

1 **Pharmacokinetics of Core-Polymerized, Boron-Conjugated Micelles Designed**  
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3 **for Boron Neutron Capture Therapy for Cancer**  
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**Abstract**

Core-polymerized and boron-conjugated micelles (PM micelles) were prepared by free radical copolymerization of a PEG-*b*-PLA block copolymer bearing an acetal group and a methacryloyl group (acetal-PEG-*b*-PLA-MA), with 1-(4-vinylbenzyl)-*closo*-carborane (VB-carborane), and the utility of these micelles as a tumor-targeted boron delivery system was investigated for boron neutron capture therapy (BNCT). Non-polymerized micelles (NPM micelles) that incorporated VB-carborane physically showed significant leakage of VB-carborane (ca. 50%) after 12 h incubation with 10% fetal bovine serum (FBS) at 37 °C. On the other hand, no leakage from the PM micelles was observed even after 48 h of incubation. To clarify the pharmacokinetics of the micelles, <sup>125</sup>I (radioisotope)-labeled PM and NPM micelles were administered to colon-26 tumor-bearing BALB/c mice. The <sup>125</sup>I-labeled PM micelles showed prolonged blood circulation (area under the concentration curve (AUC): 943.4) than the <sup>125</sup>I-labeled NPM micelles (AUC: 495.1), whereas tumor accumulation was similar for both types of micelles (AUC<sub>PM micelle</sub>: 249.6, AUC<sub>NPM micelle</sub>: 201.1). In contrast, the tumor accumulation of boron species in the PM micelles (AUC: 268.6) was 7-fold higher than the NPM micelles (AUC: 37.1), determined by ICP-AES. Thermal neutron irradiation yielded tumor growth suppression in the tumor-bearing mice treated with the PM micelles without reduction in body weight. On the basis of these data, the PM micelles represent a promising approach to the creation of boron carrier for BNCT.

## 1. Introduction

Boron neutron capture therapy (BNCT) has attracted much attention as a selective and noninvasive type of cancer therapy [1,2]. This therapy is based on the capture reaction of thermal neutrons using nonradioactive  $^{10}\text{B}$ , which produces  $\alpha$  particles and  $^7\text{Li}$  nuclei with approximately 2.3 MeV of energy. These high linear-energy-transfer (LET) particles dissipate their energy before traveling across the diameter of cells (5-9  $\mu\text{m}$ ) within tissues, resulting in cytotoxic effects. Two types of  $^{10}\text{B}$ -compounds, sodium borocaptate (BSH) and L-4-dihydroxyboronylphenylalanine (BPA), have been utilized for clinical trials. However, due to the rapid clearance of these compounds from the bloodstream (half-life of blood circulation time of BSH, BPA:  $t_{1/2} < 1$  h) [3], a high dose of  $^{10}\text{B}$ -compounds is generally required to allow a sufficient concentration of  $^{10}\text{B}$  atoms to accumulate in tumor tissues (BSH: 41 mg  $^{10}\text{B}/\text{kg}$ , BPA: 58 mg  $^{10}\text{B}/\text{kg}$ ) [4]. Meanwhile, with BNCT, it is theoretically possible to kill tumor cells without damage to normal cells if  $^{10}\text{B}$  atoms can be selectively accumulated in tumor tissues (15–30 ppm of  $^{10}\text{B}$  atoms per gram of tumor tissue). Therefore, the therapeutic value of cancer BNCT under *in vivo* conditions is largely dependent on the development of effective boron carrier systems that can achieve modulated disposition in the body through the intravenous route as well as facilitate accumulation in tumor tissues. Maeda and Matsumura reported that large-molecular-weight compounds, including nanoparticles tend to accumulate in tumors due to the presence of leaky neovascular walls and an immature lymphatic system in tumors (a phenomenon called the enhanced permeability and retention (EPR) effect) [5,6]. The high levels of nanoparticle accumulation can be achieved via the EPR effect if the nanoparticle has a tendency for prolonged circulation within the bloodstream, since the EPR effect builds up

1 gradually over several days. Thus, prolonged circulation of nanoparticles, for at least several days,  
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3 in the bloodstream is a preferable feature in development of cancer therapeutics. To improve the  
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5 therapeutic effect of cancer BNCT, a variety of boron-delivery systems, such as BSH-encapsulated  
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7 PEG-modified liposomes, have been developed, and some of these can allow accumulation to  
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9 substantially higher levels in tumor tissues than that achieved with free BSH [7-9]. However, the  
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11 therapeutic efficacy of BSH-encapsulated liposomes is still controversial due to the leakage of the  
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13 encapsulated BSH from the liposome into the bloodstream [10]. An alternative approach  
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15 represented by the <sup>10</sup>B-compound-conjugated liposomes fabricated by covalently linking a lipid  
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17 (hydrophobic group) with a <sup>10</sup>B-compound (hydrophilic group) to suppress the leakage of the  
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19 <sup>10</sup>B-compound into the bloodstream [11,23]. However, the synthesis of *nido*-carborane required  
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21 complicated preparation steps. Additionally, serious acute toxicity was observed *in vivo* because of  
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23 the cytotoxicity of *nido*-carborane [23].  
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35 We have studied nano-sized polymeric micelles constructed from AB-type amphiphilic block  
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37 copolymers as drug carrier and reported the selective accumulation into tumor tissues through the  
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39 EPR effect [12]. However, one of the disadvantages of utilizing polymeric micelles as the drug  
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41 carrier was leakage of the drug incorporated in the micelles during blood circulation [13-15].  
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43 Therefore, we attempted to prepare polymeric micelles by conjugating the boron compounds  
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45 through covalent bonds, since release of the incorporated drugs from nanoparticles is not required  
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47 for BNCT. Recently, we reported the development of a new class of boron delivery systems based  
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49 on core cross-linked and boron-conjugated micelles prepared by radical polymerization of  
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51 poly(ethylene glycol)-*block*-poly(lactide) copolymer (PEG-*b*-PLA), which bears an acetal group at  
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53 the PEG end and a methacryloyl group at the biodegradable PLA end (acetal-PEG-*b*-PLA-MA) and  
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1 a polymerizable carborane bearing two vinylbenzyl groups (1,2-bis(4-vinylbenzyl)-*closo*-carborane)  
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3 as the cross-linker [16]. Indeed, the obtained core cross-linked and boron-conjugated micelles  
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5 showed no leakage of boron compounds from the micelles under physiological conditions even in  
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7 the presence of fetal bovine serum (FBS) at 37 °C, while significant leakage (80%) of boron  
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9 compounds was observed from the non-cross-linked micelles, which incorporate the boron  
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11 compounds by physical entrapment. The boron concentrations in both blood (13.5%ID/g) and tumor  
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13 tissues (5.4%ID/g) of tumor-bearing mice injected with the core cross-linked micelles were  
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15 significantly higher at 24 h after the injection than in the mice injected with the non-cross-linked  
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17 micelles (blood: 1.8%ID/g, tumor: 1.4%ID/g). However, the loading content of the carborane in the  
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19 micelles was insufficient (ca. 1.0 wt%), probably due to low compatibility of the carborane with the  
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21 micelle core. From calculations made using the pharmacokinetic data, a huge dose (2,000–3,000  
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23 mg/kg) would be required to attain a therapeutically effective boron concentration in tumor tissue  
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25 for BNCT (15–30 ppm of <sup>10</sup>B atoms per gram of tumor tissues), if one were to use the core  
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27 cross-linked micelle. For this reason, it was difficult to utilize the core cross-linked and  
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29 boron-conjugated micelles as boron carriers for *in vivo* BNCT.  
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32 In this study, we prepared and characterized core-polymerized (but not cross-linked) and  
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34 boron-conjugated micelles (PM micelles) with high loading content by performing free radical  
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36 copolymerization of the core of the acetal-PEG-*b*-PLA-MA micelles with polymerizable  
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38 (mono-functional) 1-(4-vinylbenzyl)-*closo*-carborane (VB-carborane) as a comonomer (**Figure 1**).  
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40 Note that no cross-linking agent was used in the core-polymerization system. The VB-carborane  
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42 bearing a vinylbenzyl group and a CH group, was synthesized to increase the loading contents of  
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44 the boron compounds in the core. Because the CH group of the carborane has been reported to  
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1 exhibit an acidic nature, the loading occurs when the CH group of carborane and carbonyl group  
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3 (C=O) of the PLA segment interact to form a C-H...O=C hydrogen bond [17]. PM micelles with  
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6 high loading content of boron compounds are expected to suppress the leakage of the boron  
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9 compounds into the bloodstream due to the existence of the covalent bonds between the boron  
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12 compounds and the PLA core, leading to prolonged blood circulation time and enhanced tumor  
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15 accumulation. Additionally, the PM micelles are expected to excrete easily from major organs via  
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18 biodegradation of the PLA core. The PM micelles are thus expected to exert significant therapeutic  
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21 effects when used with thermal neutron irradiation in tumor-bearing mice because of the high  
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24 concentration of boron atoms in the tumor tissues, which can be attributed to the high stability of  
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27 the micelles in the bloodstream. We believe that the use of PM micelles composed of  
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30 acetal-PEG-*b*-PLA-MA and VB-carborane represents a promising approach to the creation of boron  
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33 carriers for cancer BNCT.  
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## 37 **2. Materials and methods**

### 38 **2.1. Materials**

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49 Azobisisobutyronitrile (AIBN; Wako Pure Chemical Industries, Ltd., Osaka, Japan) was purified  
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52 by recrystallization from methanol and dried *in vacuo*. *N,N*-Dimethylacetamide (DMAc; Kanto  
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55 Chemicals Co., Ltd., Tokyo, Japan), *o*-carborane (Wako Pure Chemical Industries), <sup>10</sup>B-enriched  
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58 *o*-carborane (Katchem spol. s.r.o., Ltd., Prague, Czech), <sup>10</sup>B-enriched BSH (Katchem spol. s.r.o.,  
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61 Ltd.) and poly(ethylene glycol) bearing a methoxy group at the  $\alpha$  end and a hydroxyl group at the  $\omega$   
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1 end (MeO-PEG-OH) (Fluka Chemie GmbH Co, Germany) were used as received. The molecular  
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3 weight of the MeO-PEG-OH was 5,000 g/mol. Water was purified using the Milli-Q system  
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5 (Millipore). Dynamic light scattering measurements were carried out in phosphate-buffered saline  
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7 (PBS) at 37 °C using a Zetasizer Nano-ZS instrument (Malvern, UK) equipped with a 4.0 mW  
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9 He-Ne laser (633 nm). Zeta potential measurement of the micelles was performed at 37 °C in 5 mM  
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11 phosphate buffer solution at pH 7.4 using a Zetasizer Nano-ZS. <sup>1</sup>H-NMR spectra were obtained in  
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13 chloroform-*d* at 25 °C with a JEOL EX270 spectrometer (JEOL, Japan). Chemical shifts were  
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15 reported in ppm relative to CHCl<sub>3</sub> ( $\delta = 7.26$  ppm). The concentration of boron atoms was  
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17 determined by inductively coupled plasma atomic emission spectroscopy (ICP-AES) using an  
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19 ICAP-575 emission spectrometer (Nippon Jarrell-Ash, Japan). Fourier transform infrared  
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21 spectroscopy (FT-IR) measurements were performed using an FT/IR-300 spectrometer (JASCO,  
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23 Tokyo, Japan). FT-IR spectra were collected by the KBr pellet method at a resolution of 4 cm<sup>-1</sup> with  
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25 128 scans. 1-(4-Vinylbenzyl)-*closo*-carborane (VB-carborane) was synthesized by a previously  
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27 reported procedure [18]. The data obtained by elemental analysis, ICP-AES measurement, MS  
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29 measurement, and <sup>1</sup>H-NMR measurement of VB-carborane are summarized in Supplementary data.  
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31 Acetal-PEG-*b*-PLA-MA was synthesized as per the method described in our previous report [19],  
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33 and the molecular weight of the PEG segment and the PLA segment of the block copolymer were  
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35 estimated to be 5,600 and 5,100 g/mol, respectively. The detailed characterization data of  
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37 acetal-PEG-*b*-PLA-MA are summarized in Supplementary data. Acetal-PEG-*b*-PLA-MA micelles  
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39 were also prepared by the method described in our previous report [19]. The average diameter and  
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41 size distribution ( $= \mu_2/\Gamma^2$ ) of the acetal-PEG-*b*-PLA-MA micelles were found to be 38.4 nm and  
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43 0.027, respectively, as determined by DLS measurements. **Cytotoxicity of the samples was**  
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1 evaluated by WST assay as described in Supplementary data.  
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## 6 **2.2. Preparation of PM and NPM micelles** 7 8 9

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11 The core-polymerized and boron-conjugated micelles (PM micelles) were prepared as described  
12 previously [16,19]. A solution of VB-carborane (9.2 mg) and AIBN (0.3 mg) in chloroform (1.0  
13 mL) was added dropwise to 10 mL of a stirred aqueous solution of acetal-PEG-*b*-PLA-MA micelles  
14 (2.0 mg/mL) to form an o/w emulsion. The o/w emulsion was kept for 1 h, and the solution was  
15 exposed to air at 25°C for 3 h to evaporate the chloroform, after which the resulting micelle solution  
16 was purged with nitrogen gas for 20 min to remove the remaining chloroform and dissolved oxygen  
17 completely. To prepare the PM micelles, polymerization was carried out at 60 °C for 24 h.  
18 Purification was carried out by repeated ultrafiltration using a membrane with a molecular weight  
19 cut-off of 100,000 (VIVASPIN 4, Sartorius Stedim Biotech, Germany). For comparison,  
20 non-polymerized micelles (NPM micelles) encapsulating VB-carborane were prepared by the same  
21 procedure as that for PM micelles, without the addition of AIBN and heating. To determine the  
22 average diameter and size distribution of the micelles, DLS measurements were carried out in PBS  
23 at 37°C. Zeta potential measurement of the micelles was performed at 37°C in 5 mM phosphate  
24 buffer solution at pH 7.4. To check whether the VB-carborane was covalently conjugated the core  
25 of the micelles, <sup>1</sup>H-NMR measurements of the lyophilized PM and NPM micelles were carried out  
26 in CDCl<sub>3</sub> at 25 °C. The loading content and efficiency of the boron atoms in the micelles were  
27 determined using ICP-AES. To clarify the interaction between the VB-carborane and the  
28 acetal-PEG-*b*-PLA-MA, FT-IR measurements of the VB-carborane, the acetal-PEG-*b*-PLA-MA, the  
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1 MeO-PEG-OH and the mixture of the VB-carborane and the acetal-PEG-*b*-PLA-MA were  
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3 performed by the KBr pellet method. Degradation of the NPM and PM micelles under the  
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5 physiological conditions was confirmed by size exclusion chromatography (SEC) analysis using a  
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7 JASCO HPLC system (JASCO, Tokyo, Japan) equipped with a refractive index (RI) detector  
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9 (RI-2031) and a Superose 6 10/300 GL column (GE Healthcare, USA) with 10 mM phosphate  
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11 buffered saline (pH 7.4, containing 150 mM NaCl) at a flow rate of 0.50 mL/min at 40 °C. The PM  
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13 or NPM micelles solution (1.0 mg/mL) in 10 mM PBS was incubated at 37 °C. At a defined time  
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15 interval, 50 µL aliquots were subjected to SEC system after filtration through 0.45 µm filter.  
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### 26 **2.3. Leakage of VB-carborane from PM and NPM micelles**

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32 The leakage of VB-carborane from the PM and NPM micelles was evaluated at 0.23 mg/mL of  
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34 micelles, well above the critical association concentration of both the micelles (ca. 2–3 µg/mL),  
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36 under physiological conditions and in the presence of 10% FBS. Briefly, a solution of the PM and  
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38 NPM micelles (1.0 mg/mL, 3 mL) with 10% FBS was poured into dialysis bags (MWCO: 100,000),  
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40 and each bag was immersed in 10 mL of physiological saline with 10% FBS at 37 °C. At a definite  
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42 time interval, 0.5 mL of the solution outside the dialysis bag was sampled, and then the solutions  
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44 were diluted to 20 mL with distilled water. After filtering through 0.45µm filters (Millipore),  
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46 ICP-AES measurement of the solution was carried out to determine the amount of VB-carborane  
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48 released from each micelle based on the concentration of boron atoms, which was determined using  
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50 a calibration curve based on boric acid (ultratrace analysis grade, Aldrich Chemical Co. Ltd.,  
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52 Milwaukee, WI).  
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## 2.4. Pharmacokinetics of <sup>125</sup>I-labeled PM and NPM micelles

All procedures involving animal care were approved by the Animal Ethics Committee of the University of Tsukuba, and were conducted according to the Guidelines for Animal Experimentation of the University of Tsukuba. To characterize the pharmacokinetics of the micelles, the preparation of the <sup>125</sup>I(radioisotope)-labeled PM and NPM micelles was performed according to our previous report [16,20]. As described in the previous report, using the acetal group at the end of the PEG chain, a tyrosine residue was introduced as a site of radiolabeling onto the PM or NPM micelles. The detailed procedure is described in Supplementary data. The biodistribution of the <sup>125</sup>I-labeled PM micelles and <sup>125</sup>I-labeled NPM micelles was evaluated in tumor-bearing, 5-week-old male BALB/c mice (n = 3, 20-25 g, Charles River, Japan). Tumors were induced in the mice by subcutaneous injection of colon-26 cells ( $1.0 \times 10^6$  cells/mouse) into the right femur. When the volume of the tumor reached 100 mm<sup>3</sup>, the <sup>125</sup>I-labeled PM micelles or <sup>125</sup>I-labeled NPM micelles were administered to the tumor-bearing mice by intravenous injection at a dose of 0.80 mg boron atoms per kg body weight. Blood, liver, spleen, kidney and tumor samples were collected, using sodium pentobarbital (40 mg/kg) as an anesthetic, at defined time periods after injection of the micelles. The radioactivity and the weight of the collected samples were measured by a  $\gamma$ -counter (Aloka, Japan) and a balance, respectively.

