

SHAPE FIBRATIONS AND FIBER SHAPE EQUIVALENCES, I

By

Hisao KATO

0. Introduction.

In [6], Coram and Duvall introduced approximate fibrations and Mardešić and Rushing [11] generalized this and defined shape fibrations. For compact ANR's, shape fibrations agree with approximate fibrations. M. Jani, analogous to fiber maps, defined fiber morphisms and fiber shape equivalences [8]. In [4], Chapman proved the Complement Theorem, i.e., if X and Y are Z -sets in the Hilbert cube Q , then X and Y have the same shape (i.e., $\text{Sh}(X) = \text{Sh}(Y)$, see [2]) iff $Q - X$ and $Q - Y$ are homeomorphic.

In this paper, we define notions of fiber fundamental sequences and fiber shape equivalences and prove that if a fiber fundamental sequences between approximate fibrations is a shape equivalence, then it is a fiber shape equivalence. Also, we prove the following: Let E, E' and B be compacta in the Hilbert cube Q and let $E, E' \subset Q$ be Z -sets. Then a map $p: E \rightarrow B$ over B is fiber shape equivalent to a map $p': E' \rightarrow B$ over B if and only if there is a homeomorphism $h: Q - E \cong Q - E'$ such that for each $b \in B$ and each neighborhood W' of $p'^{-1}(b)$ in Q , there is a neighborhood W of $p^{-1}(b)$ in Q such that $h(W - E) \subset W' - E'$.

All spaces considered will be metrizable. If x and y are points of a metric space, $d(x, y)$ denotes the distance from x to y . A proper map $p: E \rightarrow B$ between locally compact, separable metric ANR's is an *approximate fibration* [6] if given an open cover \mathcal{U} of B , a space X and maps $h: X \rightarrow E, H: X \times I \rightarrow B$ such that $ph = H_0$, then there is a homotopy $\tilde{H}: X \times I \rightarrow E$ such that $\tilde{H}_0 = h$ and H and $p\tilde{H}$ are \mathcal{U} -close, where $H_t(x) = H(x, t)$. Let $\underline{E} = (E_i, q_{ij})$ and $\underline{B} = (B_i, r_{ij})$ be inverse sequences of compacta and let $\underline{p} = (p_i)$ be a sequence of maps $p_i: E_i \rightarrow B_i$. Then $\underline{p}: \underline{E} \rightarrow \underline{B}$ is a *level map* if for any i and $j \geq i$, $p_i q_{ij} = r_{ij} p_j$. A map $p: E \rightarrow B$ between compacta is a *shape fibration* [11] if there is a level map $\underline{p}: \underline{E} \rightarrow \underline{B}$ of compact ANR-sequences with $\varprojlim \underline{E} = E$, $\varprojlim \underline{B} = B$ and $\varprojlim \underline{p} = p$ satisfying the following property; for each i and $\epsilon > 0$ there is $j \geq i$ and $\delta > 0$ such that for any space X and any $h: X \rightarrow E_j, H: X \times I \rightarrow B_j$ with $d(p_j h, H_0) = \sup \{d(p_j h(x), H_0(x)) | x \in X\} < \delta$, there is a homotopy

$\tilde{H}: X \times I \rightarrow E_i$ such that $d(\tilde{H}_0, q_{ij}h) < \varepsilon$ and $d(p_i\tilde{H}, r_{ij}H) < \varepsilon$. Such (E_j, δ) is called a *lifting pair* for (E_i, ε) .

1. Fiber fundamental sequences.

In [8], M. Jani introduced the notions of fiber morphisms and fiber shape equivalences. In this section, we conveniently give the following definitions (compare [8, Definition 4.1, 4.2 and 4.3]). It is assumed that E, E' and B are compacta contained in the Hilbert cube Q and maps $\tilde{p}: Q \rightarrow Q$ and $\tilde{p}': Q \rightarrow Q$ are extensions of maps $p: E \rightarrow B$ and $p': E' \rightarrow B$, respectively.

DEFINITION 1.1. A fundamental sequence (see [2]) $f = \{f_n, E, E'\}_{Q, Q}$ is a *fiber fundamental sequence over B* if for any $\varepsilon > 0$ and any neighborhood U' of E' in Q there is a neighborhood U of E in Q and an integer n_0 such that for each $n \geq n_0$ there is a homotopy $H: U \times I \rightarrow U'$ satisfying

- (1) $H_0 = f_{n_0}|U$ and $H_1 = f_n|U$,
- (2) $d(\tilde{p}'H(x, t), \tilde{p}(x)) < \varepsilon, \quad x \in U, t \in I$.

REMARK 1.2. Definition 1.1 is independent of the choices of the extensions \tilde{p} and \tilde{p}' of p and p' , respectively.

DEFINITION 1.3. A fiber fundamental sequence $f = \{f_n, E, E'\}_{Q, Q}$ over B is *fiber homotopic* to a fiber fundamental sequence $g = \{g_n, E, E'\}_{Q, Q}$ over B ($f \underset{B}{\simeq} g$) if for any $\varepsilon > 0$ and any neighborhood U' of E' in Q there is a neighborhood U of E in Q and an integer n_0 such that for any $n \geq n_0$ there is a homotopy $K: U \times I \rightarrow U'$ satisfying

- (1) $K_0 = f_n|U$ and $K_1 = g_n|U$,
- (2) $d(\tilde{p}'K(x, t), \tilde{p}(x)) < \varepsilon, \quad x \in U, t \in I$.

REMARK 1.4. If $f: E \rightarrow E'$ is a fiber map over B (i.e. $p'f = p$), f induces a fiber fundamental sequence $\underline{f} = \{f_n, E, E'\}_{Q, Q}$, where $f_n = \tilde{f}: Q \rightarrow Q$ is an extension of f . Also, we can easily see that the composition of fiber fundamental sequences over B is a fiber fundamental sequence over B .

PROPOSITION 1.5. Let $p: E \rightarrow B, p': E' \rightarrow B$ and $p'': E'' \rightarrow B$ be maps over B and let $f_i = \{f_{i,n}, E, E'\}_{Q, Q}$ and $g_i = \{g_{i,n}, E', E''\}_{Q, Q}$ ($i=1, 2$) be fiber fundamental sequences over B . If $f_1 \underset{B}{\simeq} f_2$ and $g_1 \underset{B}{\simeq} g_2$, then $g_1 f_1 \underset{B}{\simeq} g_2 f_2$.

