

Products of normal spaces with metric spaces. II

To Professor Y. Akizuki on his Sixtieth Birthday

By

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The topological product of a normal space with a metrizable space is not normal in general, as has been shown recently by E. Michael [1]. In a previous paper [4]¹⁾ we have introduced the notion of P -spaces, and established that a necessary and sufficient condition for a normal space X to possess the property that the product space $X \times Y$ is normal for any metrizable space Y is that X be a P -space.

In this paper we shall discuss basic coverings in the sense defined below for the topological product of a normal space with a metric space, and a necessary and sufficient condition for the topological product of a normal space with a metric space to be countably paracompact and normal (resp. paracompact and normal) will be established in terms of basic coverings. As an application we shall give a characterization of metric spaces whose product with any countably paracompact normal space is normal.

§1. Basic coverings

Let Y be a metrizable space. Let $\mathfrak{B} = \bigcup \mathfrak{B}_i$ be an open basis of Y such that i) $\mathfrak{B}_i = \{V_{i\alpha} \mid \alpha \in \mathcal{Q}_i\}$ is a σ -locally finite open covering of Y for $i=1, 2, \dots$, and ii) $\{\text{St}(y, \mathfrak{B}_i) \mid i=1, 2, \dots\}$ is a basis for neighborhoods at each point y of Y ; the existence of such an open basis $\mathfrak{B} = \bigcup \mathfrak{B}_i$, for which each \mathfrak{B}_i is locally finite, is assured by a well-known theorem of A. H. Stone. Let us put

$$(1) \quad W(\alpha_1, \dots, \alpha_i) = \bigcap_{\nu=1}^i V_{\nu\alpha_\nu}, \quad \text{for } \alpha_1 \in \mathcal{Q}_1, \dots, \alpha_i \in \mathcal{Q}_i.$$

Let X be a normal space. We shall say that a covering \mathfrak{G} of the product space $X \times Y$ is a basic covering if \mathfrak{G} has the form

$$(2) \quad \mathfrak{G} = \{G(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \mathcal{Q}_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$$

and if $\{G(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \mathcal{Q}_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$ is a family of open subsets of X such that

$$(3) \quad G(\alpha_1, \dots, \alpha_i) \subset G(\alpha_1, \dots, \alpha_i, \alpha_{i+1}) \quad \text{for } \alpha_1 \in \mathcal{Q}_1, \dots, \alpha_{i+1} \in \mathcal{Q}_{i+1}.$$

1) The contents of [4] were announced in [3].

In case for a basic covering \mathfrak{G} in (2) there exists a family $\{F(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$ of closed subsets of X such that

$$(4) \quad F(\alpha_1, \dots, \alpha_i) \subset G(\alpha_1, \dots, \alpha_i)$$

$$(5) \quad \cup \{F(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\} = X \times Y,$$

we shall say that \mathfrak{G} has a special refinement.

LEMMA 1.1. *For a basic covering \mathfrak{G} in (2) the following three statements are equivalent.*

(a) \mathfrak{G} has a special refinement.

(b) There exists a family $\{F(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$ of open F_σ -subsets of X satisfying (4) and (5).

(c) There exists a family $\{F(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$ of F_σ -subsets of X satisfying (4) and (5).

PROOF. The implications (a) \rightarrow (b) and (b) \rightarrow (c) are obvious. Assume (c). Then there exist closed subsets $C(\alpha_1, \dots, \alpha_i; k)$ of X such that

$$F(\alpha_1, \dots, \alpha_i) = \bigcup_{k=1}^{\infty} C(\alpha_1, \dots, \alpha_i; k)$$

If we put

$$K(\alpha_1, \dots, \alpha_i) = \cup \{C(\alpha_1, \dots, \alpha_j; k) \mid j \leq i, k \leq i\}$$

then $K(\alpha_1, \dots, \alpha_i)$ are closed subsets of X , and we have clearly $K(\alpha_1, \dots, \alpha_i) \subset G(\alpha_1, \dots, \alpha_i)$ in view of (3) and (4). Let (x, y) be any point of $X \times Y$. Then we have $(x, y) \in F(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i)$ for some $\alpha_1 \in \Omega_1, \dots, \alpha_i \in \Omega_i$. If $x \in C(\alpha_1, \dots, \alpha_i; k)$ for some k , we put $\text{Max}(i, k) = j$, and select elements $\alpha_{i+1} \in \Omega_{i+1}, \dots, \alpha_j \in \Omega_j$ so that $y \in V_{\nu\alpha_\nu}, \nu=i+1, \dots, j$. Then we have

$$(x, y) \in K(\alpha_1, \dots, \alpha_j) \times W(\alpha_1, \dots, \alpha_j).$$

Thus $\{K(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$ is a covering of $X \times Y$, and hence (a) holds.

