

1 Estrogen exhibits a biphasic effect on prostate tumor growth through the ER β -KLF5
2 pathway

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Estrogens are effective in the treatment of prostate cancer; however, the effects of estrogens on prostate cancer are enigmatic. In this study, we demonstrated that estrogen (17 β -estradiol, E2) has biphasic effects on prostate tumor growth. A lower dose of E2 increased tumor growth in mouse xenograft models using DU145 and PC-3 human prostate cancer cells, whereas a higher dose significantly decreased tumor growth. We found that anchorage-independent apoptosis in these cells was inhibited by E2 treatment. Similarly, *in vivo* angiogenesis was suppressed by E2. Interestingly, these effects of E2 were abolished by knockdown of either estrogen receptor β (ER β) or Krüppel-like zinc-finger transcription factor 5 (KLF5). In addition, E2 suppressed KLF5-mediated transcription through ER β , which inhibits pro-apoptotic *FOXO1* and pro-angiogenic *PDGFA* expression. Furthermore, we revealed that a non-agonistic ER ligand GS-1405 inhibited *FOXO1* and *PDGFA* expression through ER β and KLF5 pathway, and regulated prostate tumor growth without ER β transactivation. Therefore, these results suggest that E2 biphasically modulates prostate tumor formation by regulating KLF5-dependent transcription through ER β and provide a new strategy for designing ER modulators, which will be able to regulate prostate cancer progression with minimal adverse effects due to ER transactivation.

INTRODUCTION

Prostate cancer is the most frequently diagnosed cancer and the second leading cause of cancer death in the United States and other industrialized countries (1). Prostate cancer progression is initially driven by androgens through androgen receptor (AR). Thus, androgen ablation therapy is the primary treatment approach for prostate cancer (2, 3). However, almost all patients eventually develop resistance to anti-androgen therapy, which is extremely hard to cure (4). Therefore, new molecular targets for devising novel therapies are required.

Estrogens are known to play a role in the development of the male reproductive system and prostate cancer (5, 6). The administration of estrogens has previously been extensively used in prostate cancer treatment. Early research demonstrated that estrogens exert an indirect anti-androgen action mediated through feedback inhibition of luteinizing hormone-releasing hormone and pituitary luteinizing hormone release, thereby decreasing testicular androgen levels and release (7). On the contrary, it is currently considered that estrogens modulate prostate cancer through non-androgenic pathways (7, 8). In fact, estrogen (17β -estradiol, E2) inhibits the development of androgen-insensitive prostate cancer xenografts in mice (9, 10). Moreover, clinical studies indicated that estrogenic therapies are useful for advanced and

androgen-insensitive prostate cancer (11, 12). Despite these beneficial effects, E2 has also been revealed to be a risk factor of prostate carcinogenesis. For example, several animal studies suggested that E2 could enhance prostate cancer growth (13, 14). In addition, a recent clinicopathological study indicated that circulating E2 levels were significantly elevated in patients with prostate cancer compared with those in normal age-matched patients (15). Thus, the molecular mechanisms underlying the contradictory effects of E2 on prostate cancer development are not well understood.

E2 acts as a physiological ligand for two nuclear receptor isoforms, i.e., estrogen receptor (ER) α and ER β (16, 17). Synthetic compounds also regulate gene expression in prostate cancer cells through ER β , which is the predominant ER subtype in those cells (18–20). Being dependent on agonistic ligands such as E2, ER directly binds to estrogen response elements (EREs) within genomic DNA to induce gene expression (classical pathway) (21). On the contrary, recent studies revealed that ERs can also regulate gene expression by interacting with other DNA-binding transcription factors, such as c-Fos/c-Jun, Sp1, and NF- κ B, but not by binding directly to DNA (non-classical pathway) (22, 23). Recent reports suggested that ER ligands regulate gene expression through ER β -dependent non-classical pathways in prostate tissues and cancer cells (23–25). We previously reported that prostate tumor growth is regulated through the

ER β -dependent non-classical pathway with Krüppel-like zinc finger transcription factor
5 (KLF5) (25). KLF5 (also known as BTEB2 or IKLF) is a transcription factor that
possesses both tumor-suppressing and tumor-promoting activities (26–28). Analysis
of the associated pathway revealed that in the absence of E2, ER β induces the
KLF5-mediated expression of *FOXO1* and increases anoikis, thereby suppressing
prostate tumor growth in mouse xenograft models. Conversely, E2 suppresses KLF5
transactivation through ER β , which enhances tumor growth. However, it is unclear
whether and the mechanism by which E2 regulates prostate cancer progression through
ER β and KLF5.

In this study, we demonstrated the mechanism underlying the modulation of
prostate tumor formation by E2. We revealed that E2 biphasically modulates prostate
tumor growth in mouse xenograft models. Our results using the non-agonistic ER
ligand GS-1405 further indicated that the effect of E2 are exerted via the comprehensive
regulation of *FOXO1*-mediated anoikis and *PDGFA*-mediated angiogenesis through the
ER β –KLF5 pathway. These findings may lead to the development of new therapeutic
strategies for designing next-generation ER modulators.

MATERIALS AND METHODS

Cell culture and ligand treatment. Human prostate cancer DU145 and PC-3 and human embryonic kidney HEK293 cells were obtained from the Cell Resource Center for Biomedical Research, Institute of Development, Aging and Cancer (Tohoku University, Miyagi, Japan). Human prostate cancer LNCaP cells were obtained from American Type Culture Collection. DU145, PC-3, and LNCaP cells were maintained in RPMI 1640 (Nacalai Tesque) and HEK293 cells were maintained in DMEM (Sigma-Aldrich). All media were supplemented with 10% fetal bovine serum (FBS) and penicillin-streptomycin (Nacalai Tesque). The medium was exchanged to phenol red-free medium containing 10% charcoal-stripped FBS and cells were cultured for 48 h before treatment with ligands. 17β -estradiol (E2), Fulvestrant (ICI 182,780, ICI), 4-hydroxytamoxifen (OH-Tam), raloxifene (Ral) were purchased from Sigma-Aldrich. 4-(6-methyl-1,3-benzothiazol-2-yl)phenol (GS-1405, GS; code LTBB000265) was purchased from Labtest.

Tumor xenograft models. All animal experiments were performed in accordance with the guidelines for the care and use of laboratory animals at University of Tsukuba. Methods for keeping mice and tumor xenograft models have been described previously (25). Each 5–6-week-old BALB/cA-nu castrated male mouse

was injected subcutaneously with 100 μ l of cell suspension ($6-8 \times 10^6$ cells) in both flanks. Mice were subcutaneously implanted with 17 β -estradiol (E2) pellets (Innovative Research of America) 0.18 mg (E2+) or 3.4 mg (E2++) 60 days release generating serum E2 concentration from 50 to 180 pg/ml or 550 to 1900 pg/ml, which were measured using Estradiol EIA kit (Cayman). GS was subcutaneously injected in the scruff of the neck. Tumor growth was monitored by measuring the tumor size using calipers; tumor volume was determined using the formula $V = 1/2 \times \text{larger diameter} \times (\text{smaller diameter})^2$. Twenty-five to thirty-five days after implantation, tumors were excised, weighed, and fixed or stored in liquid nitrogen for later analysis.

Expression plasmids and antibodies. The pCMV5-FLAG-ER β (WT) plasmid has been previously described (25). To generate an expression plasmid for ER β (E305A), site-directed mutagenesis of the *ER β* sequence in pCMV5-FLAG-ER β (WT) was performed by polymerase chain reaction (PCR) using the primers 5'-gttgccgacaaggcggtgtacacatg-3' and 5'-catgtgtaccaacgccttgtcggccaac-3'. cDNAs encoding full-length *PDGFA* were amplified by PCR and subcloned into the pcDNA3 plasmid (Invitrogen) containing sequences encoding a 6 \times *myc* sequence. Mouse anti-PDGFA (E-10; Santa Cruz) and anti- β -actin (A5316; Sigma-Aldrich) monoclonal antibodies and rabbit anti-ER β (CT; Millipore) and anti-CD31 (PECAM-1) (sc-1506;

Santa Cruz) polyclonal antibodies were used according to the manufacturer's instructions. The rabbit polyclonal antibodies against KLF5 and ER β were previously generated (25).

RNA interference. Methods for stable RNA interference and siRNA transfection were followed those described by Nakajima *et al* (25). To generate the shRNA retroviral supernatant, GP2-293 cells (Clontech) were cotransfected with the pVSV-G vector (Clontech) encoding envelope protein and pRETRO-SUPER (OligoEngine) vector containing the *ER β* , *KLF5*, or *luciferase* (control) target sequence (25). DU145 or PC-3 cells were incubated with the retroviral supernatant in the presence of 8 μ g/ml polybrene. The infected cells were selected with 1 μ g/ml puromycin.

Quantitative real-time (qRT)-PCR assay. The qRT-PCR assay was performed as described previously (25), with minor modifications. Cells were homogenized in 1 ml of Sepasol-RNA I Super G and total RNA was extracted, according to the manufacturer's instructions (Nacalai Tesque). cDNA was synthesized from total RNA using Revatrac reverse transcriptase (Toyobo) and oligo dT primer. Real-time PCRs were performed to amplify fragments representing the indicated mRNAs using the Thermal Cycler DiceTM TP800 (Takara) and SYBR Premix Ex Taq II

(Takara). mRNA levels were normalized to those of *GAPDH*. The primer sequences were as follows: *FOXO1* forward primer, 5'-tcatgtcaacctatggcag-3'; *FOXO1* reverse primer, 5'-catggtgcttaccgtgtg-3'; *PDGFA* forward primer, 5'-tccacgccactaagcatgtg-3'; *PDGFA* reverse primer, 5'-cgtaaagaccgtcctgttctt-3'; *KLF5* forward primer, 5'-atcgagatgttcgctcgtgc-3'; *KLF5* reverse primer, 5'-tttaaaggcagacactgagtcag-3'; *GAPDH* forward primer, 5'-atcgctccaccgcaaagtcttcta -3'; and *GAPDH* reverse primer, 5'-agccatgccaatctcatcttgg -3'.