DEFINITION 1.6. A map $p: E \rightarrow B$ over B is *fiber shape equivalent* to a map

$p': E' \rightarrow B$ over B if there are fiber fundamental sequences over B $f = \{f_n, E, E'\}_{Q, Q}$ and $g = \{g_n, E', E\}_{Q, Q}$ such that $gf \xrightarrow[B]{\sim} \underline{1}_E$ and $fg \xrightarrow[B]{\sim} \underline{1}_{E'}$, where $\underline{1}_E$ denotes a fiber fundamental sequence induced by the identity $1_E: E \rightarrow E$. Such f is called a *fiber shape equivalence over B*.

PROPOSITION 1.7. *If a fiber fundamental sequence $f = \{f_n, E, E'\}_{Q, Q}$ over B is a fiber shape equivalence over B , then for any compactum $B_1 \subset B$ the restriction $f|_{p^{-1}(B_1)} = \{f_n, p^{-1}(B_1), p'^{-1}(B_1)\}_{Q, Q}$ is a fiber shape equivalence over B_1 .*

A map $p: E \rightarrow B$ between compacta is *shape shrinkable* if p induces a fiber shape equivalence from $p: E \rightarrow B$ to the identity $1_B: B \rightarrow B$. Let $p: S^1 \times S^1 \rightarrow S^1$ be the same as [6, p. 277, Example]. Then it is easily seen that p is fiber shape equivalent to the projection $q: p^{-1}(b) \times S^1 \rightarrow S^1$ for $b \in S^1$, but p is not fiber homotopy equivalent to the projection q .

2. Fiber shape equivalences.

In this section, we shall show that if a fiber fundamental sequence from a shape fibration to an approximate fibration is a shape equivalence, then it is a fiber shape equivalence. By using this result, we see that a map $p: E \rightarrow B$ between compact ANR's is shape shrinkable if and only if p is a CE -map.

We need the following lemma.

LEMMA 2.1. *Let E, E' and B be compacta and let $p: E \rightarrow B$ and $p': E' \rightarrow B$ be shape fibrations. If a fiber fundamental sequence $f = \{f_n, E, E'\}_{Q, Q}$ over B is a weak domination (see [7, p. 8]) in shape category, then f is a fiber weak domination, i.e., for any $\epsilon > 0$ and any neighborhood U' of E' in Q , there is a neighborhood U of E in Q and an integer n_0 satisfying the conditions of Definition 1.1 such that for any $\eta > 0$ and any neighborhood $W \subset U$ of E in Q there is a neighborhood $W' \subset U'$ of E' in Q , a map $g: W' \rightarrow W$ and a homotopy $R: W' \times I \rightarrow U'$ such that*

- (1) $d(\tilde{p}'g(e'), \tilde{p}'(e')) < \eta$, $e' \in W'$,
- (2) $R(e', 0) = e'$, $R(e', 1) = f_{n_0}g(e')$, $e' \in W'$ and
- (3) $d(\tilde{p}'R(e', t), \tilde{p}'(e')) < \epsilon$, $e' \in W'$, $t \in I$.

PROOF. Since $f = \{f_n, E, E'\}_{Q, Q}$ is a fiber fundamental sequence, there is a neighborhood U of E in Q and an integer n_0 such that for each $n \geq n_0$ there is a homotopy $F_{n_0, n}: U \times I \rightarrow U'$ such that $F_{n_0, n}(e, 0) = f_{n_0}(e)$, $F_{n_0, n}(e, 1) = f_n(e)$ and $d(\tilde{p}'F_{n_0, n}(e, t), \tilde{p}'(e)) < \epsilon/2$ for $e \in U, t \in I$. Let $\eta > 0$ and W be any neighborhood of E in Q with $W \subset U$. Since $p: E \rightarrow B$ and $p': E' \rightarrow B$ are shape fibrations, by [11,

Theorem 1], inductively we can find compact ANR's E_i, E_i', B_i ($i=1, 2, 3$) and $\varepsilon_i > 0$, $\delta_i > 0$ ($i=1, 2$) and an integer $n_i \geq n_0$ such that

- (1) $W \supset E_1 \supset E_2 \supset E_3 \supset \text{Int}_Q E_3 \supset E$, $U' \supset E_1' \supset E_2' \supset E_3' \supset \text{Int}_Q E_3' \supset E'$,
 $B_1 \supset B_2 \supset B_3 \supset \text{Int}_Q B_3 \supset B$ and $\tilde{p}(E_i) \subset B_i, \tilde{p}'(E_i') \subset B_i$ ($i=1, 2, 3$),
- (2) (E_2', δ_1) is a lifting pair for (E_1', ε_1) and (E_3, δ_2) is a lifting pair for (E_2, ε_2) ,
- (3) any $2\varepsilon_2$ -near maps to B_2 are ε_1 -homotopic and
- (4) $\varepsilon_1 < \varepsilon/2$, $\varepsilon_2 < \text{Min}\{\eta, \varepsilon/2\}$ and $f_{n_1}(E_i) \subset E_i'$ ($i=1, 2, 3$),
 $d(\tilde{p}'f_{n_1}|_{E_3}, \tilde{p}|_{E_3}) < \delta_2$ and $d(\tilde{p}'f_{n_1}|_{E_2}, \tilde{p}|_{E_2}) < \varepsilon_2$.

