

# Construction of energy-independent potentials above inelastic thresholds in quantum field theories

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We construct energy-independent but nonlocal potentials above inelastic thresholds, in terms of Nambu-Bethe-Salpeter wave functions defined in quantum field theories such as QCD. As an explicit example, we consider  $NN \rightarrow NN + n\pi$  scattering processes for  $n = 0, 1, 2, \dots$ . We show the existence of energy-independent coupled channel potentials with a nonrelativistic approximation, where momenta of all particles are small compared with their own masses. In the case of two-body inelastic scatterings such as  $\Lambda\Lambda \rightarrow \Lambda\Lambda$ ,  $N\Xi$ ,  $\Sigma\Sigma$ , on the other hand, we show that energy-independent potentials can be constructed without relying on nonrelativistic approximations. We also propose a method to extract these potentials using time dependence of general correlation functions.

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## I. INTRODUCTION

It is important to understand hadronic interactions such as nuclear forces from the point of view of their constituents, quarks and gluons, whose dynamics is described by quantum chromodynamics (QCD). Since the running coupling constant in QCD becomes large at the hadronic scale, however, nonperturbative methods such as lattice QCD combined with numerical simulations must be employed to investigate this problem systematically. Conventionally, the finite size method [1] has been employed to extract the scattering phase shift, but the method is applicable only below the inelastic (particle production) threshold. See Refs. [2,3] for an extension of this method to multichannel systems.

Recently, an alternative method was proposed to investigate hadronic interactions, and it has been successfully employed to extract the potential between nucleons below inelastic thresholds [4–6]. Since then, this method has been applied to other more general hadronic interactions such as baryon-baryon interactions [7–11], meson-baryon interactions [12,13], and three-nucleon forces [14,15]. See Refs. [16,17] for reviews of recent activities.

In the method, called the HAL QCD method, a potential between composite particles is defined in quantum field theories such as QCD. There are two important properties to be proven in quantum field theories, in order to define the potential, which is a quantum mechanical object. Let us explain the HAL QCD method and these two important properties, by considering the  $NN$  potential as an example. We first introduce the equal-time Nambu-Bethe-Salpeter (NBS) wave function [18] in the center of mass system defined by

$$\varphi_{W,c_0}(\mathbf{x}) = \langle 0 | T \{ N(\mathbf{r}, 0) N(\mathbf{r} + \mathbf{x}, 0) \} | NN, W, c_0 \rangle_{\text{in}}, \quad (1)$$

where  $\langle 0 | = {}_{\text{out}}\langle 0 | = {}_{\text{in}}\langle 0 |$  is the QCD vacuum (bra) state,  $|NN, W, c_0\rangle_{\text{in}}$  is the two-nucleon asymptotic in-state at the total energy  $W = 2\sqrt{\mathbf{k}^2 + m_N^2}$  with the nucleon mass  $m_N$  and the relative momentum  $\mathbf{k}$ ,  $c_0$  represent quantum numbers other than  $W$  such as helicity of nucleons and the direction of  $\mathbf{k}$ ,  $T$  represents the time-ordered product, and  $N(x)$  with  $x = (\mathbf{x}, t)$  is the nucleon operator defined by  $N(x) = \varepsilon_{abc}(u_a(x)^T C \gamma_5 d_b(x)) q_c(x)$  with the charge conjugation matrix  $C$  and  $q(x) = (u(x), d(x))^T$ . Note that a different choice for  $N(x)$  is possible as long as  $N(x)$  can annihilate the 1-particle nucleon state and the difference leads to a difference in NBS wave functions defined from them. Note also that  $N(x)$  and  $\varphi(\mathbf{x})$  implicitly have spinor and flavor indices.

An important property of the NBS wave function for the definition of the potential is that, as the distance between two nucleon operators,  $x = |\mathbf{x}|$ , becomes large, the NBS wave function satisfies the free Schrödinger (or equivalently the free Klein-Gordon) equation,

$$(E_W - H_0)\varphi_{W,c_0}(\mathbf{x}) \simeq 0, \quad E_W = \frac{\mathbf{k}^2}{2\mu}, \quad H_0 = \frac{-\nabla^2}{2\mu}, \quad (2)$$

where  $\mu = m_N/2$  is the reduced mass. In addition, the asymptotic behavior of the NBS wave function is described in terms of the phase  $\delta$  determined by the unitarity of the  $S$  matrix,  $S = e^{2i\delta}$ , in QCD (or the corresponding quantum field theory). This has been shown originally for the elastic  $\pi\pi$  scattering [19,20], where the partial wave of

NBS wave function for the orbital angular momentum  $L$  becomes

$$\varphi_W^L \simeq A_L \frac{\sin(kx - L\pi/2 + \delta_L(W))}{kx} \quad (3)$$

as  $x \rightarrow \infty$  at  $W < W_{\text{th}} = 4m_\pi$  (the lowest inelastic threshold). The asymptotic behavior of the NBS wave function for the elastic  $NN$  scattering has been derived in Ref. [21]. The asymptotic behavior of the NBS wave function such as Eq. (3) is the first important property that motivates the definition of the potential in QCD.

The (nonlocal) potential between two nucleons below the inelastic threshold is defined by the equation that

$$(E_W - H_0)\varphi_{W,c_0}(\mathbf{x}) = \int d^3y U(\mathbf{x}, \mathbf{y})\varphi_{W,c_0}(\mathbf{y}) \quad (4)$$

at  $W < W_{\text{th}} = 2m_N + m_\pi$ . In general, the nonlocal potential  $U(\mathbf{x}, \mathbf{y})$  could depend on the energy  $W$  [1]. As we will show, however, an energy-independent potential  $U(\mathbf{x}, \mathbf{y})$  such that Eq. (4) is satisfied for all  $W < W_{\text{th}}$  can be constructed. Therefore, if we solve the Schrödinger equation with this potential in the infinite volume, its solutions automatically provide correct phase shifts in QCD at all  $W < W_{\text{th}}$  by construction. The existence of the  $W$ -independent potential  $U$  is the second important property to define the potential in the HAL QCD method.

Using the inner product  $(f, g) = \int d^3x \bar{f}(\mathbf{x})g(\mathbf{x})$ , where  $\bar{f}$  is the complex conjugate of  $f$ , we introduce a norm kernel defined by  $\mathcal{N}_{W_1c_0, W_2d_0} = (\varphi_{W_1, c_0}, \varphi_{W_2, d_0})$ . Since NBS wave functions at  $W < W_{\text{th}}$  are in general linearly independent,<sup>1</sup> an inverse  $\mathcal{N}^{-1}$  exists and it satisfies<sup>2</sup> for  $W_1, W_2 < W_{\text{th}}$

$$\sum_{W < W_{\text{th}}, c_0} \mathcal{N}_{W_1d_0, Wc_0}^{-1} \mathcal{N}_{Wc_0, W_2e_0} = \sum_{W < W_{\text{th}}, c_0} \mathcal{N}_{W_1d_0, Wc_0} \mathcal{N}_{Wc_0, W_2e_0}^{-1} = \delta_{W_1, W_2} \delta_{d_0, e_0}. \quad (5)$$

Using the inverse norm kernel, we define a ket vector  $|\varphi_{W,c_0}\rangle$  as  $\langle \mathbf{x} | \varphi_{W,c_0} \rangle \equiv \varphi_{W,c_0}(\mathbf{x})$  and its conjugate bra vector  $\langle \psi_{W,c_0} |$  as

$$\langle \psi_{W,c_0} | \mathbf{x} \rangle \equiv \sum_{W_1 < W_{\text{th}}, d_0} \mathcal{N}_{Wc_0, W_1d_0}^{-1} \overline{\varphi_{W_1, d_0}(\mathbf{x})}, \quad (6)$$

$$\langle \psi_{W_1, c_0} | \varphi_{W_2, d_0} \rangle = \delta_{W_1, W_2} \delta_{c_0, d_0},$$

so that the nonlocal potential can be constructed as [6]

<sup>1</sup>This holds at least for  $(W_1, c_0) \neq (W_2, d_0)$  in the sufficiently large volume. Even if some wave functions accidentally become linearly dependent in small volume, we can remove them, so that our construction of the energy-independent potential remains the same.