## 2.5. Pharmacokinetics of boron species in the PM and NPM micelles

1 The pharmacokinetics of the boron species (VB-carborane) in the PM and NPM micelles were  
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3 evaluated in tumor-bearing 5-week-old-male BALB/c mice (n = 3) on the basis of the concentration  
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5 of boron atoms determined using ICP-AES. As a comparison, the pharmacokinetic of <sup>10</sup>B-enriched  
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7 BSH, which is clinically utilized, was also evaluated. The PM micelles, NPM micelles or BSH  
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9 solution were administered to the tumor-bearing mice by intravenous injection at a dose of 0.80 mg  
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11 boron atoms per kg body weight. Blood, liver, spleen, kidney and tumor samples were collected at  
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13 defined time periods after the injection under anesthesia with pentobarbital sodium (40 mg/kg) and  
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15 weighted. The freeze-dried tissues were dissolved with 1 mL of HNO<sub>3</sub> (ultratrace analysis grade,  
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17 Wako, Japan) and 0.5 mL of hydrogen peroxide solution (ultratrace analysis grade, Kanto  
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19 Chemicals, Japan) at 60 °C for 3 h. The dissolved samples were then diluted with distilled water.  
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21 After filtering through a 0.45 μm filter, the concentration of boron atoms in the tissues was  
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23 measured using ICP-AES. Long-term tissue distribution of boron species in the PM and NPM  
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25 micelles was also examined in normal 5-week-old-male BALB/c mice. The PM and NPM micelles  
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27 were administered to the mice by intravenous injection at a dose of 0.80 mg boron atoms per kg  
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29 body weight, and the concentration of boron atoms in each tissue was measured using the procedure  
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31 described above.  
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## 49 **2.6. BNCT for tumor-bearing mice**

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55 Neutron irradiation was carried out in the Japan Research Reactor No. 4 (JRR4) of Japan Atomic  
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57 Energy Agency and Kyoto University Research Reactor (KUR) of Kyoto University Research  
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59 Reactor Institute. <sup>10</sup>B-enriched PM and NPM micelles were prepared from <sup>10</sup>B-enriched  
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1 VB-carborane and acetal-PEG-*b*-PLA-MA by the same procedure described above. The  
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3 <sup>10</sup>B-enriched PM and NPM micelle solutions was injected into colon-26 tumor bearing mice (n = 5)  
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6 *via* the tail vein at a dose of 15.6 mg <sup>10</sup>B/kg 24 h before irradiation. For comparison, <sup>10</sup>B-enriched  
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9 BSH solution was injected 1 h before irradiation *via* the tail vein at a dose of 30.0 mg <sup>10</sup>B/kg. The  
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12 mice were anesthetized with pentobarbital sodium (40 mg/kg) and placed in an acrylic mouse holder.  
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15 They were then irradiated in the JRR4 and KUR at a rate of 1.6–1.8 × 10<sup>12</sup> neutrons/cm<sup>2</sup>. The  
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18 effects of BNCT were evaluated in terms of the tumor size, which was estimated by using the  
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21 following equation:  $V = (a) \times (b)^2 / 2$ , where (a) and (b) are major and minor axes of the tumor  
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24 measured by a caliper, respectively. Body weight was measured as an indicator of systemic toxicity.  
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### 29 **3. Results and discussions**

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#### 35 **3.1. Preparation and characterization of PM and NPM micelles**

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41 The acetal-PEG-*b*-PLA-MA was synthesized as described in our previous paper [19], and the  
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44 molecular weights of the PEG and PLA segments of the block copolymer were estimated to be  
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47 5,600 and 5,100 g/mol, respectively. The detailed characterization data of the  
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50 acetal-PEG-*b*-PLA-MA are summarized in Supplementary data. The VB-carborane was synthesized  
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53 using a previously reported procedure [18] and is described in detail in Supplementary data. To  
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56 prepare the acetal-PEG-*b*-PLA-MA micelles, the dialysis method was employed as described  
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59 previously [19]. The average diameter of the acetal-PEG-*b*-PLA-MA micelles was 38.4 nm with a  
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62 narrow size distribution ( $\mu_2/\Gamma^2 = 0.027$ ), as determined by DLS measurement (**Figure S4**). To  
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1 prepare the NPM micelles, the VB-carborane was encapsulated into acetal-PEG-*b*-PLA-MA  
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3 micelles by means of the solvent evaporation method. The average diameter of the VB-carborane  
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5 encapsulating acetal-PEG-*b*-PLA-MA micelles (NPM micelles) increased from 38.4 nm to 60.2 nm  
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8 with a narrow size distribution ( $\mu_2/\Gamma^2 = 0.119$ ), as shown in **Figure S4**. This is probably due to the  
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10 formation of a loosely associated PLA core as a result of the swelling of the hydrophobic PLA core,  
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12 which occurs upon the addition of chloroform, as previously reported [19]. To prepare the PM  
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14 micelles, both VB-carborane and AIBN were encapsulated into acetal-PEG-*b*-PLA-MA micelles by  
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16 means of the solvent evaporation method, and the polymerization of the core was carried out at  
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18 60°C for 24 h. The characteristics of the PM and NPM micelles are summarized in **Table 1**. The  
19  
20 average diameter (67.3 nm,  $\mu_2/\Gamma^2 = 0.113$ ) and zeta-potential ( $-0.32 \pm 0.99$  mV) of the PM micelles  
21  
22 was almost similar to that of the NPM micelles (60.2 nm,  $\mu_2/\Gamma^2 = 0.119$ , zeta-potential:  $-0.15 \pm 0.05$   
23  
24 mV), suggesting that the core polymerization process does not influence the size distribution and  
25  
26 the zeta-potential of the micelles. To confirm that the VB-carborane was covalently linked with the  
27  
28 end of the PLA chain, <sup>1</sup>H-NMR measurements of the lyophilized NPM and PM micelles were  
29  
30 carried out in CDCl<sub>3</sub>, which is a good solvent for both acetal-PEG-*b*-PLA-MA and VB-carborane  
31  
32 (**Figure S5**). In the spectrum obtained for the NPM micelles, peaks at 5.60 and 6.20 ppm were  
33  
34 observed, which can be attributed to the methacryloyl group at the end of PLA chain, and peaks for  
35  
36 the vinyl protons of VB-carborane were observed at 5.70 ppm. These data indicate that both  
37  
38 acetal-PEG-*b*-PLA-MA and VB-carborane were dissolved in CDCl<sub>3</sub>. On the other hand, the peaks  
39  
40 attributed to the methacryloyl and vinyl groups were reduced by more than 99 % in the spectrum  
41  
42 obtained for the PM micelles, suggesting that the copolymerization of the acetal-PEG-*b*-PLA-MA  
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44 and VB-carborane proceeded successfully. The loading content of VB-carborane in the NPM and  
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1 PM micelles was determined to be 8.5 wt% (loading efficiency: 23.5 %) and 7.7 wt% (loading  
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3 efficiency: 21.5 %), respectively. The loading content of both micelles was comparable, indicating  
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5 that leakage of VB-carborane from the micelles did not occur during the polymerization process. It  
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7 is of note that the loading content of VB-carborane in the acetal-PEG-*b*-PLA-MA micelles (ca. 1.0  
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9 wt%) increased remarkably in comparison with that in polymerizable carborane bearing two  
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11 vinylbenzyl groups (1,2-bis(4-vinylbenzyl)-*closo*-carborane) [16]. This increment might be caused  
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13 by the enhancement of the compatibility of the VB-carborane with the PLA core of the micelles due  
14  
15 to the existence of the hydrogen bonds between the CH group of the VB-carborane and the O atom  
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17 of the carbonyl group (C=O) in the PLA segments. To clarify the interaction between the  
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19 VB-carborane and the acetal-PEG-*b*-PLA-MA, FT-IR measurements of the VB-carborane, the  
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21 acetal-PEG-*b*-PLA-MA, the MeO-PEG-OH and the mixture of the VB-carborane and the  
22  
23 acetal-PEG-*b*-PLA-MA were performed by the KBr pellet method. The VB-carborane spectrum  
24  
25 shows the characteristic peaks of VB-carborane at 2578 cm<sup>-1</sup> (ν(B-H)) and 3070 cm<sup>-1</sup> (ν(C-H))  
26  
27 (**Figures 2(A)(a) and 2(B)(a)**). The peak of the CH group of the VB-carborane was also observed at  
28  
29 the same wavenumber (3071 cm<sup>-1</sup>) in the spectrum of the mixture of the MeO-PEG-OH and the  
30  
31 VB-carborane (**Figure 2(A)(c)**). This indicates that there might be no interaction between the CH  
32  
33 group of the VB-carborane and PEG chains. On the other hand, the peak of the CH group of the  
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35 VB-carborane was shifted to 3057 cm<sup>-1</sup> in the spectrum of the mixture of the  
36  
37 acetal-PEG-*b*-PLA-MA and the VB-carborane (**Figure 2(B)(c)**). This result strongly indicates the  
38  
39 existence of the hydrogen bonds between the CH group of the VB-carborane and the O atom of the  
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41 carbonyl group (C=O) in the PLA segments, because shifting of the peak of the CH group of  
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43 carboranes in the presence of the hydrogen bond of the C-H $\cdots$ O=C has been reported previously  
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1 [21]. From these results, we concluded that the interaction between carborane and PLA chain via  
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3 hydrogen bonds might be one of the reasons for the higher loading content of the carborane.  
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5 Degradation of the NPM and PM micelles were analyzed using a SEC system equipped with a RI  
6  
7 detector and a Superose 6 10/300 GL column. As shown in **Figures S6(a) and (b)**, the peaks  
8  
9 attributed to the NPM and PM micelles were observed by RI detection at 16 min in the region of the  
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11 exclusion limit ( $M_n > 300,000$ ) at 0 day after incubation. After several days incubation, the peaks  
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13 were appeared at 23 min ( $M_n = \text{ca. } 5,400$ ) attributed to free PEG and the micellar peaks decreased in  
14  
15 both charts of the NPM and PM micelles dependent the incubation days. Consequently, both the  
16  
17 NPM and PM micellar peaks were disappeared completely after 19 and 25 days incubation,  
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19 respectively. These results strongly indicate that the PM micelles were able to be degraded via  
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21 biodegradation under the physiological conditions similarly the NPM micelles even though the core  
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23 of the micelles was polymerized.  
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35 It has been reported that certain types of hydrophobic compounds incorporated in polymeric  
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37 micelles by physical entrapment are leaked rapidly after they are administered by intravenous  
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39 injection due to the interaction, and this rapid leakage occurs between the micelles and some blood  
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41 components [13-15]. The leakage of the boron compounds, which are encapsulated in the micelles,  
42  
43 is potentially toxic to the normal tissues after the irradiation of the thermal neutrons in BNCT. In  
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45 our previous report, the leakage of polymerizable carborane bearing two vinylbenzyl groups  
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47 (1,2-bis(4-vinylbenzyl)-*clos*o-carborane) from the non cross-linked micelles occurred immediately  
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49 under physiological conditions in the presence of 10 % FBS, whereas the cross-linked micelles  
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51 showed complete suppression of carborane leakage under the same conditions, which can be  
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53 attributed to the covalent bonds between the boron compounds and the PLA segments in the  
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1 micellar core [16]. In this study, the VB-carborane bearing a vinylbenzyl group and a CH group was  
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3 loaded in the micelles. In order to confirm the stability of the PM and the NPM micelles under  
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5 physiological conditions, the leakage of VB-carborane from both micelles was evaluated by dialysis  
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7 at 37 °C in PBS with 10 % FBS, as shown in **Figure 3**. The amounts of leaked VB-carborane from  
8  
9 the micelles were determined by ICP-AES, and the results were based on the concentration of boron  
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11 atoms in the solution of the outside of dialysis bags. Significant amounts (ca. 50%) of  
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13 VB-carborane had leaked from the NPM micelles after 12 h. This means that the stability of the  
14  
15 VB-carborane physically entrapped in the acetal-PEG-*b*-PLA-MA micelles was insufficient under  
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17 physiological conditions in the presence of serum proteins, even though there were interactions  
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19 between VB-carborane and the PLA core. In sharp contrast, the PM micelles showed no leakage of  
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21 the VB-carborane even after 48 h, likely due to the existence of covalent bonds between  
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23 VB-carborane and the PLA core. From these facts, we conclude that covalent conjugation, rather  
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25 than physical entrapment, is required for *in vivo* stabilization in the case of VB-carborane. **The**  
26  
27 **cytotoxicity of the PM micelles, NPM micelles, and VB-carborane against colon-26 cells was**  
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29 **evaluated in the presence of 10% FBS in the cell culture medium as shown in **Figure S8**. Note that**  
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31 **the PM micelles showed no toxicity even at high concentration ([B] = 10 mM). In contrast, 50 %**  
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33 **inhibitory concentration (IC<sub>50</sub>) values of the NPM micelles and VB-carborane were 4.3 mM and 1.4**  
34  
35 **mM, respectively. Moreover, the cytotoxicity of the VB-carborane is lower than the *nido*-carborane**  
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37 **derivative (IC<sub>50</sub> = 0.5 mM) [24] which has been used in the <sup>10</sup>B-compound-conjugated liposomes**  
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39 **[11,23]. Notably that the cytotoxicity of the PM micelles (IC<sub>50</sub> = not determined) was significantly**  
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41 **lower than that of the NPM micelles (IC<sub>50</sub> = 4.3 mM). These results indicate that the leakage of the**  
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43 **VB-carborane from the NPM micelles occurred in the presence of 10% FBS, leading to the**  
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1 cytotoxicity caused by the free VB-carborane. In sharp contrast, the leakage of VB-carborane from  
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3 the PM micelles was suppressed even in the presence of 10% FBS because the VB-carborane was  
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5 conjugated with the core of micelles through the covalent bonds. It can be concluded that the PM  
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7 micelle system is utilized for BNCT from the standpoint of the cytotoxicity. It is important to note  
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9 that the present synthetic method is simple modification of a well-known emulsion polymerization  
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11 technique. Thus, large scale production with reproducible manner of <sup>10</sup>B-enriched PM micelle is  
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13 strongly anticipated for clinical trials in future.  
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### 23 **3.3. Pharmacokinetics studies of the micelles and boron species**

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29 In a recent study, we found that compared to the non cross-linked micelles physically  
30 encapsulating the carboranes, the core cross-linked and boron-conjugated micelles prepared by  
31 polymerization of acetal-PEG-*b*-PLA-MA with polymerizable carborane bearing two vinylbenzyl  
32 groups (1,2-bis(4-vinylbenzyl)-*closo*-carborane) showed higher tumor accumulation of boron  
33 species at 24 h after injection, although the tumor accumulation of both micelles at 24 h after  
34 injection was similar [16]. This means that the distribution of boron species (carboranes) in the  
35 cross-linked and non cross-linked micelles was different, perhaps due to the leakage of the  
36 carborane into the bloodstream. In this study, we performed pharmacokinetic measurements, to  
37 clarify the distribution of both the micelles and the boron species after injection.  
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54 The micelle and boron concentrations in various tissues, such as liver, kidney, spleen, tumor, and  
55 blood, were measured at defined time periods after their injection into tumor-bearing mice. For the  
56 pharmacokinetics study of the micelles, a radioisotope (<sup>125</sup>I) was introduced at the end of the  
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1 PEG-chain after L-tyrosine installation, as described in our previous report [16,20]. The acetal  
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3 groups at the end of PEG chains on the micelles were de-protected through acid treatment to  
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6 convert the reactive aldehyde groups, and this was followed by the addition of L-tyrosine hydrazide  
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9 and sodium cyanoborohydride as a reductant.  $^{125}\text{I}$ -labeling was performed using the conventional  
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11 chloramine T method (Supplementary data, **Figure S7**). The radioactivity level of the  $^{125}\text{I}$ -labeled  
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13 PM and NPM micelles in each of tissues and blood were expressed as a percentage of the injected  
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15 dose per gram tissue (%ID/g) at specified times after intravenous injection in tumor-bearing mice,  
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18 as shown in **Figures 4** and **S9**. The area under concentration curve (AUC) values in each tissue at  
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21 48 h after injection, and the AUC ratios (PM/NPM) are summarized in **Table 2**. Note that 9.5%ID/g  
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24 of  $^{125}\text{I}$ -labeled PM micelles remained in the bloodstream after 48 h, whereas only 5.6%ID/g of  
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29  $^{125}\text{I}$ -labeled NPM micelles remained 48 h after injection (**Figure 4(a)**). The AUC value of the  
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32  $^{125}\text{I}$ -labeled PM micelles in blood (943.4) was higher than that of the  $^{125}\text{I}$ -labeled NPM micelles  
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35 (495.1) (AUC ratio: 1.91). Additionally, the  $^{125}\text{I}$ -labeled NPM micelles (8.2%ID/g) showed higher  
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38 radioactivity levels than the  $^{125}\text{I}$ -labeled PM micelles (3.2%ID/g) in the kidney after 1 h (**Figure**  
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41 **S9(c)**), indicating that a portion of the NPM disintegrated in the bloodstream and was excreted via  
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44 the renal pathway. In contrast, the PM micelles showed extremely high stability in the bloodstream  
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47 due to the covalent conjugation of the PLA segment in the micelles with VB-carborane. The longer  
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50 circulation times of these micelles are suitable for accumulation in tumor regions via the EPR effect.  
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52 The AUC values of the  $^{125}\text{I}$ -labeled PM micelles in both liver (619.1) and spleen (581.0) were  
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55 slightly higher than those of the  $^{125}\text{I}$ -labeled NPM micelles (liver: 350.7, spleen: 409.6). These  
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58 increments are probably due to the prolongation of the blood circulation time of the  $^{125}\text{I}$ -labeled PM  
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61 micelles. In contrast, there were no significant differences in the radioactivity levels in the tumor  
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1 (AUC ratio (PM/NPM): 1.24) between the  $^{125}\text{I}$ -labeled PM micelles and the  $^{125}\text{I}$ -labeled NPM  
2 micelles (**Figure 4(b)**). These results mean that the effects of micelle core-polymerization on the  
3 pharmacokinetics were similar to those of micelle core-cross linking, consistent with our previous  
4 report [16].  
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11 In order to confirm the pharmacokinetics of the boron species in the tumor-bearing mice after  
12 injection of the PM micelles, the NPM micelles and BSH, the concentration of boron atoms in  
13 tissues was evaluated using ICP-AES. **Figures 5** and **S10** show the distribution of boron species in  
14 the tumor-bearing mice after the injection of the PM micelles, the NPM micelles and free BSH. The  
15 concentrations of the boron atoms in each of the tissues and blood at specific times after the  
16 intravenous injection are expressed as %ID/g, as determined by ICP-AES. The AUC values of  
17 boron species in each of the tissues and blood after injection and the corresponding AUC ratios  
18 (PM/NPM) are summarized in **Table 3**. The boron species of both NPM micelles (1.1%ID/g) and  
19 PM micelles (4.6%ID/g) remained in the bloodstream even 48 h after the injection, whereas over  
20 99% of the injected dose of free BSH was immediately eliminated from the bloodstream by renal  
21 clearance, because the amounts of BSH accumulated in the kidney 1 h after the injection  
22 (22.8%ID/g) were apparently higher than those of both the NPM (12.6 %ID/g) and the PM micelles  
23 (5.1%ID/g) (**Figures 5(a)** and **S10(c)**). The post-injection AUC value of PM micelles in blood  
24 (916.0) was 2.8-fold higher than that of the NPM micelles (330.4). These data indicate that the  
25 blood circulation time of boron species in the PM micelles was prolonged, likely due to the covalent  
26 conjugation of VB-carborane to the micelle core matrix. It has also been shown that a large amount  
27 of VB-carborane is leaked from the NPM micelles into the bloodstream due to the interaction with  
28 the serum proteins. The distribution of the boron species of the NPM micelles in kidney at 1 h after  
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1 injection (12.6%ID/g) was 2.5-fold higher than that of the PM micelles (5.1%ID/g) (**Figure S10(c)**),  
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3 and higher than those of the  $^{125}\text{I}$ -labeled NPM micelles (8.2%ID/g). These data suggest that the  
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5 VB-carborane was leaked from the NPM micelles easily, and eliminated from the bloodstream more  
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7 readily than the micelles (polymers) by renal clearance, due to the low-molecular weight of NPM  
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9 micelles. **Figure 5(b)** shows the tumor accumulation amounts of boron species in the PM micelles,  
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11 the NPM micelles and free BSH. The accumulation level of boron species in the tumor tissues of  
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13 the mice treated with the PM micelles was 5.7%ID/g at 48 h after the injection, whereas those of the  
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15 NPM micelles at 48 h and free BSH at 1 h after the injection were only 0.7%ID/g and 1.6%ID/g,  
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17 respectively. Note that the AUC ratio (PM/NPM) of the boron species of the micelles in tumor  
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19 tissues (AUC ratio: 7.29) was remarkably higher than that of the  $^{125}\text{I}$ -labeled micelles (AUC ratio:  
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21 1.24) (**Tables 2 and 3**). **It should be noted that the PM micelles were remained in the tumor tissues**  
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23 **for 48 h after the injection by EPR effect, whereas BSH was excreted from the tumor rapidly. This**  
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25 **indicates that there is enough time to precisely determine the  $^{10}\text{B}$  concentration by several**  
26  
27 **techniques after injection of the PM micelles because the  $^{10}\text{B}$  concentration in tumor is constant for**  
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29 **several days, suggesting that the effective radiation of the thermal neutrons is permitted by utilizing**  
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31 **the PM micelles.** Using the obtained data, tumor-to-blood (T/B) ratios of the boron concentration in  
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33 the tumor-bearing mice were determined as a function of time as shown in **Figures 6**. Although  
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35 no remarkable difference between the T/B ratios of the micelles, *viz.*, the PM and NPM micelles  
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37 was observed as shown in **Figure 6(a)**, a significant difference in T/B ratio was observed in terms  
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39 of the boron species. For example, the T/B ratio of boron species increased gradually up to 48 h  
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41 when the PM micelle was used as the carrier, while it did not increase with the NPM micelle  
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43 (**Figure 6(b)**). These data strongly suggest that in the case of PM micelles, the VB-carborane and  
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1 the micelles concomitantly accumulated in tumor tissues because of the covalent conjugation of the  
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3 VB-carborane with the block copolymer. On the other hand, the VB-carborane in the NPM micelles  
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6 was leaked easily during circulation in the bloodstream after the injection, leading to lower  
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9 accumulation of boron species than that of the micelles in tumor tissues. These results strongly  
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12 indicate that the PM micelles were suitable boron carriers for tumor regions due to the high stability  
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15 in the bloodstream. Further improvement in tumor accumulation is now under investigation and will  
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18 be published elsewhere.

