Stage III	8	12	20		10	10	20	
Stage IV	4	0	4		1	3	4	
<b>Lymphatic permeation</b>				0.035				0.012
Negative	40	9	49	*	37	12	49	*
Positive	20	13	33		16	17	33	
<b>Vascular invasion</b>				0.002				0.006
Negative	39	6	45	**	35	10	45	**
Positive	21	16	37		18	19	37	

<sup>†</sup>Stage I includes IA and IB, stage II includes IIA and IIB, stage III includes IIIA and IIIB. Correlation between expression of EGFR or mutation status and clinicopathological features was analyzed using chi-squared test.



487 AIS, adenocarcinoma in situ); MIA, minimally invasive adenocarcinoma; IMA, invasive mucinous  
488 adenocarcinoma.  
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Table 2  
**Ubiquitin-specific protease 8 (USP8) expression in relation to clinicopathological features of patients with lung adenocarcinoma.**

Clinicopathological features	USP8 Expression		Total patients	P-value
	Negative	Positive		
<b>Age (yr)</b>				0.434
≤60	9	13	22	
>60	19	41	60	
<b>Gender</b>				0.750
Female	14	29	43	
Male	14	25	39	
<b>Noguchi classification</b>				0.018
Type A	7	1	8	*
Type B	6	7	13	
Type C'	2	0	2	
Type C	1	6	7	
Type D	0	1	1	
Total	16	15	31	
<b>Pathological subtype</b>				0.021
AIS	13	8	21	*
MIA	2	0	2	
<b>Invasive adenocarcinoma</b>				
Lepidic	3	9	12	
Acinar	3	15	18	
Papillary	4	8	12	
Micropapillary	0	1	1	
Solid	3	11	14	
IMA	0	2	2	
<b>Pathological stage<sup>†</sup></b>				0.060
Stage I	20	22	42	
Stage II	4	12	16	
Stage III	3	17	20	
Stage IV	1	3	4	
<b>Lymphatic permeation</b>				<0.001
Negative	25	24	49	***
Positive	3	30	33	
<b>Vascular invasion</b>				0.002
Negative	22	23	45	**
Positive	6	31	38	

<sup>†</sup>Stage I includes IA and IB, stage II includes IIA and IIB, stage III includes IIIA and IIIB. Correlation between expression of USP8 and clinicopathological feature was analyzed using chi-squared test.

496 AIS, adenocarcinoma in situ); MIA, minimally invasive adenocarcinoma; IMA, invasive mucinous  
497 adenocarcinoma.

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498    **Table 3**  
499    **Correlation between expression of ubiquitin-specific protease 8 (USP8) and the expression and**  
500    **mutation status of epidermal growth factor receptor (EGFR).**

	USP8 expression		Total patients	P-value
	Negative	Positive		
<b><u>EGFR expression</u></b>				<0.001
Negative	28 (46.7%)	32 (53.3%)	60	***
Positive	0	22 (100%)	22	
<b><u>EGFR mutation status</u></b>				0.004
Wild-type	24 (45.3%)	29 (54.7%)	53	**
Mutant	4 (13.7%)	25 (86.2%)	29	
Exon 19 (E746-A750 del)	2/4	9/25	11/29	
Exon 21 (L858R)	2/4	16/25	18/29	

**FIGURE LEGENDS**

**Figure 1 Immunohistochemistry of epidermal growth factor (EGFR) and ubiquitin-specific protease 8 (USP8) in lung adenocarcinoma and normal lung tissues.**

Normal: peripheral lung tissue. #1. AIS: adenocarcinoma in situ showing negativity for both EGFR and USP8. #2. AIS: adenocarcinoma in situ showing negativity for EGFR and positivity for USP8. #3. Lepidic: Lepidic adenocarcinoma showing negativity for both EGFR and USP8. #4. Solid: Solid adenocarcinoma showing negativity for EGFR but positivity for USP8. #5. Solid: Solid adenocarcinoma showing positivity for both EGFR and USP8.