<sup>2</sup>We first consider the finite volume, so that  $W_1$  and  $W_2$  take discrete values. We then take the infinite volume limit, so that  $\delta_{W_1, W_2}$  and  $\sum_W$  should be replaced by  $\delta(W_1 - W_2)$  and  $\int dW$ , respectively.

$$U = \sum_{W < W_{\text{th}}, c_0} (E_W - H_0) |\varphi_{W,c_0}\rangle \langle \psi_{W,c_0}|, \quad (7)$$

since it is easy to see that it satisfies the Schrödinger equation (4) as

$$\begin{aligned} U|\varphi_{W,c_0}\rangle &= \sum_{W_1 < W_{\text{th}}, d_0} (E_{W_1} - H_0) |\varphi_{W_1, d_0}\rangle \langle \psi_{W_1, d_0} | \varphi_{W,c_0}\rangle \\ &= (E_W - H_0) |\varphi_{W,c_0}\rangle \end{aligned} \quad (8)$$

as long as  $W < W_{\text{th}}$ . It should be noted that the nonlocal potential which satisfies Eq. (4) at  $W < W_{\text{th}}$  is not unique. For example, we may add an arbitrary term proportional to  $(1 - P)$  to the nonlocal potential  $U$  without affecting Eq. (4), where the projection is defined by  $P = \sum_{W < W_{\text{th}}, c_0} |\varphi_{W,c_0}\rangle \langle \psi_{W,c_0}|$ .

The purpose of this paper is to construct an energy-independent (nonlocal) potential which satisfies an appropriate Schrödinger equation at low energy but above inelastic thresholds in quantum field theories. To make our argument more concrete, we mainly consider the  $NN$  scattering in this paper.

In Sec. II, we demonstrate that energy-independent potentials can be constructed above inelastic thresholds if the total energy is small enough that the nonrelativistic approximation is applicable. In Sec. II A, we consider  $NN \rightarrow NN$ ,  $NN\pi$  scattering as a simplest case, where the total energy  $W$  is above  $2m_N + m_\pi$  but below  $2m_N + 2m_\pi$ . In Sec. II B, we generalize our construction to a larger value of  $W$  where the  $NN \rightarrow NN + n\pi$  scattering for a higher integer  $n$  can occur. In this case, momenta of all particles must be still nonrelativistic. In Sec. II C, we treat a special case of inelastic scattering such as  $AB \rightarrow AB, CD$ , where nonrelativistic approximation is not required to construct energy-independent coupled channel potentials. In Sec. III, using results obtained in the previous section, we generalize the time-dependent method for the extraction of the potential [22] to the case at  $W \geq W_{\text{th}}$ , in order to treat inelastic processes. Conclusions and discussions are given in Sec. IV. In the Appendix, we compare the construction of the energy-independent potential above inelastic threshold given in the main text with other possible variations.

## II. CONSTRUCTION OF ENERGY-INDEPENDENT POTENTIALS ABOVE INELASTIC THRESHOLDS

We here construct energy-independent (nonlocal) potentials even above inelastic thresholds for the  $NN$  scattering in the center of mass system. In this paper, we consider only pion productions whose  $n$ th threshold energy is given by  $W_{\text{th}}^n = 2m_N + n \times m_\pi$  with  $m_\pi$  being the pion mass. Extensions to other particle productions such as  $N\bar{N}$  or  $K\bar{K}$ , etc., are straightforward.

We introduce energy intervals defined by  $\Delta_n = [W_{\text{th}}^n, W_{\text{th}}^{n+1})$  for  $n = 0, 1, 2, \dots$ . Given the total energy  $W$ , the kinetic energy of the  $NN + n\pi$  system is denoted by  $E_W^n$ , which is given by

$$E_W^n = \frac{\mathbf{p}_1^2}{2m_N} + \frac{\mathbf{p}_2^2}{2m_N} + \sum_{i=1}^n \frac{\mathbf{k}_i^2}{2m_\pi}, \quad (9)$$

$$W = \sqrt{m_N^2 + \mathbf{p}_1^2} + \sqrt{m_N^2 + \mathbf{p}_2^2} + \sum_{i=1}^n \sqrt{m_\pi^2 + \mathbf{k}_i^2},$$

where  $\mathbf{p}_1 + \mathbf{p}_2 + \sum_{i=1}^n \mathbf{k}_i = 0$ . The corresponding free Hamiltonian is denoted by  $H_0^n$ . Note that  $E_W^n$  cannot be determined from the total energy  $W$  alone, except for the elastic scattering at  $n = 0$ , where  $E_W^0$  is uniquely determined from a given value of  $W$ . Since the determination of  $E_W^n$  from  $W$  is important to construct potentials from the Schrödinger equation and  $E_W^n$  for  $n \geq 1$  cannot be determined from  $W$  in general, we restrict our considerations in this paper to cases where all momenta  $\mathbf{p}_1, \mathbf{p}_2, \mathbf{k}_1, \mathbf{k}_2, \dots, \mathbf{k}_n$  are nonrelativistic, so that we can write  $W \simeq W_{\text{th}}^k + E_W^k$  for  $k = 1, 2, \dots, n$  at  $W \in \Delta_n$ . (We can exclude the  $k = 0$  case, since  $E_W^0$  can always be determined from  $W$  without nonrelativistic approximation.) This condition is explicitly written as  $\mathbf{p}_1^2 < m_N^2$  for  $i = 1, 2$  and  $\mathbf{k}_i^2 < m_\pi^2$  for  $i = 1, 2, \dots, n$ . Unless otherwise stated, we assume this condition in this paper. We roughly estimate how many pions can be treated within this approximation. If the total energy of two nucleons with one pion at rest is equal to the minimum energy of  $n$ -pion production such that  $2\sqrt{m_N^2 + \mathbf{p}^2} + m_\pi = 2m_N + nm_\pi$ , the nonrelativistic condition, say,  $\mathbf{p}^2 \simeq 0.9 \times m_N^2$ , leads to  $n - 1 \leq \frac{m_N}{m_\pi} \times (\sqrt{7.6} - 2) \simeq 5$ . Therefore we may consider up to  $NN + 6\pi$  with roughly 5% relativistic corrections. Note that some configurations of momenta may become relativistic for a given value of  $W$ . We exclude such configurations in our consideration of this paper.