19  
20 We have demonstrated the high accumulation tendency of the boron-conjugated PM micelles to  
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23 tumor regions by performing covalent conjugation of VB-carborane to the core of the micelles.  
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26 Because the covalent conjugation of the core of the micelles via VB-carborane increases the  
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29 molecular weight of the matrix, it may also alter the excretion of the PM micelles from the body.  
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32 Long-term accumulation of certain types of nanoparticles in the body often causes unexpected  
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35 toxicity [22]. Long-term distribution of boron species in major tissues (blood, liver, spleen, and  
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38 kidney) after intravenous injection of the PM and NPM micelles was investigated up to two weeks  
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41 using normal mice. As can be seen in **Figure 7**, both boron species in the PM and NPM micelles  
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44 were almost completely eliminated from major organs at 7 d after injection, which was determined  
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47 by the ICP-AES measurements. Even though VB-carborane was conjugated covalently in the core  
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50 of the PM micelles, almost complete excretion of the boron species was confirmed, which was  
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53 probably caused by hydrolysis of the ester linkage in the PLA segment. These results strongly  
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56 suggest that the PM micelles are useful not only for enhancing their selective accumulation in tumor  
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59 tissues, but also for promoting the safety of the micelles.  
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### 3.4. BNCT for tumor-bearing mice

The effects of  $^{10}\text{B}$ -enriched PM micelles, NPM micelles and BSH on tumor growth suppression were examined with thermal neutron irradiation. The  $^{10}\text{B}$ -enriched PM and NPM micelles were prepared from  $^{10}\text{B}$ -enriched VB-carborane by means of the same procedure as for the PM, NPM micelles described above. The average diameter of the  $^{10}\text{B}$ -enriched PM micelles (61.0 nm,  $\mu_2/\Gamma^2 = 0.120$ ) and  $^{10}\text{B}$ -enriched NPM micelles (60.6 nm,  $\mu_2/\Gamma^2 = 0.101$ ) were similar to those of the PM micelles (67.3 nm,  $\mu_2/\Gamma^2 = 0.113$ ) and the NPM micelles (60.2 nm,  $\mu_2/\Gamma^2 = 0.119$ ) prepared using naturally abundant VB-carborane. The  $^{10}\text{B}$  atoms concentrations in each tissue (blood, liver, spleen, kidney, and tumor) of the tumor-bearing mice at 24 h after injecting the  $^{10}\text{B}$ -enriched PM or NPM micelles were determined using ICP-AES (**Figure 8**). The  $^{10}\text{B}$  atoms concentration at 24 h after injection of the  $^{10}\text{B}$ -enriched PM micelles in the tumor (14.0 ppm) was about 4.2-fold higher than that of the  $^{10}\text{B}$ -enriched NPM micelles (3.3 ppm), suggesting that the high colloidal stability of  $^{10}\text{B}$ -enriched PM micelles in the blood stream increased its accumulation in the tumor region via the EPR effect. Since the highest accumulation of boron species in tumor tissues was observed at 1 h after injecting BSH as described above (**Figure 5(b)**), the  $^{10}\text{B}$  atoms concentration in each tissue was evaluated at 1 h after injecting BSH (**Figure 8**). The result shows that the  $^{10}\text{B}$  atoms concentration in the tumor at 1 h after injecting BSH was reached 12.3 ppm. From the obtained data on PM, NPM micelles and BSH, it is indicated that the sufficient  $^{10}\text{B}$  atoms concentration was achieved after injecting the  $^{10}\text{B}$ -enriched PM micelles even at a half dose of BSH ( $^{10}\text{B}$ -enriched PM micelles: 15.6  $^{10}\text{B}$  mg/kg, BSH: 30.0  $^{10}\text{B}$  mg/kg). As stated above, the distributed PM micelles in normal organs were confirmed to eliminate completely within 7 days after injection (**Figure 7(a)**),

1 indicating no further concern on the serious issues in term of toxicity. It should be also emphasized  
2  
3 that the  $^{10}\text{B}$ -enriched PM micelles and the  $^{10}\text{B}$ -enriched NPM micelles showed no acute toxicity  
4  
5 even at a dose of 15.6 mg  $^{10}\text{B}/\text{kg}$ , while *nido*-carborane conjugated liposome showed strong acute  
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7 toxicity within one day even at a dose of 6.0 mg  $^{10}\text{B}/\text{kg}$  [23].  
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10  
11 **Figure 9** shows the changes in the tumor volume of mice bearing colon-26 tumors ( $n = 5$ ) with  
12 thermal neutron irradiation for 37 min ( $1.6\text{--}1.8 \times 10^{12}$  neutrons/cm<sup>2</sup>) after injecting the  $^{10}\text{B}$ -enriched  
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14 PM, NPM micelles, free BSH, or normal saline. The tumor growth in mice treated with the  
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16  $^{10}\text{B}$ -enriched PM micelles without irradiation was similar to that in mice treated with normal saline  
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18 without the irradiation. This indicates that the  $^{10}\text{B}$ -enriched PM micelles alone and the thermal  
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20 neutron irradiation alone did not suppress the tumor growth. Additionally, no suppression of the  
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22 tumor growth was observed in the mice treated with the  $^{10}\text{B}$ -enriched NPM micelles with the  
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24 irradiation of the thermal neutrons due to the insufficient concentration of the  $^{10}\text{B}$  atoms in the  
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26 tumor tissues (3.3 ppm). In sharp contrast, significant suppression of tumor growth was observed in  
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28 the mice treated with the  $^{10}\text{B}$ -enriched PM micelles with thermal neutron irradiation, after 12 days  
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30 ( $p < 0.01$ ). Consequently, at day 25, 2 of 5 tumors disappeared completely in the mice treated with  
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32 the  $^{10}\text{B}$ -enriched PM micelles. Even though the concentration of  $^{10}\text{B}$  atoms in tumor tissues of the  
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34 mice (14.0 ppm) treated with the  $^{10}\text{B}$ -enriched PM micelles was almost equal to the concentration in  
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36 mice treated with BSH (12.3 ppm), the  $^{10}\text{B}$ -enriched PM micelles showed significant therapeutic  
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38 effects after neutron irradiation, compared to those shown by BSH. These data might indicate that  
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40 BSH was extracted from tumor tissues during the irradiation (37 min) due to the short retention time  
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42 of BSH in tumor tissues ( $< 1$  h) as described above (**Figure 5(b)**). In contrast, the  $^{10}\text{B}$  atoms were  
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44 able to remain in the tumor tissues of the mice treated with the  $^{10}\text{B}$ -enriched PM micelles for at least  
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1 48 h by the EPR effect, consistent with the pharmacokinetics study (**Figure 5(b)**). These results  
2  
3 strongly suggest that the retention of  $^{10}\text{B}$  atoms for longer times in tumor tissues is an important  
4  
5 factor for achieving beneficial therapeutic effects in BNCT. **Figure 10** shows the time course of  
6  
7 body weight change in mice bearing colon-26 tumor ( $n = 5$ ) with thermal neutron irradiation for 37  
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9 min after treatment with  $^{10}\text{B}$ -enriched PM micelles,  $^{10}\text{B}$ -enriched NPM micelles, free BSH, or  
10  
11 normal saline. The mice treated with  $^{10}\text{B}$ -enriched PM micelles,  $^{10}\text{B}$ -enriched NPM micelles and  
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13 normal saline showed no significant body weight loss compared to the starting weight. On the basis  
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15 of these results, we conclude that the  $^{10}\text{B}$ -enriched PM micelles are suitable candidates for boron  
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17 carriers in cancer BNCT, and that they exhibit beneficial therapeutic effects without any side-effects.  
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26 **Further study on the precise influence of the irradiation against normal organs is currently underway**  
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28 **in our laboratory.**  
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## 38 **Conclusion**

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43 In this study, PM micelles composed of acetal-PEG-*b*-PLA-MA and VB-carborane were prepared  
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45 to suppress non-specific release of boron compounds into the bloodstream. The PM micelles were  
46  
47 able to incorporate the high amounts of boron compounds. The release of VB-carborane from the  
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49 PM micelles was completely suppressed in the presence of FBS due to the introduction of covalent  
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51 bonds between the VB-carborane and the PLA core. Additionally, the PM micelles showed  
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53 prolonged blood circulation time and enhanced accumulation of boron species in tumor tissues, in  
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55 compared with the NPM micelles. Both boron species in the PM and NPM micelles were  
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1 eliminated completely from the body within 7 days after the intravenous injection. It is worth  
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3 noticing that the  $^{10}\text{B}$ -enriched PM micelles showed remarkable therapeutic efficacy in BNCT; *viz*,  
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5 selective and non-invasive BNCT was achieved. Therefore, the  $^{10}\text{B}$ -enriched PM micelles represent  
6  
7 a promising approach to the creation of boron carriers for cancer BNCT. Additionally, the  
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9  $^{10}\text{B}$ -enriched PM micelles are expected to be accommodated patient treatments since the procedures  
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12 to prepare the micelles require simple processes.  
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## 23 **Acknowledgements**

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39 Society for the Promotion of Science for Young Scientists.  
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## 49 **Appendix. Supplementary data**

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52 Supplementary data related to this article can be found online.  
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January 16, 2012

Prof. David Williams,  
Editor-in-Chief  
Biomaterials,

Dear Professor David Williams:

Attachment is a copy of our manuscript entitled:

“Pharmacokinetics of Core-Polymerized, Boron-Conjugated Micelles Designed for Boron Neutron Capture Therapy for Cancer.

”

by Shogo Sumitani, Motoi Oishi, Tatsuya Yaguchi, Hiroki Murotani, Yukichi Horiguchi, Minoru Suzuki, Koji Ono, Hironobu Yanagie and myself.

The manuscript has been revised according to the reviewers' comments. You will find here a file of the revised version of our manuscript. A list of the answers to the reviewers' comments is also provided.

We believe this manuscript contains essential and scientifically interesting facts, and meets the critical of the *Biomaterials*. We hope this revision would be satisfactory for the publication in your Journal as an *Article*

Thank you in advance for your cooperation.

With best wishes,

Sincerely yours,

A handwritten signature in black ink that reads 'Yukio Nagasaki'.

Yukio Nagasaki, Professor

## MANDATORY EDITOR'S REQUIREMENTS

1. I would like you to make a slight change to the title, giving it more focus.. Please change it to ' Pharmacokinetics of Core-Polymerized, Boron-Conjugated Micelles Designed for Boron Neutron Capture Therapy for Cancer'.
2. You use the word 'novel' in the manuscript. This is not necessary and detracts from the scientific rigour. I would like you to delete the word. The same applies to 'new' and similar words, which should not be substituted for 'novel'
3. In the references you should use the accepted abbreviated form for the journal titles - you are inconsistent with this. These can be found in standard databases such as PubMed.

### **Answer**

Thank you very much for your comments. According to your comments, we modified the manuscript.

**(Revision: the title was changed as follows)**

[Pharmacokinetics of Core-Polymerized, Boron-Conjugated Micelles Designed for Boron Neutron Capture Therapy for Cancer.](#)

**Answer to reviewer 1:**

Thank you very much for your courteous review and kind comments. According to your comments, we revised the manuscript as follows (the red colored sentences were revised or newly added sentences in the new manuscripts):

## **Comment**

This work is concerned with the synthesis of a new boron compound for BNCT based on delivering a carborane molecule by micelles (nanoparticles) constructed by copolymerization (PM micelles). In another recent article published by some of the authors (Sumitani S, Oishi M, Nagasaki Y. Carborane confined nanoparticles for boron neutron capture therapy: Improved stability, blood circulation time and tumor accumulation. *React Funct Polym*, 2011;71:684-693), a synthesis of a very similar compound (same technique to produce the micelles) but cross-linking (CL) the carborane instead of integrating the molecule to the micelle by copolymerization was presented. According to their comments in the present manuscript, the CL compound was unable to deliver therapeutic amounts of boron to the tumor according to their estimations (yet, no BNCT irradiation was performed to investigate the degree of tumor control). Then, in the present manuscript, they chose to prepare the carborane co-monomer with only one functional group instead of two as in their previous work with the aim of loading a greater amount of boron to the micelles. For comparison, non-polymerized micelles (NPM) containing also a carborane molecule were used to test the ability of the PM to preserve their boron load without leaking when exposed to serum proteins, concluding that covalent interaction was the cause of the PM stability. In addition, an animal model was used in this opportunity to understand its pharmacokinetics and biodistribution and the degree of tumor control achieved when irradiated with thermal neutrons. It is of great importance for the future of BNCT to have interdisciplinary research groups committed to face sustained efforts in creating new boron compounds and delivery strategies. This work is contributory since it integrates several specialties equally important for obtaining a suitable compound and therefore deserves to be published.

However, there are still some issues that must be considered when proposing a new boron delivery agent and would be of great importance if the authors state them clearly in this work.

The manuscript is clear and well written and does not demand extensive grammatical corrections, perhaps just checking for typing mistakes.

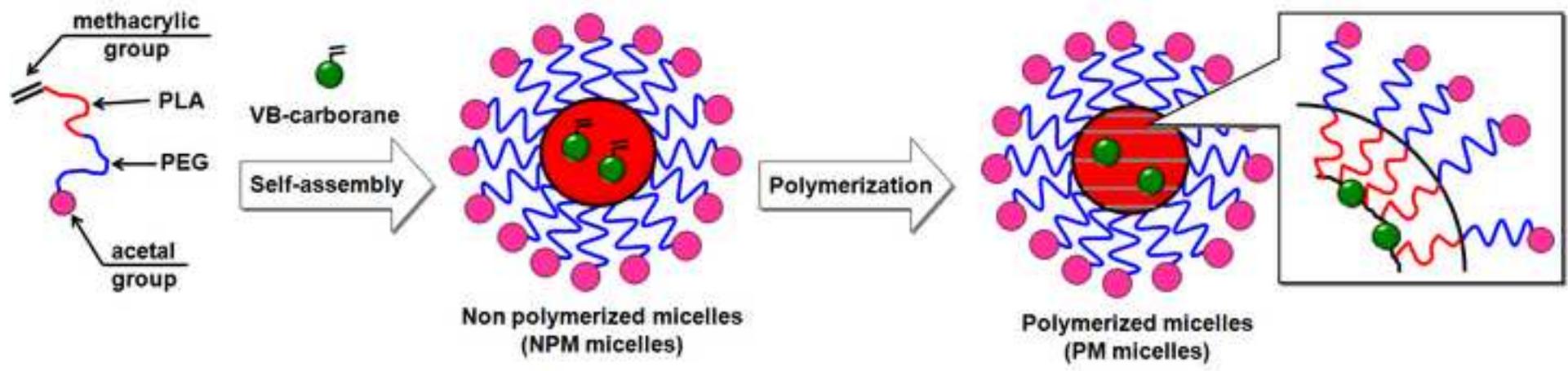
**[Q1]** The idea of sustained blood circulation is important since it permits the compound to have enough time to traverse the barriers that must be crossed to reach the tumor cells; however, it is also a drawback since normal tissues could be exposed to high boron radiation doses, unless the compound does not leave the normal vasculature and enters the interstitium. The authors should comment on this, perhaps anticipating the need for further investigations in terms of boron accumulation in normal tissues that must be protected, e.g., the organs at risk in the future treatment.