TUNEL assay under detached conditions using poly-(2-hydroxyethyl methacrylate) (poly-HEMA) plats and using xenograft tissues. One gram of poly-HEMA (Sigma-Aldrich) was dissolved in 25 ml of 99.5% ethanol and mixed overnight at 37°C (25). The poly-HEMA stock solution was added to each well of 12-well plates and the plates were left to dry for a few hours. After drying, the plates were washed with PBS. Cells were plated in the poly-HEMA-coated 12-well plates at a density of 60,000 (PC-3) or 200,000 cells (DU145)/well and incubated for 24 h. Apoptosis of the cells and xenograft tissues was analyzed by Dead End™ Fluorometric TUNEL System (Promega) and the kit was used according to the manufacturer's instructions.

Soft agar colony formation assay. The procedure for colony formation assay

was performed as previously described (25). In total, 22,000 cells were suspended in DMEM containing 0.35% agar (Sigma-Aldrich) and layered on top of 1 ml of DMEM solidified with 0.6% agar in each well of a six-well plate. After growing at 37°C for 4 weeks, colonies with a diameter >100 µm were observed and counted using Biozero (Keyence).

Immunohistochemical analysis. Immunohistochemistry for KLF5 was performed as previously described (25) with the following modification for CD31 and PDGFA staining. Before incubation with anti-CD31 or anti-PDGFA antibodies, antigen retrieval was performed by microwave heating in EDTA buffer (1 mM, pH 8.0) or acid buffer (2 mM citric acid and 9 mM trisodium citrate dehydrate, pH 6.0), respectively. The antigen antibody was visualized using 3,3'-diaminobenzide.

Matrigel plug angiogenesis assay. Matrigel angiogenesis experiments were performed for 7 days in 5–6-week-old castrated BALB/cA-nu mice under University of Tsukuba institutional approval. Mice were injected with 200 µl of ice-cold Matrigel (BD Biosciences) mixed with 3×10^6 cells with or without 250 ng/ml recombinant PDGFA (PeproTech). Seven days after the injection, Matrigel plugs were excised and the hemoglobin content in those plugs was determined using RIPA buffer (29).

Immunoblotting. Whole-cell lysates were extracted, and protein

concentrations were quantified using BCA protein assay reagent (Thermo Scientific). Cell extracts were fractionated by SDS-PAGE and transferred to a polyvinylidene difluoride membrane using a transfer apparatus, according to the manufacturer's instructions (Bio-Rad). Antibodies used were described above. Secondary antibodies were used at a concentration of 1:2000.

Patients and tissues. Tumor specimens were obtained from 102 patients who provided informed consent and underwent radical prostatectomy between 1987 and 2001 at Tokyo University Hospital. The mean patient age was 66.0 years (range, 52–75 years), the mean preoperative level of prostate-specific antigen was 16.7 ng/ml (3.2–136 ng/ml), and the mean follow-up period was 121 months (10–240 months). Thirty-seven patients were treated with surgery alone, whereas 65 patients received adjuvant anti-androgen therapy. This study was approved by the ethics committee at Graduate School of Medicine, University of Tokyo (permission number 2283).

Immunohistochemical assessment. The immunoreactivity of KLF5 and PDGFA was evaluated in more than 1000 carcinoma cells for each case, and subsequently, the percentage of immunoreactivity, i.e., labeling index, was determined. Cases with cytoplasmic staining of PDGFA in more than 10% carcinoma cells were considered high immunoreactivity in this study.

Luciferase reporter assay. For luciferase assays, cells were cotransfected with phRG(R2.2)-Basic (Promega) and FX-luc, or ERE-TATA-luc (25) with or without wild type or mutated ER β expression plasmids. Twenty-four hours after transfection, we replaced the culture medium with fresh medium containing ligands. Twenty-four hours after incubation with the ligands, luciferase assays were performed on cell extracts using a Dual-luciferase Reporter Assay System (Promega), according to the manufacturer's instructions.

Structural modeling and description of the ER β ligand-binding domain (LBD) in complex with GS. The AutoDock Vina program (30) and AutoDock tools (31) were used for the modeling of the ligand-receptor complex. The protein structure of the hER β LBD in complex with genistein was downloaded from the Protein Databank (PDB code: 1QKM) (32). The exact conformation of hER β LBD in complex with GS is unclear, in particular the H12 configuration. Therefore, the H12-deleted hER β LBD was used to the docking simulation to avoid the confusion. The model structure was described using UCSF Chimera software (33).

Chromatin immunoprecipitation (ChIP). This assay was conducted as described previously (25). The purified DNA was analyzed to determine which DNA fragments were present in the precipitate by qRT-PCR, as described above. The

primers for qRT-PCR were as follows: 5'-ccagcccggcgccactggc-3' and 5'-cagcggctgctgcgactacc-3' for the *FOXO1* upstream region (25) and 5'-gcactggagggtgggcaagc-3' and 5'-gacccgcacctcggaagcgc-3' for the *PDGFA* upstream region.

Statistics. Statistical significance was evaluated using one-way analysis of variance for multiple groups, followed by Tukey's post hoc test to evaluate differences. Cancer-specific survival rates were evaluated based on Kaplan–Meier methods and statistical significance was determined using a log-rank test.

RESULTS

E2 exerts biphasic effects on prostate tumors growth *in vivo*. Estrogens are known to regulate prostate cancer progression, although it remains controversial whether estrogens enhance or suppress prostate cancer growth through non-androgenic pathways (7, 8). To clarify this point, we first evaluated the dose effect of E2 on prostate tumor formation by xenograft models using AR-negative DU145 or PC-3 prostate cancer cells, which express only ER β or both ER subtypes (25, 34, 35). Consistent with previously reported results (25), mice exposed to E2 pellets (E2+) developed larger tumors

compared with mice treated with placebo pellets (Fig. 1A). Surprisingly, mice exposed to pellets containing a higher dose of E2 (E2++) had smaller tumors than those treated with placebo pellets (Fig. 1A). Then, we investigated whether E2 biphasically regulated gene expression related to tumor growth. To address this, we next investigated the expression levels of *FOXO1*, which acts as a tumor suppressor in prostate cancer by inducing apoptosis and which is inhibited by E2 (25, 36). In cell lines and xenograft tumors, the expression levels of *FOXO1* mRNA were reduced by treatment with both doses of E2 (Fig. 1B and C). The percentages of TUNEL-positive cells were also reduced by E2 treatment in xenograft tumors (Fig. 1D) and in DU145 and PC-3 cells which were cultured under anchorage-independent conditions (Fig. 1E). Moreover, an *in vitro* colony formation assay revealed that the anchorage-independent growth of DU145 or PC-3 cells was enhanced by E2 treatment (Fig. 1F). These results indicate that E2 has a biphasic effect on prostate cancer cell growth *in vivo* but not *in vitro*.

E2 suppresses *in vivo* angiogenesis and regulates tumor growth through ER β and KLF5. Angiogenesis plays an essential role during *in vivo* tumor growth (37, 38). Thus, we investigated whether angiogenesis is involved in the molecular mechanism

underlying the biphasic effect of E2 on prostate tumor growth. We assessed vascular density in xenograft tumors via immunohistochemical staining for the endothelial cell marker CD31 and observed that the CD31-positive area was reduced in an E2 concentration-dependent manner (Fig. 2A). Then, we investigated the anti-angiogenic activity of E2 using an *in vivo* Matrigel plug angiogenesis assay. DU145 or PC-3 cells were mixed with Matrigel and subcutaneously injected into mice, which were treated with or without E2. Compared with Matrigel alone, Matrigel plugs containing DU145 or PC-3 cells had a higher hemoglobin concentration (Fig. 2B). When Matrigel-implanted mice were treated with E2, hemoglobin levels in Matrigel-containing prostate cancer cells were reduced. These results indicate that E2 inhibits *in vivo* angiogenesis induced by prostate cancer cells.

We previously showed that E2 reduces KLF5 protein levels and inhibits KLF5-mediated anoikis in DU145 and PC-3 cells through ER β (25). We confirmed that KLF5 protein levels were reduced by E2 treatment in xenograft tumors (Fig. 2C). To further investigate whether ER β and KLF5 are responsible for the E2-dependent modulation, we first performed a Matrigel plug assay using DU145 cells in which either ER β or KLF5 was stably knocked down by shRNA (Fig. 2D). Knockdown of ER β or KLF5 decreased hemoglobin levels and abolished the effects of E2 on angiogenesis (Fig.

2E), indicating that both ER β and KLF5 are necessary for the promotion and E2-mediated inhibition of *in vivo* angiogenesis. Next, we investigated the possibility that the ER β and KLF5 pathway contributes to the biphasic effect of E2 on prostate tumor growth using xenograft models of shER β and shKLF5 cells. The effect of E2 on xenograft tumor growth was abolished by ER β or KLF5 knockdown (Fig. 2F). In addition, the reduction in *FOXO1* mRNA levels by E2 treatment was not observed in shER β or shKLF5 xenografts (Fig. 2G). These data indicate that E2 modulates prostate tumor growth through the ER β and KLF5 pathway.