### A. Simplest case

To illustrate our strategy to construct energy-independent potentials, let us consider the simplest case at  $W < W_{\text{th}}^2 = 2m_N + 2m_\pi$  in this subsection. If  $W \in \Delta_1$  ( $2m_N + m_\pi \leq W < 2m_N + 2m_\pi$ ), the inelastic scattering with one-pion production ( $NN \rightarrow NN + \pi$ ) becomes possible. We can define in this case a set of four independent equal-time NBS wave functions as

$$Z_N \varphi_{W,c_0}^{00}(\mathbf{x}_0) = \langle 0 | T \{ N(\mathbf{x}, 0) N(\mathbf{x} + \mathbf{x}_0, 0) \} | NN, W, c_0 \rangle_{\text{in}}, \quad (10)$$

$$Z_N Z_\pi^{1/2} \varphi_{W,c_0}^{10}(\mathbf{x}_0, \mathbf{x}_1) = \langle 0 | T \{ N(\mathbf{x}, 0) N(\mathbf{x} + \mathbf{x}_0, 0) \times \pi(\mathbf{x} + \mathbf{x}_1, 0) \} | NN, W, c_0 \rangle_{\text{in}}, \quad (11)$$

$$Z_N \varphi_{W,c_1}^{01}(\mathbf{x}_0) = \langle 0 | T \{ N(\mathbf{x}, 0) N(\mathbf{x} + \mathbf{x}_0, 0) \} | NN + \pi, W, c_1 \rangle_{\text{in}}, \quad (12)$$

$$Z_N Z_\pi^{1/2} \varphi_{W,c_1}^{11}(\mathbf{x}_0, \mathbf{x}_1) = \langle 0 | T \{ N(\mathbf{x}, 0) N(\mathbf{x} + \mathbf{x}_0, 0) \pi(\mathbf{x} + \mathbf{x}_1, 0) \} \times | NN + \pi, W, c_1 \rangle_{\text{in}}, \quad (13)$$

where  $Z_N$  and  $Z_\pi$  are renormalization factors for nucleon and pion fields, respectively, such that  $N(x) = Z_N^{1/2} N^r(x)$  and  $\pi(x) = Z_\pi^{1/2} \pi^r(x)$ , where  $N^r(x)$  and  $\pi^r(x)$  are renormalized nucleon and pion fields, respectively. We here consider two asymptotic in-states  $|NN, W, c_0\rangle_{\text{in}}$  and  $|NN + \pi, W, c_1\rangle_{\text{in}}$  corresponding to two nucleons and two nucleons plus one pion, where  $c_0$  and  $c_1$  represent quantum numbers other than the total energy  $W$ . In the present case,  $(W, c_0)$  and  $(W, c_1)$  are equivalent to  $(s_1, s_2, \mathbf{p}_1)$  and  $(s_1, s_2, \mathbf{p}_1, \mathbf{k}_1)$ , where  $s_i$  is the helicity of the  $i$ th nucleon and  $\mathbf{p}_2$  is not independent due to the momentum conservation. As mentioned before,  $W \simeq W_0 + E_W^0 \simeq W_1 + E_W^1$ . If distances between all operators become large ( $|\mathbf{x}_0|, |\mathbf{x}_1|, |\mathbf{x}_1 - \mathbf{x}_0| \rightarrow \infty$ ), we expect (and will indeed show in a separate paper [23]) that all NBS wave functions given above satisfy free Schrödinger equations such that

$$(E_W^0 - H_0^0) \varphi_{W,c_0}^{0i} \simeq 0, \quad (E_W^1 - H_0^1) \varphi_{W,c_0}^{1i} \simeq 0, \quad i = 0, 1. \quad (14)$$

We consider the coupled channel Schrödinger equations for  $NN$  and  $NN + \pi$ , which are given by

$$(E_W^k - H_0^k) \varphi_{W,c_i}^{ki} = \sum_{l=0,1} \int \prod_{n=0}^l d^3 y_n U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) \varphi_{W,c_i}^{li}([\mathbf{y}]_l), \quad k, i \in (0, 1), \quad (15)$$

where  $[\mathbf{x}]_0 = \mathbf{x}_0$  and  $[\mathbf{x}]_1 = \mathbf{x}_0, \mathbf{x}_1$ . Note that  $E_W^1 \simeq W - W_{\text{th}}^1 < 0$  if  $W \in \Delta_0$ . Our task is to show that a  $W$ -independent  $2 \times 2$  potential matrix  $U^{kl}$  exists.

For this purpose, we define vectors from these NBS wave functions at  $W \in \Delta_1$  as

$$\varphi_{W,c_i}^i \equiv \left( \varphi_{W,c_i}^{0i}([\mathbf{x}]_0), \varphi_{W,c_i}^{1i}([\mathbf{x}]_1) \right)^T, \quad i = 0, 1, \quad (16)$$

while at  $W \in \Delta_0$  we take only  $\varphi_{W,c_0}^0$  as

$$\varphi_{W,c_0}^0 \equiv \left( \varphi_{W,c_0}^{00}([\mathbf{x}]_0), \varphi_{W,c_0}^{10}([\mathbf{x}]_1) \right)^T, \quad (17)$$

where the second component  $\varphi_{W,c_1}^{10}([\mathbf{x}]_1)$  vanishes as distances between all operators go to infinity. (No asymptotic  $NN + \pi$  state exists at  $W < 2m_N + m_\pi$ .) Note that, instead of Eq. (17), we may define

$$\varphi_{W,c_0}^0 \equiv \left( \varphi_{W,c_0}^{00}([\mathbf{x}]_0), 0 \right)^T \quad (18)$$

at  $W \in \Delta_0$ . Since the definition of  $\varphi_{W,c_0}^0$  at  $W \in \Delta_0$  in Eq. (17) will be required in Sec. III for the time-dependent method, we use it in the main text of this paper, and the construction with Eq. (18) and other variations will be discussed in the Appendix.

As in the elastic case, we introduce the norm kernel in the space spanned by  $\varphi_{W,c_i}^i$  as

$$\begin{aligned}\mathcal{N}_{W_1 c_i, W_2 d_j}^{ij} &= (\varphi_{W_1, c_i}^i, \varphi_{W_2, d_j}^j) \\ &= \sum_{k=0,1} \int \prod_{l=0}^k d^3 x_l \overline{\varphi_{W_1, c_i}^{ki}([\mathbf{x}]_k)} \varphi_{W_2, d_j}^{kj}([\mathbf{x}]_k). \quad (19)\end{aligned}$$

Here indices  $i$  and  $j$  run over different ranges depending on values of  $W_1$  and  $W_2$  such that  $i \in I(W_1)$  and  $j \in I(W_2)$ , where  $I(W) = \{0\}$  for  $W \in \Delta_0$  and  $I(W) = \{0, 1\}$  for  $W \in \Delta_1$ . Unless otherwise stated, we assume this in this subsection.

As long as  $\varphi_{W, c_i}^i$  are linearly independent, the Hermitian operator  $\mathcal{N}$  has an inverse such that

$$\begin{aligned}\sum_{W \in \Delta_0 \cup \Delta_1} \sum_{h \in I(W), e_h} (\mathcal{N}^{-1})_{W_1 c_i, W e_h}^{ih} \mathcal{N}_{W e_h, W_2 d_j}^{hj} \\ = \delta^{ij} \delta_{W_1, W_2} \delta_{c_i, d_j}.\end{aligned} \quad (20)$$

Schematically,  $\mathcal{N}$  has the following structure:

$$\mathcal{N} = \begin{pmatrix} \mathcal{N}^{00}(\Delta_0, \Delta_0), & \mathcal{N}^{00}(\Delta_0, \Delta_1), & \mathcal{N}^{01}(\Delta_0, \Delta_1) \\ \mathcal{N}^{00}(\Delta_1, \Delta_0), & \mathcal{N}^{00}(\Delta_1, \Delta_1), & \mathcal{N}^{01}(\Delta_1, \Delta_1) \\ \mathcal{N}^{10}(\Delta_1, \Delta_0), & \mathcal{N}^{10}(\Delta_1, \Delta_1), & \mathcal{N}^{11}(\Delta_1, \Delta_1) \end{pmatrix}, \quad (21)$$

where  $\mathcal{N}^{ab}(\Delta_i, \Delta_j)$  represents a submatrix whose components are given by  $\mathcal{N}_{W_i c_a, W_j d_b}^{ab}$  with  $W_i \in \Delta_i$  and  $W_j \in \Delta_j$  for  $i, j, a, b = 0$  or  $1$  and  $a \leq i, b \leq j$ . The corresponding inverse  $\mathcal{N}^{-1}$  has, of course, the same structure.