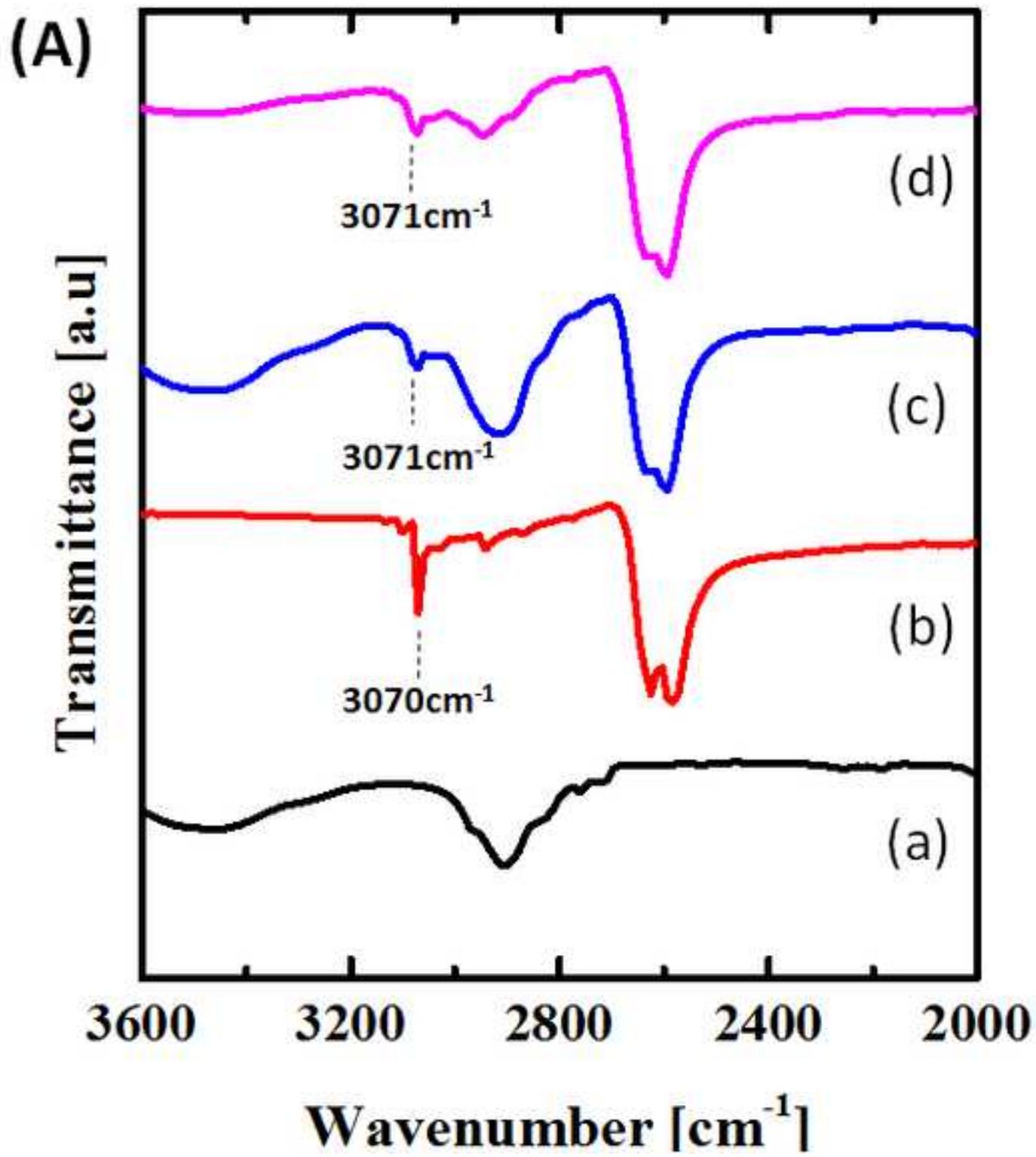
## **Answer**

Thank you for your comment. We understand the importance of the risk at normal organs after the irradiation.

Figure

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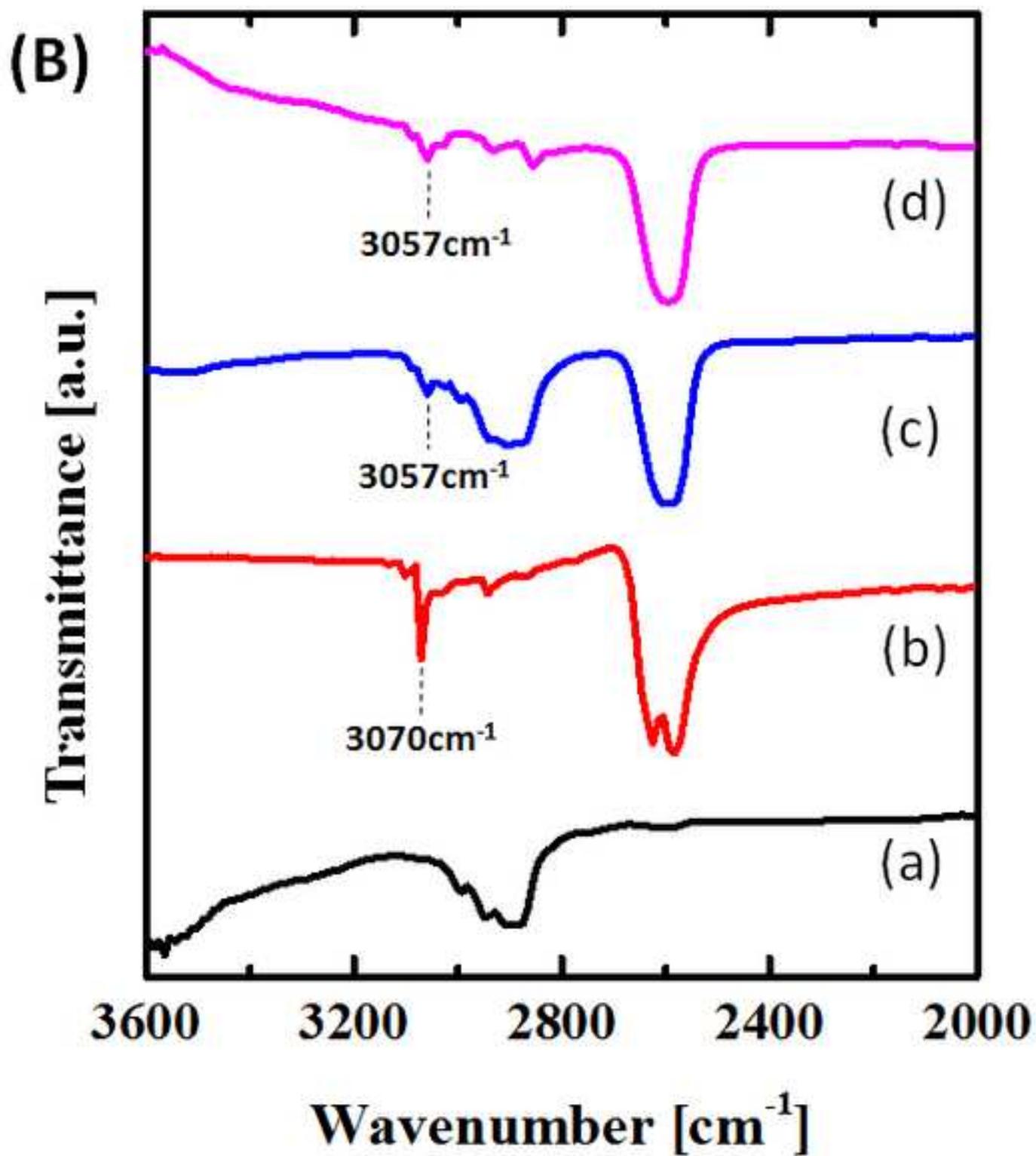
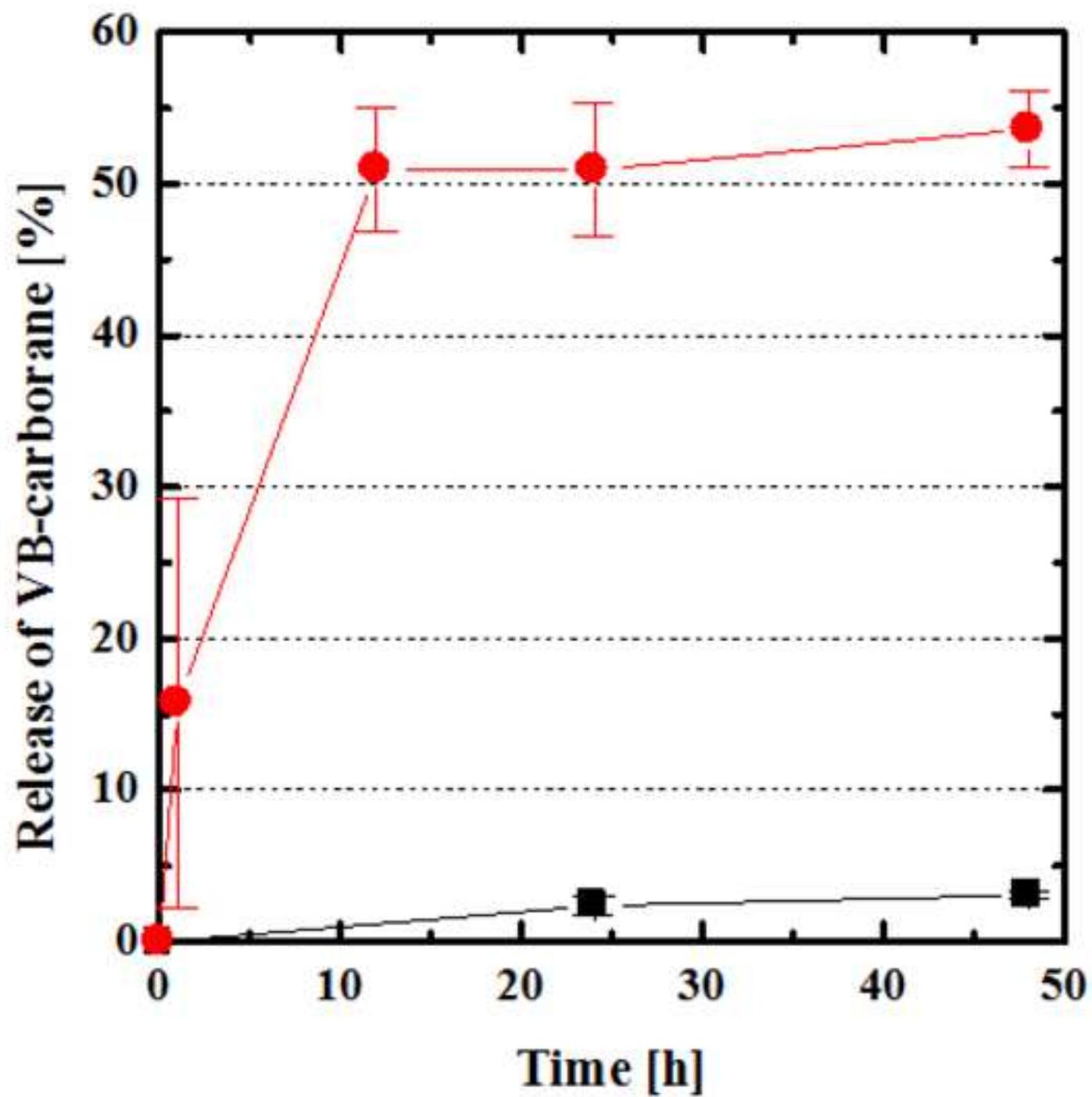
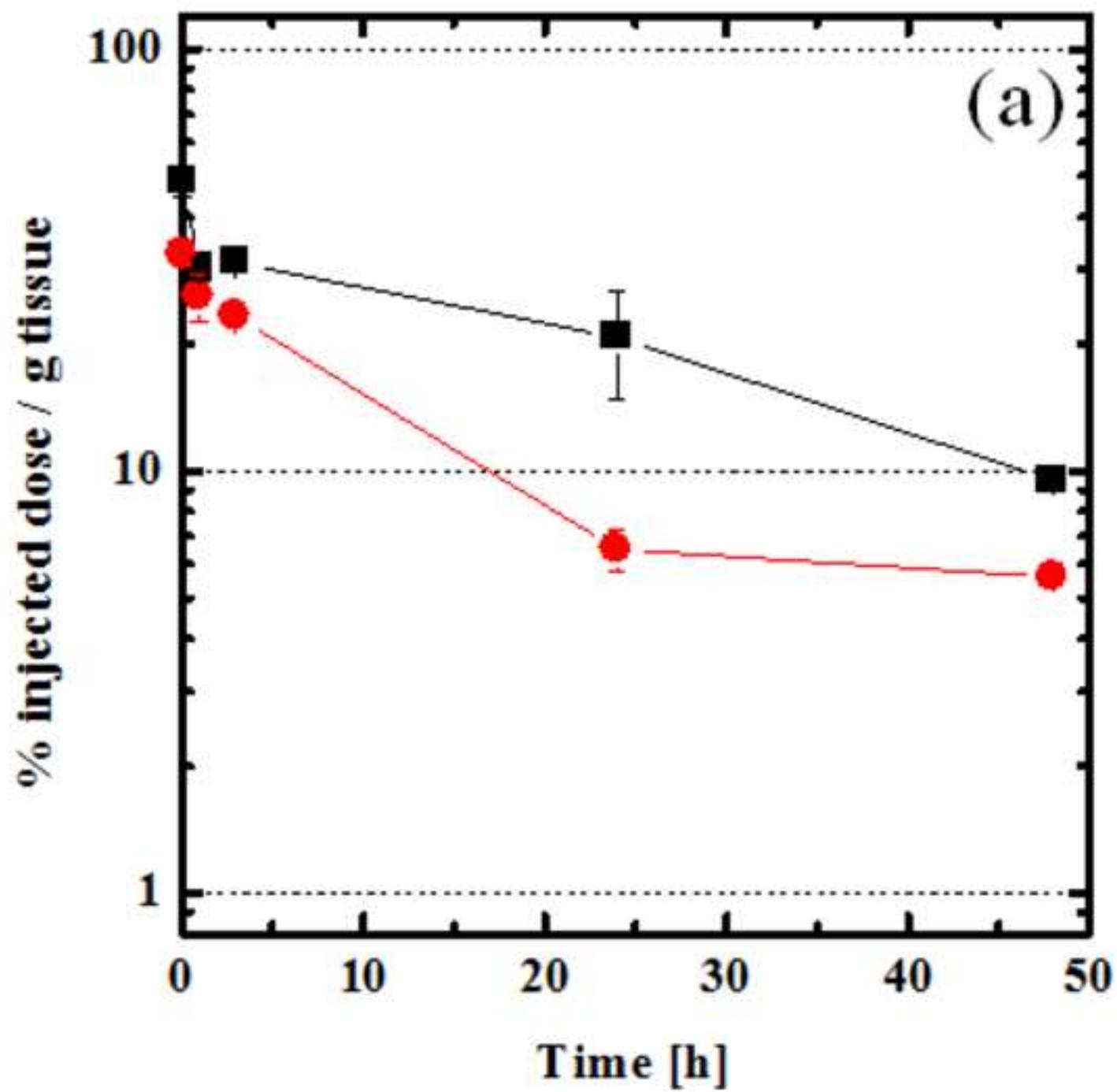


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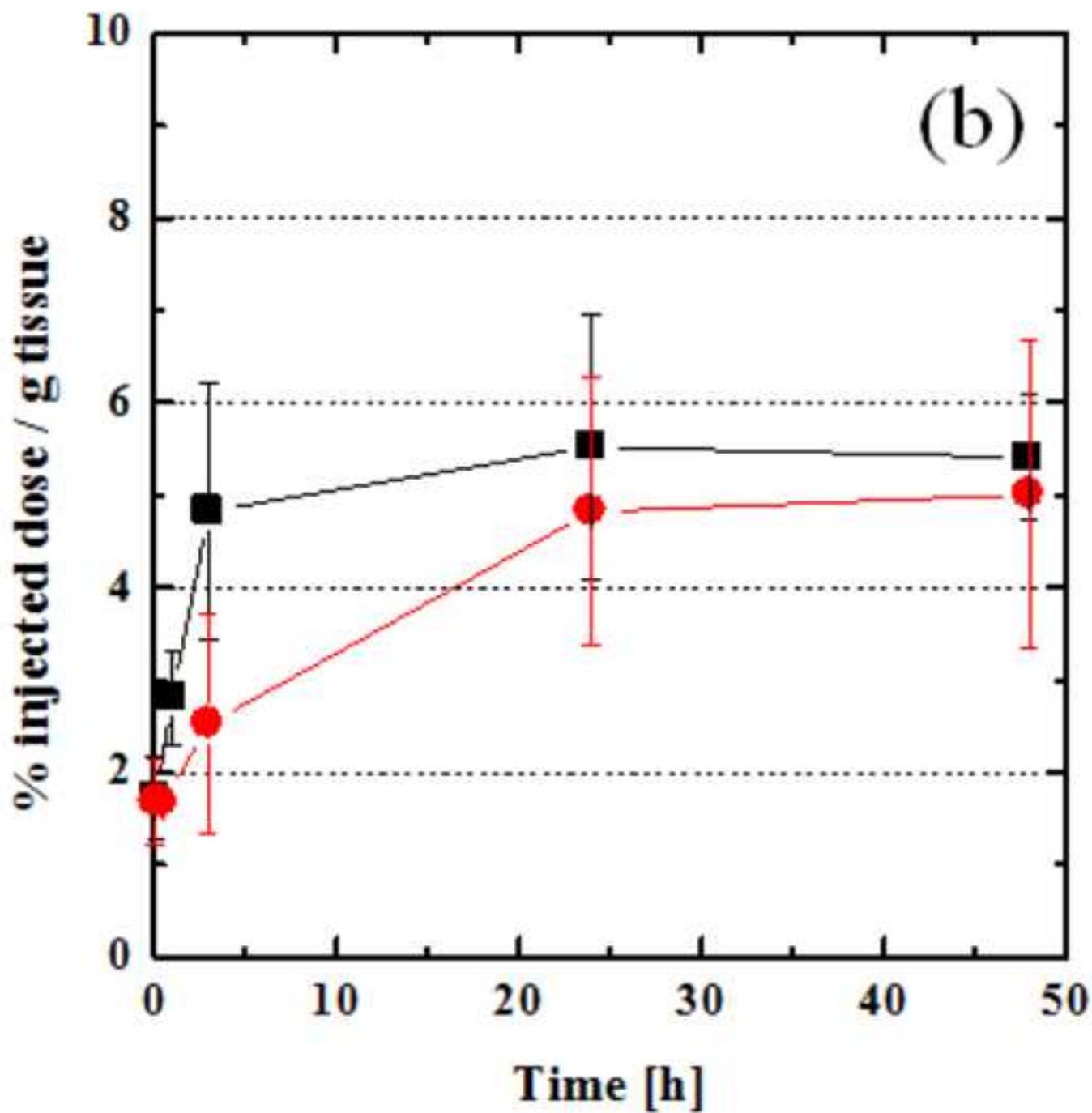