**Figure 2 Correlation between patient outcome and epidermal growth factor (EGFR) expression, EGFR mutation status, or ubiquitin-specific protease 8 (USP8) expression.**

Disease-free survival was analyzed using Kaplan-Meier curves. Patients with tumors expressing EGFR (a) and USP8 (b) showed significantly poorer outcome than those with tumors lacking such expression. EGFR mutation-positive patients (c) had a relatively poorer outcome than patients whose tumors had wild-type EGFR. USP8 expression was also associated with a significantly poorer outcome in the EGFR-negative population (d) and the EGFR wild-type population (e).

**Figure 3 Regulatory effect of ubiquitin-specific protease 8 (USP8) on epidermal growth factor (EGFR) expression in immortalized adenocarcinoma *in situ* (AIS) cells.**

(a) 24 h after transfection with the Flag-USP8 plasmid or 48 h after transfection with siUSP8, total RNA was extracted from immortalized AIS cells (PL16T). The transfection efficiency of the Flag-USP8 plasmid or siUSP8 was assessed at the mRNA level using real-time RT PCR. Values are mean  $\pm$  standard deviation. *P*-value  $<0.001$  (two-sided Student *t* test). (b) EGFR Western blotting was carried out using PL16T cells after overexpression or knockdown of USP8.  $\beta$ -Actin was used as a control to verify equal loading of protein (20  $\mu$ g). (c) EGFR immunofluorescence after knockdown of USP8 showed reduction of the EGFR signal at not only the plasma membrane but also in the cytoplasm. (d) A pulse-chasing assay was carried out after knockdown of USP8 in PL16T. After siUSP8 transfection, radioisotope-labeled EGFR was chased at the indicating times. The half-life of EGFR in the cells transfected with siUSP8 was shorter in comparison with siCON. (e) After overexpression or knockdown of USP8, cellular proliferation assay was carried out using PL16T. Values are mean  $\pm$  standard deviation. *P*-value  $<0.001$  (two-sided Student *t* test).

541 **Supplementary Figure S1**

542 Immunohistochemistry for epidermal growth factor (EGFR) or ubiquitin-specific  
543 protease 8 (USP8). a and c; peripheral normal lung tissue, b and d; tumor lung tissue.

