

***R*-SPACES ASSOCIATED WITH A HERMITIAN SYMMETRIC PAIR**

By

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1. Introduction.

The linear isotropy representation of a Riemannian symmetric pair (G, K) is defined as the differential of the left action of K on G/K at the origin. Every orbit of the linear isotropy representation of (G, K) is called an *R-space associated with (G, K)* , which is an important example of equivariant homogeneous Riemannian submanifolds in a Euclidean sphere (See Takagi-Takahashi [2] and Takeuchi-Kobayashi [3]).

This paper is concerned with the linear isotropy representation of a Hermitian symmetric pair (G, K) . Its restriction to the center of K defines an S^1 -action on the associated *R*-spaces. We determine all *R*-spaces associated with Hermitian symmetric pairs (G, K) on which the semisimple part of K acts transitively. In particular, we know all irreducible Hermitian symmetric pairs such that each of the associated *R*-spaces has such a property. This result is utilizable for the classification of orthogonal transformation groups by their cohomogeneity (See the forthcoming paper [4] concerned with this problem in low cohomogeneity).

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2. Statement of the result.

Let (G, K) be an irreducible Hermitian symmetric pair of compact type and \mathfrak{g} [resp. \mathfrak{k}] the Lie algebra of G [resp. K]. Then \mathfrak{g} has the canonical direct sum decomposition:

$$\mathfrak{g} = \mathfrak{k} + \mathfrak{m},$$

where \mathfrak{m} is the subspace of \mathfrak{g} satisfying

$$[\mathfrak{k}, \mathfrak{m}] \subset \mathfrak{m} \quad \text{and} \quad [\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{k}.$$

The tangent space of G/K at the origin can be naturally identified with \mathfrak{m} . Then

the linear isotropy representation of (G, K) is nothing but the adjoint action Ad of K on \mathfrak{m} .

Let K_s be the analytic subgroup of K corresponding to the semisimple part $\mathfrak{k}_s = [\mathfrak{k}, \mathfrak{k}]$ of \mathfrak{k} and \mathfrak{z} be the 1-dimensional center of \mathfrak{k} . We can take an element H_0 in \mathfrak{z} such that

$$(\text{ad } H_0|_{\mathfrak{m}})^2 = -id_{\mathfrak{m}},$$

because (G, K) is a Hermitian symmetric pair.

Take a maximal Abelian subalgebra \mathfrak{h} in \mathfrak{k} . Then \mathfrak{h} is also a maximal Abelian subalgebra in \mathfrak{g} and the complexification $\mathfrak{h}^{\mathbb{C}}$ of \mathfrak{h} is a Cartan subalgebra of $\mathfrak{g}^{\mathbb{C}}$. Let Δ denote the set of all non-zero roots of $\mathfrak{g}^{\mathbb{C}}$ with respect to $\mathfrak{h}^{\mathbb{C}}$. For each $\alpha \in \Delta$, define a subspace \mathfrak{g}_{α} of $\mathfrak{g}^{\mathbb{C}}$ by

$$\mathfrak{g}_{\alpha} = \{X \in \mathfrak{g}^{\mathbb{C}}; [H, X] = \alpha(H)X \text{ for all } H \in \mathfrak{h}^{\mathbb{C}}\}$$

and choose a non-zero vector $X_{\alpha} \in \mathfrak{g}_{\alpha}$ such that

$$X_{\alpha} - X_{-\alpha}, \sqrt{-1}(X_{\alpha} + X_{-\alpha}) \in \mathfrak{g} \quad \text{and} \quad [X_{\alpha}, X_{-\alpha}] = \frac{2}{\alpha(H_{\alpha})} H_{\alpha},$$

where H_{α} in $\mathfrak{h}^{\mathbb{C}}$ is the dual vector of α with respect to the Killing form $\langle \cdot, \cdot \rangle$ of $\mathfrak{g}^{\mathbb{C}}$. The set of all compact [resp. noncompact] roots in Δ is denoted by Δ_c [resp. Δ_n]:

$$\mathfrak{k}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} + \sum_{\alpha \in \Delta_c} \mathfrak{g}_{\alpha} \quad \text{and} \quad \mathfrak{m}^{\mathbb{C}} = \sum_{\alpha \in \Delta_n} \mathfrak{g}_{\alpha}.$$