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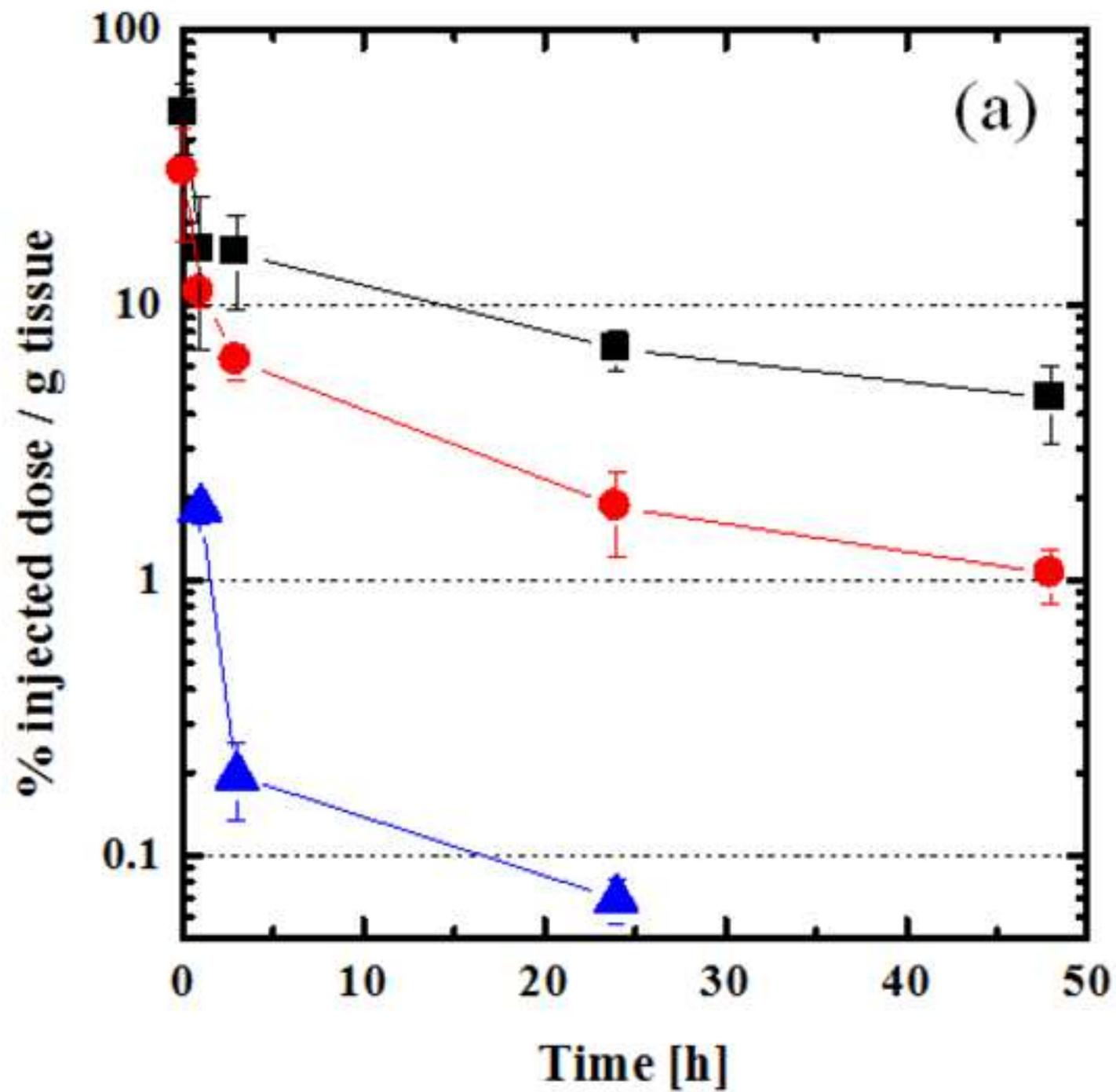


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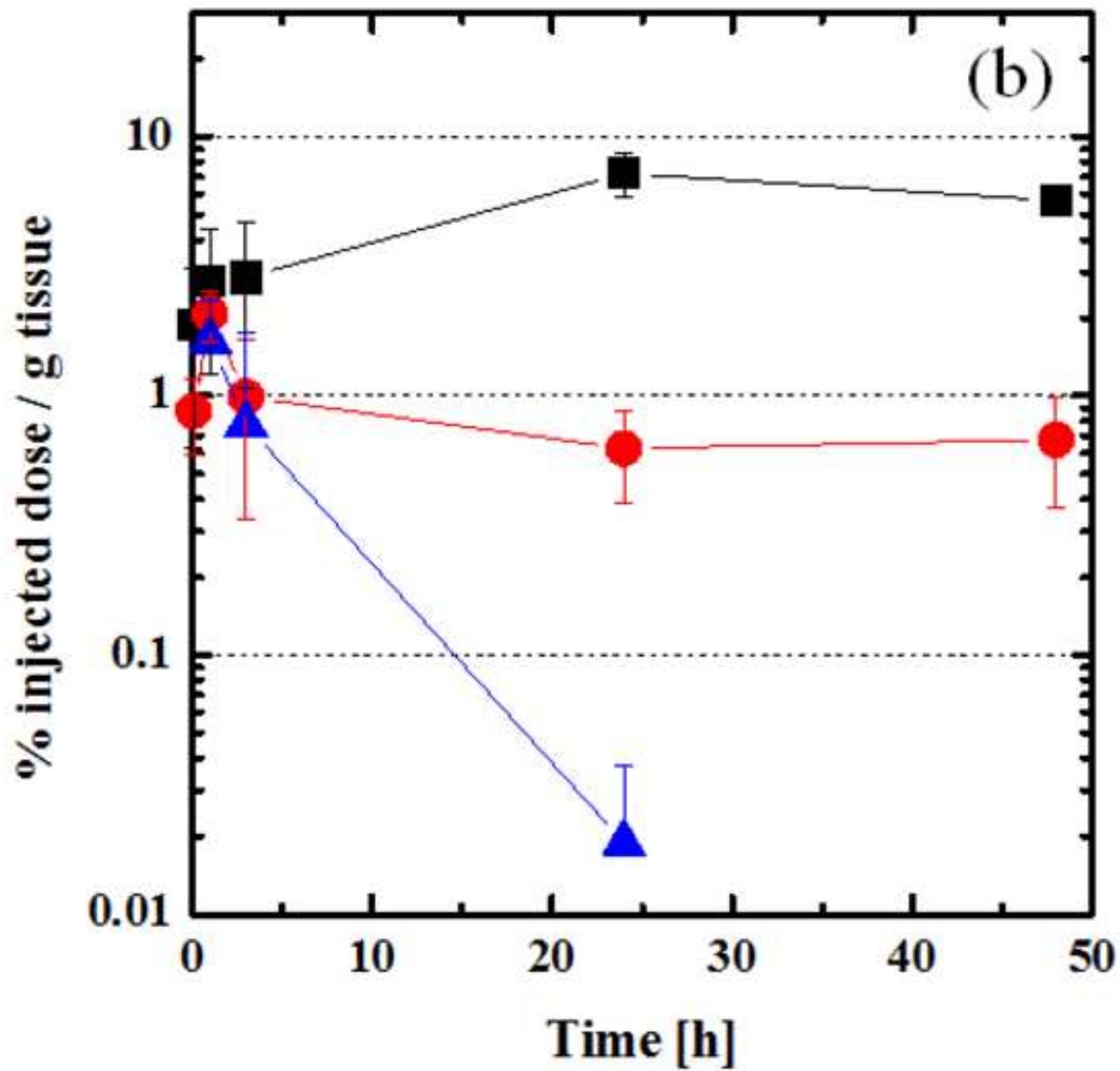
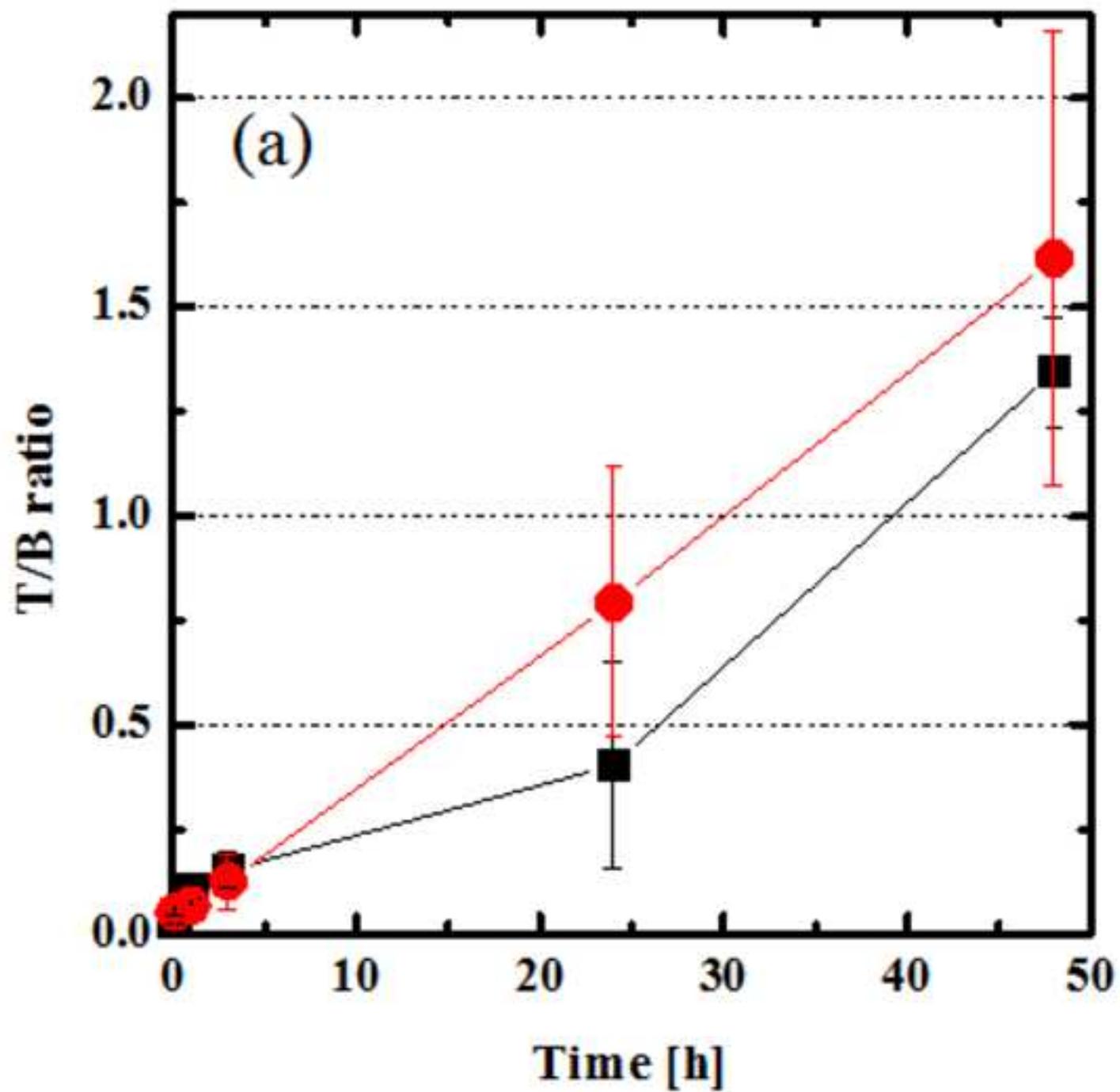


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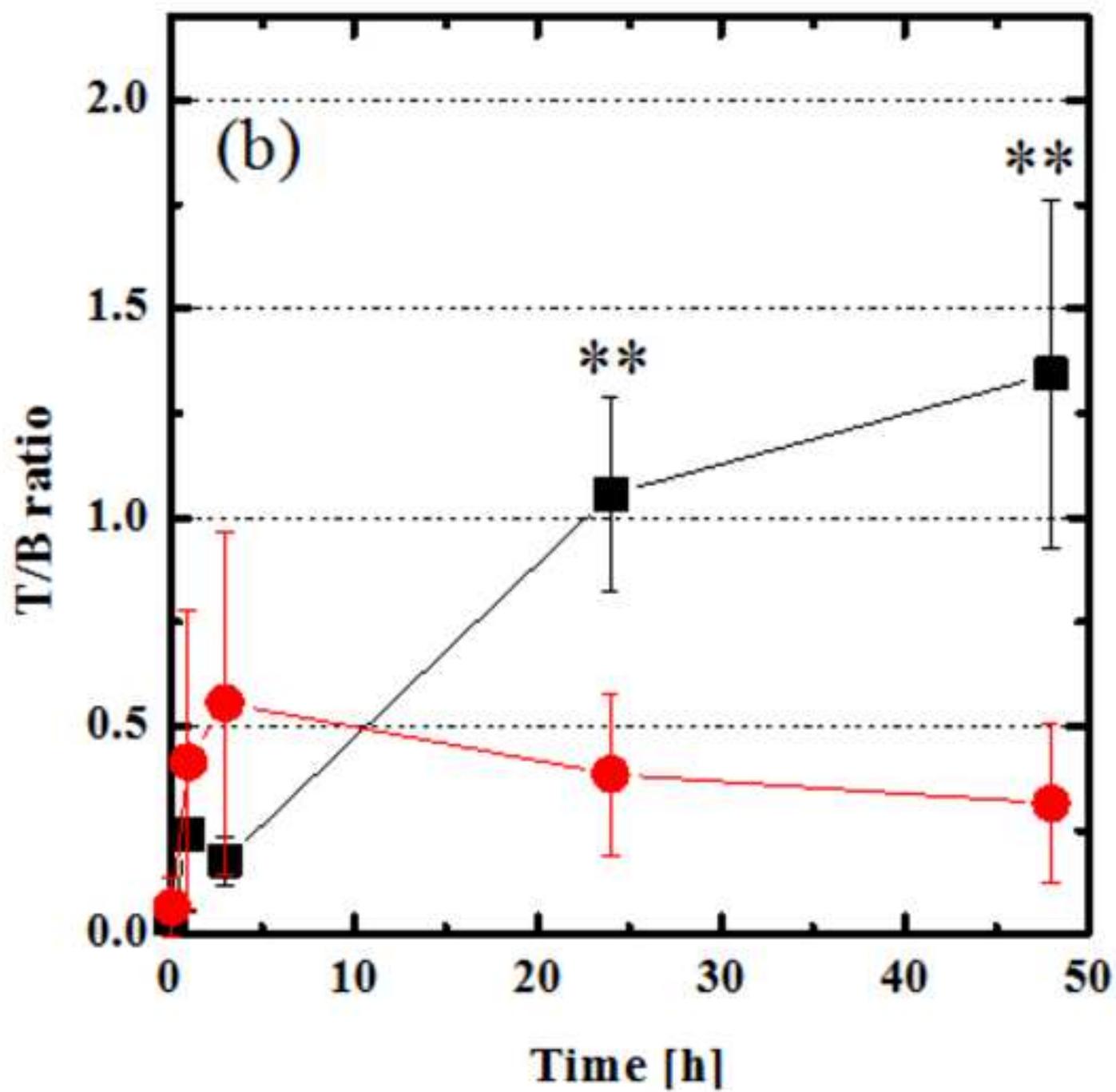