Since f is a weak domination in shape category, we may assume that there is a neighborhood W' of E' in Q with $W' \subset E_3'$, a map $g': W' \rightarrow E_3$ and a homotopy $H: W' \times I \rightarrow E_3'$ such that

$$(5) \quad H(e', 0) = e', \quad H(e', 1) = f_{n_1}g'(e'), \quad e' \in W'.$$

By (4), $d(\tilde{p}g'(e'), \tilde{p}'H(e', 1)) = d(\tilde{p}g'(e'), \tilde{p}'f_{n_1}g'(e')) < \delta_2$, $e' \in W'$. By (2) and [11, Proposition 1], there is a homotopy $\tilde{H}: W' \times I \rightarrow E_2$ such that

$$(6) \quad \tilde{H}(e', 1) = g'(e'), \quad e' \in W' \quad \text{and}$$

$$(7) \quad d(\tilde{p}\tilde{H}, \tilde{p}'H) < \varepsilon_2.$$

Define a map $g: W' \rightarrow E_2 \subset W$ by

$$(8) \quad g(e') = \tilde{H}(e', 0), \quad e' \in W'.$$

By (4), (5), (7) and (8) we have

$$(9) \quad d(\tilde{p}g(e'), \tilde{p}'(e')) < \varepsilon_2 < \text{Min}\{\eta, \varepsilon/2\}.$$

Define a homotopy $L: W' \times [0, 2] \rightarrow E_2'$ by

$$(10) \quad L(e', s) = \begin{cases} H(e', s), & e' \in W', \quad 0 \leq s \leq 1, \\ f_{n_1}\tilde{H}(e', 2-s), & e' \in W', \quad 1 \leq s \leq 2. \end{cases}$$

Then $L(e', 0) = e'$ and $L(e', 2) = f_{n_1}g(e')$, $e' \in W'$. By (4), (7) and (10),

$$(11) \quad \begin{aligned} d(\tilde{p}'L(e', s), \tilde{p}'L(e', 2-s)) &= d(\tilde{p}'H(e', s), \tilde{p}'f_{n_1}\tilde{H}(e', s)) \\ &\leq d(\tilde{p}'H(e', s), \tilde{p}\tilde{H}(e', s)) + d(\tilde{p}\tilde{H}(e', s), \tilde{p}'f_{n_1}\tilde{H}(e', s)) \\ &< \varepsilon_2 + \varepsilon_2 = 2\varepsilon_2, \quad 0 \leq s \leq 1. \end{aligned}$$

By (3), there is a homotopy $K: W' \times [0, 2] \times [0, 1] \rightarrow B_2$ such that

$$(12) \quad K(e', s, t) = \tilde{p}'L(e', s), \quad t \leq 1-s \quad \text{or} \quad t \leq s-1,$$

$$(13) \quad d(\tilde{p}'(e'), K(e', s, 1)) < \varepsilon_1, \quad 0 \leq s \leq 2.$$

Define a map $L' : W' \times (0 \times [0, 1] \cup [0, 2] \times 0 \cup 2 \times [0, 1]) \rightarrow E_2'$ by

$$(14) \quad L'(e', s, t) = \begin{cases} L(e', 0), & s=0, 0 \leq t \leq 1, \\ L(e', s), & 0 \leq s \leq 2, t=0, \\ L(e', 2), & s=2, 0 \leq t \leq 1. \end{cases}$$

Then $\tilde{p}'L' = K|W' \times (0 \times [0, 1] \cup [0, 2] \times 0 \cup 2 \times [0, 1])$. By (2), there is a map $\tilde{K} : W' \times [0, 2] \times [0, 1] \rightarrow E_1'$ such that

$$(15) \quad \tilde{K}|W' \times (0 \times [0, 1] \cup [0, 2] \times 0 \cup 2 \times [0, 1]) = L' \quad \text{and}$$

$$(16) \quad d(\tilde{p}'\tilde{K}, K) < \varepsilon_1.$$

Define a homotopy $G : W' \times [0, 2] \rightarrow E_1'$ by

$$(17) \quad G(e', s) = \tilde{K}(e', s, 1).$$

By (4), (13), (16) and (17) we have

$$(18) \quad d(\tilde{p}'G(e', s), \tilde{p}'(e')) \leq d(\tilde{p}'\tilde{K}(e', s, 1), K(e', s, 1)) + d(K(e', s, 1), \tilde{p}'(e')) < \varepsilon_1 + \varepsilon_1 < \varepsilon.$$

Then $G(e', 0) = e'$ and $G(e', 2) = f_{n_1}g(e')$, $e' \in W'$. Define a homotopy $R : W' \times [0, 3] \rightarrow U'$ by

$$(19) \quad R(e', t) = \begin{cases} G(e', t), & 0 \leq t \leq 2, \\ F_{n_0, n_1}(g(e'), 3-t), & 2 \leq t \leq 3. \end{cases}$$

Then $R(e', 0) = e'$, $R(e', 3) = f_{n_0}g(e')$ and $d(\tilde{p}'R(e', t), \tilde{p}'(e')) < \varepsilon$ for $e' \in W'$, $t \in [0, 3]$. Hence f is a fiber weak domination.

COROLLARY 2.2. *Let $p : E \rightarrow B$ and $p' : E' \rightarrow B$ be shape fibrations between compacta. If a fiber fundamental sequence $f = \{f_n, E, E'\}_{q, q}$ is a weak domination in shape category, then for any compactum $B_1 \subset B$, the restriction*

$$f|p^{-1}(B_1) = \{f_n, p^{-1}(B_1), p'^{-1}(B_1)\}_{q, q}$$

is a fiber weak domination, hence we have the following.

- (1) *If $p^{-1}(B_1)$ is movable (see [3]), then $p'^{-1}(B_1)$ is movable.*
- (2) *If $p^{-1}(B_1) \in AC^n$ (see [3]), then $p'^{-1}(B_1) \in AC^n$.*
- (3) *If $p^{-1}(B_1)$ is an FAR (see [3]), then $p'^{-1}(B_1)$ is an FAR.*
- (4) *$\text{Fd}(p^{-1}(B_1)) \geq \text{Fd}(p'^{-1}(B_1))$ (see [3]).*

THEOREM 2.3. *Let $p : E \rightarrow B$ be a shape fibration from a compactum E to a compact ANR B and let $p' : E' \rightarrow B$ be an approximate fibration between compact*

ANR's. Then a fiber fundamental sequence $f = \{f_n, E, E'\}_{Q, Q}$ over B is a fiber shape equivalence if and only if it is a shape equivalence.

