

1 **Preparation of arrays of cell spheroids and spheroid-monolayer**
2 **cocultures within a microfluidic device**

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16 **Key words:** Microfluidics; spheroid; microarray; coculture; albumin; gradient
17 generator; hydrodynamic focusing

18 **Running Title:** Engineering Spheroid Microarrays within Microchannels

1 **ABSTRACT**

2 This study describes a novel method for generation of an array of
3 three-dimensional (3D) multicellular spheroids within a microchannel in patterned
4 cultures containing one or multiple cell types. This method uses a unique property of a
5 cross-linked albumin coated surface in which the surface can be switched from
6 non-adhesive to cell adhesive upon electrostatic adsorption of a polycation. Introduction
7 of a solution containing albumin and a cross-linking agent into a microchannel with an
8 array of microwells caused the entire surface, with the exception of the interior of the
9 microwells, to become coated with the cross-linked albumin layer. Cells that were
10 seeded within the microchannel did not adhere to the surface of the microchannel and
11 became entrapped in the microwells. HepG2 cells seeded in the microwells formed 3D
12 spheroids with controlled sizes and shapes depending upon the dimensions of the
13 microwells. When the albumin coated surface was subsequently exposed to an aqueous
14 solution containing poly(ethyleneimine) (PEI), adhesion of secondary cells and
15 fibroblasts occurred in the regions surrounding the arrayed spheroids. This coculture
16 system can be coupled with spatially controlled fluids such as gradients and focused
17 flow generators for various biological and tissue engineering applications.

18

INTRODUCTION

1
2 There has been a great deal of interest in cell-based microfluidic systems for
3 the development of culture conditions and analysis methodologies in diverse fields
4 ranging from fundamental biological studies to drug discovery (1-3). Microfluidics
5 technologies are highly advantageous in a number of situations that require
6 miniaturization and precise control of fluid elements. For example, gradient and
7 focusing flow generators have been used to control the spatial distributions of soluble
8 factors to study cell behaviors such as migration, growth, and neurite elongation (4-6).
9 The focusing flow generators that form hydrodynamic two-dimensional (2D) sheath
10 flow have also been employed to generate precisely controlled microenvironments at
11 the sub-cellular level (7, 8). Relative to this significant progress in control over soluble
12 factors, technologies for controlling others aspects of the cellular microenvironment in
13 fluidic channels has been lagging.

14 Cell behavior in a microfluidic system has often been evaluated on a 2D flat
15 surface for an individual cell type (9). While some cells types, such as fibroblasts and
16 endothelial cells, are capable of proliferation and retain their function on a flat substrate,
17 many cell types such as hepatocytes and pancreatic cells frequently lose their
18 organ-specific functions in 2D culture and require 3D culture conditions to maintain

1 such functions (10, 11). Furthermore, *in vivo*, cells are surrounded by other cell types
2 and interact with each other, influencing their respective functions. Thus, approaches
3 that spatially localize one or more cell types are currently being intensively investigated
4 (12, 13). Given these facts, cocultures that are suited for each cell type, such as the
5 combination of 3D and 2D cultures, in a microchannel may be of benefit for enhancing
6 the efficacy of assays and to generate more sophisticated cell-based microfluidic assays.

7 Several coculture systems having combinations of 2D and 3D cultures have
8 been reported in stationary culture and have demonstrated the importance of these
9 culture modes and heterotypic cell-cell interactions (14-16). Examples of 3D spheroid
10 cultures with one cell type in a microchannel have also been prepared; these have
11 hydrogel microstructures such as photocrosslinkable poly(ethyleneglycol) (17).
12 Although these systems allow for 3D culture of a single cell type in a microchannel,
13 they may not be suitable for coculture of additional cell types because of the
14 non-adhesive property of the hydrogels.

15 In this paper, we present a novel method for fabricating 2D and 3D cocultures
16 in a microchannel. We take advantage of unique characteristics of the cross-linked
17 albumin coated surface for this purpose. The surface initially repels cells and can be
18 switched to become cell adhesive to facilitate 3D spheroid formation and subsequent

1 formation of patterned cocultures. We further describe the coupling of the developed
2 coculture system with the gradient and focusing flow generators.