Fix the lexicographic ordering in the dual space of the real vector space $\sqrt{-1}\mathfrak{h}$ with respect to an ordered basis

$$\sqrt{-1}H_0 (= Y_1), Y_2, \dots, Y_m; m = \dim_{\mathbb{R}}(\sqrt{-1}\mathfrak{h})$$

in $\sqrt{-1}\mathfrak{h}$. Let Δ^+ [resp. Δ_n^+] denote the set of all positive roots in Δ [resp. Δ_n]. There is a direct sum decomposition of \mathfrak{m} :

$$\mathfrak{m} = \sum_{\alpha \in \Delta_n^+} \{\mathbf{R}(X_{\alpha} - X_{-\alpha}) + \mathbf{R}\sqrt{-1}(X_{\alpha} + X_{-\alpha})\}.$$

According to Harish-Chandra [1, § 6], there exists a subset $\Gamma = \{\gamma_1, \dots, \gamma_r\}$ of Δ_n^+ such that $\gamma_i \pm \gamma_j \notin \Delta$ ($1 \leq i, j \leq r$) and

$$\mathfrak{a} = \sum_{i=1}^r \mathbf{R}\sqrt{-1}(X_{\gamma_i} + X_{-\gamma_i})$$

is a maximal Abelian subspace of \mathfrak{m} , where r is the rank of the symmetric pair (G, K) .

Consider the automorphism, so-called Cayley transformation,

$$\nu = \exp \frac{\pi}{4} \operatorname{ad} (\sum_{i=1}^r (X_{r_i} - X_{-r_i}))$$

of the Lie algebra $\mathfrak{g}^{\mathbb{C}}$. We have $\nu(\mathfrak{a}) \subset \mathfrak{k}$, since

$$\nu(\sqrt{-1}(X_{r_i} + X_{-r_i})) = \frac{2\sqrt{-1}}{\gamma_i(H_{r_i})} H_{r_i} \quad (1 \leq i \leq r).$$

Let $\bar{}$ denote the restriction of a linear form on $\mathfrak{h}^{\mathbb{C}}$ to $\nu(\mathfrak{a}^{\mathbb{C}})$. The sets of all non-zero elements in \bar{A} , \bar{A}^+ , \bar{A}_c , \bar{A}_n , and \bar{A}_n^- are denoted by R , R^+ , R_c , R_n , and R_n^- respectively. R is isomorphic to the restricted root system of the Hermitian symmetric pair (G, K) . By Harish-Chandra [1, § 6], there are only two possibilities:

Case i) R is of type C;

$$\begin{aligned} R &= \{\pm \bar{\gamma}_i\} \cup \left\{ \frac{1}{2}(\pm \bar{\gamma}_i \pm \bar{\gamma}_j); i \neq j \right\}, \\ R_c &= \left\{ \frac{1}{2}(\bar{\gamma}_i - \bar{\gamma}_j); i \neq j \right\}, \\ R_n &= \{\pm \bar{\gamma}_i\} \cup \left\{ \pm \frac{1}{2}(\bar{\gamma}_i + \bar{\gamma}_j); i \neq j \right\}, \end{aligned}$$

Case ii) R is of type BC;

$$\begin{aligned} R &= \{\pm \bar{\gamma}_i\} \cup \left\{ \frac{1}{2}(\pm \bar{\gamma}_i \pm \bar{\gamma}_j); i \neq j \right\} \cup \left\{ \pm \frac{1}{2} \bar{\gamma}_i \right\}, \\ R_c &= \left\{ \frac{1}{2}(\bar{\gamma}_i - \bar{\gamma}_j); i \neq j \right\} \cup \left\{ \pm \frac{1}{2} \bar{\gamma}_i \right\}, \\ R_n &= \{\pm \bar{\gamma}_i\} \cup \left\{ \pm \frac{1}{2}(\bar{\gamma}_i + \bar{\gamma}_j); i \neq j \right\} \cup \left\{ \pm \frac{1}{2} \bar{\gamma}_i \right\}. \end{aligned}$$

Then our result is the following:

THEOREM. *Let M be an R -space associated with an irreducible Hermitian symmetric pair (G, K) . Then the following two conditions are equivalent.*

- 1) *The action of K_s on M is transitive.*
- 2) *The restricted root system R of (G, K) is of type BC or there exists a γ_i in Γ such that $\gamma_i(\nu(M \cap \mathfrak{a})) = \{0\}$.*

In particular, K_s acts transitively on each of the associated R -spaces if and only if R is of type BC.