Now we shall prove the following theorem which is fundamental in this paper.

THEOREM 1.2. *Let X be a normal space and Y a metrizable space. Then a basic covering of $X \times Y$ has a special refinement if and only if it is a normal covering.*

PROOF. Since a basic covering is a σ -locally finite open covering, the "only if" part is a direct consequence of Lemma 1.1 and Morita [4, Theorem 1.2]. To prove the "if" part, suppose that \mathfrak{G} in (2) is a normal covering of $X \times Y$.

Then there exists a locally finite open covering

$$(6) \quad \mathfrak{L} = \{L_\lambda \mid \lambda \in A\}$$

of $X \times Y$ such that

$$(7) \quad \{\bar{L}_\lambda \mid \lambda \in A\} \text{ is a refinement of } \mathfrak{G}.$$

Now, let us denote by $L(\alpha_1, \dots, \alpha_i; \lambda)$ the union of all the open subsets P of X such that $P \times W(\alpha_1, \dots, \alpha_i) \subset L_\lambda$; that is,

$$(8) \quad L(\alpha_1, \dots, \alpha_i; \lambda) = \bigcup \{P \mid P \times W(\alpha_1, \dots, \alpha_i) \subset L_\lambda, P \text{ open in } X\},$$

for the case where $W(\alpha_1, \dots, \alpha_i) \neq 0$. In case $W(\alpha_1, \dots, \alpha_i) = 0$, we put

$$(9) \quad L(\alpha_1, \dots, \alpha_i; \lambda) = 0.$$

Then we have

$$(10) \quad L(\alpha_1, \dots, \alpha_i; \lambda) \times W(\alpha_1, \dots, \alpha_i) \subset L_\lambda,$$

$$(11) \quad \bigcup \{L(\alpha_1, \dots, \alpha_i; \lambda) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in Q_\nu, \nu = 1, \dots, i; \\ i = 1, 2, \dots; \lambda \in A\} = X \times Y.$$

Let us put

$$(12) \quad F(\alpha_1, \dots, \alpha_i) = 0, \text{ in case } W(\alpha_1, \dots, \alpha_i) = 0,$$

$$(13) \quad F(\alpha_1, \dots, \alpha_i) = \bigcup \{ \overline{L(\alpha_1, \dots, \alpha_i; \lambda)} \mid \overline{L(\alpha_1, \dots, \alpha_i; \lambda)} \subset G(\alpha_1, \dots, \alpha_i), \lambda \in A \}, \\ \text{in case } W(\alpha_1, \dots, \alpha_i) \neq 0.$$

Since $L(\alpha_1, \dots, \alpha_i; \lambda) \times W(\alpha_1, \dots, \alpha_i) \subset L_\lambda$ and $\{L_\lambda\}$ is locally finite in $X \times Y$, the set $F(\alpha_1, \dots, \alpha_i)$ defined by (13) is closed in case $W(\alpha_1, \dots, \alpha_i) \neq 0$. Thus $F(\alpha_1, \dots, \alpha_i)$ is closed in each case, and we have by (12) and (13)

$$(14) \quad F(\alpha_1, \dots, \alpha_i) \subset G(\alpha_1, \dots, \alpha_i).$$

Let (x, y) be any point of $X \times Y$. Then there exists some $\lambda \in A$ such that $(x, y) \in L_\lambda$. From (7) we have

$$(15) \quad \bar{L}_\lambda \subset G(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i)$$

for some $\alpha_1 \in Q_1, \dots, \alpha_i \in Q_i$. Since $(x, y) \in L_\lambda$ and $y \in W(\alpha_1, \dots, \alpha_i)$, there exist $\alpha_{i+1} \in Q_{i+1}, \dots, \alpha_j \in Q_j$ and an open neighborhood $U(x)$ of x such that

$$(16) \quad (x, y) \in U(x) \times W(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j) \subset L_\lambda.$$