3

4 **MATERIALS AND METHODS**

5 **Materials and reagents**

6 Swiss 3T3 murine fibroblasts (RCB1642), hepatoblastoma cells (HepG2,
7 RCB1618), pheochromocytoma cells (PC12, RCB0009) were purchased from Riken
8 Cell Bank, Japan. The reagents used for cell culture were purchased from the following
9 commercial sources: Dulbecco's modified Eagle medium (DMEM) and fetal bovine
10 serum (FBS) from Invitrogen, USA; bovine serum albumin (BSA) from Sigma, USA;
11 ethylene glycol diglycidyl ether (EGDE), 50% PEI aqueous solution, fluorescein
12 diacetate, and ethidium bromide from Wako, Japan. The materials used for fabricating
13 culture substrates were purchased from the following commercial sources: negative
14 photoresist SU-8 2050 from Microchem, USA; and poly(dimethylsiloxane) (PDMS)
15 from Shinetsu silicone, Japan. All other chemicals were purchased from Sigma, unless
16 otherwise indicated.

17

18 **Cell preparation**

1 Fibroblasts and HepG2 cells were maintained in high-glucose DMEM
2 containing 10% FBS, 100 U/ml penicillin, and 100 µg/ml streptomycin and passaged
3 every 3 and 5 days, respectively. PC12 cells were maintained in low-glucose DMEM
4 containing 15% FBS, 100 U/ml penicillin, and 100 µg/ml streptomycin and passaged
5 every 5 days. The media for each culture were changed every other day. All cells were
6 maintained at 37 °C, 5% CO₂ in a humidified incubator.

7

8 **Device fabrication**

9 The microfluidic device shown in Fig. 1A is composed of 3 PDMS substrates.
10 The PDMS structures were fabricated through a replica molding process by using
11 photolithography with negative photoresist (SU-8 2050). A PDMS replica was molded
12 against a master by casting a liquid prepolymer PDMS solution composed of a mixture
13 of 10:1 silicone elastomers and a curing agent. The mixture was cured at 80 °C for 30
14 minutes and the PDMS replica was then peeled off from the master. The 3 PDMS
15 substrates were irreversibly bonded to form microchannels by using an oxygen plasma
16 treatment. After the bonding process, the microdevice was left at room temperature for
17 2 days to generate a sufficiently hydrophobic surface of the microchannels prior to use.
18 The 5 inlets to the microchannels were connected to a multi-syringe pump (As One

1 Corp., Japan) via silicon tubes.
2 Microchannels in the upper PDMS were designed for trapping and removing air bubbles.
3 The microchannels in the middle PDMS consist of a branched channel for generating a
4 concentration gradient, 2 side channels for cell seeding or a formation of focusing flow,
5 and a main channel for cell culture. The height of all channels formed in the middle
6 PDMS was 50 μm , and the widths of the branched channel, the side channel, and the
7 main channel were 100 μm , 200 μm , and 1000 μm , respectively. The lower PDMS was
8 used to generate an array of microwells in the bottom surface of the main channel (Fig.
9 1A). The microwells were 100 μm in diameter and 70 μm in depth. The array of
10 microwells contained 250 individual wells spaced evenly from each other.

11

12 **3D spheroid microarray and cocultures**

13 The preparation procedures are schematically shown in Fig. 1B. A solution
14 containing 20 mg/ml albumin, 215 mM EGDE, and 0.5% glycerol was prepared and
15 introduced into the main channel from the outlet. The solution was in contact with the
16 entire surface of the main channel with the exception of the interior of the microwells
17 because air bubbles were trapped in the microwells. After 2 h incubation at room
18 temperature, the solution was aspirated through the outlet and dried overnight. Then

1 70% ethanol was introduced into the microchannels for sterilization and air bubbles
2 (including those within the microwells) were removed by physical stimuli. The
3 microchannels were washed with PBS, which was replaced with the culture medium.
4 Primary cells (HepG2 or PC12 cells) were seeded into the main channel at a density of
5 2×10^7 cells/ml through inlet 3. The cells in the channel were left undisturbed for 2 h at
6 37 °C in a CO₂ incubator, which allowed them to settle within the microwells. The
7 culture medium was then perfused into the main channel from inlets 1 and 2 at 0.2
8 μl/min, and untrapped cells washed away as a result of the cell-repelling property of the
9 albumin-coated surface. After 3 days of perfusion culture, the remaining cell-repellent
10 surface was converted to a cell-adhesive surface by introduction of 10 μg/ml PEI
11 solution from inlet 4 for 5 minutes thereby facilitating the adhesion of secondary cells.
12 Immediately after the treatment, 3T3 fibroblasts were seeded at a density of 3×10^7
13 cells/ml through inlet 3 to fabricate the coculture of 3D spheroids with
14 two-dimensionally extended fibroblasts.