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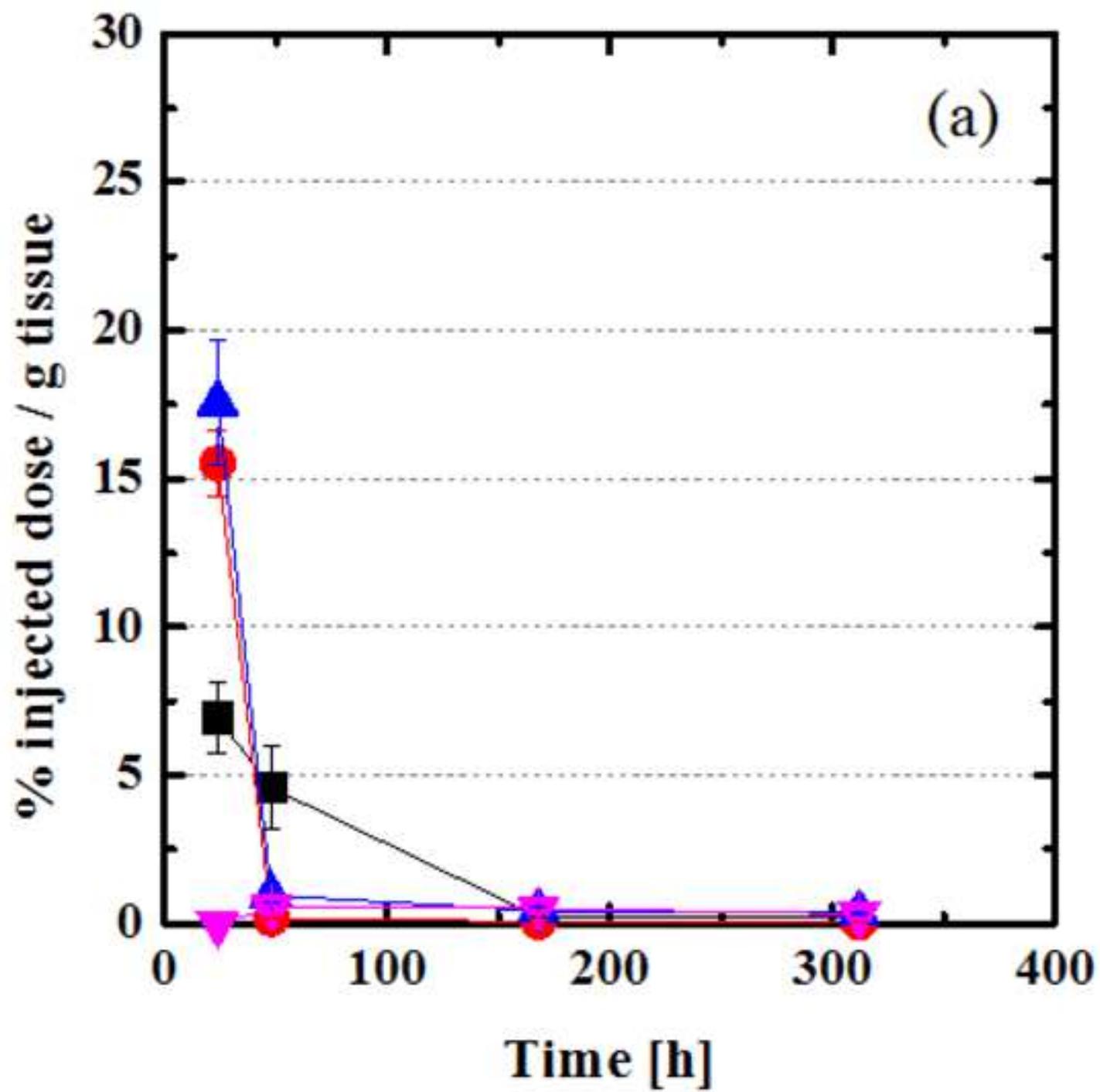
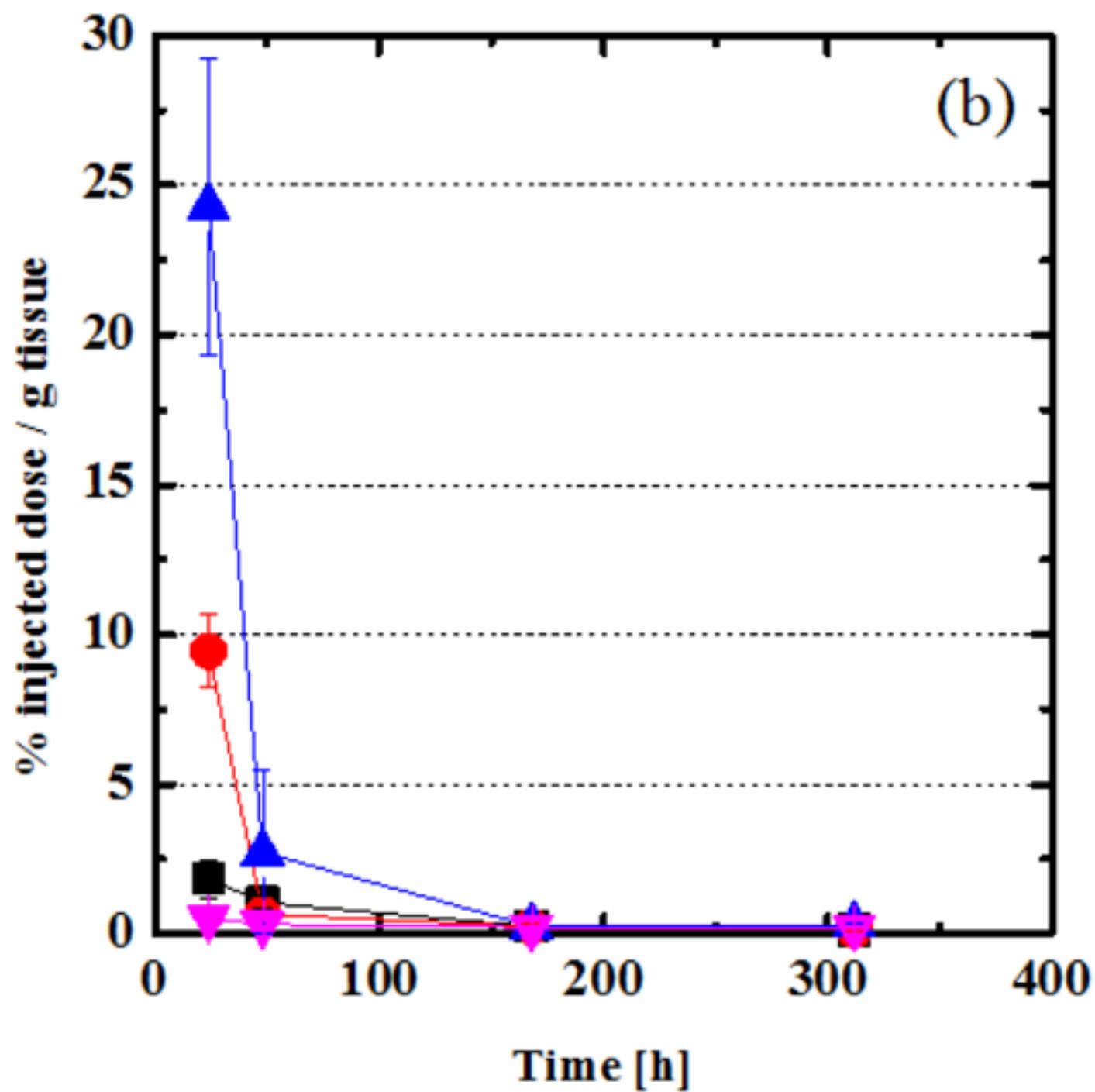


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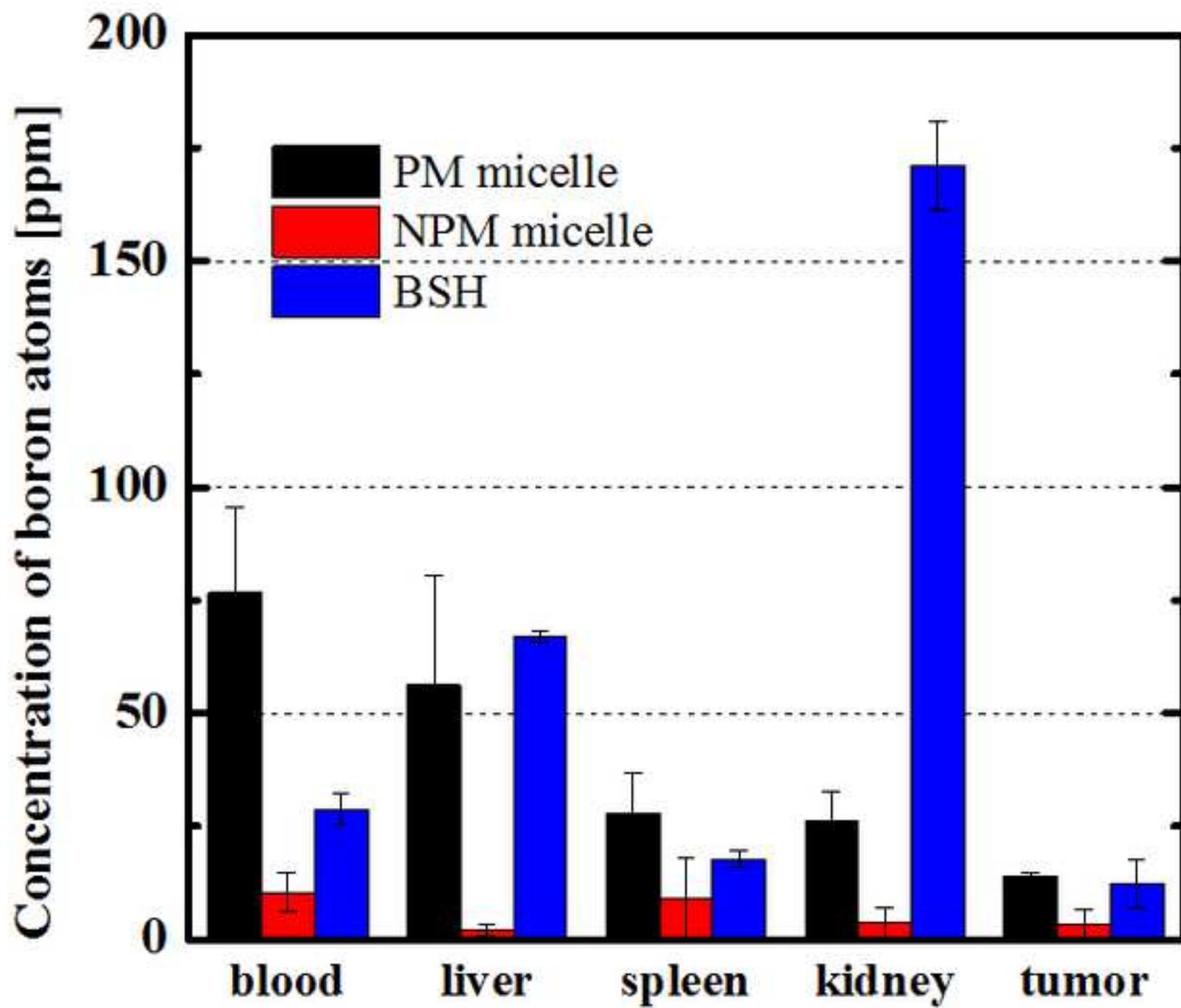


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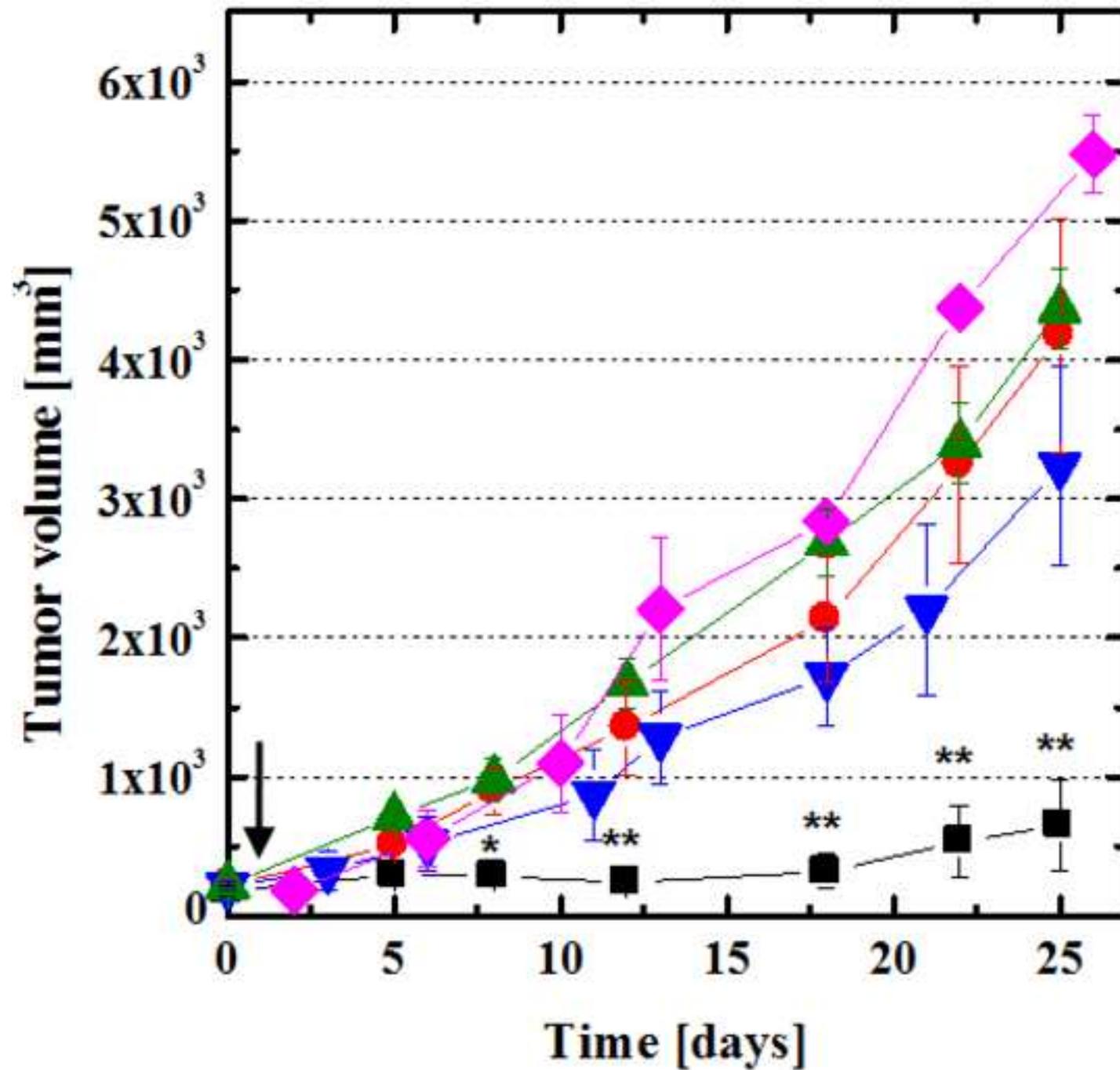
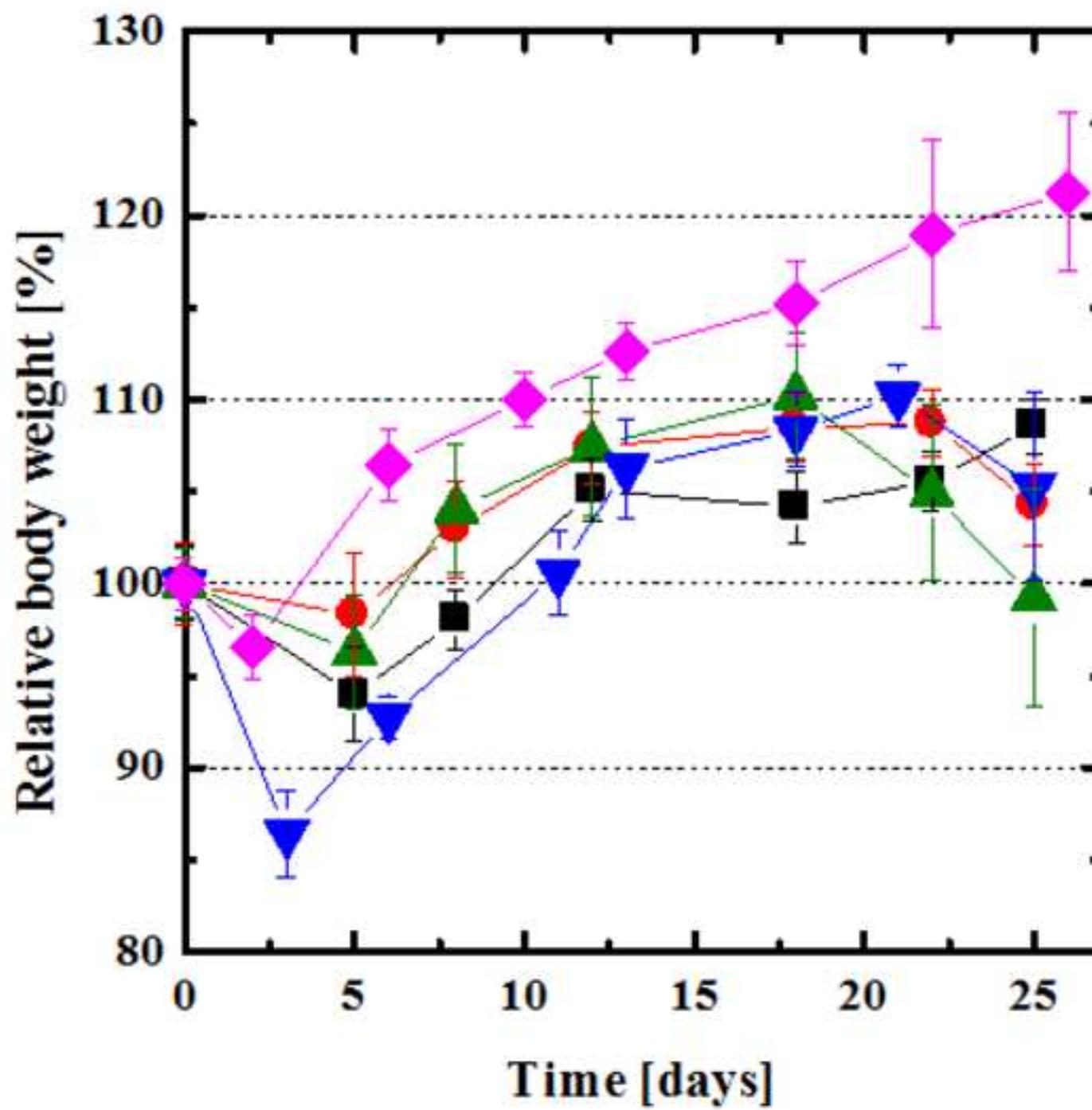


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## Supplementary data

### Pharmacokinetics of Core-Polymerized, Boron-Conjugated Micelles Designed for Boron Neutron Capture Therapy for Cancer

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### Materials

Tetrahydrofuran (THF; Kanto Chemicals Co. Ltd., Tokyo, Japan) was dried over lithium aluminum hydride and distilled under nitrogen atmosphere. 3,3-Diethoxy-1-propanol (Aldrich Chemical Co. Ltd., Milwaukee, WI) was dried over sodium and distilled under reduced pressure. Ethylene oxide (EO; Sumitomo Seika Chemicals Co. Ltd., Hyogo, Japan) was purified by distillation over CaH<sub>2</sub>. D,L-lactide (LA, Wako Pure Chemical Industries, Ltd., Osaka, Japan) was purified by sublimation under reduced pressure after recrystallization twice from ethyl acetate. Potassium naphthalene was prepared according to a conventional method and the concentration was determined by titration. *o*-Carborane (Wako Pure Chemical Industries), <sup>10</sup>B-enriched *o*-carborane (Katchem spol. s.r.o., Ltd., Prague, Czech) and butyllithium (BuLi; 1.6 M in *n*-hexane, Kanto Chemicals) were used as received. 4-Vinylbenzyl chloride (kindly provided by Seimi Chemical Co., Tokyo, Japan) was purified by passed through silica gel column and distilled under reduced pressure. High-resolution mass spectrometry using electrospray ionization mass spectrometry (HRMS (ESI+)) was conducted for the synthesized monomers on an Applied Biosystems QStar/Pulsar i.