KLF5 knockdown inhibits both anoikis and angiogenesis, and exhibits biphasic effects on prostate tumor growth.

To assess the *in vitro* and *in vivo* effects of KLF5 reduction on prostate tumor growth, we generated DU145 cell lines, shKLF5 \pm and shKLF5 $-$, in which KLF5 expression was reduced by approximately 50% and 90%, respectively (Fig. 3A and B). The levels of *FOXO1* mRNA and the number of anchorage-independent apoptotic cells were decreased in shKLF5 \pm and shKLF5 $-$ cells (Fig. 3C and D). Interestingly, the vascularization in Matrigel plugs containing those cells was decreased by both levels of KLF5 knockdown (Fig. 3E). On the contrary, xenograft tumor growth was biphasically altered (Fig. 3F). Similar results were

obtained from experiments using cell lines in which KLF5 expression was reduced by other shRNA target sequences (data not shown). Taken together, our observations suggest that KLF5 exerts opposing functions on prostate tumor formation through inhibiting anoikis and angiogenesis.

PDGFA is involved in the inhibitory effect of KLF5 on prostate tumor growth through angiogenesis. To identify a KLF5 target gene that promotes angiogenesis induced by prostate cancer cells, we focused on *PDGFA* because this gene is regulated by KLF5, which plays a significant role in angiogenesis (39, 40). We first revealed that *PDGFA* mRNA levels were decreased together with a reduction of KLF5 expression in DU145 cells and tumors (Fig. 4A and B). Next, we validated the effect of PDGFA on *in vivo* angiogenesis through KLF5. To address this point, we injected Matrigel containing shKLF5– cells mixed with or without PDGFA protein into mice and observed that PDGFA recovered hemoglobin levels suppressed by KLF5 depletion (Fig. 4C). Alternatively, we restored PDGFA levels in shCont. or shKLF5– cells by introducing myc-tagged PDGFA expression vectors (Fig. 4D) and injected these cells into mice. PDGFA expression in shCont. cells (shCont. + PDGFA) did not markedly modulate xenograft tumor growth compared with the growth of control tumors (shCont.

311 + EGFP) (Fig. 4E). On the other hand, PDGFA expression in shKLF5⁻ cells
312 (shKLF5⁻ + PDGFA) promoted tumor formation compared with those of shKLF5⁻ +
313 EGFP tumors. In shKLF5⁻ tumors, the ratio of CD31-positive region was recovered
314 by PDGFA expression, but the ratio of TUNEL positive cells was not significantly
315 changed (Fig. 4F and G). Therefore, these results suggest that PDGFA is important
316 for the inhibitory effect of KLF5 on prostate tumor growth through angiogenesis.

317 Immunohistochemical staining of human prostate cancer tissues revealed that
318 FOXO1 expression levels were positively correlated with KLF5 positivity and favorable
319 cancer-specific survival in patients with prostate cancer (25). We first
320 immunohistochemically tested (Fig. 4H) the correlation between KLF5
321 immunoreactivity and PDGFA expression levels in prostate cancer tissues. KLF5
322 immunoreactivity was higher in tumor samples expressing high levels of PDGFA than
323 in samples expressing low levels of PDGFA (Fig. 4I; $P = 0.0475$), suggesting a positive
324 correlation between the abundance of KLF5 and the expression levels of PDGFA.
325 Next, we investigated the relationships between PDGFA immunoreactivity and the
326 cancer-specific survival rate of patients with prostate cancer using the Kaplan–Meier
327 method. Patients with low PDGFA-expressing tumors had higher cancer-specific
328 survival rates than patients with high PDGFA-expressing tumors (Fig. 4J; $P = 0.02$),

indicating that PDGFA expression is negatively correlated with the prognosis of patients with prostate cancer.

E2 suppresses angiogenesis by inhibiting *PDGFA* expression through ER β and

KLF5. We next examined the inhibitory effect of E2 on angiogenesis that is mediated through PDGFA expression. E2 treatment decreased *PDGFA* mRNA levels in DU145 cells and its xenograft tumors (Fig. 5A and B). Then, we investigated whether ER β and KLF5 are also responsible for the E2-dependent suppression of *PDGFA* expression.

The E2-dependent reduction of *PDGFA* mRNA levels was abrogated by knockdown of ER β or KLF5 (Fig. 5C and D). In the absent of E2, *PDGFA* mRNA levels were reduced by ER β knockdown (Fig. 5C and D), supporting a role for unliganded ER β as a coactivator of KLF5 (25). To confirm the participation of PDGFA in angiogenesis inhibition by E2, we injected Matrigel containing DU145 cells mixed with or without PDGFA protein into mice and observed that E2-dependent reduction of hemoglobin levels was restored by PDGFA protein (Fig. 5E). Thus, our results suggest that E2 suppresses angiogenesis by inhibiting the ER β - and KLF5-mediated expression of *PDGFA*.

The non-agonistic ER ligand GS inhibits the KLF5 pathway through ER β .

Previously, we identified GS as a non-agonistic ER ligand (Fig. 6A) (25). We next investigated whether GS inhibits the ER β and KLF5 pathway without enhancing the transactivation of ER β .

First, we compared the effects of GS and anti-estrogens on KLF5-mediated transcription using a luciferase assay with a *FOXO1*-promoter reporter construct containing KLF5-binding sites (FX-luc) (25). As anti-estrogens, we used two selective estrogen receptor modulators, 4-hydroxytamoxifen (OH-Tam) and raloxifene (Ral), and one pure ER antagonist ICI 182,780 (ICI). Consistent with the findings of our previous study (25), E2 inhibited KLF5-mediated transcription through ER β , whereas ICI enhanced *FOXO1* promoter activity in DU145 cells (Fig. 6B). We also observed that GS inhibited the activity in a manner similar to that of E2. On the other hand, OH-Tam and Ral did not affect the activity. To validate whether GS functions through ER β and KLF5, we additionally performed the FX-luc assay using shER β and shKLF5 cells and showed that the inhibitory effect of GS was abolished by ER β or KLF5 knockdown (Fig. 6C). Then, we performed docking simulation between GS and the LBD of human ER β (hER β LBD). In the model structure, GS formed a hydrogen bond network involving Glu305, Arg346, and a water molecule in the LBD (Fig. 6D).

Because these ligand–LBD interactions are important for the ERE-mediated transcription of ER β induced by E2 (Fig. 6E) (41, 42), we introduced a point mutation in Glu305. We confirmed that in contrast to E2, GS did not enhance ERE-mediated transcription (Fig. 6E). The E305A mutation reduced the E2- and GS-induced transcriptional inhibition of *FOXO1* promoter activity (Fig. 6F), confirming the inhibitory effects of these ligands on KLF5-mediated transcription through ER β .

Emerging studies have demonstrated that AR plays a critical role in prostate cancer development and progression, even after castration (43, 44). Therefore, we investigated whether E2 and GS suppress KLF5-mediated transcription in the presence of AR using AR-positive LNCaP cells, which express KLF5 and ER β (Fig. 6G). In these cells, E2 and GS inhibited *FOXO1* promoter activity, whereas the inhibitory effects were abolished by KLF5 or ER β reduction (Fig. 6H). These results suggest the possibility that E2 and GS may also inhibit the KLF5 pathway through ER β in the presence of AR.

We then investigated the effect of GS on the mRNA levels of KLF5 target genes. Similarly to E2, GS treatment decreased *FOXO1* and *PDGFA* mRNA levels but not those of *KLF5* in DU145 and PC-3 cells (Fig. 7A and B). Furthermore, a ChIP experiment revealed that both ligands inhibited the binding of KLF5 to the *FOXO1* or

PDGFA promoter regions containing functional or potential KLF5 response elements (25, 44) (Fig. 7C and D). The inhibitory effects of E2 and GS were not observed in shER β cells.

Taken together, these results suggest that GS inhibits KLF5 recruitment to the target promoter through ER β for the suppression of KLF5-mediated transcription without enhancing ER β transactivation.

GS inhibits anoikis and angiogenesis, and regulates prostate tumor growth

through ER β . Finally, we investigated the *in vitro* and *in vivo* effects of GS on prostate tumor growth. To address this issue, we investigated whether GS affects anoikis and angiogenesis. GS treatment decreased the number of apoptotic cells in poly-HEMA-coated plates (Fig. 8A). In addition, GS inhibited angiogenesis in the Matrigel plugs containing prostate cancer cells (Fig. 8B). Then, we used DU145 and PC-3 xenograft models to evaluate the effect of GS on prostate tumor growth. Compared with control mice treated with DMSO, mice treated with GS (GS+) developed larger tumors, whereas those injected with a higher dose of GS (GS++) had smaller tumors than control mice (Fig. 8C). We confirmed that these effects of GS were abolished by ER β knockdown (Fig. 8A–C). These results suggest that the

non-agonistic ER ligand GS inhibits anoikis and angiogenesis through ER β and modulates prostate tumor growth.