15 To validate the patterns in the coculture, the primary cells (HepG2 or PC12)
16 and the secondary cells (fibroblasts) were fluorescently visualized with a cytoplasmic
17 tracer (CFSE-green, Invitrogen) and a membrane-labeling dye (PHK26-red, Invitrogen)
18 before seeding, respectively. Fluorescent micrographs were taken with a IX71

1 microscope (Olympus, Japan). To identify viable cells in spheroids, dual fluorescent
2 staining was carried out using fluorescein diacetate and ethidium bromide. Fluorescein
3 diacetate stains viable cell cytoplasm green, whereas ethidium bromide stains dead cell
4 nuclei red.

5

6 **Combinations with the gradient or focusing flow generators**

7 The branched channel and 2 side channels that were placed above the main
8 channel were used as gradient and focusing flow generators, respectively (18, 19). To
9 investigate whether a concentration gradient and focusing flow can be generated even in
10 the presence of 3D spheroids, the streams in the main channel were visualized using a
11 fluorescent dye. After 3 days of perfusion culture, 70 mM fluorescein and PBS solutions
12 were injected through inlets 1 and 2, respectively, both at a flow rate of 0.5 $\mu\text{l}/\text{min}$. A
13 fluorescent image of the concentration gradient was taken 6 mm downstream from the
14 entrance of the main channel and the profile was compared with the profile estimated
15 from numerical calculations (19, 20). A focusing flow was also evaluated by
16 introducing the fluorescein solution from inlet 1 and PBS solutions from inlet 5 and
17 obtaining fluorescent images. The flow rates of the fluorescein and PBS solutions were
18 set as 0.2 $\mu\text{l}/\text{min}$ and 0.8 $\mu\text{l}/\text{min}$, respectively.

1

2

RESULTS AND DISCUSSION

3

Spheroid microarray and cocultures in a microchannel

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Hepatocyte spheroid culture is a well-known culture method in which cells are

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induced to form spherical aggregates. Within these cultures hepatocytes better maintain

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their function and have been shown to have a cuboidal shape, and express intercellular

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adhesion molecules that are required for cellular communication (21, 22). Furthermore,

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hepatocyte spheroids exhibit liver-specific functions such as albumin synthesis and drug

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metabolism for extended periods of time (23). In this study, a microarray of hepatocyte

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spheroids was prepared inside a microchannel.

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We have previously reported that a surface coated with cross-linked albumin is

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nonadhesive to cells and can be switched to favor adhesion of cells by electrostatic

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adsorption of a cationic polymer such as PEI (24, 25). Here we used this unique

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property to fabricate spheroid arrays and subsequent cocultures within microfluidic

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channels (Fig. 1B). To do this, we selectively coated the outside of the microwells with

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cross-linked albumin. The topography of the microwells created conditions in which air

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is remained in the wells to form a bubble when an aqueous fluid is introduced into a

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hydrophobic PDMS channel. This property was used to prevent the adsorption of the

1 albumin on the inner surfaces of the microwells. After washing the microchannels to
2 remove non-adsorbed albumin, HepG2 cells were flowed into the channel and induced
3 to seed in the microchannels. The cells that did not dock in the microwells were washed
4 away, whereas the cells in the microwells remained in the wells due to shear protection
5 from the flowing fluid in the channels. After 3 days in culture the 3D spheroids grew in
6 size to generate fully formed spherical aggregates (Fig. 2A–D). The non-adhesive
7 nature of the cross-linked albumin coated surface prevented the invasion of cells into
8 the surface between the microwells for the 3 day study. The electrostatic adsorption of
9 PEI to the negatively charged cross-linked albumin converted the surface to cell
10 adhesive, which facilitated the subsequent deposition of secondary 3T3 cells and
11 generated a coculture of hepatocyte spheroids with a fibroblast monolayer (Fig. 2E and
12 F). Some cell types such as hepatocytes, pancreatic cells, neural stem cells, and
13 embryonic stem cells require benefit from being cultured in 3D to maintain their
14 metabolism, growth activity, and induce differentiation. These culture modes are called
15 “spheroid,” “neurosphere,” or “embryoid body”. We expect that our system, which
16 represents a combination of 3D and 2D cultures, will be useful for enhancing the
17 efficacy of coculture.