PROOF. It is enough to give the proof of sufficiency. Since E' and B are ANR's, we may assume that there is a neighborhood U of E in Q and an extension $\tilde{p}: Q \rightarrow Q$ of $p: E \rightarrow B$ such that $f_n(U) \subset E'$ for all n and $\tilde{p}(U) \subset B$. Let $\varepsilon_1 > \varepsilon_2 > \varepsilon_3 > \dots$, be a sequence of positive numbers with $\lim \varepsilon_i = 0$. Since p is a shape fibration, inductively we can find a sequence $U \supset E_1 \supset E_{1+1/2} \supset E_2 \supset E_{2+1/2} \supset \dots$, of compact ANR's, an increasing sequence $k_1 < k_2 < k_3 < \dots$, of natural numbers and a sequence $\delta_1 > \delta_2 > \delta_3 > \dots$, ($\delta_i < \varepsilon_i$) of positive numbers such that

- (1) $\text{Int}_Q E_i \supset E$ and $\bigcap_{i=1}^{\infty} E_i = E$,
- (2) $(E_{i+1/2}, 2\delta_i)$ is a lifting pair for $(E_i, \varepsilon_i/2)$, $i=1, 2, \dots$, and
- (3) for each $k \geq k_i$, there is a homotopy $F_{k_i, k}: E_i \times I \rightarrow E'$ such that $F_{k_i, k}(e, 0) = f_{k_i}(e)$, $F_{k_i, k}(e, 1) = f_k(e)$ and $d(p'F_{k_i, k}(e, t), \tilde{p}((e))) < \varepsilon_i$, $e \in E_i, t \in I$.

Since $p': E' \rightarrow B$ is an approximate fibration, there is a sequence $\delta_1' > \delta_2' > \delta_3' > \dots$, ($\delta_i' < \delta_i$) of positive numbers such that (E', δ_i') is a lifting pair for (E', δ_i) . By Lemma 2.1, we may assume that there is a map $g_i: E' \rightarrow E_{i+1/2}$ and a homotopy $R_i: E' \times I \rightarrow E'$ for each i such that

- (4) $d(\tilde{p}g_i(e'), p'(e')) < \delta_i'$, $e' \in E'$,
- (5) $R_i(e', 0) = e'$, $R_i(e', 1) = f_{k_i}g_i(e')$, $e' \in E'$ and
- (6) $d(p'R_i(e', t), p'(e')) < \varepsilon_i$, $e' \in E', t \in I$.

Since f is a shape equivalence, by the construction of g_i (see the proof of Lemma 2.1) we may assume that there is a homotopy $L_i: E_{i+1} \times I \rightarrow E_{i+1/2}$ with $L_i(e, 0) = e$, $L_i(e, 1) = g_i f_{k_{i+1}}(e)$, $e \in E_{i+1}$. By (4), we have

$$(7) \quad d(\tilde{p}L_i(e, 1), p'f_{k_{i+1}}(e)) = d(\tilde{p}g_i f_{k_{i+1}}(e), p'f_{k_{i+1}}(e)) < \delta_i', \quad e \in E_{i+1}.$$

Hence, by (2) and the same way as the proof of Lemma 2.1, there is a map $f'_{k_{i+1}}: E_{i+1} \rightarrow E'$ and a homotopy $M_i: E_{i+1} \times I \rightarrow E_i$ such that

- (8) $d(p'f'_{k_{i+1}}(e), \tilde{p}(e)) < \delta_i$, $e \in E_{i+1}$,
- (9) $M_i(e, 0) = e$, $M_i(e, 1) = g_i f'_{k_{i+1}}(e)$, $e \in E_{i+1}$ and
- (10) $d(\tilde{p}M_i(e, t), \tilde{p}(e)) < \varepsilon_i$, $e \in E_{i+1}$.

By (3), (5) and (9), we can define a homotopy $G_i: E_{i+1} \times [0, 3] \rightarrow E'$ by

$$(11) \quad G_i(e, t) = \begin{cases} R_i(f'_{k_{i+1}}(e), t), & 0 \leq t \leq 1 \\ f_{k_i} M_i(e, 2-t), & 1 \leq t \leq 2, \\ F_{k_i, k_{i+1}}(e, t-2), & 2 \leq t \leq 3. \end{cases}$$

By (3), (6), (10) and (11), we have

$$(12) \quad G_i(e, 0) = f'_{k_{i+1}}(e), \quad G_i(e, 3) = f_{k_{i+1}}(e), \quad e \in E_{i+1} \quad \text{and}$$

$$(13) \quad d(p'G_i(e, t), \tilde{p}(e)) < 2\varepsilon_i, \quad e \in E_{i+1}, \quad 0 \leq t \leq 3.$$

By (12) and (13), we obtain a fiber fundamental sequence f' over B induced by $\{f'_{k_i} : E_{k_i} \rightarrow E'\}$ such that $f' \underset{B}{\simeq} f$. By (5), (9) and (12), we can define a homotopy $S_i : E' \times [0, 5] \rightarrow E_i$ by

$$(14) \quad S_i(e', t) = \begin{cases} M_i(g_{i+1}(e'), t), & 0 \leq t \leq 1, \\ g_i G_i(g_{i+1}(e'), t-1), & 1 \leq t \leq 4, \\ g_i R_{i+1}(e', 5-t), & 4 \leq t \leq 5. \end{cases}$$

Then $S_i(e', 0) = g_{i+1}(e')$, $S_i(e', 5) = g_i(e')$, $e' \in E'$. Also by (4), (6), (10), (13) and (14), we have $d(\tilde{p}S_i(e', t), p'(e')) < 4\varepsilon_i$ for $e' \in E'$ $0 \leq t \leq 5$. Hence we obtain a fiber fundamental sequence g over B induced by $\{g_i : E' \rightarrow E_i\}$. By (9) and (10), we conclude that $gf \underset{B}{\simeq} gf' \underset{B}{\simeq} \underline{1}_E$. Also by (5) and (6), $fg \underset{B}{\simeq} \underline{1}_{E'}$. Hence f is a fiber shape equivalence over B .

COROLLARY 2.4. *Let $p : E \rightarrow B$ and $p' : E' \rightarrow B$ be approximate fibrations between compact ANR's. If a fiber fundamental sequence $f = \{f_n E, E'\}_{Q, Q}$ over B is a shape equivalence, then it is a fiber shape equivalence. In particular, if a fiber map $f : E \rightarrow E'$ over B is a homotopy equivalence, it is a fiber shape equivalence.*

The next result follows from Vietoris-Smale theorem, [10, Lemma 2.3 or 11, Theorem 4], Corollary 1.7 and 2.4.