Using this inverse, we define the ket vector  $|\varphi_{W, c_i}^i\rangle$  and the corresponding bra vector  $\langle\psi_{W, c_i}^i|$ , whose  $k$ th components are given by

$$\langle[\mathbf{x}]_k|\varphi_{W, c_i}^i\rangle = \varphi_{W, c_i}^{ki}([\mathbf{x}]_k), \quad (22)$$

$$\langle\psi_{W, c_i}^i|[\mathbf{x}]_k\rangle = \sum_{W_1 \in \Delta_0 \cup \Delta_1} \sum_{j \in I(W_1), d_j} (\mathcal{N}^{-1})_{W c_i, W_1 d_j}^{ij} \overline{\varphi_{W_1, d_j}^{kj}([\mathbf{x}]_k)} \quad (23)$$

for  $k = 0, 1$ , where  $d_j$  runs over states which satisfy the nonrelativistic condition. It is then easy to see that

$$\begin{aligned}\langle\psi_{W_1, c_i}^i|\varphi_{W_2, d_j}^j\rangle &= \sum_{k=0,1} \int \prod_{l=0}^k d^3 x_l \langle\psi_{W_1, c_i}^i|[\mathbf{x}]_k\rangle \langle[\mathbf{x}]_k|\varphi_{W_2, d_j}^j\rangle \\ &= (\mathcal{N}^{-1} \cdot \mathcal{N})_{W_1 c_i, W_2 d_j}^{ij} = \delta^{ij} \delta_{W_1, W_2} \delta_{c_i, d_j}.\end{aligned} \quad (24)$$

Introducing operators  $E_W$ ,  $H_0$ , and  $U$  such that

$$\langle[\mathbf{x}]_k|(E_W - H_0)|[\mathbf{y}]_l\rangle \equiv \delta_{kl} (E_W^k - H_0^k) \prod_{n=0}^k \delta^{(3)}(\mathbf{x}_n - \mathbf{y}_n), \quad (25)$$

$$\langle[\mathbf{x}]_k|U|[\mathbf{y}]_l\rangle \equiv U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l), \quad (26)$$

the coupled channel Schrödinger equation (15) can be compactly written as

$$(E_W - H_0)|\varphi_{W, c_i}^i\rangle = U|\varphi_{W, c_i}^i\rangle. \quad (27)$$

Now it is easy to construct  $U$  which satisfies the above equation as<sup>3</sup>

$$U = \sum_{W \in \Delta_0 \cup \Delta_1} \sum_{i \in I(W)} \sum_{c_i} (E_W - H_0)|\varphi_{W, c_i}^i\rangle \langle\psi_{W, c_i}^i|, \quad (28)$$

since

$$\begin{aligned}U|\varphi_{W, c_i}^i\rangle &= \sum_{W_1 \in \Delta_0 \cup \Delta_1} \sum_{j \in I(W_1)} \sum_{d_j} (E_{W_1} - H_0)|\varphi_{W_1, d_j}^j\rangle \langle\psi_{W_1, d_j}^j|\varphi_{W, c_i}^i\rangle \\ &= (E_W - H_0)|\varphi_{W, c_i}^i\rangle.\end{aligned} \quad (29)$$

An energy-independent potential matrix  $U$  indeed exists. Note that  $U$  is not unique since, for example, one can use Eq. (18) instead of Eq. (17) for  $\varphi_{W, c_i}^i$ , so that the resulting potential from Eq. (28) differs from the one with Eq. (17).

Finally let us consider the Hermiticity of  $U$ . A matrix element of  $U$  is evaluated as

$$\begin{aligned}U_{W_1 c_i, W_2 d_j}^{ij} &\equiv \langle\varphi_{W_1, c_i}^i|U|\varphi_{W_2, d_j}^j\rangle \\ &= \langle\varphi_{W_1, c_i}^i|(E_{W_2} - H_0)|\varphi_{W_2, d_j}^j\rangle,\end{aligned} \quad (30)$$

while

$$\begin{aligned}(U^\dagger)_{W_1 c_i, W_2 d_j}^{ij} &= \overline{\langle\varphi_{W_2, d_j}^j|(E_{W_1} - H_0)|\varphi_{W_1, c_i}^i\rangle} \\ &= \langle\varphi_{W_1, c_i}^i|(E_{W_1} - H_0)|\varphi_{W_2, d_j}^j\rangle.\end{aligned} \quad (31)$$

Therefore potential  $U$  is not Hermite in general. However, the physically relevant part of the potential is for  $W_1 = W_2$ , thus Hermite.

## B. General cases

It is not so difficult to extend the argument in the previous subsection to more general cases, where the total energy satisfies  $W < W_{\max}^{+1}$ . As discussed before, the validity of the nonrelativistic approximation requires  $n_{\max} = 5$  at most.

Let us consider  $W \in \Delta_0 \cup \Delta_1 \cup \dots \cup \Delta_{n_{\max}}$ . At  $W \in \Delta_s$  with  $s \leq n_{\max}$ , we define a set of the equal-time NBS wave functions as

<sup>3</sup>Here and hereafter, the sum over  $c_i$  with  $i \neq 0$  is always restricted to nonrelativistic states if the number of particles is more than 2.



$$Z_N Z_{\pi}^{k/2} \varphi_{W,c_i}^{ki}([\mathbf{x}]_k) = \langle 0 | T \{ N(\mathbf{x}, 0) N(\mathbf{x} + \mathbf{x}_0, 0) \prod_{l=1}^k \pi(\mathbf{x} + \mathbf{x}_l, 0) \} | N N + i \pi, W, c_i \rangle_{\text{in}}, \quad i \leq s, \\ = 0, \quad i > s, \quad (32)$$

where indices  $k$  and  $i$  run from 0 to  $n_{\text{max}}$ , but  $\varphi_{W,c_i}^{ki}([\mathbf{x}]_k)$  with  $k > s$  vanishes, as distances among all operators (two nucleons and  $k$  pions) become large,  $[\mathbf{x}]_k = \mathbf{x}_0, \mathbf{x}_1, \dots, \mathbf{x}_k$  and  $c_i$  represents quantum numbers other than the total energy  $W$  of the in-state. In the present case,  $(W, c_i)$  are equivalent to  $s_1, s_2, \mathbf{p}_1, \mathbf{k}_1, \mathbf{k}_2, \dots, \mathbf{k}_i$ , where  $s_l$  is the helicity of the  $l$ th nucleon.

The coupled channel Schrödinger equation for this system at  $W \in \Delta_s$  ( $s \leq n_{\text{max}}$ ) is given by

$$(E_W^k - H_0^k) \varphi_{W,c_i}^{ki}([\mathbf{x}]_k) \\ = \sum_{l=0}^{n_{\text{max}}} \int d[\mathbf{y}]_l U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) \varphi_{W,c_i}^{li}([\mathbf{y}]_l), \quad i \in I(W), \quad (33)$$

where  $d[\mathbf{y}]_l = \prod_{m=0}^l d^3 y_m$ ,  $I(W) = \{0, 1, \dots, s\}$  for  $W \in \Delta_s$ , and  $k = 0, 1, \dots, n_{\text{max}}$ . Note that  $E_W^k \simeq W - W_{\text{th}}^k < 0$  if  $k \notin I(W)$ . It is now clear that the nonrelativistic condition is necessary here to determine  $E_W^k$  from  $W, c_i$  if  $k \neq i$ . Our task is to show that a  $W$ -independent  $(n_{\text{max}} + 1) \times (n_{\text{max}} + 1)$  potential matrix  $U$  exists.