18 Another factor that affects the cell fate is the degree of homotypic and

1 heterotypic cell-cell interactions. In our system, the size and shape of micropatterns can
2 be changed relatively easily by changing the mask patterns. This can be used to control
3 of the degree of cell-cell interactions through spatial localization of 2 cell types relative
4 to each other. To demonstrate the utility of the proposed system for different size and
5 shape well geometries, square and landscape-oriented rectangular microwells were
6 fabricated and seeded with HepG2 cells. HepG2 cells formed 3D aggregates
7 corresponding to the shapes of the microwells after 3 days of culture (Fig. 3A and B)
8 and could be cocultured with fibroblasts (Fig. 3C and D). Furthermore, to show the
9 utility of the approach with different cell types, PC12 cells were also docked in the
10 rectangular microwells and induced to form patterned coculture with fibroblasts (Fig.
11 3E–H). Since neuron cells *in vivo* project axons toward their appropriate targets through
12 crowded cell layers in response to a concentration gradient of axon guidance molecules
13 during development and regeneration, the experimental protocol shown here could be
14 combined with the concentration generator described below to provide a potentially
15 beneficial tool for investigating the phenomenon of nerve regeneration and provide a
16 platform for discovery of drugs which promote this process. One limitation of this
17 approach is that the dimensions of microwells are required to be small enough to trap air
18 bubbles. When microwells with 300 μm in diameter were used, some microwells were

1 coated with albumin from which cells easily come out during perfusion culture.

2

3 **Viability of hepatocyte spheroids in microchannels**

4 Viability of HepG2 spheroids were examined in the microfluidic channels after
5 3 days of perfusion. The live/dead fluorescent staining shows that most of the cells were
6 viable (green) and only a few cells died (red) (Fig. 4A and B). Estimated from the
7 medium flow (0.4 $\mu\text{l}/\text{min}$) the cells outside the microwells were subjected to a shear
8 stress of $<1 \text{ dyne}/\text{cm}^2$, which is significantly low relative to physiological shear stress in
9 the venules (1–5 dyn/cm^2) (26). In spheroid culture, the depletion of oxygen causes
10 necrosis in the core of a spheroid. The limitation distance in which cells can survive has
11 been investigated in calculations and experiments. This distance is 80–100 μm from the
12 surface of a cell aggregate (27-29). In the microwells, although the upper side of the
13 aggregate faces the flowing culture medium, the cells in the bottom portion would have
14 an unfavorable oxygen supply. On the other hand, the base substrate used to form the
15 microwells consists of PDMS in contrast to typical microfluidic systems that utilized a
16 rigid glass substrate as a base layer. Oxygen is 9-fold more soluble in PDMS than in
17 culture medium. Furthermore, the oxygen diffusion coefficient of PDMS is twice as
18 high as that of the culture medium (30-32). Thus, with regard to oxygen supply, our

1 system may provide a preferable environment for spheroid culture.

2

3 **Concentration gradient and focusing flow formations**

4 We have previously demonstrated that the migration of fibroblasts in a
5 microfluidic channel can be studied in response to exposure to a concentration gradient
6 (19). In this study, however, there is a concern that the presence of 3D spheroids may
7 disturb the flow stream and interfere with the formation of a stable concentration
8 gradient. To examine this issue, fluorescein and PBS solutions were injected into the
9 main channel through inlets 1 and 2 in the presence of HepG2 spheroids after 3 days of
10 culture and fluorescent images were obtained for analysis (Fig. 5A). As it can be seen
11 the gradient was formed in the main channel, but the image analysis revealed
12 differences in the generated concentration profile at the 3 positions investigated (Fig.
13 5B). There are also large differences between the experimental and calculated profiles.

14 We also demonstrated that hydrodynamic focusing of multiple streams can be
15 used to spatially organize multiple streams on the array of cells. Interestingly, the
16 focusing flow was also affected by the presence of HepG2 spheroids (Fig. 5C). Despite
17 the introduction of the PBS solution through the precisely symmetric microchannel
18 from a single inlet (inlet 5) the center of the focusing flow was shifted on 1 side by ~80

1 μm (Fig. 5D). In addition, the stream line appeared to be bent and stagnant. The width
2 of the fluorescein band is $\sim 200 \mu\text{m}$ in the 1.0 mm-wide channel, which is compatible
3 with the flow ratio of 1:4 used in this study. On the basis of these results, a preliminary
4 determination of a profile will be required for each experiment in order for precise
5 applications of the gradient or focusing flow generators to be used for the 3D spheroid
6 culture.