Then from the definition of $L(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j; \lambda)$ it follows that $U(x) \subset L(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j; \lambda)$. Hence we have

$$(17) \quad (x, y) \in L(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j; \lambda) \times W(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j) \subset L_\lambda.$$

Therefore by (15) we see that

$$(18) \quad \overline{L(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j; \lambda)} \subset G(\alpha_1, \dots, \alpha_i).$$

Consequently we have in view of (3)

$$(19) \quad \overline{L(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j; \lambda)} \subset G(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j),$$

and hence

$$(20) \quad \overline{L(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j; \lambda)} \subset F(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j).$$

From (17) and (20) it follows that

$$(21) \quad (x, y) \in F(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j) \times W(\alpha_1, \dots, \alpha_i, \alpha_{i+1}, \dots, \alpha_j).$$

Since (x, y) is an arbitrary point of $X \times Y$, we have

$$(22) \quad \cup \{F(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\} = X \times Y.$$

Thus we see, in view of (14), (22), that \mathfrak{G} in (2) has a special refinement, and the "if" part of Theorem 1. 2 is proved.

§2. The product of a normal space with a metric space

Now we shall prove the following theorem.

THEOREM 2. 1. *Let X be a normal space and Y a metrizable space. If the product space $X \times Y$ is countably paracompact and normal, then every basic covering of $X \times Y$ has a special refinement.*

PROOF. Every basic covering of $X \times Y$ is a σ -locally finite open covering of $X \times Y$, and every σ -locally finite open covering of a countably paracompact normal space is a normal covering by [4, Lemma 1. 5]. Hence Theorem 2. 1 follows directly from Theorem 1. 2.

THEOREM 2. 2. *Let X be a normal space and Y a metrizable space. Suppose that every basic covering of $X \times Y$ has a special refinement. Then the product space $X \times Y$ is normal. Furthermore, if X is m -paracompact, then $X \times Y$ is also m -paracompact.²⁾*

PROOF.³⁾ Let X be m -paracompact and normal ($m \geq 2$), and let $\mathfrak{M} = \{M_\lambda \mid \lambda \in A\}$ ($2 \leq |A| \leq m$) be any open covering of $X \times Y$. Let us denote by $M(\alpha_1, \dots, \alpha_i; \lambda)$ the union of all the open subsets P of X such that $P \times W(\alpha_1, \dots, \alpha_i) \subset M_\lambda$. We put

$$(23) \quad M(\alpha_1, \dots, \alpha_i) = \cup \{M(\alpha_1, \dots, \alpha_i; \lambda) \mid \lambda \in A\}.$$

Then

$$(24) \quad \{M(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$$

is a basic covering of $X \times Y$. Hence from the assumption of the theorem and Lemma 1. 1 it follows that there exists a family $\{H(\alpha_1, \dots, \alpha_i)\}$ of open F_σ -subsets of X such that

$$(25) \quad H(\alpha_1, \dots, \alpha_i) \subset M(\alpha_1, \dots, \alpha_i)$$

and

$$(26) \quad \{H(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$$

is a covering of $X \times Y$. By [4, Theorem 1. 2] the covering (26) is normal. Each subspace $H(\alpha_1, \dots, \alpha_i)$ is m -paracompact and normal by [4, Theorem 1. 3]. Hence $\{M(\alpha_1, \dots, \alpha_i; \lambda) \cap H(\alpha_1, \dots, \alpha_i) \mid \lambda \in A\}$ is a normal covering of $H(\alpha_1, \dots, \alpha_i)$. Therefore

$$(27) \quad \{[M(\alpha_1, \dots, \alpha_i; \lambda) \cap H(\alpha_1, \dots, \alpha_i)] \times W(\alpha_1, \dots, \alpha_i) \mid \lambda \in A\}$$

is a normal covering of $H(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i)$ by [4, Lemma 1. 4]. Since $M(\alpha_1, \dots, \alpha_i; \lambda) \times W(\alpha_1, \dots, \alpha_i) \subset M_\lambda$, we see by [4, Theorem 1. 1] that $\{M_\lambda\}$ is a normal

2) A topological space is called m -paracompact if every open covering consisting of at most m sets has a locally finite open covering as a refinement.