As in the previous subsection, we define vectors of NBS wave functions with  $(n_{\text{max}} + 1)$  components at  $W \in \Delta_s$  as

$$\varphi_{W,c_i}^i \equiv (\varphi_{W,c_i}^{0i}([\mathbf{x}]_0), \varphi_{W,c_i}^{1i}([\mathbf{x}]_1), \dots, \varphi_{W,c_i}^{n_{\text{max}}i}([\mathbf{x}]_{n_{\text{max}}}))^T, \quad (34)$$

where  $i$  runs over  $I(W)$ .

The norm kernel is defined by

$$\mathcal{N}_{W_1 c_i, W_2 d_j}^{ij} \equiv (\varphi_{W_1 c_i}^i, \varphi_{W_2 d_j}^j) \\ = \sum_{k=0}^{n_{\text{max}}} \int d[\mathbf{x}]_k \overline{\varphi_{W_1 c_i}^{ki}([\mathbf{x}]_k)} \varphi_{W_2 d_j}^{kj}([\mathbf{x}]_k), \quad (35)$$

whose inverse is denoted by  $\mathcal{N}^{-1}$ , where  $i \in I(W_1)$  and  $j \in I(W_2)$ . The bra and ket vectors, respectively defined by

$$\langle [\mathbf{x}]_k | \varphi_{W,c_i}^i \rangle = \varphi_{W,c_i}^{ki}([\mathbf{x}]_k), \quad (36)$$

$$\langle \psi_{W,c_i}^i | [\mathbf{x}]_k \rangle = \sum_{W_1} \sum_{j \in I(W_1)} \sum_{d_j} (\mathcal{N}^{-1})_{W c_i, W_1 d_j}^{ij} \overline{\varphi_{W_1 d_j}^{kj}([\mathbf{x}]_k)}, \quad (37)$$

satisfy

$$\langle \psi_{W_1 c_i}^i | \varphi_{W_2 d_j}^j \rangle = \sum_{k=0}^{n_{\text{max}}} \int d[\mathbf{x}]_k \langle \psi_{W_1 c_i}^i | [\mathbf{x}]_k \rangle \langle [\mathbf{x}]_k | \varphi_{W_2 d_j}^j \rangle \\ = \delta^{ij} \delta_{W_1, W_2} \delta_{c_i, d_j}. \quad (38)$$

Introducing operators  $E_W$ ,  $H_0$ , and  $U$ , defined as in Eqs. (25) and (26), we can construct

$$U = \sum_W \sum_{i \in I(W)} \sum_{c_i} (E_W - H_0) | \varphi_{W,c_i}^i \rangle \langle \psi_{W,c_i}^i |, \quad (39)$$

which satisfies the coupled channel equation

$$(E_W - H_0) | \varphi_{W,c_i}^i \rangle = U | \varphi_{W,c_i}^i \rangle. \quad (40)$$

It is also easy to see the effective Hermiticity of  $U$ :  $U_{W_1 c_i, W_2 d_j}^{ij} = (U^\dagger)_{W_1 c_i, W_2 d_j}^{ij}$  at  $W_1 = W_2$  (with nonrelativistic approximation).

### C. Special case without nonrelativistic approximation

In this subsection, we discuss a special case of inelastic scatterings where the nonrelativistic approximation is not required to construct energy-independent potentials. Here, coupled two-particle scattering channels such as  $A_i B_i \rightarrow A_j B_j$  with  $i, j = 1, 2, \dots, n_{\text{max}}$  are considered. For example, in the baryon scattering in the strangeness  $S = -2$  and isospin  $I = 0$  channel,  $\Lambda \Lambda$ ,  $N \Xi$ , and  $\Sigma \Sigma$  appear as asymptotic states if the total energy  $W$  in the center of mass system is  $2m_\Sigma \leq W < m_N + m_\Xi + m_\pi$ . The method to extract coupled channel potentials in this kind of situation has already been proposed in Ref. [24], under the assumption that energy-independent coupled channel potentials exist. In this subsection, we prove this assumption.

Given the total energy  $W$ , the relative momentum  $\mathbf{p}_i$  (squared) and the kinetic energy  $E_W^i$ , together with the free Hamiltonian  $H_0$ , for  $A_i B_i$  are given by

$$W = \sqrt{\mathbf{p}_i^2 + m_{A_i}^2} + \sqrt{\mathbf{p}_i^2 + m_{B_i}^2}, \quad E_W^i = \frac{\mathbf{p}_i^2}{2m_r^i}, \\ H_0 = \frac{-\nabla^2}{2m_r^i}, \quad m_r^i = \frac{m_{A_i} m_{B_i}}{m_{A_i} + m_{B_i}}, \quad (41)$$

where  $m_{A_i}$  and  $m_{B_i}$  are masses of  $A_i$  and  $B_i$ , respectively, and  $m_r^i$  is their reduced mass. We here assume  $m_{A_i} + m_{B_i} < m_{A_j} + m_{B_j}$  for  $i < j$ . Note that if  $W < W_{\text{th}}^i \equiv m_{A_i} + m_{B_i}$ ,  $\mathbf{p}_i^2$  and  $E_W^i$  become negative.

We define NBS wave function for  $A_k B_k$  as

$$(Z_{A_k} Z_{B_k})^{1/2} \varphi_{W,c_i}^{ki}(\mathbf{x}) = \langle 0 | T \{ A_k(\mathbf{r}, 0) B_k(\mathbf{r} + \mathbf{x}, 0) \} \\ \times | A_i B_i, W, c_i \rangle_{\text{in}}, \quad (42)$$

where  $Z_{A_k}$  and  $Z_{B_k}$  are renormalization factors defined by  $A_k(x) = Z_{A_k}^{1/2} A_k^r(x)$  and  $B_k(x) = Z_{B_k}^{1/2} B_k^r(x)$  with bare fields  $A_k$  and  $B_k$  and renormalized fields  $A_k^r$  and  $B_k^r$ , respectively, and  $c_i$  represents quantum number of the asymptotic in-state  $| A_i B_i, W, c_i \rangle_{\text{in}}$  other than  $W$ . The index  $k$  always runs from 1 to  $n_{\text{max}}$ , while the index  $i$  runs over

$I(W) = 1, 2, \dots, s-1$  if  $W_{\text{th}}^{s-1} \leq W < W_{\text{th}}^s$ . We can show that

$$\lim_{|x| \rightarrow \infty} (E_W^k - H_0) \varphi_{W, c_i}^{ki}(\mathbf{x}) = 0, \quad (43)$$

and  $\varphi_{W, c_i}^{ki}(\mathbf{x})$  carries the information of scattering phase shifts [24].

We define vectors  $|\varphi_{W, c_i}^i\rangle$  and the corresponding norm kernel as

$$\begin{aligned} \langle \mathbf{x}, k | \varphi_{W, c_i}^i \rangle &= \varphi_{W, c_i}^{ki}(\mathbf{x}), \\ \mathcal{N}_{W_1 c_i, W_2 c_j}^{ij} &= (\varphi_{W_1, c_i}^i, \varphi_{W_2, c_j}^j) \\ &\equiv \sum_{k=1}^{n_{\max}} \int d^3x \overline{\varphi_{W_1, c_i}^{ki}(\mathbf{x})} \varphi_{W_2, c_j}^{kj}(\mathbf{x}), \end{aligned} \quad (44)$$

where  $i \in I(W_1)$  and  $j \in I(W_2)$ . Using the inverse  $\mathcal{N}^{-1}$  of  $\mathcal{N}$ , we construct dual vectors

$$\langle \psi_{W, c_i}^i | \mathbf{x}, k \rangle = \sum_{W_1, j \in I(W_1), c_j} (\mathcal{N}^{-1})_{W c_i, W_1 c_j}^{ij} \overline{\langle \mathbf{x}, k | \varphi_{W_1, c_j}^j \rangle}, \quad (46)$$

which satisfy

$$\sum_{k=1}^{n_{\max}} \int d^3x \langle \psi_{W_1, c_i}^i | \mathbf{x}, k \rangle \cdot \langle \mathbf{x}, k | \varphi_{W_2, c_j}^j \rangle = \delta^{ij} \delta_{W_1, W_2} \delta_{c_i, c_j}. \quad (47)$$