7 In conclusion, an approach for engineering a platform of spheroid microarrays
8 inside a microchannel was demonstrated. This approach is based on features of
9 cross-linked albumin and microwells fabricated on the bottom of a microchannel. Cells
10 which were docked within the microwells formed 3D spheroids which remained stable
11 in the microchannels. The albumin was complexed with PEI, which allowed for
12 subsequent adhesion of secondary cells. This spatially controlled spheroid coculture
13 system has potential as a useful tool for fabricating biomimetic cellular
14 microenvironments.

15

16

ACKNOWLEDGMENTS

17 This research was supported by the Ministry of Education, Culture, Sports, Science and
18 Technology (Grant-in-Aid for Young Scientists (A), 20686056); Ministry of Health,

- 1 Labor and Welfare (H20-Saisei-wakate-010); and the New Energy and Industrial
- 2 Technology Development Organization (Industrial Technology Research Grant
- 3 Program, 06A06014a).
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FIGURE LEGENDS

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FIG. 1. Microfluidic device integrated with spheroid microarrays. (A) Configurations of microchannels, inlets and an outlet fabricated by stacking 3 layers of PDMS. The middle PDMS layer consisted of a main channel for the cell culture, a branched channel for generating a concentration gradient, 2 side channels for cell seeding and for generating a focusing flow in the main channel. The microchannels in the upper layer are for removal of air bubbles. In the lower layer, an array of microwells is formed at the position corresponding to the main channel in the completed device. The inset is a scanning electron micrograph of the microwells. The microwells were 100 μm in diameter and 70 μm in depth. (B) Steps for preparation of 3D hepatocyte spheroid arrays and cocultures with another cell type.

FIG. 2. Microarray of HepG2 spheroids on the bottom of the main channel and coculture with 3T3 fibroblasts. (A–D) Microarrayed HepG2 spheroids. Phase contrast (A–B) and fluorescent (C–D) images at 3 days of culture. (E–F) Fluorescent images of coculture of HepG2 cells (green) with 3T3 fibroblasts (red) at 1 day of culture after the secondary cell seeding. All scale bars represent 100 μm .

1 FIG. 3. Micropatterned spheroids in microwells with different shapes. (A–B) HepG2
2 cells were captured and induced to aggregate in square microwells for 3 days. The
3 microwells were 150 μm on a side and 70 μm in depth. (C–D) Phase contrast (C) and
4 fluorescent (D) images of the coculture of HepG2 cells (green) and 3T3 fibroblasts (red)
5 at 2 days of culture after the secondary cell seeding. (E–F) PC12 cells were captured
6 and induced to form an aggregate in a row of the rectangular microwells for 3 days. The
7 microwells were 300 μm in length, 60 μm in width, and 20 μm in depth. (G–H) Phase
8 contrast (G) and fluorescent (H) images of the coculture of PC12 spheroids and 3T3
9 fibroblasts (red) at 1 day of culture after the secondary cell seeding. All scale bars
10 represent 100 μm .

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12 FIG. 4. Live/dead fluorescent staining of spheroids in microfluidic culutre. (A) Majority
13 of the HepG2 cells in the spheroids were viable (green, fluorescein diacetate) with only
14 a few dead cells (red, ethidium bromide) at 3 days of culture in the microwells. (B)
15 Magnified view of a microwell. Scale bars represent 50 μm .

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17 FIG. 5. Influence of an array of spheroids on the concentration profiles formed with the
18 gradient and focusing flow generator. (A) A concentration gradient of fluorescein

1 formed with the gradient generator at 6 mm downstream from the entrance of the main
2 channel. The gradient was visualized by introducing fluorescein and PBS solutions from
3 inlets 1 and 2, respectively. (B) Profiles of the concentration estimated by numerical
4 calculations (red, dashed-two dotted line) and image analysis at the 3 positions in the
5 image shown in (A). The labeled numbers are corresponding to the positions. (C) A
6 focusing flow generated by introducing fluorescein solution from inlet 1 and PBS
7 solution from inlet 5. (D) Profiles of the concentration estimated by image analysis at
8 the 3 positions in the image shown in (C). The labeled numbers are corresponding to the
9 positions. Scale bars represent 100 μm .
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