3) This proof is similar to our proof of [4, Lemma 4. 4].

covering of $X \times Y$. This completes the proof of Theorem 2.2.

Combining Theorems 2.1 and 2.2 we obtain the following theorem.

THEOREM 2.3. *Let X be a topological space and Y a metrizable space. In order that the product space $X \times Y$ be m -paracompact and normal (resp. paracompact and normal) it is necessary and sufficient that X be m -paracompact and normal (resp. paracompact and normal) and every basic covering of $X \times Y$ have a special refinement. Here $m \geq \aleph_0$.*

As an immediate consequence of Theorem 2.3 we have

THEOREM 2.4. *Let X be a topological space and Y a metrizable space. Then the product space $X \times Y$ is m -paracompact and normal (resp. paracompact and normal) if and only if X is m -paracompact and normal (resp. paracompact and normal) and $X \times Y$ is countably paracompact and normal. Here $m \geq \aleph_0$.*

As for the Lindelöf property we obtain the theorems analogous to Theorems 2.3 and 2.4; Theorem 2.5 may be proved similarly as in [4, Theorem 5.3].

THEOREM 2.5. *Let X be a regular space and Y a separable metrizable space. In order that the product space $X \times Y$ have the Lindelöf property it is necessary and sufficient that X have the Lindelöf property and every basic covering of $X \times Y$ have a special refinement.*

THEOREM 2.6. *The product of a topological space X and a separable metrizable space Y is a regular Lindelöf space if and only if X is regular Lindelöf and $X \times Y$ is countably paracompact and normal.*

§3. Metric spaces whose product with any countably paracompact normal space is normal

We shall first prove

LEMMA 3.1. *Let X be a normal space and Y a metrizable space. Suppose that $\{B_i \mid i=1, 2, \dots\}$ is any (not necessarily open or closed) countable covering of Y and that $X \times B_i$ is countably paracompact and normal for $i=1, 2, \dots$. Then $X \times Y$ is also countably paracompact and normal.*

PROOF. With the same notations as in §1, let

$$(2) \quad \mathfrak{G} = \{G(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$$

be any basic covering of $X \times Y$. Then

$$(28) \quad \{G(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \cap B_j \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$$

is a basic covering of $X \times B_j$. Hence by Theorem 2.3 there exists a family

$$(29) \quad \{F_j(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\}$$

of closed subsets of X such that

$$(30) \quad F_j(\alpha_1, \dots, \alpha_i) \subset G(\alpha_1, \dots, \alpha_i)$$

$$(31) \quad \cup \{F_j(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \cap B_j \mid \alpha_\nu \in \Omega_\nu, \nu=1, \dots, i; i=1, 2, \dots\} = X \times B_j.$$

If we put

$$F(\alpha_1, \dots, \alpha_i) = \bigcup \{F_j(\alpha_1, \dots, \alpha_i) \mid j=1, 2, \dots\},$$

then $F(\alpha_1, \dots, \alpha_i)$ are F_σ -subsets of X and satisfy the conditions below:

$$(32) \quad F(\alpha_1, \dots, \alpha_i) \subset G(\alpha_1, \dots, \alpha_i)$$

$$(33) \quad \bigcup \{F(\alpha_1, \dots, \alpha_i) \times W(\alpha_1, \dots, \alpha_i) \mid \alpha_\nu \in Q_\nu, \nu=1, \dots, i; i=1, 2, \dots\} = X \times Y.$$

Therefore \mathfrak{G} has a special refinement by Lemma 1.1, and our Lemma 3.1 follows directly from Theorem 2.3 (in the case $m=\aleph_0$).

Now we give a characterization of metric spaces whose product with any countably paracompact normal space is normal.

THEOREM 3.2. *Let Y be a metrizable space. In order that the product space $X \times Y$ be normal for any countably paracompact normal space X , it is necessary and sufficient that Y be a countable union of locally compact subsets.*

PROOF. The necessity of the condition follows immediately from recent results of E. Michael [1] and A. H. Stone [5]. Since the product of a countably paracompact normal space with a locally compact metrizable space is countably paracompact and normal by [2, Theorem 5], the sufficiency of the condition of the theorem is a direct consequence of Lemma 3.1.

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