DISCUSSION

In this study, our results address the molecular basis of the paradoxical effects of E2 in prostate cancer. Our previous results revealed that E2 treatment decreased KLF5-dependent *FOXO1* transcription in prostate cancer cells through ER β , thereby inhibiting apoptosis and increasing tumor weight in mouse xenograft models (25). On the contrary, our present results showed that when mice were treated with higher doses of E2, prostate tumor growth was suppressed through ER β and KLF5 in those models (Fig. 1A and 2F). We also demonstrated that E2 inhibited *PDGFA* transcription and suppressed angiogenesis through ER β and KLF5 (Fig. 2E, 5C, and D). Moreover, PDGFA recovered angiogenesis inhibited by E2 (Fig. 5E). Apoptosis serves as a natural barrier for cancer development (45). Conversely, angiogenesis is indispensable for tumorigenesis (46). Considering the previous reports together with our data, angiogenesis may be sufficient for tumor growth in mice treated with lower doses of E2, which enhances xenograft tumor growth through the inhibition of apoptosis. On the

other hand, when both *PDGFA* and *FOXO1* expressions were markedly suppressed by higher doses of E2, angiogenesis may be insufficient for prostate tumor growth, thereby suppressing tumor growth. Therefore, our previous and present results suggest that E2 biphasically regulates prostate tumor growth by suppressing *FOXO1* and *PDGFA* expression levels through the ER β -KLF5 pathway (Fig. 8D).

In response to ligands, ERs initiate transcription by binding directly to EREs (classical pathway) or by interacting with other transcription factors (non-classical pathway) (22, 23). Recently, we indicated that in the absence of a ligand, ER β acts as a coactivator of KLF5 by recruiting CBP, thereby enhancing *FOXO1* expression and anchorage-independent apoptosis (25). In this study, we further found that *in vivo* angiogenesis was suppressed by ER β depletion in the absence of ER ligands (Fig. 2E and 8B). ER β depletion also reduced *PDGFA* mRNA levels in DU145 cells and xenograft tumors that were not treated with ER ligands (Fig. 5C and D). Moreover, *PDGFA* was targeted by KLF5 (Fig. 4A, 4B, and 7D) and was involved in KLF5-mediated angiogenesis (Fig. 4C). Taken together, these results suggest that unliganded ER β regulates *PDGFA* expression through KLF5 transactivation and thereby mediates angiogenesis *in vivo*.

In various cancers, including prostate cancer, KLF5 was inactivated by

chromosomal deletion, transcriptional silencing, and excessive protein degradation, thereby suggesting that KLF5 acts as a tumor suppressor (47–50). On the contrary, in prostate cancer cells, KLF5 levels are most often decreased as a result of hemizygous deletion; *KLF5* is hardly deleted homozygously (49). Thus, these observations raise the possibility that KLF5 both possesses a tumor suppressive function and is also necessary for tumor formation. In this study, we illustrated by knockdown experiments that an approximately 50% reduction of KLF5 expression in DU145 cells inhibited apoptosis under anchorage-independent conditions (Fig. 3D; shKLF5±). The ratio of apoptosis was more strongly suppressed by a severe reduction of KLF5 expression (Fig. 3D; shKLF5–). Although these results suggest that shKLF5– cells possess the potential to form larger tumors than shKLF5± cells, we unexpectedly found that shKLF5– cells did not form tumors in mice (Fig. 3F). In contrast, Matrigel plug assays indicated that KLF5 knockdown reduced angiogenesis (Fig. 3E). Considering that angiogenesis plays an indispensable role in tumorigenesis (51, 52), our results suggest that prostate cancer cells, in which *KLF5* has been homozygously deleted, may not be able to form tumors because of inhibited angiogenesis.

KLF5 is involved in cancer development in a number of human tissues, although its function remains controversial (26, 27). For instance, expression of KLF5

enhances cell proliferation in untransformed cells and transformed fibroblasts, whereas KLF5 suppresses cell growth in some cancer cells (28). Recent reports disclosed that xenograft tumor growth was suppressed by the expression of wild-type KLF5 but enhanced by the expression of a deacetylated KLF5 mutant (K369R) in prostate cancer cells, suggesting that the roles of KLF5 are regulated by post-transcriptional modifications (53). It is also known that KLF5 activity is regulated by steroid hormones in breast cancer cells (54, 55). In fact, we found in this study that ER ligands inhibited KLF5-mediated transcription in prostate cancer cells (Fig. 6) and altered xenograft tumor growth (Fig. 1A and 8C). Thus, specific roles of KLF5 in cancer development appear to be context-dependent, including post-transcriptional modifications and hormone levels. Therefore, further studies are needed to address the mechanism underlying the modulation of prostate cancer tumorigenesis by KLF5.

Estrogens, including the synthetic estrogen diethylstilbestrol, have previously been used in prostate cancer treatment; however, adverse effects limited their use (8, 56). These undesirable effects of estrogenic drugs are probably mediated in part by the transactivation of ERs (classical pathway) (57). Our previous and present results showed that E2 enhanced the transcriptional activity of ER β and suppressed that of KLF5, whereas the non-agonistic ER ligand GS inhibited KLF5-mediate transactivation

through ER β (Fig. 6) (25). We further revealed that high-dose GS inhibited angiogenesis and prostate tumor growth in mouse xenograft models through ER β (Fig. 8B and C). These results suggest that selective inhibition of KLF5 activity via ER β could be useful in prostate cancer therapies that minimize adverse effects caused by ER transactivation through the classical pathway. Previous reports indicated that ERs bind to and modulate the transcriptional activity of several transcription factors, including Sp1, NF- κ B, and AP1 (23, 58, 59). According to our results, it is possible to develop compounds that regulate these transcription factors separately. Therefore, our results provide a new strategy for designing next-generation ER modulators that can regulate non-classical pathways without affecting the classical pathway.

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Figure Legends

FIG 1 17 β -estradiol (E2) has a biphasic effect on prostate tumor growth. (A) E2

biphasically regulates tumor formation in nude mice. Mice were injected with DU145

or PC-3 cells in both flanks and implanted with a control pellet (placebo) or a pellet

containing 0.18 (E2+) or 3.4 mg (E2++) of E2 (released for 60 days). Tumor growth

curves are presented in left panels. After 25 or 28 days, the xenografts were removed

and weighed (right panel). The middle panels show representative photographs of the

tumors (scale bars, 1 cm). (B, C) E2 treatment reduces *FOXO1* mRNA levels in

xenografts and prostate cancer cells. (B) *FOXO1* mRNA levels in the indicated

xenograft tumors were determined by qRT-PCR. (C) DU145 or PC-3 cells were

cultured in the absence (DMSO) or presence of E2 (E2+, 10 nM; E2++, 1 μ M).

Twelve hours after treatment, *FOXO1* mRNA levels were determined by qRT-PCR.

(D, E) E2 inhibits apoptosis in xenografts and prostate cancer cells. (D) DU145 and

PC-3 xenograft tumors were examined in TUNEL assays. (E) DU145 or PC-3 cells

were seeded on poly-HEMA-coated plates in the presence of DMSO or E2 (E2+, 10

nM; E2++, 1 μ M). After 24 h, the cells were examined in TUNEL assays. (F) E2

enhances the anchorage-independent growth of prostate cancer cells in soft agar.

DU145 or PC-3 cells were plated on 0.35% soft agar plates in the presence of DMSO or

E2 (E2+, 10 nM; E2++, 1 μ M). Colonies with a diameter of more than 100 μ m were counted. Values are presented as mean \pm SD. n = 4–6 for A, B, and D, n = 3 for C, E, and F. *, $P < 0.05$, **, $P < 0.01$.

FIG 2 E2 modulates angiogenesis and tumor growth through ER β and KLF5.

(A) E2 inhibits angiogenesis in DU145 and PC-3 xenograft tumors. Paraffin sections of the indicated xenograft tumors were stained with antibodies for the blood vessel marker CD31, and the CD31 expression level was quantified by image analysis and expressed as a percentage of the control. Scale bar, 100 μ m. (B, E) E2 inhibits angiogenesis induced by prostate cancer cells through ER β and KLF5. Nude mice were injected subcutaneously with Matrigel, with or without the indicated cells, and the vehicle (DMSO) or E2 (E2+, 21 μ g/week; E2++, 210 μ g/week). Seven days after the injection, the Matrigel plugs were removed from the mice and homogenized. The supernatant was analyzed for hemoglobin content. The left panels show representative photographs of Matrigel plugs (scale bars, 0.5 cm). (C) KLF5 protein levels are lower in tumors from E2-treated mice. KLF5 protein levels in the indicated xenograft tumors were examined by immunoblotting. (D) Endogenous ER β or KLF5 expression was stably suppressed in DU145 cells following the introduction of *ER β* shRNA

(shER β) or *KLF5* shRNA (shKLF5). Those protein levels were determined by immunoblotting. (F) E2 biphasically regulates tumor formation through ER β and KLF5. Mice were injected with the indicated knockdown DU145 cells in both flanks and implanted with a placebo, E2+, or E2++ pellet. Tumor growth curves are presented in left panels. After 35 days, the xenografts were removed and weighed (right panel). (G) E2 reduces *FOXO1* mRNA levels in xenografts through ER β and KLF5. *FOXO1* mRNA levels in the indicated xenograft tumors were determined by qRT-PCR. Values are presented as mean \pm SD. n = 4–8 for A, B, and E to G. *, $P < 0.05$, **, $P < 0.01$; n.s., not significant.