### Synthesis of acetal-PEG-*b*-PLA-MA block copolymer (Scheme S1)

3,3-Diethoxy-1-propanol (79 μL, 0.5 mmol) and THF (15 mL) were added to a round bottom flask equipped with a three-way stop-cock under nitrogen atmosphere. To this solution, potassium naphthalene solution (0.42 mol/L in THF, 1.2 mL) was added, and the reaction mixture was stirred for 30 min at room temperature to obtain of potassium alcoholate as an initiator. The condensed EO (3.4 mL, 68.1 mmol) was added to the initiator solution via a cooled syringe, and the reaction

mixture was stirred for 2 days at room temperature. Aliquots of the reaction mixture were sampled for SEC measurement to determine the molecular weight of PEG. SEC measurement was carried out on an HLC-8020 system (Tosoh, Tokyo, Japan) equipped with an internal refractive index detector and a combination of TSKgel G4000<sub>HR</sub> and TSKgel G3000<sub>HR</sub> columns (Tosoh, Tokyo, Japan), using THF as an eluent (**Figure S1**). The molecular weight ( $M_n$ ) and molecular weight distribution ( $M_w/M_n$ ) of PEG were 5,600 and 1.02, respectively, as determined by SEC measurements based on the PEG calibration. After the polymerization of EO, a solution of D,L-lactide in THF (17.3 mmol, 21.6 mL, 0.8 M) was added, and the reaction mixture was further stirred for 2 h at room temperature. Methacrylic anhydride (1.6 mL, 10 mmol) was added to the reaction mixture to terminate the reaction and introduce the methacryloyl moiety to the living polymer chain end. The reaction mixture was poured into cold 2-propanol (900 mL), followed by the centrifuging for 15 min at 5000 rpm at -4 °C. The recovered polymer was finally freeze-dried with benzene to obtain the acetal-PEG-*b*-PLA-MA polymer as a white powder (acetal-PEG-*b*-PLA-MA: 85.7 % yield). A <sup>1</sup>H-NMR spectrum of acetal-PEG-*b*-PLA-MA is shown in **Figure S2** with assignments, where the peaks of terminal methacryloyl moiety were observed at  $\delta$  5.6 and 6.2 ppm along with the peaks of acetal moiety were observed at  $\delta$  4.6 ppm. The segment length of PLA was estimated from the <sup>1</sup>H-NMR spectrum based on the peak integral ratio of the methylene protons of PEG (OCH<sub>2</sub>CH<sub>2</sub>:  $\delta$  3.5 ppm) and the methine proton of the PLA unit (COCH(CH<sub>3</sub>):  $\delta$  5.2 ppm), assuming the  $M_n$  of PEG 5,600. The  $M_n$  of PLA segment was 5,100. The functionality of methacryloyl and acetal moiety was determined to be 90 and 99 %, respectively, as determined by the <sup>1</sup>H-NMR spectroscopy. SEC  $M_n$  = 10,500,  $M_w/M_n$  = 1.07; <sup>1</sup>H-NMR (270 MHz, CDCl<sub>3</sub>):  $\delta$  (ppm) 1.18 (t,  $J$  = 7.0 Hz, 6H, CH<sub>3</sub>CH<sub>2</sub>O-), 1.57 (m, 213H, -COCH(CH<sub>3</sub>-), 1.73 (br, 2H, acetal-CHCH<sub>2</sub>CH<sub>2</sub>O-) 1.76 (br, -C(CH<sub>3</sub>)=CH<sub>2</sub>), 3.69 (m, 509H, PEG backbone), 4.64 (t,  $J$  = 5.8 Hz, 1H, acetal-CHCH<sub>2</sub>-), 5.15 (m, 71H, COCH(CH<sub>3</sub>)), 5.61 (d,  $J$  = 1.3 Hz 1H, -C(CH<sub>3</sub>)=CH<sub>2</sub>), 6.20 (d,  $J$  = 1.1 Hz, 1H, -C(CH<sub>3</sub>)=CH<sub>2</sub>).

### Synthesis of natural abundance of 1-(4-vinylbenzyl)-*closo*-carborane (VB-carborane) (Scheme S2)

*o*-Carborane (0.38 g, 2.6 mmol) dissolved in THF (20 mL) was introduced in a round bottom flask equipped with a three-way stop-cock under nitrogen atmosphere, and the flask was cooled to 0 °C. To this solution, BuLi (1.6 M in hexane) was added dropwise (1.6 mL, 2.6 mmol), and the reaction mixture was stirred for 30 min at 0 °C. The reaction mixture was allowed to warm to room temperature, and 4-vinylbenzyl chloride (0.38 mL, 2.6 mmol) was added dropwise. The reaction mixture was further stirred for 8 h at room temperature, and the proceeding of the reaction was monitored by TLC analysis using straight hexanes ( $R_f$  = 0.21). The solvent was subsequently removed by rotary evaporation, and the crude product was purified by silica gel column in straight hexane to yield the VB-carborane as a white solid (0.13 g, yields; 19.2 %). <sup>1</sup>H NMR (270 MHz, CDCl<sub>3</sub>) (**Figure S3**):  $\delta$ (ppm) 1.4-3.5 (br, 11H, carborane), 3.51 (s, 2H, -CH<sub>2</sub>C(BH)<sub>10</sub>), 5.30 (dd,  $J$  = 0.8 and 10.8 Hz, 1H, CH<sub>2</sub>=CHPh), 5.78 (dd,  $J$  = 0.8 and 17.6 Hz, 1H, CH<sub>2</sub>=CHPh), 6.74 (dd,  $J$  = 10.8 and 17.6 Hz, 1H, CH<sub>2</sub>=CHPh), 7.10 (d,  $J$  = 8.2 Hz, 2H, Ph), 7.39 (d,  $J$  = 8.2 Hz, 2H, Ph). Elemental Analysis: Calcd. C 50.7 %, H 7.74 %. Found C 50.8 %, H 7.70 %. ICP-AES: B Calcd. 41.5 %, Found 41.4 %. MS (ESI<sup>+</sup>):  $m/z$  calcd for C<sub>11</sub>H<sub>20</sub>B<sub>10</sub> [M<sup>+</sup>]: 260.2568; found: 260.2571.

### Synthesis of <sup>10</sup>B-enriched VB-carborane

<sup>10</sup>B-enriched *o*-carborane (0.10 g, 0.69 mmol) dissolved in THF (5.3 mL) was introduced in a round bottom flask equipped with a three-way stop-cock under nitrogen atmosphere, and the flask was cooled to 0 °C. To this solution, BuLi (1.6 M in hexane) was added dropwise (0.42 mL, 0.69

mmol), and the reaction mixture was stirred for 30 min at 0 °C. The reaction mixture was allowed to warm to room temperature, and 4-vinylbenzyl chloride (0.11 mL, 0.69 mmol) was added dropwise. The reaction mixture was further stirred for 8 h at room temperature, and the proceeding of the reaction was monitored by TLC analysis using straight hexanes ( $R_f = 0.21$ ). The solvent was subsequently removed by rotary evaporation, and the crude product was purified by silica gel column in straight hexane to yield the  $^{10}\text{B}$ -enriched VB-carborane as a white solid (65 mg, yields; 37.4 %).  $^1\text{H}$  NMR (270 MHz,  $\text{CDCl}_3$ ):  $\delta$  (ppm) 1.4-3.5 (br, 11H, carborane), 3.51 (s, 2H,  $-\text{CH}_2\text{C}(\text{BH})_{10}$ ), 5.30 (dd,  $J = 0.8$  and 10.8 Hz, 1H,  $\text{CH}_2=\text{CHPh}$ ), 5.78 (dd,  $J = 0.8$  and 17.6 Hz, 1H,  $\text{CH}_2=\text{CHPh}$ ), 6.70 (dd,  $J = 10.8$  and 17.6 Hz, 1H,  $\text{CH}_2=\text{CHPh}$ ), 7.10 (d,  $J = 8.2$  Hz, 2H, Ph), 7.39 (d,  $J = 8.2$  Hz, 2H, Ph). Elemental Analysis: Calcd. C 52.4 %, H 7.93 %. Found C 53.2 %, H 7.63 %. ICP-AES: B Calcd. 39.7 %, Found 40.1 %.

## Cell culture

Colon-26 cells derived from mouse colorectal carcinoma cell line were obtained from RIKEN BioResource Center. The cells were grown in Dulbecco's modified Eagle's medium (DMEM) that was supplemented with 10% FBS, 100 units  $\text{mL}^{-1}$  penicillin, and 100  $\mu\text{g mL}^{-1}$  streptomycin at 37 °C in a humidified 5%  $\text{CO}_2$  atmosphere.

## In vitro cytotoxicity

The colon-26 cells were seeded onto 96-well plate at a seeding density of  $10^4$  cells/well and incubated for 24 h in 100  $\mu\text{L}$  DMEM containing 10 % FBS, 100 units/mL penicillin and 100  $\mu\text{g/mL}$  streptomycin at 37 °C in humidified 5 %  $\text{CO}_2$  atmosphere. Then, the culture medium of each well was replaced with 90  $\mu\text{L}$  of fresh medium, followed by the addition of 10  $\mu\text{L}$  sample solutions containing various concentration of NPM micelles, PM micelles, or VB-carborane dissolved in DMSO. After incubation for 24 h, the metabolic activity of each well was evaluated by WST-8 assay (Cell Counting Kit-8, Dojindo, Japan) The optical absorbance was measured at 450 nm, using an ARVO MX (PerkinElmer, Waltham, MA) and converted to the percentage (cell viability) relative to that for mock cells (buffer-treated cells).

## Conjugation of tyrosine residues with PM and NPM micelles

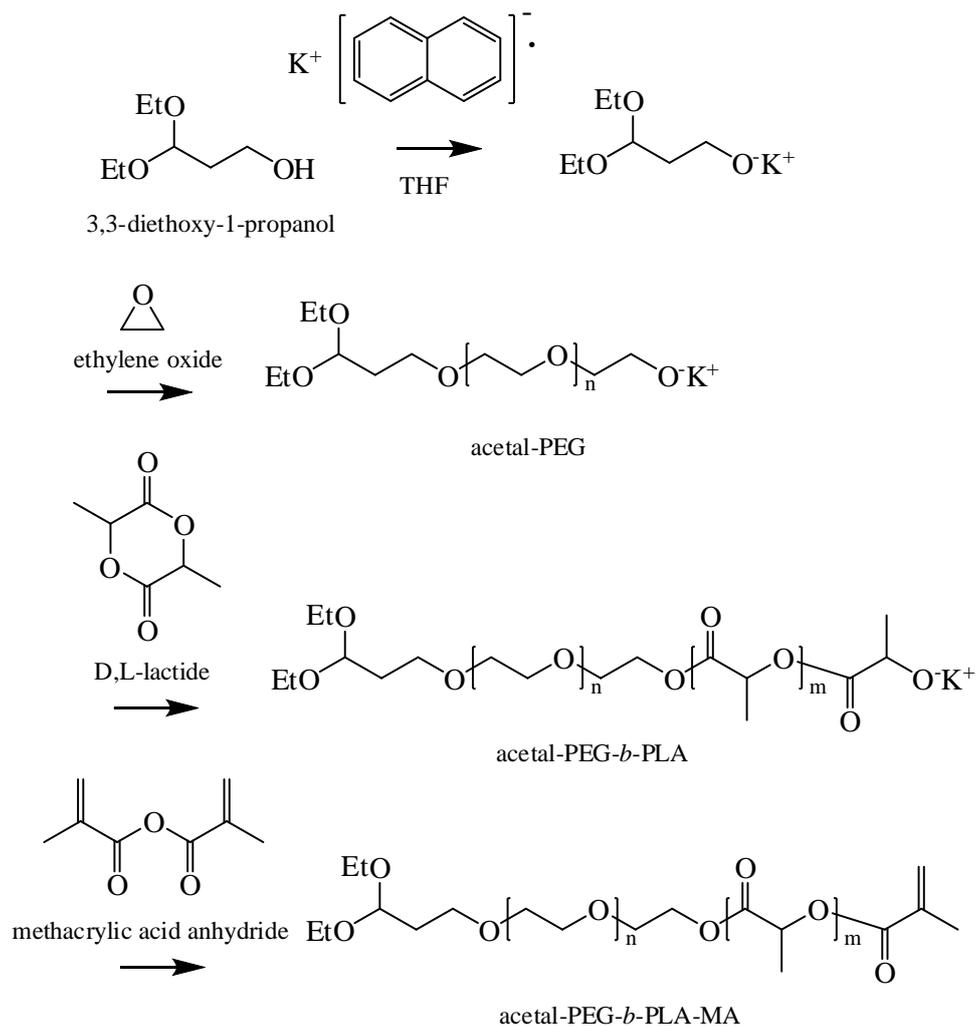
To clarify the biodistribution of the micelles, the preparation of  $^{125}\text{I}$  (radioisotope)-labeled NPM and PM micelles were performed. A tyrosine residue (Tyr), as a site of radiolabeling, was introduced onto the NPM or PM micelles. An aqueous solution of NPM or PM micelles bearing acetal groups (10.0 mL, 2.0 mg/mL) was adjusted to pH 2 using 1.0 M hydrochloric acid, and the resulting solution was stirred for 2 h at room temperature to prepare NPM or PM micelles with aldehyde groups. Purification was performed with dialysis against a large quantity of water (2.0 L) using a pre-swollen semi-permeable membrane (MWCO: 12,000–14,000) for 24 h. The dialysate water was exchanged at 2, 5 and 8 h after the beginning of dialysis. To a solution of the NPM or PM micelles bearing aldehyde groups (10.0 mL, 1.3 mg/mL, [aldehyde] = ca. 90  $\mu\text{M}$ ) in 10 mM phosphate buffer (pH = 6.5), L-tyrosine (Tyr) hydrazide (10.8 mg, 55  $\mu\text{mol}$ ) was added, and the reaction mixture was stirred at room temperature for 1 h. Next, sodium cyanoborohydride (3.5 mg, 51  $\mu\text{mol}$ ) was added as a reducing agent to reduce the unstable hydrazone linkage ( $\text{C}=\text{N}-\text{NH}-$ ), and then the mixture was stirred at room temperature for 24 h. Purification was performed with dialysis against a large quantity of water (2.0 L) using a pre-swollen semi-permeable membrane (MWCO: 12,000–14,000) for 3 days. The dialysate water was exchanged at 2, 5, 8, 24 and 48 h after the beginning of dialysis. After purification, 3.0 mL of the solution was freeze-dried to determine the

concentration and the degree of the functionality of the Tyr residue in the  $^1\text{H-NMR}$  spectra. The  $^1\text{H-NMR}$  spectra of the lyophilized NPM or PM micelles in  $\text{DMSO-}d$  at  $80\text{ }^\circ\text{C}$  showed the aromatic protons of the Tyr residue, and the degree of functionality of the Tyr residue of the NPM and PM micelles was 88 % and 83 %, respectively, as determined from the peak intensity ratio of the aromatic protons in Tyr ( $\delta$  6.8 and 7.2 ppm) to the methine proton in the PLA segment ( $\delta$  5.2 ppm) of the block copolymer.

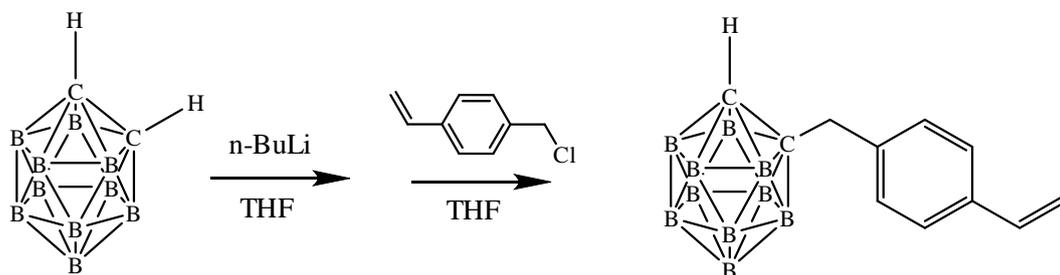
### **Radiolabeling of the Tyr-PM and Tyr-NPM micelles**

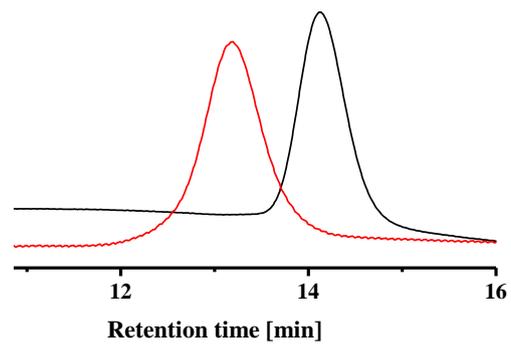
A solution of  $\text{Na}^{125}\text{I}$  in 10 mM phosphate-buffered saline (PBS) ( $15\text{ }\mu\text{L}$ ,  $74\text{ MBq/mL}$ , PerkinElmer, Inc., USA) was added to a solution of Tyr-labeled NPM or PM micelles in 10 mM PBS ( $300\text{ }\mu\text{L}$ ,  $2.0\text{ mg/mL}$ ). A solution of chloramine T in 10 mM PBS ( $100\text{ }\mu\text{L}$ ,  $2.0\text{ mM}$ ) was added to the reaction mixture, which was incubated at room temperature for 10 min. Next, the reaction was quenched by the addition of a solution of sodium peroxodisulfate in 10 mM PBS ( $100\text{ }\mu\text{L}$ ,  $40\text{ mM}$ ). After shaking for a few minutes, the unreacted  $^{125}\text{I}$  and other chemicals were removed by passing the sample through a PD-10 column (GE Healthcare, USA) using PBS as an eluent prior to the biodistribution study. The radioactivity of each fraction was measured using a  $\gamma$ -counter (Aloka, Japan) (**Figure S5**).