544 **Supplementary Table S1**

545 Correlation between expression and mutation status of epidermal growth factor  
546 (EGFR).

547 **Supplementary Table S2**

548 Univariate and multivariate analysis using the Cox proportional hazards model.

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550

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Fig. 1

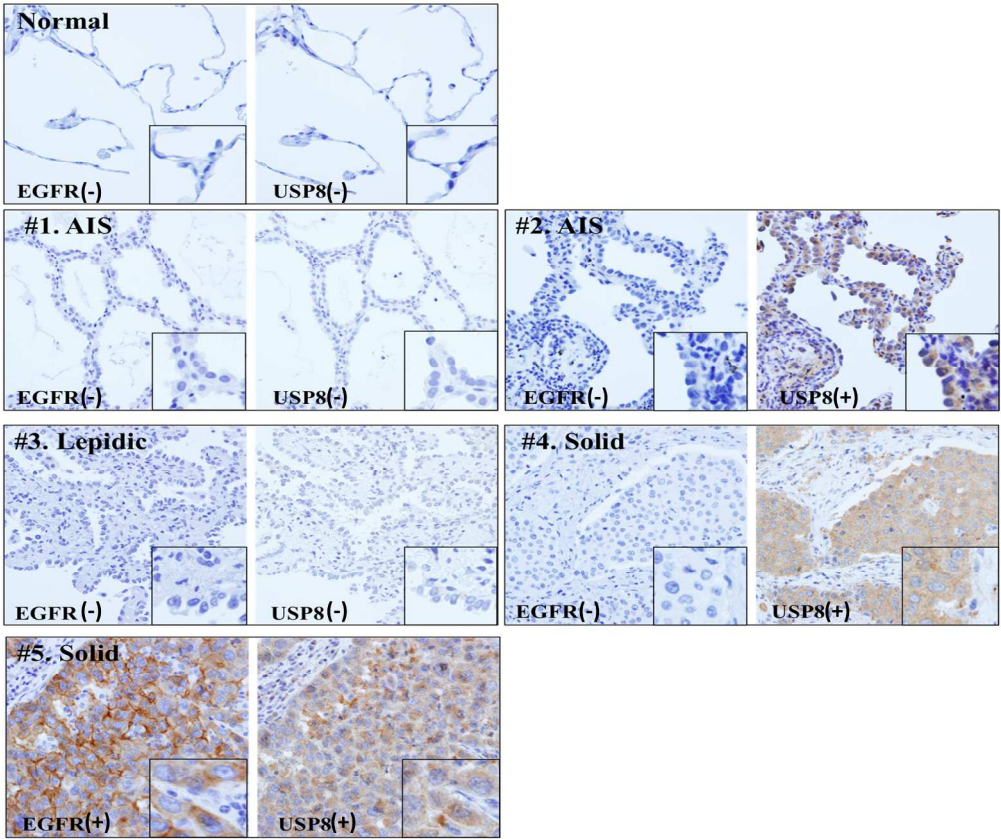
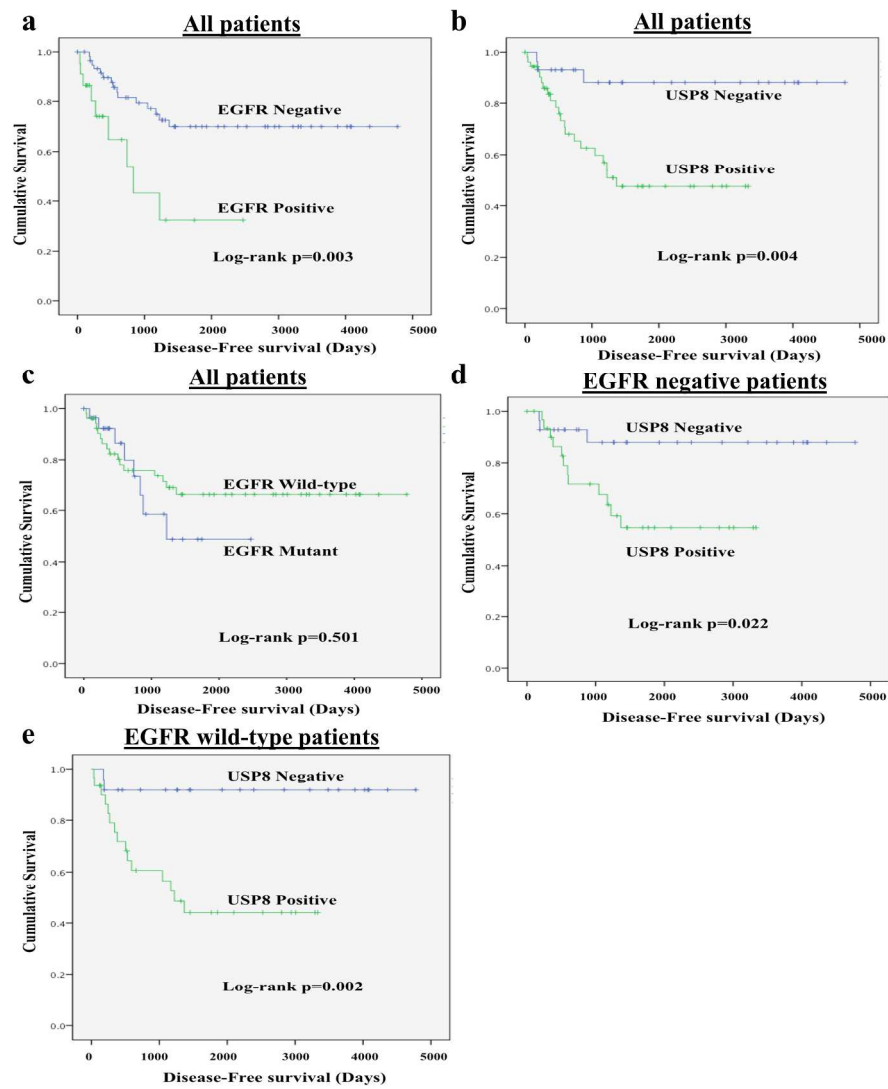