FIG 3 KLF5 knockdown suppresses anoikis and angiogenesis and exerts opposing functions on prostate tumor growth. (A, B) KLF5 expression levels in shKLF5 \pm and shKLF5 $-$ cells. DU145 cells were transfected with *luciferase* shRNA (shCont) or *KLF5* shRNA (shKLF5 \pm or shKLF5 $-$). *KLF5* mRNA (A) or protein levels (B) were determined by qRT-PCR or immunoblotting, respectively. (C) KLF5 knockdown reduces *FOXO1* mRNA levels in prostate cancer cells. *FOXO1* mRNA levels in the indicated cells were examined by qRT-PCR. (D) KLF5 knockdown inhibits anoikis in prostate cancer cells. The indicated cells were seeded on poly-HEMA-coated plates

and subjected to TUNEL assays. (E) KLF5 knockdown inhibits angiogenesis induced by prostate cancer cells. Hemoglobin content in plugs with or without the indicated cells was examined using a Matrigel plug assay (scale bars, 0.5 cm in the left panel). (F) KLF5 knockdown modulates prostate tumor growth in mice. Nude mice were injected with the indicated cells in both flanks. Tumor growth curves are presented in left panel. After 28 days, the tumors were removed and weighed (right panel). The middle panel shows representative photographs of the tumors (scale bar, 1 cm). Values are presented as mean \pm SD. n = 3 for A, C, and D; n = 4–6 for E and F. *, $P < 0.05$, **, $P < 0.01$.

FIG 4 PDGFA mediates the inhibitory effect of KLF5 on prostate tumor growth through angiogenesis. (A, B) *PDGFA* mRNA levels are reduced by KLF5 knockdown. *PDGFA* mRNA levels in the indicated cells (A) or xenograft tumors (B) were determined by qRT-PCR. (C) PDGFA recovers angiogenesis suppressed by KLF5 knockdown. The indicated cells were mixed with Matrigel and the vehicle or PDGFA (500 ng/plug) and the mixture was subcutaneously injected into nude mice. The quantification of hemoglobin levels within Matrigel plugs is shown in the right panel. The left panel shows representative photographs of Matrigel plugs (scale bar,

0.5 cm). **(D)** PDGFA, KLF5, and FOXO1 expression levels in control or shKLF5– cells expressing EGFP or PDGFA. DU145 cells were transfected with a combination of *luciferase* shRNA and EGFP expression plasmids (shCont + EGFP), *luciferase* shRNA and myc-tagged PDGFA expression plasmids (shCont. + PDGFA), *KLF5* shRNA and EGFP expression plasmids (shKLF5– + EGFP), or *KLF5* shRNA and myc-tagged PDGFA expression plasmids (shKLF5– + PDGFA). PDGFA, KLF5, and FOXO1 protein levels were determined by immunoblotting. **(E)** PDGFA expression promotes tumor formation inhibited by KLF5 knockdown. Nude mice were subcutaneously inoculated in both flanks with the indicated cells. Tumor growth curves are presented in left panel. After 28 days, the xenografts were removed and weighed (right panel). The middle panel shows representative photographs of the tumors (scale bar, 1 cm). **(F, G)** PDGFA expression recovers angiogenesis, but not changes apoptosis ratios in KLF5 knockdown xenograft tumors. The indicated xenograft tumors were examined in immunostaining of CD31 (F) or TUNEL assays (G). Values are presented as mean \pm SD. n = 3 for A and B; n = 4–9 for C and E to G. *, $P < 0.05$, **, $P < 0.01$; n.s., not significant. **(H)** Representative prostate cancer tissues labeled with anti-KLF5 and anti-PDGFA antibodies (scale bars, 50 μ m). **(I)** Association between the KLF5 labeling index and PDGFA expression levels in prostate

cancer tissues. Prostate cancer tissues were labeled with anti-KLF5 or anti-PDGFA antibodies. “High” and “Low” indicate samples with either high (>10% positive carcinoma cells) or low (\leq 10% positive carcinoma cells) PDGFA immunoreactivity. (J) Clinical association of PDGFA with cancer-specific survival. Cancer-specific survival rates were analyzed using the Kaplan–Meier method for high PDGFA- or low PDGFA-expressing samples.

FIG 5 E2 inhibits angiogenesis through the suppression of *PDGFA* expression. (A to D) E2 treatment reduces *PDGFA* mRNA levels through ER β and KLF5. DU145 (A) or the indicated knockdown cells (C) were cultured in the absence (DMSO) or presence of E2 (E2+, 10 nM; E2++, 1 μ M). *PDGFA* mRNA levels in the indicated cells (A, C) or tumors (B, D) were determined by qRT-PCR. (E) PDGFA counteracts the inhibition of angiogenesis induced by E2. Nude mice were injected subcutaneously with Matrigel, with or without DU145 cells and proteins (PDGFA, 500 ng/plug), and the vehicle or E2 (210 μ g/week). Quantification of hemoglobin levels within Matrigel plugs is shown in the right panel. Representative photographs are displayed in the left panel (scale bar, 0.5 cm). Values are presented as mean \pm SD. n = 3-6. *, $P < 0.05$, **, $P < 0.01$; n.s., not significant.

773

774 **FIG 6 The non-agonistic ER ligand GS inhibits KLF5-mediated transcription**
775 **through ER β .** (A) Chemical structures of E2 and GS. (B, C, and H) E2 and GS
776 inhibit *FOXO1* promoter activity through ER β and KLF5. A luciferase reporter
777 plasmid containing the *FOXO1* promoter (−83 to +56, FX-luc) was transfected into
778 DU145 (B and C) or LNCaP (H) cells. Cell extracts derived from cultures containing
779 E2, GS, 4-hydroxytamoxifen (OH-Tam), raloxifene (Ral), or ICI 182,780 (ICI) (1 μ M)
780 were examined using luciferase assays. (D) GS forms the hydrogen bond with the
781 hER β LBD in docking model. GS is represented as a ball-and-stick model (cyan),
782 whereas ligand-interacting residues are represented as sticks (light blue). Hydrogen
783 bonds between GS and the hER β LBD are indicated as red lines. The main chain of
784 the hER β LBD (PDB 1QKM) is represented with a cartoon model (transparent blue).
785 (E) E2, but not GS, enhances ERE-mediated transcription. ER-negative HEK293 cells
786 were transfected with ERE-TATA-luc and the indicated ER β expression plasmid.
787 Transfected cells were then treated with E2 or GS (10 nM) for 24 h before the
788 preparation of extracts. Cell extracts derived from cultures were examined using
789 luciferase assays. (F) E305A mutation of ER β abolishes the inhibition of *FOXO1*
790 expression by GS. FX-luc and the indicated ER β expression plasmid were transfected

791 into HEK293 cells. Cell extracts derived from cultures containing the indicated ER
792 ligands (1 μ M) were examined using luciferase assays. (G) Endogenous KLF5 or ER β
793 expression was suppressed in LNCaP cells following the introduction of *KLF5* siRNA
794 (siKLF5) or *ER β* siRNA (siER β). Those protein levels were determined by
795 immunoblotting. Values are presented as mean \pm SD. n = 3-4. **, $P < 0.01$; n.s., not
796 significant.

797

798 **FIG 7 E2 and GS suppress *FOXO1* and *PDGFA* expression through inhibiting**
799 **KLF5 interaction to those promoter regions.** (A) GS treatment reduces *FOXO1* and
800 *PDGFA* mRNA levels in prostate cancer cells. DU145 or PC-3 cells were cultured in
801 the absence (DMSO) or presence of GS (GS+, 10 nM; GS++, 1 μ M), and *FOXO1* or
802 *PDGFA* mRNA levels were determined by qRT-PCR. (B) E2 or GS treatment does
803 not affect *KLF5* mRNA levels. DU145 or PC-3 cells were cultured in the absence
804 (DMSO) or presence of E2 or GS (1 μ M) and *KLF5* mRNA levels were determined by
805 qRT-PCR. (C, D) E2 or GS treatment inhibits the binding of KLF5 to the *FOXO1* (C)
806 and *PDGFA* promoter regions (D) through ER β . Control (shCont.) and ER β
807 knockdown (shER β) DU145 cells were cultured in the absence (DMSO) or presence of
808 the indicated ER ligands (1 μ M). ChIP assays were performed using anti-KLF5

antibodies. Immunoprecipitated DNA was assessed in qRT-PCR assays using primers specific for the *FOXO1* or *PDGFA* promoter. Samples were normalized to the input DNA. Values are presented as mean \pm SD. n = 3. *, $P < 0.05$; **, $P < 0.01$; n.s., not significant.

FIG 8 GS regulates prostate tumor growth through the inhibition of anoikis and

angiogenesis. (A) GS suppresses anoikis in prostate cancer cells. DU145 or PC-3

cells were seeded onto poly-HEMA-coated plates in the presence of DMSO or GS

(GS+, 10 nM; GS++, 1 μ M). After 24 h, the cells were examined by TUNEL assays.