**Scheme S1.** Synthesis of acetal-PEG-*b*-PLA-MA.

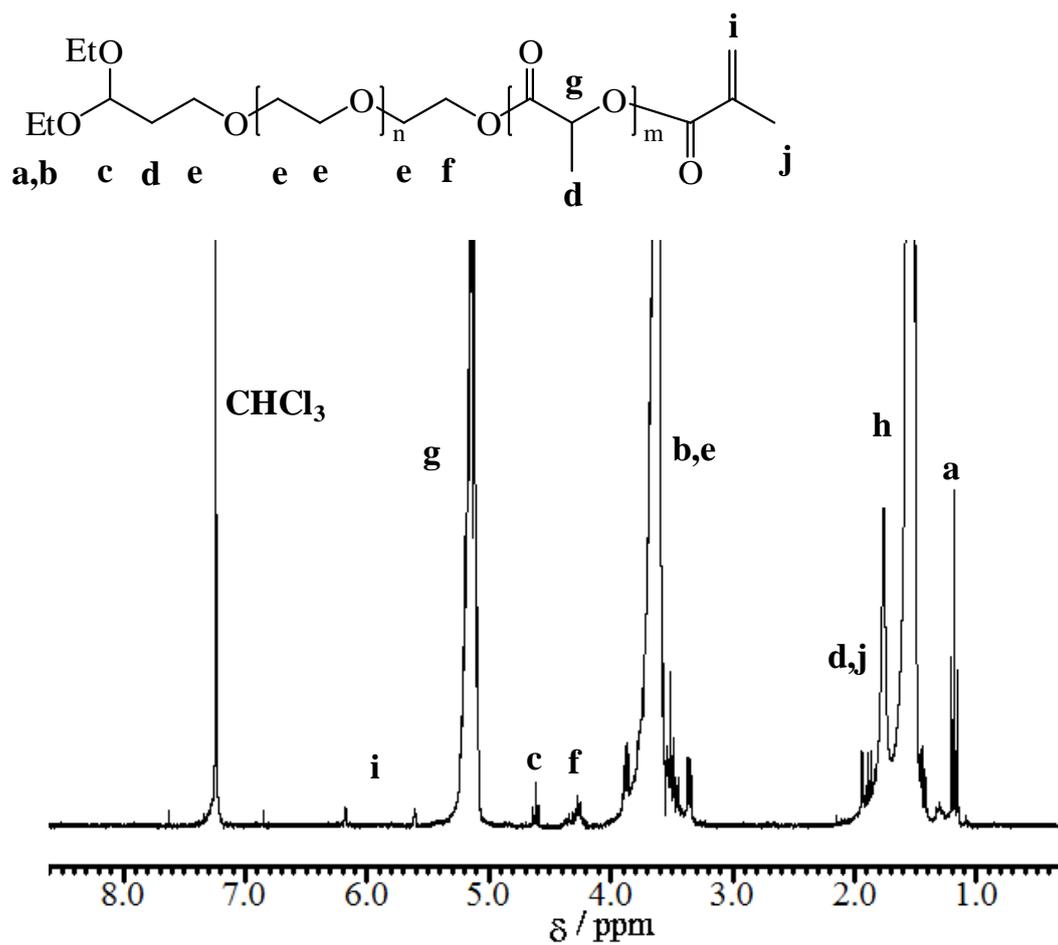


**Scheme S2.** Synthesis of 1-(4-vinylbenzyl)-*closo*-carborane.

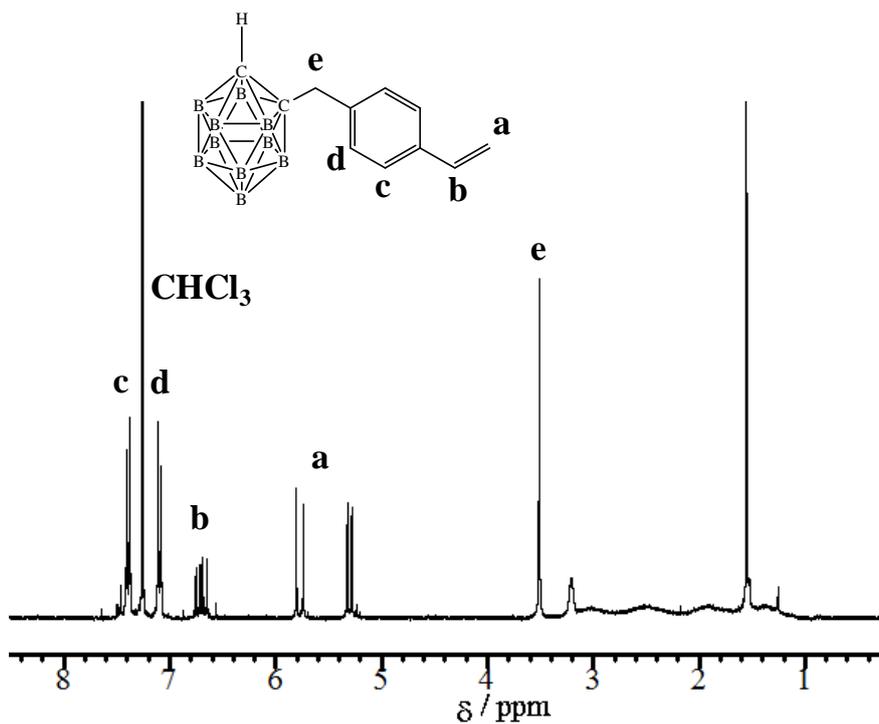




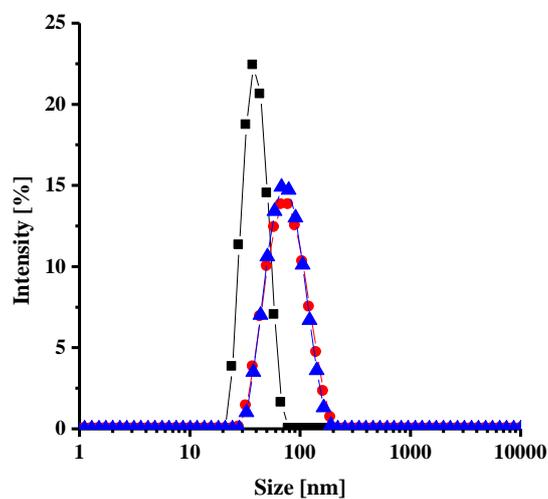
**Figure S1.** SEC charts of acetal-PEG (black line) and acetal-PEG-*b*-PLA-MA (red line).



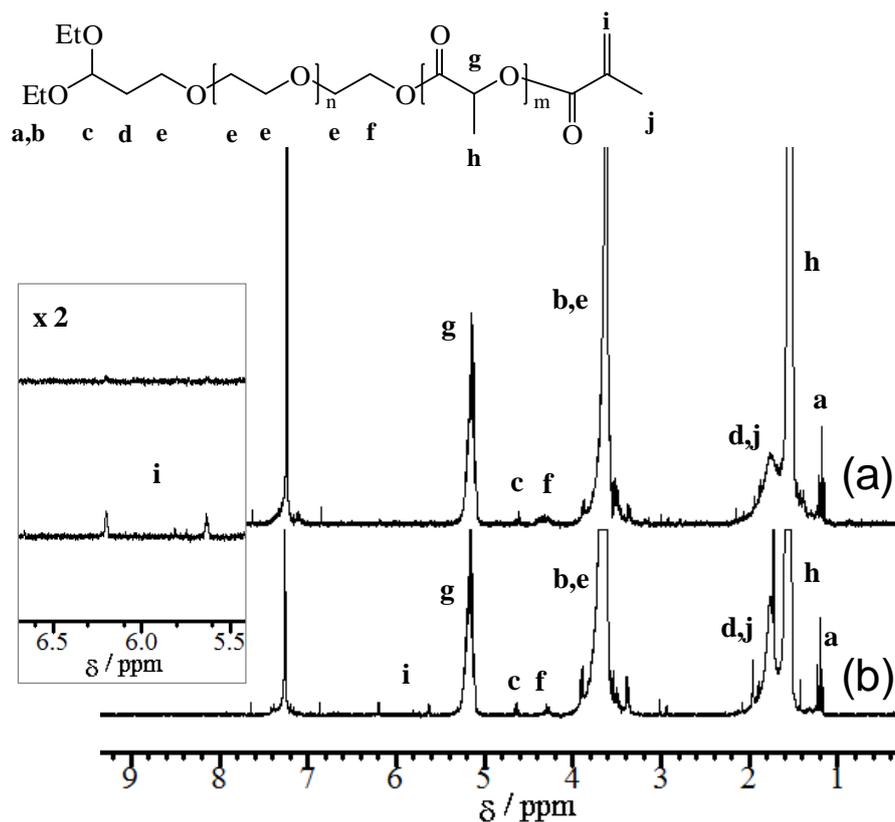
**Figure S2.**  $^1\text{H-NMR}$  spectrum of acetal-PEG-*b*-PLA-MA in  $\text{CDCl}_3$  at 25 °C.



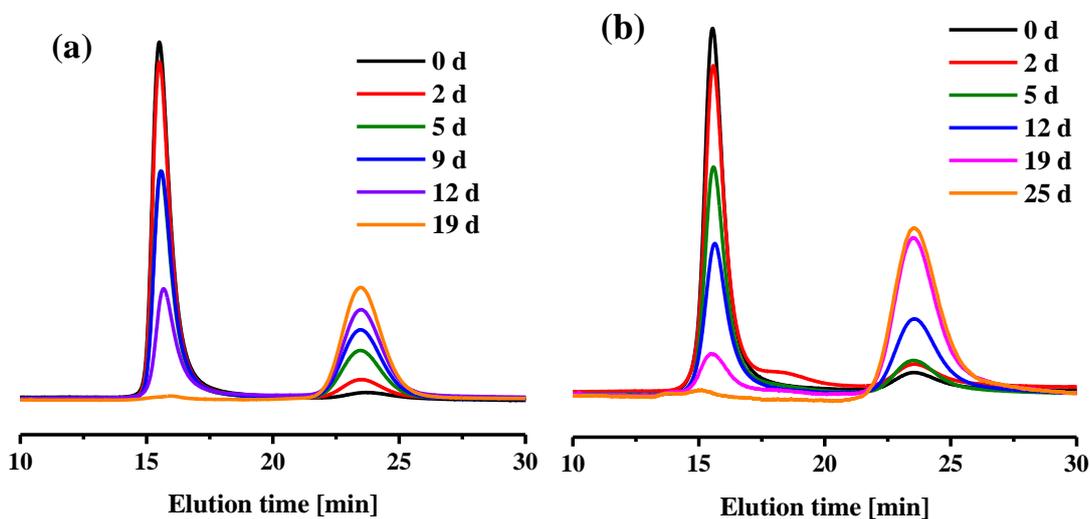
**Figure S3.**  $^1\text{H-NMR}$  spectrum of VB-carborane in  $\text{CDCl}_3$  at  $25\text{ }^\circ\text{C}$ .



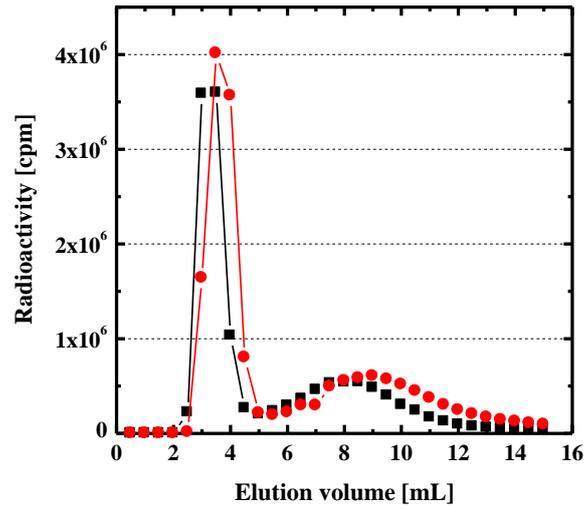
**Figure S4.** The size distribution of the acetal-PEG-*b*-PLA-MA micelles (black squares), the NPM micelles (red circles) and the PM micelles (blue triangles).



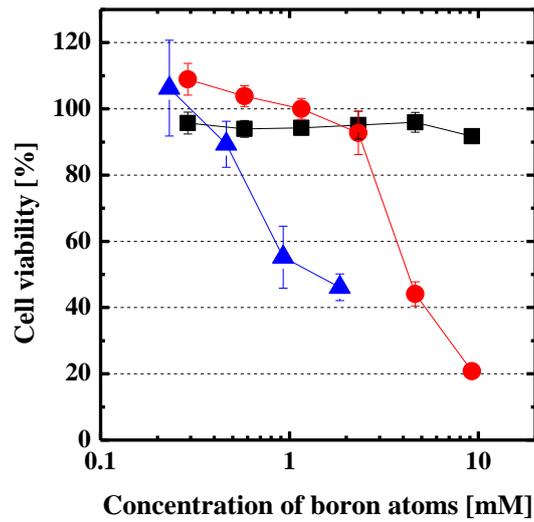
**Figure S5.**  $^1\text{H}$ -NMR spectra of lyophilized PM micelles (a) and NPM micelles (b) in chloroform- $d$ .



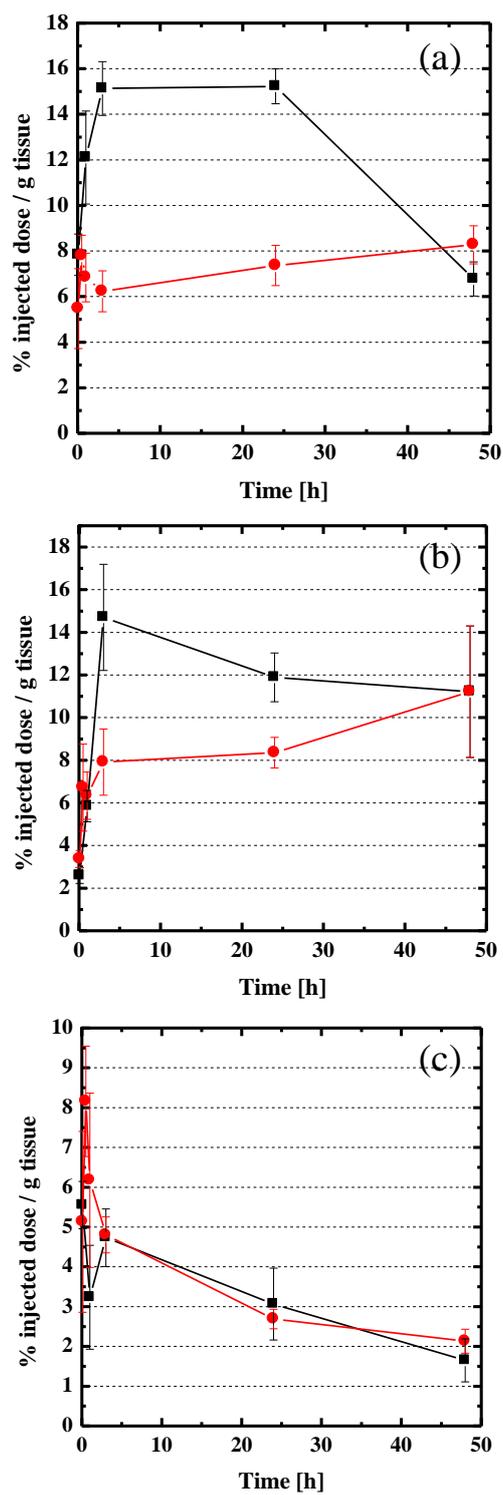
**Figure S6.** SEC chart changes of the NPM micelles (a) and the PM micelles (b) dependent incubation days in 10 mM PBS at 37 °C. (Column, Superose TM 6 10/300 GL; flow rate, 0.5 mL/min; eluent, 10 mM phosphate buffer solution, pH 7.4; temperature, 40 °C).



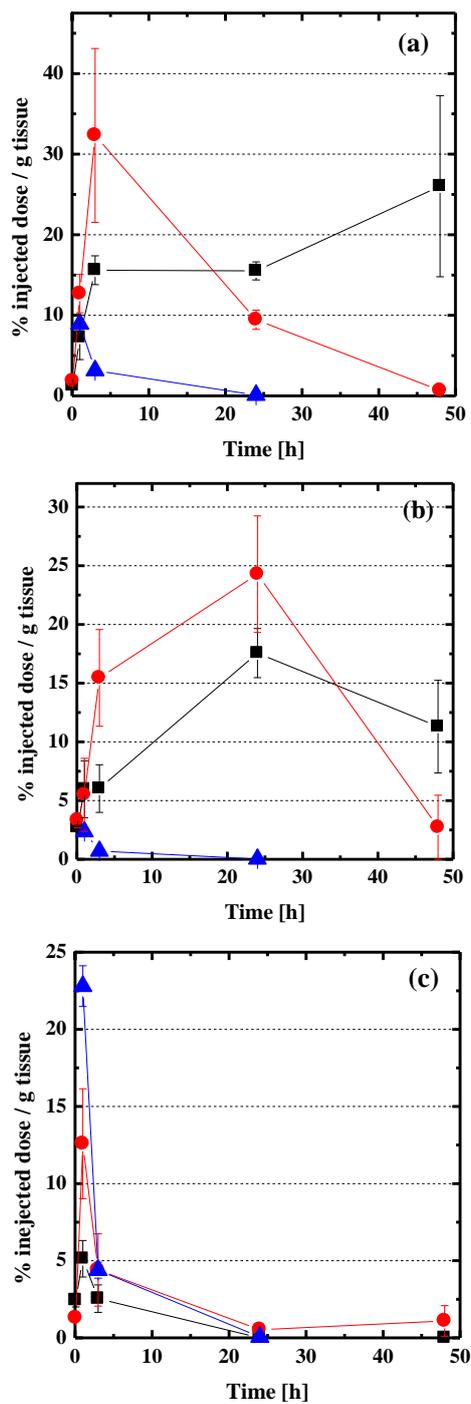
**Figure S7.** Elution profiles of  $^{125}\text{I}$ -labeled PM micelles (black squares) and NPM micelles (red circles) in purification through gel filtration chromatography (PD-10 column).



**Figure S8.** Cytotoxicity of PM micelles (black squares), NPM micelles (red circles), and VB-carborane (blue squares), against colon-26 cells for 24 h. (n=5, mean  $\pm$  SD).



**Figure S9.** Tissue distribution profiles of the  $^{125}\text{I}$ -labeled PM micelles (black squares) and the  $^{125}\text{I}$ -labeled NPM micelles (red circles) in liver (a), spleen (b) and kidney (c) after intravenous injection in tumor-bearing mice, determined based on the radioactivity ( $n = 3$ , mean  $\pm$  SD).



**Figure S10.** Tissue distribution of the boron species after intravenous injection of the PM micelles (black squares), NPM micelles (red circles) and free BSH (blue triangles) in liver (a), spleen (b) and kidney (c) in tumor-bearing mice, determined by ICP-AES ( $n = 3$ , mean  $\pm$  SD).