An energy-independent  $n_{\max} \times n_{\max}$  potential matrix which satisfies the coupled channel equation

$$(E_W^k - H_0) \varphi_{W, c_i}^{ki}(\mathbf{x}) = \sum_{l=1}^{n_{\max}} \int d^3y U^{kl}(\mathbf{x}, \mathbf{y}) \varphi_{W, c_i}^{li}(\mathbf{y}) \quad (48)$$

can be constructed as

$$U^{kl}(\mathbf{x}, \mathbf{y}) = \sum_{W, i \in I(W), c_i} (E_W^k - H_0) \langle \mathbf{x}, k | \varphi_{W, c_i}^i \rangle \langle \psi_{W, c_i}^i | \mathbf{y}, l \rangle, \quad (49)$$

which is manifestly energy ( $W$ ) independent and is Hermite at fixed  $W$ .

### III. TIME-DEPENDENT METHOD

In Ref. [22], a method to extract hadronic potentials below inelastic thresholds from time dependence of correlation functions has been proposed, in order to overcome difficulties in the conventional method where NBS wave functions with definite energies are extracted from asymptotic behaviors of correlation functions in time. In this section, we extend the method so that it can be applicable to the case above inelastic thresholds.

The normalized correlation function is defined by

$$\begin{aligned} Z_N Z_\pi^{k/2} R^k([\mathbf{x}]_k, t) &= \frac{1}{e^{-W_{\text{th}}^k t}} \langle 0 | T \{ N(\mathbf{x}, t) N(\mathbf{x} + \mathbf{x}_0, t) \\ &\quad \times \prod_{l=1}^k \pi(\mathbf{x} + \mathbf{x}_l, t) \mathcal{J}_{NN}(0) \} | 0 \rangle \end{aligned} \quad (50)$$

for  $k = 0, 1, 2, \dots, n_{\max}$ , where  $\mathcal{J}_{NN}$  is some source operator which couples to  $NN$  states. Inserting the complete set for the  $NN$  system that

$$\begin{aligned} \mathbf{1} &= \sum_W \sum_{i \in I(W)} \sum_{c_i} |NN + i\pi, W, c_i\rangle_{\text{in}} \langle NN + i\pi, W, c_i| \\ &\quad + \dots, \end{aligned} \quad (51)$$

where the ellipsis represents states with  $W > W_{\text{th}}^{n_{\max}}$  and are neglected hereafter, into the above correlation function, we obtain

$$R^k([\mathbf{x}]_k, t) = \sum_W \sum_{i \in I(W)} \sum_{c_i} e^{-\Delta^k W t} \varphi_{W, c_i}^{ki}([\mathbf{x}]_k) A_{W, c_i}^i, \quad (52)$$

where

$$\begin{aligned} A_{W, c_i}^i &= {}_{\text{in}} \langle NN + i\pi, W, c_i | \mathcal{J}_{NN}(0) | 0 \rangle, \\ \Delta^k W &\equiv W - W_{\text{th}}^k \simeq E_W^k. \end{aligned} \quad (53)$$

Note that  $R^k$  automatically contains a sum over  $W, i \in I(W), c_i$ , which is necessary to define the nonlocal potentials in the previous section but is difficult in practice to perform one by one. Note however, that states with relativistic momenta may appear in the sum. We here assume that contributions from such states can be suppressed by an appropriate choice of  $\mathcal{J}_{NN}$ . Using the nonrelativistic approximation, we can derive

$$\begin{aligned} \left\{ -H_0^k - \frac{\partial}{\partial t} \right\} \cdot R^k([\mathbf{x}]_k, t) &= \sum_{W, i \in I(W), c_i} e^{-\Delta^k W t} \sum_{l=0}^{n_{\max}} \int d[\mathbf{y}]_l U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) \varphi_{W, c_i}^{li}([\mathbf{y}]_l) A_{W, c_i}^i \\ &= \sum_{l=0}^{n_{\max}} e^{-(l-k)m_\pi t} \int d[\mathbf{y}]_l U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) \sum_{W, i \in I(W), c_i} e^{-\Delta^l W t} \varphi_{W, c_i}^{li}([\mathbf{y}]_l) A_{W, c_i}^i \\ &= \sum_{l=0}^{n_{\max}} e^{-(l-k)m_\pi t} \int d[\mathbf{y}]_l U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) R^l([\mathbf{y}]_l, t). \end{aligned} \quad (54)$$

We then finally obtain

$$\begin{aligned} & \left\{ -H_0^k - \frac{\partial}{\partial t} \right\} \cdot R^k([\mathbf{x}]_k, t) \\ &= e^{km_\pi t} \sum_{l=0}^{n_{\max}} e^{-lm_\pi t} \int d[\mathbf{y}]_l U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) R^l([\mathbf{y}]_l, t), \end{aligned} \quad (55)$$

which can be used to obtain  $U^{kl}$ , combined with the derivative expansion [22].

We here propose a method to extract  $U^{kl}$  directly. For this purpose, we consider a set of more complicated correlation functions defined by

$$\begin{aligned} R^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l, t) &= \frac{1}{e^{-W_{\text{th}}^k t}} \langle 0 | T \{ N(\mathbf{x}, t) N(\mathbf{x} + \mathbf{x}_0, t) \\ &\quad \times \prod_{m=1}^k \pi(\mathbf{x} + \mathbf{x}_m, t) \int d^3 y \bar{N}(\mathbf{y}, 0) \bar{N}(\mathbf{y} + \mathbf{y}_0, 0) \\ &\quad \times \prod_{s=1}^l \pi^\dagger(\mathbf{y} + \mathbf{y}_s, 0) \} | 0 \rangle, \end{aligned} \quad (56)$$

which satisfies

$$\begin{aligned} & \left\{ -H_0^k - \frac{\partial}{\partial t} \right\} \cdot R^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l, t) \\ &= \sum_{s=0}^{n_{\max}} e^{-(s-k)m_\pi t} \int d[\mathbf{z}]_s U^{ks}([\mathbf{x}]_k, [\mathbf{z}]_s) R^{sl}([\mathbf{z}]_s, [\mathbf{y}]_l, t). \end{aligned} \quad (57)$$

Using real eigenvalues  $\lambda_m$  of the Hermitian operator  $R$  and their eigenvectors  $\mathbf{v}_m$  whose  $k$ th component is given by  $v_m^k([\mathbf{x}]_k, t)$  with  $m = 0, 1, \dots, n_{\max}$ , we can construct the inverse of  $R$  as

$$(R^{-1})^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l, t) = \sum_{m=n_0}^{n_{\max}} \frac{1}{\lambda_m} v_m^k([\mathbf{x}]_k, t) \{ v_m^l([\mathbf{y}]_l, t) \}^\dagger. \quad (58)$$

Note that we remove zero modes with  $\lambda_m = 0$  from  $R$  and  $R^{-1}$ , so that the dimensions of  $R$  and  $R^{-1}$  are effectively reduced from  $(n_{\max} + 1) \times (n_{\max} + 1)$  to  $(n_{\max} + 1 - n_0) \times (n_{\max} + 1 - n_0)$ , where  $n_0$  is the number of zero modes.