Figure 1

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**Fig. 2****Figure 2**

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Fig. 3

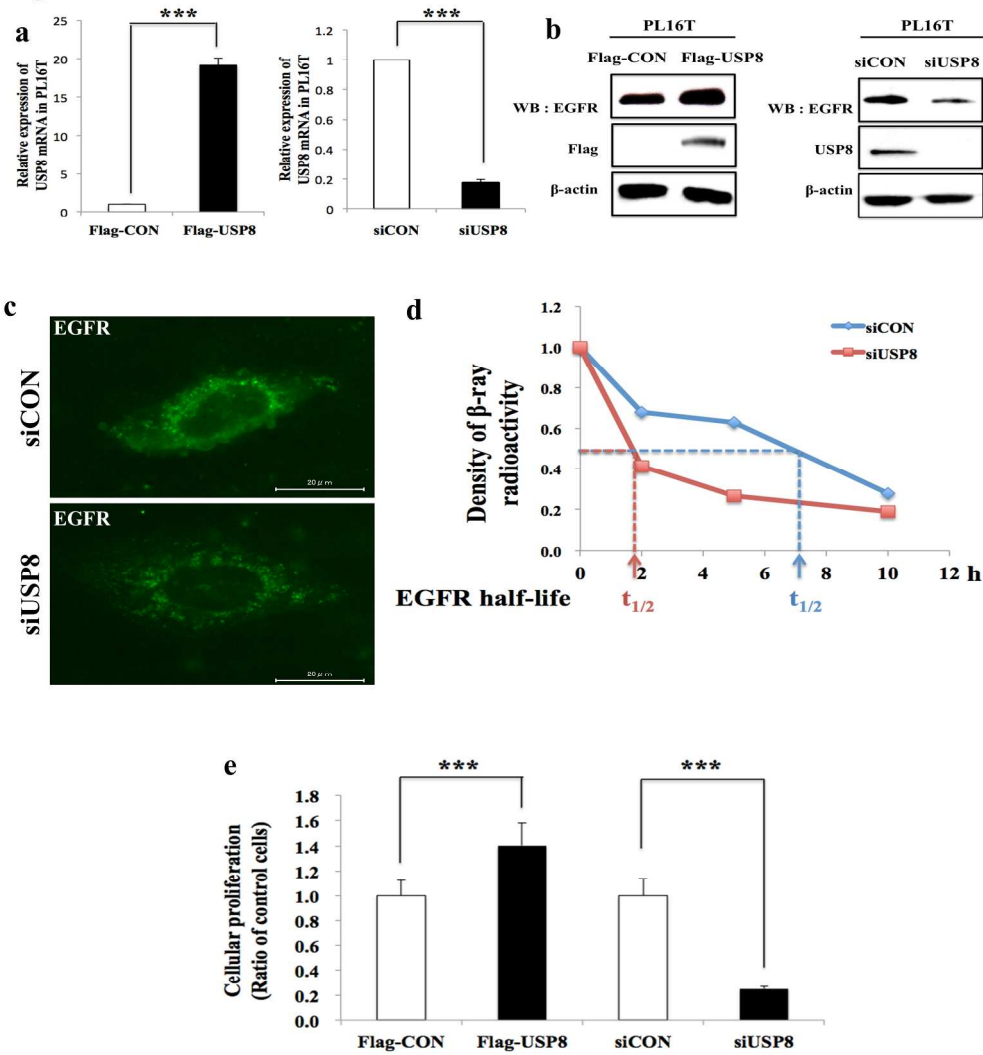


Figure 3

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1 **Supplementary material**2 **Supplementary Table S1**3 **Correlation between expression and mutation status of EGFR.**