(B) GS inhibits *in vivo* angiogenesis through ER β . Hemoglobin content in plugs with

the indicated cells treated with or without GS (GS+, 5 mg/week; GS++, 25 mg/week)

was examined using a Matrigel plug assay (scale bars, 0.5 cm). **(C)** GS modulates

prostate tumor growth. Nude mice were injected with the indicated cells followed by

the vehicle (DMSO) or GS (GS+, 5 mg/week; GS++, 25 mg/week). Tumor growth

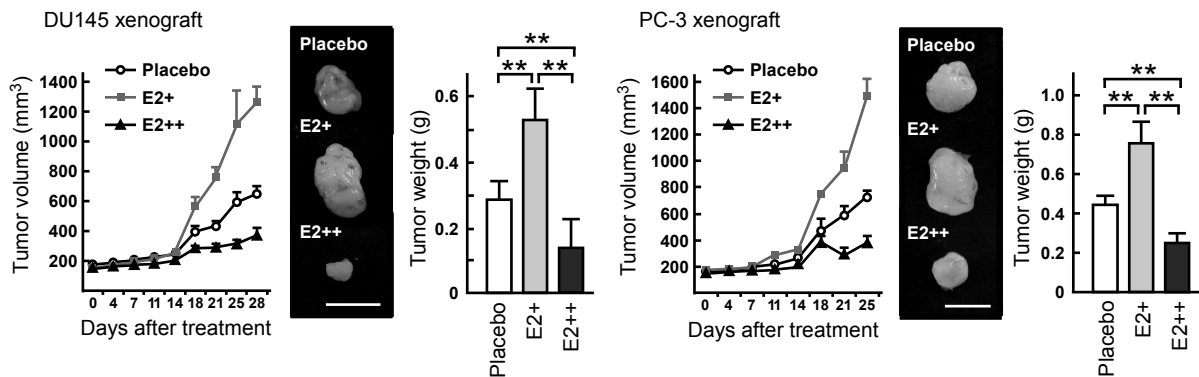
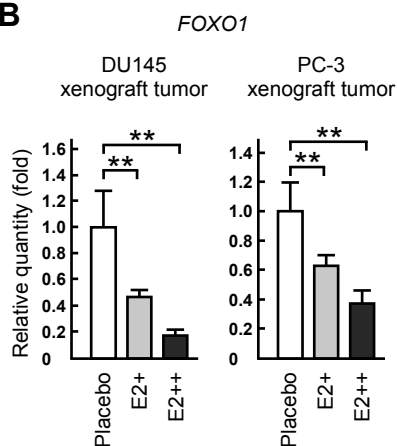
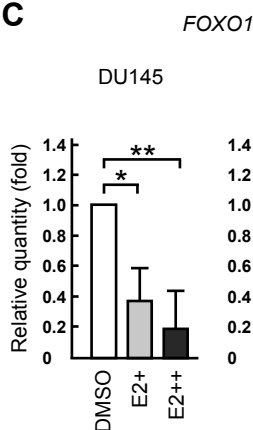
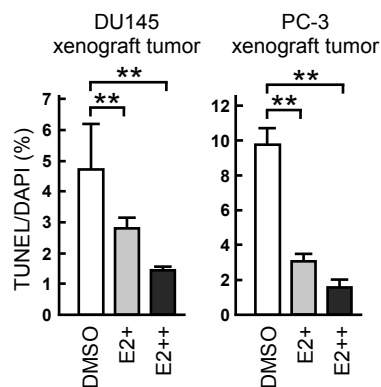
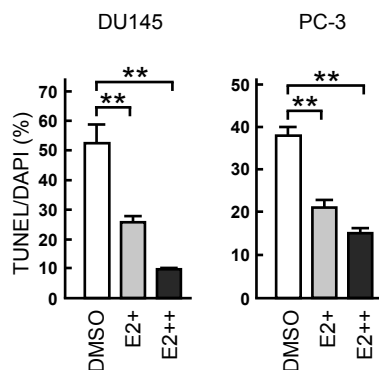
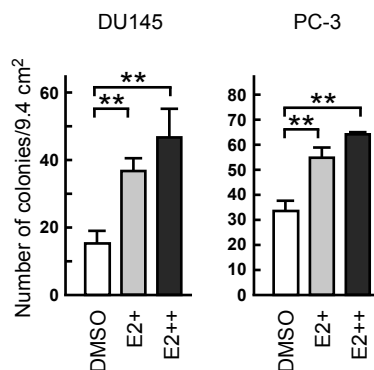
curves are presented in left panel. After 28 days, the tumors were removed and

weighed (right panels). The middle panel shows representative photographs of the

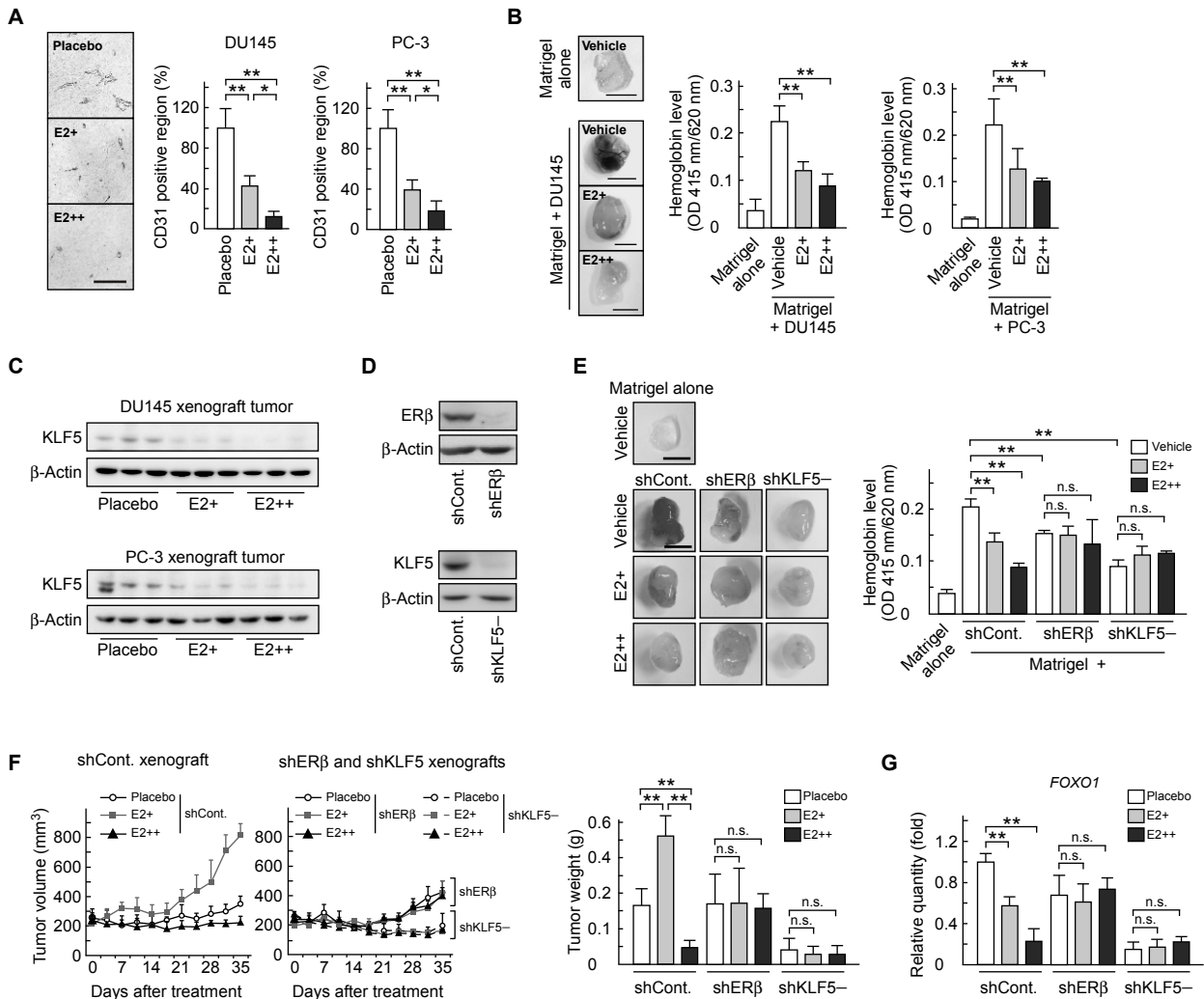
tumors (scale bar, 1 cm). **(D)** A schematic model of the mechanism by which E2 or

GS biphasically regulates prostate tumor growth. Values are presented as mean \pm SD.