REMARK. Suppose that M is an R -space of the highest dimension among those associated with a given irreducible Hermitian symmetric pair (G, K) , i. e., M is a maximum dimensional K -orbit of the linear isotropy representation of

(G, K) . Then $M \cap \mathfrak{a}$ contains a regular element H , which satisfies $\gamma_i(\nu(H)) \neq 0$ for all i . Then the transitivity of K_s on M is equivalent to the condition that the restricted root system R is of type BC.

3. Proof of Theorem.

Fix an $\text{Ad}(G)$ -invariant inner product on \mathfrak{g} , which is a negative multiple of the restriction of the Killing form $\langle \cdot, \cdot \rangle$ of \mathfrak{g}^C to \mathfrak{g} .

Let H be any fixed element of $M \cup \mathfrak{a}$ and \mathfrak{k}_H denote the centralizer of H in \mathfrak{k} :

$$\mathfrak{k}_H = \{T \in \mathfrak{k}; [T, H] = 0\}. \quad (1)$$

The orthogonal complement of \mathfrak{k}_H in \mathfrak{k} is denoted by \mathfrak{k}_H^\perp .

Since \mathfrak{k}_s is the orthogonal complement of \mathfrak{z} in \mathfrak{k} , the kernel of the orthogonal projection p of \mathfrak{k} to \mathfrak{z} is equal to \mathfrak{k}_s .

Since K and K_s are compact and connected, the condition 1) in Theorem is equivalent to

$$\dim \mathfrak{k} - \dim \mathfrak{k}_H = \dim \mathfrak{k}_s - \dim (\mathfrak{k}_H \cap \mathfrak{k}_s),$$

that is,

$$\dim \mathfrak{k}_H = 1 + \dim (\mathfrak{k}_H \cap \mathfrak{k}_s),$$

which is equivalent to $p(\mathfrak{k}_H) = \mathfrak{z}$, because $\dim \mathfrak{z} = 1$.

On the other hand, $p(\mathfrak{k}_H) = \{0\}$ if and only if $\mathfrak{k}_H \subset \mathfrak{k}_s = \mathfrak{z}^\perp$, that is, $\mathfrak{k}_H^\perp \supset \mathfrak{z}$. If we take $H_1 \in \mathfrak{k}_H$ and $H_2 \in \mathfrak{k}_H^\perp$ such that

$$H_0 = H_1 + H_2, \quad (2)$$

then $H_1 = 0$ is equivalent to $\mathfrak{k}_H^\perp \supset \mathfrak{z}$.

So the condition 1) in Theorem is equivalent to $H_1 \neq 0$ in the equation (2). Therefore the following lemma completes the proof of our theorem.

LEMMA. $H_1 \neq 0$ if and only if either the restricted root system R of (G, K) is of type BC or there exists a γ_i in Γ such that $\gamma_i(\nu(H)) = 0$.

PROOF of Lemma. Let \mathfrak{b} be the orthogonal complement of $\nu(\mathfrak{a}) = \sum_{i=1}^r \mathbf{R} \sqrt{-1} H_{\gamma_i}$ in $\mathfrak{h} = \sum_{\alpha \in \mathfrak{d}} \mathbf{R} \sqrt{-1} H_\alpha$.

Put $\Gamma_H = \{\gamma_i \in \Gamma; \gamma_i(\nu(H)) = 0\}$, $\mathfrak{a}_H = \sum_{\gamma_i \in \Gamma_H} \mathbf{R} \sqrt{-1} H_{\gamma_i}$, and $\mathfrak{a}_H^\perp = \sum_{\gamma_i \notin \Gamma_H} \mathbf{R} \sqrt{-1} H_{\gamma_i}$. Then \mathfrak{a}_H^\perp is the orthogonal complement of \mathfrak{a}_H in $\nu(\mathfrak{a})$. We have an orthogonal direct sum decomposition of \mathfrak{h} :

$$\mathfrak{h} = (\mathfrak{b} + \mathfrak{a}_H) + \mathfrak{a}_H^\perp. \quad (3)$$