Using the inverse  $R^{-1}$ , we can extract  $U$  as

$$\begin{aligned} & U^{kl}([\mathbf{x}]_k, [\mathbf{y}]_l) \\ &= e^{-km_\pi t} \sum_{s=0}^{n_{\max}} \int d[\mathbf{z}]_s \left\{ -H_0^k - \frac{\partial}{\partial t} \right\} \cdot R^{ks}([\mathbf{x}]_k, [\mathbf{z}]_s, t) \\ &\quad \times (R^{-1})^{sl}([\mathbf{z}]_s, [\mathbf{y}]_l, t) e^{lm_\pi t}. \end{aligned} \quad (59)$$

#### IV. CONCLUSION AND DISCUSSION

In this paper, we have shown that energy-independent and nonlocal potentials can be constructed from a particular set of NBS wave functions even above inelastic thresholds as long as momenta of all particles involved

are nonrelativistic (Secs. II A and II B) or the number of particles is always two (Sec. II C). We have also derived a formula to extract nonlocal potentials with nonrelativistic approximations using the time-dependent method proposed in Ref. [22].

By the same method in Secs. II A and II B, we can construct an energy-independent nonlocal potential for three-nucleon systems [14,15] and even for systems with more than three nucleons. In the case of inelastic scattering such as  $\Lambda\Lambda \rightarrow \Lambda\Lambda$ ,  $N\Xi$ ,  $\Sigma\Sigma$  [25], the result in Sec. II C has completed the HAL QCD method proposed in Ref. [24], where nonrelativistic approximation is not required.

The existence of energy-independent potentials, which is one of the important properties necessary for the HAL QCD method to investigate hadronic interactions, is now established in rather general situations. A remaining important property to be proven is the asymptotic behavior of NBS wave functions for more than two particles and its relation to the  $S$  matrix of the corresponding quantum field theory. Results on this issue will be published elsewhere [23].

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#### APPENDIX: COMPARISONS AMONG DIFFERENT CONSTRUCTIONS

The energy-independent  $(n_{\max} + 1) \times (n_{\max} + 1)$  potential matrix in the main text is given in the coordinate space by

$$U^{kl} = \sum_W \sum_{i \in I(W)} \sum_{c_i} (E_W^k - H_0^k) |\varphi_{W,c_i}^{ki}\rangle \langle \psi_{W,c_i}^{li}| \quad (A1)$$

for  $0 \leq k, l \leq n_{\max}$ , where  $\langle [\mathbf{x}]_k | \varphi_{W,c_i}^{ki} \rangle = \varphi_{W,c_i}^{ki}([\mathbf{x}]_k)$  and  $\sum_{k=0}^{n_{\max}} \langle \psi_{W_1,c_1}^{ki} | \varphi_{W_2,d_j}^{kj} \rangle = \delta^{ij} \delta_{W_1,W_2} \delta_{c_1,d_j}$ . The corresponding coupled channel Schrödinger equation is given by

$$(E_W - H_0) |\varphi_{W,c_i}^{ki}\rangle = \sum_{l=0}^{n_{\max}} U^{kl} |\varphi_{W,c_i}^{li}\rangle \quad (A2)$$

for  $0 \leq k \leq n_{\max}$  and  $i \in I(W)$ .

In this Appendix, we consider some other constructions of energy-independent potentials in terms of NBS wave functions and compare them with Eq. (A1).

### 1. Modified wave function vectors

As already mentioned in the main text, we can define the vectors of NBS wave functions by using Eq. (18) instead of Eq. (17). The corresponding modification to Eq. (32) becomes

$$Z_N Z_\pi^{k/2} \varphi_{W,c_i}^{ki}([\mathbf{x}]_k) = \langle 0 | T \{ N(\mathbf{x}, 0) N(\mathbf{x} + \mathbf{x}_0, 0) \prod_{l=1}^k \pi(\mathbf{x} + \mathbf{x}_l, 0) \} | NN + i\pi, W, c_i \rangle_{\text{in}},$$

$$k, i \leq s, \quad = 0, \quad \text{otherwise.} \quad (\text{A3})$$

The energy-independent potential  $U_M^{kl}$  (where  $M$  represents “modified”) is given by the same formula in Eq. (A1) with modifications by (A3) to  $|\varphi_{W,c_i}^i\rangle$  and  $\langle\psi_{W,c_i}^i|$ , while the corresponding Schrödinger equation reads

$$(E_W - H_0) |\varphi_{W,c_i}^{ki}\rangle = \sum_{l \in I(W)} U_M^{kl} |\varphi_{W,c_i}^{li}\rangle \quad (\text{A4})$$

for  $k, i \in I(W)$ , where  $I(W) = 0, 1, 2, \dots, s$  at  $W \in \Delta_s = [W_{\text{th}}^s, W_{\text{th}}^{s+1})$ .

### 2. Recursive construction

We construct another energy-independent potential recursively starting from the potential for the elastic  $NN$  scattering.

We first define the  $U_R^{00}$  corresponding to  $NN \rightarrow NN$  elastic scattering as

$$U_R^{00} = \sum_{W \in \Delta_0} \sum_{c_0} (E_W - H_0) |\varphi_{W,c_0}^{00}\rangle \langle\psi_{W,c_0}^{00}|, \quad (\text{A5})$$

where the dual wave function  $\langle\psi_{W,c_0}^{00}|$  to  $|\varphi_{W,c_0}^{00}\rangle$  satisfies  $\langle\psi_{W_1,c_0}^{00}|\varphi_{W_2,c_0}^{00}\rangle = \delta_{W_1,W_2} \delta_{c_0,d_0}$  at  $W_1, W_2 \in \Delta_0$ . This  $U_R^{00}$  is identical to the elastic potential given in Eq. (7) and satisfies

$$(E_W - H_0) |\varphi_{W,c_0}^{00}\rangle = U_R^{00} |\varphi_{W,c_0}^{00}\rangle \quad (\text{A6})$$

at  $W \in \Delta_0$ .

We then increase the energy so that  $W \in \Delta_1$ . A condition that  $\varphi_{W,c_i}^{ki}$  for  $0 \leq k, i \leq 1$  satisfy the corresponding Schrödinger equation leads to

$$U_R^{01} = \sum_{W \in \Delta_1} \sum_{i=0,1} \sum_{c_i} [(E_W - H_0) |\varphi_{W,c_i}^{0i}\rangle - U_R^{00} |\varphi_{W,c_i}^{0i}\rangle] \langle\psi_{W,c_i}^{1i}|, \quad (\text{A7})$$

where  $\langle\psi_{W,c_i}^{1i}|$  for  $i = 0, 1$  satisfy  $\langle\psi_{W_1,c_i}^{1i}|\varphi_{W_2,d_j}^{1j}\rangle = \delta^{ij} \delta_{W_1,W_2} \delta_{c_i,d_j}$  at  $W_1, W_2 \in \Delta_1$ . Note that  $U_R^{00}$  used here is determined in Eq. (A6) at the elastic region. We define  $U_R^{10}$  by imposing Hermiticity for the potential, i.e.,  $U_R^{10} = (U_R^{01})^\dagger$ , from which we can finally determine

$$U_R^{11} = \sum_{W \in \Delta_1} \sum_{i=0,1} \sum_{c_i} [(E_W - H_0) |\varphi_{W,c_i}^{1i}\rangle - U_R^{10} |\varphi_{W,c_i}^{1i}\rangle] \langle\psi_{W,c_i}^{1i}|. \quad (\text{A8})$$

We now have  $U_R^{00}$  at  $W \in \Delta_0$  and  $U_R^{ij}$  for  $0 \leq i, j \leq 1$  at  $W \in \Delta_1$ .