COROLLARY 2.5. *Let $p : E \rightarrow B$ be a map between compact ANR's. Then the following are equivalent.*

- (1) p is a CE-map.
- (2) p is a homotopy equivalence and an approximate fibration.
- (3) p is shape shrinkable.
- (4) p is a hereditary shape equivalence.

3. The Complement Theorem of fiber shape equivalences.

In this section, we prove the following theorem.

THEOREM 3.1. *Let E, E' and B be compacta in the Hilbert cube Q and let $E, E' \subset Q$ be Z -sets. Then a map $p: E \rightarrow B$ over B is fiber shape equivalent to a map $p': E' \rightarrow B$ over B if and only if there is a homeomorphism $h: Q - E \cong Q - E'$ such that for each $b \in B$ and each neighborhood W' of $p'^{-1}(b)$ in Q , there is a neighborhood W of $p^{-1}(b)$ in Q such that $h(W - E) \subset W' - E'$.*

COROLLARY 3.2. *Let E, E' and B be compacta in the Hilbert cube Q and let $E, E' \subset Q$ be Z -sets. Then a map $p: E \rightarrow B$ over B is fiber shape equivalent to a map $p': E' \rightarrow B$ over B if and only if there is a homeomorphism $h: Q - E \cong Q - E'$ such that for any extension $\tilde{p}': Q \rightarrow Q$ of p' there is the extension $\tilde{p}: Q \rightarrow Q$ of p such that $\tilde{p}|_{Q-E} = \tilde{p}'h$.*

COROLLARY 3.3. *Let E and B be Z -sets in the Hilbert cube Q . Then a map $p: E \rightarrow B$ is shape shrinkable if and only if there is an extension $\tilde{p}: Q \rightarrow Q$ of p such that $\tilde{p}|_{Q-E}: Q - E \cong Q - B$ is a homeomorphism.*

Let \mathcal{U} be a collection of subsets of a space Y . A map $f: X \rightarrow Y$ is \mathcal{U} -close to a map $g: X \rightarrow Y$ if for each $x \in X$, there is $U \in \mathcal{U}$ such that $\{f(x), g(x)\} \subset U$. A homotopy $H: X \times I \rightarrow Y$ is \mathcal{U} -limited if for each $x \in X$ there is $U \in \mathcal{U}$ such that $H(\{x\} \times I) \subset U$. A closed subset A in a space X is a Z -set in X if for each open cover \mathcal{U} of X there is a map of X into $X - A$ which is \mathcal{U} -close to the identity 1_X . A map $f: A \rightarrow X$ is a Z -embedding if f is an embedding and $f(A)$ is a Z -set in X .

The proof of Theorem 3.1 is analogous to one of Chapman's [4], but much sharper results will be used. We need the followings.

LEMMA 3.4 (see [1, Theorem 3.1] or [5, Theorem 11.2]). *If (A, A_0) is a compact pair and $f: A \rightarrow Q$ is a map such that $f|_{A_0}$ is a Z -embedding, then for any open cover \mathcal{U} of Q there is a Z -embedding $g: A \rightarrow Q$ such that $g|_{A_0} = f|_{A_0}$ and g is \mathcal{U} -close to f .*

LEMMA 3.5 (see [1, Theorem 6.1] or [5, Theorem 19.4]). *Let M be a Q -manifold, A be a compactum and let $F: A \times I \rightarrow M$ be a map such that F_0 and F_1 are Z -embeddings. If F is \mathcal{U} -limited for an open cover \mathcal{U} of M , then there is an isotopy $H: M \times I \rightarrow M$ such that $H_0 = id$, $H_1 F_0 = F_1$, and H is \mathcal{U} -limited.*

PROOF OF THEOREM 3.1. Let $h: Q - E \cong Q - E'$ be a homeomorphism satisfying the condition as above. Note that for each $b \in B$ and each neighborhood W of $p^{-1}(b)$ in Q there is a neighborhood W' of $p'^{-1}(b)$ in Q such that $h^{-1}(W' - E') \subset W - E$. In fact, suppose, on the contrary, that there is a sequence $\{x_i'\}_{i=1,2,\dots}$ such that $x_i' \in$

$Q - E'$, $\lim_{i \rightarrow \infty} x_i' = x' \in p'^{-1}(b)$ and $h^{-1}(x_i') \in Q - W$ for each i . Choose a subsequence $\{x_{n_i}'\}$ of $\{x_i'\}$ such that $\lim_{i \rightarrow \infty} h^{-1}(x_{n_i}') = x \in E - p(b)$. Let W_1' and W_2' be neighborhoods of $p'^{-1}(b)$ and $p'^{-1}(p(x))$ in Q , respectively, such that $W_1' \cap W_2' = \emptyset$. Since there is a neighborhood W_2 of $p^{-1}(p(x))$ in Q such that $h(W_2 - E) \subset W_2' - E'$ and $h^{-1}(x_{n_i}') \in W_2$ for almost all i , $h(h^{-1}(x_{n_i}')) = x_{n_i}' \in W_2'$, which implies the contradiction.