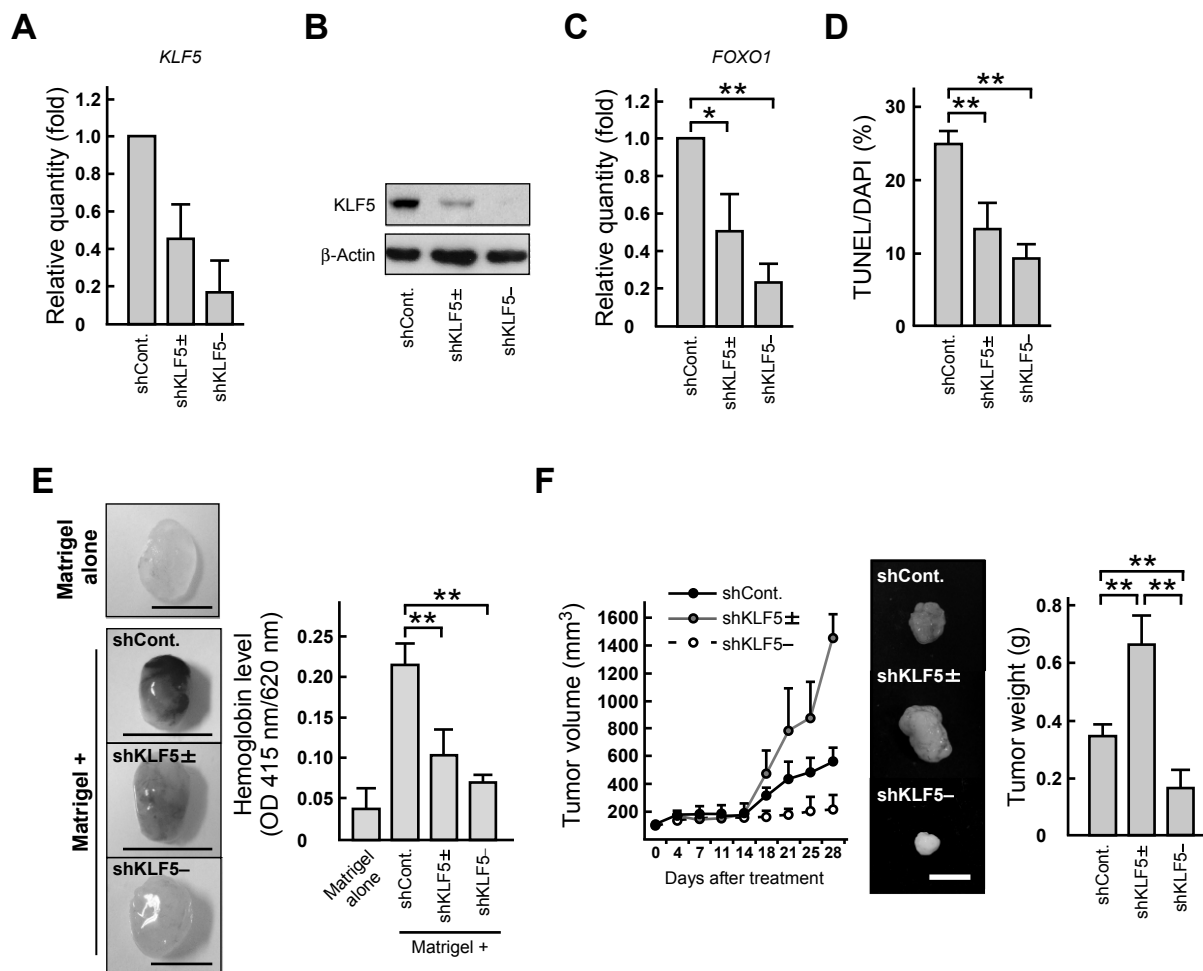
827 $n = 3$ for A; $n = 4-8$ for B and C. **, $P < 0.01$; n.s., not significant.

A**B****C****D****E****F**

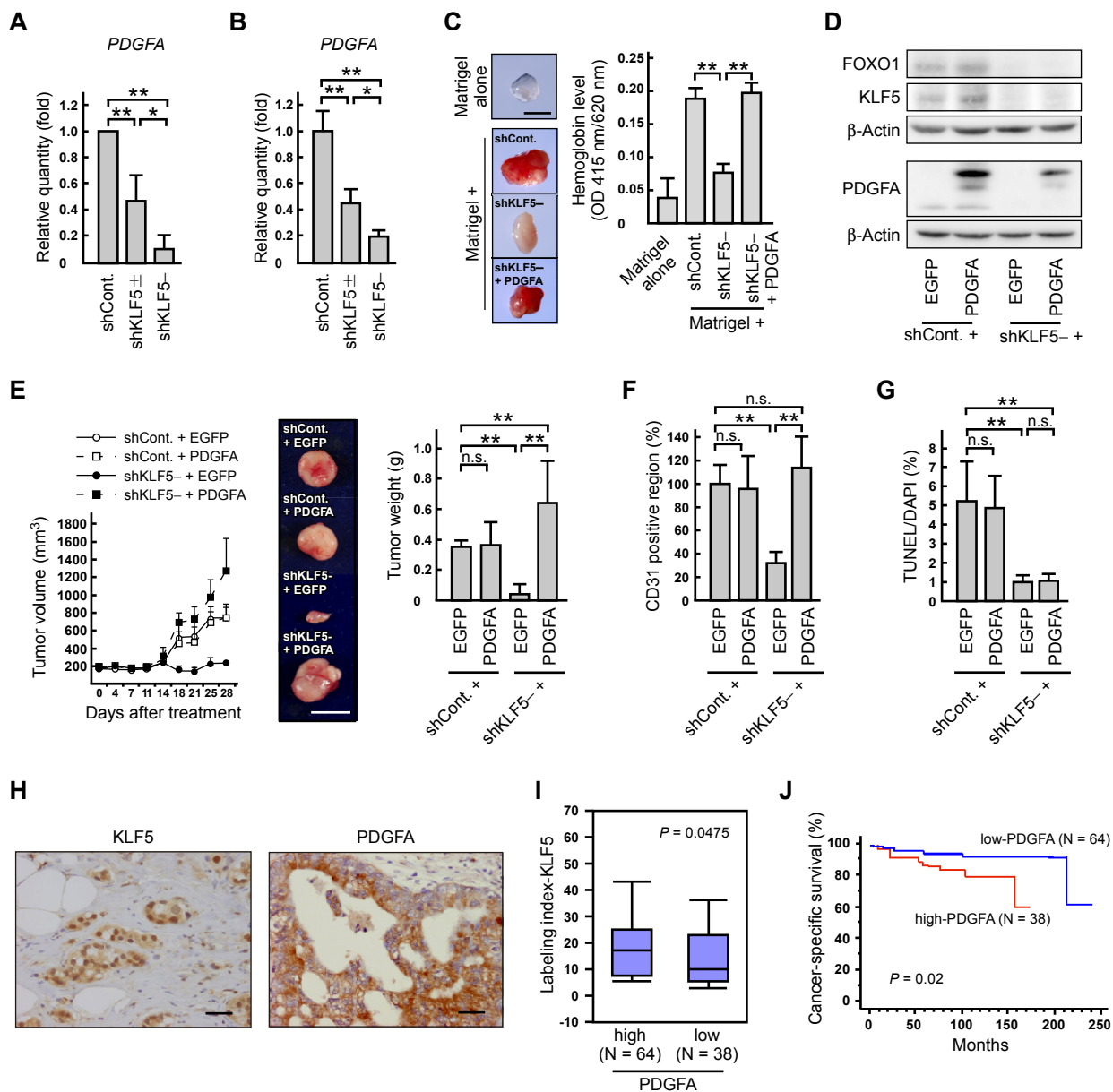
Figure_1



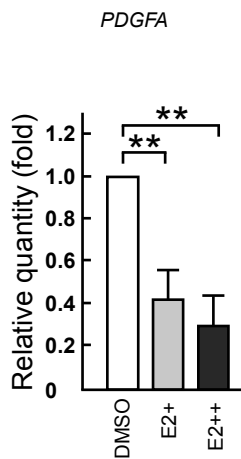
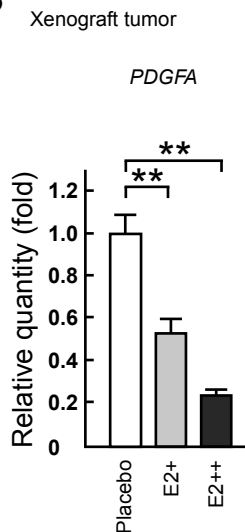
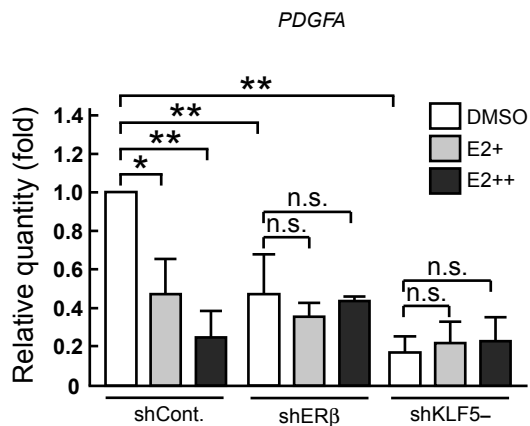
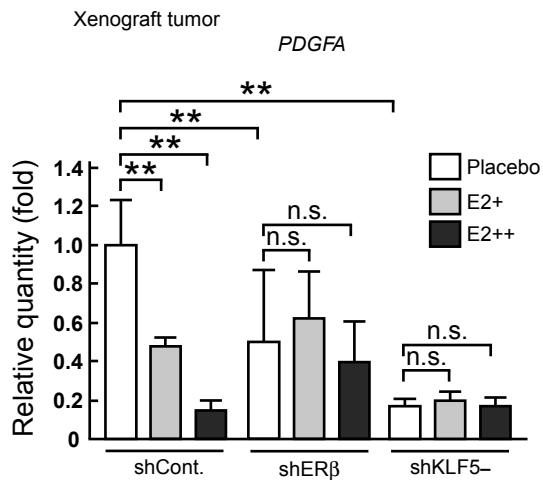
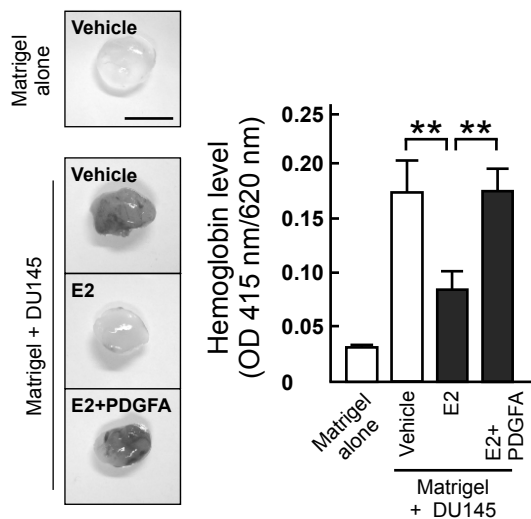
Figure_2