	EGFR expression		Total patients	<i>P</i> -value
	Negative	Positive		
<b><u>EGFR mutation status</u></b>				0.001
<b>Wild-type</b>	45 (84.9%)	8 (15.1%)	53	***
<b>Mutant</b>	15 (51.7%)	14 (48.3%)	29	
<b>EX19 (E746-A750 del)</b>	5/15	6/14	11/29	
<b>EX21 (L858R)</b>	10/15	8/14	18/29	

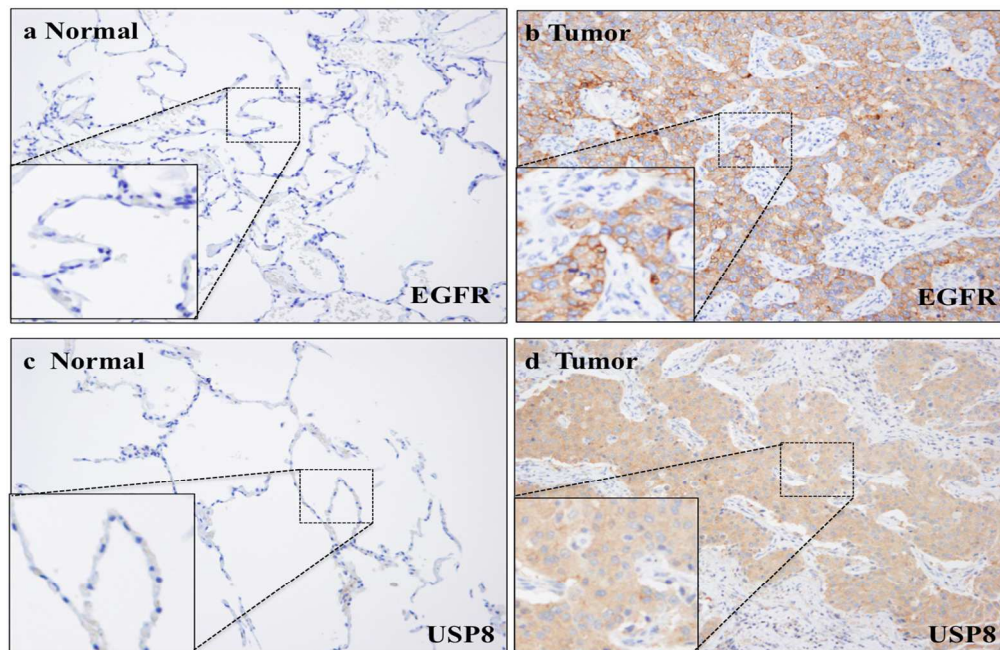
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6    **Supplementary Table S2**  
7    **Univariate and multivariate analysis using the Cox proportional hazards model.**

Clinicopathological features	Univariate analysis			Multivariate analysis			
	HR	95% CI	<i>p</i> -value		HR	95% CI	<i>p</i> -value
<b>Gender</b> (Female vs Male)	0.630	0.279-1.421	0.266				
<b>Age (yr)</b> (≤60 vs >60)	1.235	0.817-1.868	0.317				
<b>Vascular invasion</b> (Negative vs Positive)	0.285	0.164-0.494	<0.001	***	0.446	0.310-0.755	0.001 ***
<b>Lymphatic permeation</b> (Negative vs Positive)	0.360	0.226-0.575	<0.001	***	0.564	0.245-0.811	0.008 **
<b>Pathological stage</b> (I, II vs III, IV)	0.339	0.261-0.611	<0.001	***	0.483	0.330-0.967	0.037 *
<b>EGFR expression</b> (Negative vs Positive)	0.550	0.361-0.839	0.005	**	0.748	0.470-1.192	0.222
<b>EGFR mutation status</b> (Wild-type vs Mutant)	1.159	0.754-1.781	0.502				
<b>USP8 expression</b> (Negative vs Positive)	0.446	0.243-0.817	0.009	**	0.923	0.452-1.887	0.827

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**Supplementary Fig. 1****Figure. S1**

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