Since $E \subset Q$ is a Z -set, there is a homotopy $F: Q \times I \rightarrow Q$ such that $F(q, 0) = q$, $F(q, t) \in Q - E$, for $q \in Q$, $0 < t \leq 1$. Similarly there is a homotopy $G: Q \times I \rightarrow Q$ such that $G(q, 0) = q$, $G(q, t) \in Q - E'$, for $q \in Q$, $0 < t \leq 1$. Define maps $f_n: Q \rightarrow Q$ and $g_n: Q \rightarrow Q$ for each integer n by $f_n(q) = h(F(q, 1/n))$, $g_n(q) = h^{-1}(G(q, 1/n))$, for $q \in Q$. Consider $f = \{f_n, E, E'\}_{Q, Q}$ and $g = \{g_n, E', E\}_{Q, Q}$. Then we shall show that f and g are fiber fundamental sequences over B such that $gf \underset{B}{\simeq} \underline{1}_E$ and $gf \underset{B}{\simeq} \underline{1}_{E'}$. Let $\tilde{p}: Q \rightarrow Q$ and $\tilde{p}': Q \rightarrow Q$ be extensions of p and p' , respectively. Let U' be a neighborhood of E' in Q and let $\varepsilon > 0$. For each $b \in B$, choose a neighborhood C_b of b in Q such that $\text{diam } C_b < \varepsilon/2$. Then there is a neighborhood W_b of $p^{-1}(b)$ in Q such that $h(W_b - E) \subset [U' \cap \tilde{p}'^{-1}(C_b)] - E'$ and $\tilde{p}(W_b) \subset C_b$. Choose a finite collection $\{W_{b_1}, W_{b_2}, \dots, W_{b_m}\}$ such that $\bigcup_{i=1}^m W_{b_i} \supset E$. Also choose a neighborhood U of E in Q and an integer N_0 such that $F(U \times [0, 1/N_0]) \subset h^{-1}(U' - E') \cup E$ and for any $q \in U$, $F(\{q\} \times [0, 1/N_0]) \subset W_{b_i}$ for some i . For each $n \geq N_0$, define a homotopy $H: U \times [1/n + 1, 1/n] \rightarrow U'$ by $H(q, t) = h(F(q, t))$, for $q \in U$, $1/n + 1 \leq t \leq 1/n$. Then $H(q, 1/n + 1) = f_{n+1}(q)$, $H(q, 1/n) = f_n(q)$ and $d(\tilde{p}(q), \tilde{p}'H(q, t)) < \varepsilon$, for $q \in U$, $1/n + 1 \leq t \leq 1/n$. Hence f is a fiber fundamental sequence over B . Similarly, g is a fiber fundamental sequence over B . To see that $gf \underset{B}{\simeq} \underline{1}_E$, choose a neighborhood U of E in Q and $\varepsilon > 0$. By the same way as above, we can choose a neighborhood V' of E' in Q and $\varepsilon_1 > 0$ such that $h^{-1}(V' - E') \subset U - E$ and $h^{-1}G(q, t) \in U$, for $q \in V'$, $0 < t \leq \varepsilon_1$ and $d(\tilde{p}h^{-1}G(q, t), \tilde{p}'(q)) < \varepsilon/2$, for $q \in V'$, $0 < t \leq \varepsilon_1$. Choose a small neighborhood V of E in Q ($V \subset U$) and $\varepsilon_2 > 0$ ($\varepsilon_2 < \varepsilon_1$) such that $hF(q, t) \in V'$, for $q \in V$, $0 < t \leq \varepsilon_2$ and $d(\tilde{p}'hF(q, t), \tilde{p}(q)) < \varepsilon/2$, for $q \in V$, $0 < t \leq \varepsilon_2$. Also, we may assume that $d(\tilde{p}F(q, t), \tilde{p}(q)) < \varepsilon/2$, for $q \in V$, $0 \leq t \leq \varepsilon_2$. Let N_1 be an integer such that $\varepsilon_2 > 1/N_1$. Then for each $n \geq N_1$, we can define a homotopy $H: V \times I \rightarrow U$ by

$$H(q, t) = \begin{cases} h^{-1}G(hF(q, 1/n), 1/n - t), & q \in V, 0 \leq t \leq 1/n, \\ F(q, (t-1)/(1-n)), & q \in V, 1/n \leq t \leq 1. \end{cases}$$

Then $H(q, 0) = g_n f_n(q)$, $H(q, 1) = q$ for $q \in V$, $d(\tilde{p}(q), \tilde{p}'H(q, t)) < \varepsilon$, for $q \in V$, $t \in I$. Hence, $gf \underset{B}{\simeq} \underline{1}_E$. Similarly, $fg \underset{B}{\simeq} \underline{1}_{E'}$. Thus p is fiber shape equivalent to p' over B .

Conversely, we shall construct a homeomorphism $h: Q - E \cong Q - E'$ satisfying the condition of Theorem 3.1. Let $\tilde{p}: Q \rightarrow Q$ and $\tilde{p}': Q \rightarrow Q$ be extensions of p and p' , respectively. Let $f = \{f_n, E, E'\}_{Q, Q}$ and $g = \{g_n, E', E\}_{Q, Q}$ be fiber fundamental

sequences over B such that $gf \underset{B}{\simeq} \mathbf{1}_B$ and $fg \underset{B}{\simeq} \mathbf{1}_{E'}$. Let $\{\varepsilon_n\}$ be a decreasing sequence of positive numbers such that $\sum_{n=1}^{\infty} \varepsilon_n < \infty$. We inductively construct sequences $\{U_n\}$ and $\{V_n\}$ of open sets in Q and a sequence $\{h_n\}$ of homeomorphisms of Q onto itself satisfying the following properties.

- (1) $E = \bigcap_{n=1}^{\infty} U_n$ and $U_{n+1} \subset U_n$, for each $n \geq 1$,
- (2) $E' = \bigcap_{n=1}^{\infty} V_n$ and $V_{n+1} \subset V_n$, for each $n \geq 1$,
- (3) $h_2 \cdots h_2 h_1(U_n) \subset V_n$, for $i \geq 2n-1$,
- (4) $h_2 \cdots h_2 h_1(U_n) \supset V_{n+1}$, for $i \geq 2n$,
- (5) $h_i|_{Q-V_n} = id$, for $i \geq 2n$,
- (6) $h_i|_{Q-h_2 \cdots h_2 h_1(U_n)} = id$, for $i \geq 2n+1$,
- (7) $d(\tilde{f}' h_i(q), \tilde{f}'(q)) < 4\varepsilon_n$, for $q \in Q$, $i \geq 2n$,
- (8) $d(\tilde{f}' h_i \cdots h_2 h_1(z), b) < 2\varepsilon_n$, for $b \in B$, $z \in p^{-1}(b)$ and $i \geq 2n-1$.