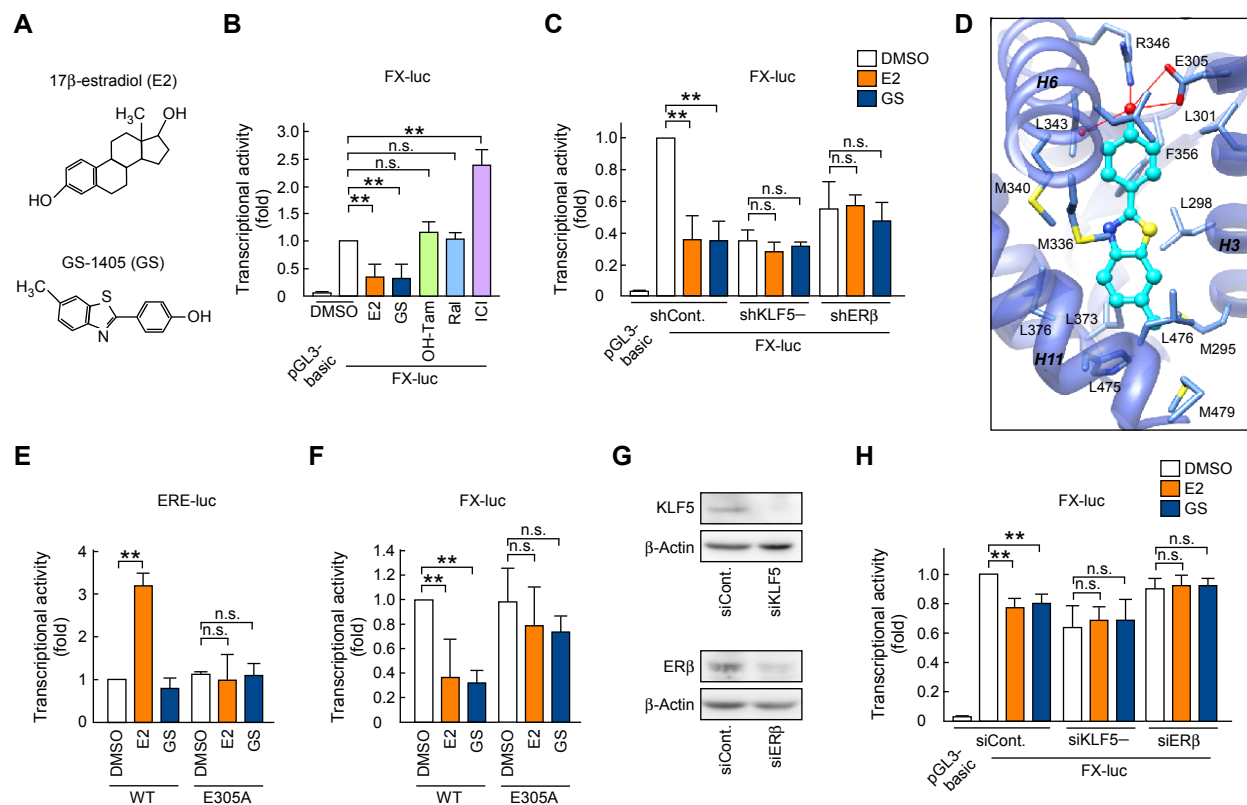
Figure_3



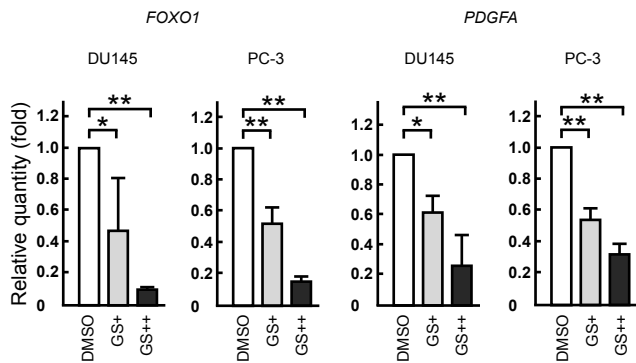
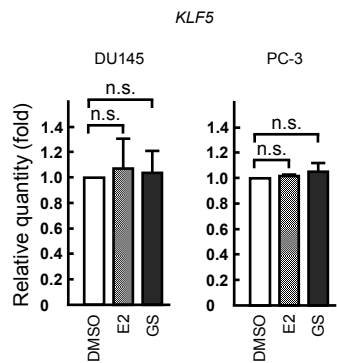
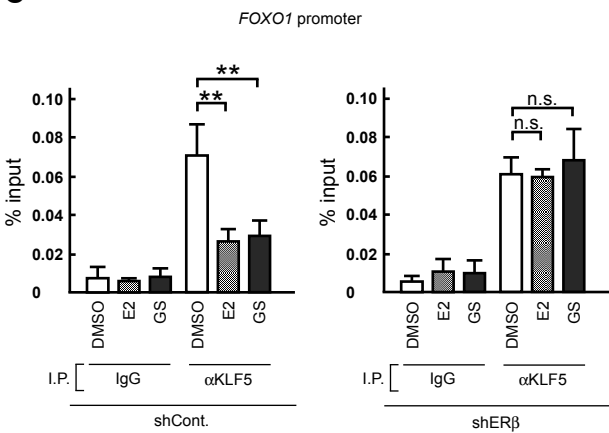
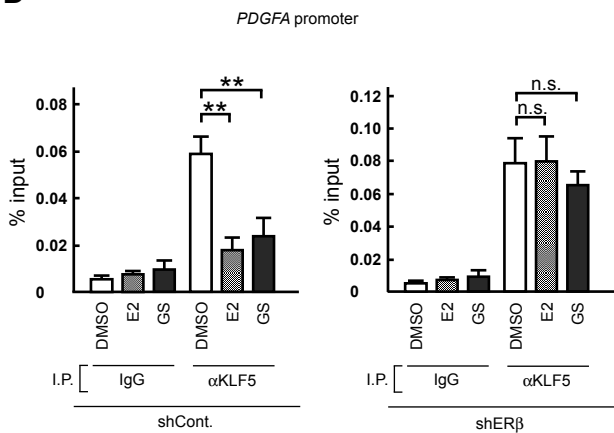
Figure_4

A**B****C****D****E**

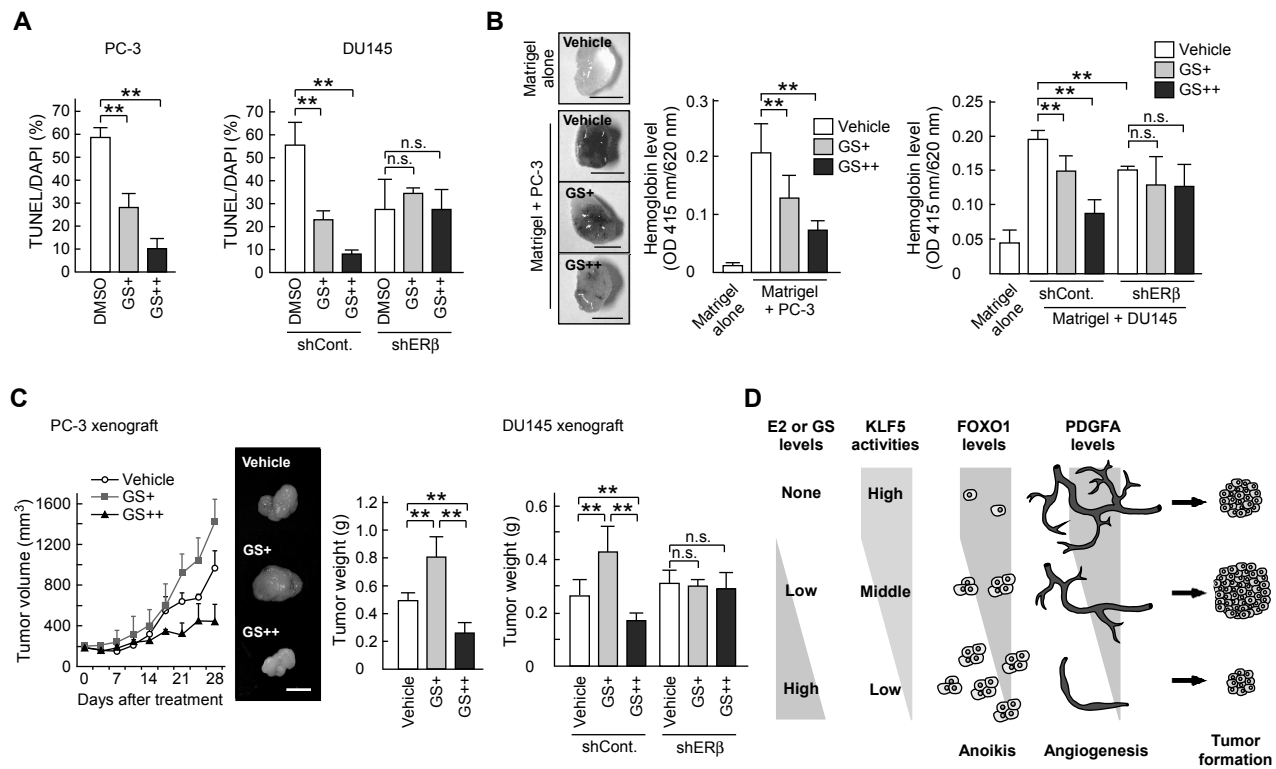
Figure_5



Figure_6

A**B****C****D**

Figure_7



Figure_8