It is not so difficult to extend the above construction to larger  $W$  successively. We assume that the  $s \times s$  potential matrix  $U_R^{ij}$  is already determined at  $W \in \Delta_{s-1}$  for  $s \leq n_{\text{max}}$ . At  $W \in \Delta_s$ ,  $U_{ks}$  for  $k < s$  can be obtained by

$$U_R^{ks} = \sum_{W \in \Delta_s} \sum_{i \in I(W)} \sum_{c_i} [(E_W - H_0) |\varphi_{W,c_i}^{ki}\rangle - \sum_{l=0}^{s-1} U_R^{kl} |\varphi_{W,c_i}^{li}\rangle] \langle\psi_{W,c_i}^{si}|, \quad (\text{A9})$$

where  $\langle\psi_{W,c_i}^{si}|$  for  $i = 0, 1, \dots, s$  satisfy  $\langle\psi_{W_1,c_i}^{si}|\varphi_{W_2,d_j}^{sj}\rangle = \delta^{ij} \delta_{W_1,W_2} \delta_{c_i,d_j}$  at  $W_1, W_2 \in \Delta_s$ . Using the Hermiticity relation that  $U_R^{sk} = (U_R^{ks})^\dagger$  for  $k = 0, 1, \dots, s-1$ , we obtain  $U_R^{ss}$  as

$$U_R^{ss} = \sum_{W \in \Delta_s} \sum_{i \in I(W)} \sum_{c_i} [(E_W - H_0) |\varphi_{W,c_i}^{si}\rangle - \sum_{l=0}^{s-1} U_R^{sl} |\varphi_{W,c_i}^{li}\rangle] \langle\psi_{W,c_i}^{si}|. \quad (\text{A10})$$

The  $(s+1) \times (s+1)$  potential matrix  $U_R^{kl}$  for  $0 \leq k, l \leq s$  is constructed. We can continue this recursive construction until  $s = n_{\text{max}}$ .

The corresponding Schrödinger equation at  $W \in \Delta_s$  becomes

$$(E_W - H_0) |\varphi_{W,c_i}^{ki}\rangle = \sum_{l=0}^s U_R^{kl} |\varphi_{W,c_i}^{li}\rangle \quad (\text{A11})$$

for  $0 \leq k, i \leq s$ , where off-diagonal elements  $U^{kl}$  for  $k \neq l$  are Hermite by construction.

### 3. Construction at each energy interval

We finally give a construction of the potential matrix different at each energy interval. At  $W \in \Delta_s$  for  $0 \leq s \leq n_{\text{max}}$ , the  $(s+1) \times (s+1)$  potential matrix can be constructed as

$$U_s^{kl} = \sum_{W \in \Delta_s} \sum_{i \in I(W)} \sum_{c_i} (E_W - H_0) |\varphi_{W,c_i}^{ki}\rangle \langle\psi_{W,c_i}^{li}| \quad (\text{A12})$$

for  $0 \leq k, l \leq s$ , where  $\langle\psi_{W,c_i}^{ki}|$  for  $i = 0, 1, \dots, s$  satisfy  $\sum_{k=0}^s \langle\psi_{W_1,c_i}^{ki}|\varphi_{W_2,d_j}^{kj}\rangle = \delta^{ij} \delta_{W_1,W_2} \delta_{c_i,d_j}$  at  $W_1, W_2 \in \Delta_s$ . Note that  $U_0^{00}$  is identical to  $U^{00}$  given in Eq. (7).



TABLE I. A comparison of different constructions.

Construction	Original	Modified (Appendix A 1)	Recursive (Appendix A 2)	Interval (Appendix A 3)
Size of $U$ at $W \in \Delta_s$	$(n_{\max} + 1)^2$	$(s + 1)^2$	$(s + 1)^2$	$(s + 1)^2$
$\Delta_s$ dependence of $U$	No	No	No	Yes
Vectors	$\{ \varphi_{W,c_i}^{k,i}\rangle\}_{k \leq n_{\max}}$	$\{ \varphi_{W,c_i}^{k,i}\rangle\}_{k \leq s}$	$ \varphi_{W,c_i}^{s,i}\rangle$	$\{ \varphi_{W,c_i}^{k,i}\rangle\}_{k \leq s}$
Feature	$t$ -dependent method		Recursive	Each $\Delta_s$

The corresponding Schrödinger equation at  $W \in \Delta_s$  becomes

$$(E_W - H_0)|\varphi_{W,c_i}^{k,i}\rangle = \sum_{l=0}^s U_s^{kl} |\varphi_{W,c_i}^{l,i}\rangle \quad (\text{A13})$$

for  $0 \leq k, i \leq s$ .

#### 4. Comparison

Properties of the original construction in the main text are as follows.

- (1) The size of the potential matrix  $U^{kl}$  is always  $(n_{\max} + 1)^2$  at all  $W \in [W_{\text{th}}^0, W_{\text{th}}^{n_{\max}+1})$ .
- (2) The form of the potential matrix given in Eq. (A1) is also the same at all energy.
- (3) We use  $(n_{\max} + 1)$ -length vectors  $\{|\varphi_{W,c_i}^{0i}\rangle, |\varphi_{W,c_i}^{1i}\rangle, \dots, |\varphi_{W,c_i}^{n_{\max}i}\rangle\}$ , which are taken to be linearly independent for different values of  $W \in [W_{\text{th}}^0, W_{\text{th}}^{n_{\max}+1})$ ,  $i \in I(W)$ , and  $c_i$ .
- (4) The construction can be combined with the time-dependent method in Sec. III.

In the case of the modified wave function vectors, we have the following.

- (1) The size of the potential matrix  $U_M^{kl}$  is  $(s + 1)^2$  at  $W \in \Delta_s$ .
- (2) The form of  $U_M^{kl}$  is the same at all energy where  $U_M^{kl}$  is defined.
- (3) We use vectors  $\{|\varphi_{W,c_i}^{0i}\rangle, |\varphi_{W,c_i}^{1i}\rangle, \dots, |\varphi_{W,c_i}^{si}\rangle, 0, \dots, 0\}$ , which are linearly independent for different

values of  $W \in [W_{\text{th}}^0, W_{\text{th}}^{n_{\max}+1})$ ,  $i \in I(W)$ , and  $c_i$ . The (effective) length of these vectors is  $s + 1$  at  $W \in \Delta_s$ .

For the recursive construction, we have the following.

- (1) The size of the potential matrix  $U_R^{kl}$  is  $(s + 1)^2$  at  $W \in \Delta_s$ .
- (2) The form of  $U_R^{kl}$  is the same at all energy where  $U_R^{kl}$  is defined.
- (3) We use  $|\varphi_{W,c_i}^{si}\rangle$ , which are linearly independent for different values of  $W \in \Delta_s$ ,  $i \in I(W)$ , and  $c_i$ .
- (4) The potential matrix is recursively constructed: At  $W \in \Delta_s$ ,  $U_R^{ks}$  for  $k = 0, 1, 2, \dots, s$  are determined from  $\{U^{k's}|k' < k\}$ , while  $U_R^{sk}$  can be obtained from  $U_R^{ks}$  by using Hermiticity.

For the construction in Sec. A 3 in the Appendix, we have the following.

- (1) The size of the potential matrix  $U^{kl}$  is  $(s + 1)^2$  at  $W \in \Delta_s$ .
- (2) The form of  $U_s^{kl}$  is different for each  $s$  at  $W \in \Delta_s$ .
- (3) We use the  $(s + 1)$  length vectors  $\{|\varphi_{W,c_i}^{0i}\rangle, |\varphi_{W,c_i}^{1i}\rangle, \dots, |\varphi_{W,c_i}^{si}\rangle\}$ , which are linearly independent for different values of  $W \in \Delta_s$ ,  $i \in I(W)$ , and  $c_i$ .
- (4)  $U_s^{kl}$  can be determined at each energy interval  $\Delta_s$ , without using information of other energy intervals.

We summarize the above properties in Table I.

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