First, we will construct a homeomorphism $h_1: Q \rightarrow Q$. Let V_1 be a small neighborhood of E' in Q . Since f is a fiber fundamental sequence over B , there is an integer N_1 and a neighborhood E_1 of E in Q such that for $m \geq n \geq N_1$ there is a homotopy $F_{n,m}: E_1 \times I \rightarrow V_1$ such that $F_{n,m}(q, 0) = f_n(q)$, $F_{n,m}(q, 1) = f_m(q)$ and $d(\tilde{f}' F_{n,m}(q, t), \tilde{f}'(q)) < \varepsilon_1/2$, for $q \in E_1$, $t \in I$. By Lemma 3.4, there is a Z -embedding $\alpha_1: E \rightarrow V_1$ such that there is a homotopy $H: E \times I \rightarrow V_1$ such that $H_0 = \alpha_1$ and $H_1 = f_{N_1}|_E$ and $d(\tilde{f}' H(q, t), \tilde{f}'(q)) < \varepsilon_1/2$, for $q \in E$, $t \in I$. By Lemma 3.5, there is a homeomorphism $h_1: Q \rightarrow Q$ such that $h_1|_E = \alpha_1$. Since V_1 is an ANR, we may assume that there is an extension $\tilde{H}: E_1 \times I \rightarrow V_1$ of H such that $\tilde{H}_0 = h_1|_{E_1}$, $\tilde{H}_1 = f_{N_1}|_{E_1}$ and $d(\tilde{f}' \tilde{H}(q, t), \tilde{f}'(q)) < \varepsilon_1/2$, for $q \in E_1$, $t \in I$.

Next, we will construct a homeomorphism $h_2: Q \rightarrow Q$. Let $U_1 \subset E_1$ be a small neighborhood of E in Q such that $h_1(U_1) \subset V_1$. Since g is a fiber fundamental sequence over B , there is an integer $N_2 \geq N_1$ and a neighborhood E_1' of E' in Q such that for $m \geq n \geq N_2$ there is a homotopy $G_{n,m}: E_1' \times I \rightarrow U_1$ such that $G_{n,m}(q, 0) = g_n(q)$, $G_{n,m}(q, 1) = g_m(q)$ and $d(\tilde{f}' G_{n,m}(q, t), \tilde{f}'(q)) < \varepsilon_1/2$, for $q \in E_1'$, $t \in I$. By Lemma 3.4, there is a Z -embedding $\alpha_2: E' \rightarrow U_1$ and a homotopy $K: E' \times I \rightarrow U_1$ such that $K_0 = \alpha_2$, $K_1 = g_{N_2}|_{E'}$ and $d(\tilde{f}' K(q, t), \tilde{f}'(q)) < \varepsilon_1/2$, for $q \in E'$, $t \in I$. By choosing N_2 sufficiently large, we may assume that there is a homotopy $L: E' \times I \rightarrow V_1$ such that $L_0 = f_{N_2} g_{N_2}|_{E'}$, $L_1 = id$ and $d(\tilde{f}' L(q, t), \tilde{f}'(q)) < \varepsilon_1$, for $q \in E'$, $t \in I$. Define a homotopy $M: E' \times I \rightarrow V_1$ by

$$M(q, t) = \begin{cases} h_1 K(q, 4t), & q \in E', 0 \leq t \leq 1/4, \\ \tilde{H}(g_{N_2}(q), 4t-1), & q \in E', 1/4 \leq t \leq 1/2, \\ F_{N_1, N_2}(g_{N_2}(q), 4t-2), & q \in E', 1/2 \leq t \leq 3/4, \\ L(q, 4t-3), & q \in E', 3/4 \leq t \leq 1. \end{cases}$$

Then $M_0 = h_1 \alpha_2$, $M_1 = id$ and $d(\tilde{h}' M(q, t), \tilde{h}'(q)) < \varepsilon_1$, for $q \in E'$, $t \in I$. By Lemma 3.5, we may assume that there is a homeomorphism $h_2' : Q \rightarrow Q$ such that $h_2'|E' = h_1 \alpha_2$, $h_2'|Q - V_1 = id$ and $d(\tilde{h}' h_2'(q), \tilde{h}'(q)) < \varepsilon_1$, for $q \in Q$. Let $h_2 = (h_2')^{-1}$.

Also, we will construct a homeomorphism $h_3 : Q \rightarrow Q$. Since $h_1(U_1)$ is an ANR, there is a neighborhood E_2' ($E_2' \subset E_1'$) of E' in Q such that $E' \subset E_2' \subset h_2 h_1(U_1)$ and there is an extension $\tilde{K} : E_2' \times I \rightarrow h_1(U_1)$ of $h_1 K$ such that $\tilde{K}_0 = h_2'|E_2'$, $\tilde{K}_1 = h_1 g_{N_2}|E_2'$ and $d(\tilde{h}' \tilde{K}(q, t), \tilde{h}'(q)) < \varepsilon_1$, for $q \in E_2'$, $t \in I$. Let V_2 be a small neighborhood of E' in Q such that $V_2 \subset V_1$, $V_2 \subset E_2'$. Since f is a fiber fundamental sequence over B , there is an integer $N_3 \geq N_2$ and a neighborhood $E_2 \subset E_1$ of E in Q such that for $m \geq n \geq N_3$ there is a homotopy $F_{n,m} : E_2 \times I \rightarrow V_2$ such that $F_{n,m}(q, 0) = f_n(q)$, $F_{n,m}(q, 1) = f_m(q)$ and $d(\tilde{h}' F_{n,m}(q, t), \tilde{h}'(q)) < \varepsilon_2/2$, for $q \in E_2$, $t \in I$. Choose a Z -embedding $\alpha_3 : E \rightarrow V_2$ and a homotopy $R : E \times I \rightarrow V_2$ such that $R_0 = \alpha_3$, $R_1 = f_{N_3}|E$ and $d(\tilde{h}' R(q, t), \tilde{h}'(q)) < \varepsilon_2/2$, for $q \in E$, $t \in I$. By choosing N_3 large, there is a homotopy $D : E \times I \rightarrow U_1$ such that $D_0 = g_{N_3} f_{N_3}|E$, $D_1 = id$ and $d(\tilde{h}' D(q, t), \tilde{h}'(q)) < \varepsilon_1$, for $q \in E$, $t \in I$. Then we can define a homotopy $T : E \times I \rightarrow h_2 h_1(U_1)$ by

$$T(q, t) = \begin{cases} R(q, 4t), & q \in E, 0 \leq t \leq 1/4, \\ h_2 \tilde{K}(f_{N_3}(q), 4t-1), & q \in E, 1/4 \leq t \leq 1/2, \\ h_2 h_1 G_{N_2, N_3}(f_{N_3}(q), 4t-2), & q \in E, 1/2 \leq t \leq 3/4, \\ h_2 h_1 D(q, 4t-3), & q \in E, 3/4 \leq t \leq 1. \end{cases}$$