As the first step, we claim that the decomposition of H_0 with respect to the decomposition (3) is the same as the equation (2). In fact, $\mathfrak{k}_H \supset \mathfrak{b} + \mathfrak{a}_H$, since $[\nu(\mathfrak{b} + \mathfrak{a}_H), \nu(H)] = \{0\}$ by

$$\nu \left[\frac{2\sqrt{-1}}{\gamma_i(H_{i_i})} \right] = -\sqrt{-1}(X_{r_i} + X_{-r_i}) \quad (1 \leq i \leq r),$$

$$\nu|_{\mathfrak{b}} = \text{id}_{\mathfrak{b}} \quad \text{and} \quad \nu(\mathfrak{b} + \mathfrak{a}) = \mathfrak{h}.$$

We also have $\mathfrak{k}_H \supset \mathfrak{a}_H^\perp$, since $\langle \nu(\mathfrak{k}_H), \nu(\mathfrak{a}_H^\perp) \rangle = 0$ by

$$\nu(\mathfrak{k}_H) \subset \mathfrak{h} + \sum_{\substack{\alpha \in \mathfrak{a}_H^\perp \\ \alpha \in (\nu(H))=0}} (\mathfrak{g}_\alpha + \mathfrak{g}_{-\alpha}), \quad \nu(\mathfrak{a}_H^\perp) \subset \sum_{r_i \notin \Gamma_H} (\mathfrak{g}_{r_i} + \mathfrak{g}_{-r_i}).$$

Therefore $\mathfrak{h} \cap \mathfrak{k}_H = \mathfrak{b} + \mathfrak{a}_H$ and $\mathfrak{h} \cap \mathfrak{k}_H^\perp = \mathfrak{a}_H^\perp$. In particular,

$$H_2 = - \sum_{r_i \notin \Gamma_H} \frac{\sqrt{-1}}{\gamma_i(H_{i_i})} H_{i_i}, \tag{4}$$

because we have

$$\gamma(H_0) = -\sqrt{-1} \quad \text{for all } \gamma \in \mathcal{A}_n^+$$

by the definition of \mathcal{A}_n^+ . As a result, we obtain

$$H_1 = H_0 + \sum_{r_i \in \Gamma_H} \frac{\sqrt{-1}}{\gamma_i(H_{i_i})} H_{i_i}. \tag{5}$$

As the second step, we claim that $H_1 \neq 0$ in the equation (5) if and only if either R is of type BC or $\Gamma_H \neq \emptyset$. We may assume that $H \neq 0$. Then there exists $\gamma \in \Gamma - \Gamma_H$.

If R is of type BC, then there is a compact root α such that

$$\bar{\alpha} = \frac{1}{2} \bar{\gamma}.$$

In this case, by the equation (4) and $\alpha(H_0) = 0$ for all $\alpha \in \mathcal{A}_c$, we have

$$\alpha(H_1) = \alpha(-H_2) = \frac{1}{2} \gamma(-H_2) = \frac{\sqrt{-1}}{2} \neq 0,$$

especially $H_1 \neq 0$.

Now suppose that R is of type C. If $\Gamma_H \neq \emptyset$, we can take $\gamma_j \in \Gamma_H$. There exists a compact root α such that

$$\bar{\alpha} = \frac{1}{2} (\bar{\gamma} - \bar{\gamma}_j).$$

In this case, by the equation (4),

$$\alpha(H_1) = \alpha(-H_2) = \frac{1}{2}\gamma(-H_2) = \frac{1}{2}\sqrt{-1} \neq 0,$$

especially $H_1 \neq 0$. Here we have used the fact

$$\gamma_j(\mathfrak{a}_H^+) = \{0\},$$

which follows from the orthogonality of elements in Γ . If $\Gamma_H = \phi$, then

$$\beta(H_1) = \beta(H_0) + \beta(-H_2) = -\sqrt{-1} + \beta(-H_2) = 0$$

for all $\beta \in \mathcal{A}_n^+$, by the equation (4) and $R_n^+ = \left\{ \frac{1}{2}(\tilde{\gamma}_p + \tilde{\gamma}_q); 1 \leq p, q \leq r \right\}$. On the other hand

$$\alpha(H_1) = \alpha(-H_2) = 0 \quad \text{for all } \alpha \in \mathcal{A}_c,$$

by $R_c = \left\{ \frac{1}{2}(\tilde{\gamma}_p - \tilde{\gamma}_q); p \neq q \right\}$. So $H_1 = 0$. This completes the proof of Lemma.

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