Then $T_0 = \alpha_3$, $T_1 = h_2 h_1|E$ and $d(\tilde{h}' T(q, t), \tilde{h}'(q)) < 4\varepsilon_1$, for $q \in E$, $t \in I$. By Lemma 3.5, there is a homeomorphism $h_3 : Q \rightarrow Q$ such that $h_3|Q - h_2 h_1(U_1) = id$, $h_3 h_2 h_1|E = \alpha_3$ and $d(\tilde{h}' h_3(q), \tilde{h}'(q)) < 4\varepsilon_1$, for $q \in Q$.

If we continue the process as above, we have desired sequences $\{U_n\}$, $\{V_n\}$ and $\{h_n\}$ satisfying the properties (1)–(8) as we wanted. Define a map $h : Q - E \rightarrow Q - E'$ by $h(q) = \lim_{j \rightarrow \infty} h_j \cdots h_2 h_1(q)$ for $q \in Q - E$. By (1)–(6), h is a homeomorphism (see [4]). To prove that h is a desired homeomorphism, for each $b \in B$ choose a neighborhood W' of $p'^{-1}(b)$ in Q . Let N_0 be an integer and $\varepsilon > 0$ such that $V_{N_0} \cap \tilde{h}'^{-1}(B(b; \varepsilon)) \subset W'$, where $B(b; \varepsilon) = \{x \in Q | d(x, b) < \varepsilon\}$. Choose an integer n_0 such that $\sum_{n=n_0}^{\infty} 4\varepsilon_n < \varepsilon/2$. By (8), $h_i \cdots h_2 h_1(p^{-1}(b)) \subset \tilde{h}'^{-1}(B(b; \varepsilon/2))$ for $i \geq 2n_0 - 1$. Let $n_1 = \text{Max}\{N_0, n_0\}$. Choose a neighborhood W of $p^{-1}(b)$ in Q such that $W \subset U_{n_1}$ and $h_{2n_1-1} \cdots h_2 h_1(W) \subset \tilde{h}'^{-1}(B(b; \varepsilon/2))$. By (3) and (7), $h_i h_{i-1} \cdots h_2 h_1(W) \subset V_{N_0} \cap \tilde{h}'^{-1}(B(b; \varepsilon))$ for $i \geq 2n_1 - 1$. Hence $h(W -$

$E) \subset W' - E'$. This completes the proof.

COROLLARY 3.4. *Let $p: E \rightarrow B$ be a CE-map from a compact ANR E to a compactum B . Then p is shape shrinkable if and only if B is an ANR.*

PROOF. Sufficiency follows from Corollary 2.5. Suppose that p is shape shrinkable. By Corollary 3.3, there is an extension $\tilde{p}: Q \rightarrow Q$ of p such that $\tilde{p}|_{Q-E}: Q-E \cong Q-B$ is a homeomorphism. Since E is an ANR, there is a neighborhood U of E in Q and a retraction $r: U \rightarrow E$. Clearly, there is a retraction $r': \tilde{p}(U) \rightarrow B$ such that $\tilde{p}r(x) = r'\tilde{p}(x)$ for $x \in U$. Hence B is an ANR.

In [9], Kozłowski proved the following. If E and B are Z -sets in the Hilbert cube Q , then a map $p: E \rightarrow B$ between compacta is a hereditary shape equivalence iff there is an extension $\tilde{p}: Q \rightarrow Q$ of p such that $\tilde{p}|_{Q-E}: Q-E \cong Q-B$ is a homeomorphism. Hence by Corollary 3.3 we have the following.

COROLLARY 3.5. *Let $p: E \rightarrow B$ be a map between compacta. Then p is shape shrinkable if and only if p is a hereditary shape equivalence.*

By Theorem 3.1 and Corollary 3.5, we can easily see the following.

COROLLARY 3.6. *Fiber shape equivalences preserve shape fibrations. In particular, hereditary shape equivalences are shape fibrations.*

Finally, the author would like to thank the referee for helpful comments.

References

- [1] Anderson, R.D. and Chapman, T.A., Extending homeomorphisms to Hilbert cube manifolds, *Pacific J. Math.*, **38** (1971) pp. 281-293.
- [2] Borsuk, K., Concerning homotopy properties of compacta, *Fund. Math.*, **62** (1968) pp. 223-254.
- [3] ———, *Theory of shape*, PWN. Warszawa 1975.
- [4] Chapman, T. A., On some applications of infinite-dimensional manifolds to the theory of shape, *Fund. Math.*, **76** (1972) pp. 181-193.
- [5] ———, *Lectures of Hilbert cube manifolds*, CBMS Regional Conference Series in Mathematics, Amer. Math. Soc., Providence 1976.
- [6] Coram, D. and Duvall, P., Approximate fibrations, *Rocky Mountain J. Math.*, **7** (1977) pp. 275-288.
- [7] Dydak, J., The Whitehead and Smale theorems in shape theory, *Dissertationes Math.*, Warszawa 1979.
- [8] Jani, M., Induced shape fibrations and fiber shape equivalences, Ph. D. dissertation (1978), the City University of New York.
- [9] Kozłowski, G., Hereditary shape equivalences, (in preparation).
- [10] Lacher, R.C., Cell-like mappings, I, *Pacific J. Math.*, **30** (1969) pp. 717-731.

- [11] Mardešić, S. and Rushing T.B., Shape fibrations, I, *General Top. and its Appl.*, **9** (1978) pp. 193-215.
- [12] ———, ———, Shape fibrations, II, *Rocky Mountain J. Math.*, (to appear).

Institute of Mathematics
University of Tsukuba
Ibaraki, 305 Japan